

6th IDPASC/LIP PhD Students Workshop

A (brief) Introduction to Non-perturbative Quantum Field Theory

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(École Polytechnique)

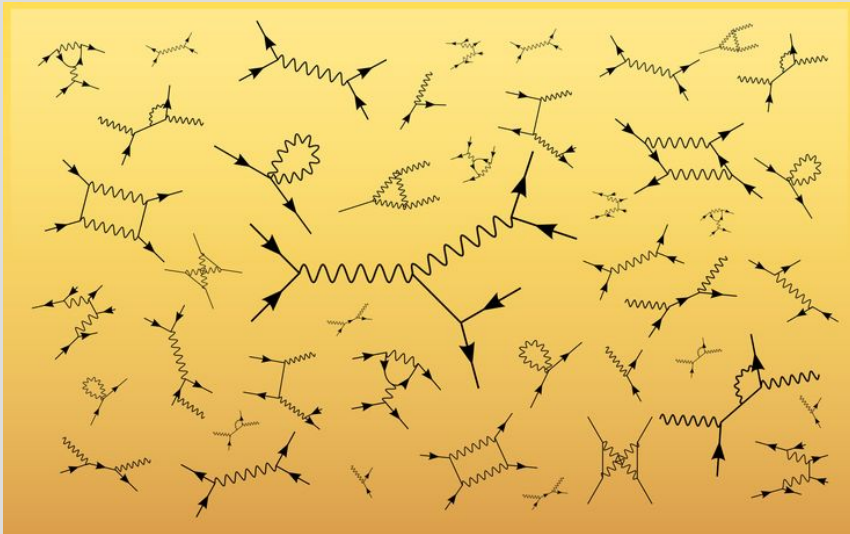


Outline

1. A non-perturbative approach. Why?
2. Local QFT in a nutshell
3. Broad implications
4. QCD and confinement
5. Summary & outlook

1. A non-perturbative approach. Why?

- Perturbation theory has proven to be an extremely successful tool for investigating problems in particle physics



But by definition this procedure is only valid in a ***weakly interacting regime***

- Non-convergence of perturbative series
- Observables: form factors, parton distribution functions, hadronic properties, ...
- Confinement in QCD

- This emphasises the need for a non-perturbative approach!

→ ***Local QFT*** is one such approach

2. Local QFT in a nutshell

- Local QFT approaches are defined by a core set of axioms:

Axiom 1 (Hilbert space structure). *The states of the theory are rays in a Hilbert space \mathcal{H} which possesses a continuous unitary representation $U(a, \alpha)$ of the Poincaré spinor group $\overline{\mathcal{P}}_+^\uparrow$.*

Axiom 2 (Spectral condition). *The spectrum of the energy-momentum operator P^μ is confined to the closed forward light cone $\mathcal{V}^+ = \{p^\mu \mid p^2 \geq 0, p^0 \geq 0\}$, where $U(a, 1) = e^{iP^\mu a_\mu}$.*

Axiom 3 (Uniqueness of the vacuum). *There exists a unit state vector $|0\rangle$ (the vacuum state) which is a unique translationally invariant state in \mathcal{H} .*

Axiom 4 (Field operators). *The theory consists of fields $\varphi^{(\kappa)}(x)$ (of type (κ)) which have components $\varphi_l^{(\kappa)}(x)$ that are operator-valued tempered distributions in \mathcal{H} , and the vacuum state $|0\rangle$ is a cyclic vector for the fields.*

Axiom 5 (Relativistic covariance). *The fields $\varphi_l^{(\kappa)}(x)$ transform covariantly under the action of $\overline{\mathcal{P}}_+^\uparrow$:*

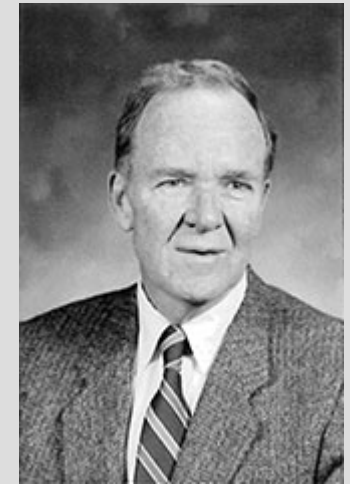
$$U(a, \alpha)\varphi_l^{(\kappa)}(x)U(a, \alpha)^{-1} = S_{ij}^{(\kappa)}(\alpha^{-1})\varphi_j^{(\kappa)}(\Lambda(\alpha)x + a)$$

where $S(\alpha)$ is a finite dimensional matrix representation of the Lorentz spinor group $\overline{\mathcal{L}}_+^\uparrow$, and $\Lambda(\alpha)$ is the Lorentz transformation corresponding to $\alpha \in \overline{\mathcal{L}}_+^\uparrow$.

Axiom 6 (Local (anti-)commutativity). *If the support of the test functions f, g of the fields $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$ are space-like separated, then:*

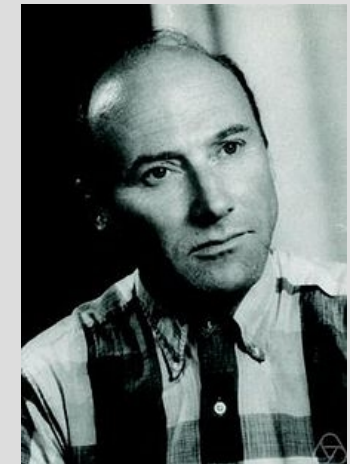
$$[\varphi_l^{(\kappa)}(f), \varphi_m^{(\kappa')}(g)]_\pm = \varphi_l^{(\kappa)}(f)\varphi_m^{(\kappa')}(g) \pm \varphi_m^{(\kappa')}(g)\varphi_l^{(\kappa)}(f) = 0$$

when applied to any state in \mathcal{H} , for any fields $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$.



A. Wightman

[R. F. Streater and A. S. Wightman, *PCT, Spin and Statistics, and all that* (1964).]



R. Haag

[R. Haag, *Local Quantum Physics*, Springer-Verlag (1996).]

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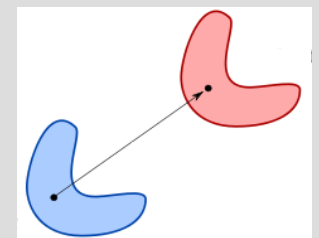
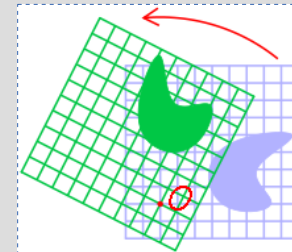
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“The theory is invariant under Poincaré transformations”



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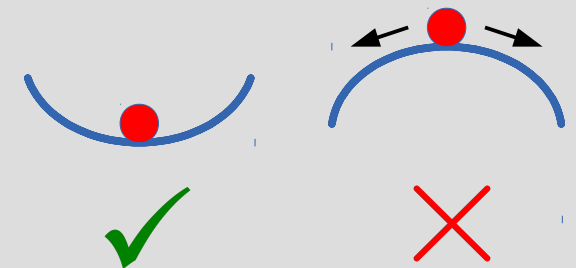
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when applied to any state in \mathcal{H} , for any fields $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$.

“Energy is bounded from below – the theory is stable”



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“The vacuum state is unique and looks the same to all observers”

All states are defined by acting with fields φ on the vacuum $|0\rangle$

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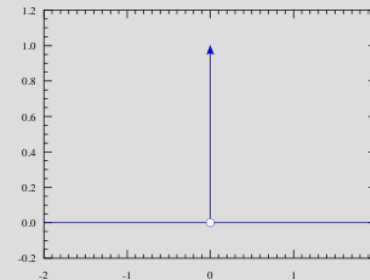
“Quantum fields φ are operator-valued distributions”

2. Local QFT in a nutshell

- Quantum fields $\varphi(x)$ are (operator-valued) distributions – *what difference does this make?*

→ They cannot be evaluated at a single point

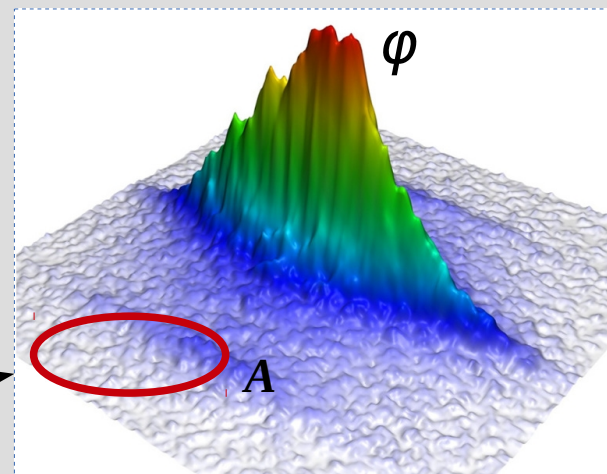
e.g. Dirac delta $\delta(x)$ at $x=0$



→ Need to 'average them out' over some spacetime region \mathbf{A}

$$\mathcal{M}_\varphi := \int_A d^4x \varphi(x) f(x)$$

Can think of this as the performance of a localised measurement M_φ in the region \mathbf{A} where $f(x)$ is non-zero



[MPI Munich (2004)]

But why? – Heisenberg:

$$\Delta x \Delta p \sim \frac{\hbar}{2}$$

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“Connects the permitted physical states with the field degrees of freedom”

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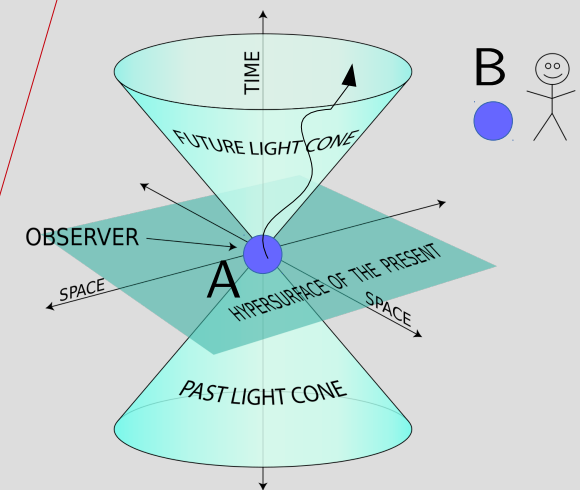
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when applied to any state in \mathcal{H} , for any fields $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$.

“Causality!”



A cannot send a message to B if they are space-like separated

3. Broad implications

- Despite their simplicity, these axioms give rise to many important consequences:

→ Correlation functions $\langle 0 | \varphi_{l_1}^{(\kappa_1)}(x_1) \cdots \varphi_{l_n}^{(\kappa_n)}(x_n) | 0 \rangle$ are **distributions**

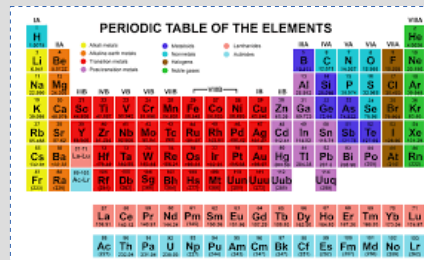
→ A QFT can be fully reconstructed from knowledge of *all* of the correlation functions

→ Connection of Minkowski and Euclidean QFTs ($t \rightarrow i\tau$)

→ *Spin-statistics Theorem*

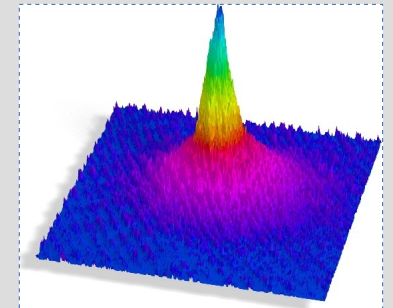
$$\text{'Boson'} \leftrightarrow [\varphi(x), \varphi(y)]$$

$$\text{'Fermion'} \leftrightarrow \{\psi(x), \psi(y)\}$$



PERIODIC TABLE OF THE ELEMENTS

A standard periodic table of elements, color-coded by groups. The legend includes: Alkali metals (yellow), Alkali earth metals (orange), Transition metals (red), Halogens (green), Noble gases (purple), Lanthanides (light blue), and Actinides (dark blue).



[The University of Toronto (2004)]

→ **CPT** is a symmetry of *any* theory



4. QCD and confinement

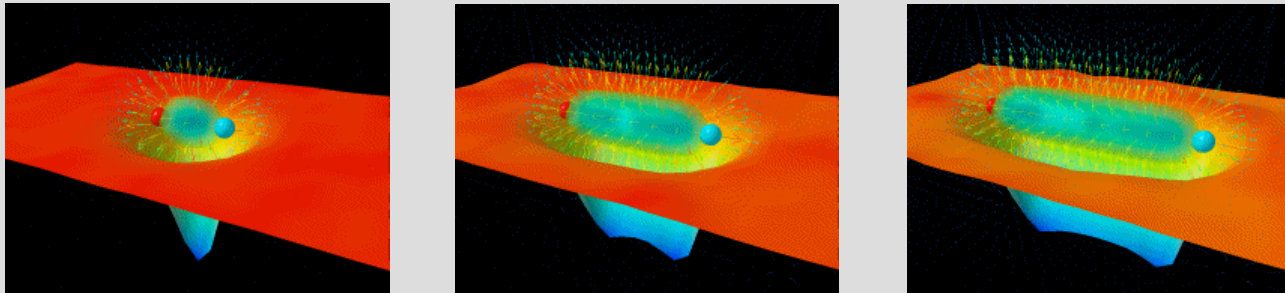
- So far, the (*Wightman*) axioms 1-6 we have discussed are known to apply to relatively simple (e.g. scalar) QFTs
- For theories of physical interest, i.e. gauge theories, significant complications arise...
- In the case of QCD, understanding these complications is essential for unravelling the non-perturbative structure of correlation functions

QCD correlation
functions

- ◆ Enter into the calculation of bound-state observables; meson spectra, decay constants, glueball masses,...
- ◆ QCD phase diagram: effects of finite temperature and density
- ◆ *Confinement mechanism*

4. QCD and confinement

- To understand confinement is to know why nature doesn't allow the existence of physical (colour) charged states in QCD
- Or... why can't we 'pull apart' composite neutral states?



[The University of Adelaide (2015)]

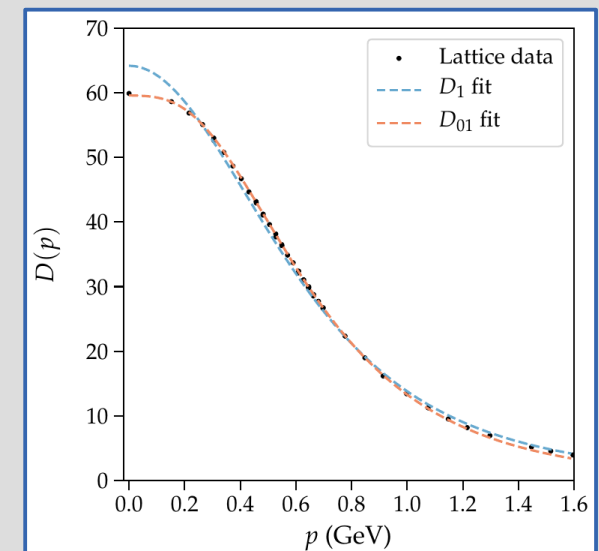
- It turns out that this characteristic is closely related to the type of contributions that can appear in correlation functions
- Interestingly, contributions that prevent composite states from being pulled apart are not allowed in QFTs satisfying axioms 1-6, but can appear in gauge theories!

4. QCD and confinement

- An important example of these contributions are **generalised poles**, which appear in (Euclidean) correlation functions in the form:

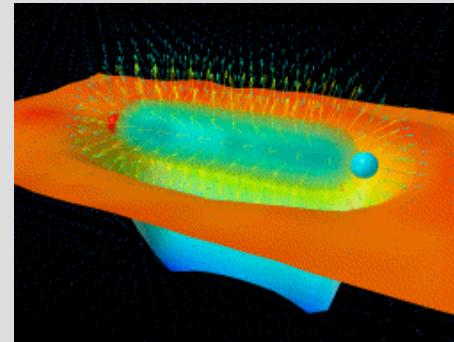
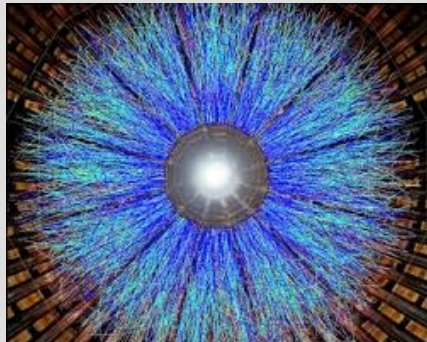
$$D_i(p) = \frac{Z_i}{(p^2 + m_i^2)^{i+1}}, \quad i \geq 1$$

- Using non-perturbative data, e.g. from lattice simulations, one can look for these type of contributions
- Performing fits to (Landau gauge) lattice data for the gluon propagator [Li, PL, Oliveira, Silva, 1907.10073] it appears that the data *is* consistent with the existence of such poles
- Nevertheless, many open questions remain...



5. Summary & outlook

- Local QFT is an analytic framework that attempts to address the fundamental question: “*what is a QFT?*”
- The central idea is that a QFT is defined using a series of physically motivated axioms
- This implies general and often deep consequences – CPT symmetry, connection of Minkowski & Euclidean QFTs, Spin-statistics Theorem, ...
- In QCD, this framework can be used to provide new insights into non-perturbative phenomena such as confinement



“*Particles are the roof of the theory, not its foundation*” R. Haag

Some further reading...

- ♦ R. F. Streater and A. S. Wightman, *PCT, Spin and Statistics, and all that* (1964).
- ♦ R. Jost, *The General Theory of Quantized Fields* (1965).
- ♦ R. Haag, *Local quantum physics: Fields, particles, algebras* (1992).
- ♦ N. N. Bogolyubov, A. A. Logunov, A. I. Oksak and I. T. Todorov, *General principles of quantum field theory* (1990).
- ♦ N. Nakanishi and I. Ojima, *Covariant operator formalism of gauge theories and quantum gravity* (1990).
- ♦ F. Strocchi, *An Introduction to Non-Perturbative Foundations of Quantum Field Theory* (2013).

Backup

- Things become more complicated in gauge theories...

“Local Gauss law”

$$\partial^\nu G_{\mu\nu}^a = J_\mu^a$$

(i) *Preserve positivity, lose locality*
(e.g. Coulomb gauge QED)

(ii) *Preserve locality, lose positivity*
(e.g. Landau gauge QCD)

- In option (ii), to recover locality one modifies this equation, lifting the restriction, but maintaining the constraint for **physical** states

$$\langle \text{phys} | \partial^\nu G_{\mu\nu}^a - J_\mu^a | \text{phys} \rangle = 0$$

$$\partial^\mu A_\mu^{(+)} | \text{phys} \rangle = 0 \quad \text{Gupta-Bleuler (QED)}$$

$$Q_B | \text{phys} \rangle = 0 \quad \text{BRST (QCD)}$$

- This procedure necessarily introduces both **zero** and **negative** norm (ghost) states into the theory!

→ QFT axioms are modified: **Pseudo-Wightman** approach [Bogolubov et al.]