

Simultaneous QCD analysis of collinear distributions

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JAM19 [Phys. Rev. D 101, 074020](#), N. Sato, CA, J.J. Ethier, W. Melnitchouk



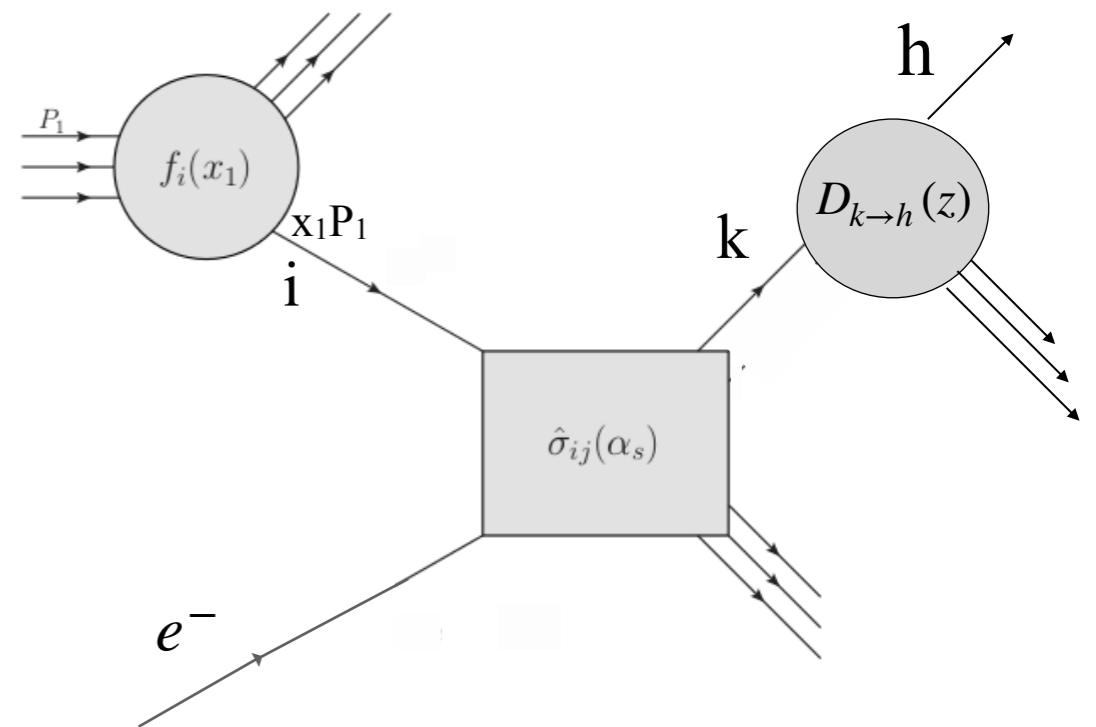
Introduction

Introduction

- The d.o.f of QCD, quarks and gluons, cannot be directly measured
- All we can see are the asymptotic states of QCD, i.e. hadrons
- Experimental data can be interpreted in terms of quarks and gluons
- How? QCD factorization

Collinear Factorization

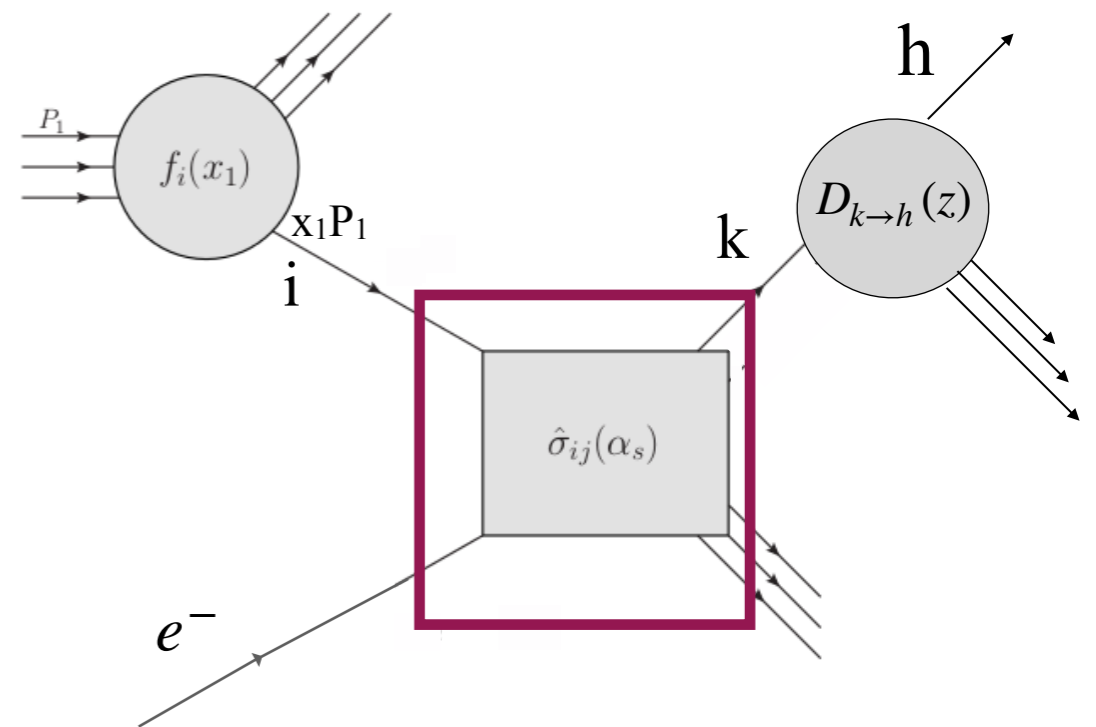
$$d\sigma^{e^- p \rightarrow h X} = \sum_{ik} f_i(x_1, \mu^2) \otimes d\sigma_{i \rightarrow k} \otimes D_{k \rightarrow h}(z, \mu^2) + \mathcal{O}\left(\frac{\Lambda_{QCD}^2}{\mu^2}\right)$$



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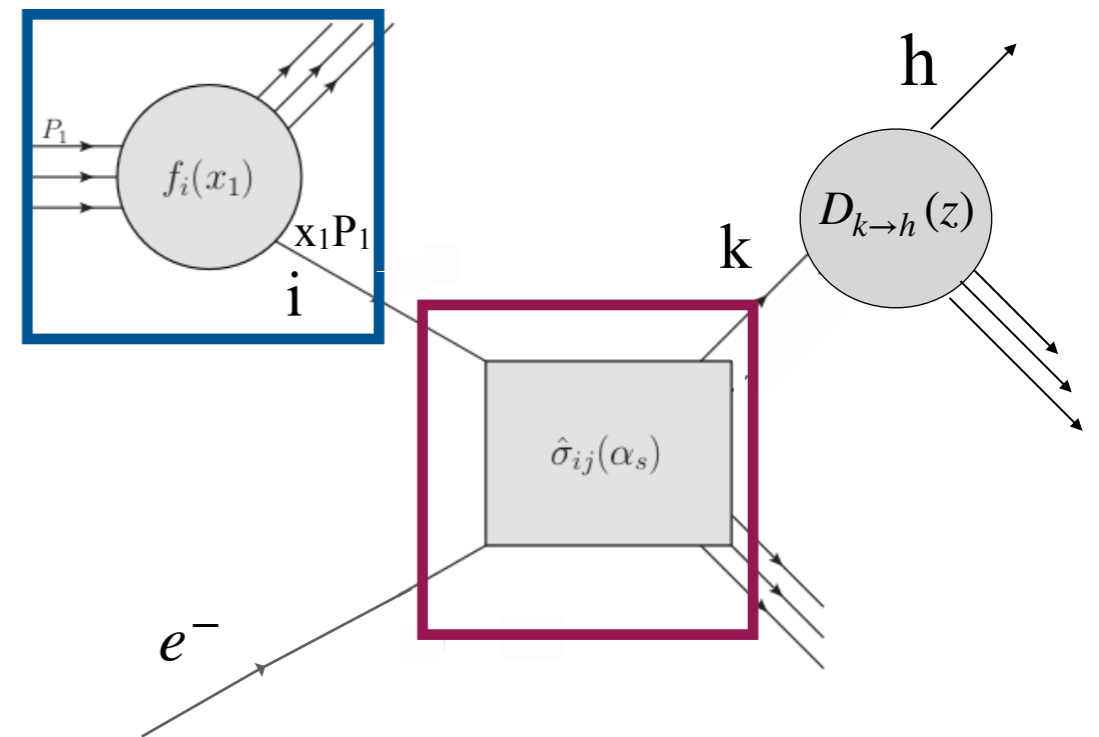
pQCD
↖



Collinear Factorization

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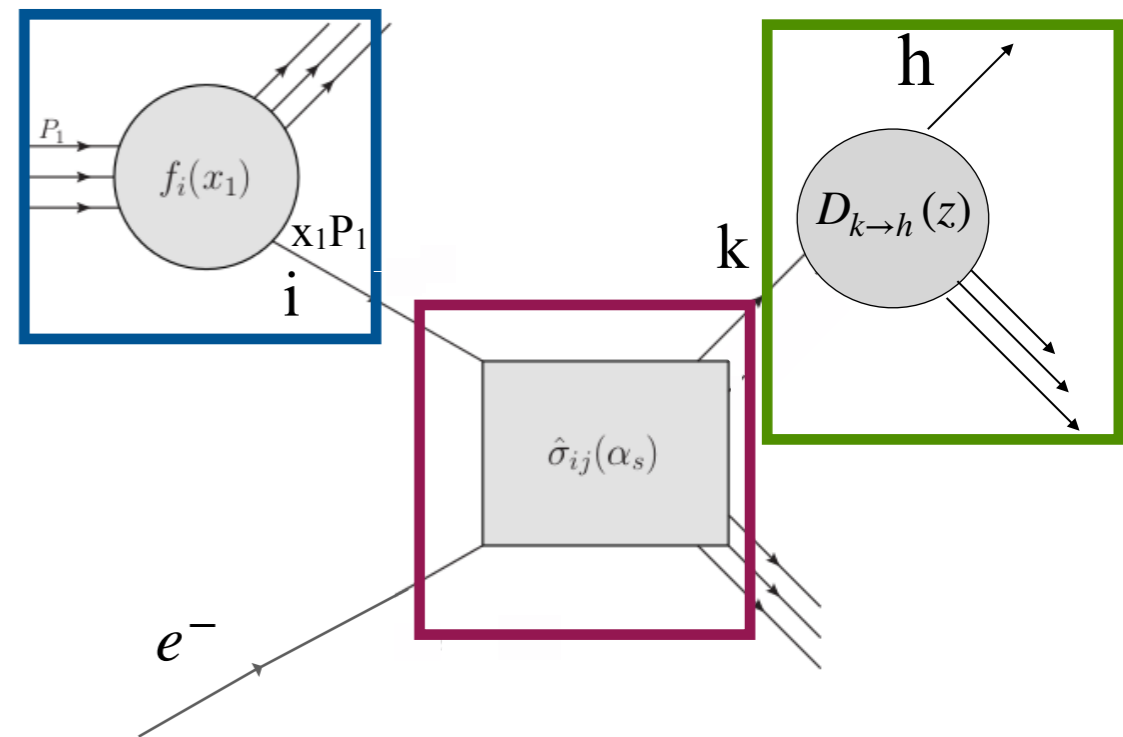
PDFs pQCD



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PDFs pQCD FFs

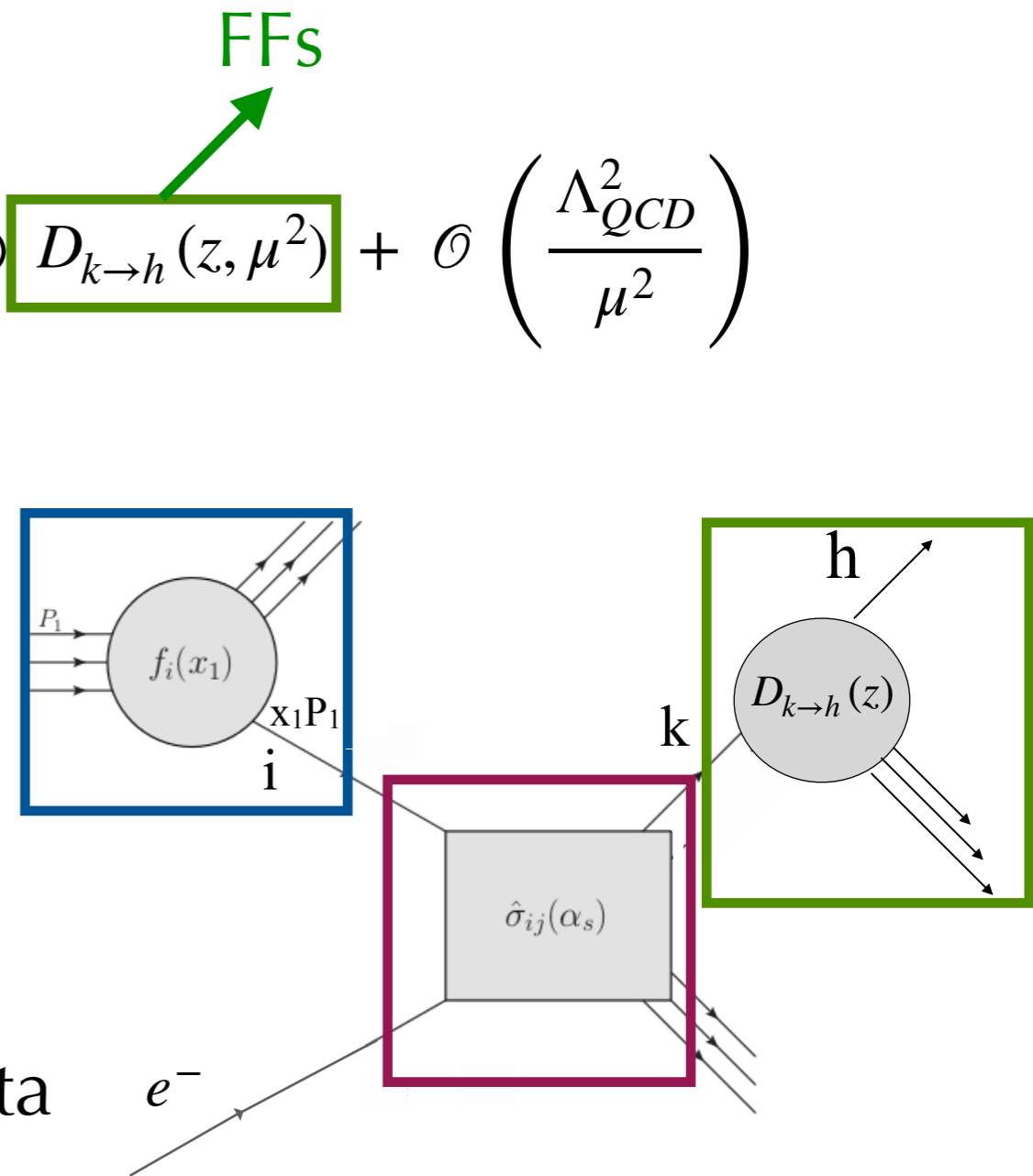


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- Non perturbative

- Currently not computable from first principles \longrightarrow Extract them from data



Universality

- PDFs and FFs are universal

$$\sigma_{lp \rightarrow lX}^{exp} = f \otimes \hat{\sigma}_{lp \rightarrow lX}$$

$$\sigma_{lp \rightarrow hX}^{exp} = f \otimes \hat{\sigma}_{lp \rightarrow hX} \otimes d$$

$$\sigma_{pp \rightarrow l\bar{l}X}^{exp} = f \otimes f \otimes \hat{\sigma}_{pp \rightarrow l\bar{l}X}$$

$$\sigma_{l\bar{l} \rightarrow hX}^{exp} = \hat{\sigma}_{l\bar{l} \rightarrow hX} \otimes d$$

- Their evolution is perturbative: **DGLAP**

$$Q^2 \frac{\partial f_i(x, Q^2)}{\partial Q^2} = \sum_j P_{ij} \otimes f_j(x, Q^2)$$

Splitting functions (pQCD)

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Splitting functions (pQCD)

Global analysis

- Parametrize the PDFs and FFs at an input scale Q_0

$$f_i(x, Q_0) = N_i x^{a_i} (1 - x)^{b_i} P(x; w_i)$$

$$\vec{a} = (N_i, a_i, b_i, w_i, \dots)$$

- Evolve using DGLAP up to the experiment scale
- Compute the experimental cross sections
- Evaluate the χ^2
- Minimize the χ^2 (or maximize the likelihood)

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Bayesian approach

- The probability distribution P is given by

$$P(\vec{a} \mid \text{data}) \propto \mathcal{L}(\vec{a} \mid \text{data}) \pi(\vec{a})$$

Likelihood

- Likelihood function

$$\mathcal{L}(\vec{a} \mid \text{data}) = \exp \left\{ -\frac{1}{2} \chi^2(\vec{a}) \right\}$$

- Observables and their uncertainties are given by

$$E[\mathcal{O}] = \frac{1}{N} \sum_k \mathcal{O}(\vec{a}_k) \qquad V[\mathcal{O}] = \frac{1}{N} \sum_k \left[E[\mathcal{O}] - \mathcal{O}(\vec{a}_k) \right]^2$$

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Maximizing the likelihood

- Maximize P by finding the best fit parameters \vec{a}_0 that minimize the χ^2

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- Used by CT, MMHT, CJ...

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Maximizing the likelihood II

Data resampling method to build the posterior distribution

- Generate N resampled data $\tilde{\sigma}_i, i = 1, \dots, N$

$$\tilde{\sigma}_i = \sigma_i + R_i \alpha_i$$

Random number

Quadrature of the sum of uncorrelated uncertainties

- Perform N fits to the resampled data starting with flat priors

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Why MC?

$$\chi^2 = \sum_e^{N_{exp}} \sum_i^{N_{data}} \frac{(D_i^e - T_i)^2}{(\sigma_i^e)^2}$$

- Typical PDF parametrization:

$$x \Delta f(x) = N x^a (1 - x)^b (1 + c\sqrt{x} + dx)$$

- Perform single χ^2 -fit: \longrightarrow Multiple local minima!

Parameters difficult to constrain

Hessian method for uncertainties \longrightarrow Introduces tolerance criteria

Unsuitable for simultaneous analysis of collinear distributions

- Monte Carlo methods:

- Allows efficient exploration of the parameter space
- Uncertainties directly obtained from MC replicas

JAM19

What is JAM19?

First **simultaneous** MC analysis of **unpolarized** PDFs and FFs

Why JAM19?

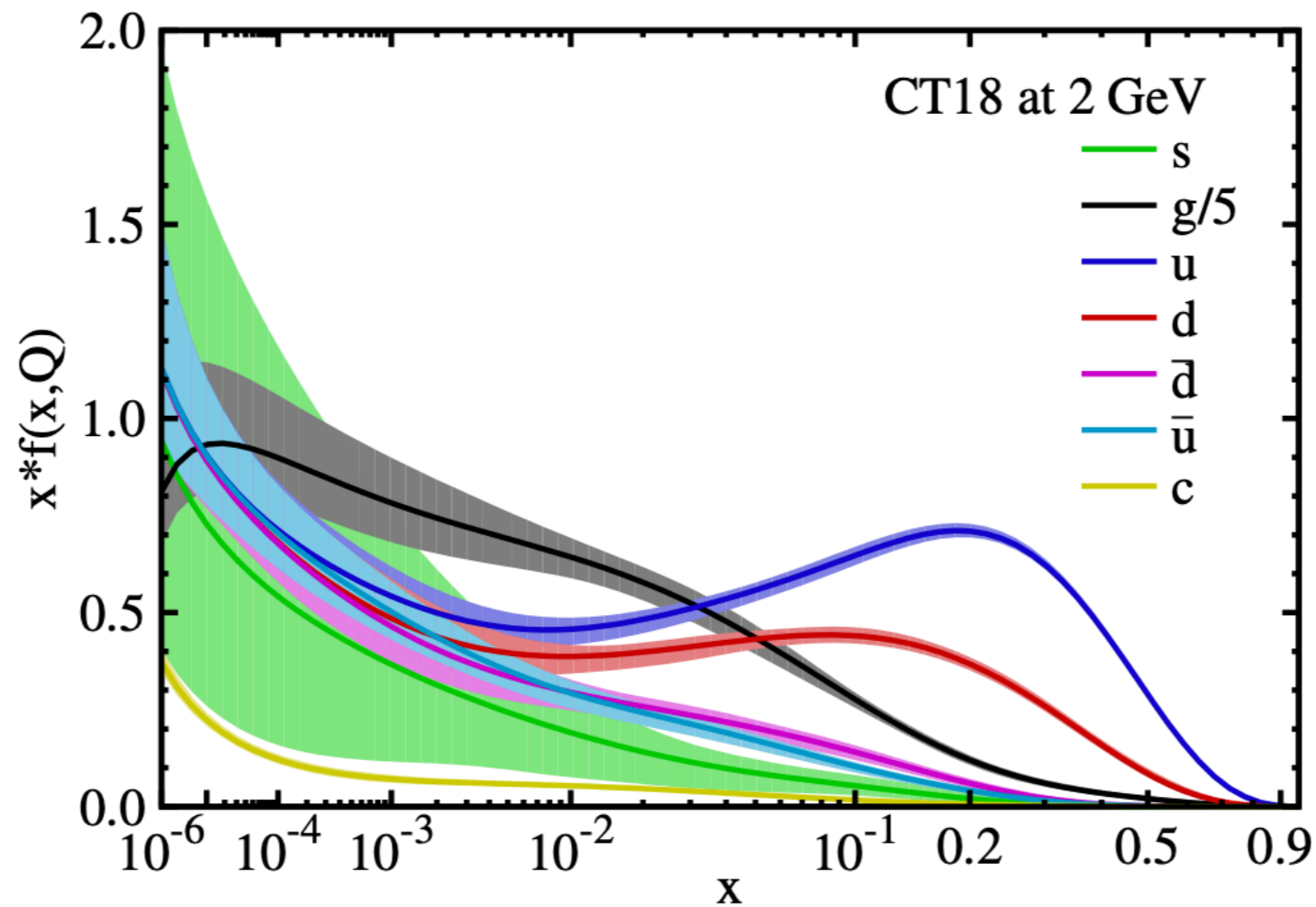
To focus on the study of the **strange** quark distribution

Motivation

- Testing the universality of PDFs ,FFs...
- All the data must be studied using the **same** theoretical framework
- First step: (first) **simultaneous** analysis of **unpolarized** PDFs and FFs \longrightarrow Strange quark distribution

Motivation II

- The strange PDF is **less known** than the non-strange light flavors



CT18, [arXiv:1912.10053](https://arxiv.org/abs/1912.10053) [hep-ph]

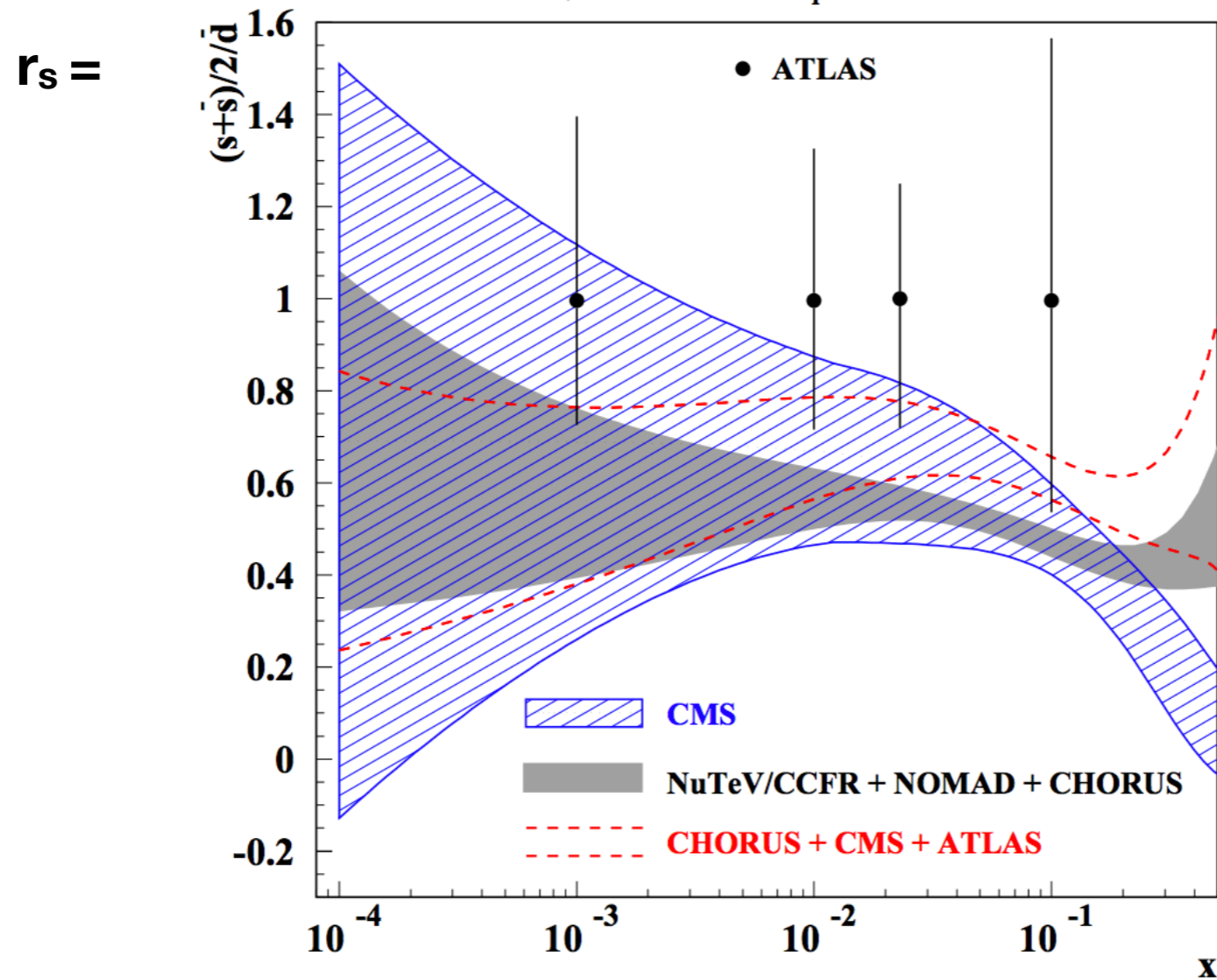
Motivation II

- Traditionally: **neutrino**-(heavy) **nucleus** DIS data used to extract the strange PDF.
 - Drawbacks: nuclear effects on PDFs.
- **W** and **Z** inclusive production in **p-p** collisions also sensitive to flavor separation
 - Drawbacks: tension between CMS and ATLAS results?

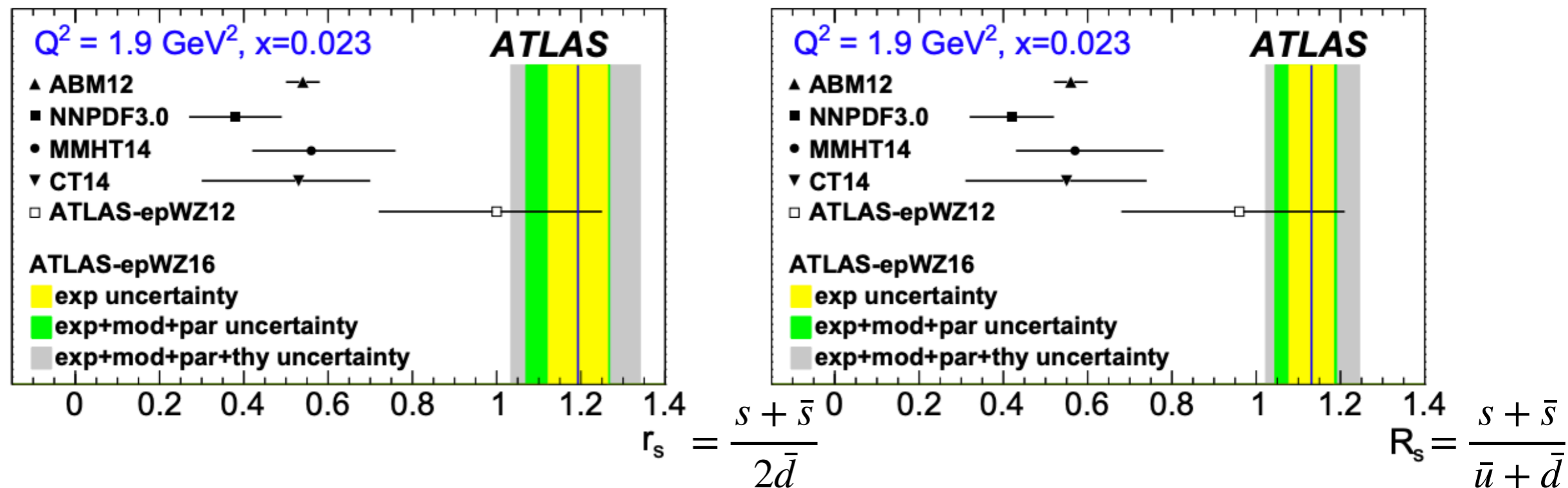
Motivation II

Alhekin et al., [arXiv:1404.6469](https://arxiv.org/abs/1404.6469) [hep-ph]

$\mu^2=1.9 \text{ GeV}^2, n_f=3$



Motivation II



ATLAS Collaboration, [arXiv:1612.03016](https://arxiv.org/abs/1612.03016) [hep-ex]

Why don't we use
SIDIS?

Setup: data

$$W^2 > 10 \text{ GeV}^2$$

$$Q^2 > m_c^2$$

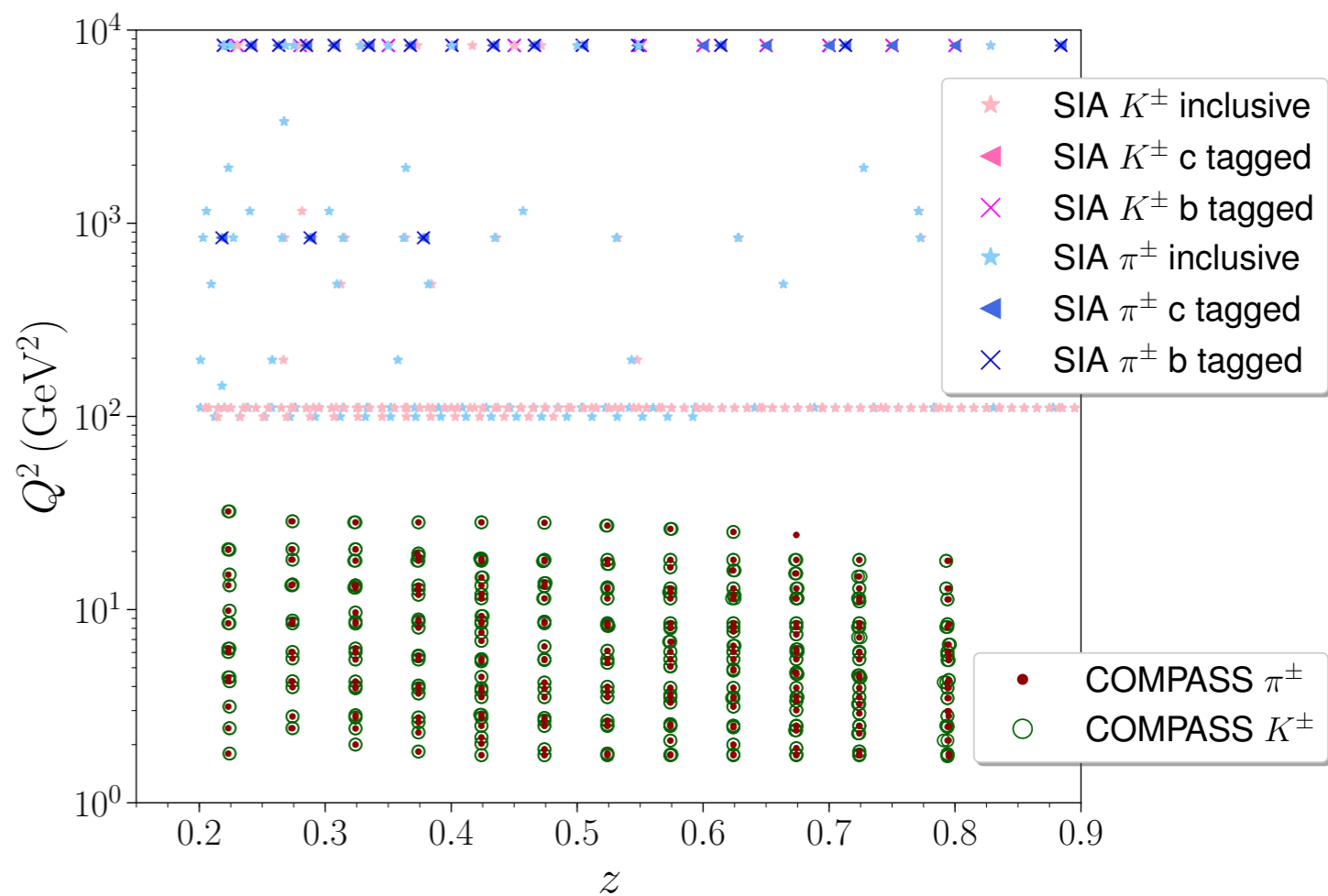
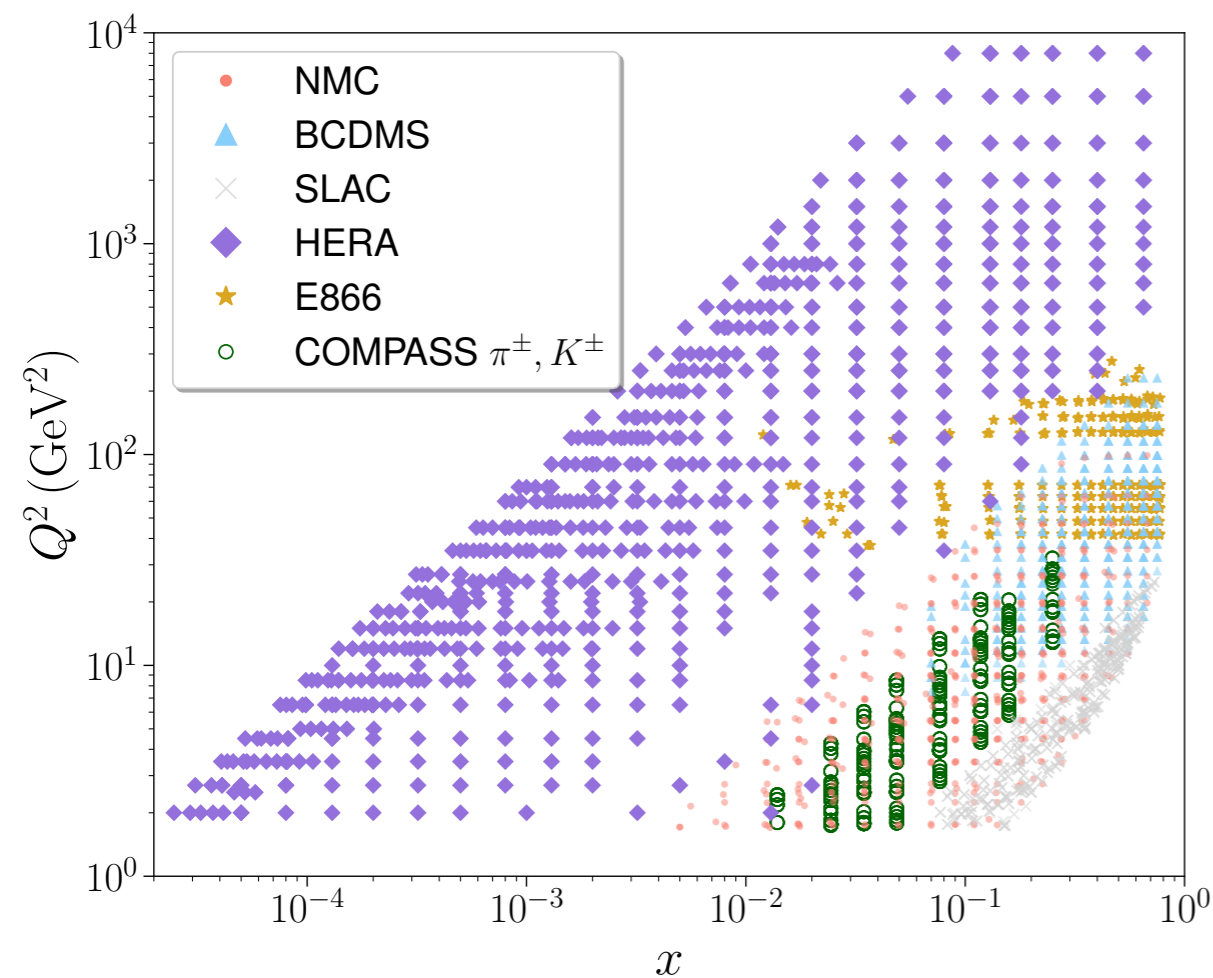
$$\text{DIS} : l + (p, d) \rightarrow l' + X$$

$$\text{DY} : l + (p, d) \rightarrow l\bar{l} + X$$

$$\text{SIDIS} : l + d \rightarrow l' + h + X$$

$$\text{SIA} : e^+ + e^- \rightarrow h + X$$

4366 data points



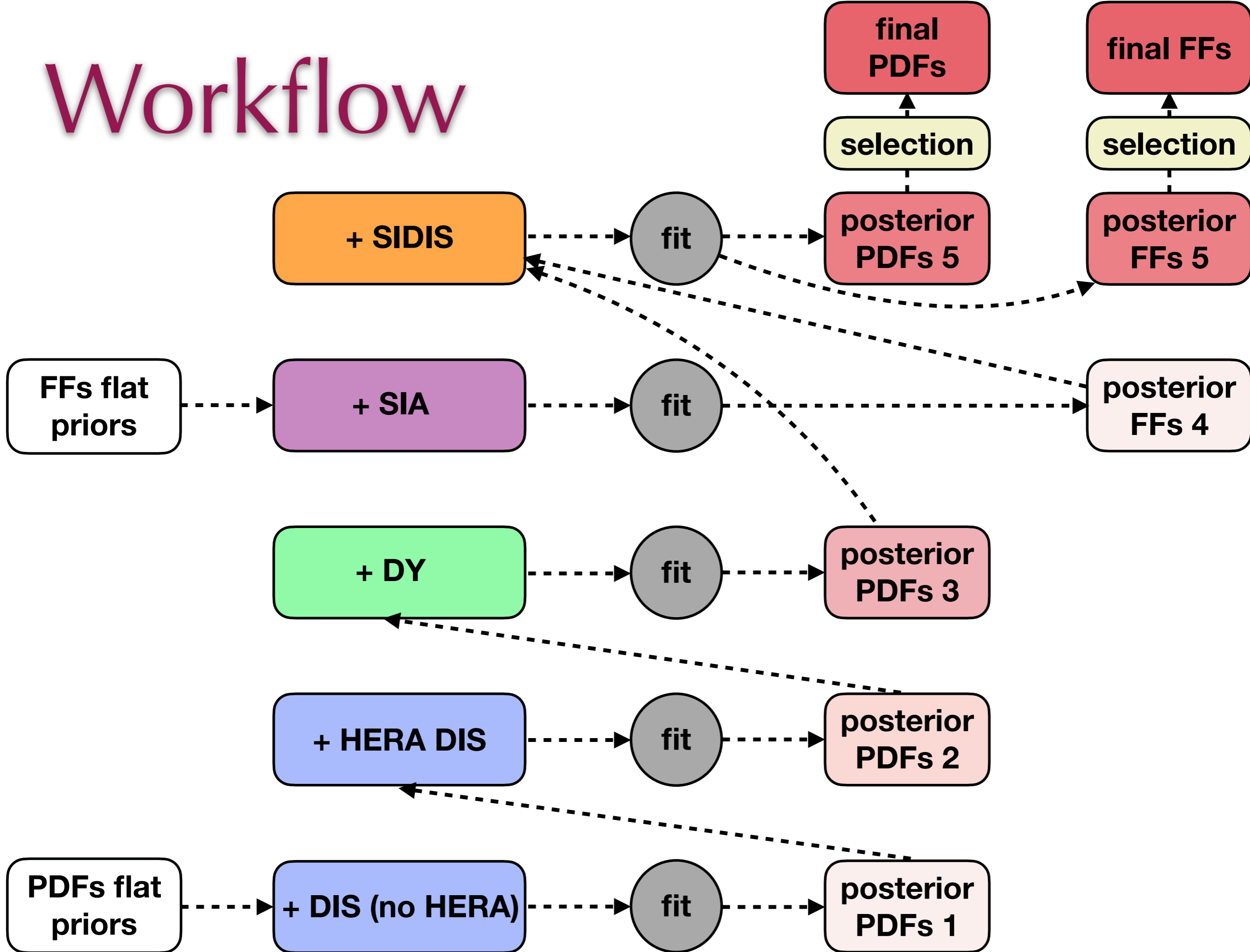
JAM19

methodology

Setup: theory

- All observables computed at NLO in pQCD
- DGLAP truncated evolution at order α_s in Mellin space
- DIS/SIDIS/SIA cross sections computed at leading twist
- Nuclear smearing for deuterium DIS
- Heavy quark treatment : ZM-VFN
- Fitting methodology:
 - MC (multi-steps), k-means clustering, extended reduced χ^2

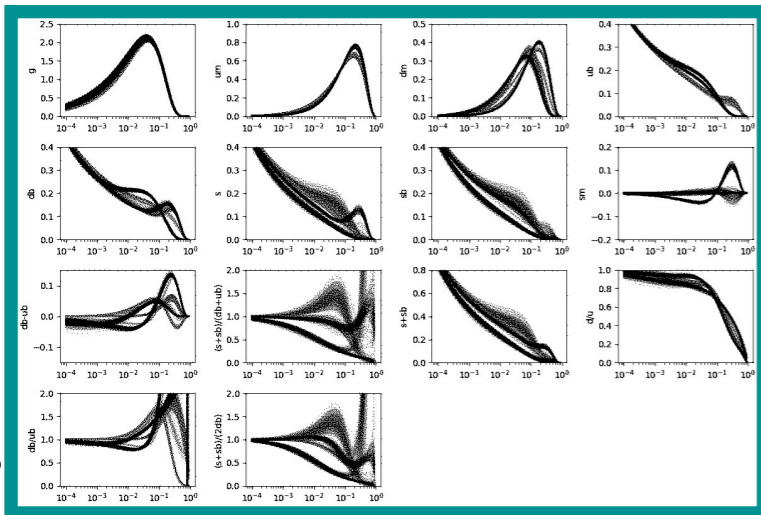
Workflow



JAM 19: multi-step fitting

PDFs

$xf(x)$

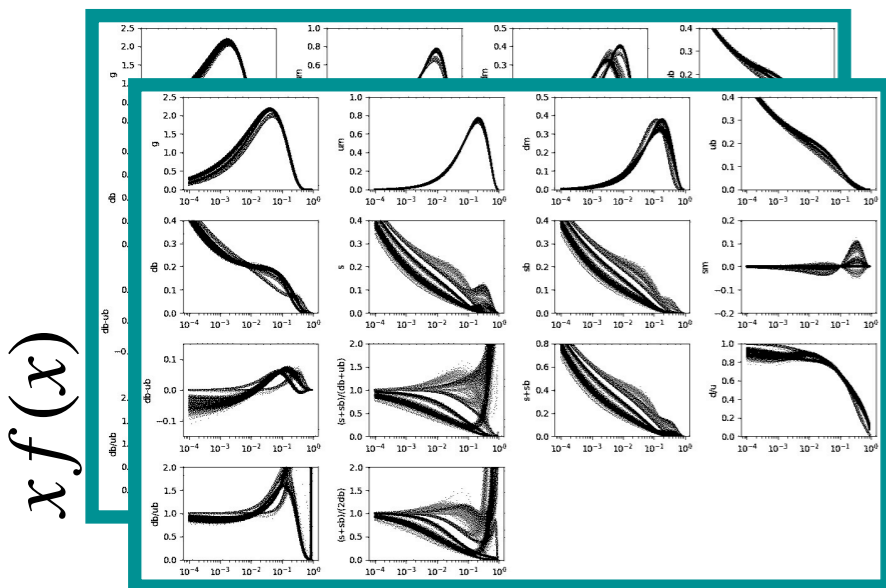


x

+ DIS data

JAM 19: multi-step fitting

PDFs



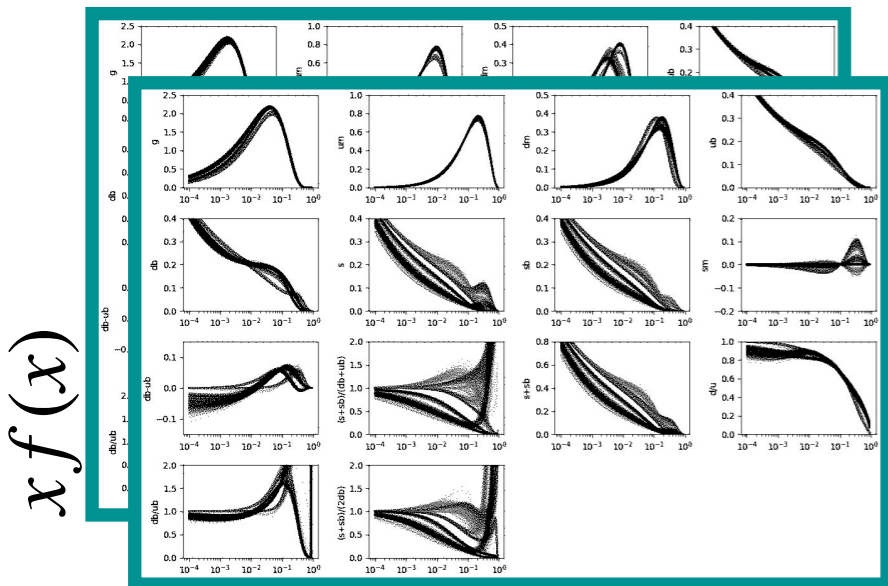
x

+ DIS data

+ DIS + DY data

JAM 19: multi-step fitting

PDFs



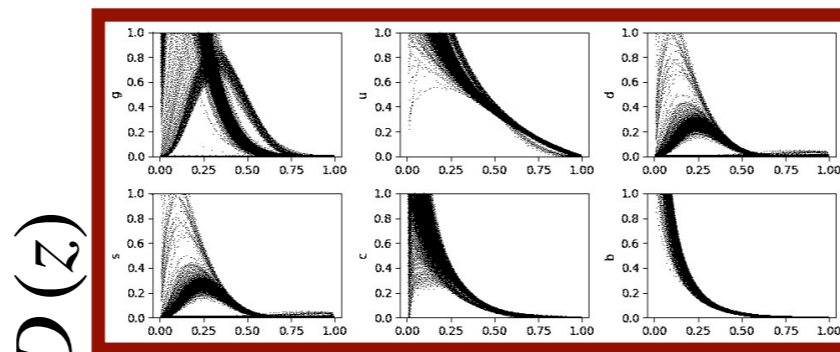
$x f(x)$

x

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+ DIS + DY data

PION FF



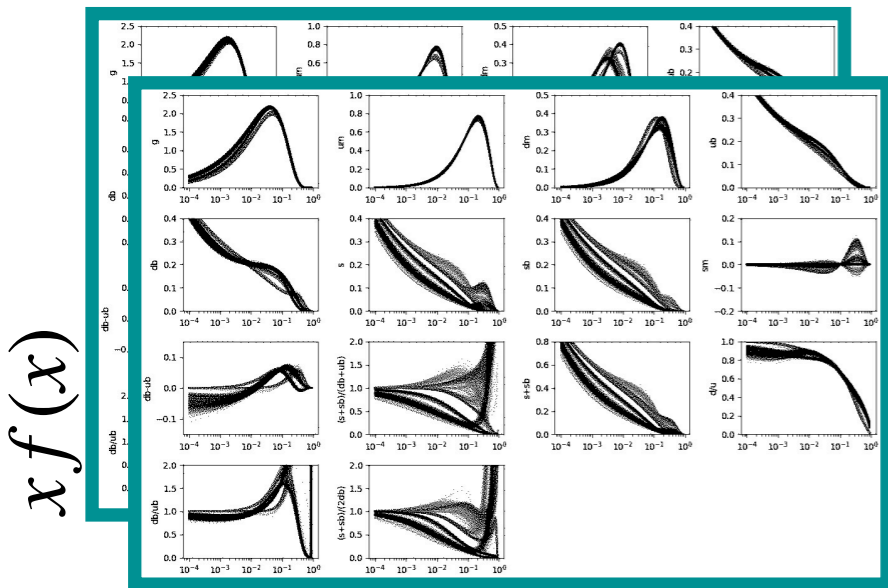
$z D(z)$

z

+ SIA pion data

JAM 19: multi-step fitting

PDFs



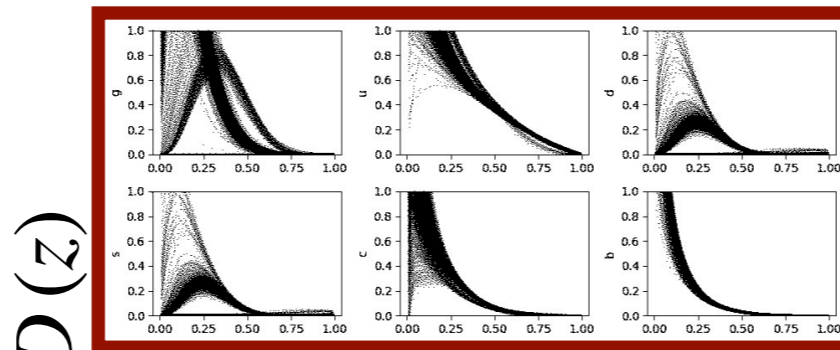
$x f(x)$

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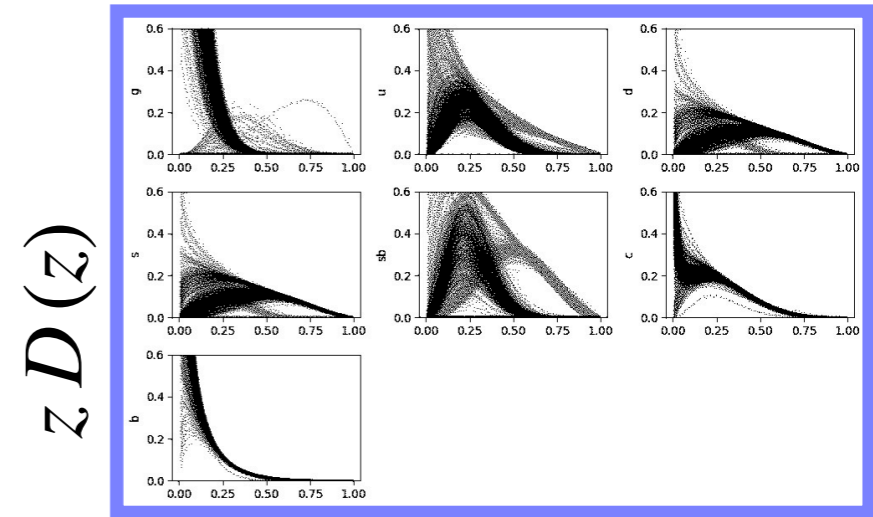


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KAON FF



$z D(z)$

z

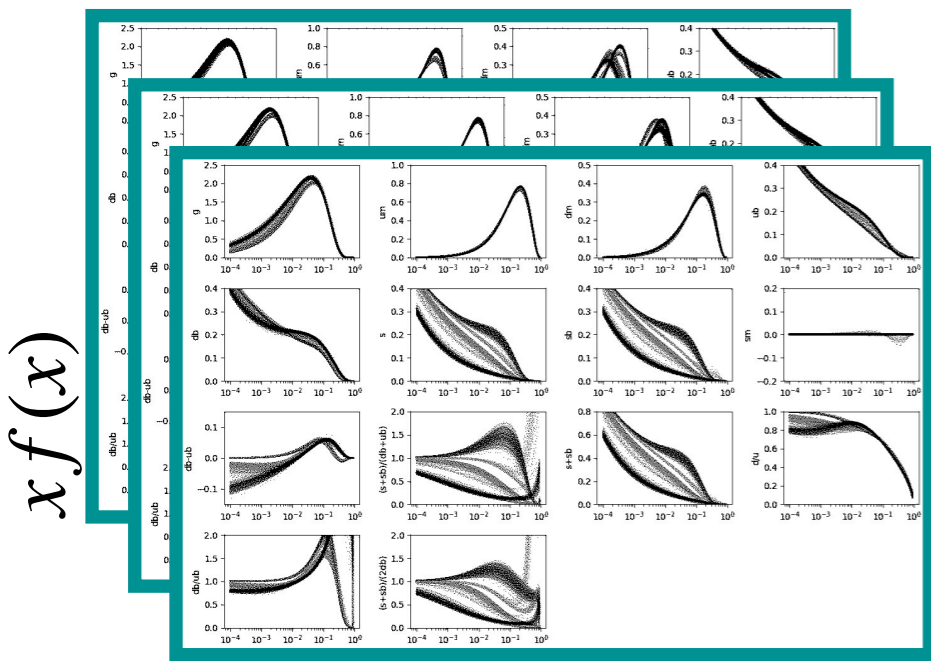
+ SIA kaon data

JAM 19: multi-step fitting

PDFs

PION FF

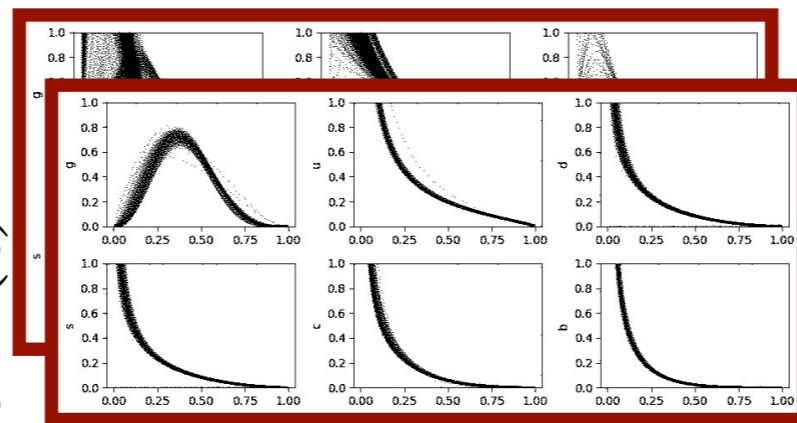
KAON FF



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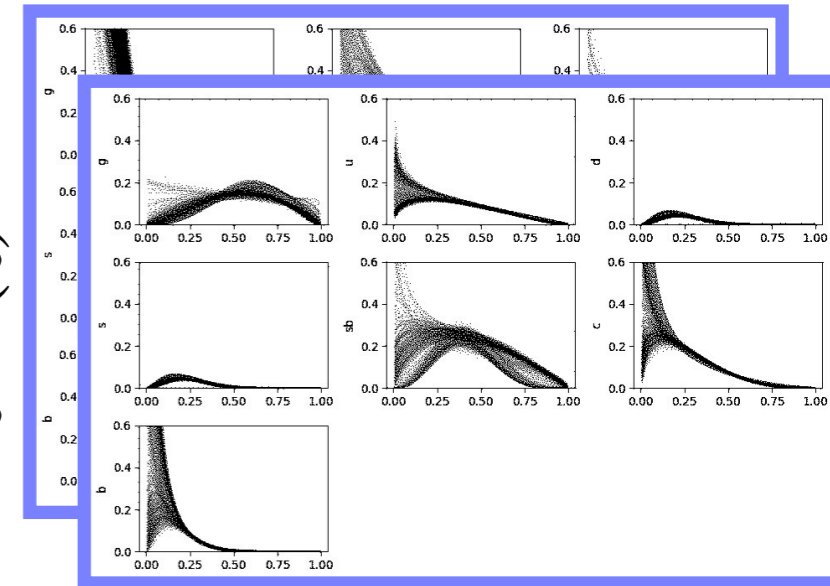
x

$z D(z)$



z

$z D(z)$



z

+ DIS data

+ DIS + DY data

+ SIDIS data

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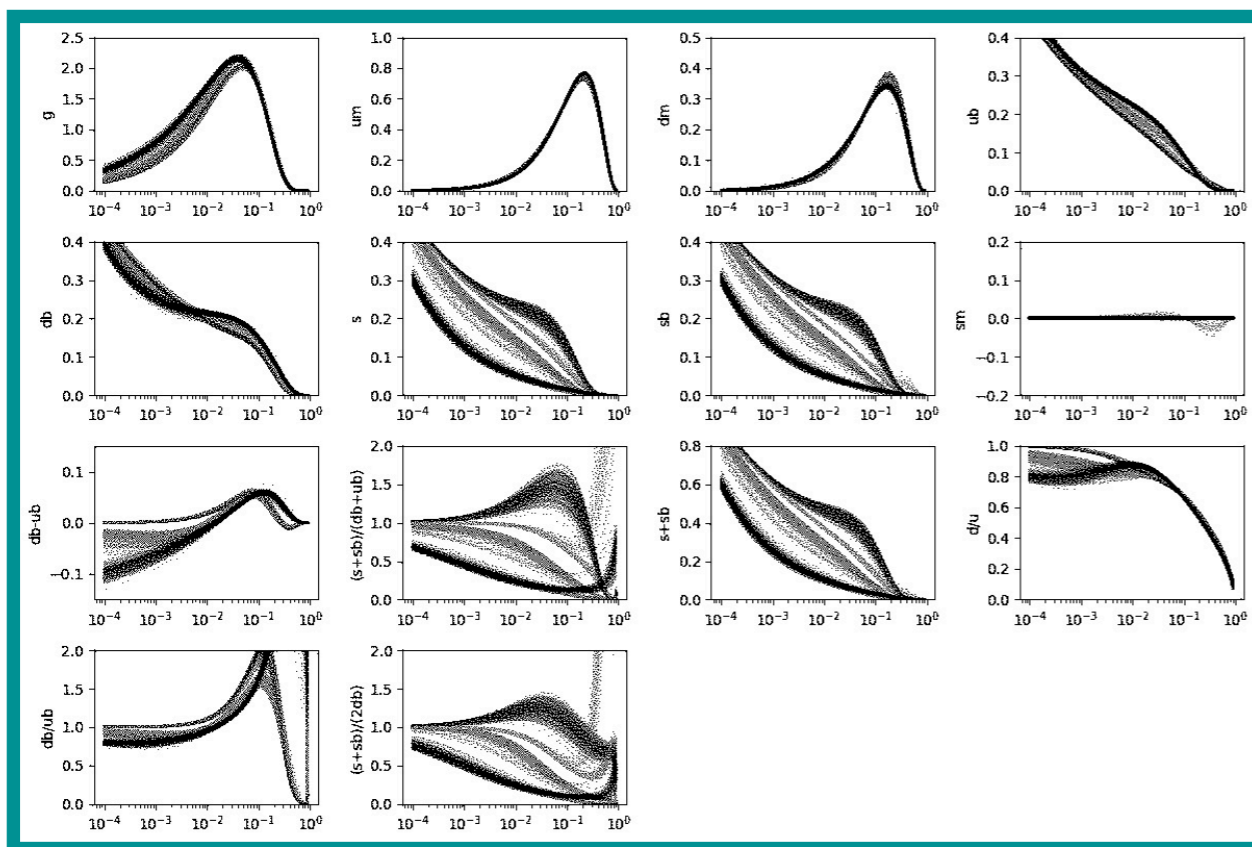
+ SIDIS pion data

+ SIA kaon data

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Discriminating multiple solutions

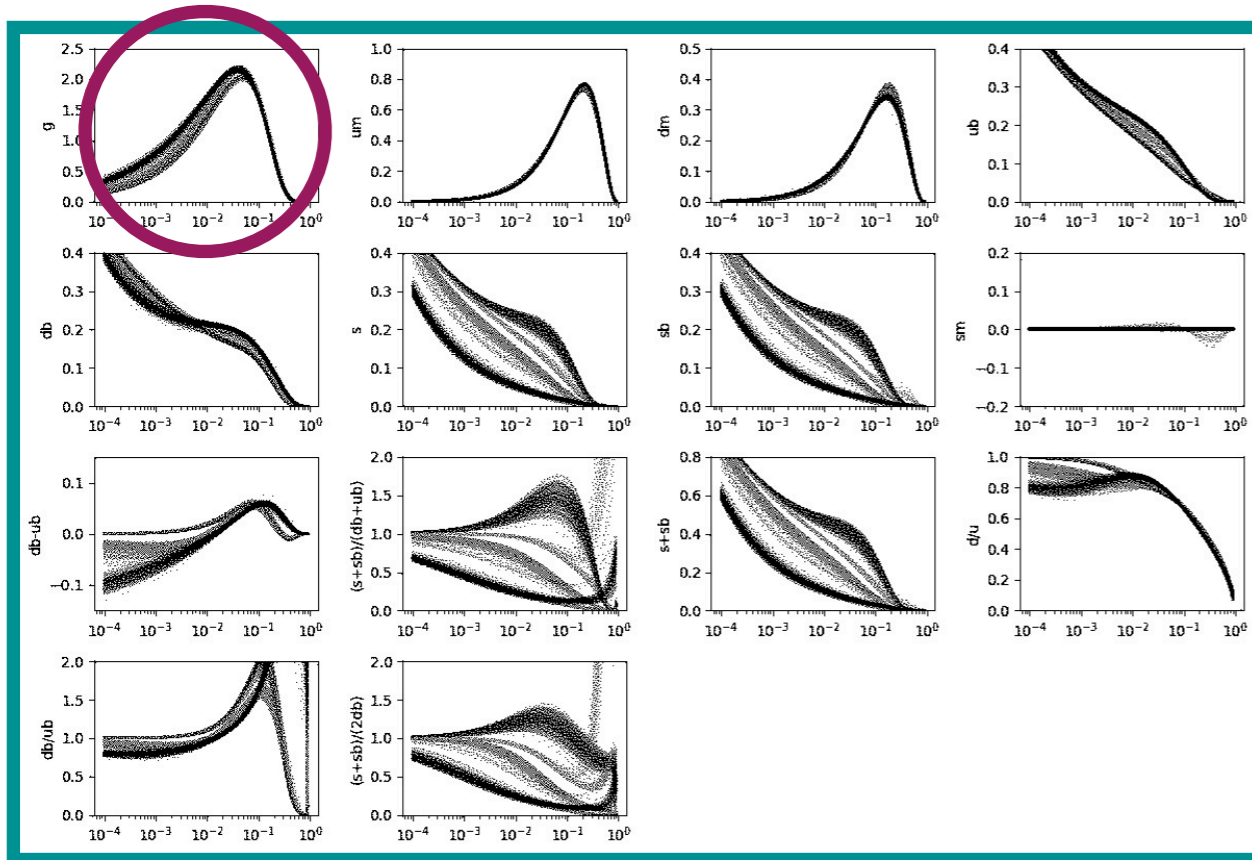
$x f(x)$



x

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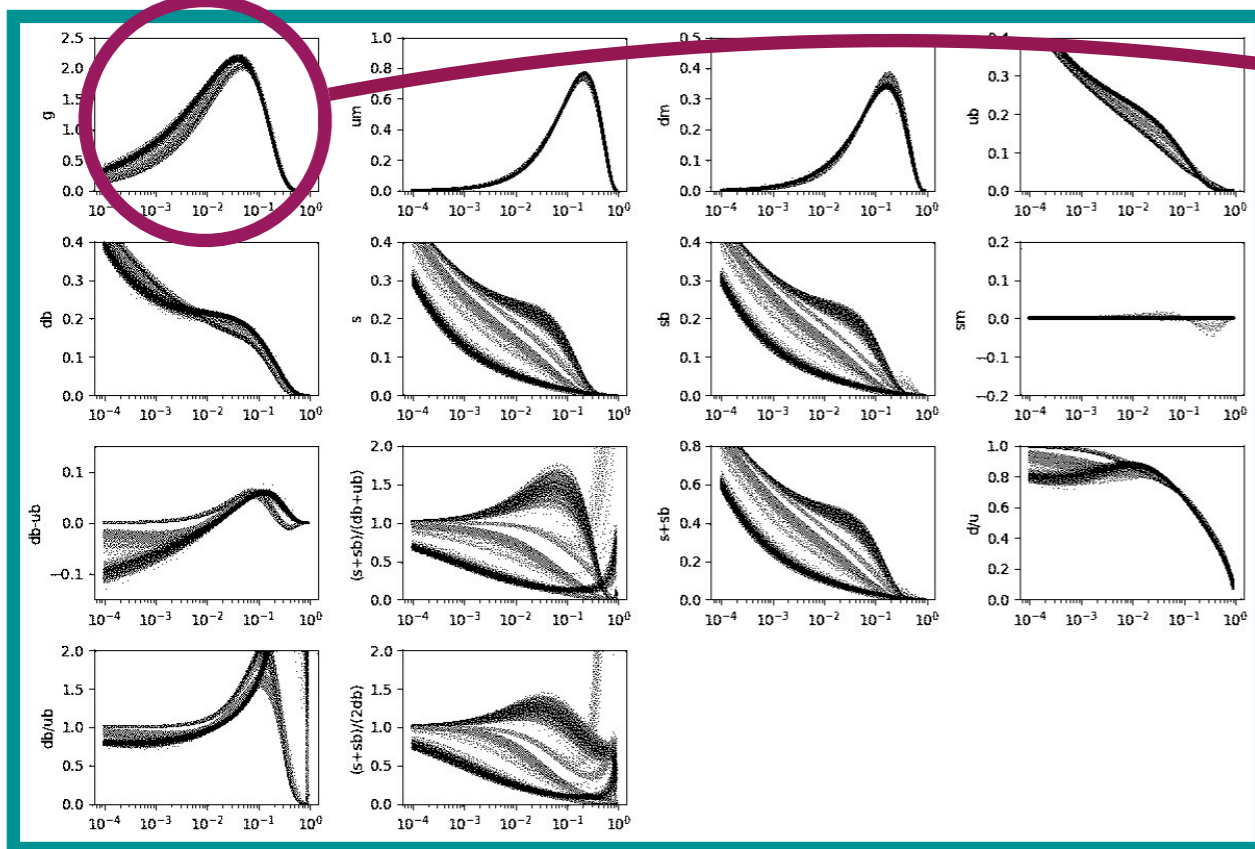
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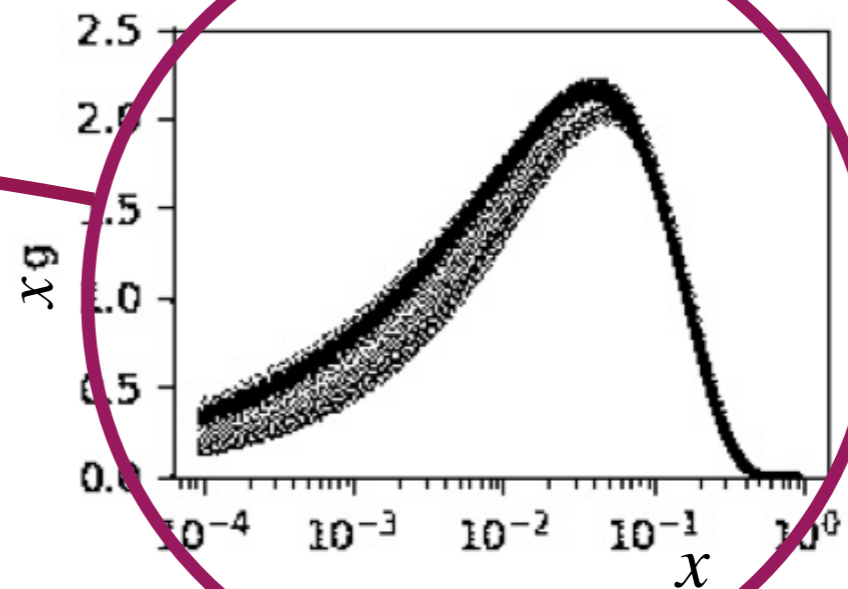
x

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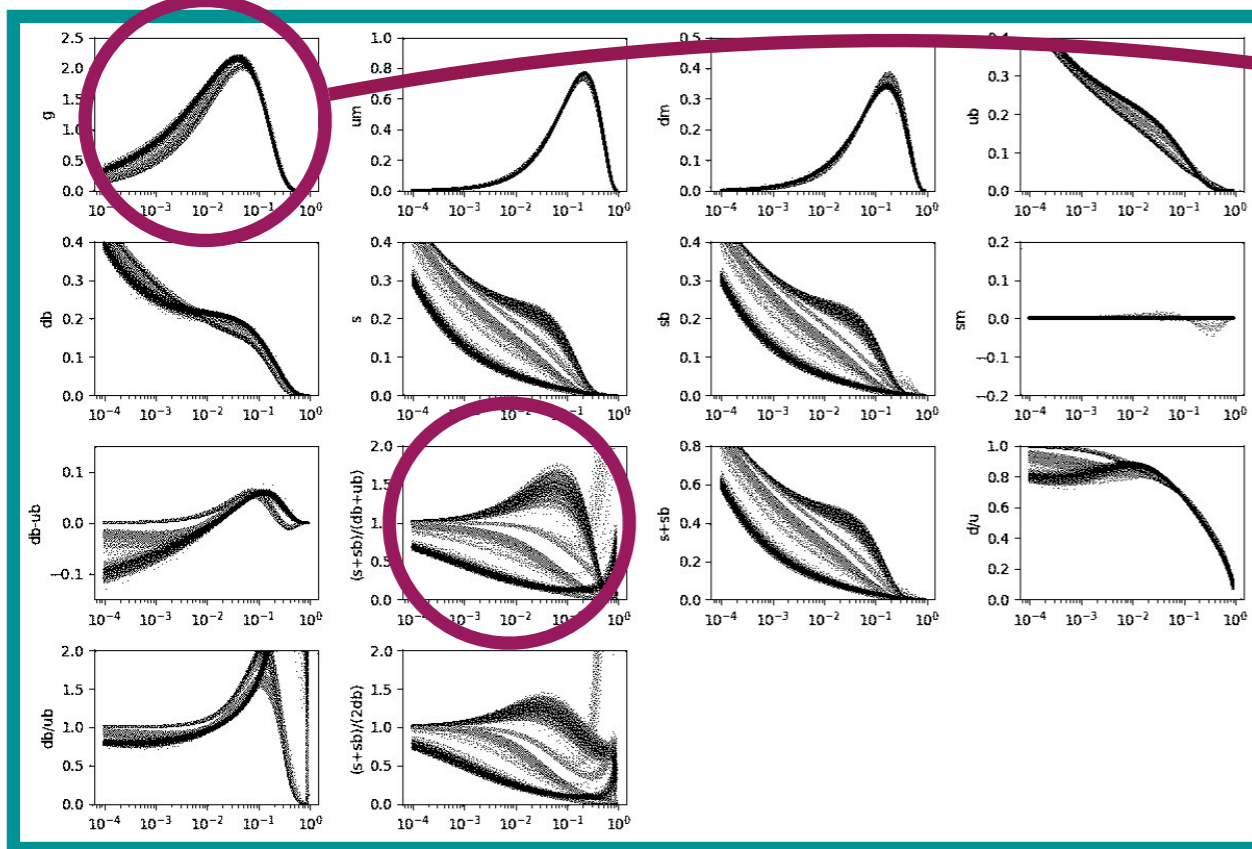


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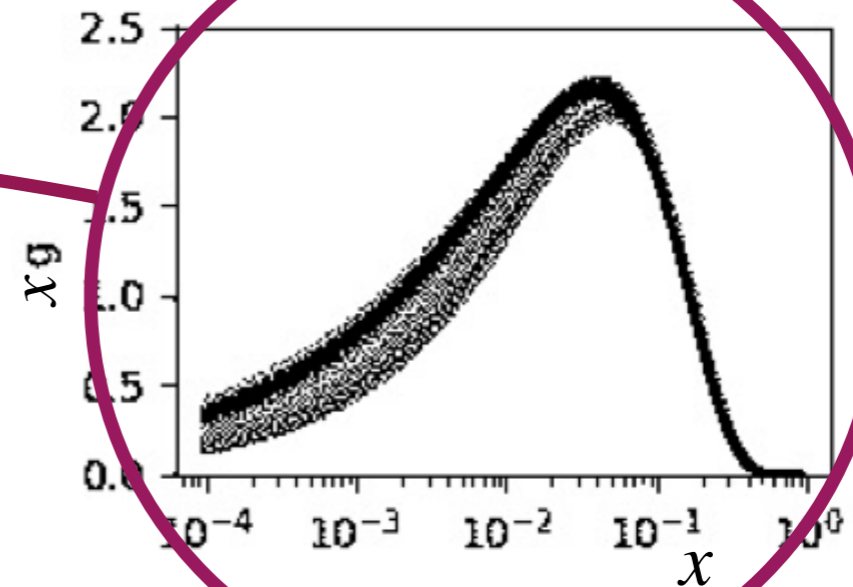


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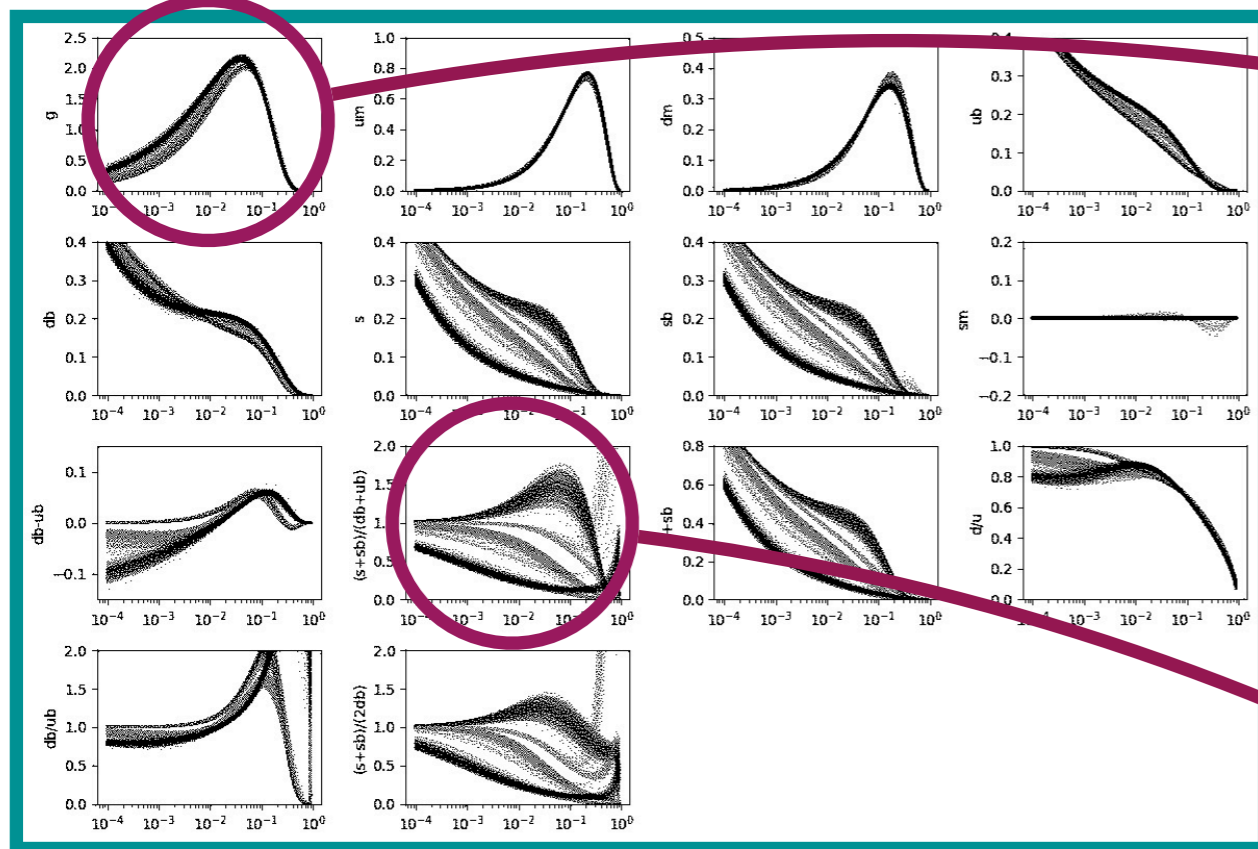


x



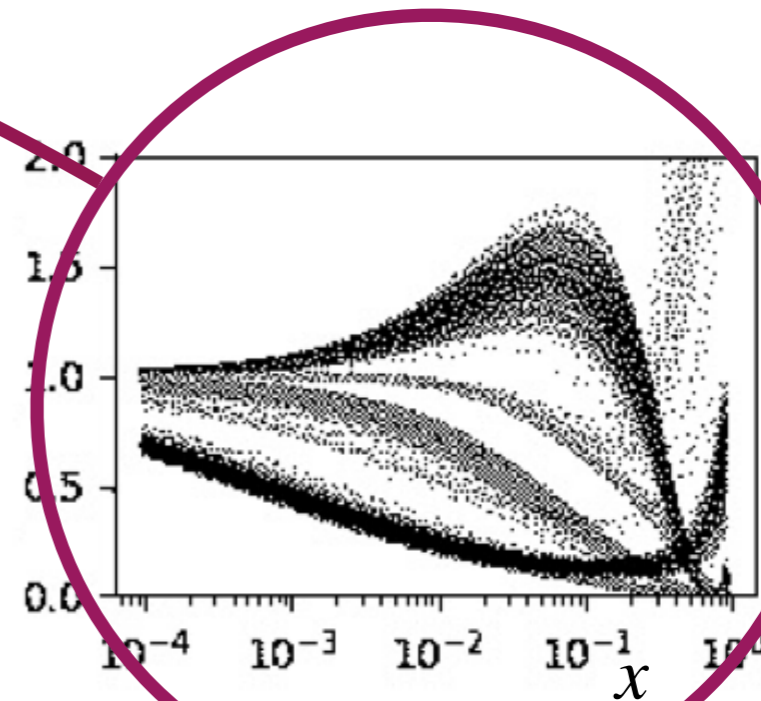
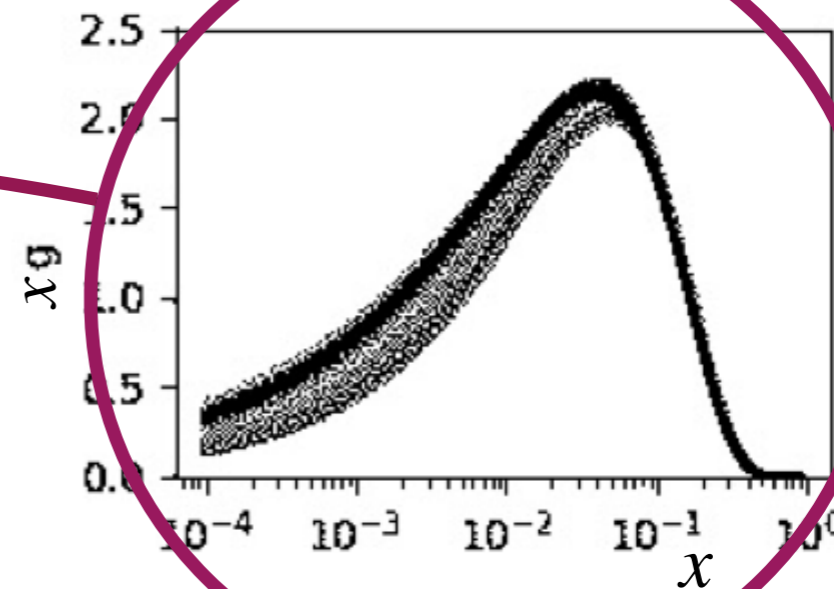
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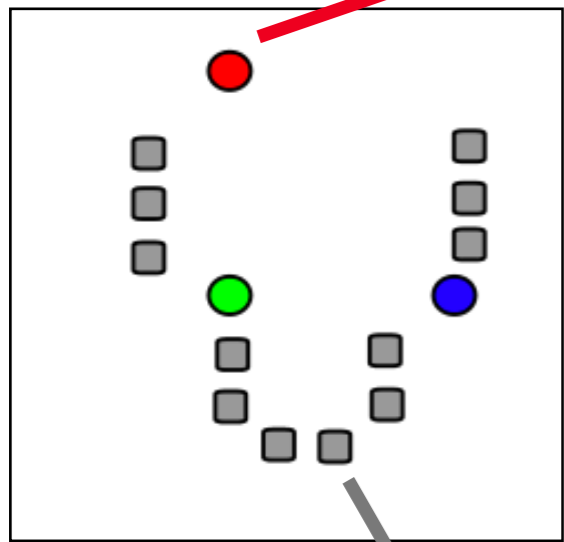
$$R_s = \frac{s + \bar{s}}{\bar{u} + \bar{d}}$$



k-means clustering

E.g. $f(x) = x^\alpha (1-x)^\beta$

(α^*, β^*) : centroid



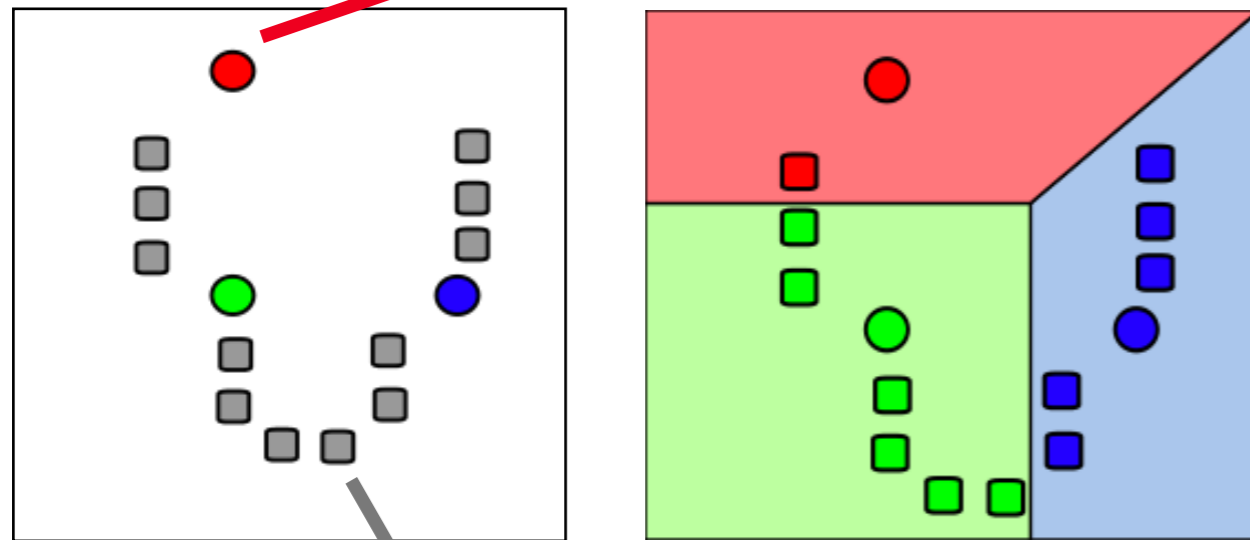
Initialization

(α_i, β_i) : replica

k-means clustering

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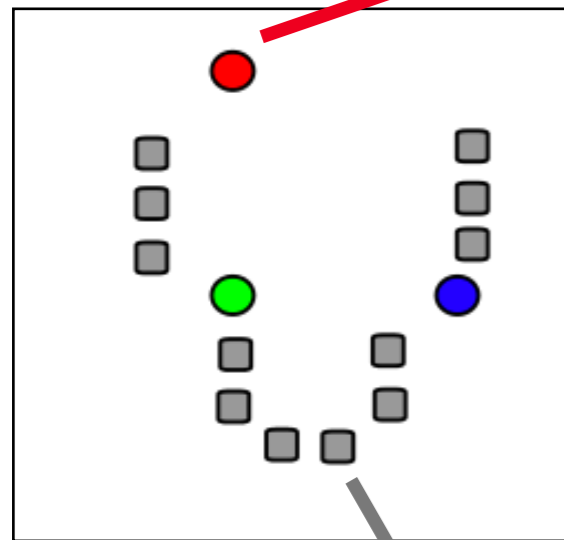
Assignment

(α_i, β_i) : replica

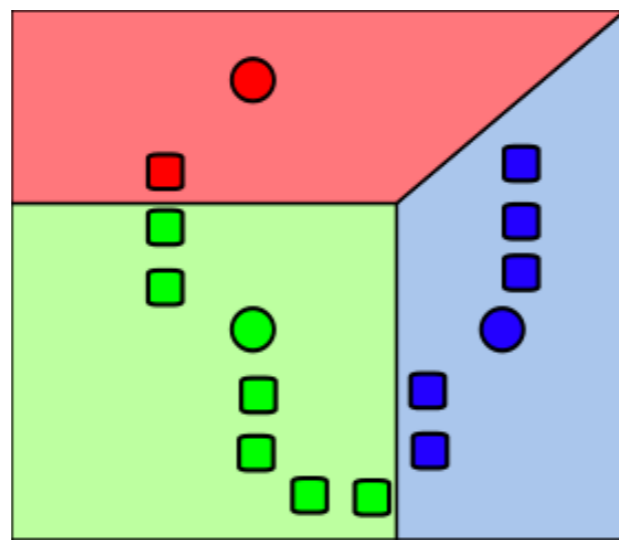
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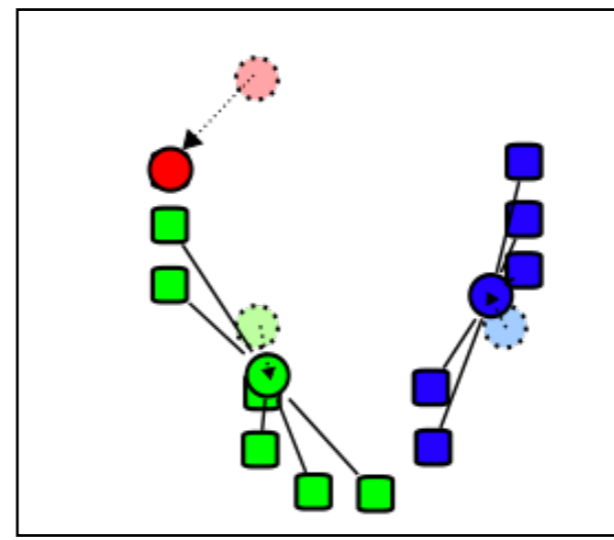
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Initialization



Assignment



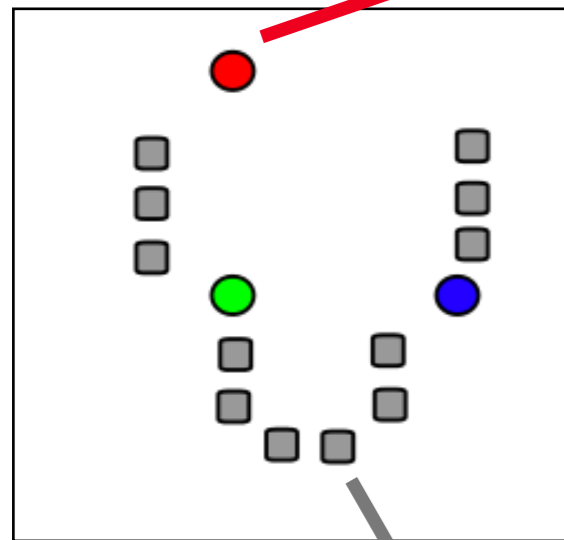
Update

(α_i, β_i) : replica

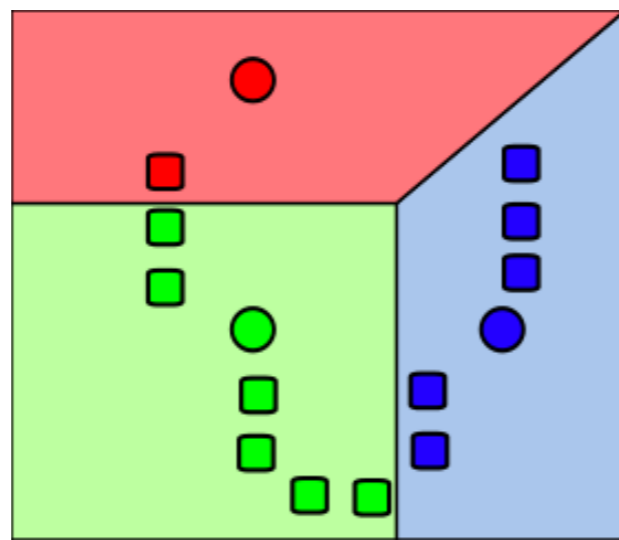
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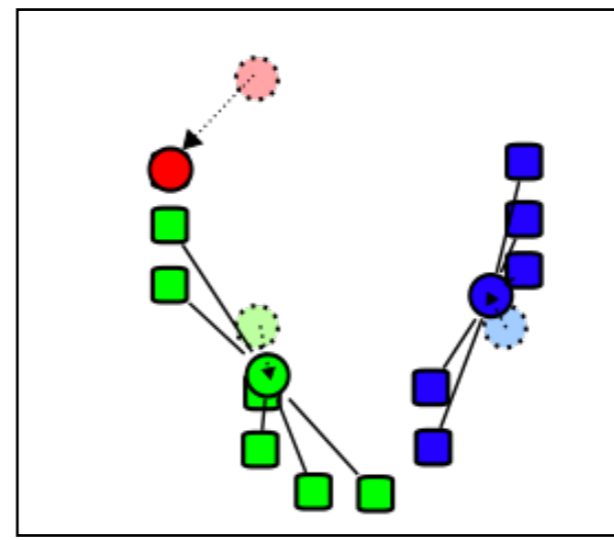
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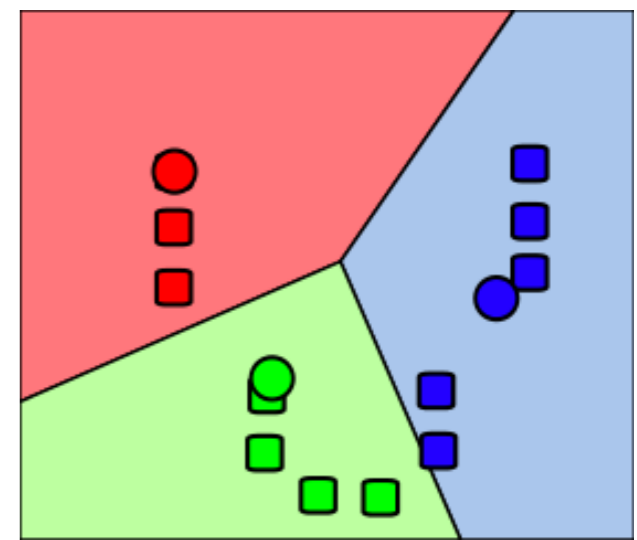
Initialization



Assignment



Update



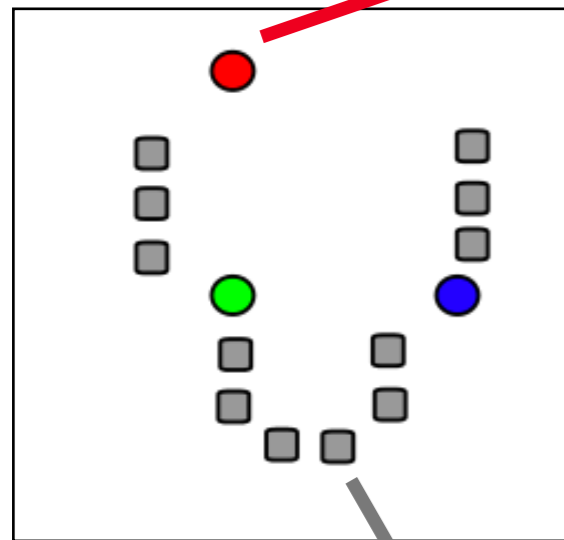
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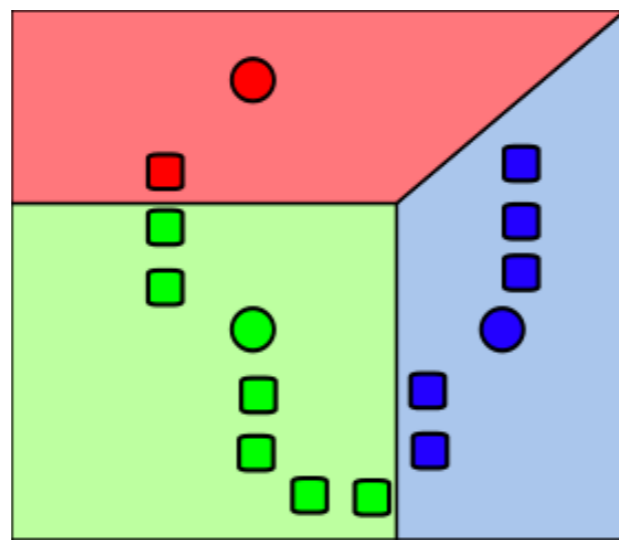
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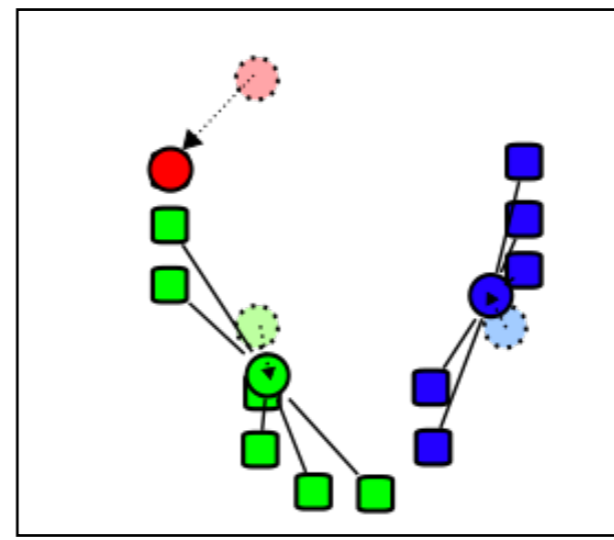
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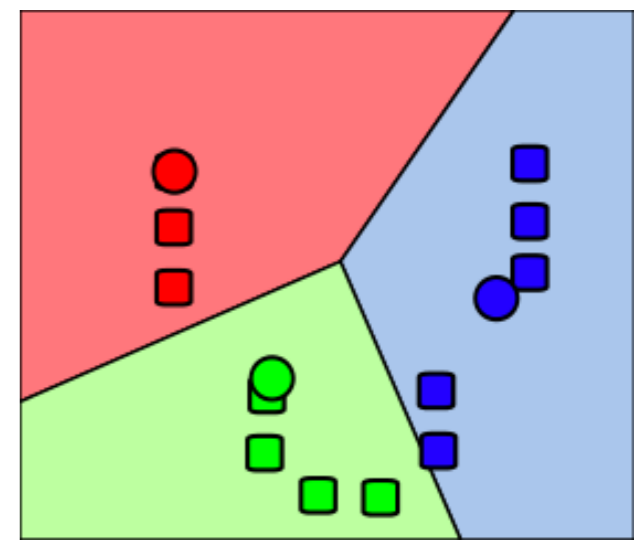
Initialization



Assignment



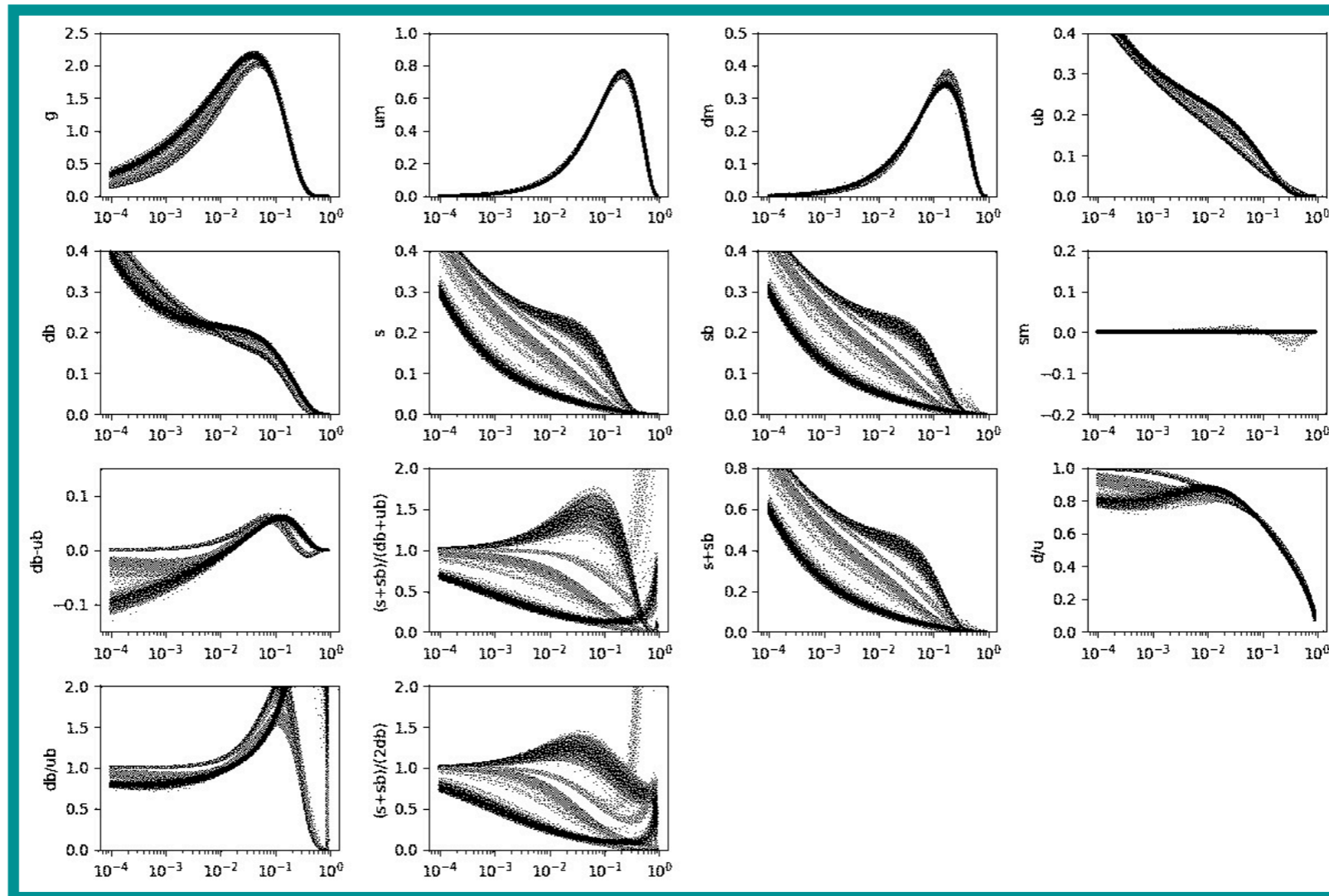
Update



Assignment

Repeat until convergence

Discriminating multiple solutions

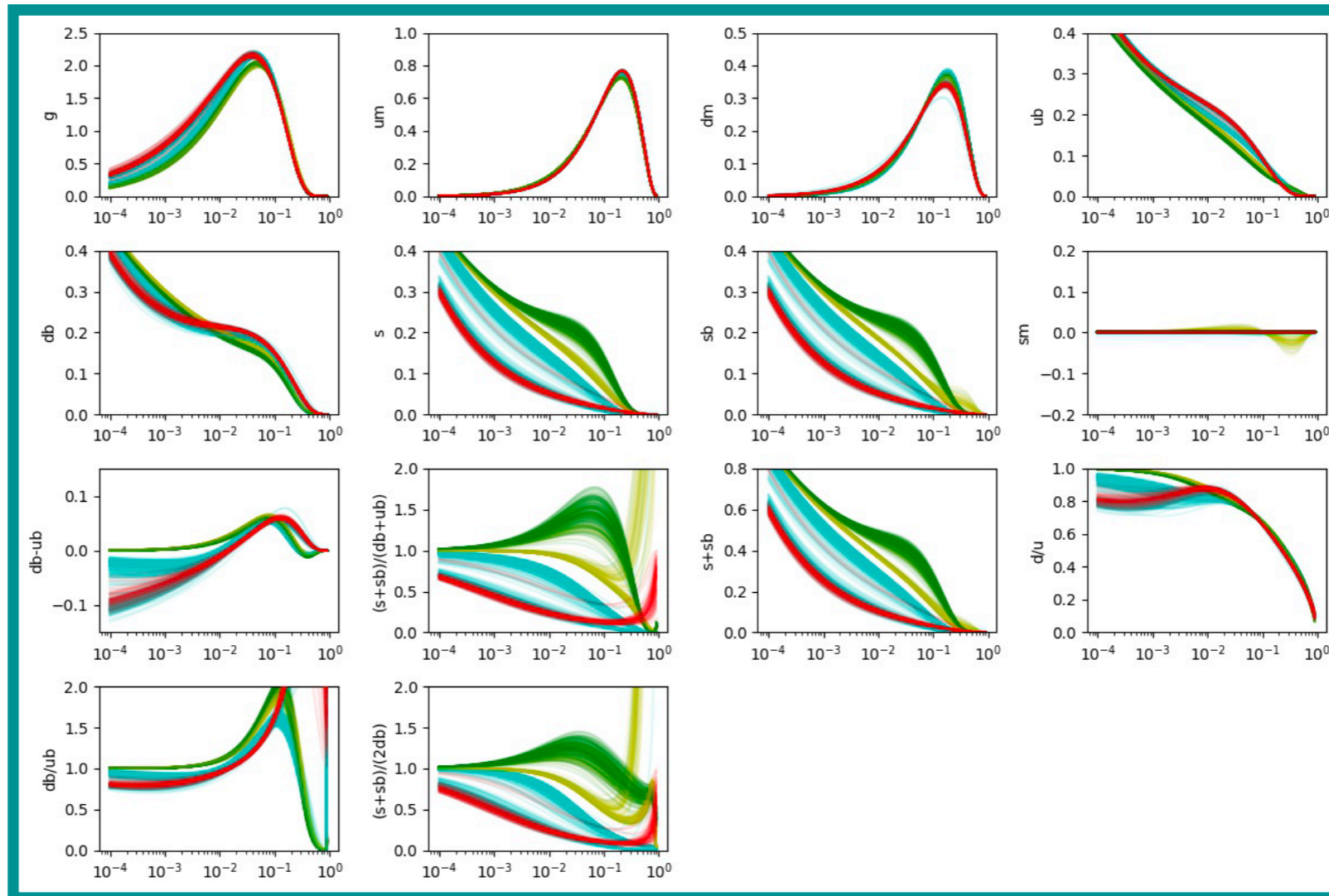


+ DIS data

+ DIS + DY data

+ SIDIS data

Discriminating multiple solutions



+ DIS data

+ DIS + DY data

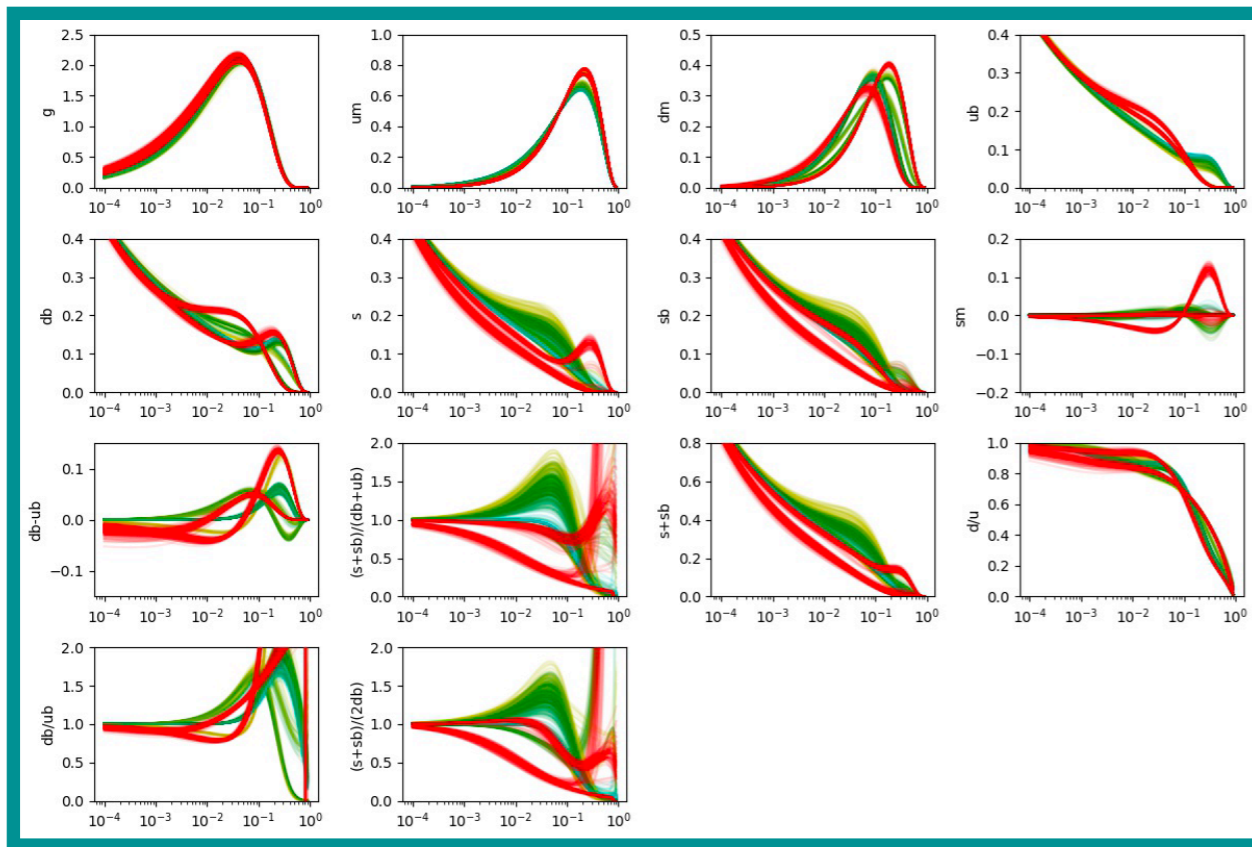
+ SIDIS data

Constraints on R_s

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PDFs

$x f(x)$



x

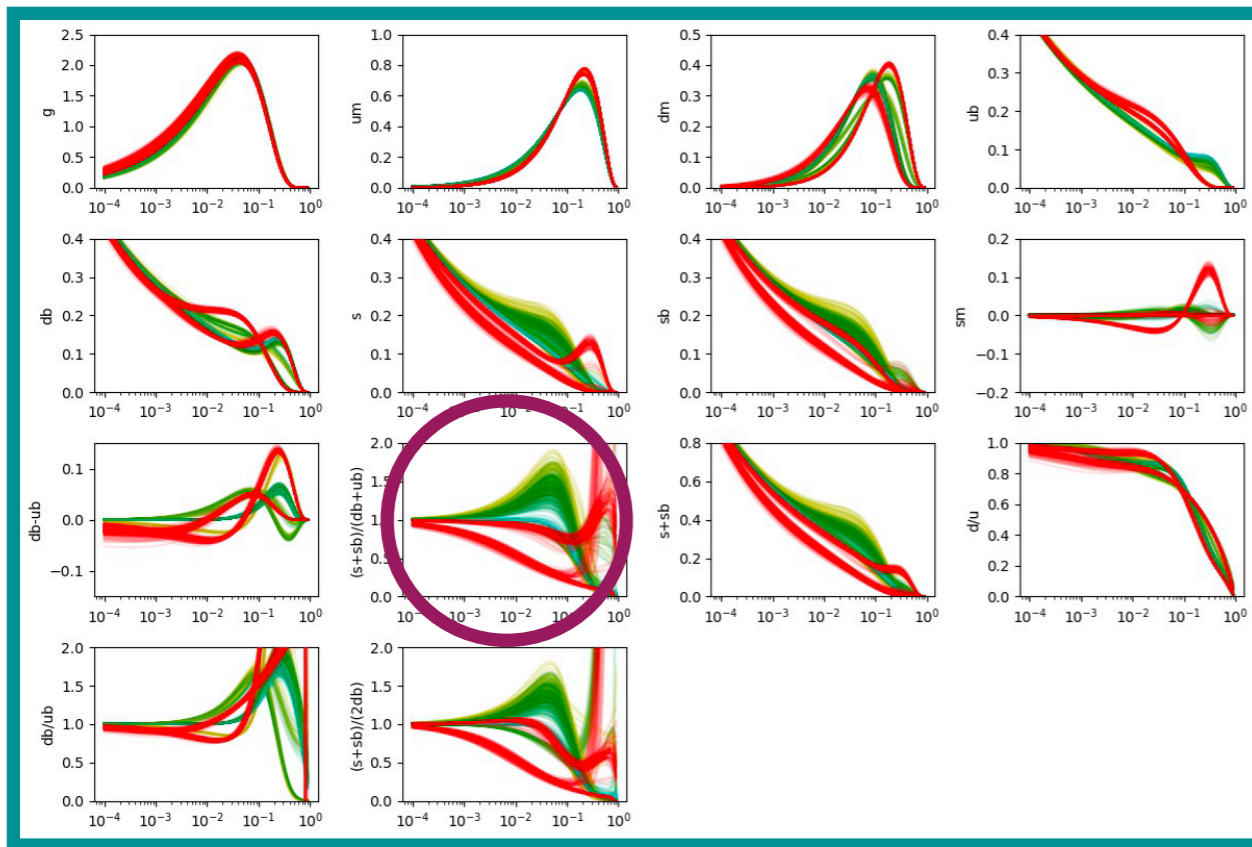
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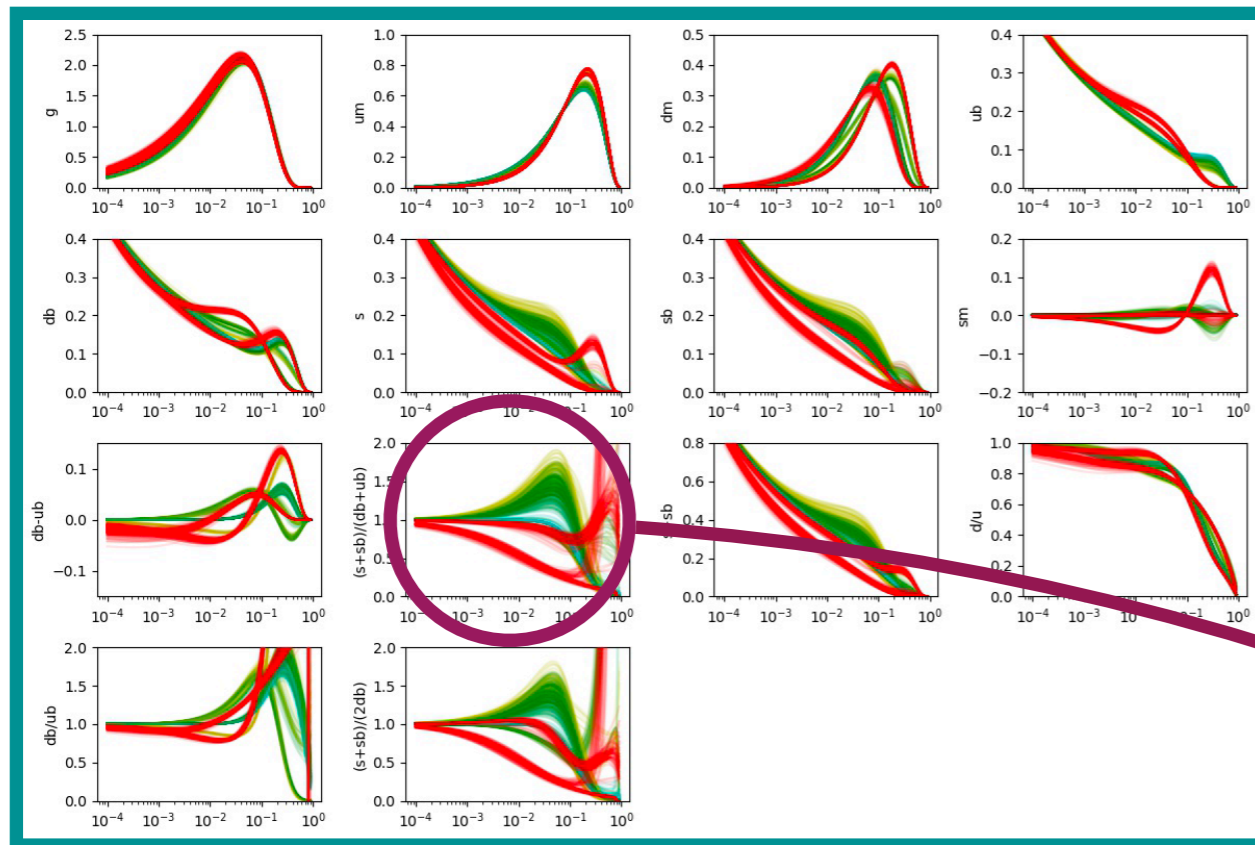
x

+ DIS data

Constraints on R_s

$$R_s = \frac{s + \bar{s}}{\bar{u} + \bar{d}}$$

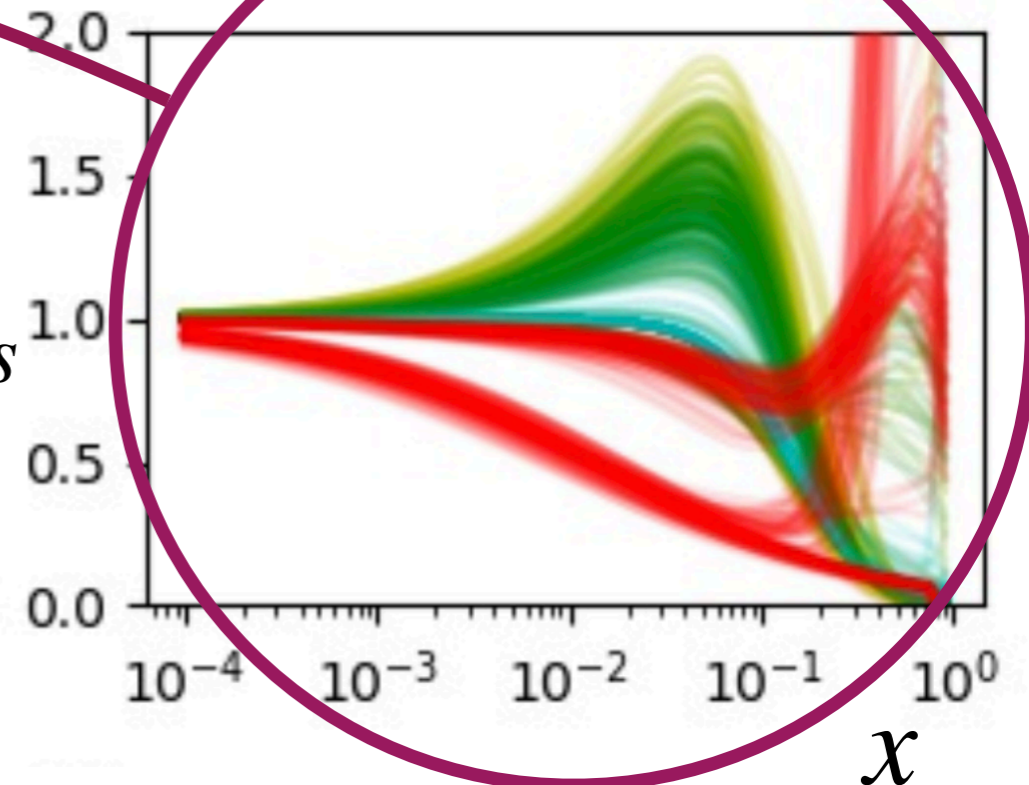
PDFs



x

+ DIS data

R_s



x

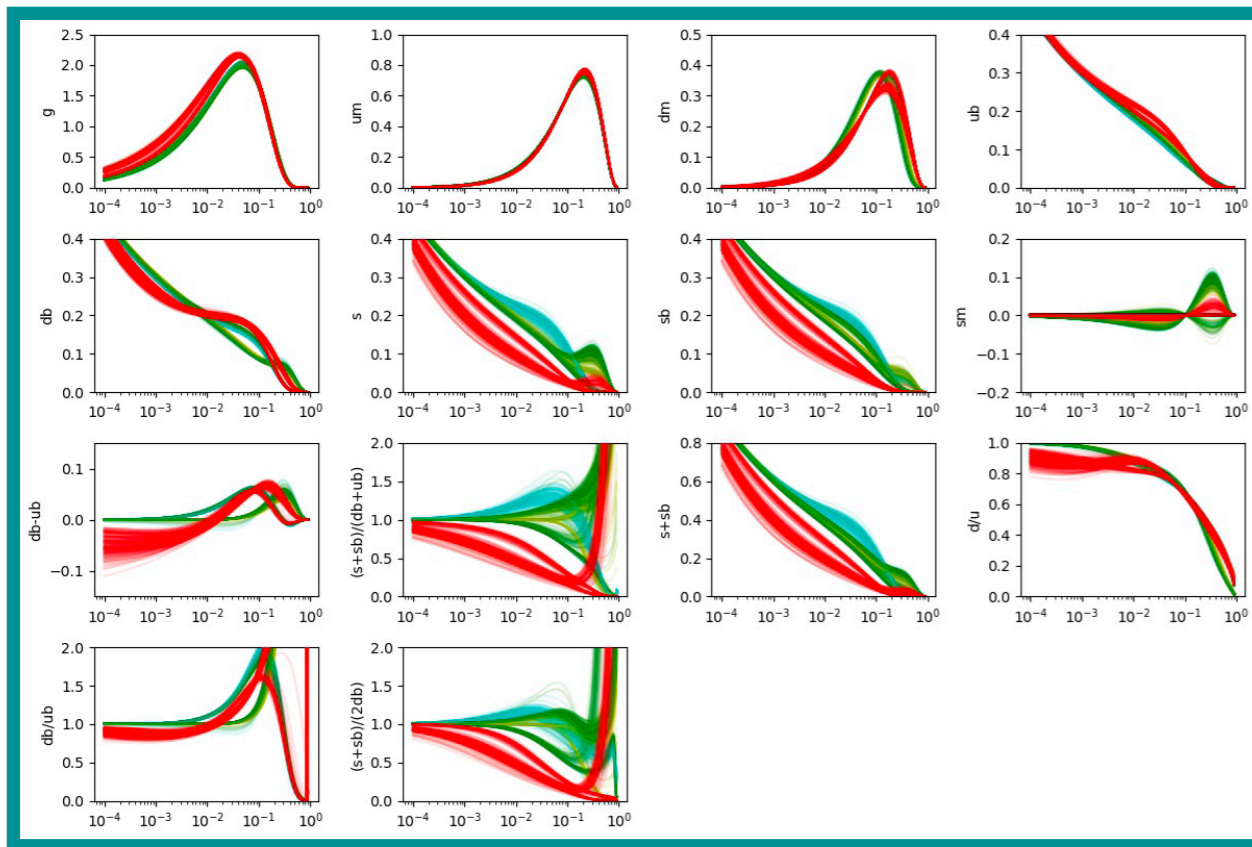
$x f(x)$

Constraints on R_s

$$R_s = \frac{s + \bar{s}}{\bar{u} + \bar{d}}$$

PDFs

$x f(x)$



x

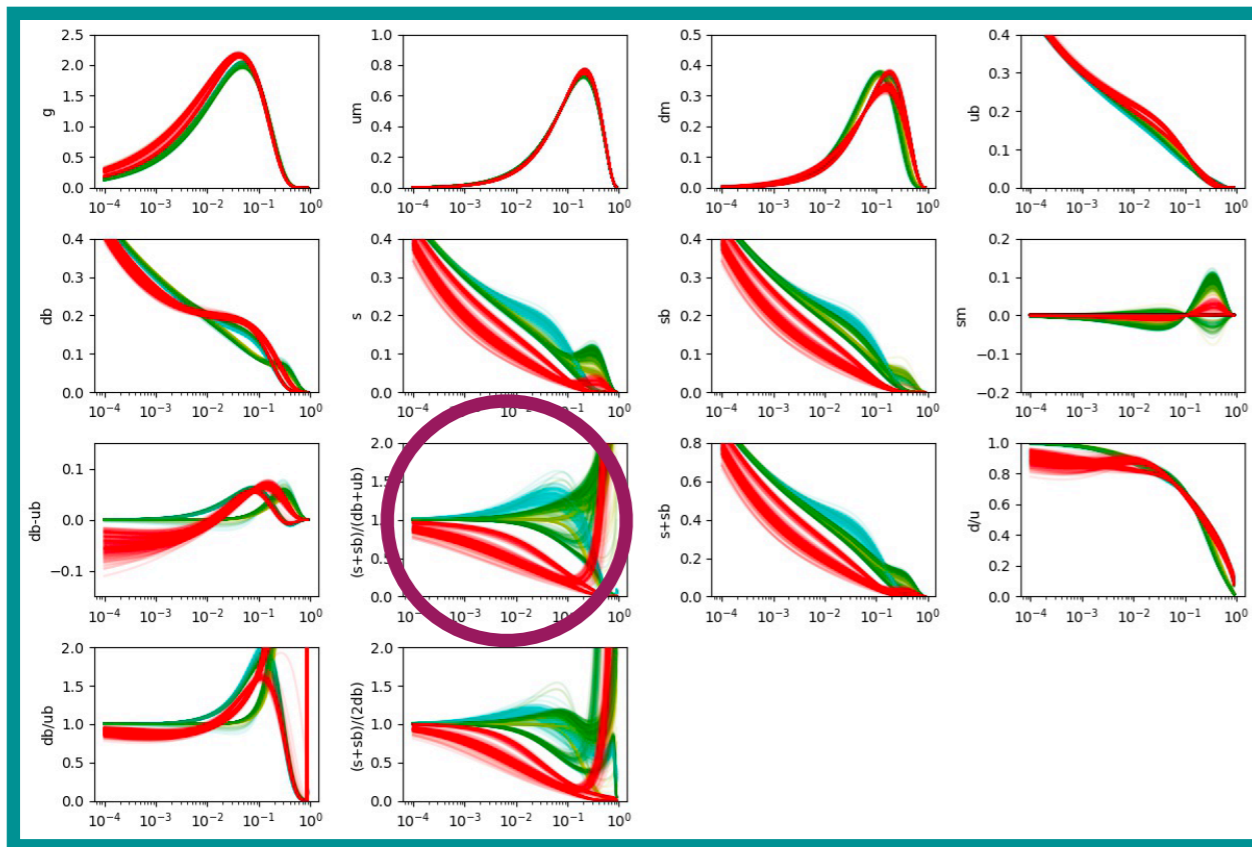
- + DIS data
- + DY data

Constraints on R_s

$$R_s = \frac{s + \bar{s}}{\bar{u} + \bar{d}}$$

PDFs

$x f(x)$



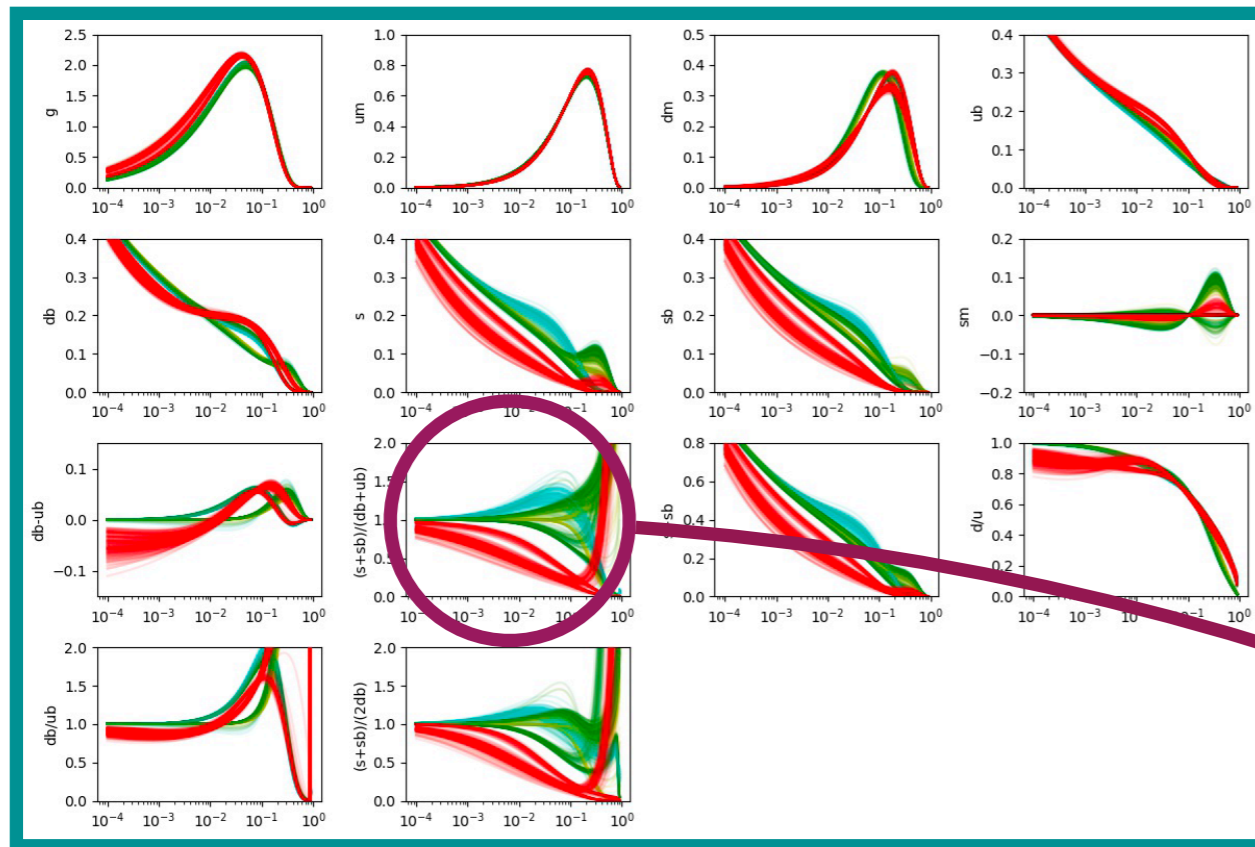
x

- + DIS data
- + DY data

Constraints on R_s

$$R_s = \frac{s + \bar{s}}{\bar{u} + \bar{d}}$$

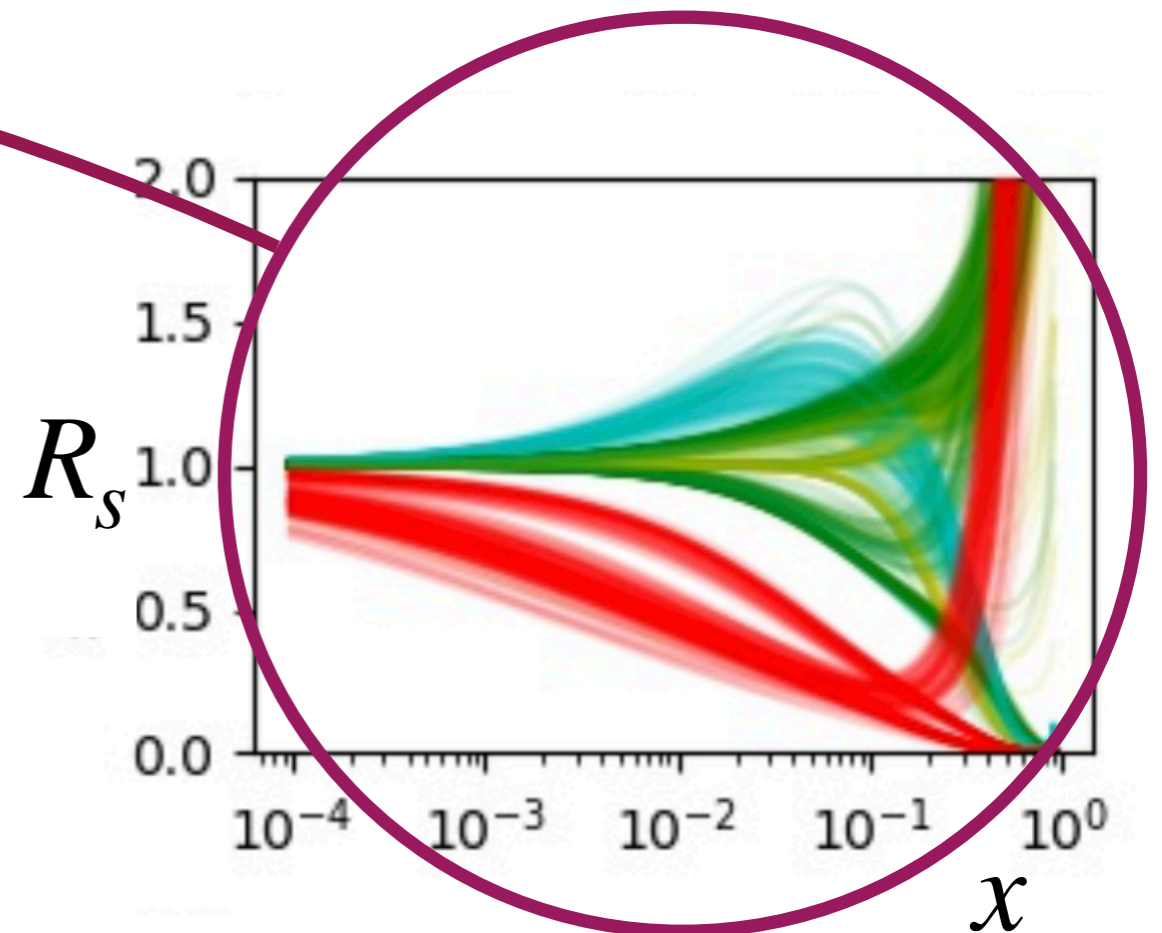
PDFs



$x f(x)$

x

+ DIS data
+ DY data

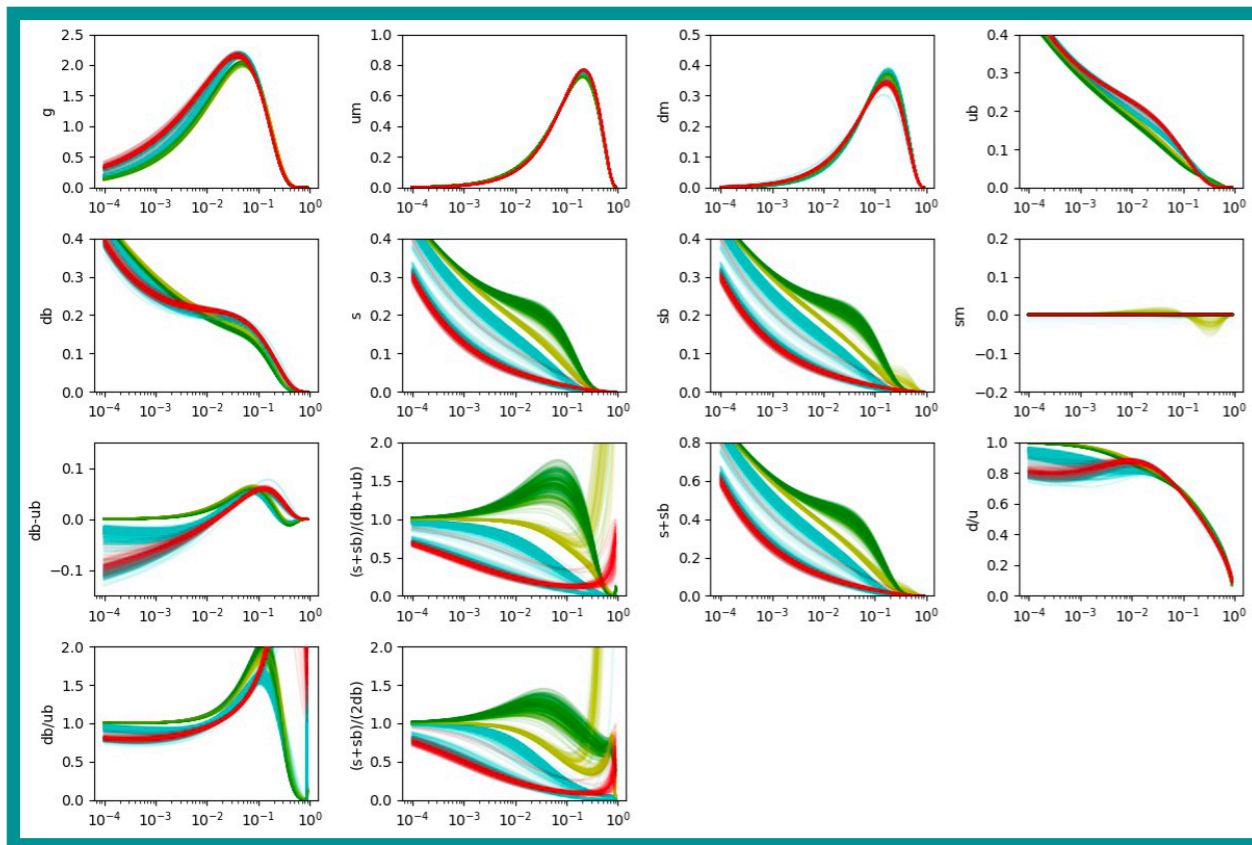


Constraints on R_s

$$R_s = \frac{s + \bar{s}}{\bar{u} + \bar{d}}$$

PDFs

$x f(x)$



x

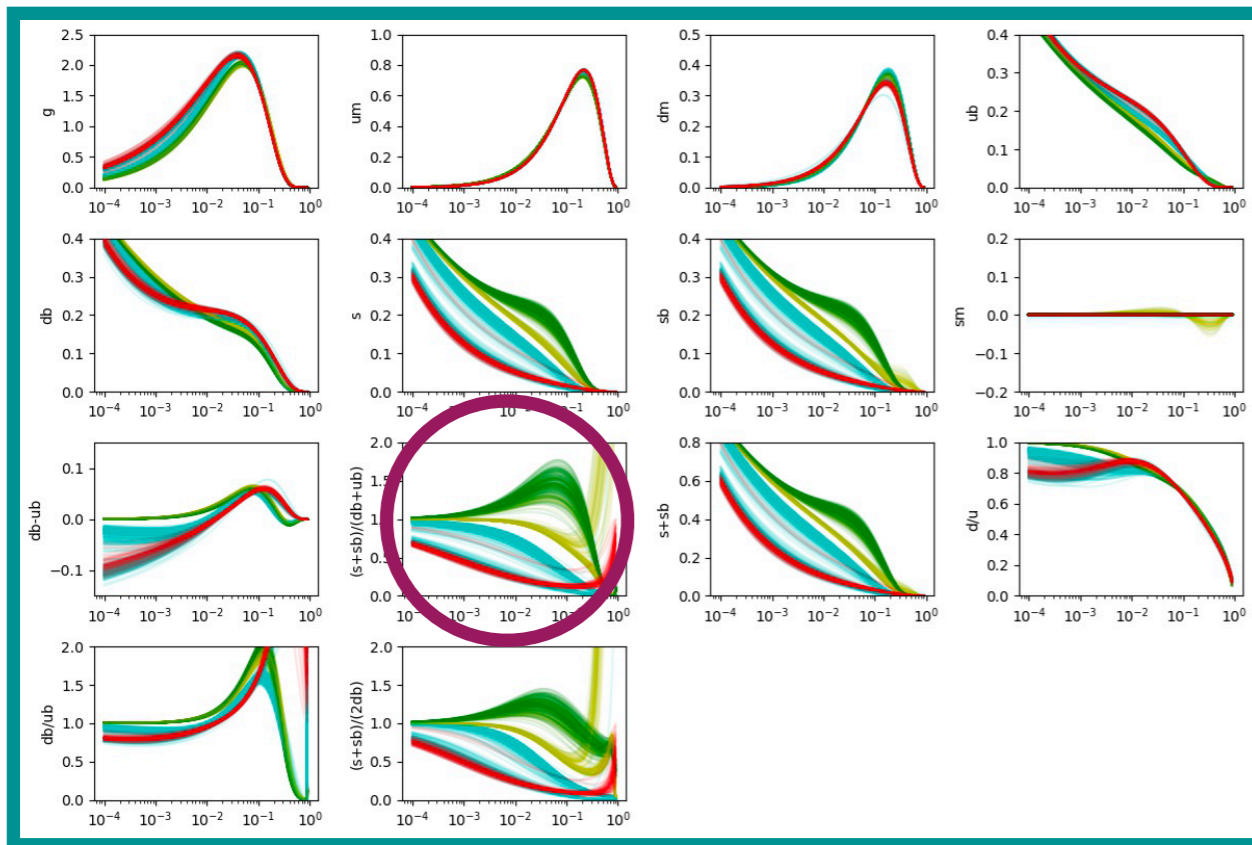
- + DIS data
- + DY data
- + SIA + SIDIS data

Constraints on R_s

$$R_s = \frac{s + \bar{s}}{\bar{u} + \bar{d}}$$

PDFs

$x f(x)$



x

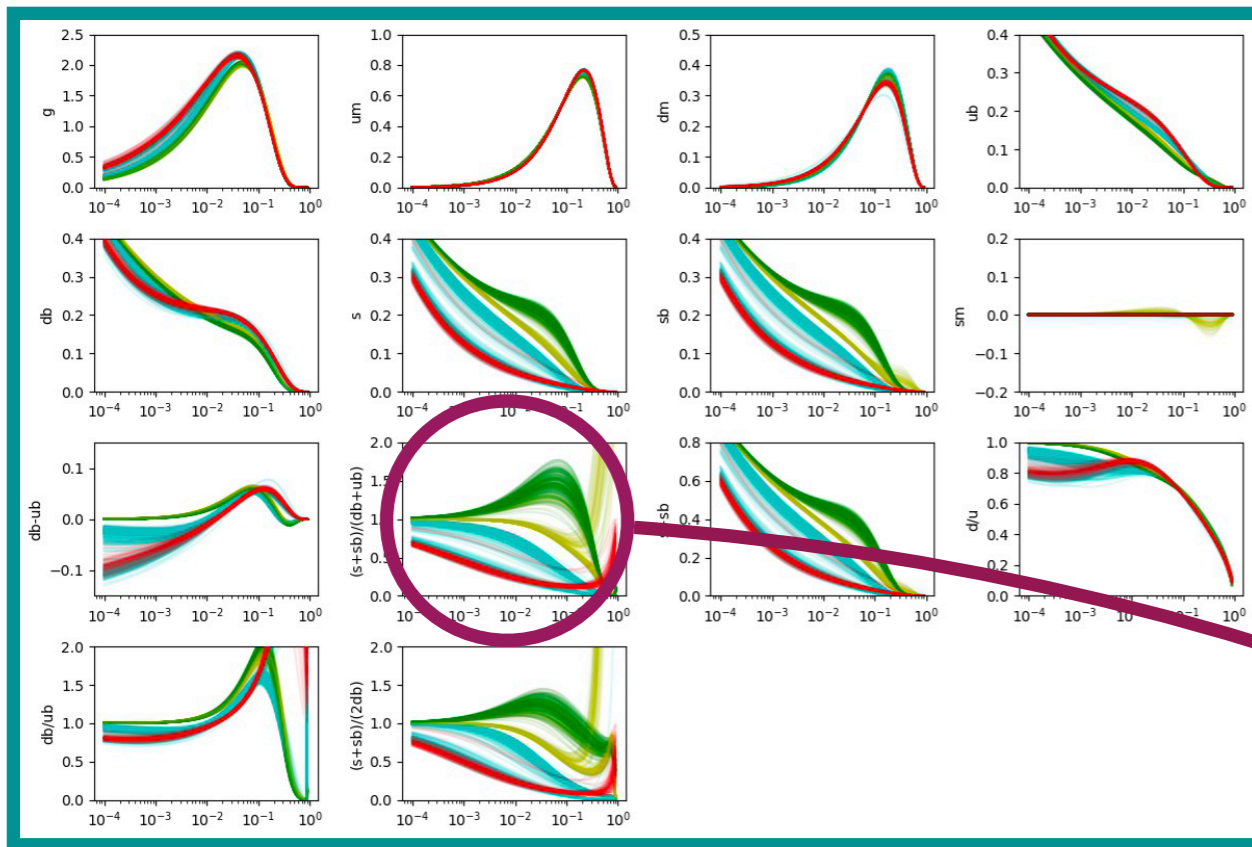
- + DIS data
- + DY data
- + SIA + SIDIS data

Constraints on R_s

$$R_s = \frac{s + \bar{s}}{\bar{u} + \bar{d}}$$

PDFs

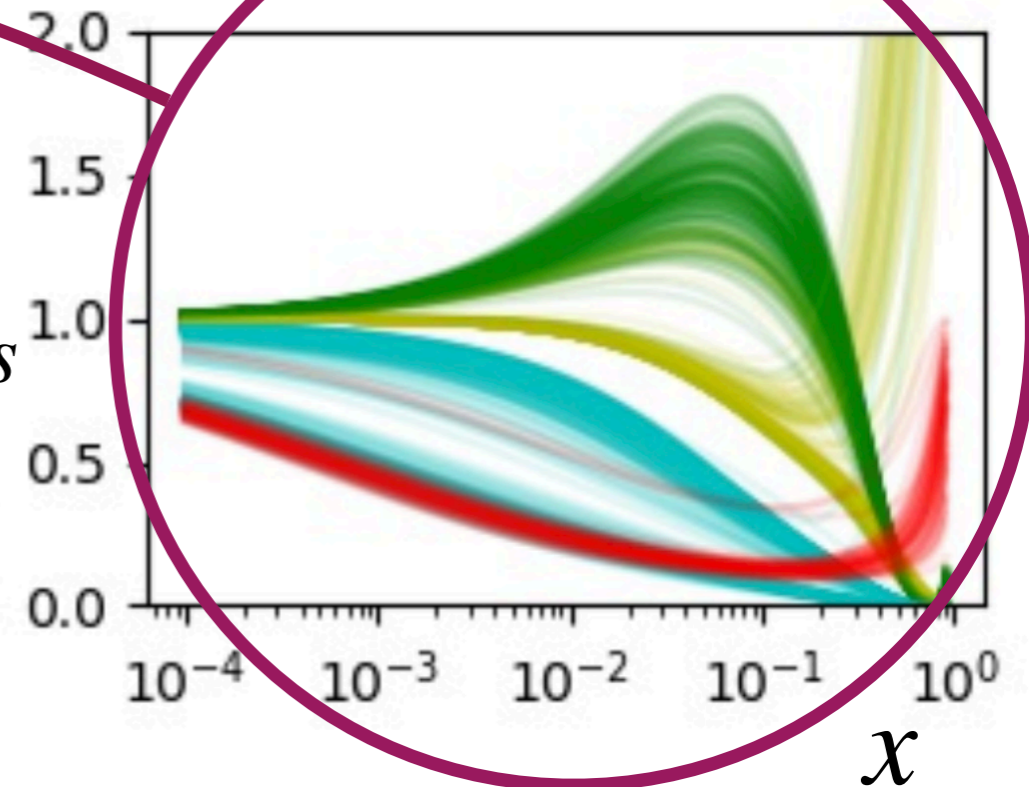
$x f(x)$



x

- + DIS data
- + DY data
- + SIA + SIDIS data

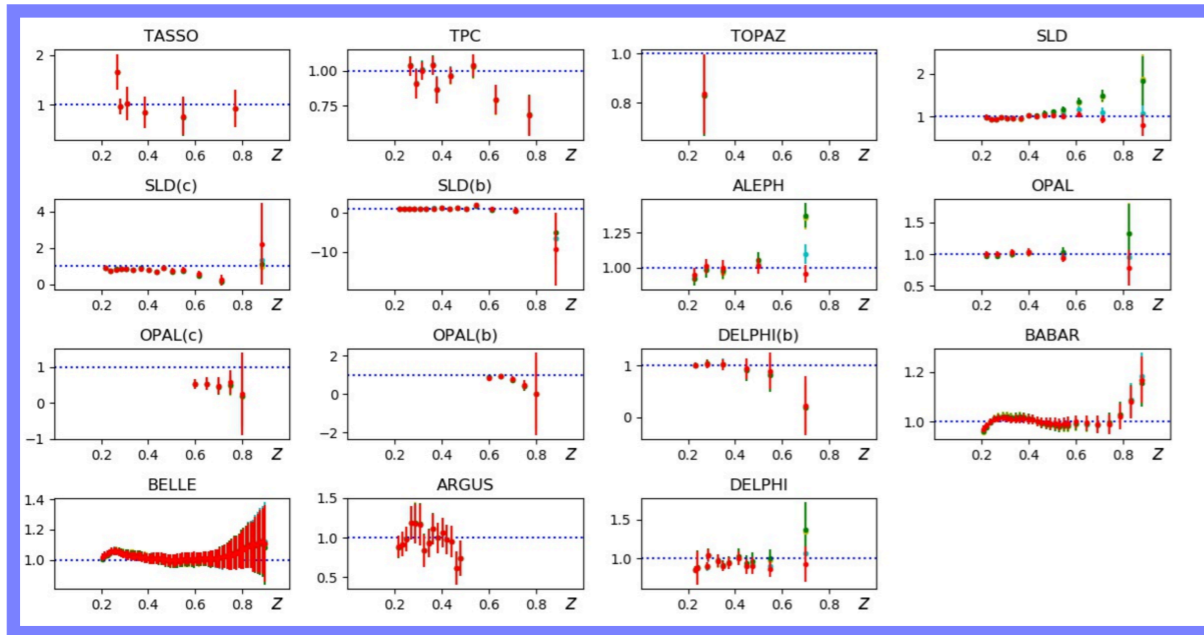
R_s



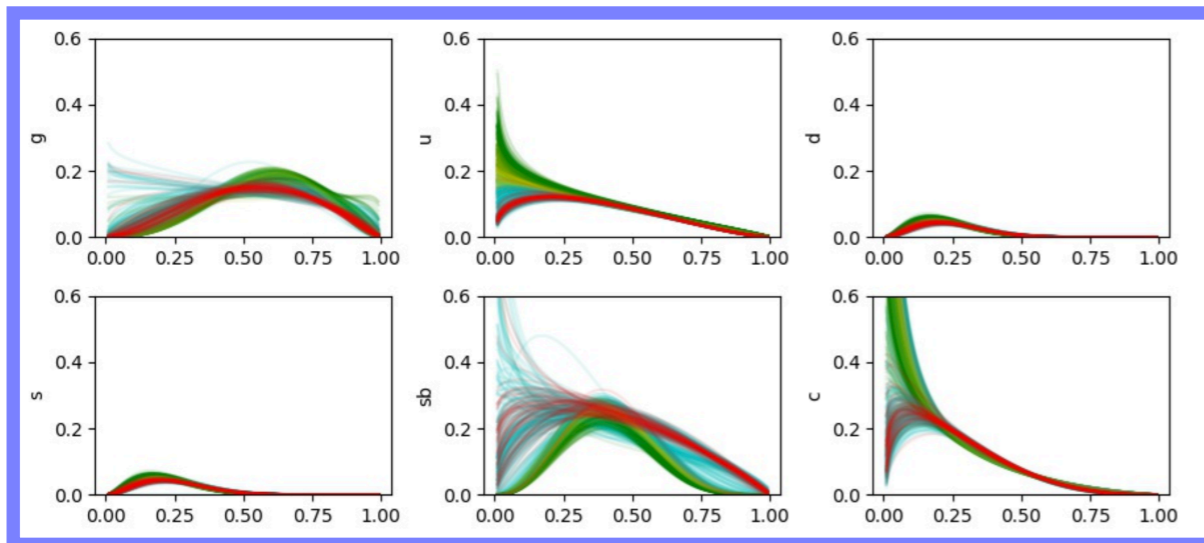
x

SIA K^+/K^- data

Data/Theory



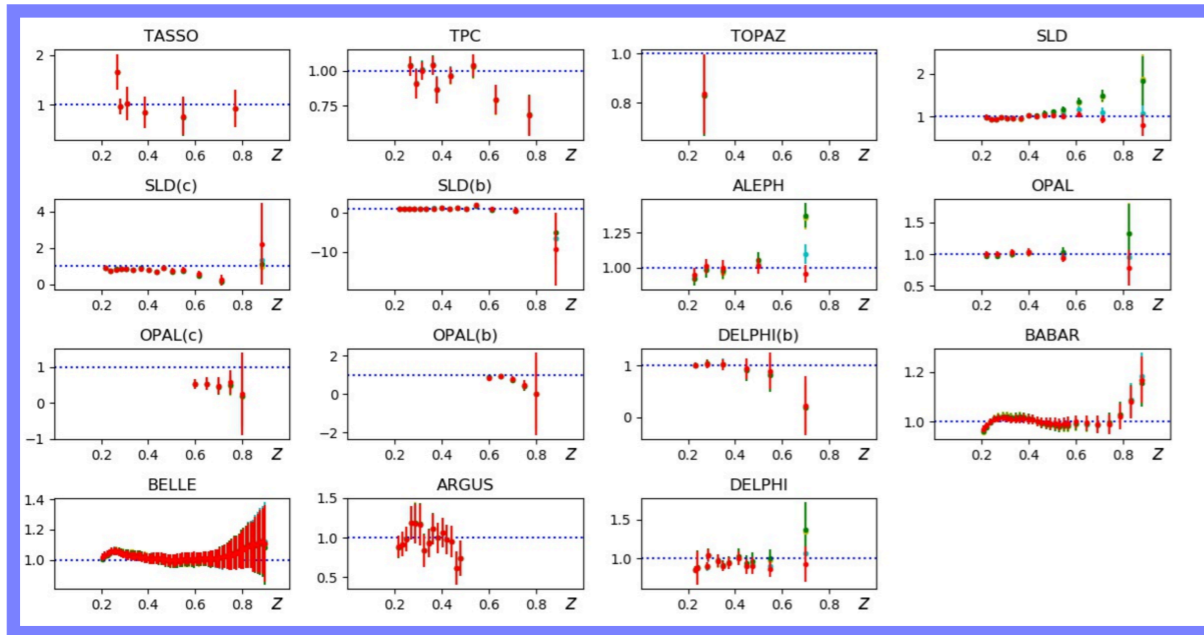
Z



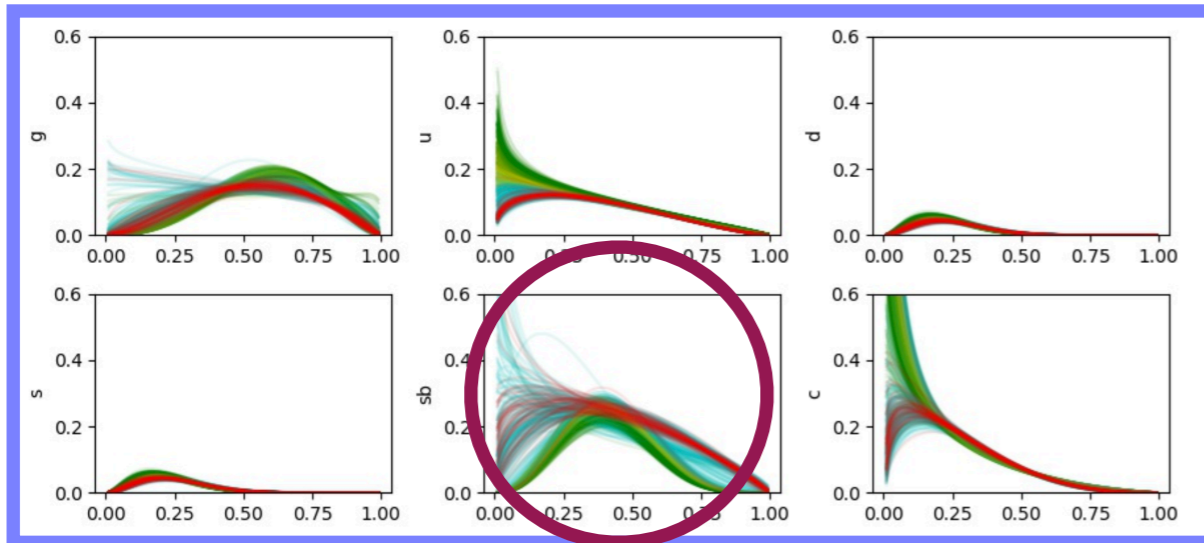
Z

SIA K^+/K^- data

Data/Theory



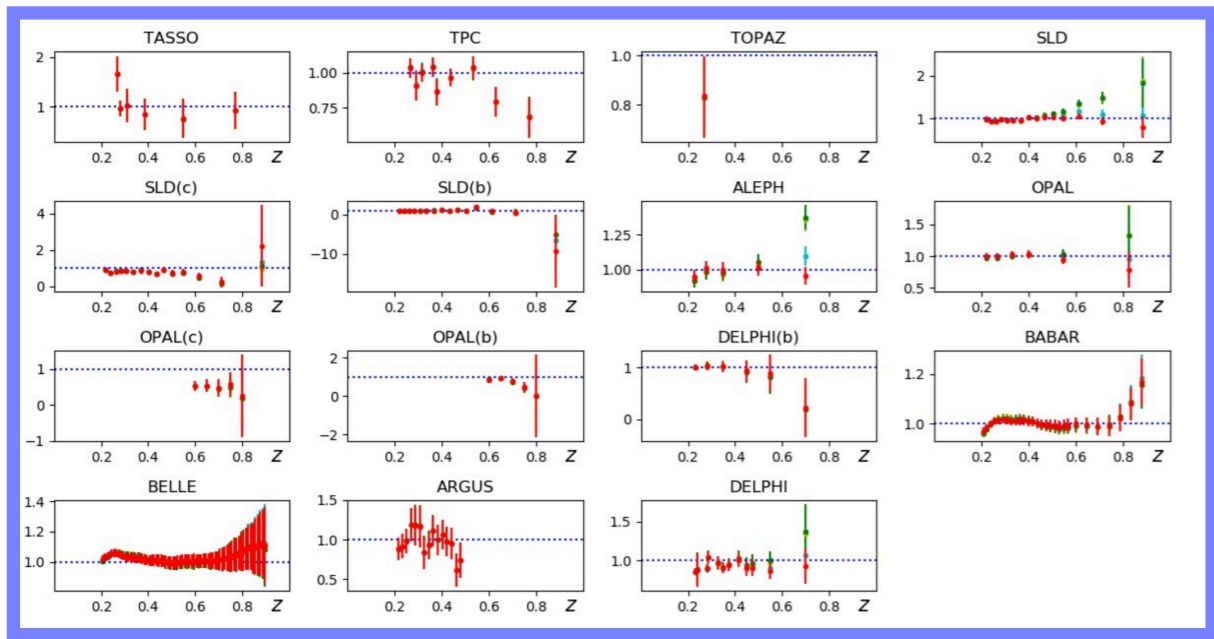
Z



Z

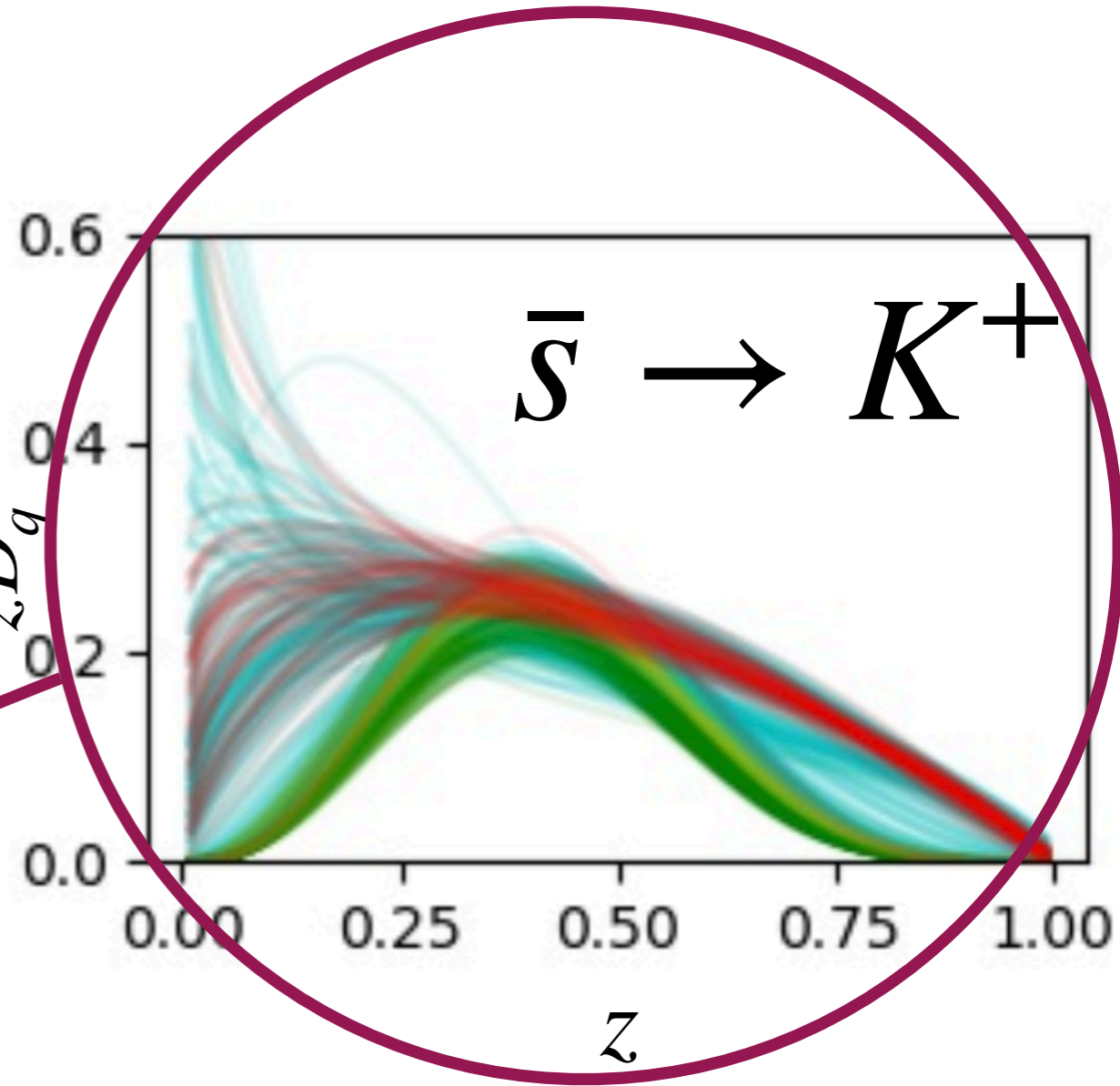
SIA K^+/K^- data

Data/Theory

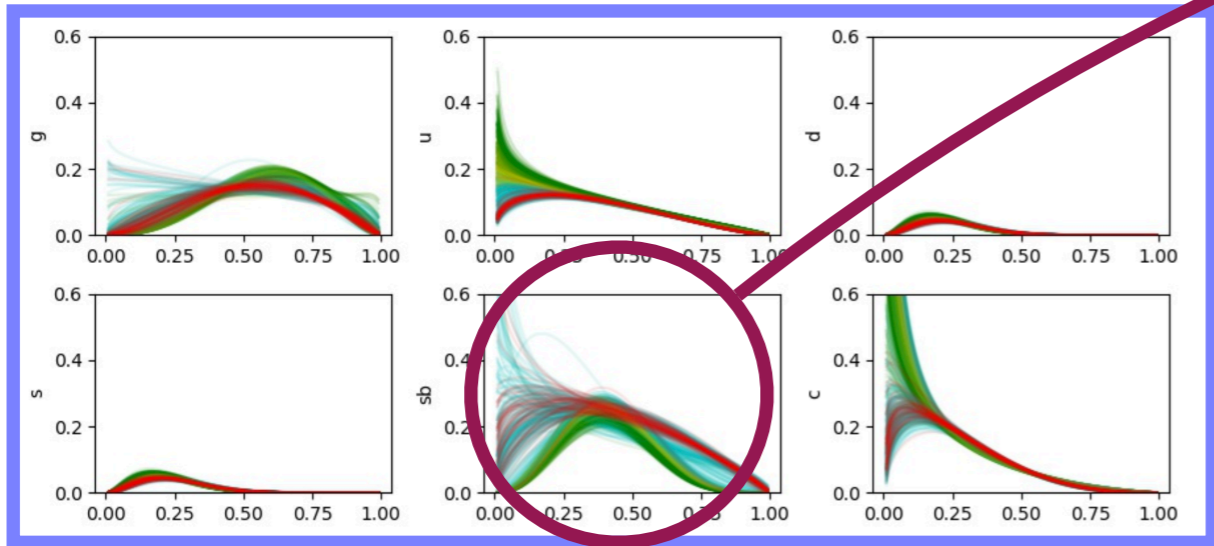


z

$zD_q^{K^+}$



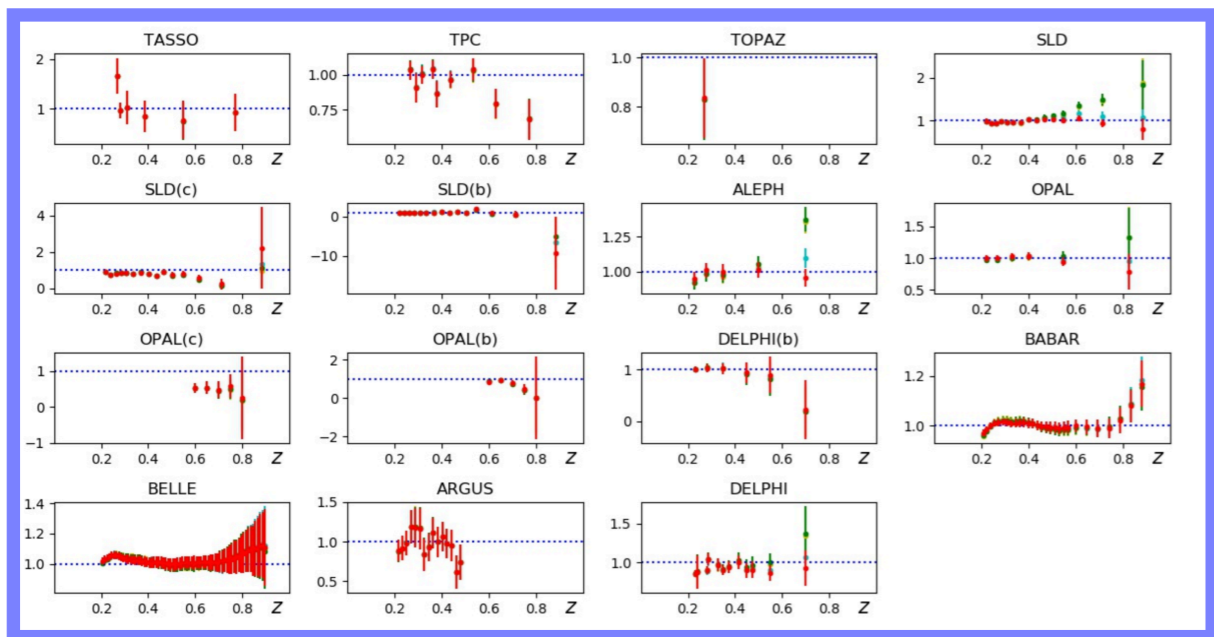
z



z

SIA K^+/K^- data

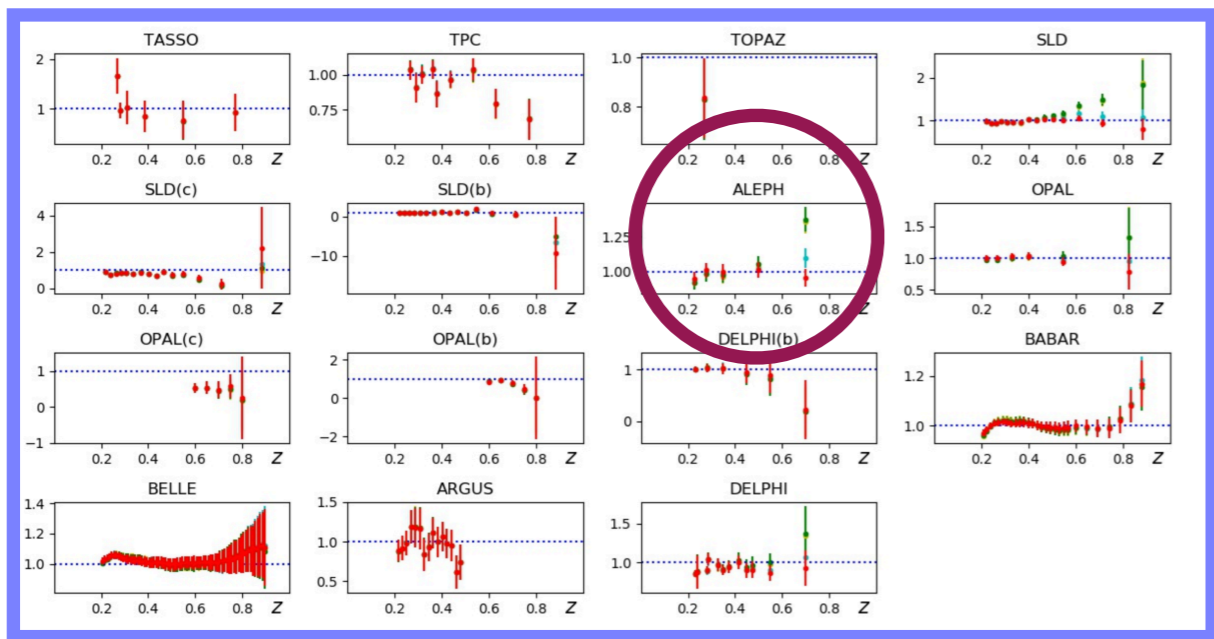
Data/Theory



Z

SIA K^+/K^- data

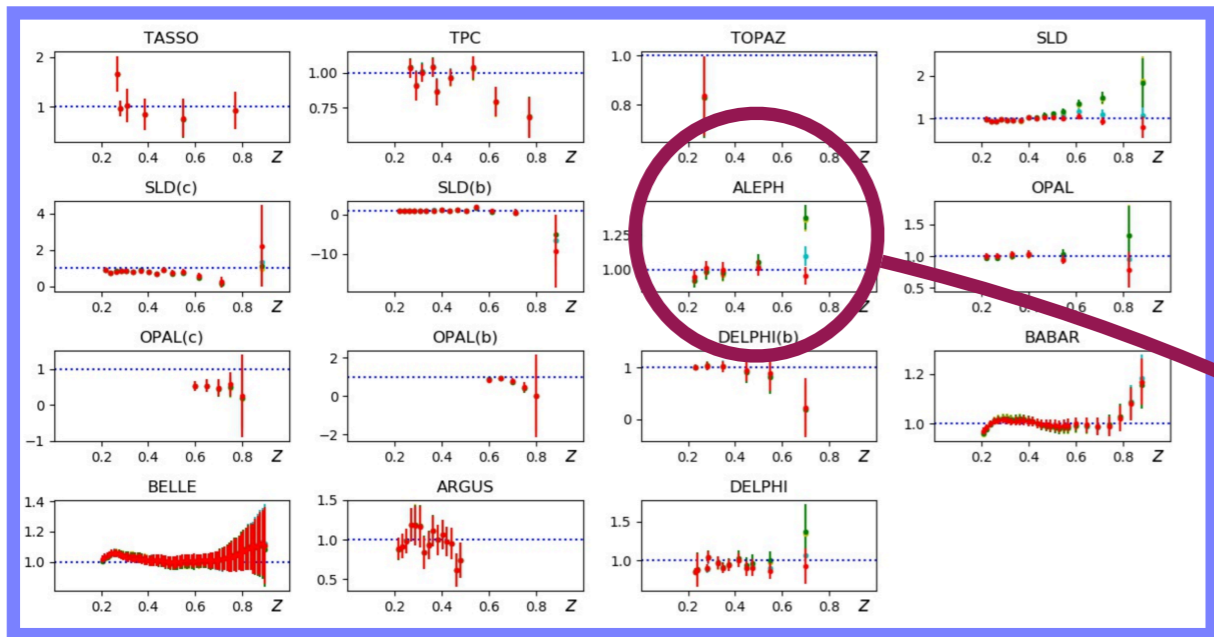
Data/Theory



Z

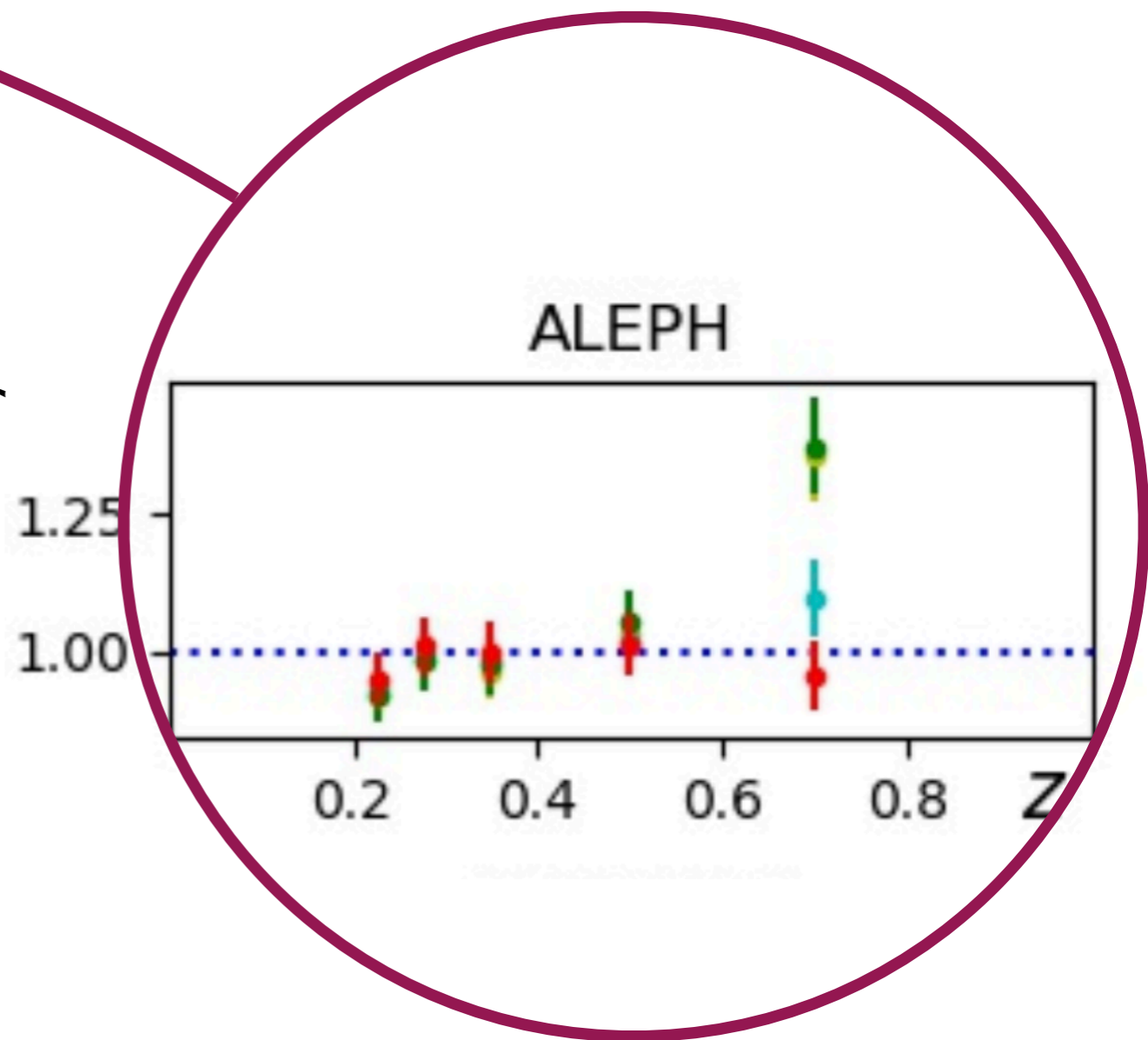
SIA K^+/K^- data

Data/Theory



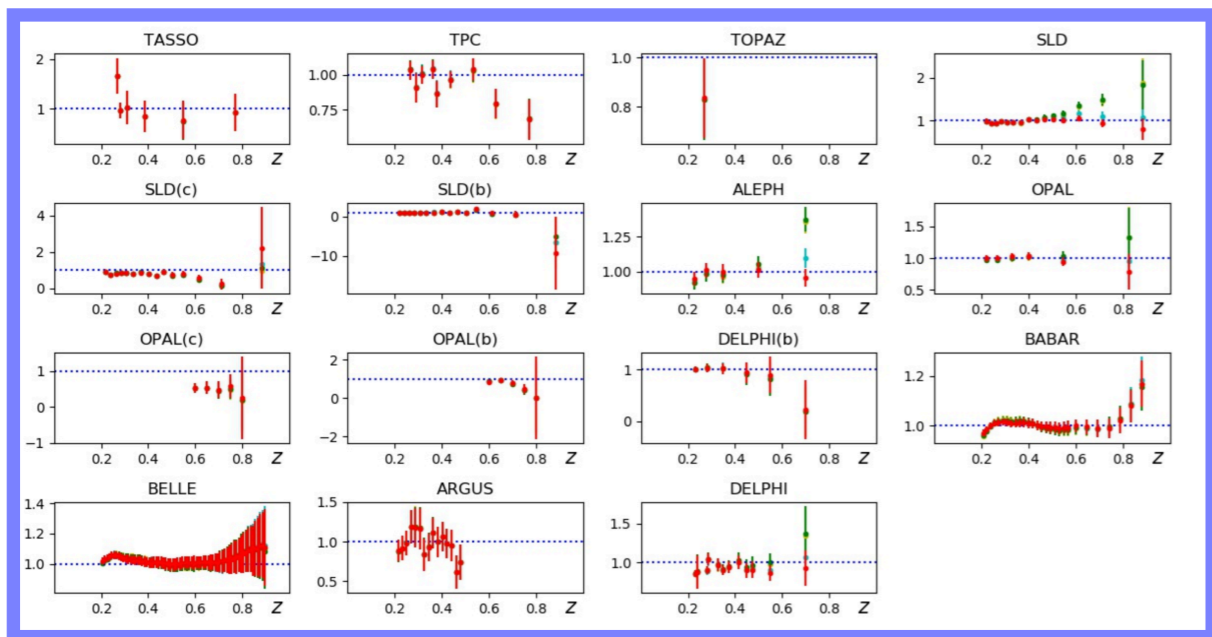
Z

Data/Theory



SIA K^+/K^- data

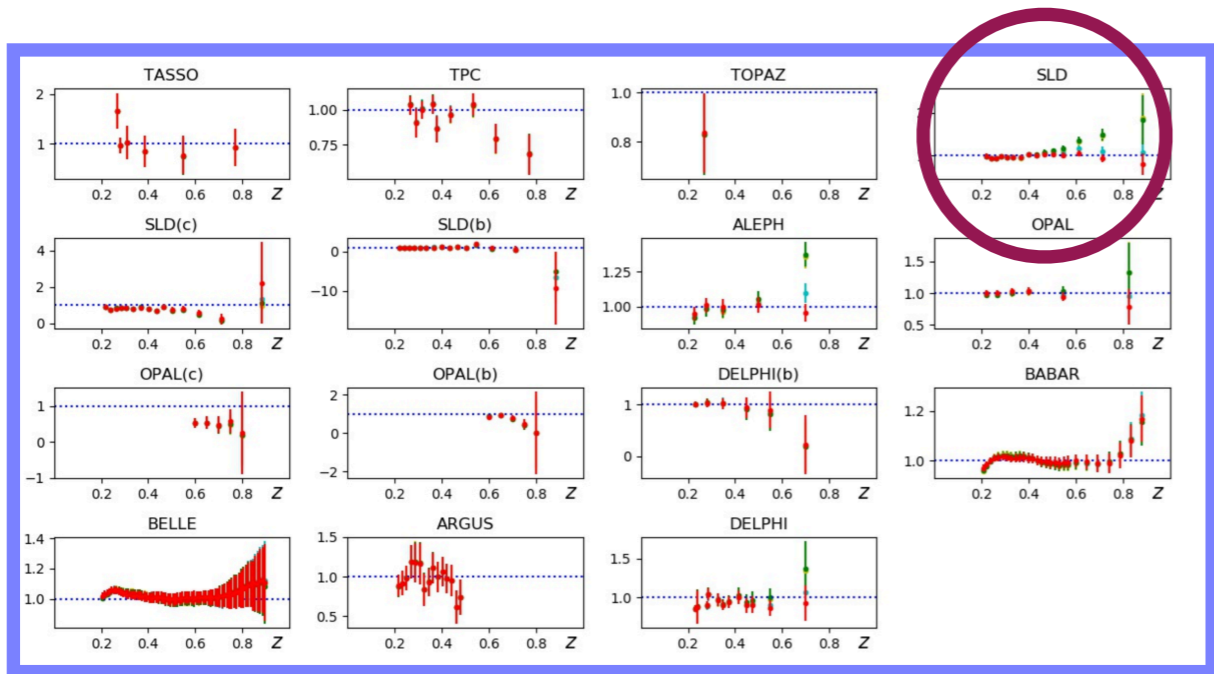
Data/Theory



Z

SIA K^+/K^- data

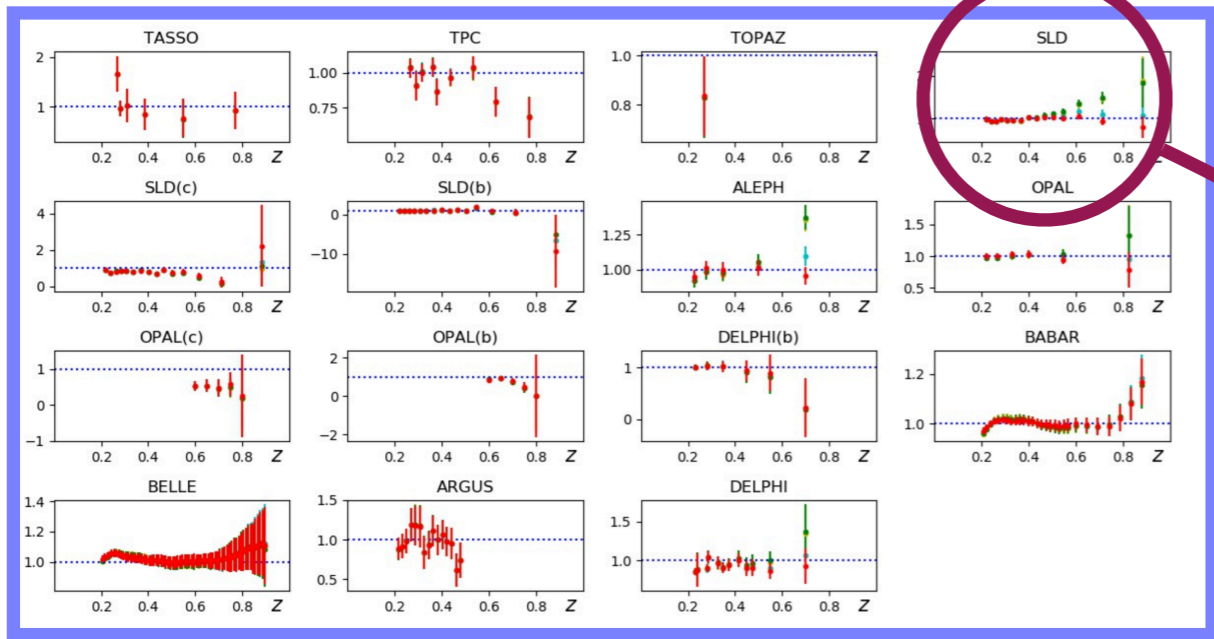
Data/Theory



Z

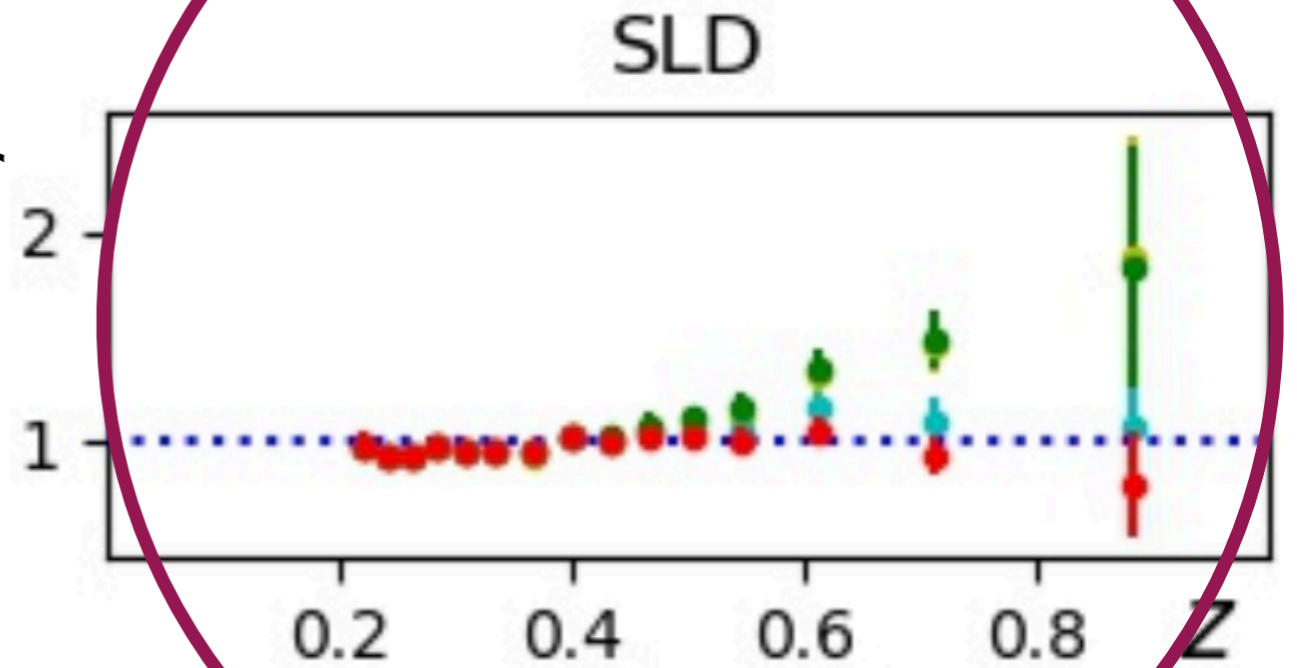
SIA K^+/K^- data

Data/Theory



Z

Data/Theory



SIDIS K-

SIA

■ Unfavored solutions

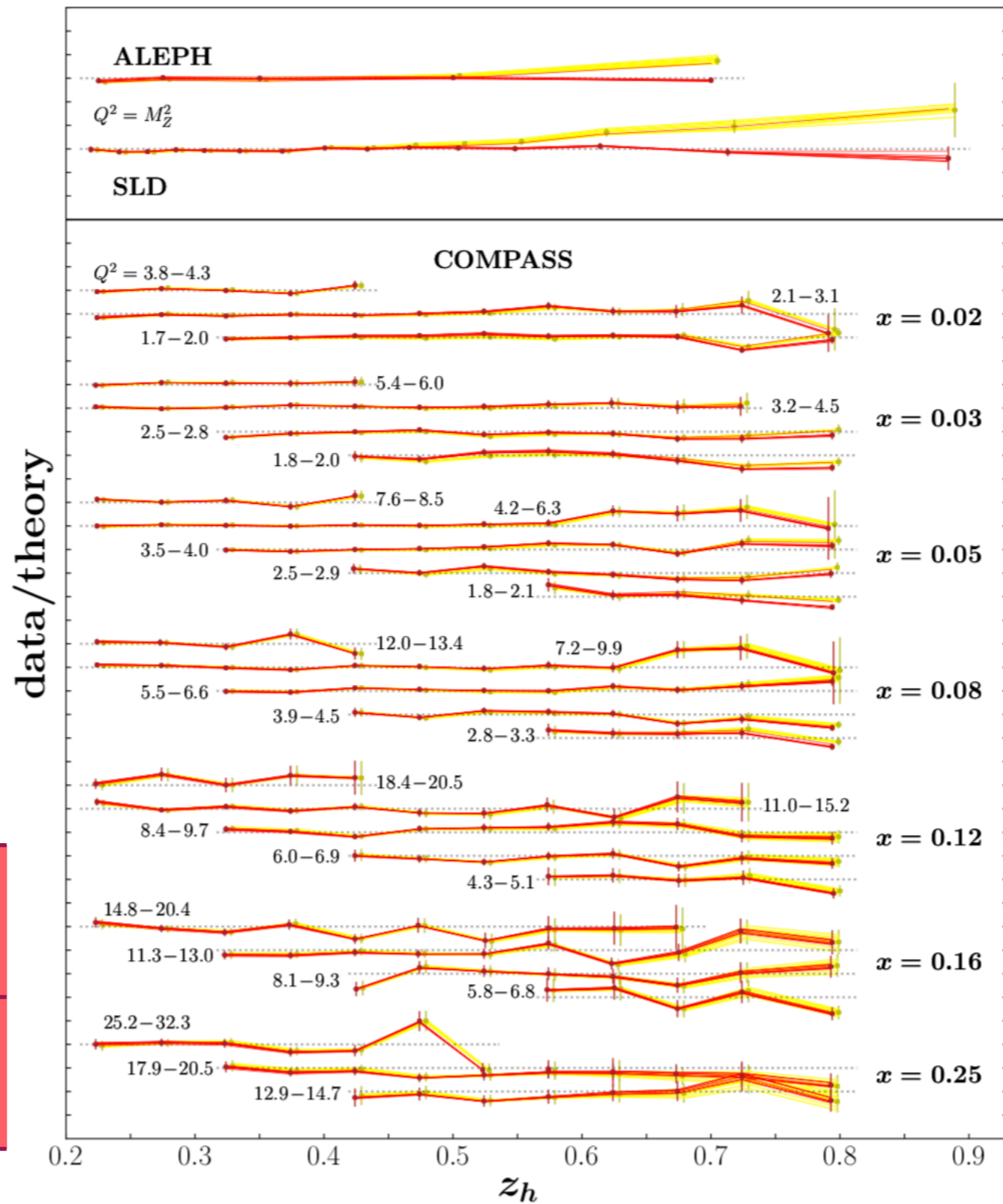
Large $s(x)$

Small $D_{s^\pm}^{K^\pm}(z)$

■ Favored solutions

Large $D_{s^\pm}^{K^\pm}(z)$

Small $s(x)$



SIDIS

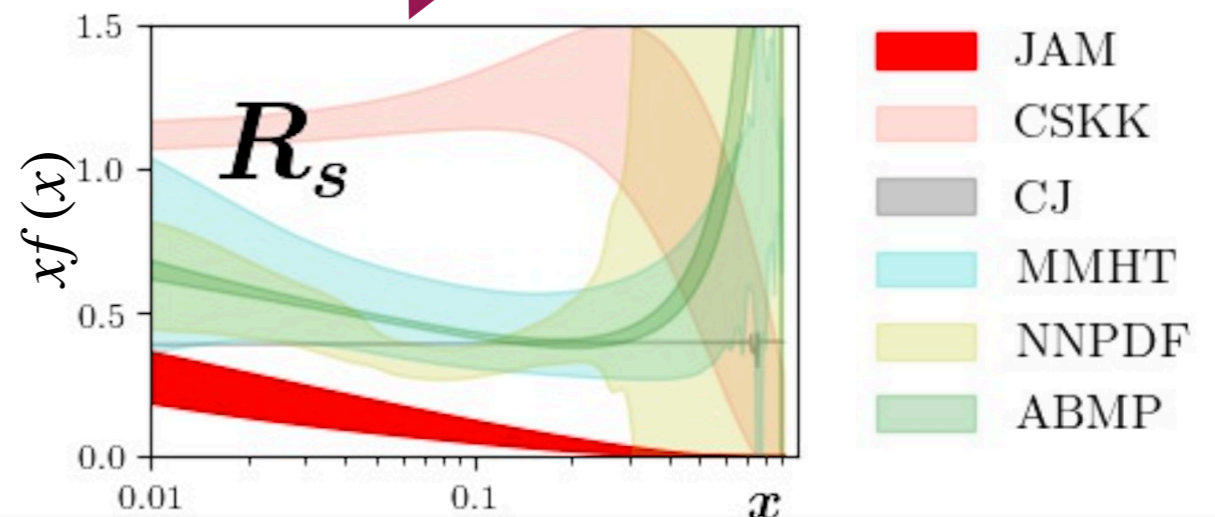
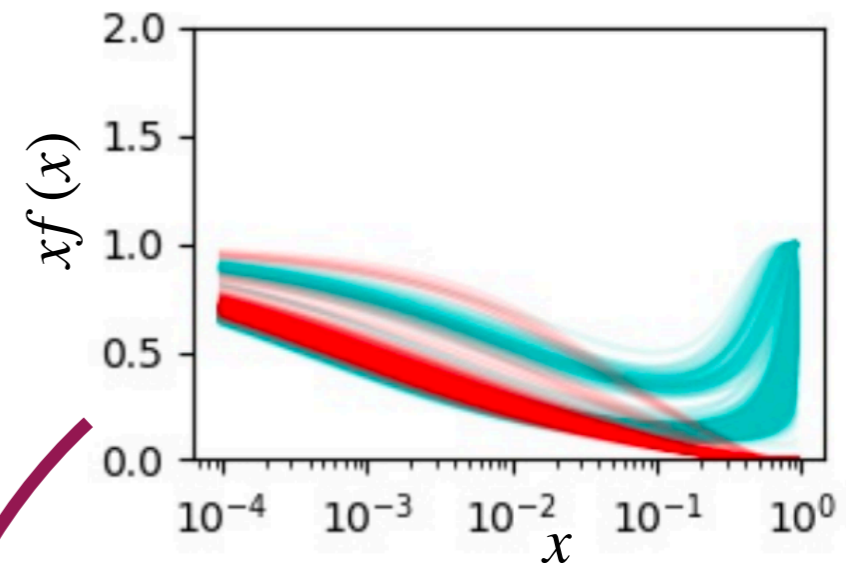
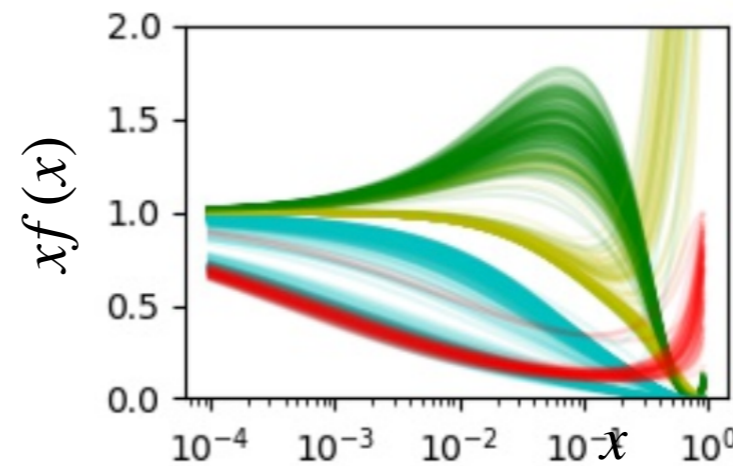
$\chi^2_{\text{SLD}} = 4.10$	$\chi^2_{\text{SLD}} = 1.38$
$\chi^2_{\text{ALEPH}} = 4.62$	$\chi^2_{\text{ALEPH}} = 0.34$

JAM19: Selection Criteria

- Apply k-means clustering
- Classify clusters by increasing order in 'extended' reduced χ^2

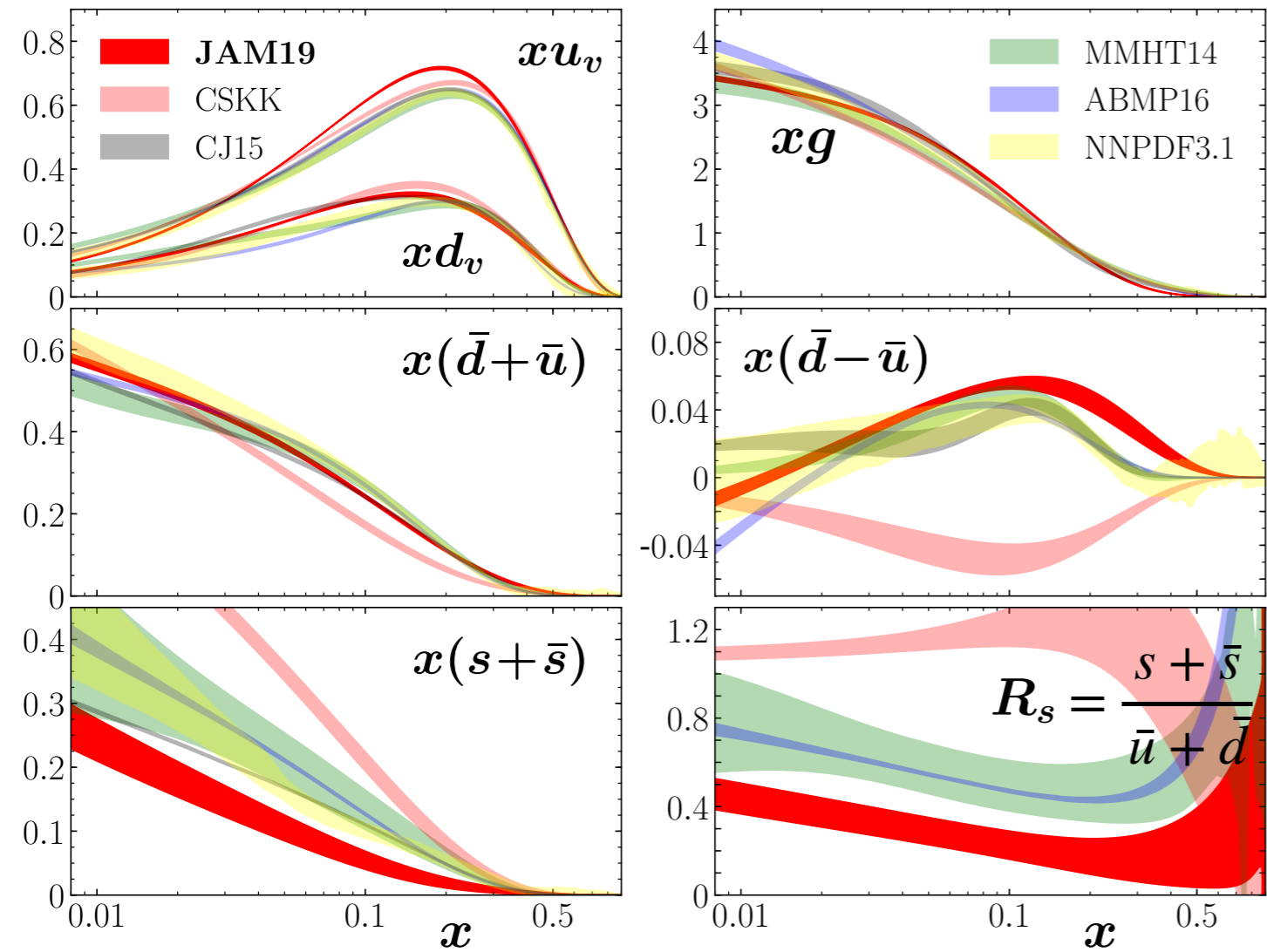
$$\frac{\chi^2}{N_{\text{tot}}} + \sum_{\text{exp}} \frac{\chi_{\text{exp}}^2}{N_{\text{exp}}}$$

- Perform a new sampling with flat priors around the best cluster



PDF results

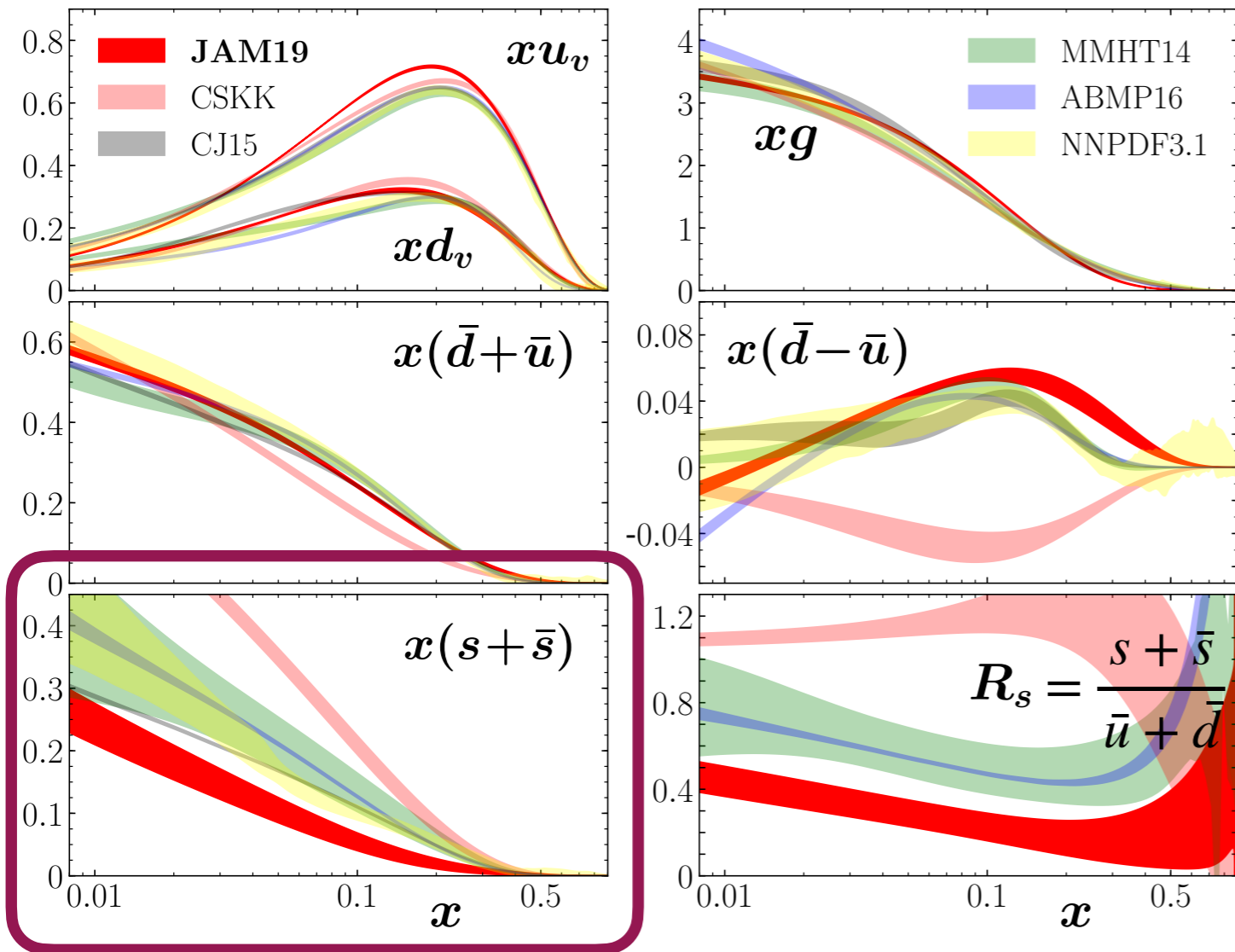
JAM19 PDFs



$Q = 2 \text{ GeV}$

DIS(p, d)
 DY(pp, pd)
 SIA(π^\pm, K^\pm)
 SIDIS(π^\pm, K^\pm)

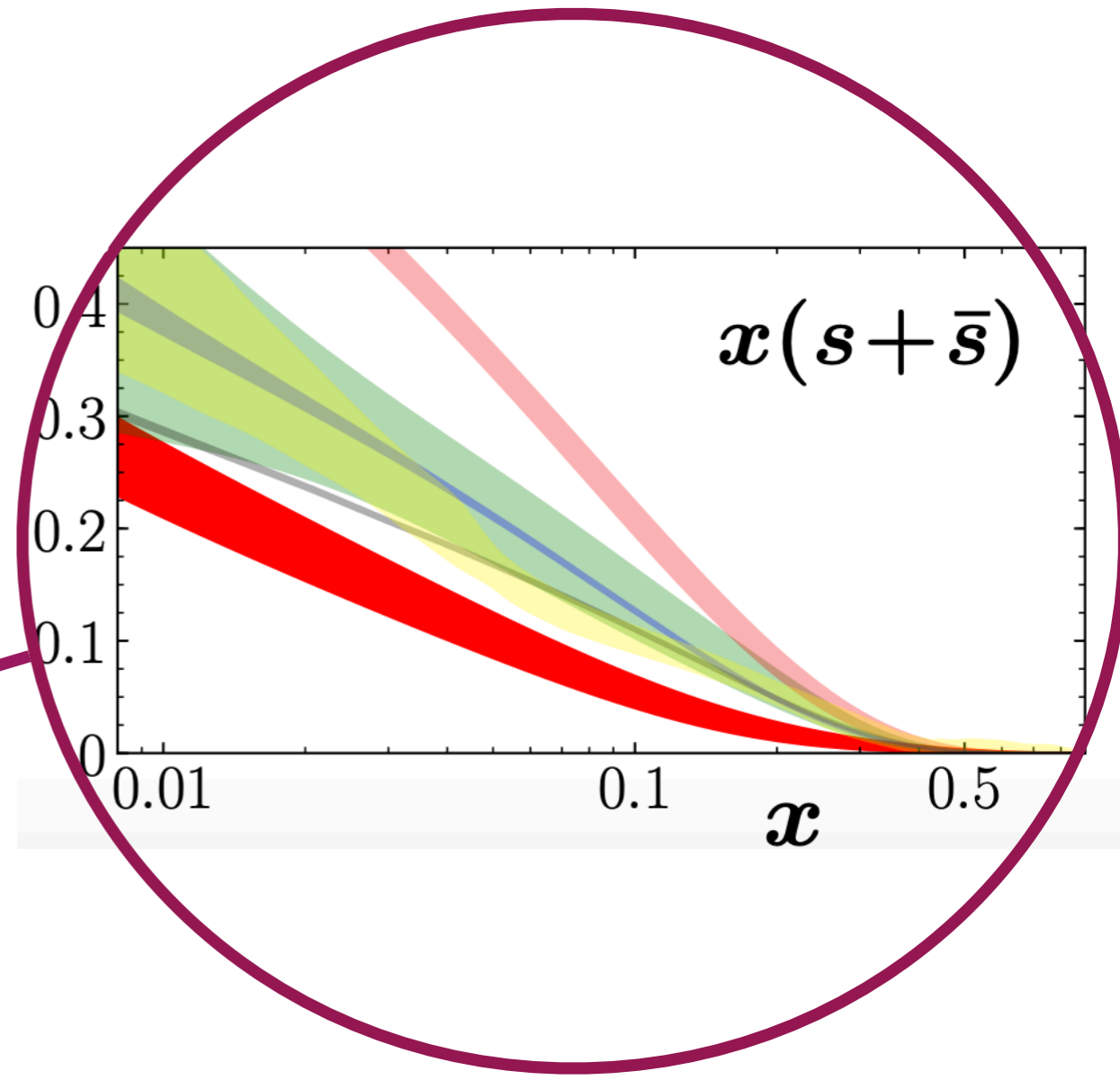
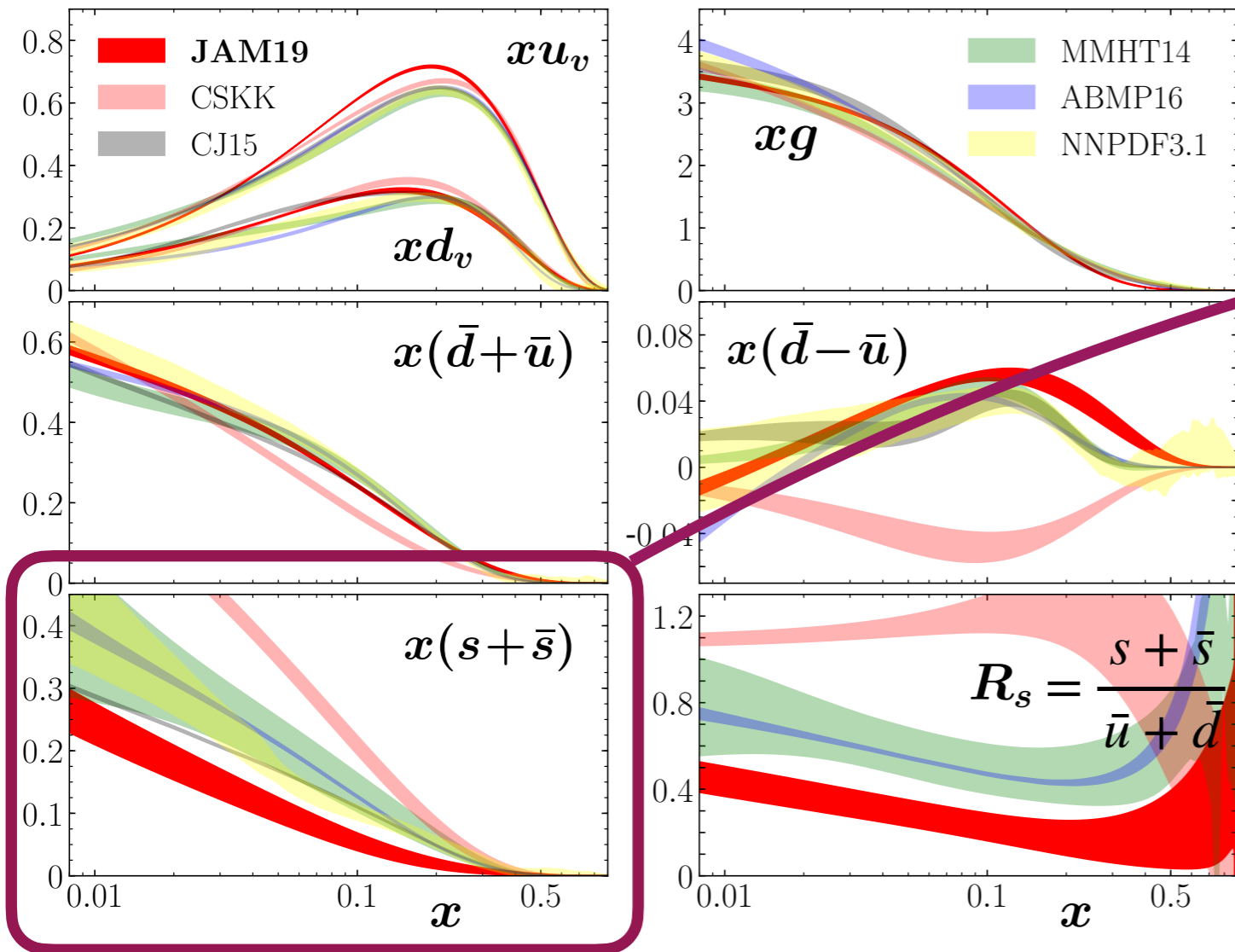
JAM19 PDFs



Q = 2 GeV

- DIS(p, d)
- DY(pp, pd)
- SIA(π^\pm, K^\pm)
- SIDIS(π^\pm, K^\pm)

JAM19 PDFs

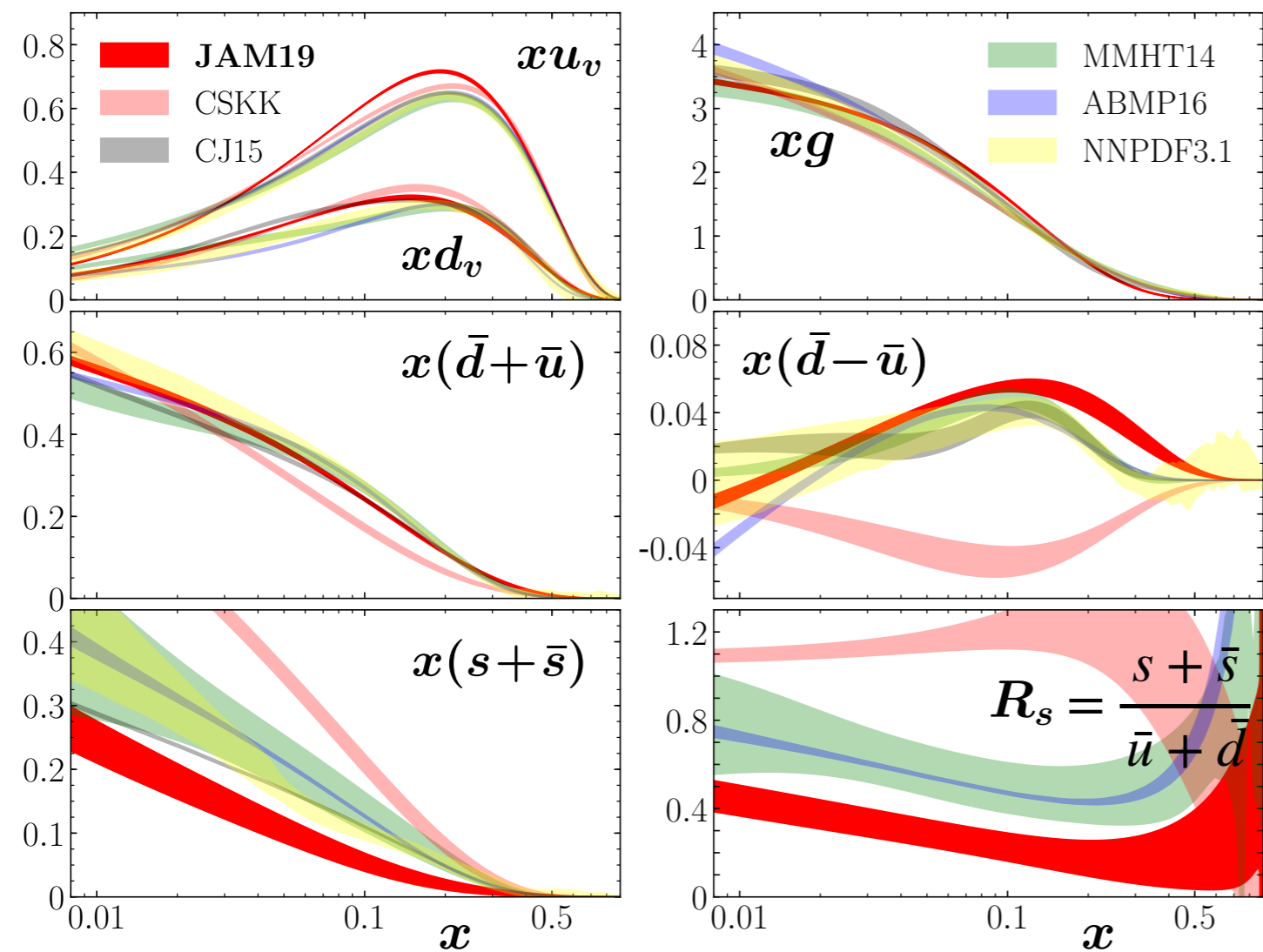


Strong strange suppression

Q = 2 GeV

- DIS(p, d)
- DY(pp, pd)
- SIA(π^\pm, K^\pm)
- SIDIS(π^\pm, K^\pm)

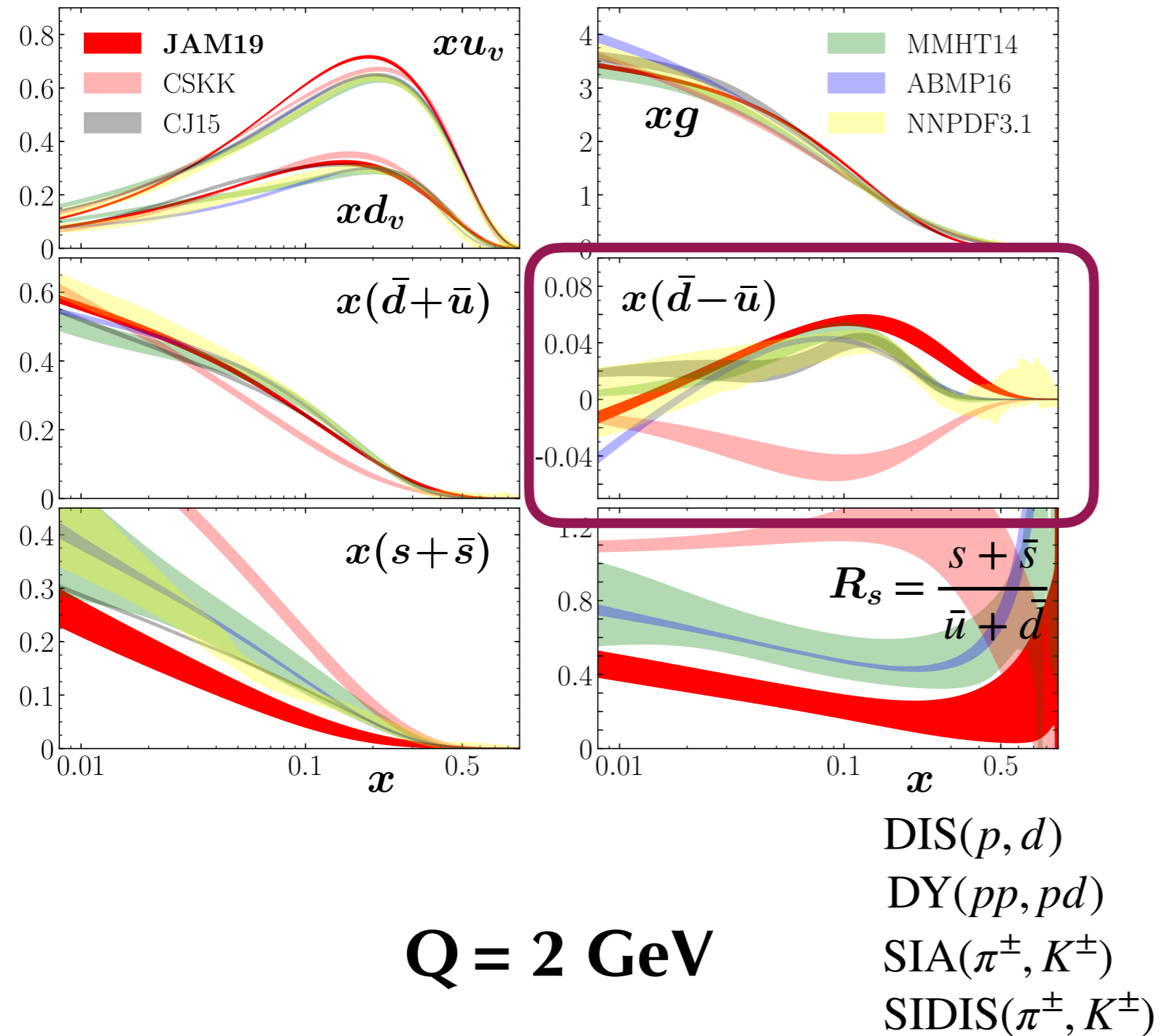
JAM19 PDFs



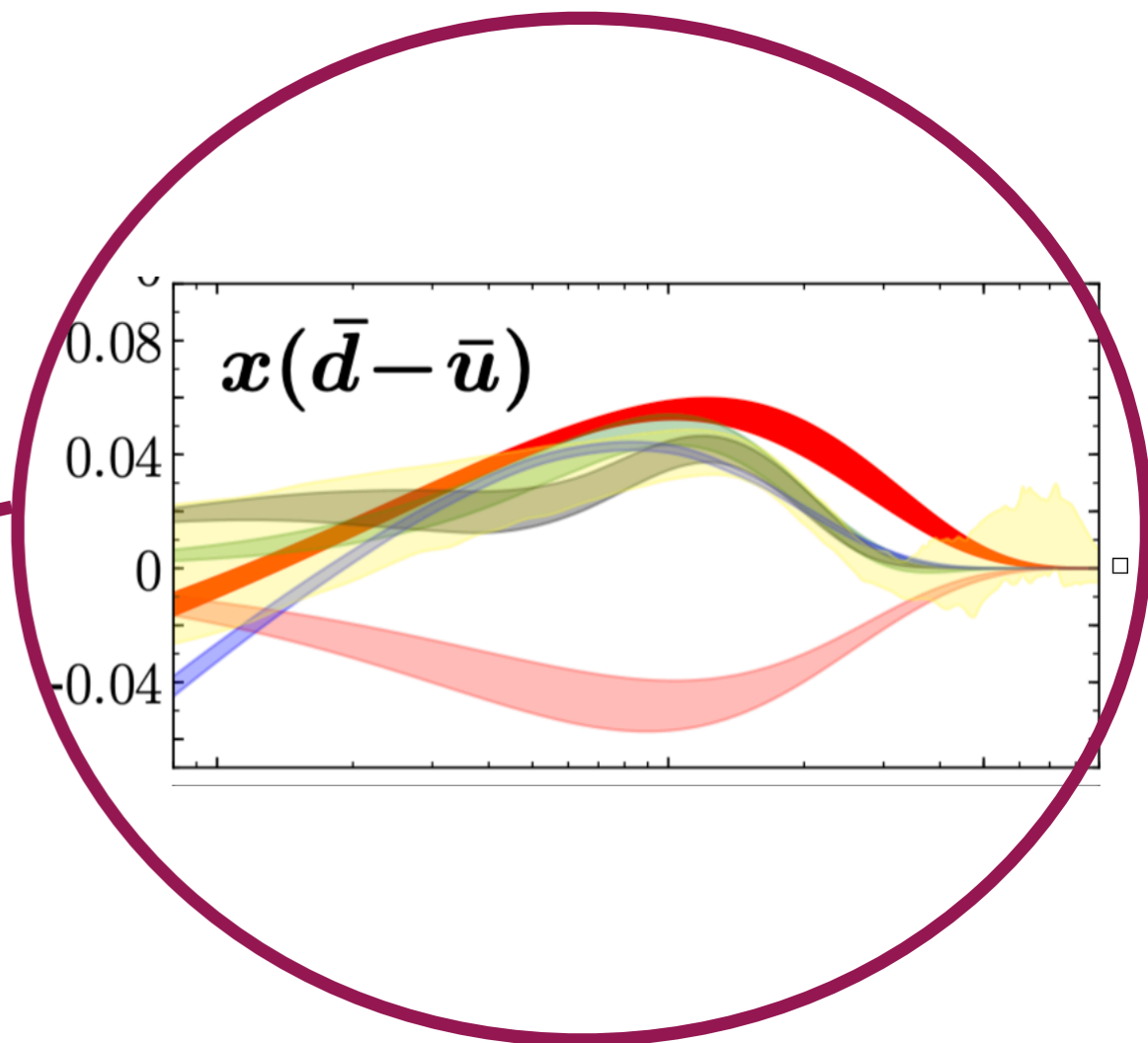
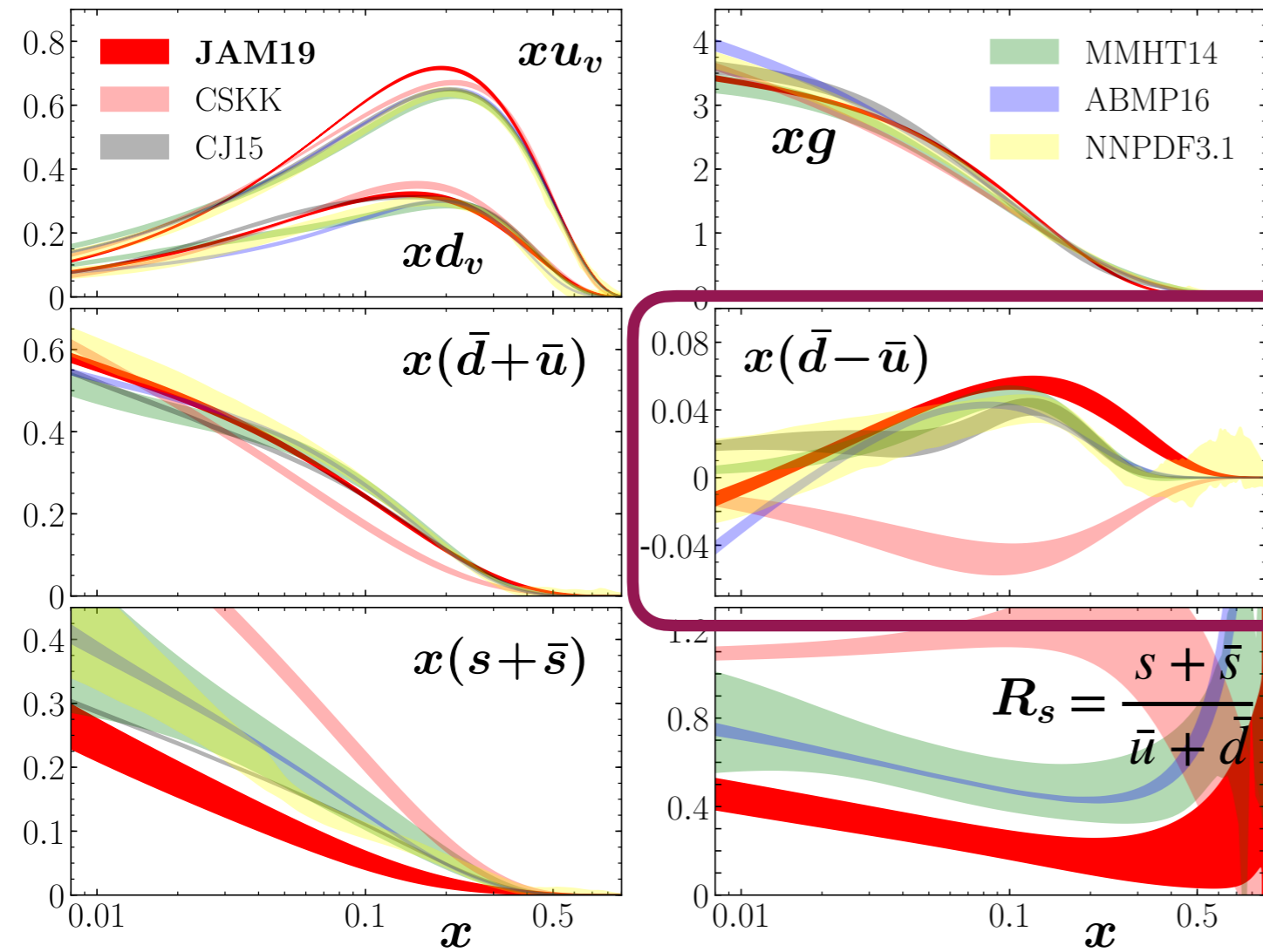
$Q = 2 \text{ GeV}$

DIS(p, d)
 DY(pp, pd)
 SIA(π^\pm, K^\pm)
 SIDIS(π^\pm, K^\pm)

JAM19 PDFs



JAM19 PDFs



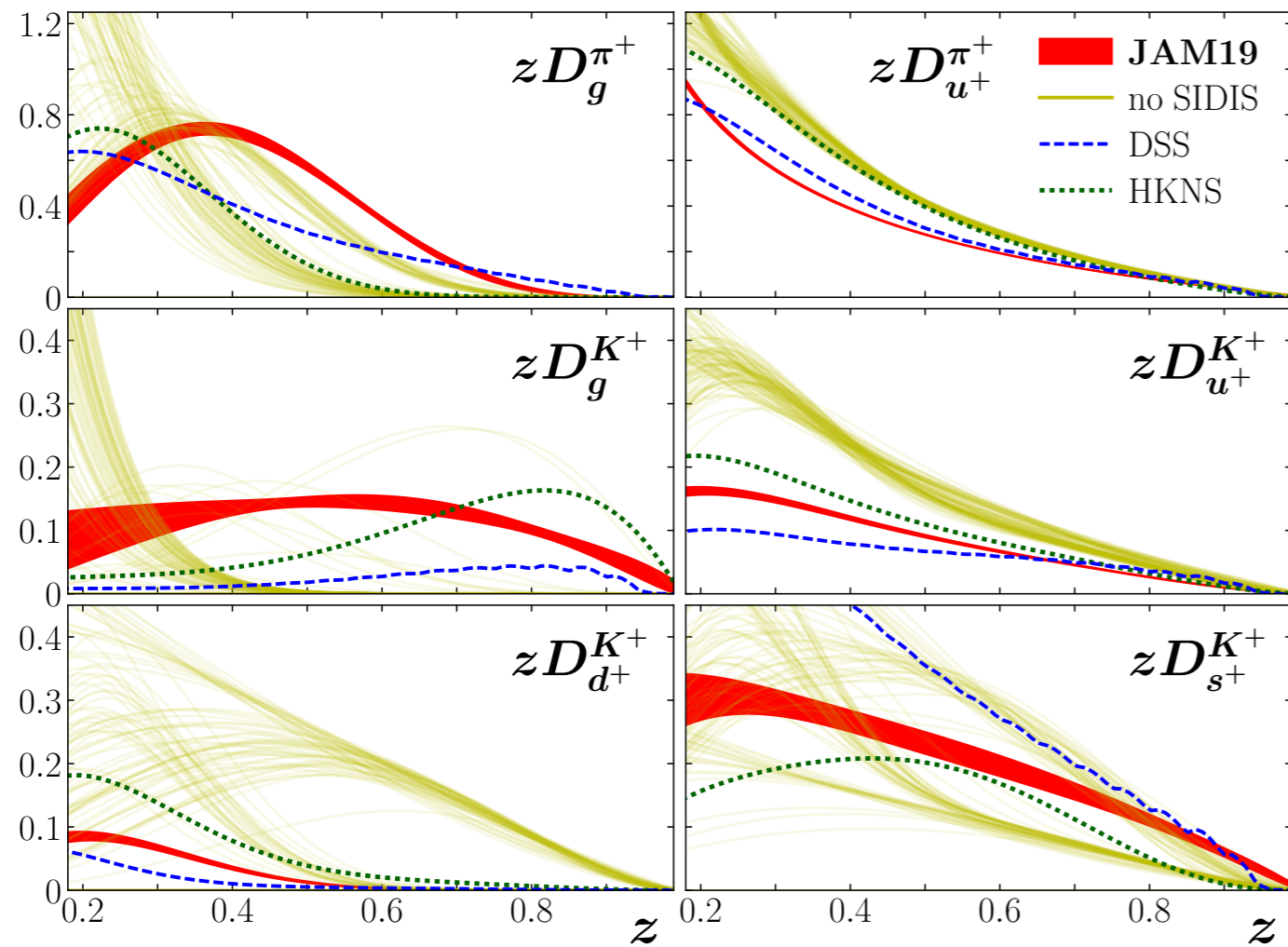
$\bar{d} - \bar{u} > 0$ at $x \sim 0.1 - 0.2$

$Q = 2 \text{ GeV}$

DIS(p, d)
 DY(pp, pd)
 SIA(π^\pm, K^\pm)
 SIDIS(π^\pm, K^\pm)

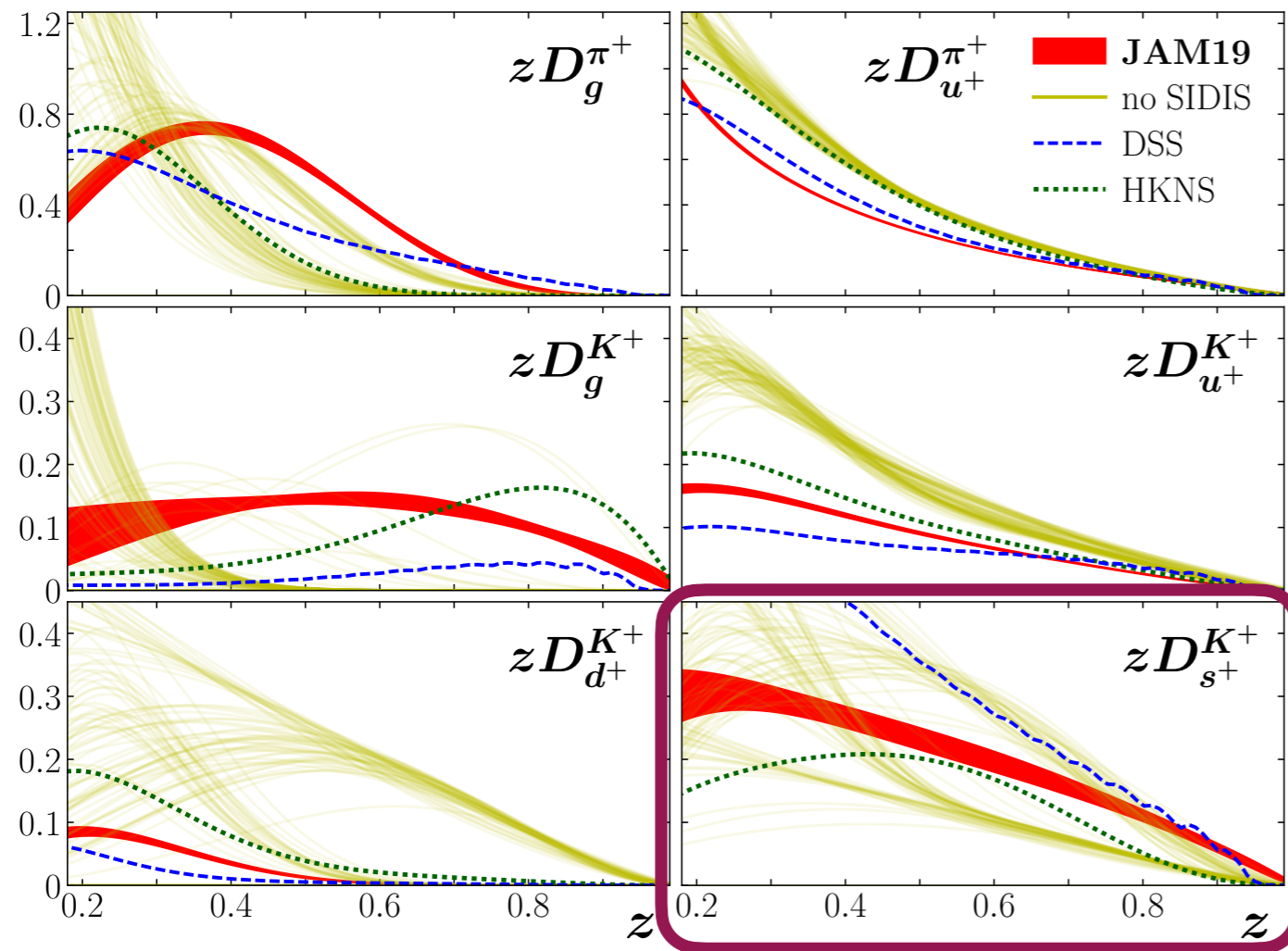
FF results

JAM19: FF



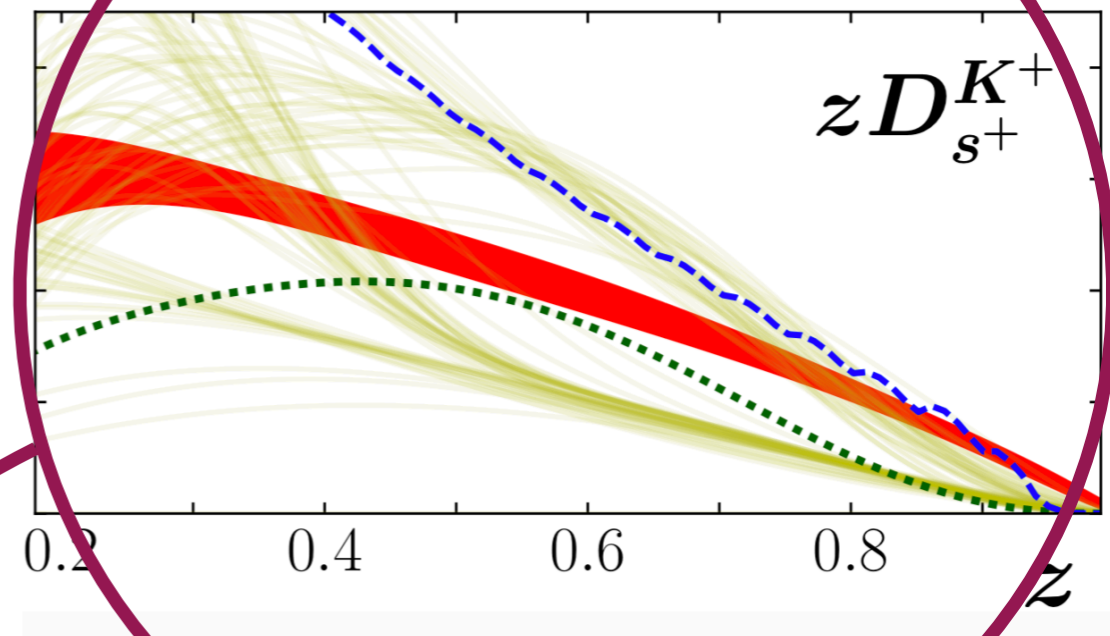
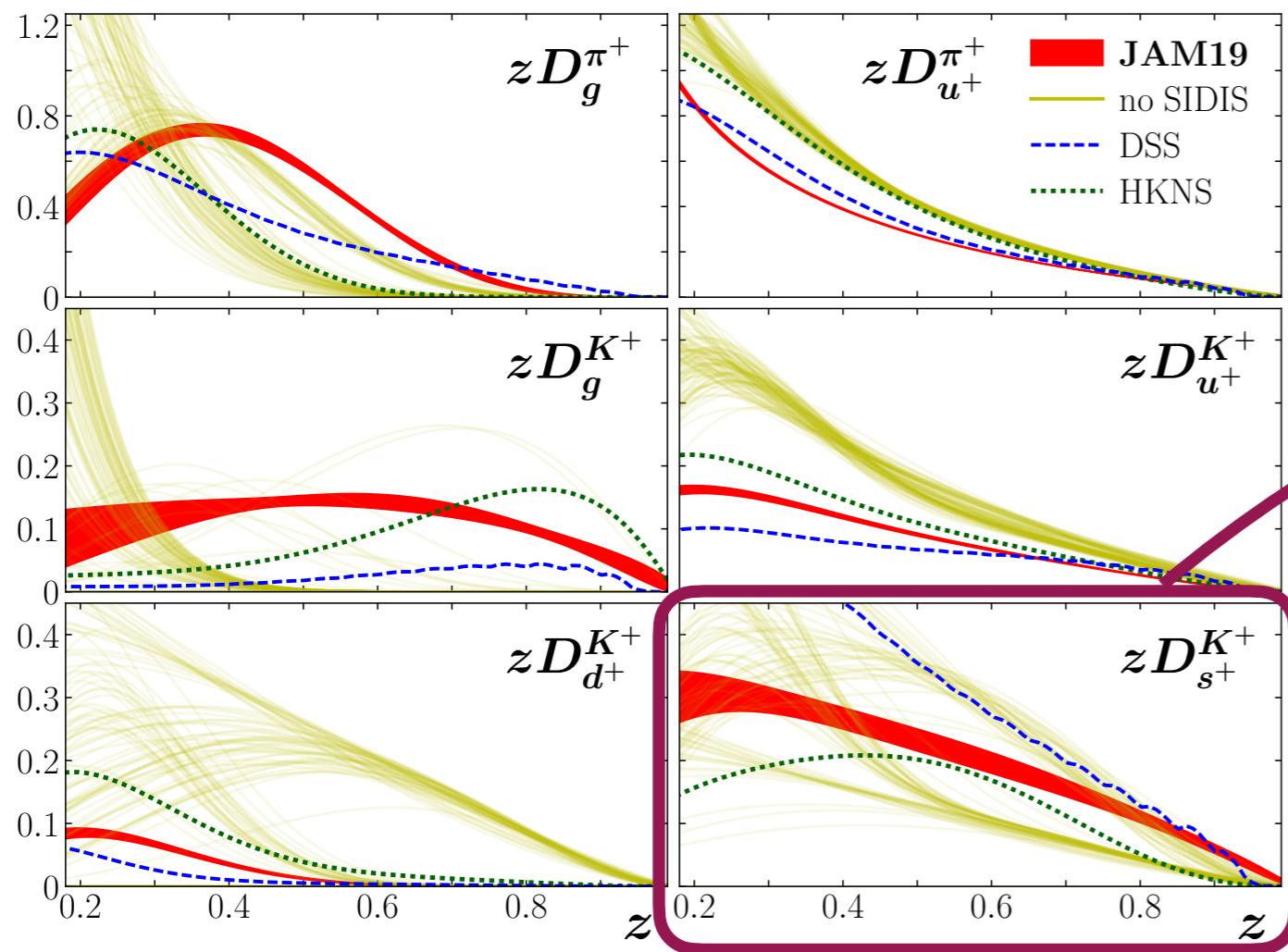
$$Q = m_c$$

JAM19: FF



$$Q = m_c$$

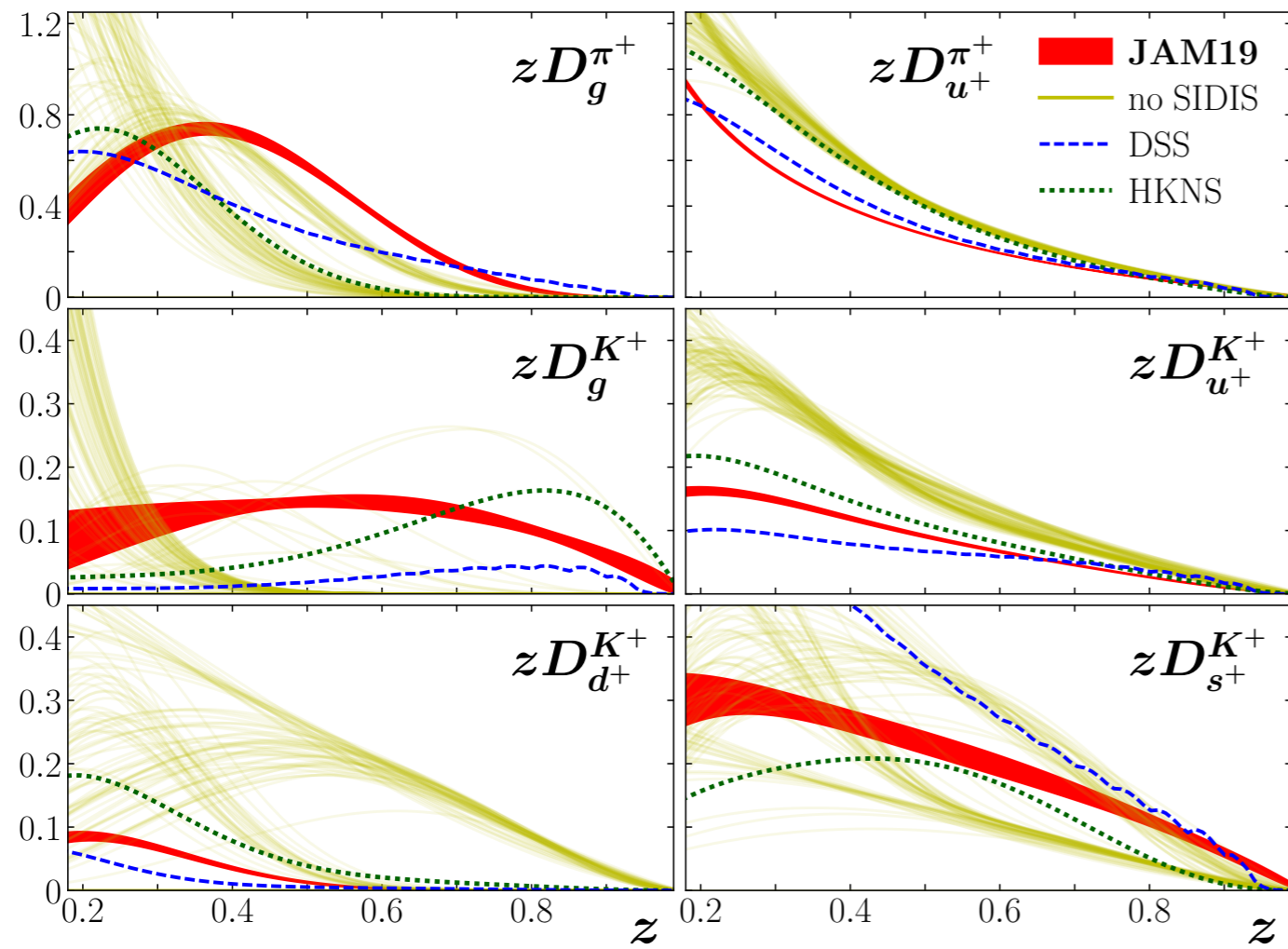
JAM19: FF



Large $\bar{s} \rightarrow K^+$

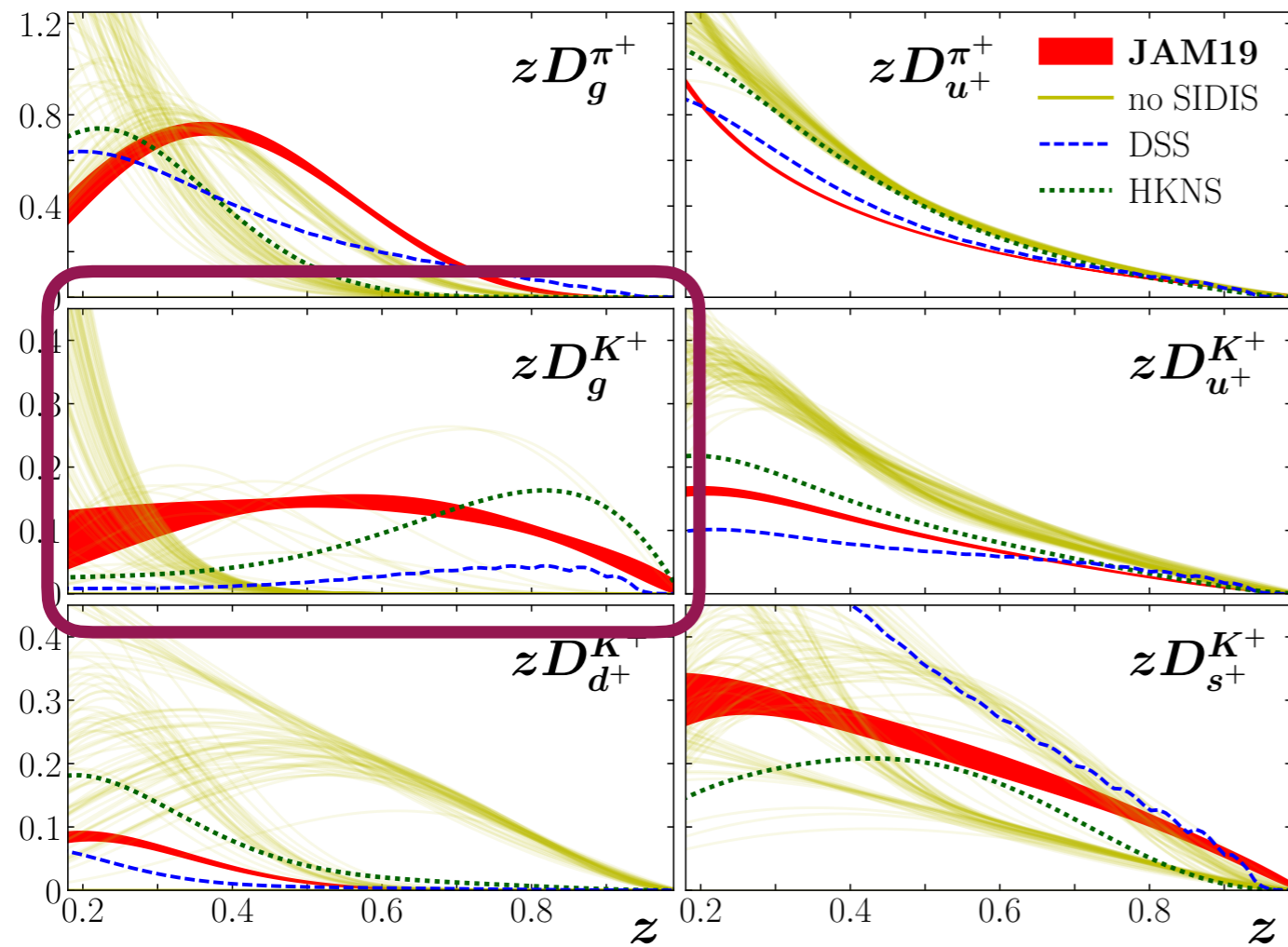
$Q = m_c$

JAM19: FF



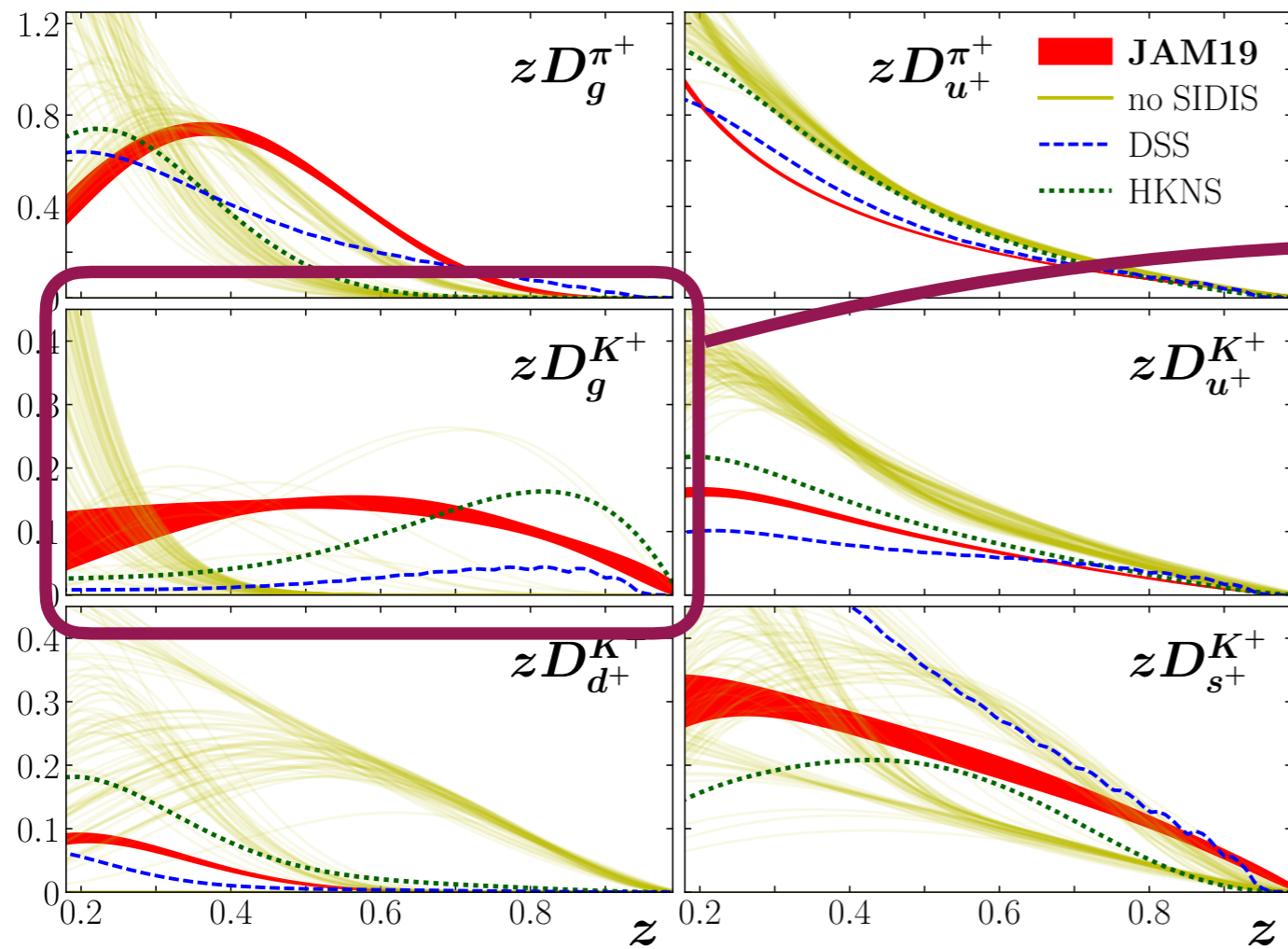
$$Q = m_c$$

JAM19: FF

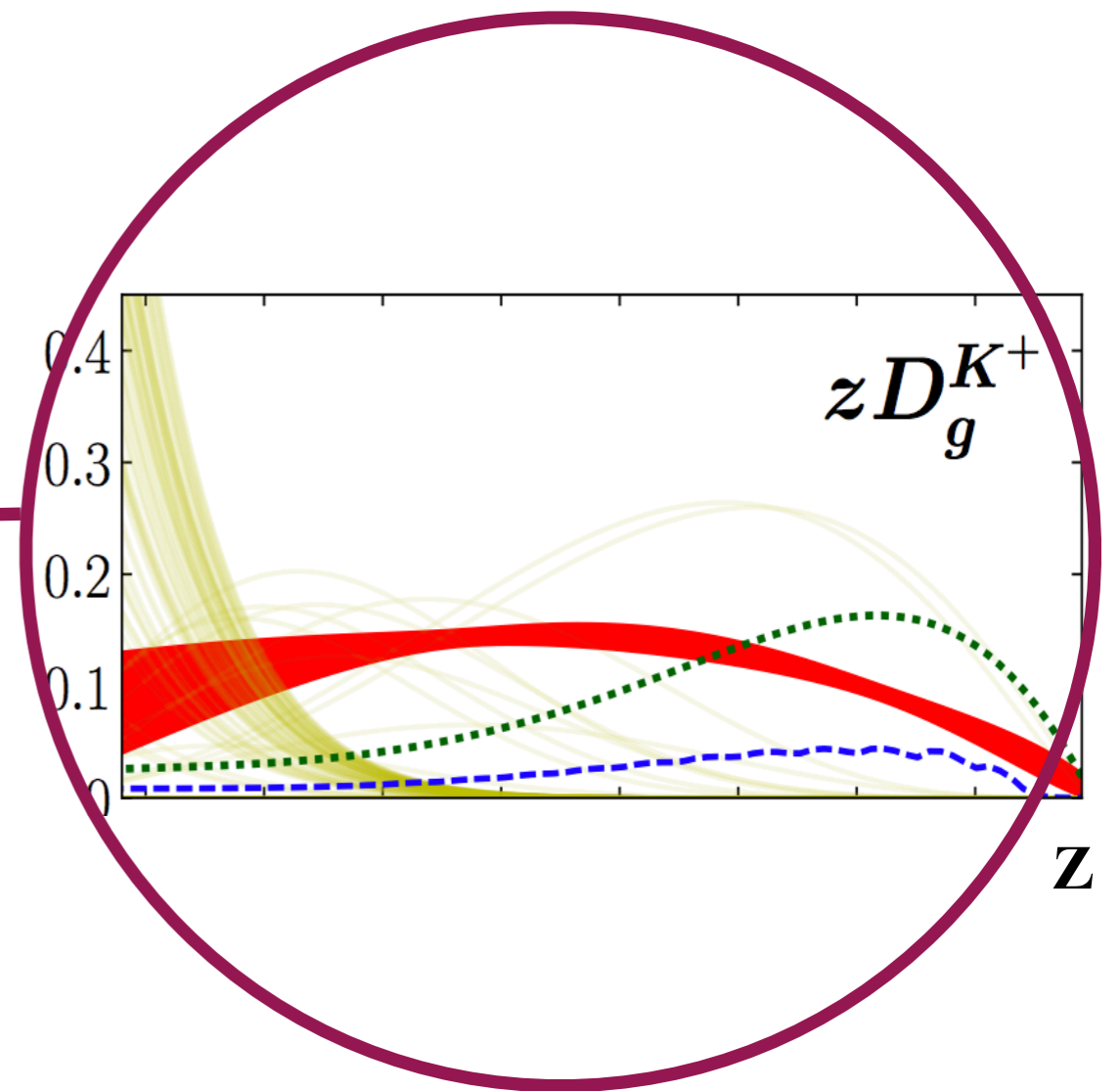


$$Q = m_c$$

JAM19: FF

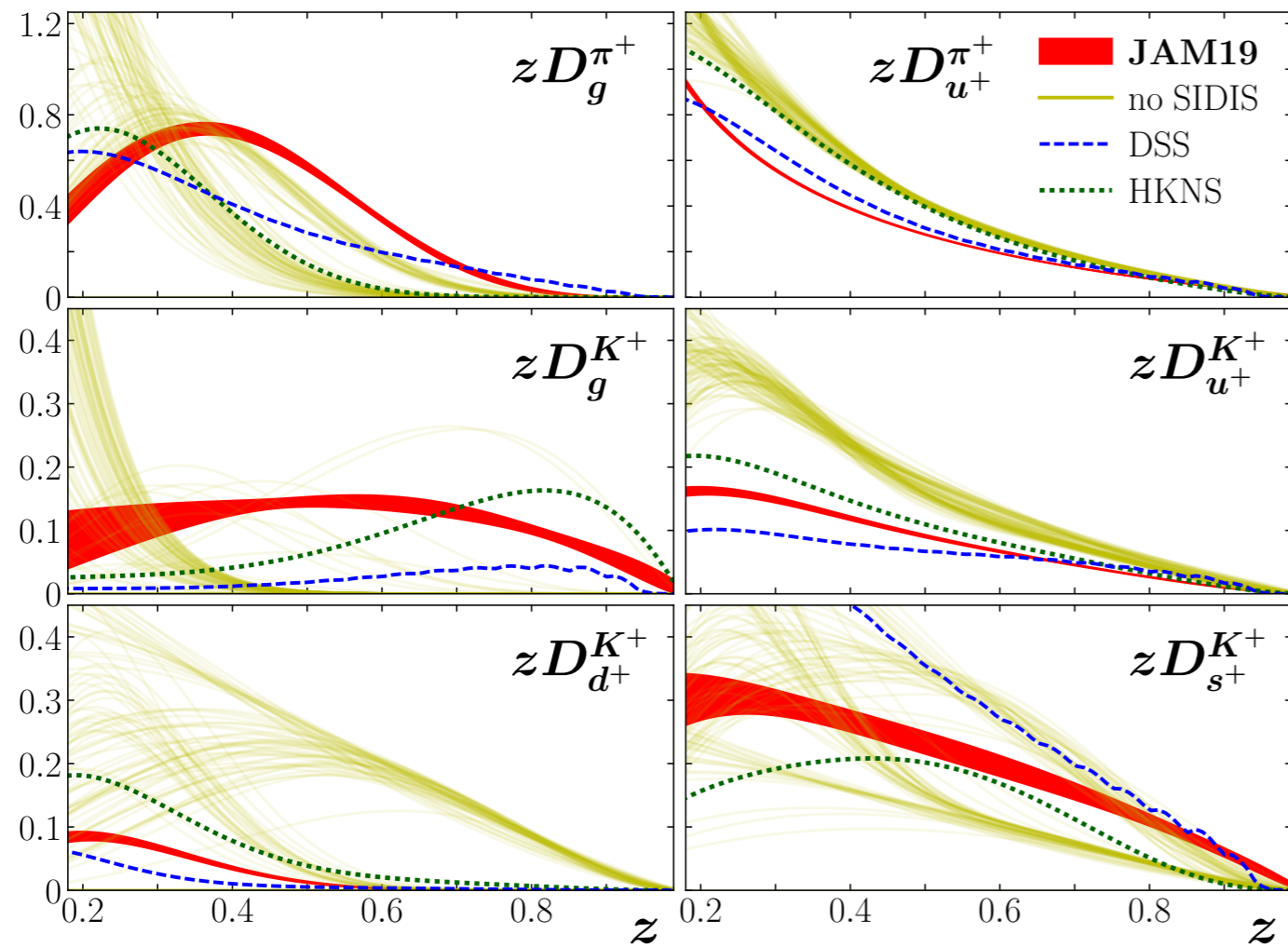


$Q = m_c$



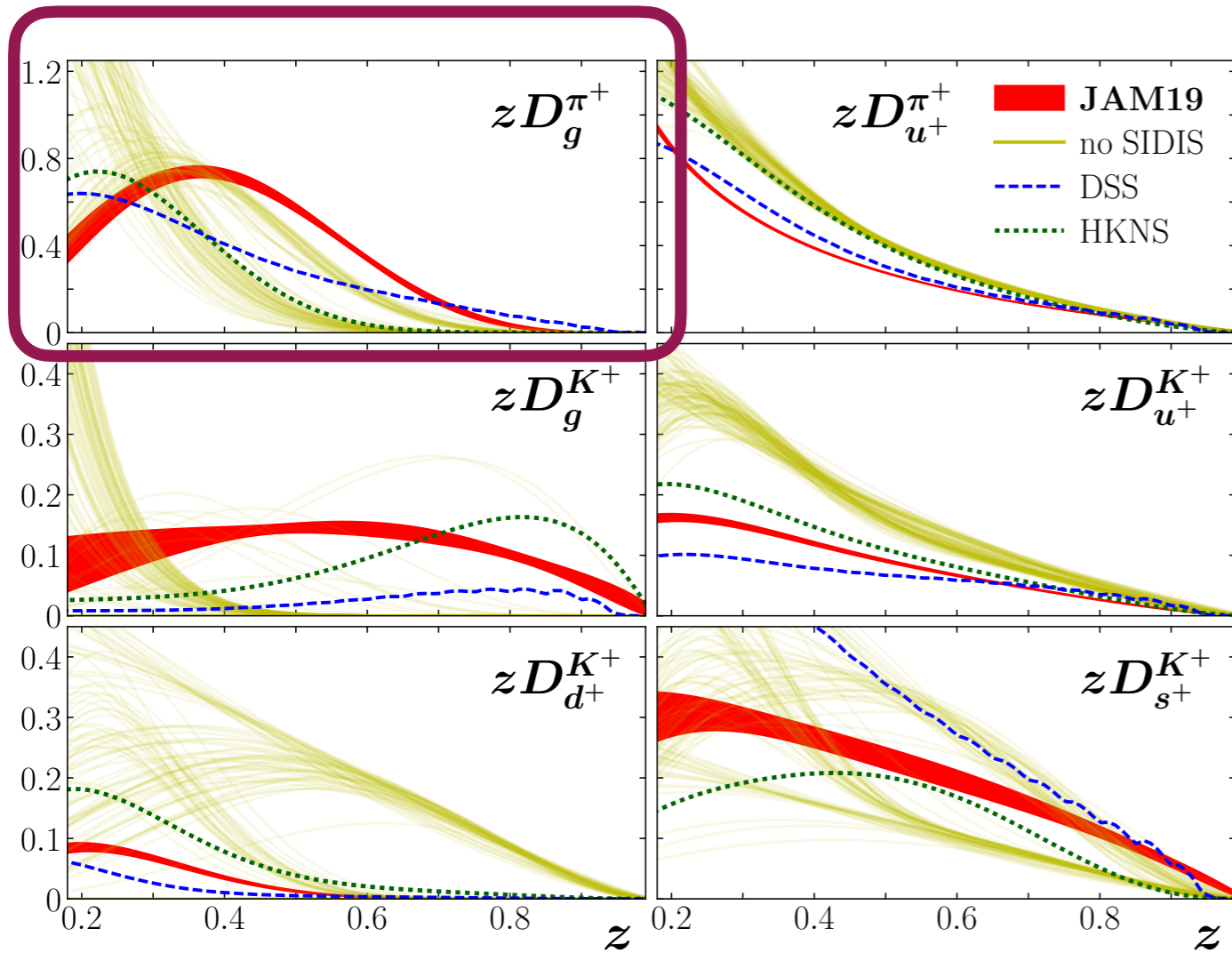
Constraints on
 $g \rightarrow K^+$

JAM19: FF



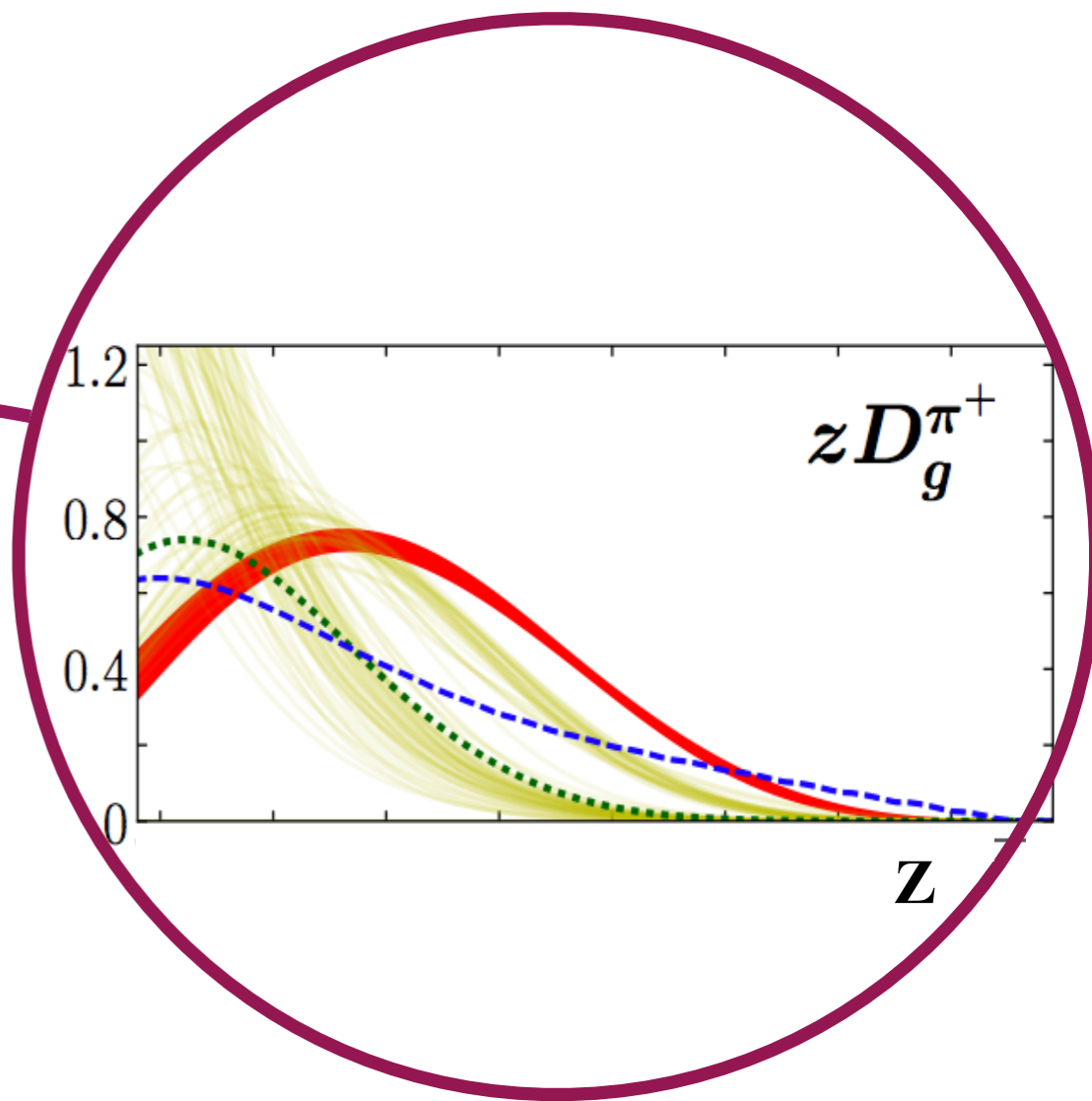
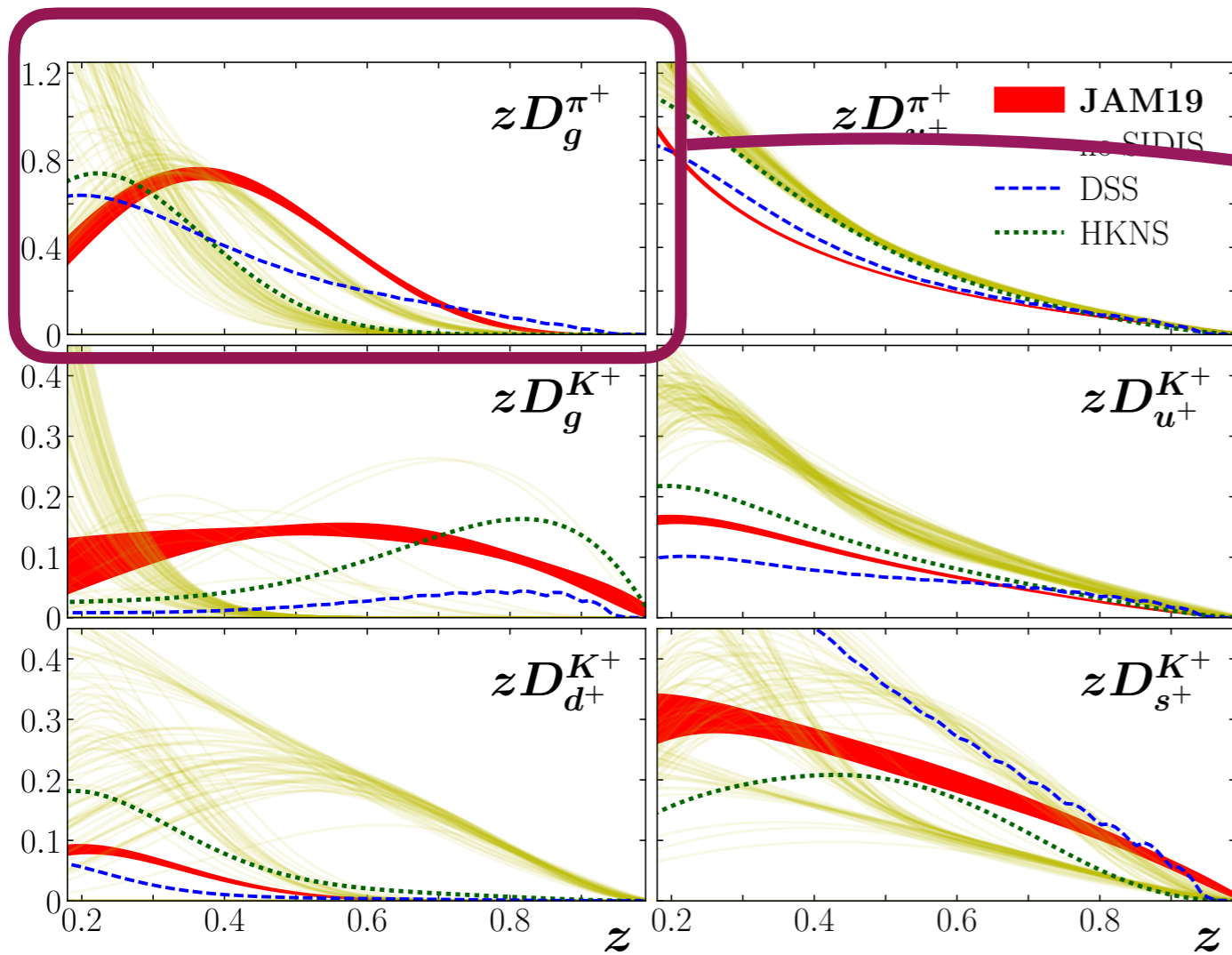
$$Q = m_c$$

JAM19: FF



$$Q = m_c$$

JAM19: FF

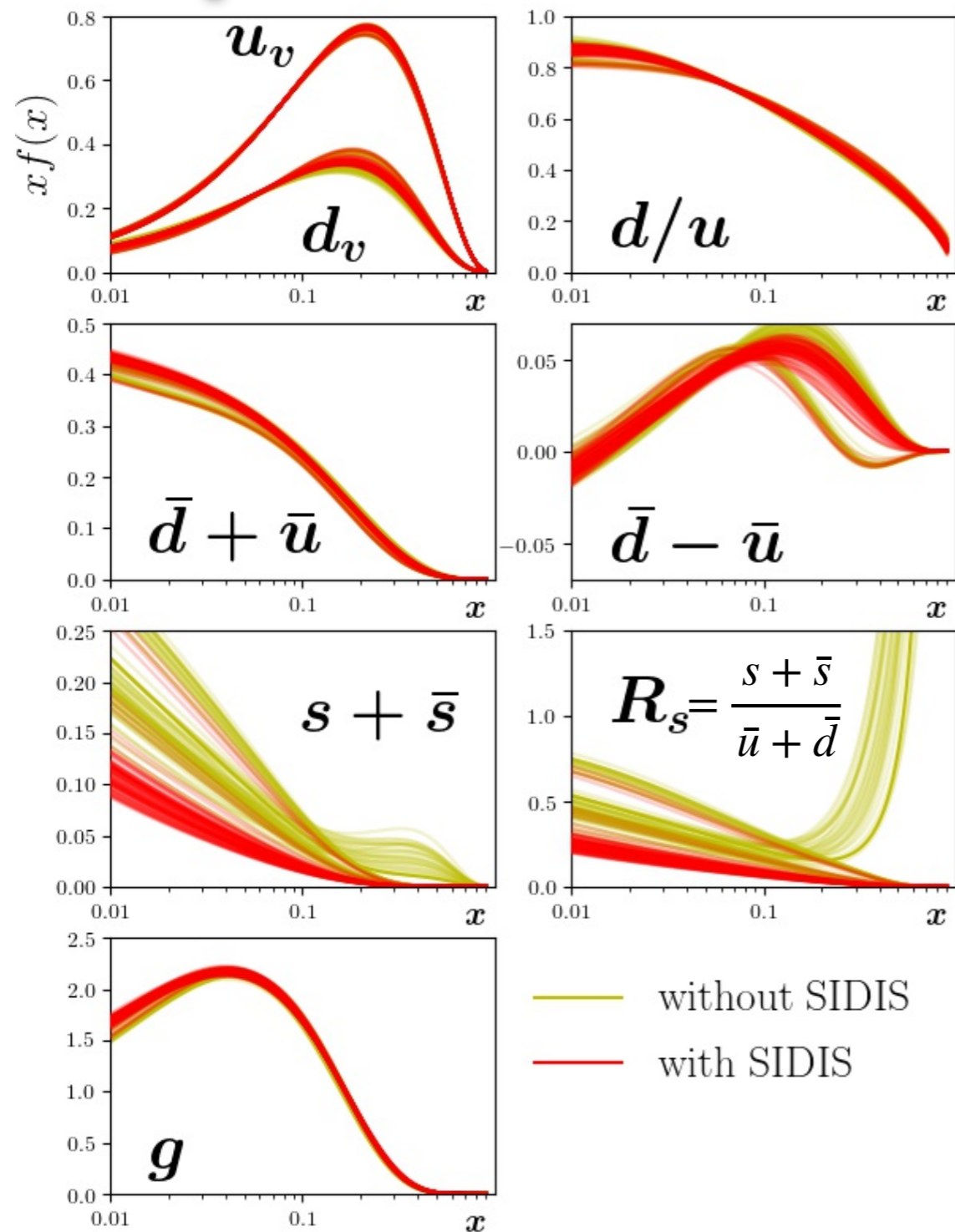


$Q = m_c$

Constraints on
 $g \rightarrow \pi^+$

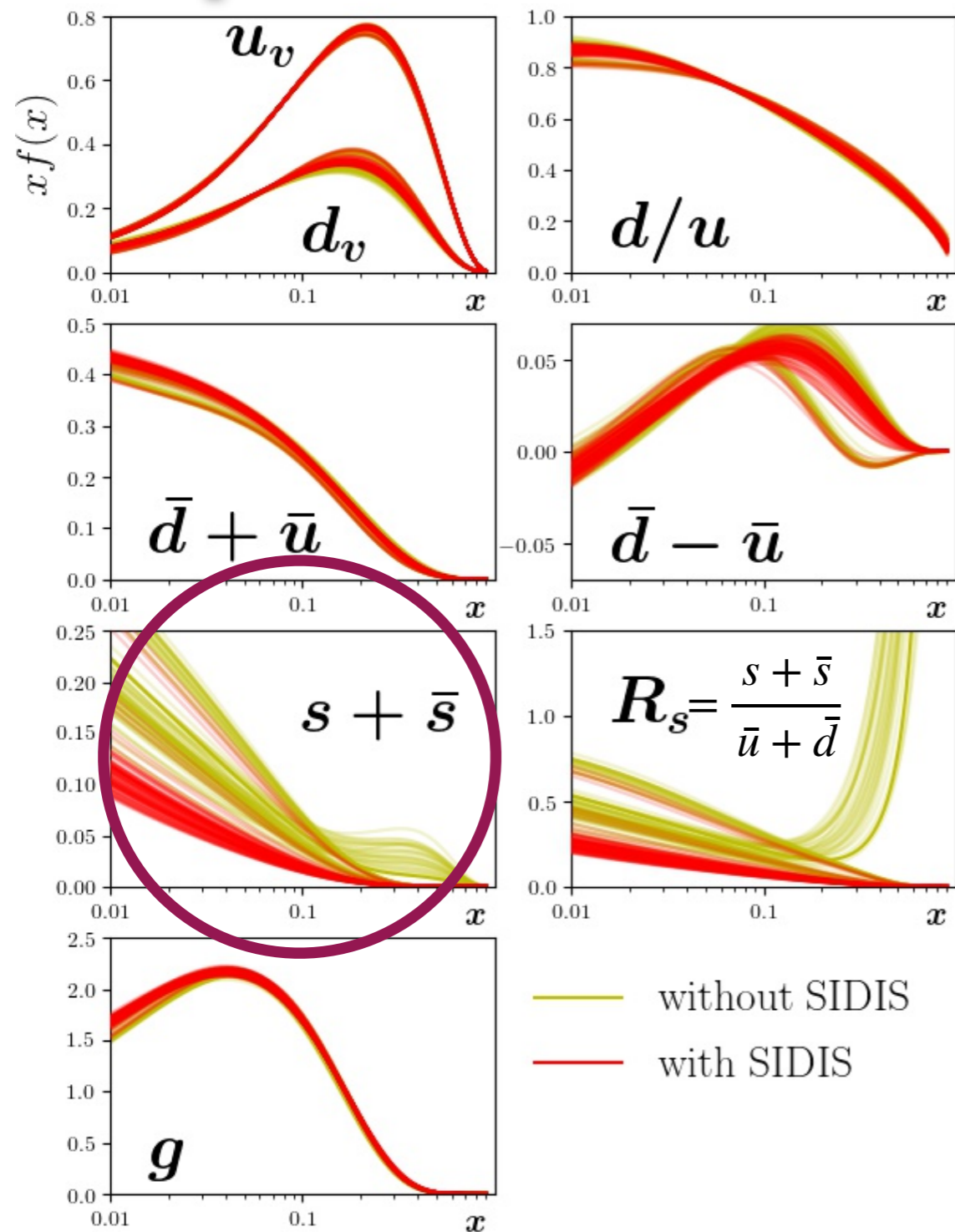
Impact of SIDIS data

Impact of SIDIS data on PDFs



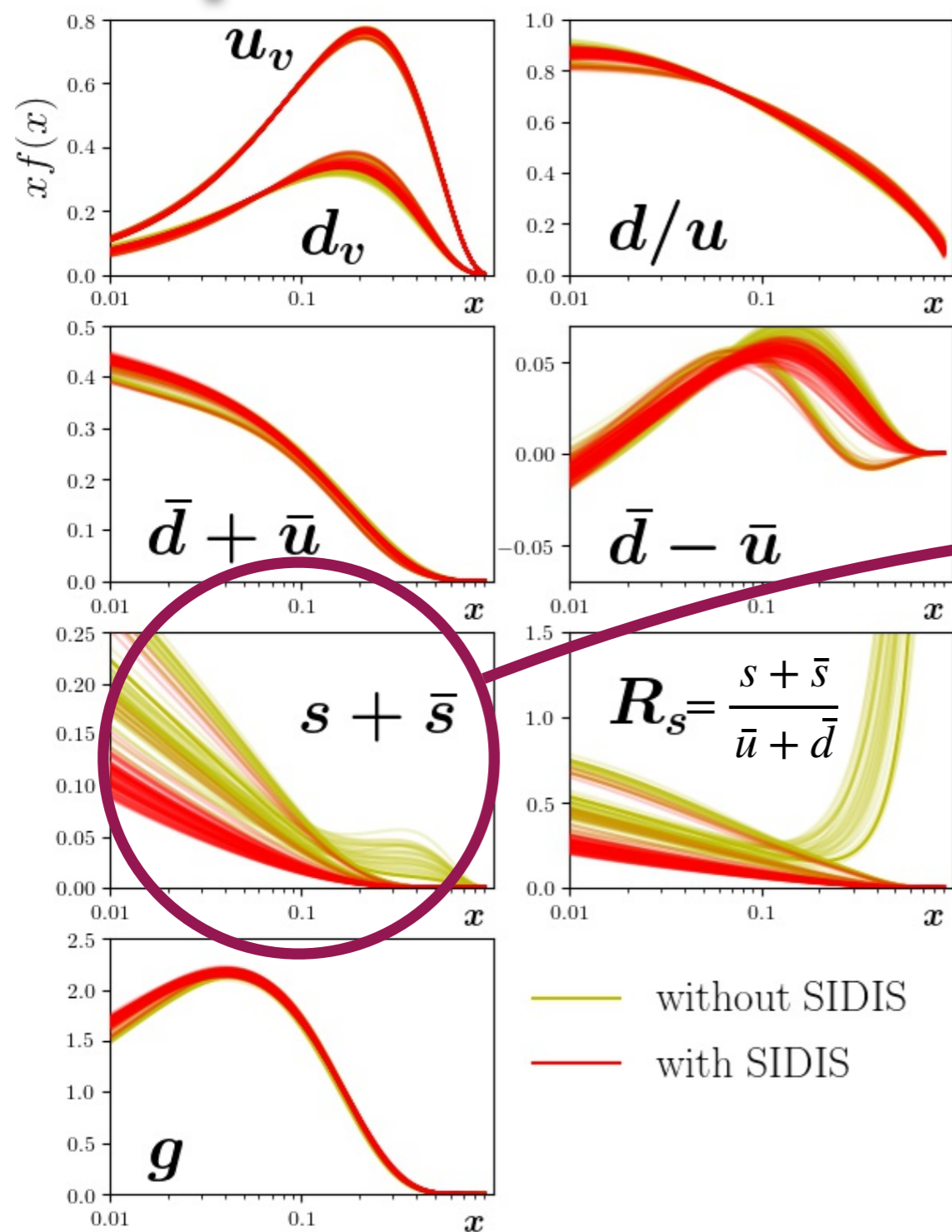
$Q = m_c$

Impact of SIDIS data on PDFs

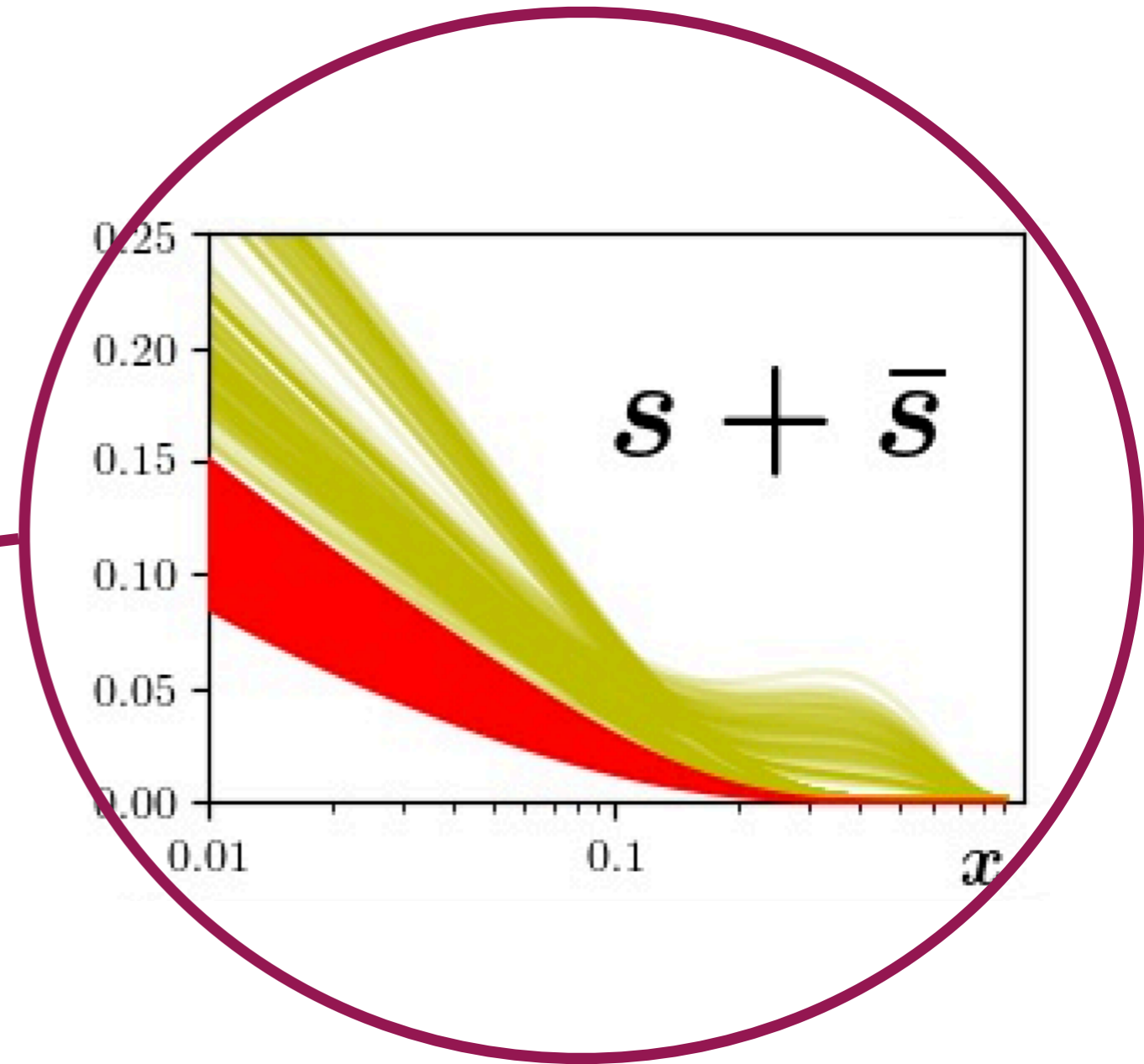


$$Q = m_c$$

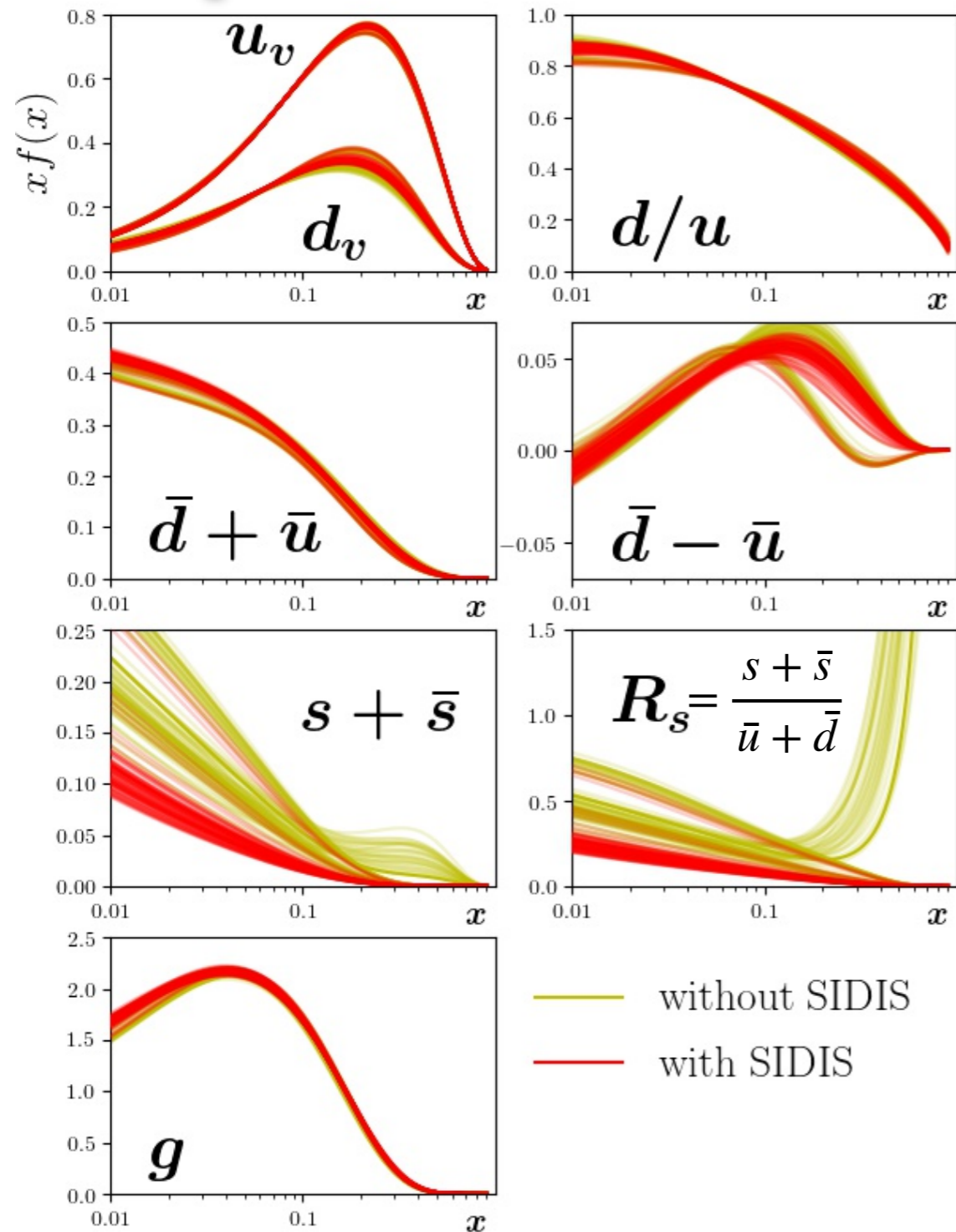
Impact of SIDIS data on PDFs



$Q = m_c$

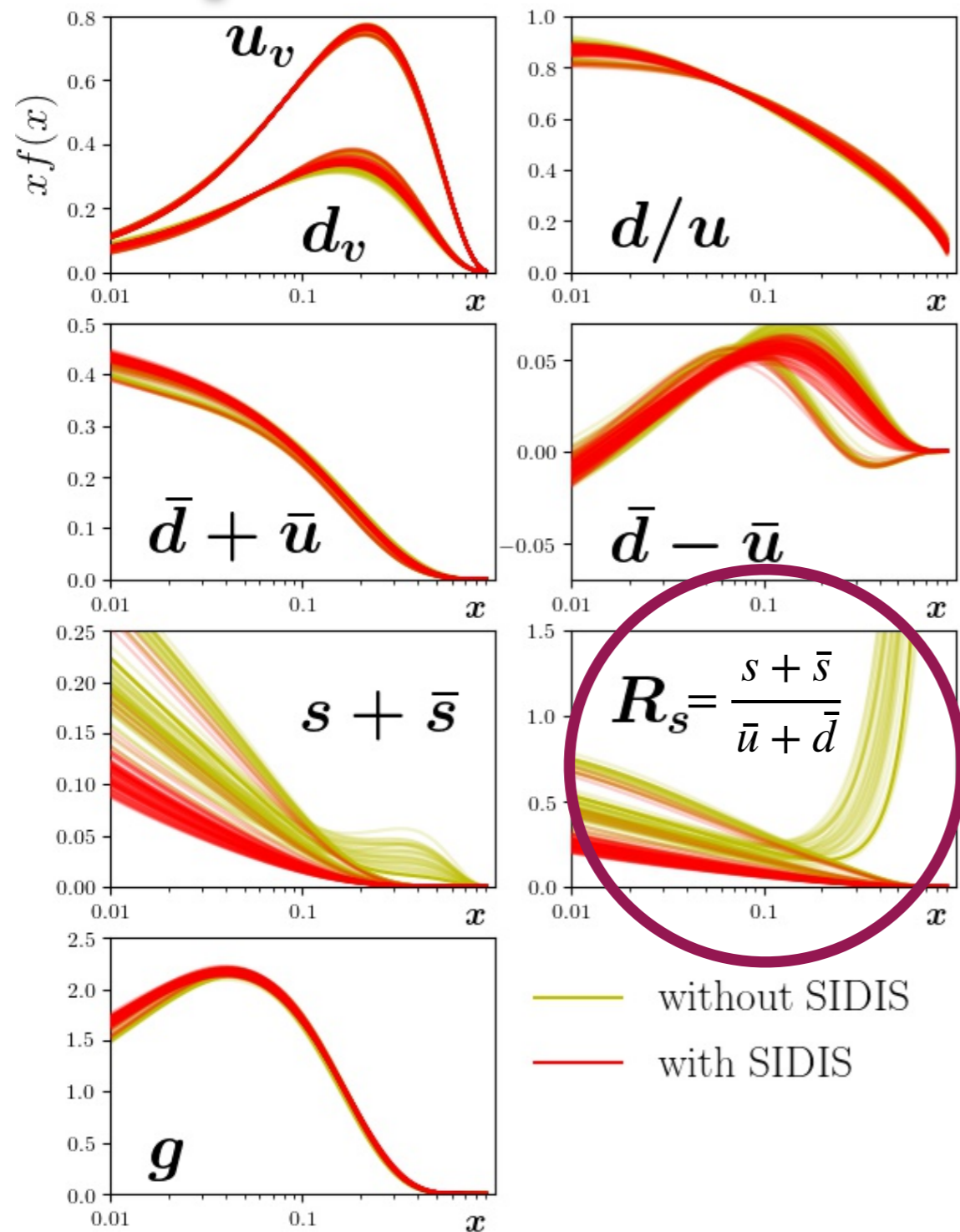


Impact of SIDIS data on PDFs



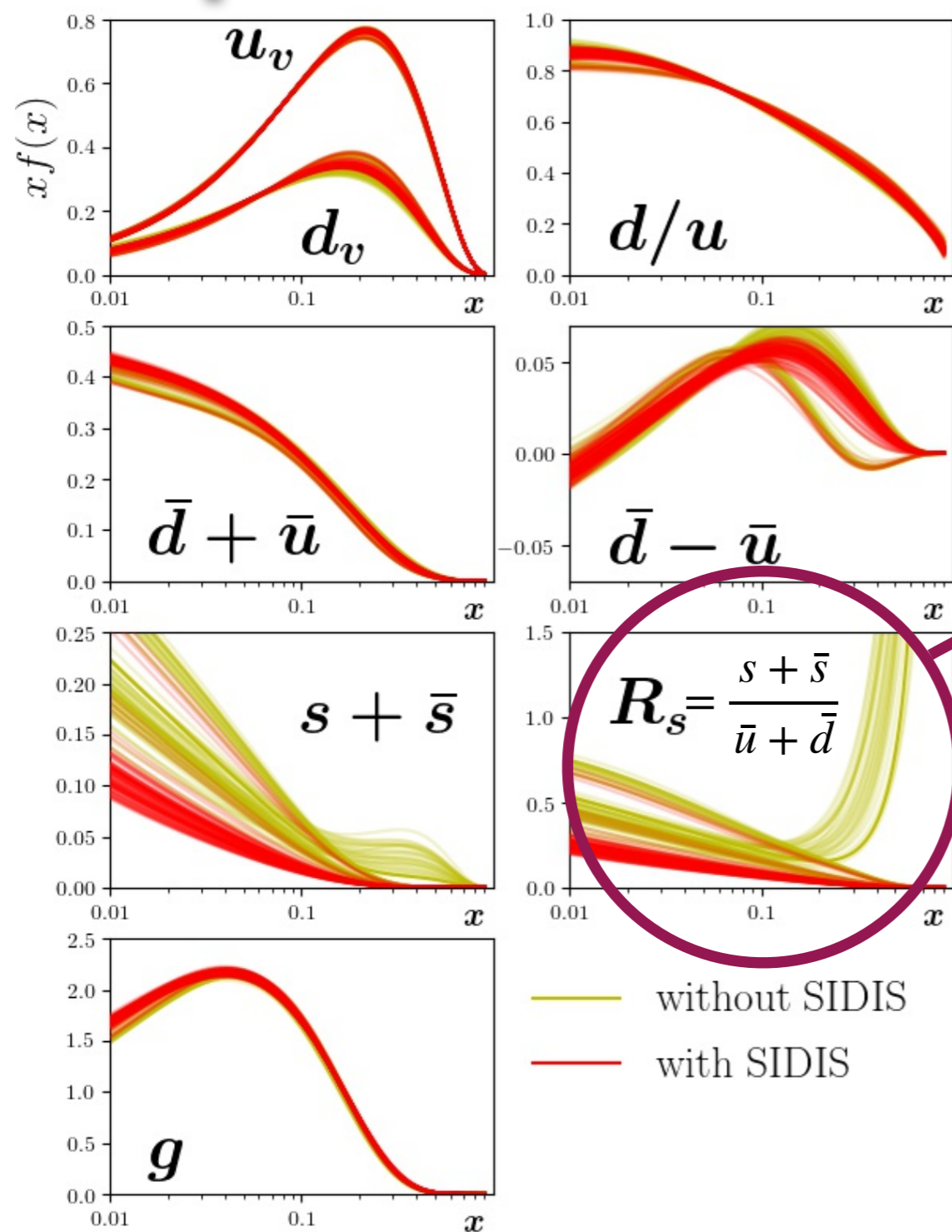
$Q = m_c$

Impact of SIDIS data on PDFs



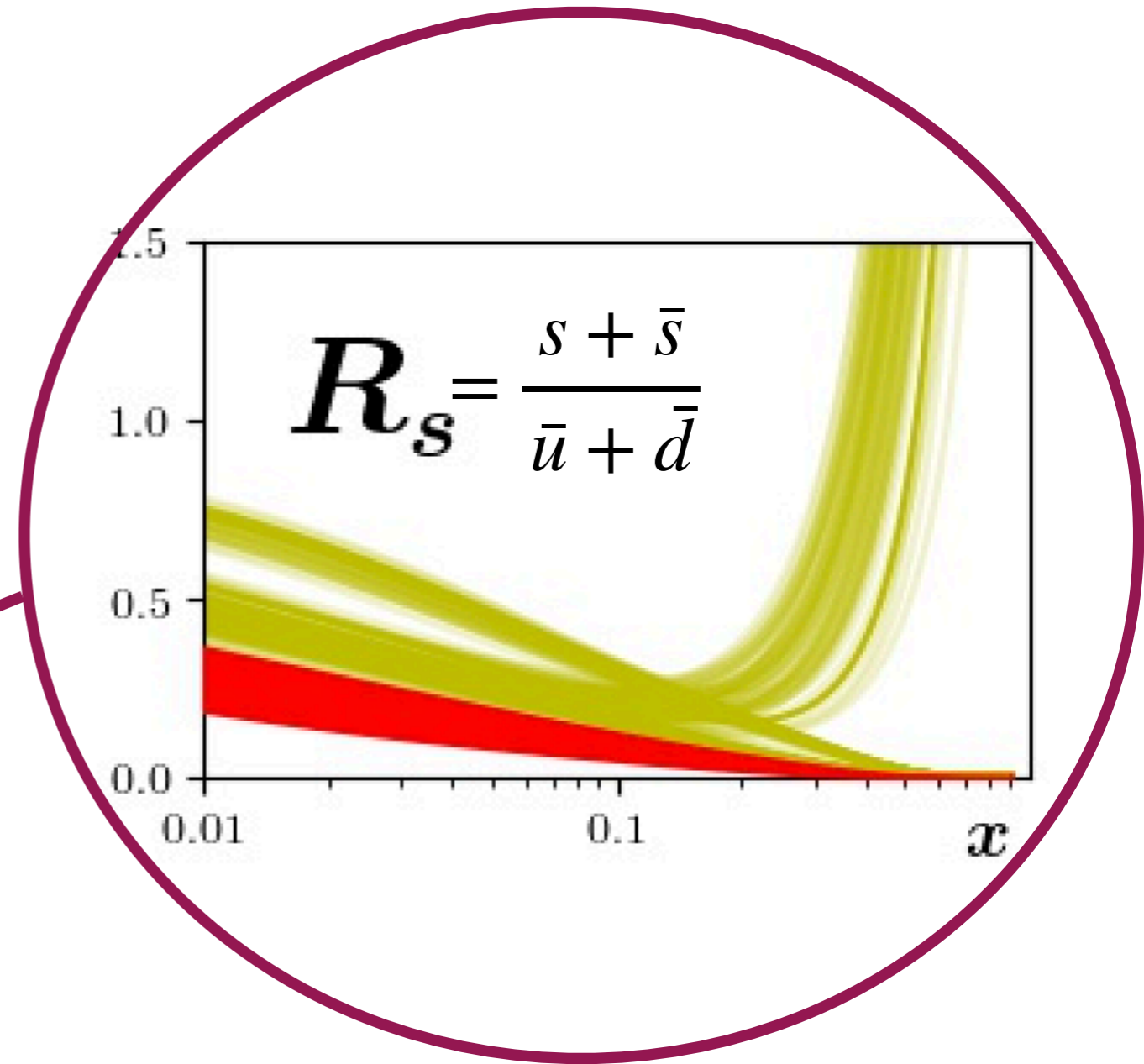
$Q = m_c$

Impact of SIDIS data on PDFs



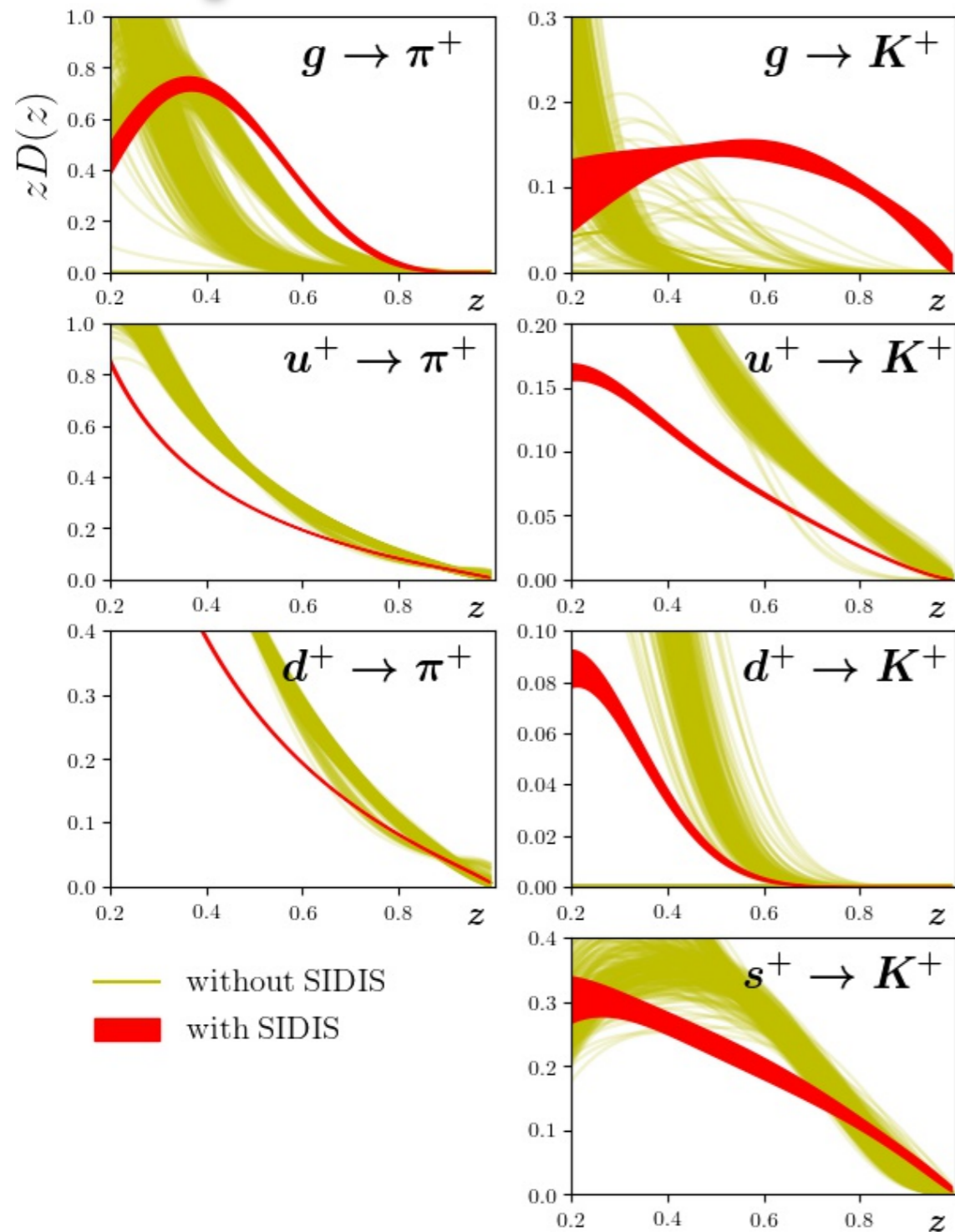
$Q = m_c$

— without SIDIS
— with SIDIS



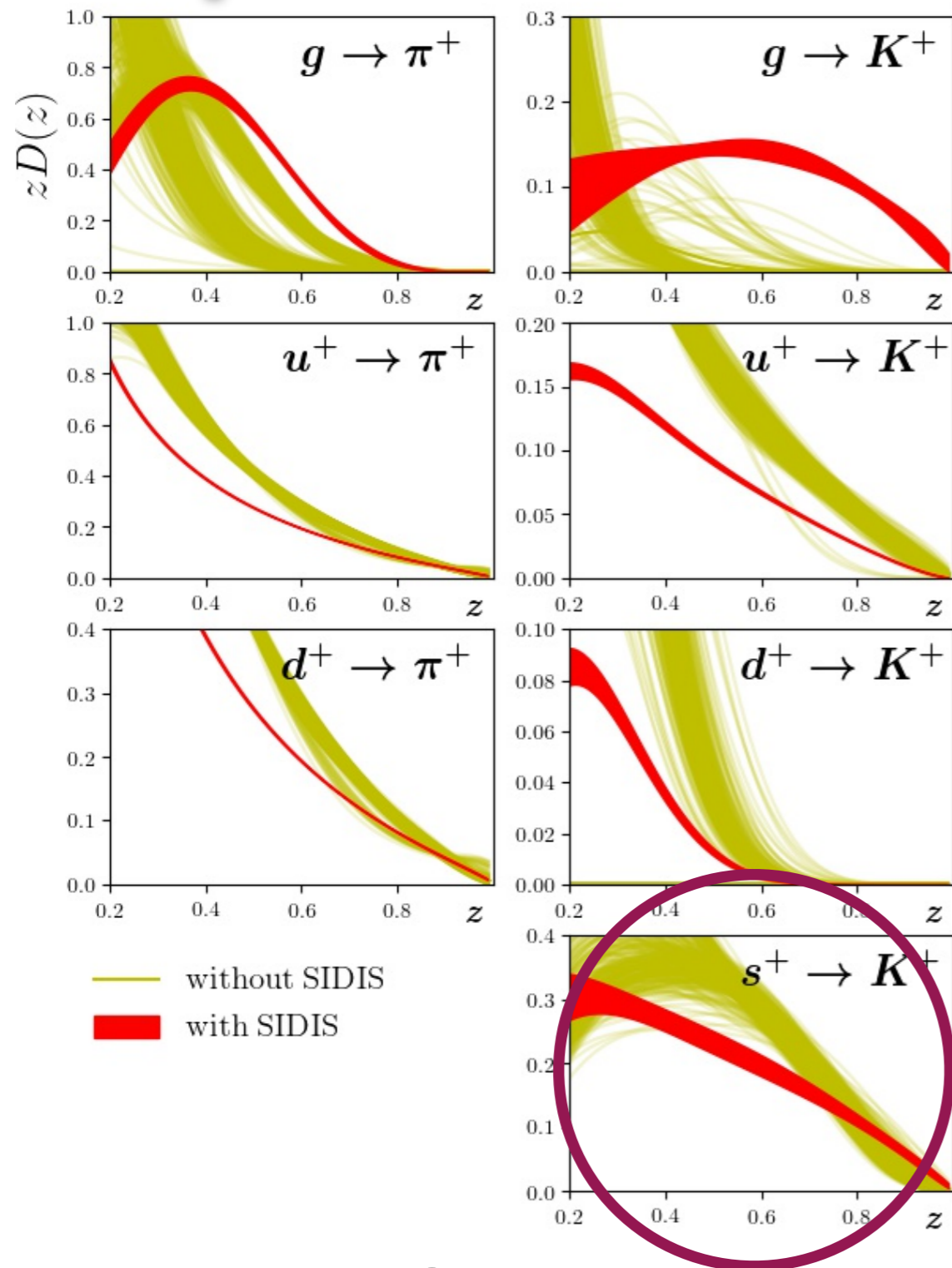
Strong strange
suppression

Impact of SIDIS data on FF



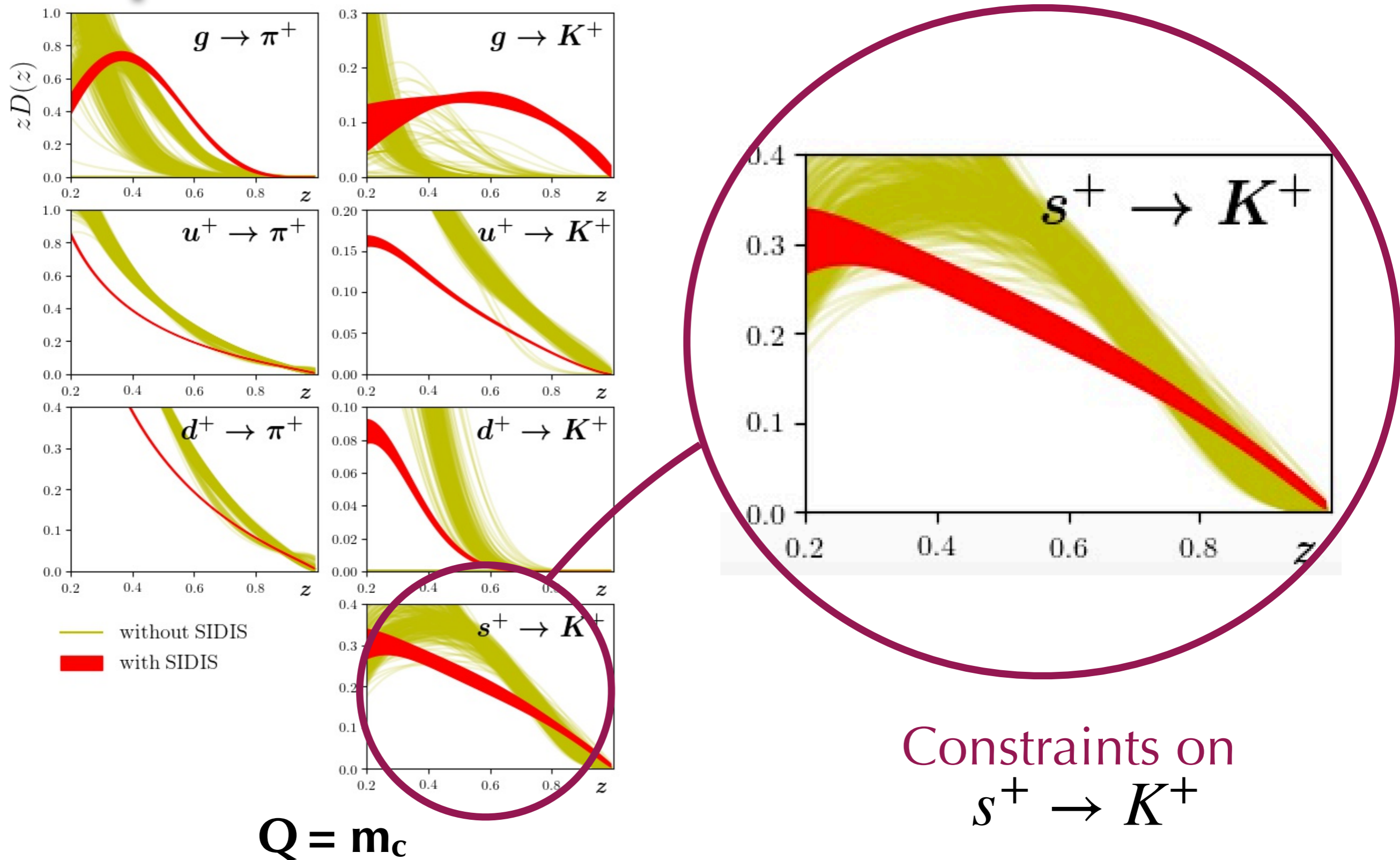
$Q = m_c$

Impact of SIDIS data on FF

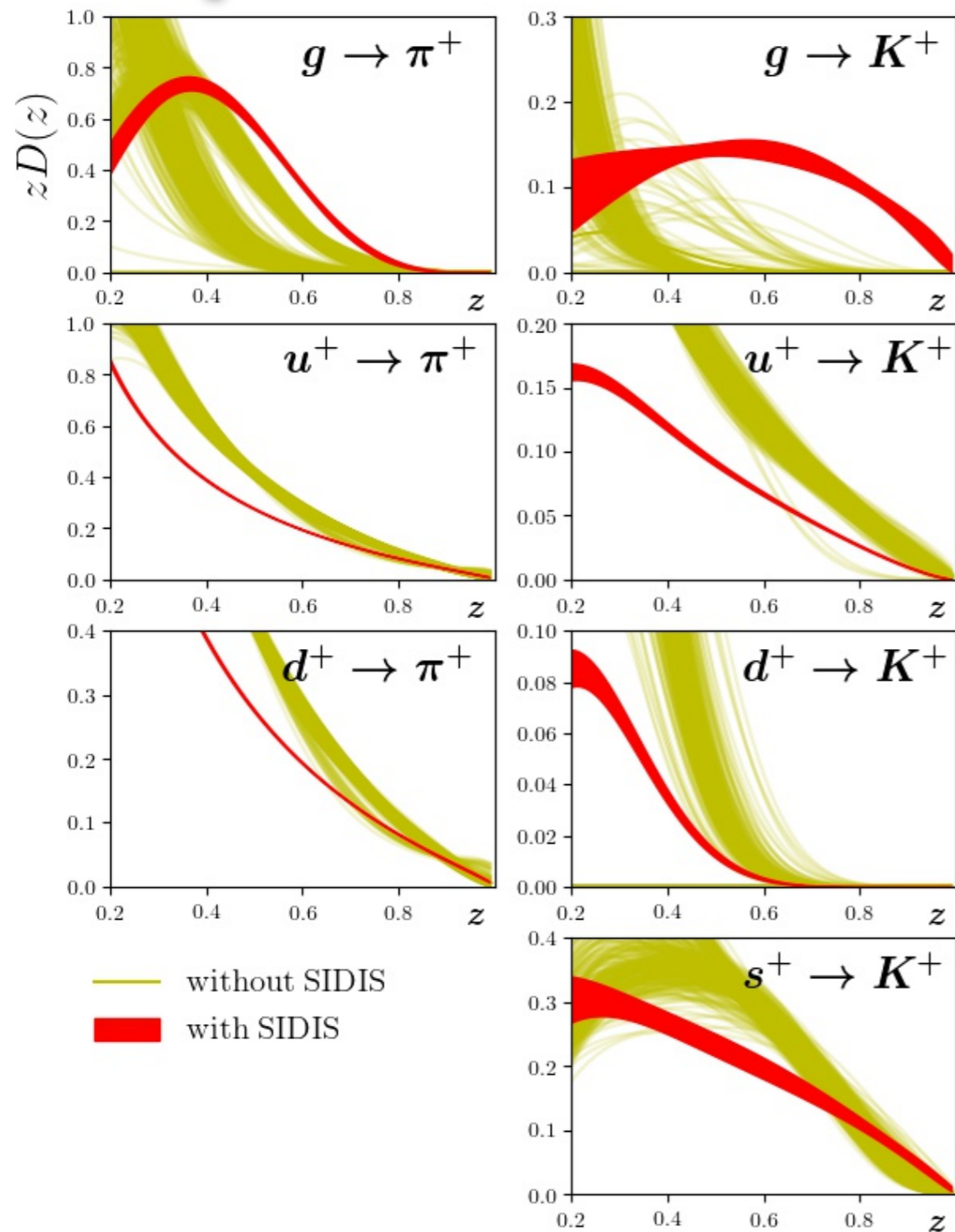


$Q = m_c$

Impact of SIDIS data on FF

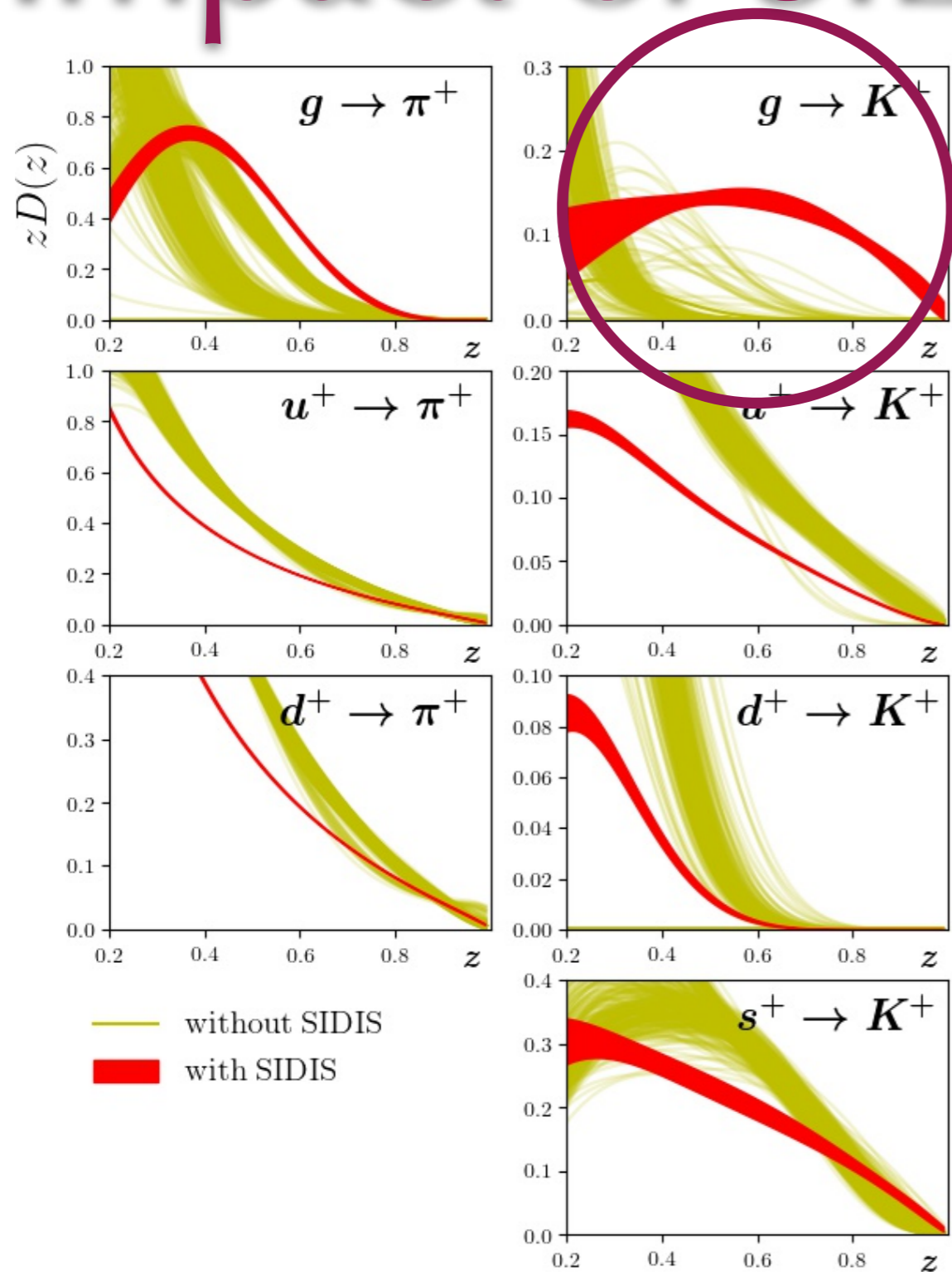


Impact of SIDIS data on FF



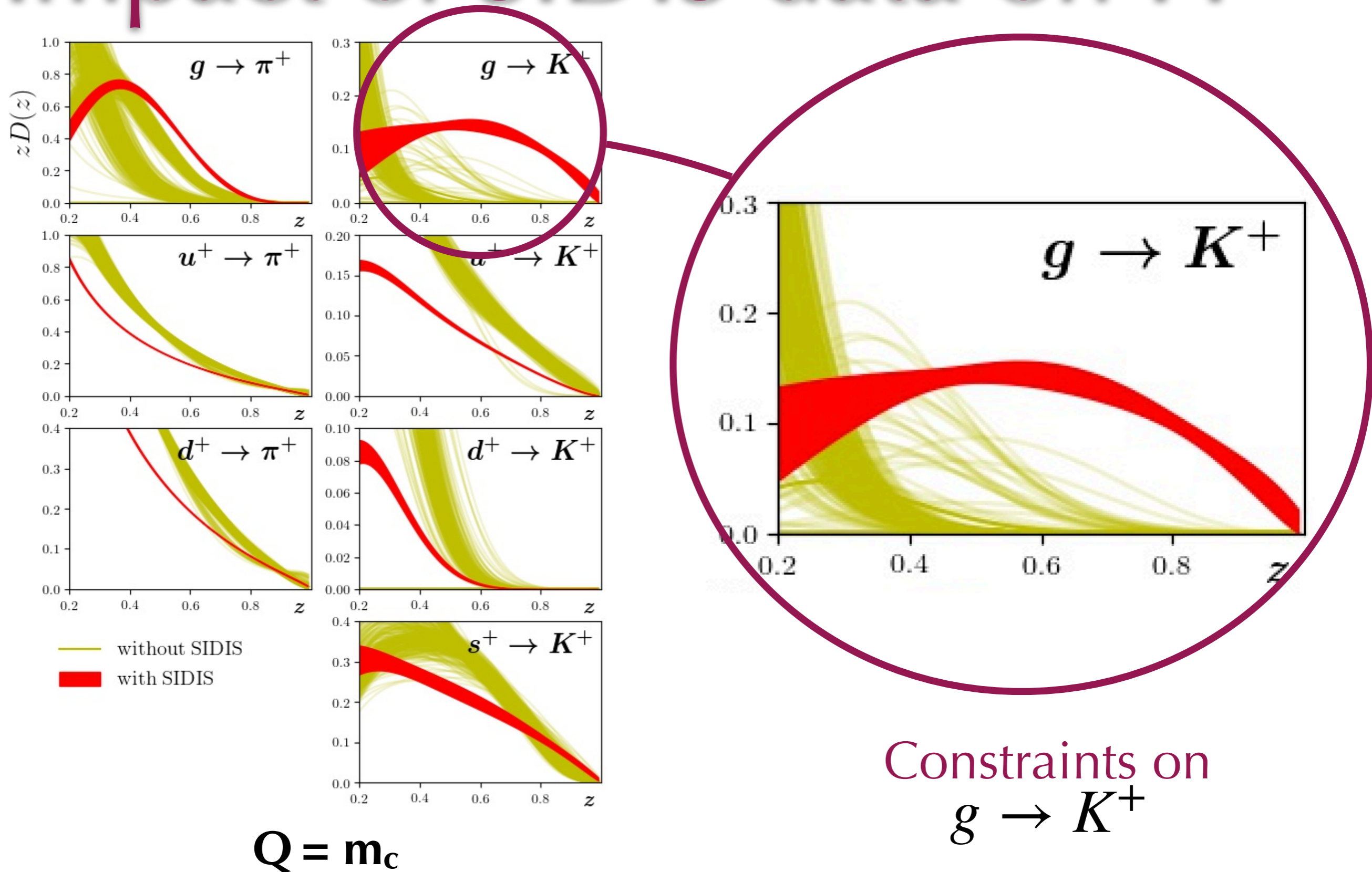
$Q = m_c$

Impact of SIDIS data on FF

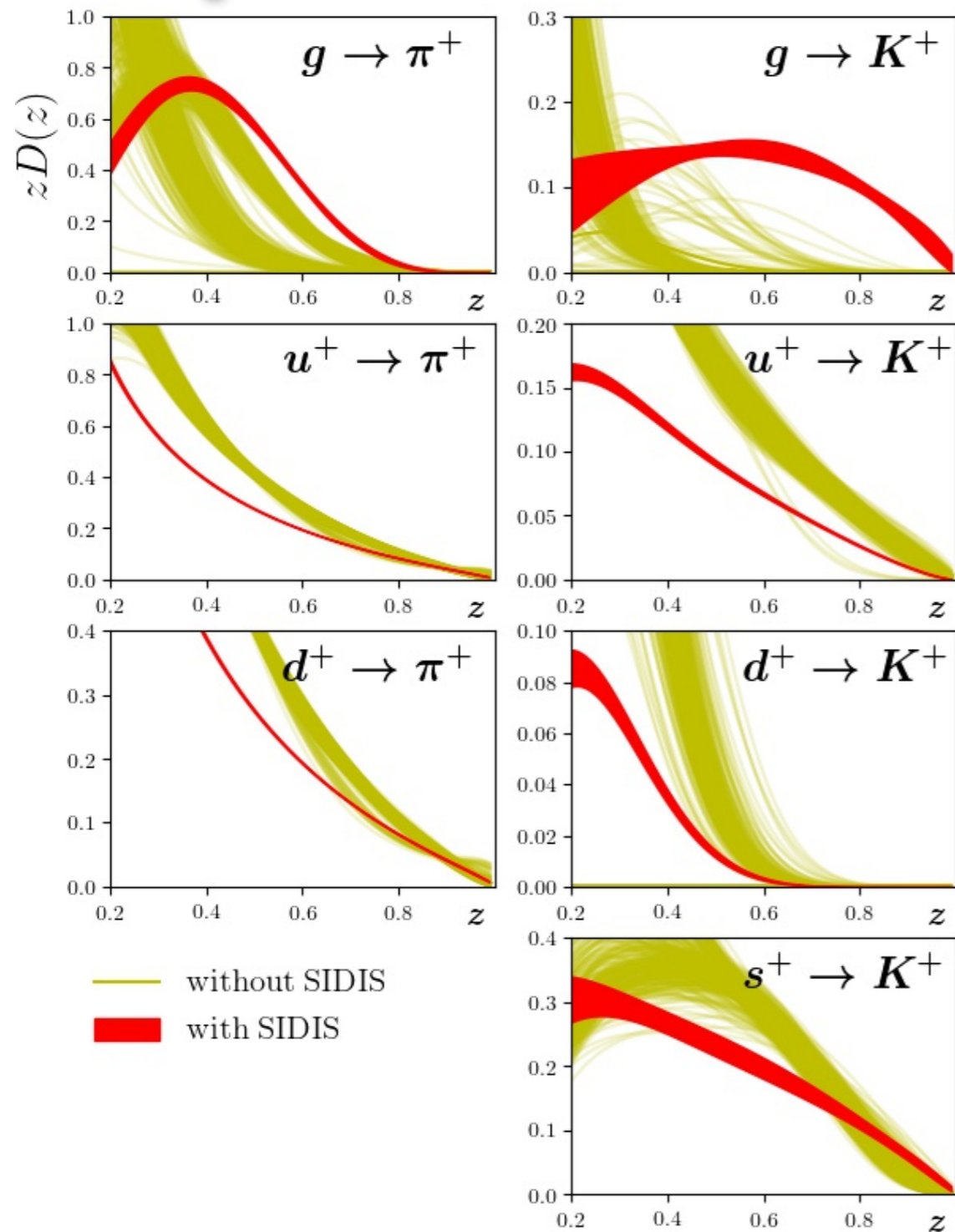


$Q = m_c$

Impact of SIDIS data on FF

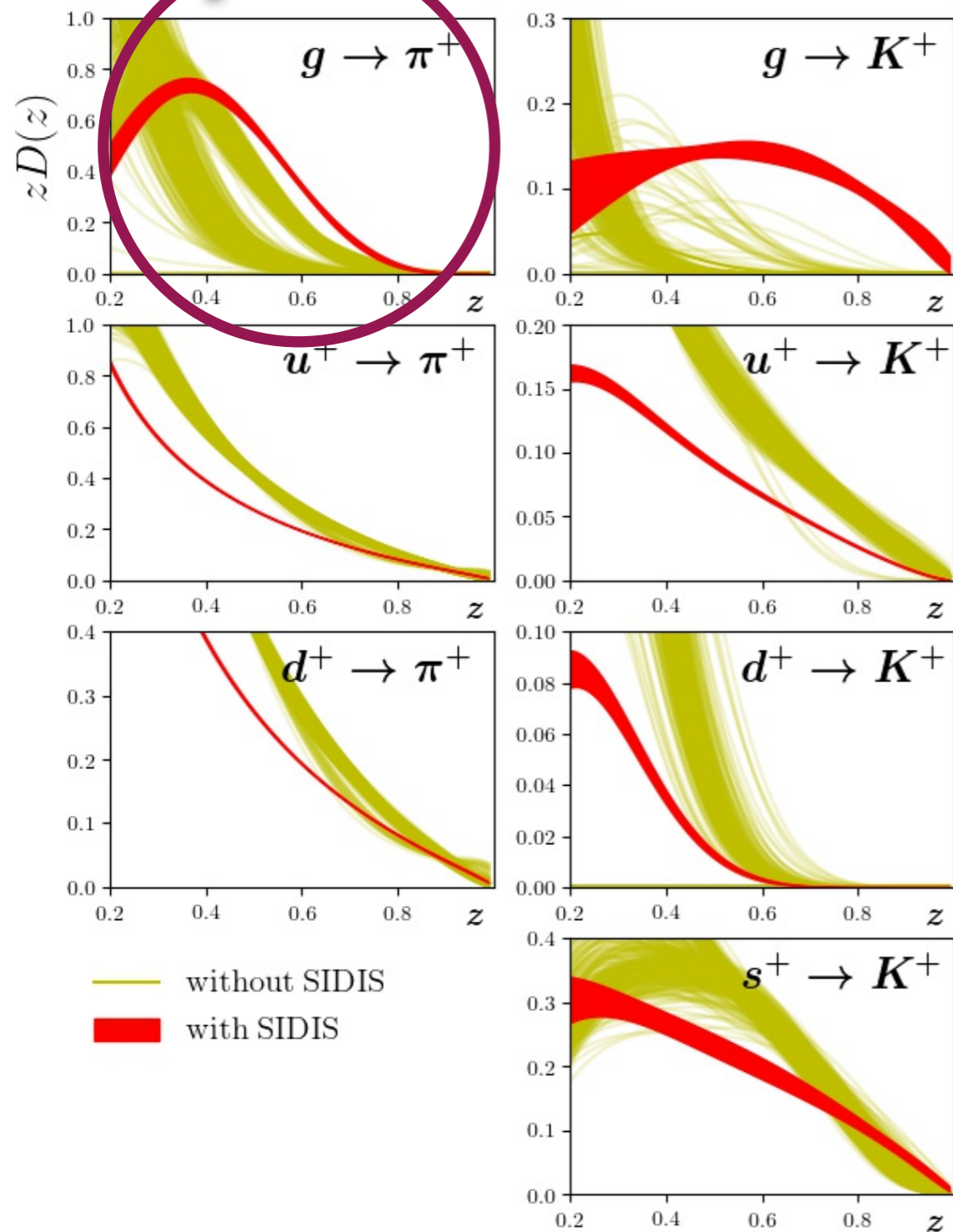


Impact of SIDIS data on FF



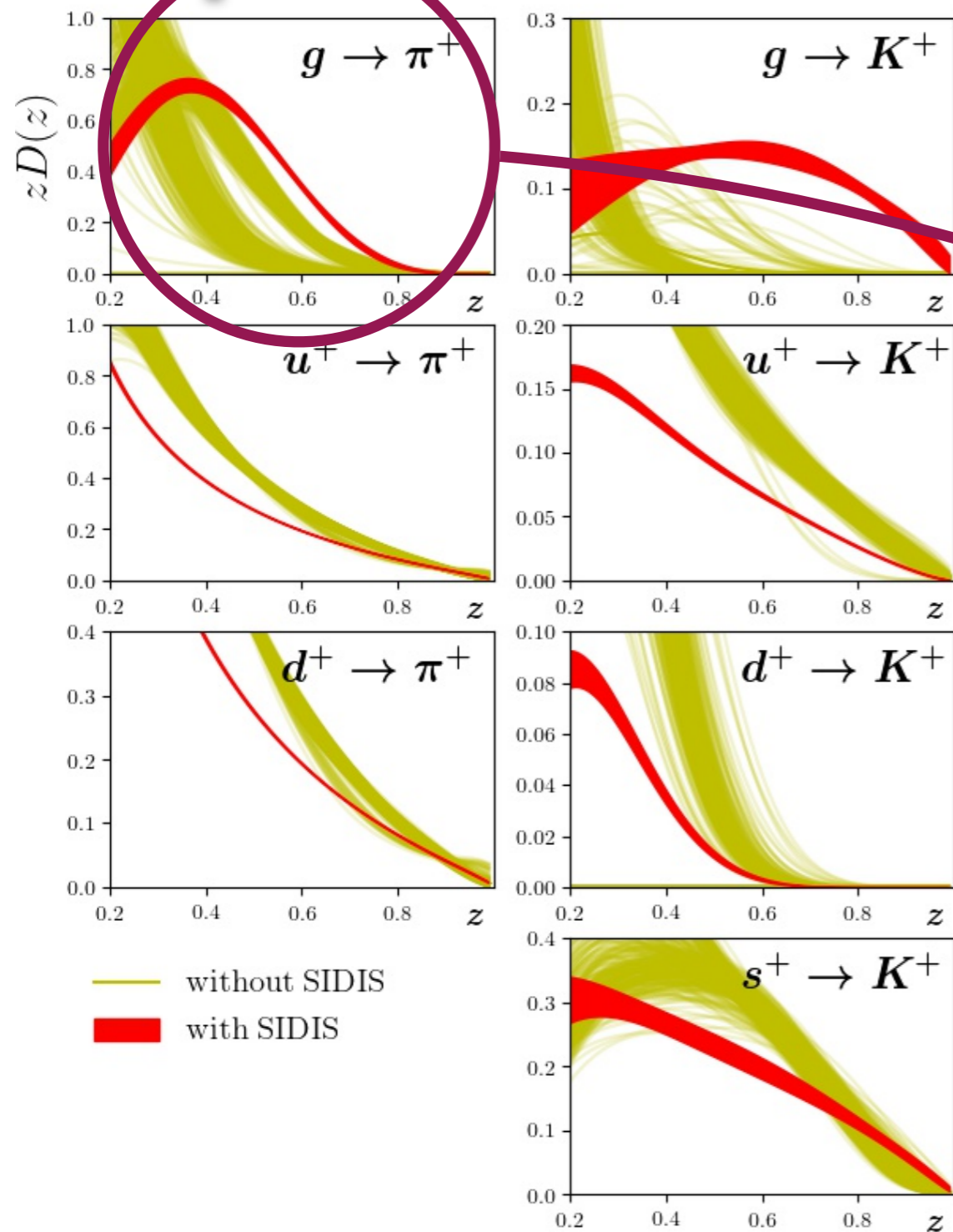
$Q = m_c$

Impact of SIDIS data on FF

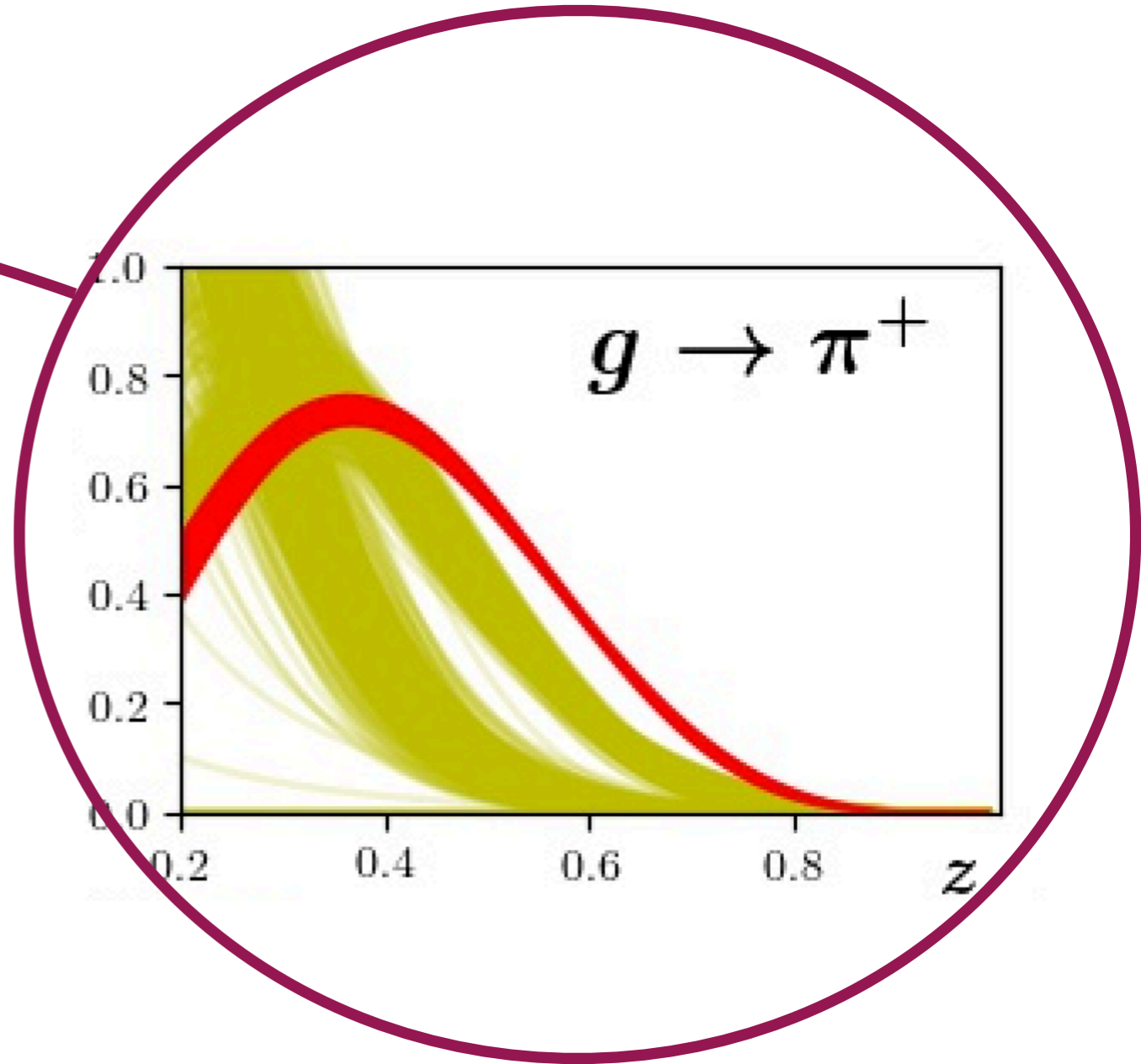


$Q = m_c$

Impact of SIDIS data on FF



$Q = m_c$



Constraints on
 $g \rightarrow \pi^+$

Summary

- First MC fit of PDFs and FFs using DIS, DY, SIDIS and SIA data
- MC statistical methods are important for a robust extraction of non-perturbative collinear distributions
- JAM19 Methodology: MC (multi-steps), k-means clustering, 'extended' reduced χ^2
- Strange PDF strongly suppressed

Thanks

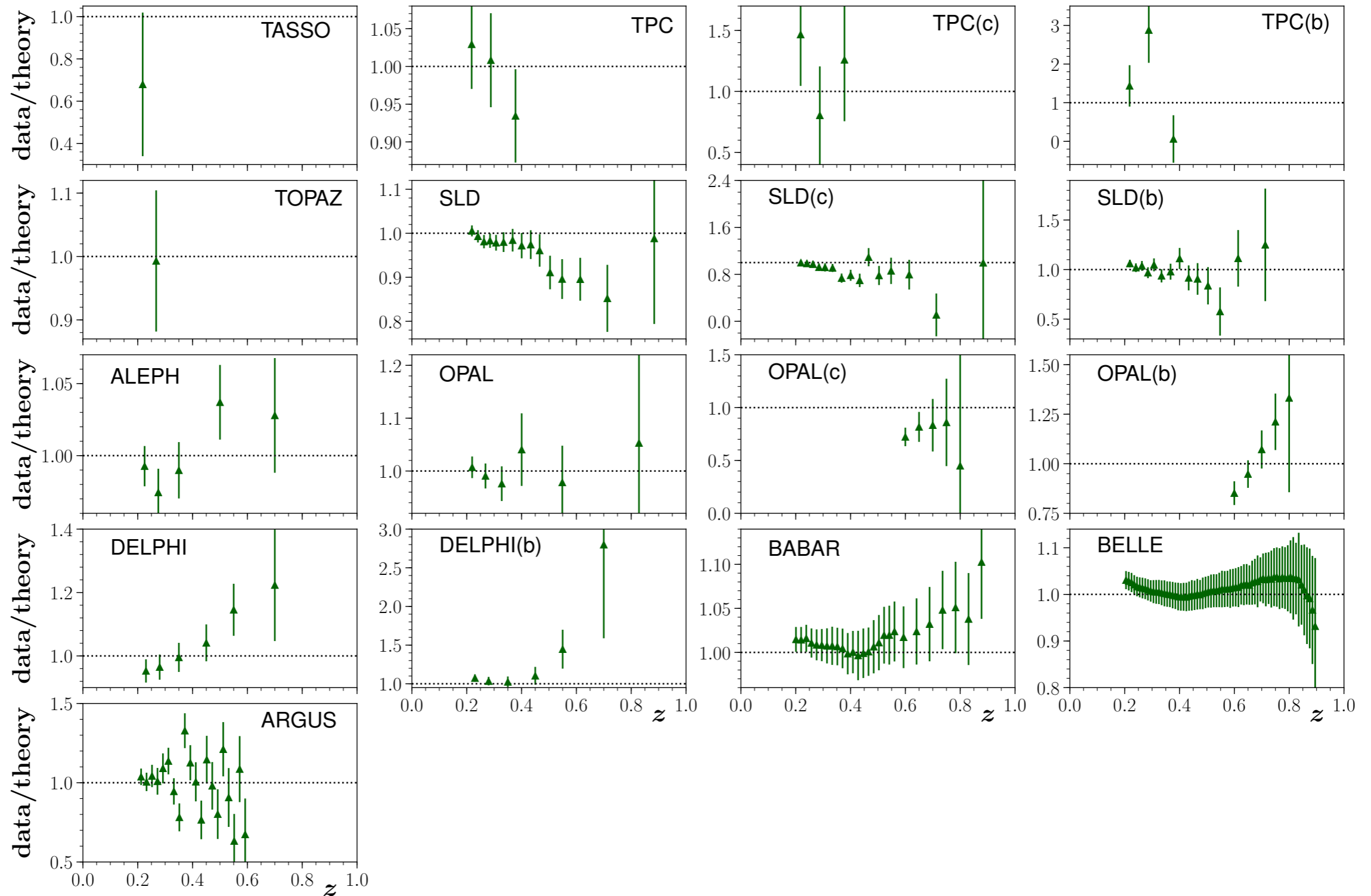
Chi2

Reaction	N_{dat}	χ^2	χ^2/N_{dat}
SIDIS	992	1243.12	1.25
SIA	444	562.80	1.27
DIS	2680	3437.96	1.28
DY	250	416.29	1.67

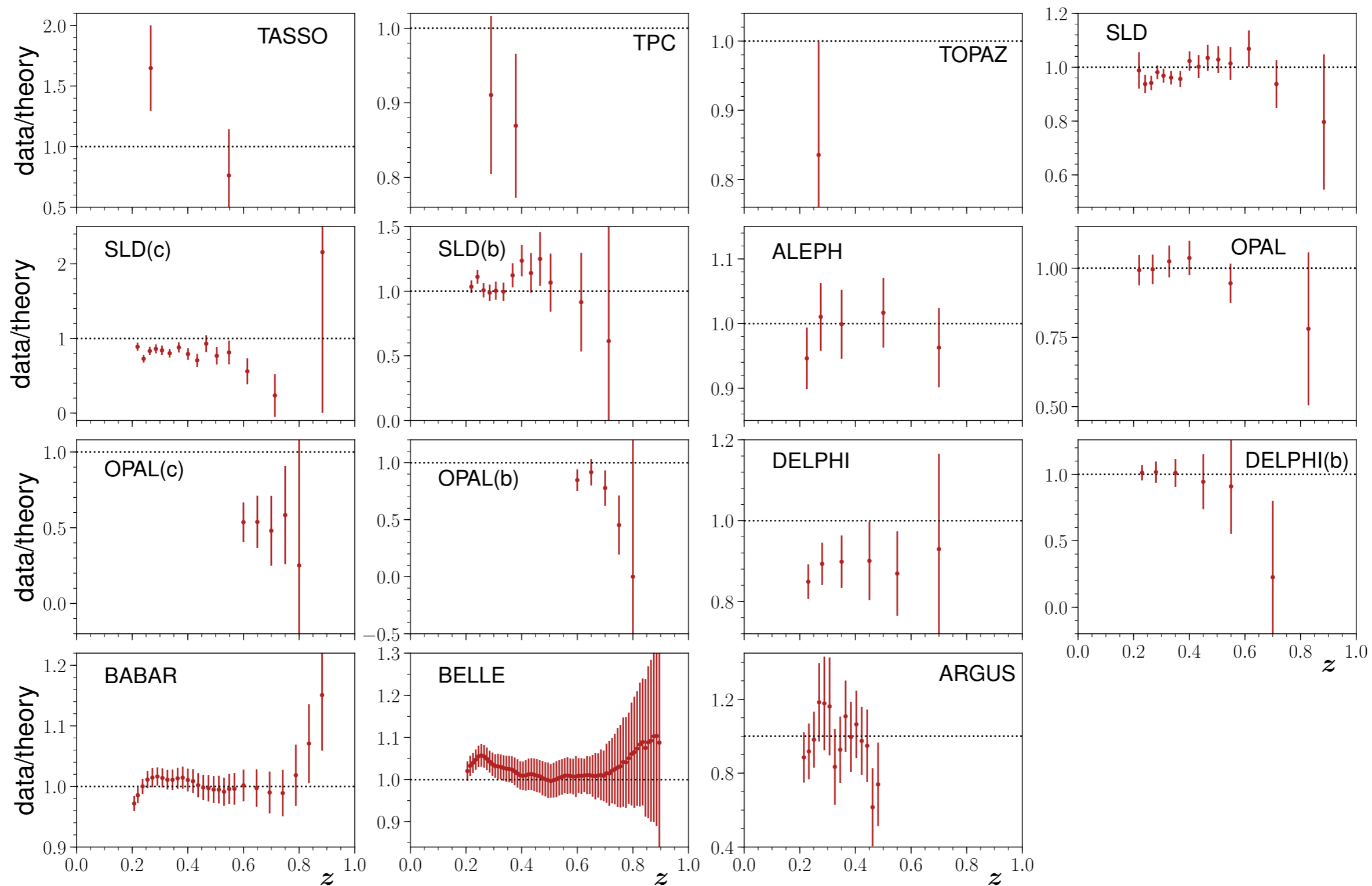
Reaction	N_{dat}	χ^2	χ^2/N_{dat}
SIDIS (π^\pm)	498	585.48	1.18
SIDIS(K^\pm)	494	657.64	1.33
SIA(π^\pm)	231	247.27	1.07
SIA (K^\pm)	213	315.53	1.48

Experiment	target	hadron	N_{dat}	χ^2/N_{dat}
COMPASS	d	π^+	249	1.26
COMPASS	d	π^-	249	1.09
COMPASS	d	K^+	247	1.24
COMPASS	d	K^-	247	1.43

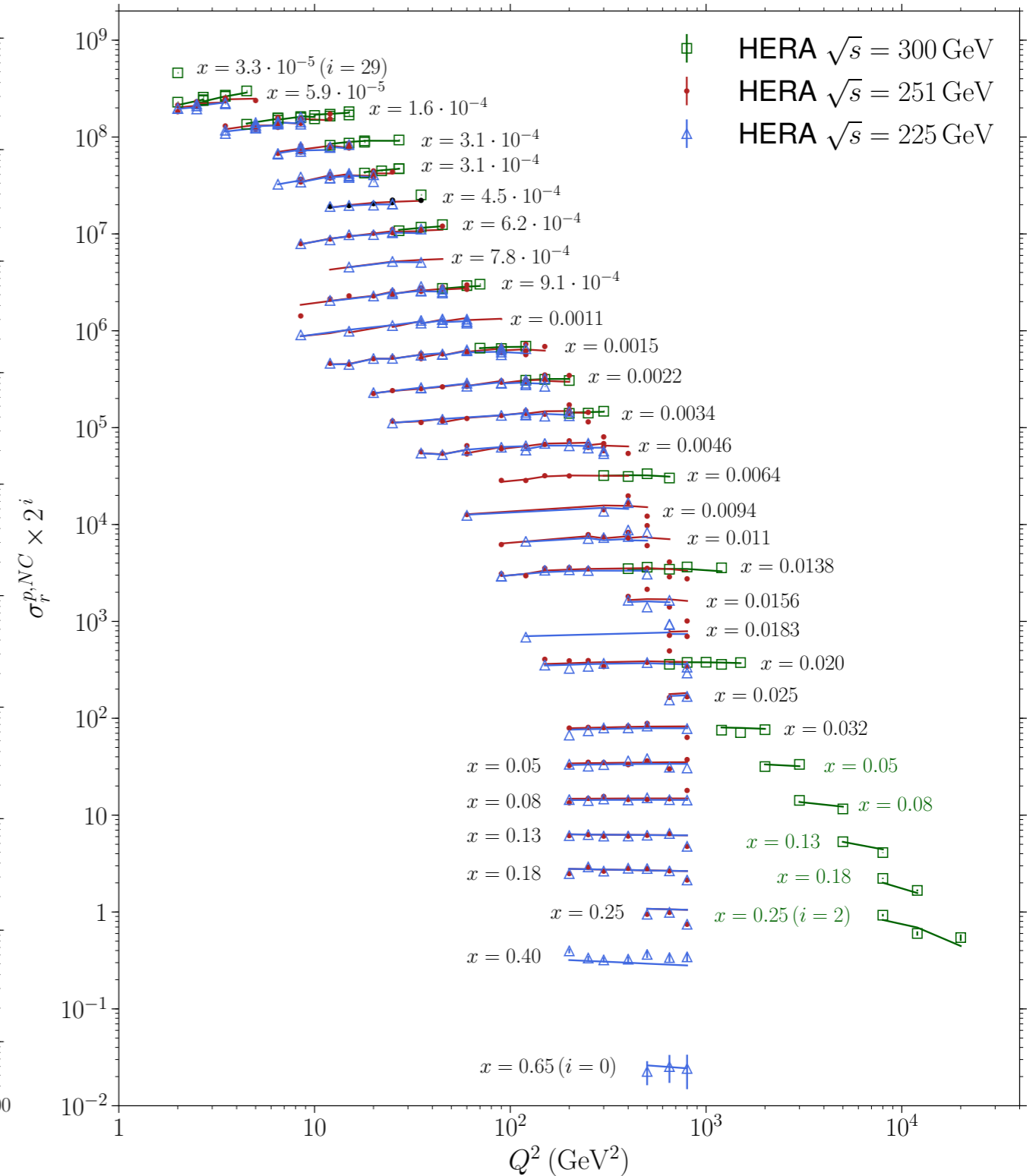
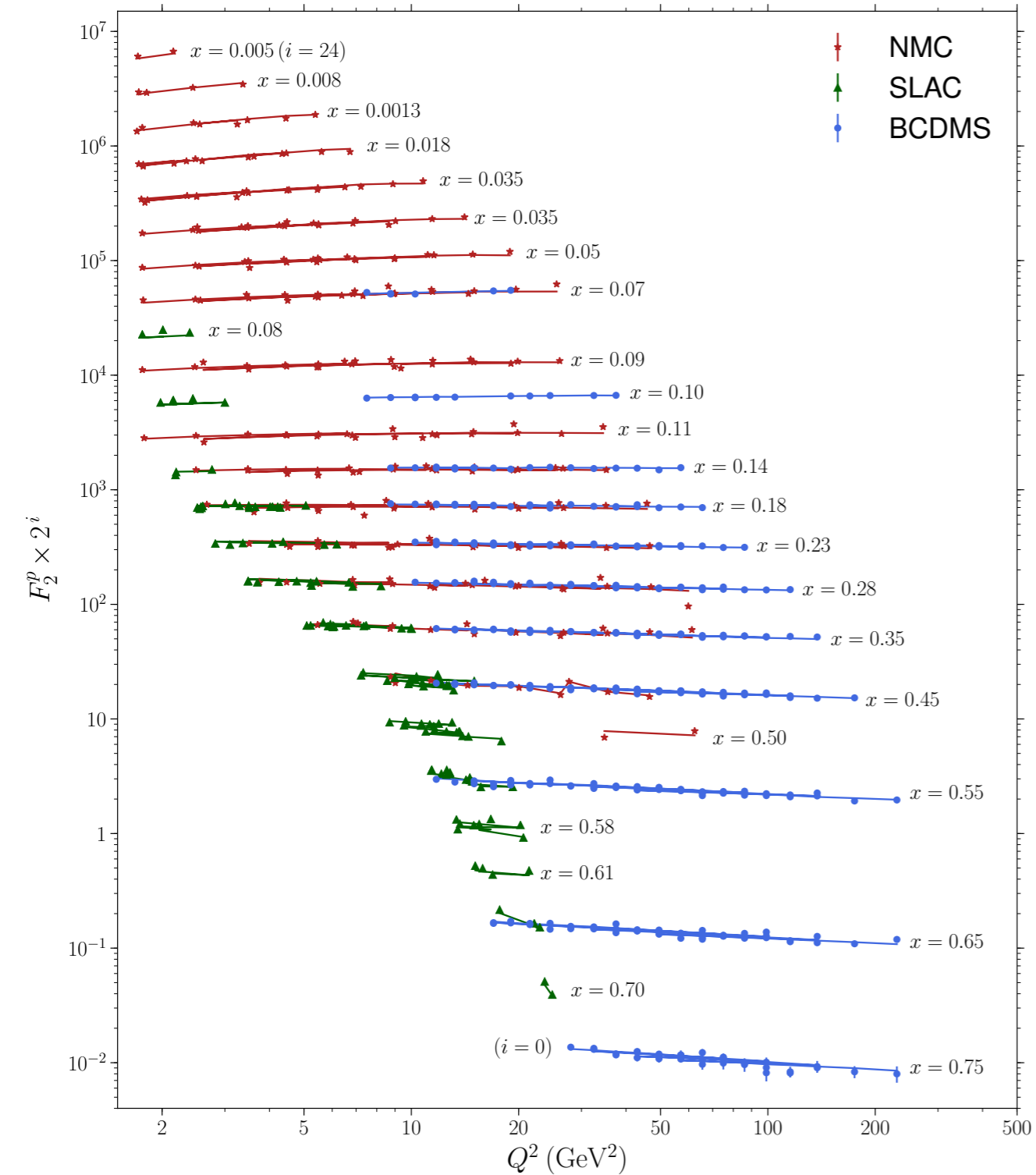
SLA: pions



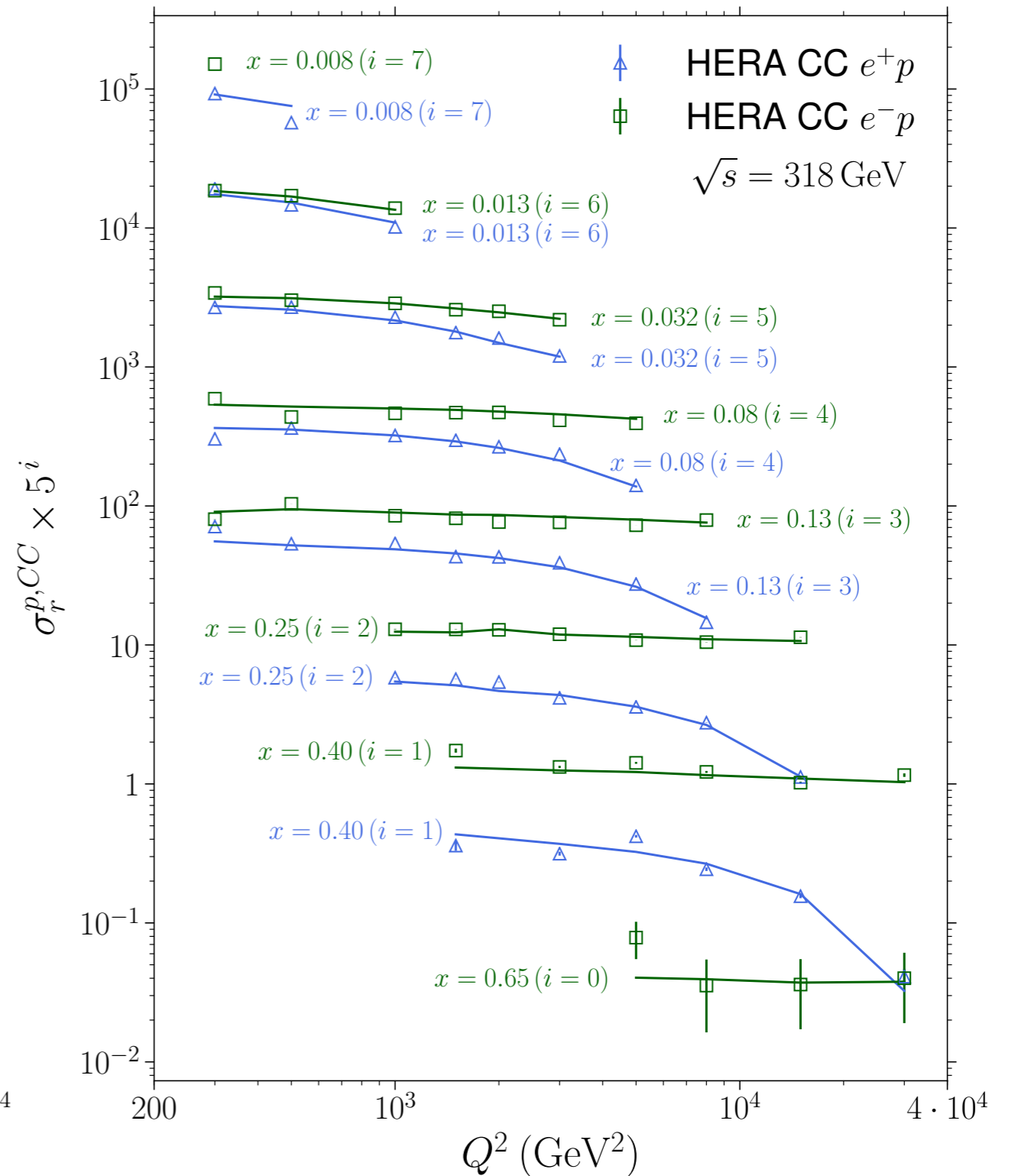
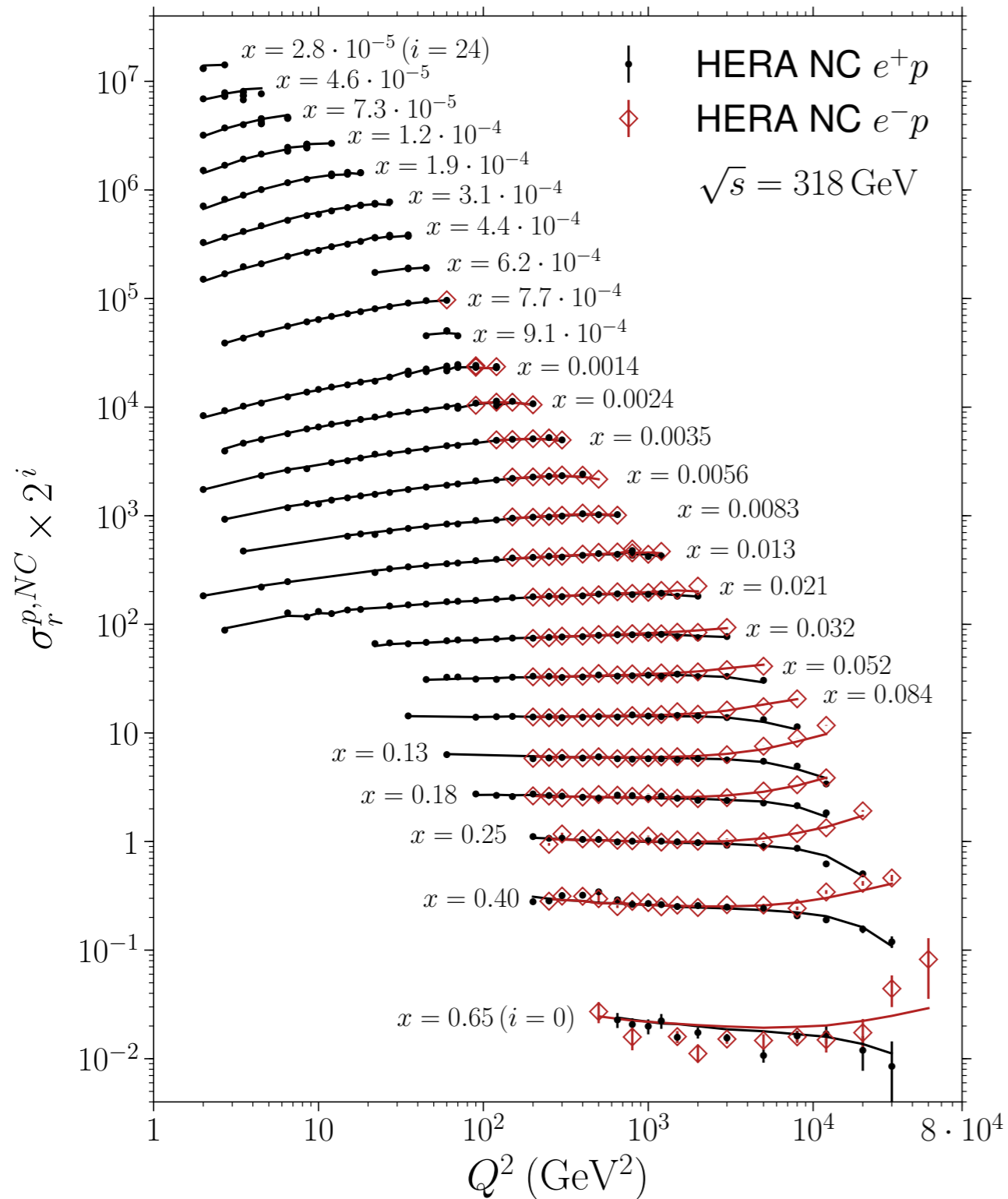
SIA: kaons



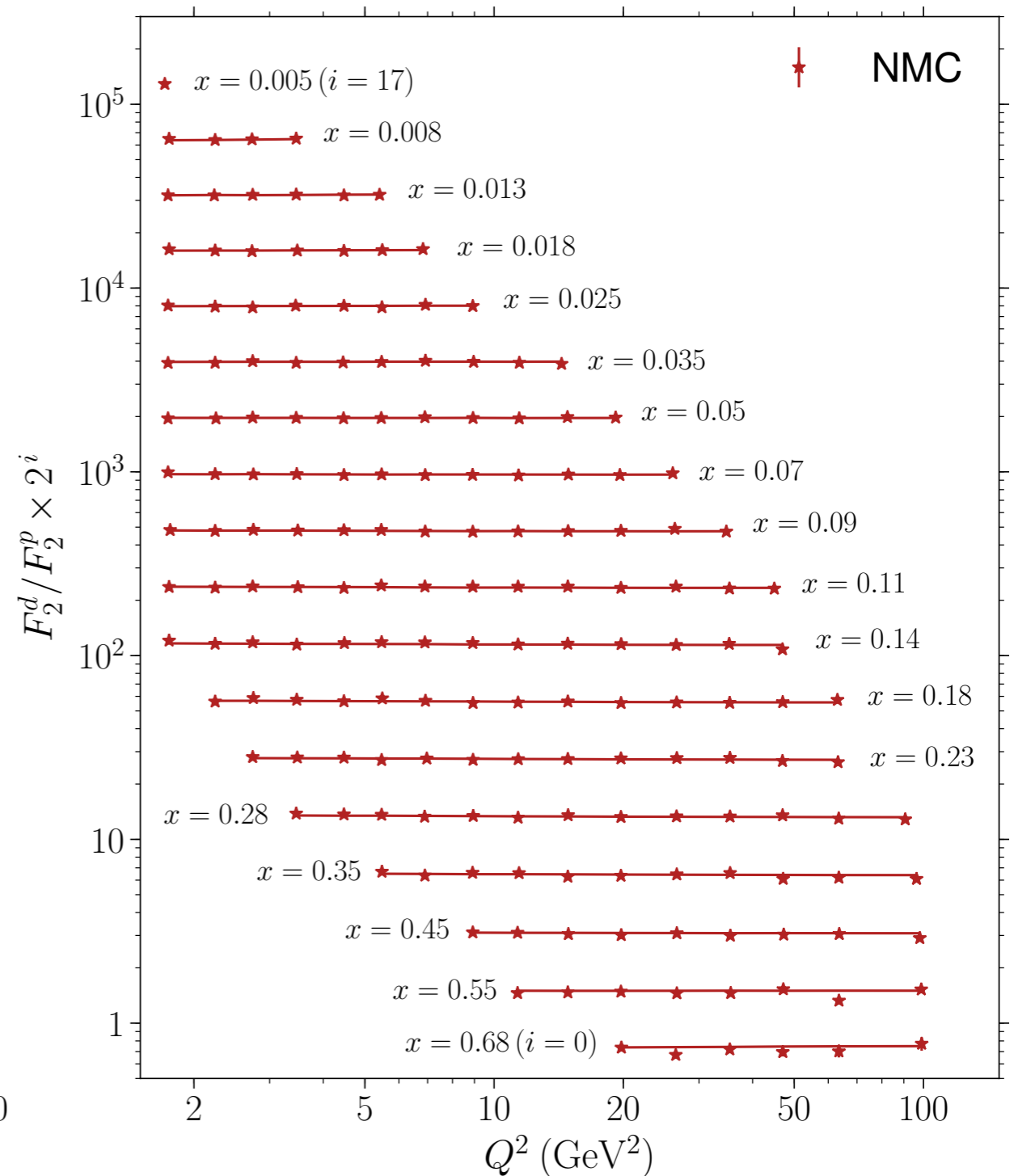
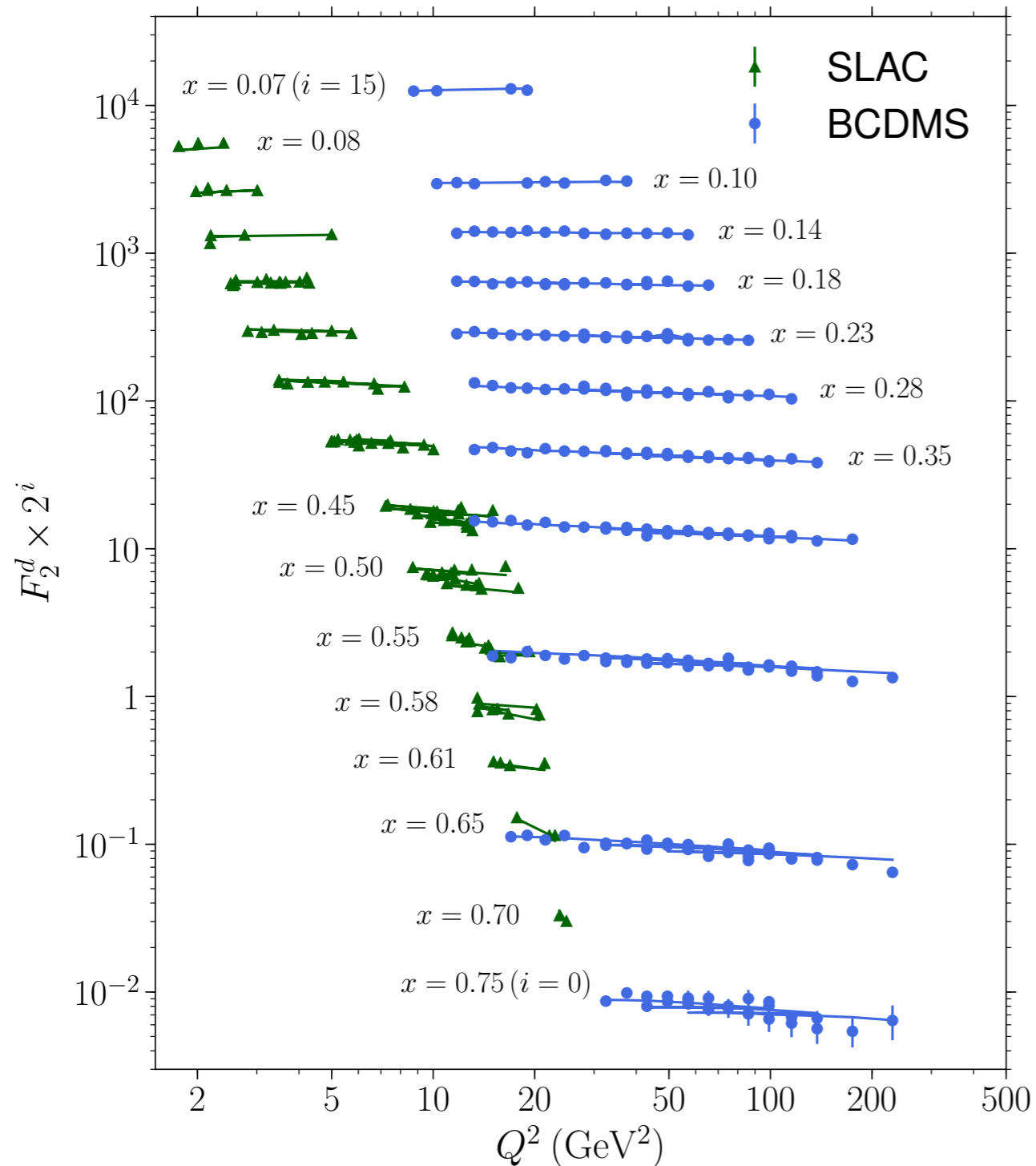
DIS: proton



DIS: proton



DIS: deuteron



DY

