# Titans clash: the top quark meets Pb ions





P. Ferreira da Silva (CERN) Wednesday, 21<sup>st</sup> October 2020 Ciclo de seminários "Café com Física"



### Introduction

#### (re-) establishing the pp reference

### First observation in pPb collisions

### First evidence in PbPb collisions

#### **Conclusions**\*

\* with a reprise of Goya's "Saturn devouring his son"

### Introduction

### **Top quarks still interesting 25 years after**

- At the LHC top quarks are predominantly produced by strong interactions
  - cross section is sensitive to mass and strong-coupling constant



### **Top quarks still interesting 25 years after**

- At the LHC top quarks are predominantly produced by strong interactions
  - cross section is sensitive to mass and strong-coupling constant
  - differential distributions are sensitive to width, EW corrections, BSM couplings



#### **Overall status of top quark physics at the LHC**

6

#### In general data are in good agreement with NNLO QCD+NLO EW

- good precision reached in  $V_{tb}$  (4%),  $\alpha_s$  (3%), and  $m_t$  (0.3%)
- up to  $d^3\sigma/dX$  measured! exploring production, decay, resolved and boosted regimes
- rich programme of measuring rarer processes
   (associated productions with heavy flavours, bosons, other top quarks,...)
- searches for FCNCs, anomalous couplings, charge asymmetry, CP violation, ...





#### Top quarks as (the) hard(est) probes in heavy-ions

Top quarks have typical time scales which are smaller than QCD time scales



- most top quarks will promptly decay after production
- decay products are color-coherent for "long" time
- with high statistics explore different time scales: boosted tops will live longer  $\Delta t \to \Delta t / \sqrt{1 - \beta^2}$



0.8

W⁺W b b

LHC 5.5 TeV (inclusive)

FCC 39 TeV ( $p_{t top}^{reco} > 400 \text{ GeV}$ )

#### Given the precision reached in top quark physics: potential to be a reference

- experimentally view it's used already for tagging efficiencies, jet energy scale
- use it as standard model candle in (large statistics) heavy ion collisions

## From pp to PbPb

- tt pairs mostly produced through gg fusion
  - with respect to pp, production cross section enhanced by A<sup>n</sup> (n=# nuclei)
  - positive (yet small) anti-shadowing region in nuclear PDFs, poorly known



## **Experimental challenges**

#### Top quark carries colour

- $\hbar c / \Gamma_t >> c \tau_{QGP} \Rightarrow$  decays before QGP is formed
- decay products pass through medium:
  - charged leptons are unaffected
  - jets may probe it (quenching effects may occur, maybe surpressed due to color coherence, and EWK origin)



 $\Rightarrow$  avoid entangling different effects in a first measurement

#### • pp reference, pPb and PbPb runs have low integrated luminosity

- hard to calibrate precisely jet energy scale, b-tagging efficiencies
- care with selection of top signals from W+jets, Drell-Yan and multijet backgrounds
   ⇒ need "aggressive" discrimination and "in-situ" calibrations whenever possible



# Measuring $\sigma$ (tt) at s<sup>1/2</sup>=5.02 TeV

#### • Why should we bother in the first place?

- experimentally:
  - same nucleon-nucleon c.o.m. energy as PbPb (no rescaling needed)
  - employ selections/variables which could be interesting in PbPb
  - never done before (bridge the gap between Tevatron and LHC)
- theory:
  - lower s<sup>1/2</sup> pushes PDFs to higher x at the same Q<sup>2</sup>, enhanced qq' contribution (with more statistics could be competitive for  $\alpha_s$  and  $m_t^{\text{pole}}$ , charge asymm.)

11



### **Measurement strategy**

- Combine final states with at least one charged lepton
  - high combined BR (35%) and S/B (50-95%) with simple selections



#### lepton+jets

- =1 e (µ)  $p_{\tau}$ >40 (25) GeV  $|\eta|$ <2.1  $I_{rel}$ <15%
- >=4 jets p<sub>1</sub>>30 GeV |η|<2.4

b-tagging ( $\epsilon_{b}$ ~70%  $\epsilon_{g}$ ~1%) used in counting and to identify jets from W→qq' decays



#### dileptons

1e1µ or 2µ p<sub>T</sub>>20 (18) GeV for e(µ) reject if m(ll)<20 GeV ≥2 jets p<sub>T</sub>>25 GeV |η|<3 µµ-specific |m(ll)-91|>15 GeV +  $E_T^{miss}>35$  GeV

## Dilepton

• Apply simple cut and count



- Residual backgrounds mostly from data
  - DY yields scaled using Z peak (extrapolation to outside peak)
  - W+jets estimated from same-sign dileptons
  - both predictions compatible with simulation ~20-90% unc.  $\Rightarrow$  dσ/σ~3%
- **Trigger/selection eff.** measured with  $Z \rightarrow II$ 
  - tag-and-probe method limited by statistics
  - ~1-3% uncertainty per lepton
- Luminosity measurement known to 2.3%

 $\sigma_{
m t\bar{t}}=77\pm19\,(
m stat)\pm4\,(
m syst)\pm2\,(
m lumi)\,
m pb$ 

25% unc.



## Lepton+jets I



- Profit from W → qq' resonance only present in tt decays
  - Non-b-tagged jets closer minimize  $\Delta R(j,j')$  (keep this in mind later for pPb)
- Categorize the events according number of b-jets to separate signal
  - W+jets modelled from simulation (MG5\_aMC@NLO FxFx)
  - QCD modelled from inverting the  $\mu$  isolation or e-id criteria

# Lepton+jets II



- reduced sensitivity to jet energy scale uncertainties
- fairly robust against theory uncertainties
- Combined extraction of  $\sigma$ (tt) and b-tag efficiency  $\rightarrow$  13% unc.

 $\sigma_{\mathrm{t\bar{t}}} = 68.9 \pm 6.5 \,\mathrm{(stat)} \pm 6.1 \,\mathrm{(syst)} \pm 1.6 \,\mathrm{(lumi)} \,\mathrm{pb}$ 

main syst. uncertainties:  $\varepsilon_{b}$ , QCD and W+jets estimations



15

### **Combined result**



 $\sigma^{\text{NNLO}} = 68.9 \, {}^{+1.9}_{-2.3} \, (\text{scale}) \pm 2.3 \, (\text{PDF}) \, {}^{+1.4}_{-1.0} \, (\alpha_s) \, \text{pb}$  (NNPDF3.0,  $\alpha_s$ =0.118, m<sub>t</sub>=172.5 GeV)

### **Impact on PDFs**



- Nice complementarity of low s<sup>1/2</sup> tt measurements, constraint PDF by
  - assuming a functional form for the PDFs
  - using DGLAP evolution at NNLO
  - combine with HERA and CMS W asymmetry using *xFitter*
- Modest improvement at high x still limited by large statistical uncertainty...

... to be topped up with 10x more data from low pileup 2017 run :)



CMS Experiment at the LHC, CERN Data recorded: 2016-Nov-19 06:44:18.053352 GMT Run / Event / LS: 285517 / 2067670785 / 1459

# **pPb observation**

Phys. Rev. Lett. 119, 242001 (2017)



### Colliding different species at the LHC

- Two-in-one design of the LHC magnets requires equal magnetic rigidity p<sub>Pb</sub>=Zp<sub>n</sub>
- Different revolution time needs to be compensated by adjusting f<sub>RF</sub>



- beam cogging needed at the LHC (different operation from RHIC)
- as reference: at injection time p make 8 more turns than Pb
- different RF frequencies needed for injection and ramp



IP close to desired

19

### Searching for pPb $\rightarrow$ tt: analysis strategy<sub>20</sub>

- pPb collisions are relatively "clean", slightly asymmetric in pseudo-rapidity
- Use highest BR and high S/B channel (l+jets) to establish tt
  - Pair non b-tagged jets based minimizing ∆R(jj')
  - Fit resonant W  $\rightarrow$  qq' to extract  $\sigma$ (tt) with minimal reliance on theory/MC



### Signal and background modelling

#### • Signal has resonant and non-resonant components

- pairing strategy is instrumental in preserving main characteristics
- also contributes to avoid shaping the background



#### • Backgrounds are determined from data

- Multijets-like background modelled by inverting lepton requirements
- W+jets-like modelled with Landau-like spectrum (MC-inspired)
   (Fine-adjustement of parameters in-situ from non-b-tagged control region)

### Measuring $W \rightarrow qq'$ (in pPb conditions)



22

ε.~0.595

 $N_0(S) = (1 - \varepsilon_b)^2 N(S)$ 

 $N_2(S) = \varepsilon_b^2 N(S)$ 

 $N_1(S) = 2\varepsilon_b(1 - \varepsilon_b)N(S)$ 

 $\widetilde{m}_{\mathrm{W}(\mathbf{i}\mathbf{i})} = (1 + \delta_{ISF} \cdot \theta_{ISF}) \cdot m_{\mathrm{W}(\mathbf{i}\mathbf{i})}$ 

- Can be compared visually with pp-case of slide 14
- Use fully parametric approach to fit total signal events, profiling
  - background normalization / shape distortions
  - b-finding efficiency →
  - jet energy scale →

#### • Estimated acceptance of $\approx$ 6% and efficiency of $\approx$ 63-90% for e ( $\mu$ )

- based on PYTHIA simulations (small nuclear modif. factors predicted by POWHEG)

### Result

- Clear observation of pPb  $\rightarrow$  tt well above  $5\sigma$
- Cross section measured to be  $\sigma_{
  m t\bar{t}}=45\pm8$  (total) nb  $^{17\%}$  unc.
  - in good agreement with the NLO prediction  $59.0 \pm 5.3$  (PDF)  $^{+1.6}_{-2.1}$  (scale) nb



#### An "alternative" to a Bayesian posterior using m<sub>top</sub>

24



Using leading b-tagged jets Pairing after minimizing |m(bjj')-m(blv)|

### Main uncertainties

- Fitting strategy is expected to scale most uncertainties with luminosity<sup>1/2</sup>!
  - determine in-situ crucial ingredients: JES,  $\varepsilon_{b}$ , background normalization
  - more careful assessment of background shapes needed with higher stats



### **Projections for the HL-LHC**

- With 2/pb of data of future runs, expect to contribute effectively to probe nPDFs
  - resonant  $W \rightarrow qq'$  can be used to obtain background-subtracted distributions
  - Using a *sPlot* technique (just like B-physics)
  - projected uncertainty expected to be competitive with current EPPS16 unc.
  - ratio to pp reference expected to probe anti-shadowing region of nPDFs



CMS-PAS-FTR-18-027



## **First evidence in PbPb**

arXiv:2006.11110, acc. in Phys. Rev. Lett.



### How?

#### • Most top quarks will be produced nearly at rest

- decay products will pass through the medium
- rely on charged leptons as clean probes



#### Select at least two leptons

- Single lepton triggers,  $p_T > 20$  (15) GeV for  $e(\mu)$
- Offline require **p<sub>1</sub>>25 (15) GeV |**η**|<2.1 (2.4) for e(**μ**)**
- op. charged leptons with m<sub>11</sub>>20 GeV

(vetoeing 15 GeV around  $Z \rightarrow II$  pole for same-flavour dileptons)

- veto back-to-back dilepton requiring A =  $|1-\Delta\phi/\pi| > 0.01$
- identification and isolation specifically tuned for heavy-ion collisions

## Lepton isolation

#### • Main contribution from the underlying event (UE)

- large event-to-event fluctuations + varying collision centrality
- need a fine-grained estimation of average energy flowing around leptons







#### Use FastJet to compute median energy density (ρ)

- for each event  $\rho$  is computed in 5 different  $\eta$  slices
- use slice corresponding to the reconstructed lepton  $\eta$
- subtract UE contribution from initial isolation estimation:  $I_{rel} \rightarrow I_{rel} UE(\rho)/p_{T}(l)$
- $UE(\rho)$  parameterization found from data, using Z $\rightarrow$ ll events
- flattens dependency of isolation on the centrality of the collision

## Main backgrounds

- Event selection is relatively simple
  - not many backgrounds left
- Drell-Yan production is expected to dominate  $\rightarrow$ 
  - Start with NLO QCD prediction (MG5\_aMC@NLO)
  - Fair agreement in rate for  $Z \rightarrow II$  selection
  - Off-shell  $Z/\gamma^*$  contributions in same-flavor
  - On-shell  $Z \rightarrow \tau \tau \rightarrow e \mu$  in op. flavor
  - Correct dilepton  $p_{\tau}$  using Z  $\rightarrow \mu\mu$  data/MC ratio
- Non-prompt backgrounds are trickier
  - expect W+jets and QCD multijets with heavy flavors
  - use event-mixing technique
  - rank mixed events in distance wrt to original event
     (k-NearestNeighbor algorithm: centrality, isolation,...)
  - use nearest neigbors (1<sup>st</sup> out of 100), repeat several times



## **Dilepton p<sub>T</sub>** (prior to fit)

- Single leptonic-only most-discriminating variable
- Data below prediction in signal region ⇒ hints signal strength <1
  - off-shell DY fairly well modeled



# **Dilepton BDT** (prior to fit)

- Combine several leptonic variables in a multivariate discriminator (BDT)
  - p<sub>τ</sub>(ll), η(ll), Δφ(ll) pT(l1),δp<sub>τ</sub>/Σp<sub>τ</sub>, Ση
  - train on MC to separate DY from tt
  - Variable is transformed to be approx. uniform for non-prompt background

32

• Data/MC agreement: similar observations as made for previous slide



# Signal extraction

- A profile likelihood method is used to extract the signal strength
- Uncertainties include the following sources:
  - Experimental
    - 5% luminosity
    - centrality/ $p_T/\eta$ -dependent trigger/id/iso scale factors from tag-and-probe
    - Non-prompt normalization based on same-sign data counts (δN/N~20%) shape based on a variation of the kNN distance
    - Shape statistical uncertainties (Barlow-Beeston)
  - Theory
    - Nuclear PDFs/QCD scales affect negligibly shapes but are included
    - Top  $\boldsymbol{p}_{_{T}}$  modeling based on pp prescription
    - $\Delta m_t = \pm 1$  GeV based on Breit-Wigner re-weighting
    - Z  $p_{_{\rm T}}$  modeling based on data/MC uncertainty, normalization freely floating
    - 30% uncertainty on residual backgrounds: tW, WW, WZ, ZZ

## **Fit results**

- Pre-fit deficits drive final µ=0.79±0.26
- Significance: 3.8 (obs.) 4.8 (exp.) 18% p-val
- Post-fit distributions in very good agreement
  - Fit does not alter significantly shapes
  - background normalization barely changes





# Adding b-jet information

#### Use particle-flow jets with constituent subtraction

- using fine-grained  $\eta$  FastJet- $\rho$  computation
- remove/correct energy of jet constituents based on ρ
   (cf. arXiv:1708.09429, arXiv:1403.3108)

#### • anti-k<sub>T</sub> R=4 jets with p<sub>T</sub>>30 GeV |η|<2.0 ΔR(j,l)>0.4

- dedicated b-tag discriminator training for heavy ions
- tune working point to yield approx.
  65% (5%) efficiency for b- (other-) jets
- dependency on centrality from "track confusion"

#### • Consider only the two jets with highest b-tag discr.

- count how many pass pass the threshold
- Use counting to categorize events
   (similar to what was done for pp and pPb analyses)



## **b-jet counting related uncertainties**<sub>36</sub>

#### No measurement of efficiencies and mistag in data...

- too low statistics to constraint eb in-situ as in the pp/pPb cases
- expect however that mistags are negligible after b-tag discr. ranking
- use inflated efficiency uncertainties in the fit ( $\delta \epsilon_{b}$ ~10%  $\delta \epsilon_{a}$ ~30%)

#### In addition, expect jet quenching to occur

- based R<sub>AA</sub> fits to different spectra
- scaling behavior  $f(p_{\tau}/\omega_c)$  from arXiv:1703.10852  $\rightarrow$
- data indicates universal high-p<sub>T</sub> behavior
   use to parameterize mean constituent energy loss
   (1-7 GeV depending on the centrality)
- use estimate to dampen jet energy in MC
- moves jets out-of-threshold leading to
   <sup>0</sup>
   <sup>10<sup>-1</sup></sup>
   <sup>1</sup>
   <sup>p</sup><sub>T</sub>
   <sup>v</sup>
   decreased probability of finding the b jets from top decays (5-10% variations)



## Fit results (b-tagged)

- Repeat the fit to the BDT discriminator in #b-tags
- Deficit is slightly enhanced: µ=0.63±0.22
  - still compatible with inclusive analysis
- Significance: 4.0 (obs.) 5.8 (exp.) 5% p-val
- Fit finds 43±11 signal events (out of 1768 selected)



|                       | Final state      |                |               |                   |                 |                |                             |                 |                 |
|-----------------------|------------------|----------------|---------------|-------------------|-----------------|----------------|-----------------------------|-----------------|-----------------|
| Process               | $e^+e^-$         |                |               | $\mu^+\mu^-$      |                 |                | $\mathrm{e}^{\pm}\mu^{\mp}$ |                 |                 |
|                       | 0b               | 1b             | 2b            | 0b                | 1b              | 2b             | 0b                          | 1b              | 2b              |
| $Z/\gamma^*$          | $389.8 \pm 15.4$ | $40.4{\pm}2.7$ | $4.4{\pm}0.8$ | $1027.5 \pm 27.3$ | $136.1 \pm 5.7$ | $14.1 \pm 1.7$ | $35.1 \pm 1.7$              | $4.4{\pm}0.9$   | $0.7 \pm 0.2$   |
| Nonprompt             | $17.3 \pm 2.2$   | $1.4{\pm}0.2$  | $\leq 0.1$    | $7.6 {\pm} 1.0$   | $0.8{\pm}0.1$   | $\leq 0.1$     | $17.1 \pm 1.9$              | $4.0{\pm}0.4$   | $\leq 0.1$      |
| tW                    | $1.1 {\pm} 0.2$  | $0.9{\pm}0.2$  | $\leq 0.1$    | $1.8{\pm}0.4$     | $1.3 \pm 0.3$   | $0.2{\pm}0.1$  | $3.4{\pm}0.7$               | $2.5 {\pm} 0.5$ | $0.4 {\pm} 0.1$ |
| VV                    | $1.9{\pm}0.3$    | $0.2{\pm}0.1$  | $\leq 0.1$    | $3.3 {\pm} 0.6$   | $0.4{\pm}0.1$   | $\leq 0.1$     | $5.4{\pm}0.9$               | $0.6 {\pm} 0.1$ | $\leq 0.1$      |
| Total background      | 410.2±15.1       | 42.8±2.7       | $4.5 \pm 0.8$ | 1040.2±27.1       | $138.6 \pm 5.7$ | $14.4 \pm 1.8$ | 61.1±2.9                    | $11.5 \pm 1.3$  | $1.1 \pm 0.2$   |
| t <del>ī</del> signal | $2.8{\pm}0.8$    | 3.2±0.8        | 1.3±0.4       | 4.5±1.2           | 5.1±1.2         | 1.9±0.6        | 9.7±2.5                     | 10.7±2.4        | 4.0±1.2         |
| Observed (data)       | 410              | 48             | 9             | 1064              | 139             | 8              | 70                          | 14              | 6               |

### **Post-fit distributions**

#### • Found in very good agreement with the data

- events with 2 b-tagged jets are solely counted



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### Systematic uncertainty impacts I

#### • Statistical uncertainty is by far the dominant source

- Several uncertainties to scale with luminosity (efficiencies, backgrounds)
- Jet related uncertainties are sub-leading with respect to background norm.
- Small theory uncertainty at this point, mostly dominated by Z  $p_{T}$

| Course  | $\Delta \mu / \mu$ |                             |  |  |  |
|---|--------------------|-----------------------------|--|--|--|
| Source  | Dilepton only      | Dilepton plus b-tagged jets |  |  |  |
| Total statistical uncertainty   | 0.27               | 0.28                        |  |  |  |
|   |                    |                             |  |  |  |
| Total systematic experimental uncertainty                                   | 0.17               | 0.19                        |  |  |  |
| Background normalization  | 0.12               | 0.12                        |  |  |  |
| Background and tt signal distribution                                       | 0.07               | 0.08                        |  |  |  |
| Lepton selection efficiency   | 0.06               | 0.06                        |  |  |  |
| Jet energy scale and resolution   | —                  | 0.02                        |  |  |  |
| b jet identification ( $\varepsilon_b$ )                                    | _                  | 0.06                        |  |  |  |
| Integrated luminosity   | 0.05               | 0.05                        |  |  |  |
|   |                    |                             |  |  |  |
| Total theoretical uncertainty   | 0.05               | 0.05                        |  |  |  |
| nPDF, $\mu_{\rm R}$ , $\mu_{\rm F}$ scales, and $\alpha_{\rm S}(m_{\rm Z})$ | < 0.01             | < 0.01                      |  |  |  |
| Top quark and Zboson $p_{\rm T}$ modelling                                  | 0.05               | 0.05                        |  |  |  |
| Top quark mass  | < 0.01             | < 0.01                      |  |  |  |
|   |                    |                             |  |  |  |
| Total uncertainty   | 0.32               | 0.34                        |  |  |  |
|   |                    |                             |  |  |  |

### Systematic uncertainty impacts II





#### Post-fit: most nuisances barely change or get constrained

#### **Grand-summary**

We have evidence for tt production in PbPb collisions at  $4\sigma$ 

32-34% unc.

We measure  $\sigma_{t\bar{t}} = 2.03^{+0.71}_{-0.64} \ \mu b$  ( $\sigma_{t\bar{t}} = 2.54^{+0.84}_{-0.74} \ \mu b$  without b-tags)

"Close enough" to theory prediction  $\sigma_{PbPb \rightarrow t\bar{t}+X}^{NNLO+NNLL} = 3.22 + 0.38 (nPDF \oplus PDF) + 0.09 (scale) \mu b$ 



### Conclusions

... with a reprise of Goya's "Saturn devouring his son"

## From mythology...



Legend has it as Saturn would predate his own children moments after birth.

Opis decided to hide their 3<sup>rd</sup> son in Crete deceiving Saturn with a wrapped stone.

The child grew up and eventually supplanted his father, as the prophecy predicted.

Jupiter was his name.

### ...to reality...



Different observations show the QGP after Pb ion collisions "predating" colored particles.

Recently CMS found evidence for the heaviest of the 3<sup>rd</sup> generation quarks in Pb collisions.

### ...and back to prophecies



In the future this child will boost up and eventually outlive the QGP.

May the prophecy (FCC-hh) be fulfilled for top quarks in heavy ions.

### Outlook

• CMS has concluded a series of measurements of  $\sigma$ (tt) with special runs

- pp and PbPb at 5.02 TeV/nucleon, pPb at 8.16 TeV/nucleon
- simple yet innovative ways of measuring a simple quantity
   (signal extraction, background estimations, in-situ constraints, stat. limited)
- first and only measurements so far
- culminate in the first evidence of PbPb  $\rightarrow$  tt production

#### • The door to top as a new hard probe in heavy-ions has been opened

- Look forward for higher luminosity runs
- Combination with future measurements from other experiments
- Exploring the QGP properties from a new perspective...
  - ... but also use PbPb to search for new physics! (see arXiv:1812.07688)