### Background-free search for $0\nu\beta\beta$ with GERDA and LEGEND

GERD/

Large Enriched Germanium Experiment for Neutrinoless ββ Decay https://indico.lip.pt/event/696/



Double Beta Decay: the road to normal hierarchy sensitivity

Stefan Schönert | TU München Onbehalf of the GERDA and LEGEND collaborations

6. June 2022





# Key features of $^{76}Ge$ $0\nu\beta\beta$ searches



- <sup>76</sup>Ge -> <sup>76</sup>Se + 2e<sup>-</sup>
- Q-value of <sup>76</sup>Ge:  $Q_{\beta\beta} = 2039 \text{ keV}$
- High purity Ge detectors (>87% <sup>76</sup>Ge)
  - source = detector => high detection efficiency
  - high purity => no intrinsic background
  - high density  $=> 0\nu\beta\beta$  point like events
  - semiconductor =>  $\Delta E \sim 0.1\%$  (FWHM) at  $Q_{\beta\beta}$
- 0vββ signature:
  - Point-like energy deposition in detector bulk volume
  - Sharp energy peak at 2039 keV (FWHM ~ 2.5 keV)

# Topology discrimination

enriched (~87% <sup>76</sup>Ge) p-type bulk

differentiate **point-like** *ββ* topology from:

multi-detector interactions





[Nature 544 (2017) 47, Eur.Phys.J. C78 (2018) no.5, 388]





[Nature 544 (2017) 47, Eur.Phys.J. C78 (2018) no.5, 388]





GERDA





### GERDA Phase II detector array



Low-mass detector holder (silicon, copper, PTFE) Stefan Schönert (TUM), SNOLAB Seminar, 8.3.2021



New thick-window BEGe detectors



Signal and HV contacting by wire bonding flat ribbon cables



TPB coated nylon minishrouds to reduce attraction K42 ions to n+ surface



# GERDA Phase II detector array



- 7 strings, 40 detectors in total
  - 7 enriched semi-coax (15.6 kg)
  - 30 enriched thick-window BEGe (20 kg)
  - 3 natural semi-coax (7.6 kg)
- HPGe array enclosed by liquid argon veto
- Phase II data started Dec. 2015



# Liquid argon instrumentation









- Event rejection: >0.2-0.9
   p.e. in any of SiPM or
   PMT channel (within 5µs of Ge trigger)
- Accidentals (dead time): 2.3±0.1% (Ar-39)



# Pulse shape discrimination (BEGe)

Current pulse of signal-like event topology (SSE)



M. Agostini et al. JINST 6 (2011) P03005







# Pulse shape discrimination (BEGe)

Current pulse of background-like event topology (MSE)



M. Agostini et al. JINST 6 (2011) P03005





# Pulse shape discrimination (BEGe)

Current pulse of background-like event topology (MSE)





### Interplay between PSD and LAr veto







### Interplay between PSD and LAr Veto





### Interplay between PSD and LAr Veto







### Interplay between PSD and LAr Veto







- pre-/post-upgrade data taking with **35.6 / 44.2 kg** of enriched HPGe detectors
- **4 yr** operation, with about **90%** duty cycle (incl. upgrade works), **103.7 kg yr** of data selected for analysis



### Background spectrum before analysis cut





combined Bayesian fit to multiple datasets with Monte Carlo *pdf*s for **nearby components** [JHEP 03 (2020) 139] screening measurements as priors

### The 2nbb energy range: LAr instrumentation not only a 'veto'







- two-sided mono-parametric A/E cut for BEGe / ICPC detectors [Budjas et al., JINST 4 (2009) P10007]
- artificial neural network analysis plus consecutive risetime cut for coaxial detectors [Eur. Phys. J. C73 (2013) 2583]
- cut definition / training with <sup>228</sup>Th calibration data -> <sup>208</sup>TI DEP as signal proxy
- 0vββ signal efficiency **~90%** (~70% for coaxials)





• channel-wise (anti-)coincidence condition (PMTs/SiPMs)

lifetime ~1 µs

- **sub-PE threshold**, contains characteristic scintillation **timing** (triplet emission)
- 0vββ signal efficiency (1 random coincidence rate) > 97%

<sup>39</sup>Ar, dark rate



### Final Phase II spectrum



- "clean" **2vββ continuum** shape analysis in preparation
- sparse single counts at >  $Q_{\beta\beta}$

no alphas in BEGe / ICPC



### Final GERDA result

 $< 1 \mbox{ cts}$  in 100 kg yr and 5 keV



background index 5.2<sup>+1.6</sup>-1.3·10<sup>-4</sup> cts/(keV kg yr), energy resolution ~3 keV (FWHM)

resolution tracked per detector/period

combined (data partitions, Phase I) unbinned maximum likelihood fit
[Nature 544 (2017) 47]

Gaussian signal on flat background

• Frequentist:  $N^{0\nu} = 0$  best fit,  $T_{1/2} > 1.8 \cdot 10^{26}$  yr (median sensitivity -"-) at 90% C.L., Bayesian: flat prior on rate,  $T_{1/2} > 1.4 \cdot 10^{26}$  yr at 90% C.I.  $> 2.3 \cdot 10^{26}$  yr for flat prior on m<sub>bb</sub>

GERDA



- given "standard" assumptions 0vββ decay searches constrain **neutrino mass**
- **interplay** with cosmology / direct mass measurements [Science 365 (2019) 1445]

->  $m_{light} < [0.1,0.5] eV$ , sum < [0.2,1.5] eV,  $m_b < [0.1,0.5] eV$ 





• GERDA has finished successfully

first experiment with sensitivity beyond  $10^{26}$  yr

- no signal found -> "no neutrinos not found"
- further results ( $2\nu\beta\beta$  decay, BSM physics) to come

### Recent publications:

Final Results of GERDA on the Search for Neutrinoless Double-β Decay, **Phys. Rev. Lett.** 125, 252502 (2020) The first search for bosonic super-WIMPs with masses up to 1 MeV/c2 with GERDA , **Phys. Rev. Lett.** 125 (2020) 011801 Modeling of GERDA Phase II data , **J .High Energ. Phys**. 2020, 139 (2020) Probing Majorana neutrinos with double-β decay, **Science 365**, 1445 (2019); Improved Limit on Neutrinoless Double-β Decay of 76Ge from GERDA Phase II, **Phys. Rev. Lett.** 120 (2018) 132503 Background-free search for neutrinoless double-β decay of 76Ge with GERDA, **Nature** 544 (2017) More at https://www.mpi-hd.mpg.de/gerda/public/index-pubgall.html

### Combine the best from two worlds





### Majorana Demonstrator

29.7 kg of enriched p+ point contact (PPC) detectors with low noise electronics in compact shield from underground electroformed copper

background: T<sub>1/2</sub> sensitivity:

(6.2 ± 0.6)·10<sup>-3</sup> cts/(keV kg yr) >8.3·10<sup>25</sup> yr (90% C.L.) [J. Gruzko, Nu2022] SURF (SD)

when:

where:

completed

### **GERDA Phase II**

**44.2 kg** of enriched BEGe/coaxial/ICPC detectors operated in low A **active LAr shield** 

background:  $T_{1/2}$  sensitivity: where: when:

GERDA

5.2<sup>+1.6</sup>-1.3·10<sup>-4</sup> cts/(keV kg yr) >1.8·10<sup>26</sup> yr (90% C.L.) [accepted by Phys.Rev.Lett.]

LNGS (IT) completed

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### Combine the best from two worlds

LEGEND

"... develop a **phased**, <sup>76</sup>Ge based double-beta decay experimental program with **discovery** potential at a half-life beyond 10<sup>28</sup> years, using existing resources as appropriate to expedite physics results."

LNGS

14-string

array

### • SNOLAB

### **LEGEND-1000**

**1000 kg**, staged via individual payloads

background:  $T_{1/2}$  sensitivity: where: when:

4 payloads in UAr

 $10^{-5}$  cts/(keV·kg·yr) >10<sup>28</sup> yr to be selected contingent on funding decisions

### **LEGEND-200**

up to 200 kg of enriched ICPC(/BEGe/PPC) detectors in GERDA infrastructure

		4 10 5
background:	< 2·10 <sup>-4</sup> cts/(keV·kg·yr)	1/3 of
T <sub>1/2</sub> sensitivity:	>10 <sup>27</sup> yr	GERDA
where:	LNGS (IT)	1.00
when:	2021	

# The LEGEND Collaboration

- The goal of the LEGEND Collaboration is to design, construct, and field LEGEND-1000, a ton-scale experiment
  - "The collaboration aims to develop a phased, <sup>76</sup>Ge based double-beta decay experimental program with discovery potential at a half-life beyond 10<sup>28</sup> years, using existing resources as appropriate to expedite physics results."
- The LEGEND collaboration was formed in 2016 through a merger of the MAJORANA and GERDA collaborations, along with several new institutions
- It includes 266 members, 48 institutions, 11 countries









### The LEGEND Collaboration





"The collaboration aims to develop a phased, <sup>76</sup>Ge-based double-beta decay experimental program with <u>discovery potential</u> at a half-life beyond 10<sup>28</sup> years..."

- What is required for a discovery of  $0\nu\beta\beta$  decay at a half-life of  $10^{28}$  years?
- This is less than one decay per year per ton of material
  - Need 10 ton-years of data to get a few counts
  - Need a good signal-to-background ratio to get statistical significance
    - A very low background event rate
    - The best possible energy resolution



- Background-free: Sensitivity rises linearly with exposure Background-limited: Sensitivity rises as the square root of exposure
- Our background goal is the red line, 0.025 counts/(FWHM t y), "quasi-background-free"
  - Less than one background count expected in a 4σ Region of Interest (ROI) with 10 t y exposure (FWHM: Full Width at Half Maximum; 2.355 σ for a Gaussian peak)



# Why Germanium?

- Solid basis for unambiguous discovery
  - Superb energy resolution:  $\sigma / Q_{\beta\beta} = 0.05 \%$
  - Therefore, no background peaks anywhere near the energy of interest
  - Background is flat and well understood
  - Background will be measured, with no reliance on background modeling
  - All this leads to an excellent likelihood that an observed signal will be *convincing*
- Low risk, high impact
  - Demonstrated performance of the entire technology chain
  - GERDA has produced the lowest background per FWHM of any experiment
  - MAJORANA has produced the best resolution
  - Requires no extrapolation from current detector performance
  - Proven track record, with history of leading limits
  - The team is experienced and ready to transition from LEGEND-200 construction to LEGEND-1000
  - A stable cost estimate, with appropriate contingency





# LEGEND-1000: A discovery experiment for 0vββ of <sup>76</sup>Ge LEGEND



je at  $Q_{\beta\beta}$  = 2039.06 keV



# Innovation toward LEGEND-1000



The LEGEND-1000 design builds on a track record of breakthrough developments

- GERDA : BEGe, LAr instrumentation, cryostat in water shield, fast detector deployment, ...
- MAJORANA DEMONSTRATOR (MJD): PPC, EFCu, lownoise front-end electronics,...
- LEGEND-200 (commissioning 2021): Inverted-Coaxial Point Contact (ICPC) detectors, polyethylene naphthalate (PEN)...





PPC: p-type Point Contact Ge detectors BEGe: (modified) Broad Energy Ge detectors EFCu: Electroformed copper 2021-09-30







- P-type detectors: Insensitive to alphas on n<sup>+</sup> contact
- Small p<sup>+</sup> contact: Event topology discrimination
- Large-mass ICPC detectors: About 4 times lower backgrounds with respect to BEGe/PPC
- Proven long-term stable operation in liquid argon

### Innovation toward LEGEND-1000: Ge Detectors

Event Topologies

### $0\nu\beta\beta$ signal candidate (single-site)



Shockley-Ramo Theorem: Weighting Potential:

$$Q(t) = -q\phi_w(\boldsymbol{x}_q(t))$$
  
$$\phi_w$$

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### Innovation toward LEGEND-1000: Ge Detectors

Event Topologies

### $0\nu\beta\beta$ signal candidate (single-site)



$$Q(t) = -q\phi_w(\boldsymbol{x}_q(t))$$
  
$$\phi_w$$

Event Topologies

### $0\nu\beta\beta$ signal candidate (single-site)



γ-background (multi-site)



$$Q(t) = -q\phi_w(\boldsymbol{x}_q(t))$$
  
 $\phi_w$ 

Event Topologies

### $0\nu\beta\beta$ signal candidate (single-site)



### γ-background (multi-site)



$$Q(t) = -q\phi_w(\boldsymbol{x}_q(t))$$
  
 $\phi_w$ 

Event Topologies

### Surface- $\beta$ -background <sup>42</sup>K (<sup>42</sup>Ar) on n+ contact

 $\alpha\text{-background}$  on p+ contact



$$egin{aligned} Q(t) &= -q\phi_w(m{x}_q(t)) \ \phi_w \end{aligned}$$



- Minimize materials close to Ge detectors and use of highest purities:
  - Underground electroformed copper (EFCu) reduces U, Th, and cosmogenic activation

 $< 0.017 \pm 0.03$  pg/g  $^{238}$ U

 $< 0.011 \pm 0.05$  pg/g  $^{232} Th$ 

- Copper-Kapton laminated cables
- Optically active structural materials:
  - Polyethylene naphthalate (PEN) shifts 128 nm LAr scintillation light to ~440 nm and scintillates
  - Yield strength higher than copper at cryogenic temperatures

EFCu for holders and reentrant tube







PEN: scintillating (self-vetoing) high-purity detector support



Machining



Cleaning



2022-06-06

LEGEND

Stefan Schönert

# Innovation toward LEGEND-1000: LEGEND-200

- Procurement of <sup>76</sup>Ge (92% enr.)
- Novel ICPC detectors
- Improved LAr system
- Low-background materials
- Commissioning 2021





LEGEND-200





### LEGEND-200: Lock System





### LEGEND-200: the lock system





Cleanroom roof is opened and the components for the lock system are lifted into the cleanroom.

> As soon as the roof is closed again & everything is cleaned the lock components are aligned with the cryostat.



### LEGEND-200: Installations inside of the cryostat



Liquid Argon is evaporated GERDA Lock is removed. Enter the cryostat

Wavelength Shifting Reflector (WLSR)

Wavelength Shifting Reflector (WLSR) is installed. It restricts the LAr volume around the detectors. Also shifts scintillation light to blue and reflects it back towards the LAr instrumentation

# LEGEND-200: Installations inside of the cryostat



Liquid Argon pump allows if needed to pump LAr out of the cryostat for a liquid phase purification cycle

**L**EGEND Liquid Argon Monitoring Apparatus (LLAMA): Continuously monitors Triplet lifetime and light yield of the LAr scintillation light



# LEGEND-200: liquid argon purification and filling



# LEGEND-200: glove box and lock system







Photos by Enrico Sacchetti

### LEGEND-200: Commissioning of LAr Inner Barrel



# Stefan Schönert | LEGEND | 2022-06-06



### LEGEND-200: commissioning of LAr Outer Barrel



### LEGEND-200: Commissioning with 60 kg of HP-<sup>enr</sup>Ge



JU

łFG

# LEGEND-200: Assembly of detector strings



Photos by Enrico Sacchetti

### LEGEND-200: Commissioning with 60 kg of HP-<sup>enr</sup>Ge







Photos by M. Willers

# LEGEND-200: Commissioning with 60 kg of HP-<sup>enr</sup>Ge



Photo by M. Willers

ŁEG

D

2022-06-06

LEGEND

Stefan Schönert

### LEGEND-200: Closing the lock & commissioning with 60 kg HPGe







# The Baseline Design: Ge-76 Acquisition & Processing

### 1000 kg of enriched Ge detectors:

- Fabricate 870 kg of new detectors; use 130 kg from LEGEND-200; recycle 50 kg of small detectors
- Procure 1100 kg of enriched Ge (92% <sup>76</sup>Ge)
- 220 kg/y for 5 years through ECP(JSC) & Urenco
- No interference of world annual production (130 t/y)
- $^{enr}Ge$  metal production (50  $\Omega\text{-cm})$  and chemical recycling at VPMS^{1,2}
- LEGEND-200 experience:
  - Reliable production of 185 kg enriched isotope from ECP(JSC) and Urenco
  - Zone refinement at VPMS (and IKZ<sup>1</sup>)
  - Chemical purification and recovery at VPMS & LNGS<sup>1</sup>





<sup>&</sup>lt;sup>1</sup> Technology expertise also internal to LEGEND: IKZ, INR, USD, USC <sup>2</sup> Purification system at VPMS owned by UNC

# The Baseline Design: Detector Arrays





### ICPC detector assembly:

- 2.6 kg average mass
- EFCu
- PEN
- ASIC front end
- Flat flex cables



### Detector arrays:

- 4 arrays
- 100 ICPCs / array
- 1000 kg total mass
- 0.12% FWHM (0.05%  $\sigma)$  at  $Q_{\beta\beta}$
- Double-barrel LAr instrumentation
- Underground argon
- Reentrant tubes



### ICPC detector production:

- Two established vendors plus 2 additional vendors
- 1<sup>st</sup> year: 50 detectors / y
- Subsequent years: 110 / y
- LEGEND-1000 staged approach: Detectors for first module are ready 2.5 years after start of production

# The Baseline Design: Front-End Electronics & DAQ



### Front-end CSA ASIC:

- Low noise / threshold: <1 keV
- Large dynamic range: 10 MeV •
- Sufficient bandwidth: 50 MHz •
- Detector capacitance: ٠



5 pF

### Data Acquisition:

### Full digitization ٠ 800 of Ge, LAr Signal [a.u. system, water Cherenkov systems

Off-line filtering ٠

- 2022-06-06 LEGEND Stefan Schönert 1400 1600
- LEGEND-1000 DAQ built on LEGEND-200 design; • successfully operated during Post-GERDA Test (PGT)

200

400

600

800

Time [ns]

1000

1200

1000

600

400

200

0

# The Baseline Design: Underground Liquid Argon

- L1000 needs 20-25 t of UGLAr
- Builds on pioneering work of DarkSide collaboration
- UGAr will be mined at Urania facility (U.S.) 95 t/y
- Logistics and storage technology under development by DarkSide/ARGO collaboration for LNGS and SNOLAB
- Expression of interest from INFN president<sup>1</sup> and DarkSide leadership
- UGAr production for LEGEND-1000 in 2023 (after DS-20k)



### UGAr is depleted in <sup>42</sup>Ar (<sup>39</sup>Ar)

lso- tope	Abun- dance	Half-life ( <i>t</i> <sub>1/2</sub> )	Decay mode	Pro- duct
<sup>36</sup> Ar	0.334%	stable		
<sup>37</sup> Ar	syn	35 d	3	<sup>37</sup> Cl
<sup>38</sup> Ar	0.063%		stable	
<sup>39</sup> Ar	trace	=== <b>269</b> y=	₽≡===	<sup>39</sup> K
<sup>40</sup> Ar	99.604%	stable		
<sup>41</sup> Ar	syn	109.34 min	β-	<sup>41</sup> K
<sup>42</sup> Ar	syn	=== <del>32.9 y</del> =	= <b>β</b> =====	<sup>42</sup> K

<sup>1</sup> "...we are confident that the production of the required UAr can be completed in a time scale useful for the accomplishment of the LEGEND-1000 experiment.. The present statement is an expression of interest and availability from INFN..."



# LEGEND-1000 underground sites



**SNOLAB:** New underground tank infrastructures required

**LNGS:** Re-purpose BOREXINO tank and infrastructures; Also under consideration: LVD space with 3 cryostats

Deformation of cryostat for seismic event at LNGS

- At **SNOLAB depth** :
  - $-5.8 \times 10^{-8}$  cts /(keV kg yr)
  - 0.6% of the background budget; even assuming a large uncertainty, the in-situ background contribution remains small.
- At LNGS depth
  - Including a minimal implementation of delayed coincidence suppression, but no further measures,
    - 5.4 x 10<sup>-6</sup> cts /(keV kg yr) (7-m baseline detector layout),
      2.0 x 10<sup>-6</sup> cts /(keV kg yr) (4 x 4-m cryostats).
    - 20-50% of the total background budget
  - Adding neutron moderating materials in the LAr, tagging sibling neutrons in the LAr and in the Gd-loaded water shield, and using topology information
    - <1 x 10<sup>-6</sup> cts /(keV kg yr) (7-m baseline detector layout)
    - This is < 10 % of the total background budget

# LEGEND-1000 Background projections





Improvements from LEGEND-200:

- Larger detectors (2.6 kg avg)
- New cables and ASIC read-out
- Underground Ar surrounding detectors
- Optimized array spacing and LAr instrumentation
- Deeper underground site or additional neutron shielding & tagging: SNOLAB and LNGS options

Projected background index after all cuts:  $9.4^{+4.9}_{-6.3} \times 10^{-6} \text{ counts/(keV kg yr)}$ 

> Quasi-background free operation up to 10 ton-year exposure, for unambiguous discovery beyond 10<sup>28</sup> years

# The LEGEND-1000 Background Model





# Designed for an Unambiguous Discovery



Stefan Schönert | LEGEND | 2021-09-30





### • $T_{1/2} \propto \mathcal{E}/N_{0\nu\beta\beta}$

- Background free → linear sensitivity growth
- 10<sup>28</sup> yr in discovery mode (x100 better than GERDA & MAJORANA)

LEGEND will explore uncharted territory and open new energy frontiers

New physics can manifest at any  $T_{1/2}$  value!



3σ discovery sensitivity @ 10 ton-yr 1.3 10<sup>28</sup> yr



# Sensitivity m<sub>BB</sub>



Agostini, Detwiler, Benato, Menendez, Vissani

• 
$$m_{\beta\beta} = m_e / \sqrt{G \ g_A^4 \ M^2 \ T_{1/2}}$$

- Inverted ordering:  $m_{\beta\beta} > 18.4 \pm 1.3 \text{ meV}$
- $M \rightarrow$  4 many-body methods, each with specific systematics (soon also ab initio)
- Multiple, different set of calculations for each many-body method and isotope

LEGEND will fully test inverted ordering and a large part of the normal ordering space Discovery sensitivity <18.4 meV for 3/4 many-body methods & 12/15 calculations



# Conclusion



- LEGEND-1000 is optimized for a quasi-background-free  $0\nu\beta\beta$  search
  - It builds on breakthrough developments by GERDA, MAJORANA, and LEGEND-200
  - Our background model is based on the demonstrated success of MAJORANA and GERDA, detailed simulations, and well-understood improvements
  - LEGEND has a low-risk path to meeting its background goal of 10<sup>-5</sup> counts/(keV kg yr)
  - Low backgrounds, excellent resolution, and topology discrimination allow for an unambiguous discovery of  $0\nu\beta\beta$  decay at  $T_{1/2} = 10^{28}$  years
- The reference design plans for the instrument to be sited in the SNOLAB Cryopit (baseline site)
- Alternatively, the instrument can be sited at LNGS (Hall C) (alternative site)
- LEGEND-1000 International Project Organization established with ORNL as US DOE leadlab
- We have a strong, experienced, international collaboration that "aims to develop a phased, <sup>76</sup>Ge based double-beta decay experimental program with discovery potential at a half-life beyond 10<sup>28</sup> years, using existing resources as appropriate to expedite physics results."

LEGEND-1000 Preconceptual Design Report: https://arxiv.org/pdf/2107.11462.pdf