

# Calculations of the nuclear matrix elements for double- $\beta$ decay: an overview of the present status

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*Double-Beta Decay: the Road  
to Normal Hierarchy Sensitivity*  
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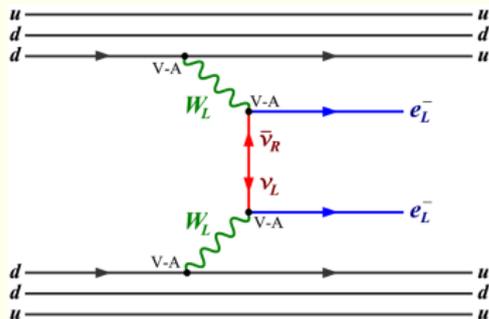
# Acknowledgements

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# The neutrinoless double $\beta$ -decay

The detection of the  $0\nu\beta\beta$  decay is nowadays one of the main targets in many laboratories all around the world, since its detection would correspond to a violation of the conservation of the **leptonic number**, and may provide more informations on the nature of the neutrinos and its **effective mass**



- The inverse of the  $0\nu\beta\beta$ -decay half-life is proportional to the squared nuclear matrix element  $M^{0\nu}$ , which relates the parent and grand-daughter wave functions via the decay operator.

$$\left[ T_{1/2}^{0\nu} \right]^{-1} = G^{0\nu} \left| M^{0\nu} \right|^2 \left| g_A^2 \frac{\langle m_\nu \rangle}{m_e} \right|^2$$

- The calculation of  $M^{0\nu}$  links  $\left[ T_{1/2}^{0\nu} \right]^{-1}$  to the neutrino effective mass  $\langle m_\nu \rangle = \left| \sum_k m_k U_{ek}^2 \right|$  (light-neutrino exchange)

# The calculation of $M^{0\nu}$

The matrix elements  $M_{\alpha}^{0\nu}$  are defined as follows:

$$M_{\alpha}^{0\nu} = \sum_k \sum_{j_p j_{p'} j_n j_{n'}} \langle f | a_p^{\dagger} a_n | k \rangle \langle k | a_{p'}^{\dagger} a_{n'} | i \rangle \langle j_p j_{p'} | \tau_1^{-} \tau_2^{-} \Theta_{\alpha}^k | j_n j_{n'} \rangle$$

with  $\alpha = (GT, F, T)$

$$\Theta_{12}^{GT} = \vec{\sigma}_1 \cdot \vec{\sigma}_2 H_{GT}(r)$$

$$\Theta_{12}^F = H_F(r)$$

$$\Theta_{12}^T = [3(\vec{\sigma}_1 \cdot \hat{r})(\vec{\sigma}_1 \cdot \hat{r}) - \vec{\sigma}_1 \cdot \vec{\sigma}_2] H_T(r)$$

$H_{\alpha}$  depends on the energy of the initial, final, and intermediate states:

$$H_{\alpha}(r) = \frac{2R}{\pi} \int_0^{\infty} \frac{j_{\alpha}(qr) h_{\alpha}(q^2) q dq}{q + E_k - (E_i + E_f)/2}$$

Actually, because of the computational complexity, the energies of the intermediate states are replaced by an average value:

$$E_k - (E_i + E_f)/2 \rightarrow \langle E \rangle$$

$$\sum_k \langle f | a_p^{\dagger} a_n | k \rangle \langle k | a_{p'}^{\dagger} a_{n'} | i \rangle = \langle f | a_p^{\dagger} a_n a_{p'}^{\dagger} a_{n'} | i \rangle$$

# The closure approximation

Consequently, the expression of the neutrino potentials becomes:

$$H_{\alpha}(r) = \frac{2R}{\pi} \int_0^{\infty} \frac{j_{\alpha}(qr) h_{\alpha}(q^2) q dq}{q + \langle E \rangle}$$

The matrix elements  $M_{\alpha}^{0\nu}$  are then defined, within the **closure approximation**, as follows:

$$M_{\alpha}^{0\nu} = \sum_{j_n j_n' j_p j_p'} \langle f | a_p^{\dagger} a_n a_p^{\dagger} a_n' | i \rangle \langle j_p j_p' | \tau_1^{-} \tau_2^{-} \Theta_{\alpha} | j_n j_n' \rangle$$

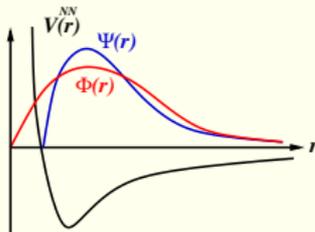
The matrix elements  $\langle f | a_p^{\dagger} a_n a_p^{\dagger} a_n' | i \rangle$  are the **two-body transition-density** matrix elements, and the Gamow-Teller (**GT**), Fermi (**F**), and tensor (**T**) operators:

The closure approximation works since  $q \approx 100\text{-}200 \text{ MeV}$ , while model-space excitation energies  $E_{exc} \approx 10 \text{ MeV}$

Sen'kov and Horoi (*Phys. Rev. C* **88**, 064312 (2013)) have evaluated the non-closure vs closure approximation within **10%**

# $0\nu\beta\beta$ decay: short-range correlations

Nuclear structure calculations provide non-correlated wave functions  $\Phi$ , namely Slater determinants of unperturbed basis states, which do not vanish in the short-range region of the nuclear potential



The short-range repulsion of  $V^{NN}$  makes the “real” correlated wave function  $\Psi$  to approach to zero as the internucleon distance diminishes

Short-range correlations (SRC) are then introduced to soften the  $0\nu\beta\beta$  decay operator, consistently with the renormalization of  $V^{NN}$

- Calculation of the defect wave functions ( $G$ -matrix renormalization)
- Jastrow-type functions (the most popular ones)
- Unitary Correlation Operator Method (UCOM)
- $V_{\text{low}-k}$  unitary transformation

# $0\nu\beta\beta$ decay: LO contact transition operator

Within the framework of **ChEFT**, there is the need to introduce a LO short-range operator, which is missing in standard calculations of  $M^{0\nu}$ s, to renormalize the operator and make it independent of the ultraviolet regulator

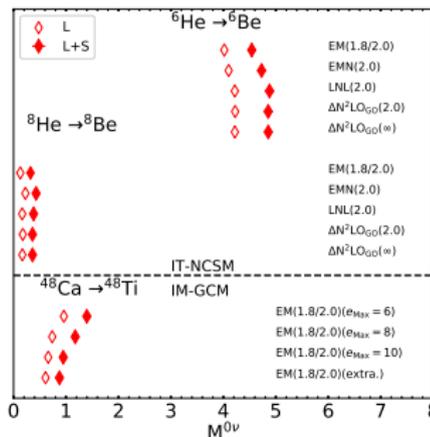
V. Cirigliano et al., *Phys. Rev. Lett.* **120** 202001 (2020)

$$M_{sr}^{0\nu} = \frac{1.2A^{1/3} \text{ fm}}{g_A^2} \langle 0_f^+ | \sum_{n,m} \tau_m^- \tau_n^- \mathbf{1} \left[ \frac{4g_{\nu}^{NN}}{\pi} \int j_0(qr) f_S(p/\Lambda_S) q^2 dq \right] | 0_i^+ \rangle$$

The **open question** is the determination of the low-energy constant  $g_{\nu}^{NN}$

A recent attempt to fit  $g_{\nu}^{NN}$  by computing the transition amplitude of the  $nn \rightarrow ppe^- e^-$  process using nuclear  $NN$  and  $NNN$  interactions has shown that  $M_{sr}^{0\nu}$  enlarges the  $M^{0\nu}$  for  $^{48}\text{Ca}$   $0\nu\beta\beta$  decay

R. Wirth et al., *Phys. Rev. Lett.* **127** 242502 (2021)



# Theoretical nuclear structure calculations

The study of the many-body Schrödinger equation, for system with  $A > 4$ , needs the **introduction of truncations and approximations**, and follows two main approaches:

## Mean-field and collective models

- ◆ Energy Density Functional (EDF)
- ◆ Quasiparticle Random-Phase Approximation (QRPA)
- ◆ Interacting Boson Model IBM

## Microscopic approaches

- *ab initio* methods
  - No-Core Shell Model (NCSM)
  - Coupled-Cluster Method (CCM)
  - In-Medium Similarity Renormalization Group (IMSRG)
  - Self-Consistent Green's Function approach (SCGF)
- Nuclear Shell Model

# The many-body problem

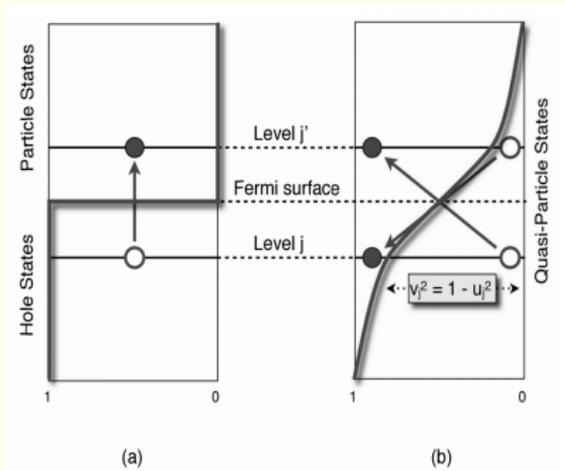
- Mean-field and collective models operate a drastic cut of the nuclear degrees of freedom, the computational problem is alleviated
- Their effective Hamiltonian  $H_{\text{eff}}$  cannot be derived from realistic nuclear forces and depend from parameters fitted to reproduce a selection of observables
- This reduces the predictive power, that is crucial to search “new physics”

- The degrees of freedom of *ab initio* methods and SM Hamiltonians are the microscopic ones of the single nucleons (very demanding calculations)
- Consequently, they may operate starting from realistic nuclear forces
- These features enhance the predictiveness and the calculated wave functions are more reliable

# The QRPA

The quasiparticle random-phase approximation is based on the concept of “pairing” among the nucleons.

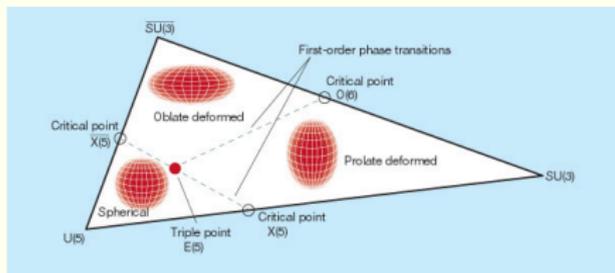
Particles are substituted with “quasiparticles”.



- **Advantage** → The dimension of the hamiltonian does not scale rapidly with the mass number  $A$  as with the shell model.
- **Shortcoming** → Results are strongly dependent on the choice of the free renormalization-parameter  $g_{pp}$  ( $g_{ph}$  is determined from experiment), that is fixed to reproduce both spectroscopy and **GT** transitions

In the interacting boson model identical nucleons are paired so to generate bosons:

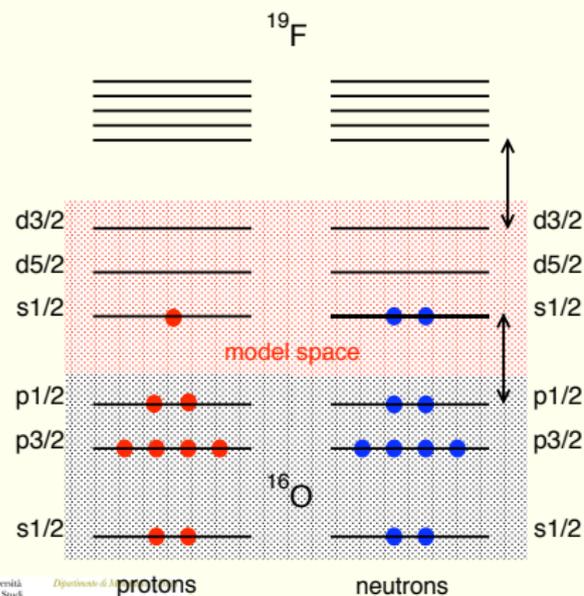
- $L = 0 \rightarrow s$ -boson
- $L = 2 \rightarrow d$ -boson



- **Advantage**  $\rightarrow$  The computational complexity is drastically simplified
- **Shortcoming**  $\rightarrow$  The configuration space is strongly reduced

# The nuclear shell model

The nucleons are subject to the action of a mean field, that takes into account most of the interaction of the nucleus constituents. Only valence nucleons interact by way of a residual two-body potential, within a reduced model space.

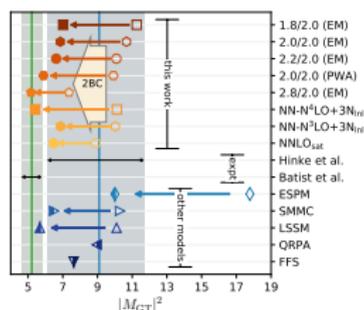


- **Advantage** → It is a microscopic and flexible model, the degrees of freedom of the valence nucleons are explicitly taken into account.
- **Shortcoming** → High-degree computational complexity.

# Ab initio methods: $\beta$ -decay in medium-mass nuclei

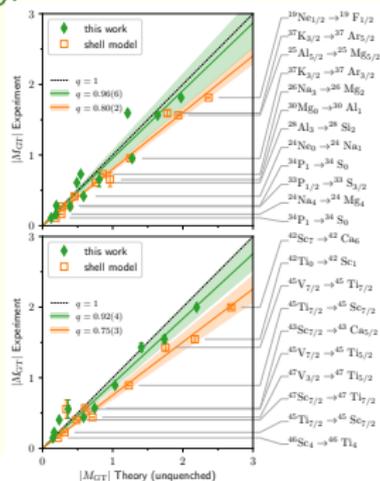
Coupled-cluster method **CCM** and in-medium SRG (**IMRSG**) calculations have recently performed to overcome the quenching problem  $g_A$  to reproduce  $\beta$ -decay observables in heavier systems

*P. Gysbers et al., Nat. Phys. 15 428 (2019)*



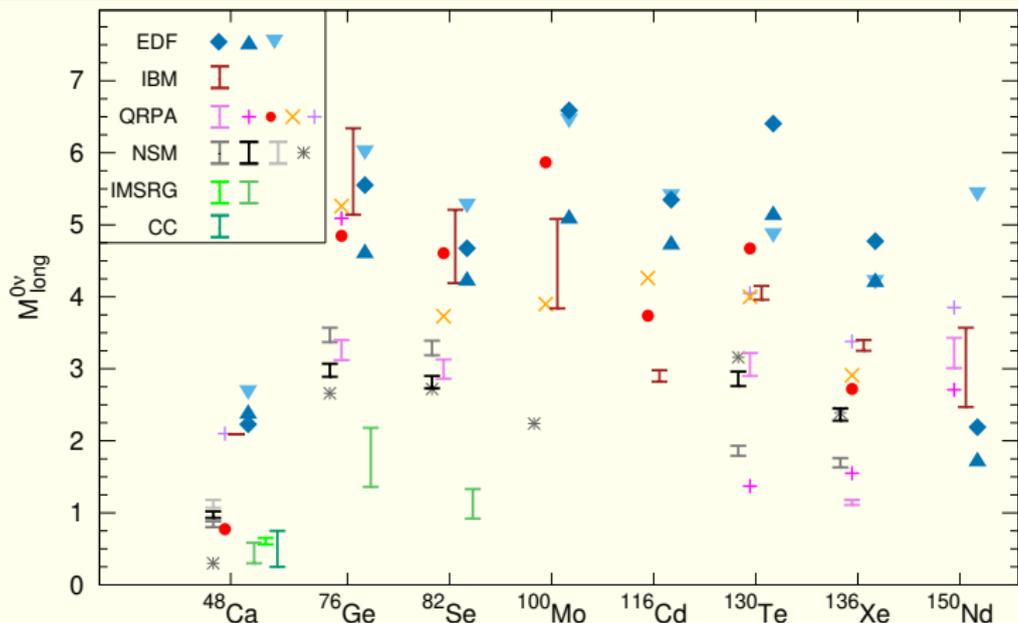
## Coupled-Cluster Method

- **Advantage** → The degrees of freedom of *all* constituent nucleons are included, the number of correlations among nucleons is enormous
- **Shortcoming** → Highest-degree of computational complexity, the comparison with spectroscopic data is not yet satisfactory



## In-Medium SRG

# Nuclear structure calculations

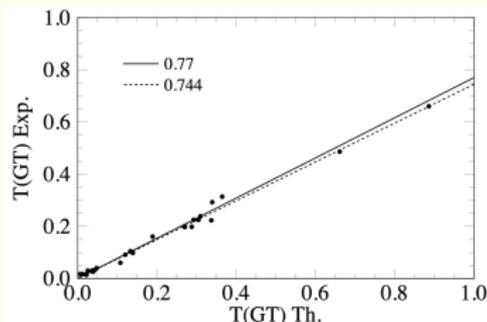


- The spread of nuclear structure calculations evidences inconsistencies among results obtained with different models

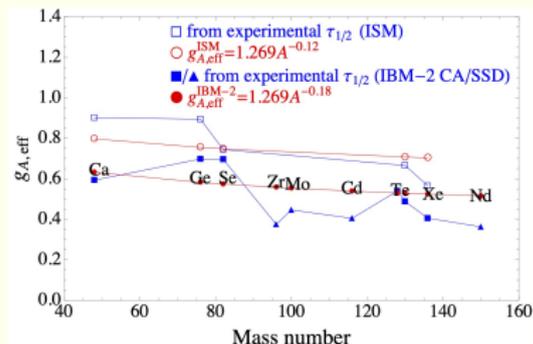
*Figure courtesy from Javier Menéndez*

# The quenching of $g_A$

A major issue in the calculation of quantities related to **GT transitions**, is the need to quench the axial coupling constant  $g_A$  by a factor  $q$  in order to reproduce data.



G. Martínez Pinedo et al., *Phys. Rev. C* **53**, R2602 (1996)



J. Barea, J. Kotila, and F. Iachello, *Phys. Rev. C* **91**, 034304 (2015)

The introduction of quenching factor may largely affect the value of the half-life  $T_{1/2}^{0\nu}$ , since the latter would be enlarged by a factor  $q^{-4}$ .

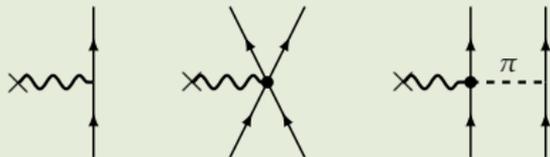
That is why experimentalists are deeply concerned about  $q$ , its value has a strong impact on the **sensitivity of the experimental apparatus**.

# The quenching of $g_A$

The two main sources of the need of a **quenching factor  $q$**  may be identified as:

## Nucleon internal degrees of freedom

Nucleons are not point-like particles  $\Rightarrow$  contributions to the free value of  $g_A$  come from two-body **meson exchange currents**:



## Truncation of the nuclear configurations

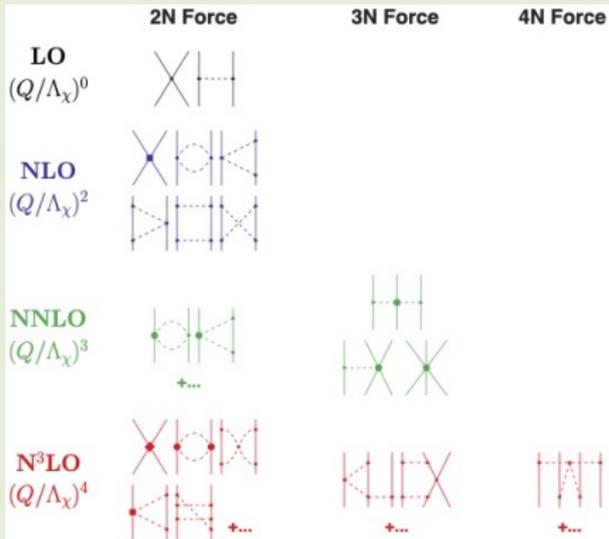
**Nuclear models** operate a cut of the nuclear degrees of freedom in order to diagonalize the nuclear Hamiltonian  $\Rightarrow$  **effective Hamiltonians and decay operators** must be considered to account for the neglected configurations in the nuclear wave function

# Two-body meson exchange currents

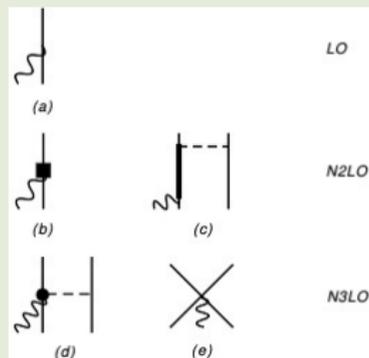
A powerful approach to the derivation of two-body currents (**2BC**) is to resort to **effective field theories (EFT)** of quantum chromodynamics.

In such a way, both nuclear potentials and **2BC** may be consistently constructed, since in the **EFT** approach they appear as subleading corrections to the one-body Gamow-Teller (**GT**) operator  $\sigma\tau^+$ .

## Nuclear Hamiltonian



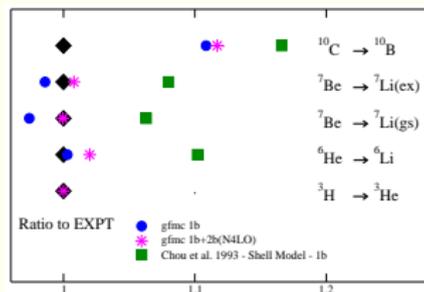
## Two-body currents



The impact of **2BC** on the calculated  **$\beta$ -decay properties** has been investigated in terms of ***ab initio* methods**

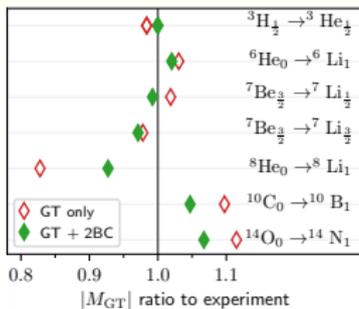
# $\beta$ -decay in light nuclei

GT nuclear matrix elements of the  $\beta$ -decay of  $p$ -shell nuclei have been calculated with Green's function Monte Carlo (GFMC) and no-core shell model (NCSM) methods, including contributions from 2BC

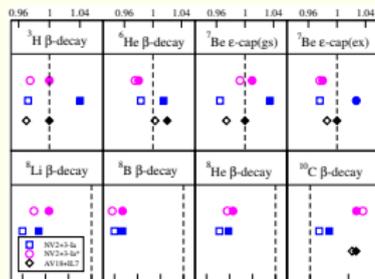


S. Pastore et al., *Phys. Rev. C* **97** 022501(R) (2018)

The contribution of 2BC improves systematically the agreement between theory and experiment



P. Gysbers et al., *Nat. Phys.* **15** 428 (2019)



G. B. King et al., *Phys. Rev. C* **102** 025501 (2020)

# Effective operators for nuclear models

The truncation of the Hilbert space of nuclear configuration implies the need of **effective Hamiltonians and decay operators**  $H_{\text{eff}}, \Theta_{\text{eff}}$   $H_{\text{eff}}, \Theta_{\text{eff}}$  must take into account all the degrees of freedom not explicitly considered in the truncated **model space**

## Two alternative approaches

- phenomenological: the parameters defining  $H_{\text{eff}}, \Theta_{\text{eff}}$  are fitted to data (SP energies, TBME, quenching factors, effective charges, etc.)
- microscopic:

$V_{NN}$  (+  $V_{NNN}$ )  $\Rightarrow$  many-body theory  $\Rightarrow H_{\text{eff}}, \Theta_{\text{eff}}$

## Definition

The **eigenvalues** and  $\Theta_{\text{eff}}$  matrix elements of **model-space wave functions** should be equal to those of the original  $H, \Theta$  of the **full Hilbert-space wave functions**, respectively.

This may be provided by a **similarity transformation**  $\Omega$  of the full Hilbert-space hamiltonian  $H$  onto the effective one  $H_{\text{eff}}$

# The effective operators for decay amplitudes

- $\Psi_\alpha$  eigenstates of the full Hamiltonian  $H$  with eigenvalues  $E_\alpha$
- $\Phi_\alpha$  eigenvectors obtained diagonalizing  $H_{\text{eff}}$  in the model space  $P$  and corresponding to the same eigenvalues  $E_\alpha$

$$\Rightarrow |\Phi_\alpha\rangle = P |\Psi_\alpha\rangle$$

Obviously, for any decay-operator  $\Theta$ :

$$\langle \Phi_\alpha | \Theta | \Phi_\beta \rangle \neq \langle \Psi_\alpha | \Theta | \Psi_\beta \rangle$$

We then require an effective operator  $\Theta_{\text{eff}}$  defined as follows

$$\Theta_{\text{eff}} = \sum_{\alpha\beta} |\Phi_\alpha\rangle \langle \Psi_\alpha | \Theta | \Psi_\beta \rangle \langle \Phi_\beta |$$

Consequently, the matrix elements of  $\Theta_{\text{eff}}$  are

$$\langle \Phi_\alpha | \Theta_{\text{eff}} | \Phi_\beta \rangle = \langle \Psi_\alpha | \Theta | \Psi_\beta \rangle$$

This means that the parameters characterizing  $\Theta_{\text{eff}}$  are renormalized with respect to  $\Theta \Rightarrow g_A^{\text{eff}} = q \cdot g_A \neq g_A$

# Realistic shell-model

The nuclear shell model is a **microscopic one**, then it is possible to construct, **within the many-body theory**, effective Hamiltonians and decay operators starting from **realistic nuclear potentials**

## Realistic shell model (RSM)

- 1 Choose a realistic  $NN$  potential ( $NNN$ )
- 2 Renormalize its short range correlations
- 3 Identify the model space better tailored to study the physics problem
- 4 Derive the effective shell-model Hamiltonian and consistently effective shell-model operators for decay amplitudes, by way of the many-body perturbation theory
- 5 Calculate the observables (**energies, e.m. transition probabilities,  $\beta$ -decay amplitudes...**), using only theoretical SP energies, two-body matrix elements, and effective SM operators.

# The effective shell-model Hamiltonian

We start from the many-body Hamiltonian  $H$  defined in the full Hilbert space:

$$H = H_0 + H_1 = \sum_{i=1}^A (T_i + U_i) + \sum_{i < j} (V_{ij}^{NN} - U_i)$$

$$\left( \begin{array}{c|c} PHP & PHQ \\ \hline QHP & QHQ \end{array} \right) \mathcal{H} = \Omega^{-1} H \Omega \left( \begin{array}{c|c} P\mathcal{H}P & P\mathcal{H}Q \\ \hline 0 & Q\mathcal{H}Q \end{array} \right)$$

$$\Rightarrow Q\mathcal{H}P = 0$$

$$H_{\text{eff}} = P\mathcal{H}P$$

Suzuki & Lee  $\Rightarrow \Omega = e^\omega$  with  $\omega = \left( \begin{array}{c|c} 0 & 0 \\ \hline Q\omega P & 0 \end{array} \right)$

$$H_1^{\text{eff}}(\omega) = PH_1P + PH_1Q \frac{1}{\epsilon - QHQ} QH_1P -$$

$$-PH_1Q \frac{1}{\epsilon - QHQ} \omega H_1^{\text{eff}}(\omega)$$

# The perturbative approach to the shell-model $H^{\text{eff}}$

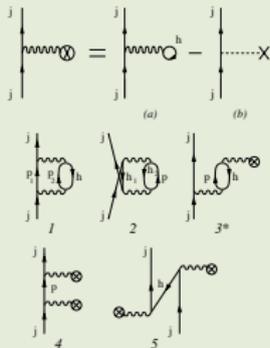
## The $\hat{Q}$ -box vertex function

$$\hat{Q}(\epsilon) = PH_1P + PH_1Q \frac{1}{\epsilon - QHQ} QH_1P$$

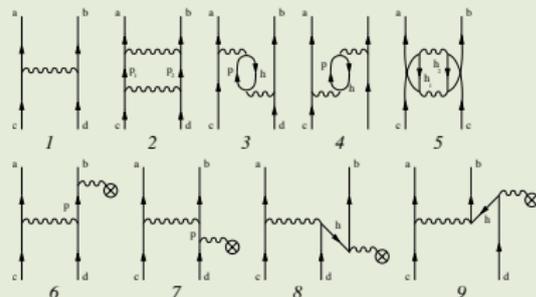
Exact calculation of the  $\hat{Q}$ -box is computationally prohibitive for many-body system  $\Rightarrow$  we perform a perturbative expansion

$$\frac{1}{\epsilon - QHQ} = \sum_{n=0}^{\infty} \frac{(QH_1Q)^n}{(\epsilon - QH_0Q)^{n+1}}$$

### $\hat{Q}$ -box: 1st- & 2nd-order 1-b diagrams



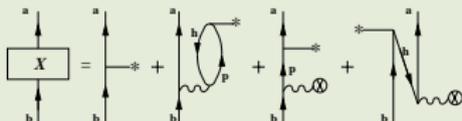
### $\hat{Q}$ -box: 1st- & 2nd-order 2-b diagrams



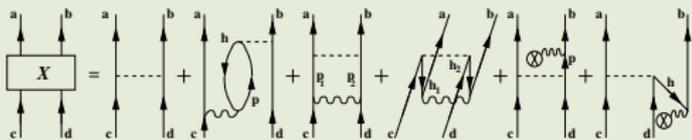
# The effective SM operators for decay amplitudes

Diagrams up **2nd order** of the perturbative expansion of the effective decay operator  $\Theta_{\text{eff}}$ :

## One-body operator



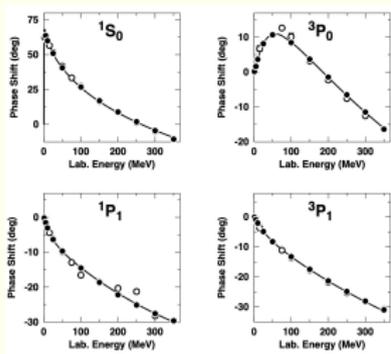
## Two-body operator



- *I. S. Towner, Phys. Rep. 155, 263 (1987)*
- *H. Q. Song, H. F. Wu, T. T. S. Kuo, Phys. Rev. C 40, 2260 (1989)*
- *J. D. Holt and J. Engel, Phys. Rev. C 87, 064315 (2013).*
- *LC, L. De Angelis, T. Fukui, A. Gargano, and N. Itaco, Phys. Rev. C 95,*
- *LC, L. De Angelis, T. Fukui, A. Gargano, N. Itaco, and F. Nowacki, Phys. Rev. C 100, 014316 (2019).*

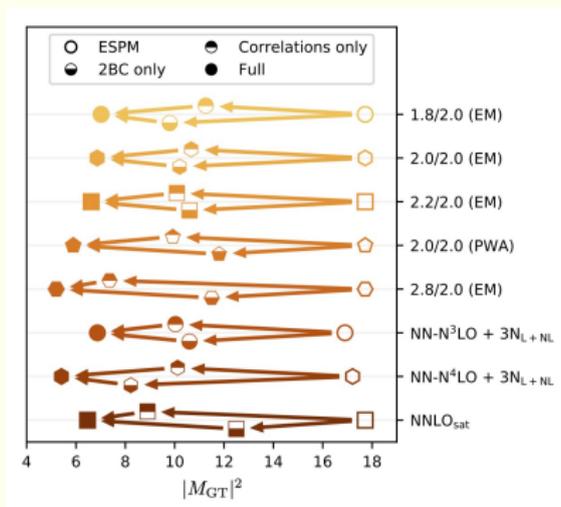
# Our recipe for realistic shell model

- Input  $V_{NN}$ :  $V_{\text{low}-k}$  derived from the high-precision  $NN$  CD-Bonn potential with a cutoff:  $\Lambda = 2.6 \text{ fm}^{-1}$ .



- $H_{\text{eff}}$  obtained calculating the  $\hat{Q}$  box up to the 3rd order in perturbation theory.
- Effective operators are consistently derived by way of the the MBPT
- Short-range correlations of the nuclear wave functions for the calculation of the nuclear matrix elements for  $0\nu\beta\beta$  decay are obtained from the  $V_{\text{low}-k}$  renormalization procedure

# The choice of the $NN$ potential



*P. Gysbers et al., arXiv:1903.00047 (2019)*

A  $V_{\text{low-}k}$  potential from the CD-Bonn  $NN$  with a “hard cutoff”  $\Lambda = 2.6 \text{ fm}^{-1}$  has been chosen to reduce the impact of GT two-body currents

# Nuclear models and predictive power

Nuclear model



Accurate reproduction  
of experimental data



Predictive power

RSM calculations, starting from the **CD-Bonn potential** for spectroscopic, spin-, and spin-isospin dependent observables of  $^{48}\text{Ca}$ ,  $^{76}\text{Ge}$ ,  $^{82}\text{Se}$ ,  $^{100}\text{Mo}$ ,  $^{130}\text{Te}$ , and  $^{136}\text{Xe}$



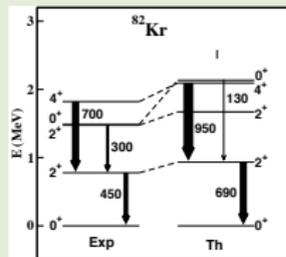
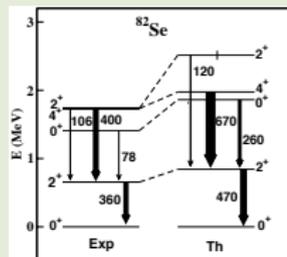
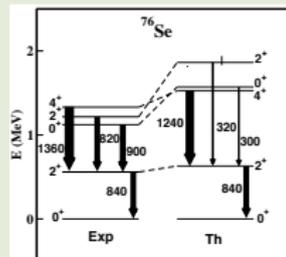
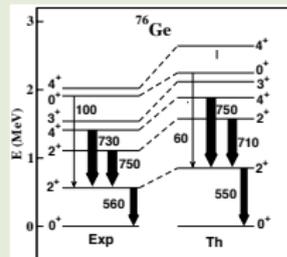
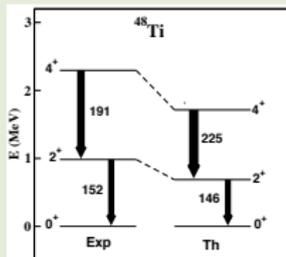
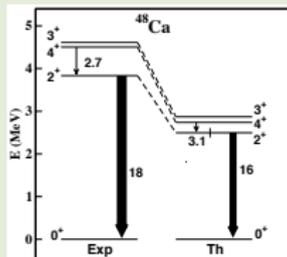
Check RSM approach calculating **GT** strengths and  $2\nu\beta\beta$ -decay

$$\left[T_{1/2}^{2\nu}\right]^{-1} = G^{2\nu} |M_{\text{GT}}^{2\nu}|^2$$

where

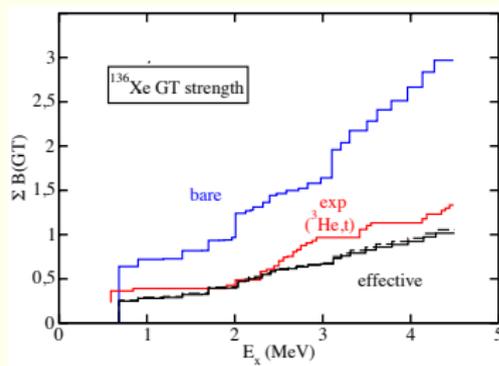
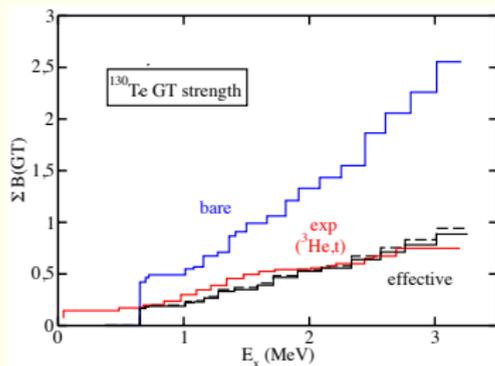
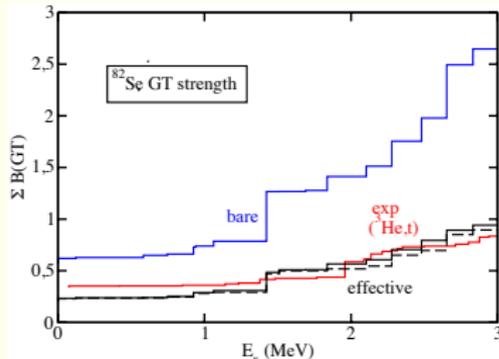
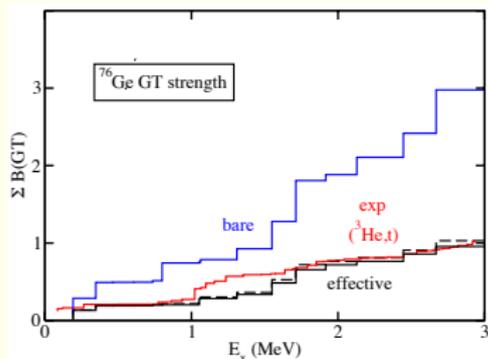
$$M_{2\nu}^{\text{GT}} = \sum_n \frac{\langle 0_f^+ || \vec{\sigma}\tau^- || 1_n^+ \rangle \langle 1_n^+ || \vec{\sigma}\tau^- || 0_i^+ \rangle}{E_n + E_0}$$

# Spectroscopic properties



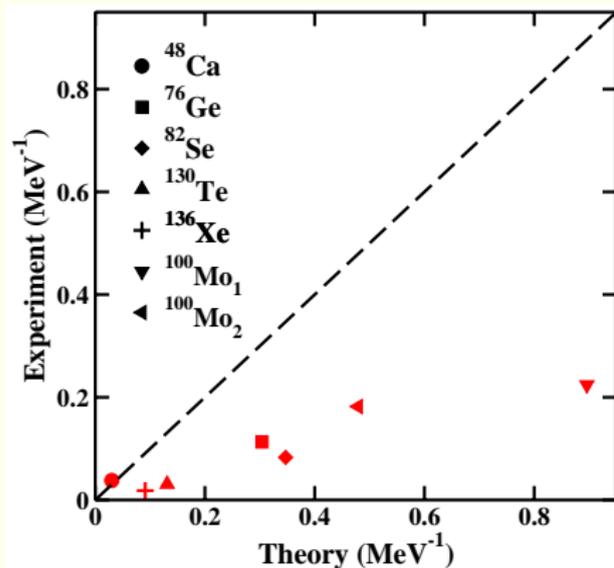


# GT- running sums



$$B(p, n) = \frac{|\langle \Phi_f | \sum_j \bar{\sigma}_j \tau_j^- | \Phi_i \rangle|^2}{2J_i + 1},$$

# $2\nu\beta\beta$ nuclear matrix elements

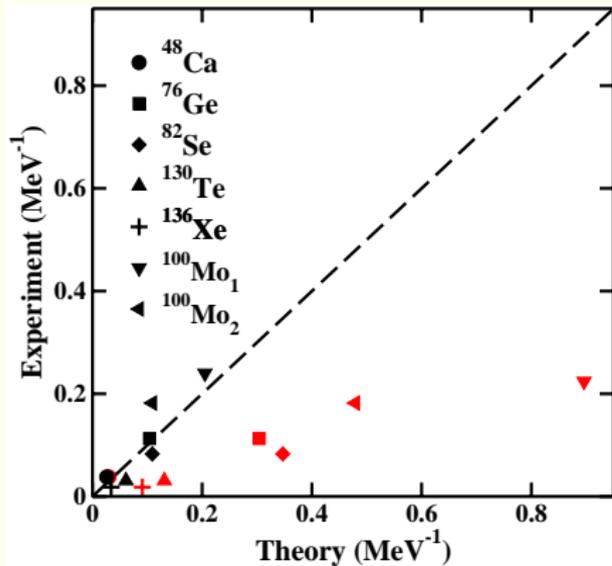


Red symbols: bare GT operator

Decay	Expt.	Bare
$^{48}\text{Ca}_1 \rightarrow ^{48}\text{Ti}_1$	$0.038 \pm 0.003$	0.030
$^{76}\text{Ge}_1 \rightarrow ^{76}\text{Se}_1$	$0.113 \pm 0.006$	0.304
$^{82}\text{Se}_1 \rightarrow ^{82}\text{Kr}_1$	$0.083 \pm 0.004$	0.347
$^{130}\text{Te}_1 \rightarrow ^{130}\text{Xe}_1$	$0.031 \pm 0.004$	0.131
$^{136}\text{Xe}_1 \rightarrow ^{136}\text{Ba}_1$	$0.0181 \pm 0.0007$	0.0910
$^{100}\text{Mo}_1 \rightarrow ^{100}\text{Ru}_1$	$0.224 \pm 0.002$	0.896
$^{100}\text{Mo}_1 \rightarrow ^{100}\text{Ru}_2$	$0.182 \pm 0.006$	0.479

Experimental data from *Thies et al, Phys. Rev. C 86, 044309 (2012)*; *A. S. Barabash, Universe 6, (2020)*

# $2\nu\beta\beta$ nuclear matrix elements



- LC, L. De Angelis, T. Fukui, A. Gargano, and N. Itaco, *Phys. Rev. C* **95**, 064324 (2017).
- LC, L. De Angelis, T. Fukui, A. Gargano, N. Itaco, and F. Nowacki, *Phys. Rev. C* **100**, 014316 (2019).

**Red symbols:** bare GT operator  
**Black symbols:** effective GT operator

Decay	Expt.	Eff.
$^{48}\text{Ca}_1 \rightarrow ^{48}\text{Ti}_1$	$0.038 \pm 0.003$	0.026
$^{76}\text{Ge}_1 \rightarrow ^{76}\text{Se}_1$	$0.113 \pm 0.006$	0.104
$^{82}\text{Se}_1 \rightarrow ^{82}\text{Kr}_1$	$0.083 \pm 0.004$	0.109
$^{130}\text{Te}_1 \rightarrow ^{130}\text{Xe}_1$	$0.031 \pm 0.004$	0.061
$^{136}\text{Xe}_1 \rightarrow ^{136}\text{Ba}_1$	$0.0181 \pm 0.0007$	0.0341
$^{100}\text{Mo}_1 \rightarrow ^{100}\text{Ru}_1$	$0.224 \pm 0.002$	0.205
$^{100}\text{Mo}_1 \rightarrow ^{100}\text{Ru}_2$	$0.182 \pm 0.006$	0.109

Experimental data from *Thies et al, Phys. Rev. C* **86**, 044309 (2012); A. S. Barabash, *Universe* **6**, (2020)

Decay	$q$
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	<b>0.83</b>
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	<b>0.58</b>
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	<b>0.56</b>
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	<b>0.48</b>
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	<b>0.68</b>
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	<b>0.61</b>

# RSM calculations of $M^{0\nu}$

Earliest RSM calculation of  $M^{0\nu}$  performed by Kuo and coworkers for  $^{48}\text{Ca}$  decay (*Phys. Lett. B* **162** 227 (1985))

- SM effective TBMEs and decay operator from Paris and Reid potential
- Brueckner  $G$ -matrix and 2nd-order MBPT
- SRC derived through the calculation of the defect function

$M^{0\nu}$  for  $^{76}\text{Ge}$ ,  $^{82}\text{Se}$ , and  $^{48}\text{Ca}$  decay by Holt and Engel (*Phys. Rev. C* **87** 064315 (2013), *Phys. Rev. C* **88** 045502 (2014))

- Wave functions calculated with GCN28.50, JUN45, and GXPF1A Hamiltonians
- SM decay operator from  $N^3\text{LO}$  (EM) potential,  $V_{\text{low}-k}$  renormalization, and 3rd-order MBPT
- Jastrow-type short-range correlations

$M^{0\nu}$  for  $^{48}\text{Ca}$ ,  $^{76}\text{Ge}$ ,  $^{82}\text{Se}$ ,  $^{100}\text{Mo}$ ,  $^{130}\text{Te}$ , and  $^{136}\text{Xe}$  decay by our collaboration (*Phys. Rev. C* **101** 044315 (2020); *Phys. Rev. C* **105** 034312 (2022))

- $H_{\text{eff}}$  and effective decay operators from CD-Bonn potential,  $V_{\text{low}-k}$  renormalization, and 3rd-order MBPT
- $V_{\text{low}-k}$ -transformation SRC
- Three-body correlations contributions included to account for the Pauli-blocking effect

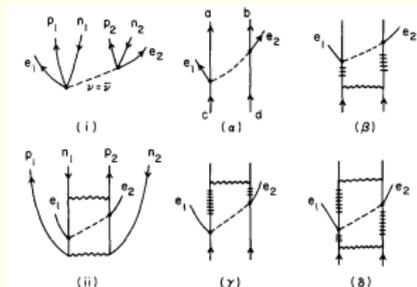


Fig. 1. Diagrams of  $H_{\beta\beta}^{\text{eff}}$ ; virtual Majorana neutrinos are represented by dotted lines, nucleon  $G$ -matrix interaction by wavy lines, and nucleons outside the model space  $P$  by railed lines.

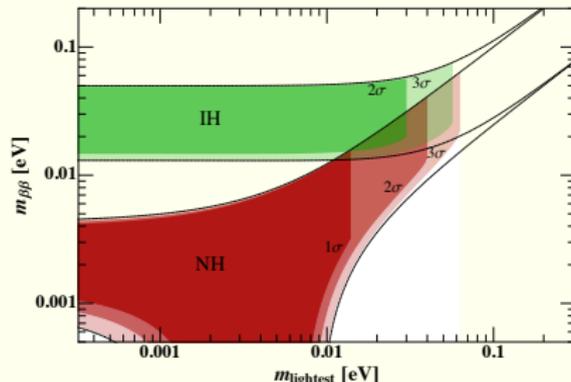
# RSM calculations of $M^{0\nu}$ : results

Decay	bare operator	$\Theta_{\text{eff}}$	
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	0.53	0.30	-40%
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	3.35	2.66	-20%
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	3.30	2.72	-20%
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	3.96	2.24	-40%
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	3.27	3.16	-3%
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	2.47	2.39	-3%

- Results obtained with the **effective shell-model operator** are relatively reduced with respect those with bare operator: **quenching effect** is much smaller than the **two-neutrino double- $\beta$  decay**

Decay	$q$	bare operator	quenched operator	
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	0.83	0.53	0.40	-20%
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	0.58	3.35	1.41	-60%
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	0.56	3.30	1.32	-60%
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	0.48	3.96	1.33	-70%
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	0.68	3.27	1.78	-50%
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	0.61	2.47	1.15	-50%

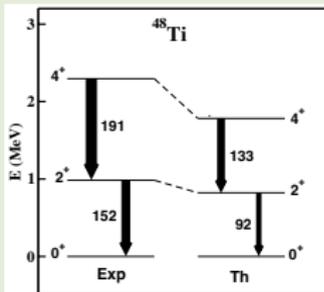
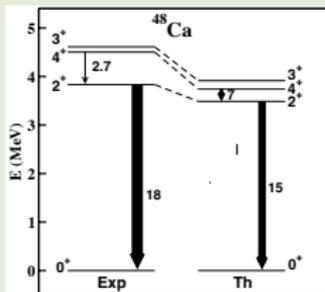
# The calculation of $M^{0\nu}$ : results



To rule out the Inverted Hierarchy of neutrino mass spectra, the upper bound of neutrino effective mass should be  $\langle m_{\beta\beta} \rangle < 1.84 \pm 1.3 \text{ meV}$ . We could then evaluate the lower bound of the half lives of the decay processes, accordingly to our calculated  $M^{0\nu}$

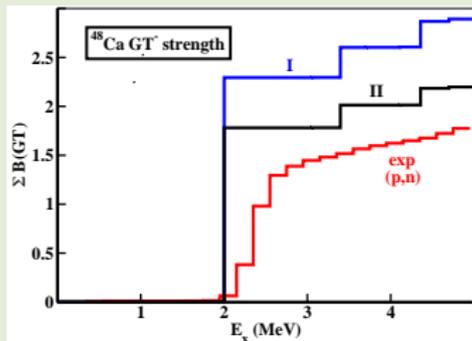
	$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$
$T_{1/2}^{0\nu}$ (in yr)	$> 2 \times 10^{28}$	$> 4 \times 10^{27}$	$> 4 \times 10^{27}$	$> 2 \times 10^{27}$	$> 4 \times 10^{28}$

# $^{48}\text{Ca}$ $2\nu\beta\beta$ -decay with chiral 2- and 3-body potentials



Preliminary results for the  $2\nu\beta\beta$ -decay of  $^{48}\text{Ca}$ , employing Entem-Machleidt  $\text{N}^3\text{LO}$  2NF plus  $\text{N}^2\text{LO}$  3NF

Decay	Expt.	Bare	Eff.
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	$0.038 \pm 0.003$	0.057	0.047



# Conclusions and Outlook

- Microscopic approaches to the nuclear many-body problem may get rid of the so-called “**quenching puzzle**” in the study of  $\beta$  decay, with and without the emission of neutrinos
- This goal may be achieved by focusing theoretical efforts on two main issues:
  - a) improving our knowledge of nuclear forces;
  - b) estimation of the theoretical error from the application of many-body methods.
- Benchmark calculations with different approaches to the calculation of  $0\nu\beta\beta$  nuclear matrix elements should lead to narrowing the spread among the theoretical results



# Backup slides

# The effective SM operators for decay amplitudes

Any shell-model effective operator may be derived consistently with the  $\hat{Q}$ -box-plus-folded-diagram approach to  $H_{\text{eff}}$

It has been demonstrated that, for any bare operator  $\Theta$ , a non-Hermitian effective operator  $\Theta_{\text{eff}}$  can be written in the following form:

$$\Theta_{\text{eff}} = (P + \hat{Q}_1 + \hat{Q}_1 \hat{Q}_1 + \hat{Q}_2 \hat{Q}_2 + \hat{Q}_2 \hat{Q}_2 + \dots)(\chi_0 + \chi_1 + \chi_2 + \dots),$$

where

$$\hat{Q}_m = \frac{1}{m!} \left. \frac{d^m \hat{Q}(\epsilon)}{d\epsilon^m} \right|_{\epsilon=\epsilon_0},$$

$\epsilon_0$  being the model-space eigenvalue of the unperturbed Hamiltonian  $H_0$

# The effective SM operators for decay amplitudes

The  $\chi_n$  operators are defined as follows:

$$\begin{aligned}\chi_0 &= (\hat{\Theta}_0 + h.c.) + \Theta_{00} , \\ \chi_1 &= (\hat{\Theta}_1 \hat{Q} + h.c.) + (\hat{\Theta}_{01} \hat{Q} + h.c.) , \\ \chi_2 &= (\hat{\Theta}_1 \hat{Q}_1 \hat{Q} + h.c.) + (\hat{\Theta}_2 \hat{Q} \hat{Q} + h.c.) + \\ &\quad (\hat{\Theta}_{02} \hat{Q} \hat{Q} + h.c.) + \hat{Q} \hat{\Theta}_{11} \hat{Q} , \\ &\quad \dots\end{aligned}$$

and

$$\hat{\Theta}(\epsilon) = P\Theta P + P\Theta Q \frac{1}{\epsilon - QHQ} QH_1 P$$

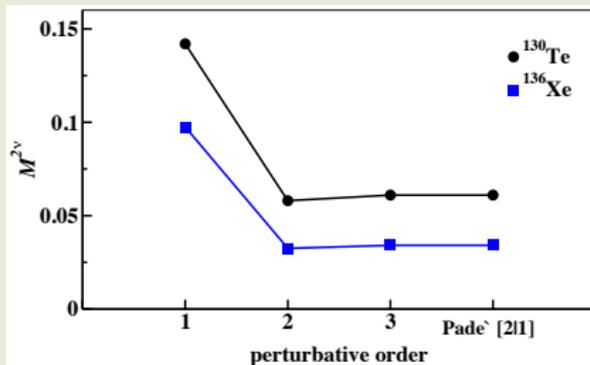
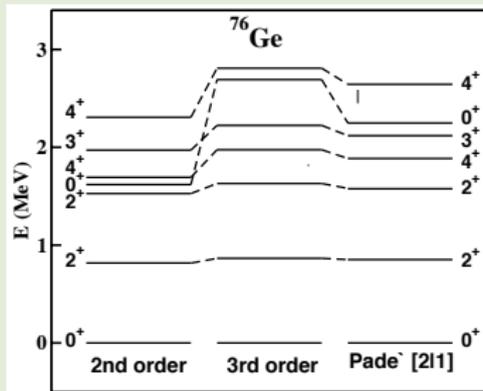
$$\begin{aligned}\hat{\Theta}(\epsilon_1; \epsilon_2) &= PH_1 Q \frac{1}{\epsilon_1 - QHQ} \times \\ &\quad Q\Theta Q \frac{1}{\epsilon_2 - QHQ} QH_1 P\end{aligned}$$

$$\hat{\Theta}_m = \frac{1}{m!} \left. \frac{d^m \hat{\Theta}(\epsilon)}{d\epsilon^m} \right|_{\epsilon=\epsilon_0}$$

$$\hat{\Theta}_{nm} = \frac{1}{n!m!} \left. \frac{d^n}{d\epsilon_1^n} \frac{d^m}{d\epsilon_2^m} \hat{\Theta}(\epsilon_1; \epsilon_2) \right|_{\epsilon_{1,2}=\epsilon_0}$$

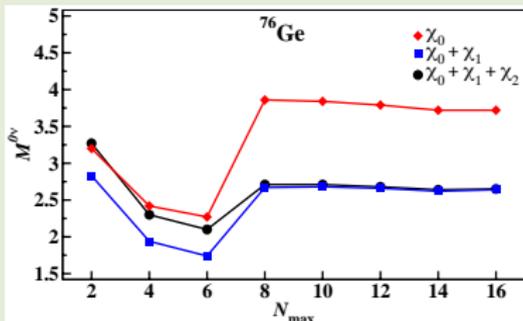
# Perturbative properties

## Order-by-order convergence

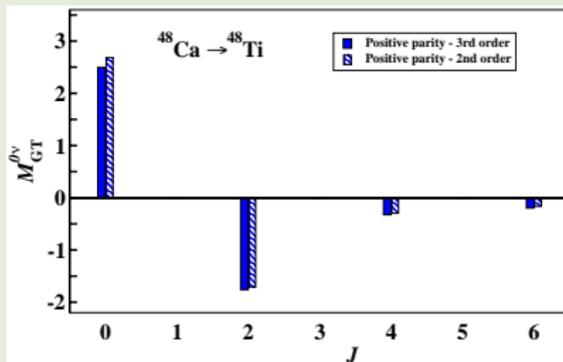


# Perturbative properties of the $00\nu$ effective operator

## Convergence with respect the number of intermediate states

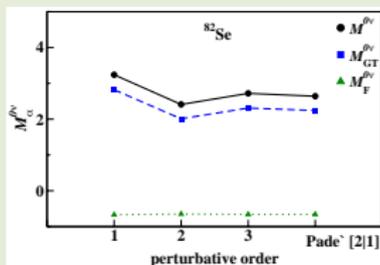
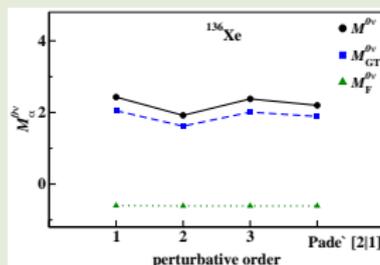
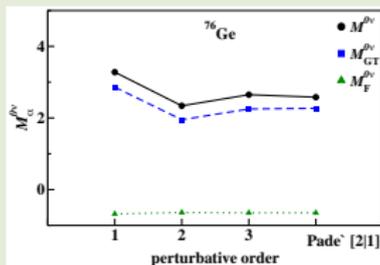
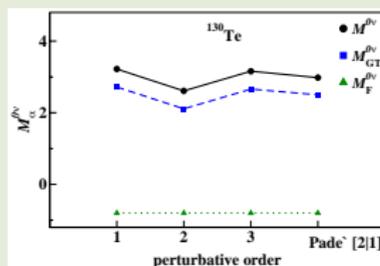
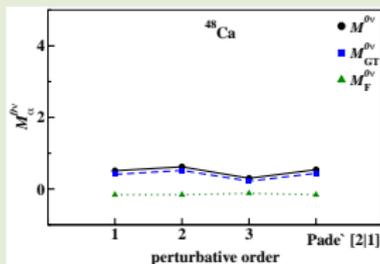


## Order-by-order convergence



Contributions from pairs of decaying neutrons with given  $J^\pi$  to  $M_{GT}^{0\nu}$  for  $^{48}\text{Ca} 0\nu\beta\beta$  decay. The bars filled in blue corresponds to the results obtained with  $\Theta_{\text{eff}}$  calculated a 3rd order in perturbation theory, those in dashed blue are calculated at 2nd order

# Perturbative behavior of the effective $0\nu\beta\beta$ operator

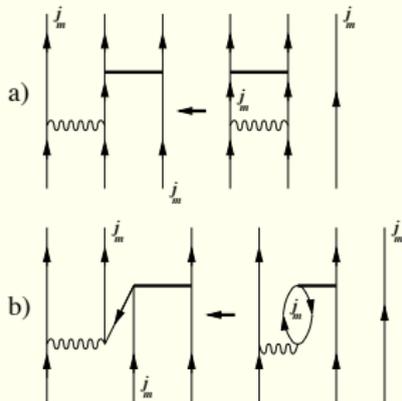


The perturbative behavior is dominated by the GT component, the renormalization procedure does not affect significantly  $M_F^{0\nu}$

The perturbative expansion of the effective  $0\nu\beta\beta$  operator is less satisfactory than the single- $\beta$  decay operator

# The blocking effect

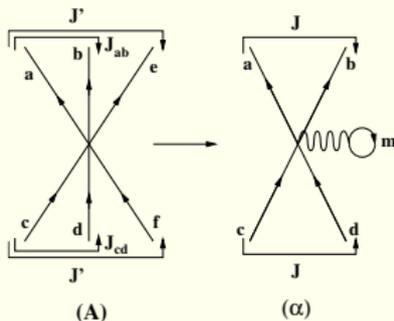
**Blocking (Pauli) effect:** as for the one-body operators, the filling of the model-space orbitals by the valence nucleons affects the effective  $0\nu\beta\beta$  operator:



Present shell model codes **cannot** manage the contributions of these **three-body correlations diagrams** to the effective  $0\nu\beta\beta$ -decay operator

# The blocking effect

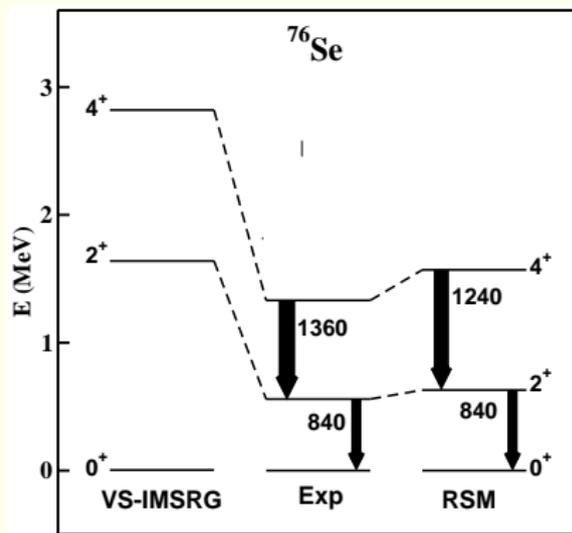
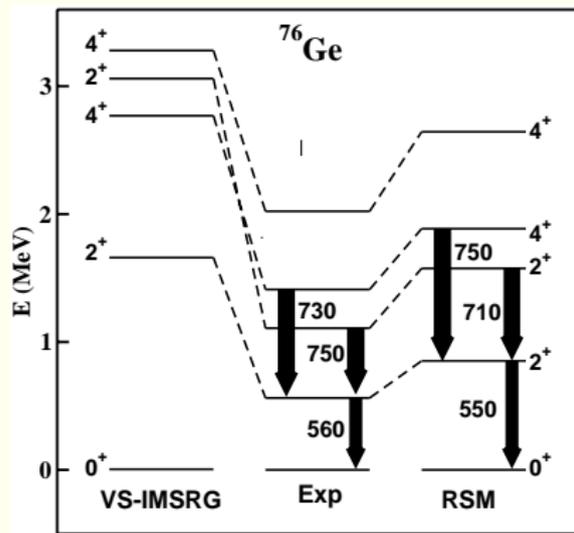
Many-body correlations are then taken into account by calculating three-body correlations diagrams and summing over one of the incoming/outcoming nucleons



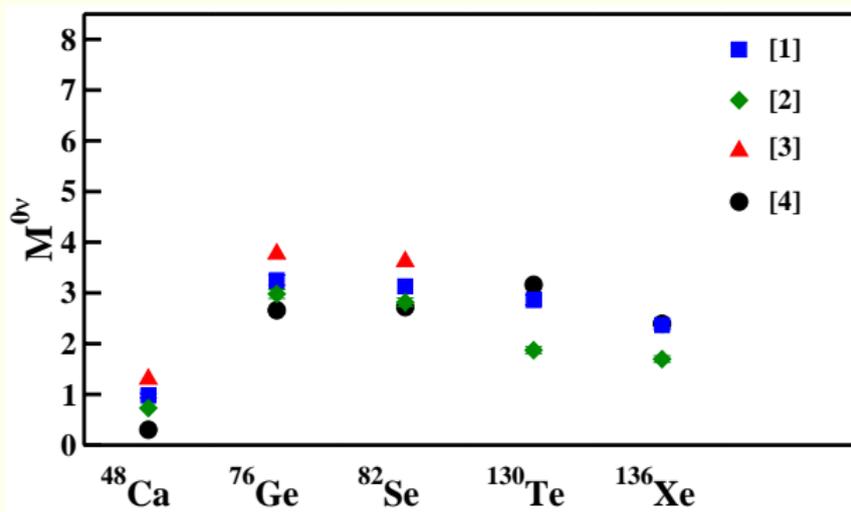
We obtain a **density-dependent** two-body  $0\nu\beta\beta$  effective operator

$$\langle (j_a j_b)_J | O^\alpha | (j_c j_d)_J \rangle = \sum_{m, J'} \rho_m \frac{\hat{J}'^2}{\hat{J}^2} \langle [(j_a j_b)_J, j_m]_{J'} | O^A | [(j_c j_d)_J, j_m]_{J'} \rangle$$

# Ab initio vs NSM calculations



# Shell model calculations of $M^{0\nu}$



- 1 J. Menéndez, *J. Phys. G* **45**, 014003 (2018).
- 2 A. Neacsu and M. Horoi, *Phys. Rev. C* **91**, 024309 (2015), R. A. Sen'kov and M. Horoi, *Phys. Rev. C* **88**, 064312 (2013), R. A. Sen'kov, M. Horoi, and B. A. Brown, *Phys. Rev. C* **89**, 054304 (2014).
- 3 J. D. Holt and J. Engel, *Phys. Rev. C* **87**, 064315 (2013), A. A. Kwiatowski et al., *Phys. Rev. C* **89**, 045502 (2014).
- 4 LC, A. Gargano, N. Itaco, R. Mancino, and F. Nowacki, *Phys. Rev. C* **101** 044315 (2020).