# Neutrino physics overview

#### Mariam Tórtola IFIC, CSIC/Universitat de València





# Neutrino oscillations overview

#### Mariam Tórtola IFIC, CSIC/Universitat de València





# Outline

Current status of the standard three-neutrino framework

- ⇒ based on **de Salas et al, JHEP 02 (2021) 071[arXiv:2006.11237]**
- $\Rightarrow$  updated with the results presented in Neutrino 2020 Conference
- $\Rightarrow$  figures and  $\chi^2$  tables publicly available at the website:

https://globalfit.astroparticles.es/

https://doi.org/10.5281/zenodo.4593330

See also: Esteban et al. (NuFIT), Lisi et al.

 $\Rightarrow$  Discussion of results presented in Neutrino 2022

Future prospects in neutrino oscillations:

 $\Rightarrow$  near future & next generation neutrino oscillation experiments

Beyond the standard three-neutrino scenario:

 $\Rightarrow$  can BSM physics improve oscillation fits?

# The three-flavour v picture

#### neutrino mixing

$$U_{3\times3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



#### @MariamTortola (IFIC-CSIC/UValencia)

# Neutrino mixing and 0vßß

If neutrinoless double beta decay is mostly due to the exchange of light Majorana neutrinos:

$$\Gamma_{0\nu\beta\beta} = G^{0\nu} |M^{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

Effective Majorana neutrino mass:

$$\left\langle m_{\beta\beta} \right\rangle = \left| \sum_{i=1}^{3} U_{ei}^2 m_i \right|$$

 $\Rightarrow$  sensitive to Majorana phases  $\alpha_i$ 



# Three-neutrino mixing

Currently, we have evidence for neutrino oscillations in atmospheric, solar, reactor and accelerator experiments

Each type of experiment is sensitive to different mixing parameters:

$$U_{3\times3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
  
atmospheric + SBL reactor + solar + contract a solar + contract

### **Experimental data**

#### de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



## Neutrino oscillation parameters

#### de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]

parameter	best fit $\pm 1\sigma$	$3\sigma$ range		Bari group analyses
$\Delta m_{21}^2 \ [10^{-5} \mathrm{eV}^2]$	$7.50\substack{+0.22 \\ -0.20}$	6.94-8.14	2.7%	
$ \Delta m_{31}^2  [10^{-3} \text{eV}^2] \text{ (NO)}$	$2.55\substack{+0.02 \\ -0.03}$	2.47 – 2.63	1 10/	re
$ \Delta m_{31}^2  [10^{-3} \text{eV}^2] (\text{IO})$	$2.45_{-0.03}^{+0.02}$	2.37 – 2.53	1.1/0	lati
$\sin^2 \theta_{12} / 10^{-1}$	$3.18\pm0.16$	2.71 - 3.69	5.2%	ve lo
$\sin^2 \theta_{23} / 10^{-1} (\text{NO})$	$5.74\pm0.14$	4.34-6.10		un
$\sin^2 \theta_{23} / 10^{-1} (IO)$	$5.78\substack{+0.10 \\ -0.17}$	4.33 - 6.08	5.1%	Cer
$\sin^2 \theta_{12} / 10^{-2}$ (NO)	$2.200^{+0.069}$	2.000 - 2.405		tain
$\sin^2 \theta_{13} / 10^{-2}$ (IO)	$2.225^{+0.062}_{-0.070}$	2.018 - 2.424	3.0%	ц
	$1 \circ 0 + 0 \cdot 13$	0 21 1 00	0001	
$\partial/\pi$ (NO)	$1.08^{+0.10}_{-0.12}$	0.71 - 1.99	20%	
$\delta/\pi$ (IO)	$1.58\substack{+0.15\\-0.16}$	1.11 – 1.96	9.0%	

@MariamTortola (IFIC-CSIC/UValencia)

See also

NuFIT and

de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



# The solar sector

Solar experiments have measured neutrino disappearance for ~ 50 years



# The solar sector



 
 θ<sub>12</sub> measurement is dominated by solar neutrino data

 Δm<sup>2</sup><sub>21</sub> is better measured by KamLAND.

 $\diamond 2\sigma$  mismatch between the values of

 $\Delta m_{21}^2$  measured by solar and KamLAND

#### de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]

# The solar sector



de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



# The reactor sector



6 cores + 4 ND + 4FD 2 cores + 1 ND + 1 FD 6 cores + 1 ND + 1 FD

# The reactor sector

#### de Salas et al, **JHEP 02 (2021) 071**[arXiv:2006.11237]



#### Precision dominated by Daya Bay

# The reactor sector



# The atmospheric sector



# The atmospheric sector



de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



# The octant of $\theta_{23}$

#### de Salas et al, **JHEP 02 (2021) 071**[arXiv:2006.11237]



→ The combination of LBL experiments prefers  $\theta_{23}$  < 45° for both orderings

♦ The combination with atmospheric data shifts the preferred  $θ_{23}$  to the second octant

The combination with SBL reactors also breaks the degeneracy in favor of 2nd octant

20

# The octant of $\theta_{23}$

#### de Salas et al, JHEP 02 (2021) 071



with  $\Delta \chi^2 \ge 5.8$  (6.4) for NO (IO)

# The octant of $\theta_{23}$





de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



#### H. Tanaka, TAUP 2019





♦  $\delta_{BF} = 1.5\pi$  (1.2π) for NO (IO)

preference driven by
 sub-GeV e-like samples

#### SK Collab. PRD97 (2018)

T2K

 $\delta_{BF} \simeq 3\pi/2 \ due \ to \ better \ agreement \ with \\ observed \ v_e \ and \ v_e \ events$ 

<b>T2K</b> (NO)		-п/2	0	+π/2	π	OBS	
	v mode	1Re 0 d.e.	74.5	62.3	50.6	62.8	75
		1Re 1 d.e.	7.0	6.1	4.9	5.9	15
:	v mode	1Re 0 d.e.	17.1	19.6	21.7	19.3	15



@MariamTortola (IFIC-CSIC/UValencia)

**NOv**A

**P Vahle**,

**TAUP 2021** 



♦  $\delta_{BF}$  = 1.5π (1.2π) for NO (IO)

preference driven by
 sub-GeV e-like samples

#### SK Collab. PRD97 (2018)

Slight tension between T2K and NOvA results for NO



#### de Salas et al, **JHEP 02 (2021) 071**[arXiv:2006.11237] 30 NO ΝΟνΑ 10 T2K 25 LBL Global 20 ×15 10 5 2.0 2.0 0.0 0.5 1.0 1.5 0.5 1.0 1.5 0.0 δ/π δ/π

◆ NO: there is a tension between NOvA and T2K and SK atmospheric results  $\delta_{BF} = 1.08\pi$ ;  $\delta = \pi/2$  (0) disfavored at 4.0σ (3.0σ);  $\delta = 3\pi/2$  with  $\Delta \chi^2 = 4.9$ 

#### + IO: all experiments prefer $\delta \approx 3\pi/2$

 $\delta_{BF} = 1.58\pi$ ;  $\delta = \pi/2$  ( $\pi$ ) disfavored at 6.2 $\sigma$  (3.8 $\sigma$ );

#### de Salas et al, JHEP 02 (2021) 071



NO:  $\delta_{BF} = 1.08\pi$  (NOvA-T2K tension)  $\delta = \pi/2$  (0) disfavored at 4.0 $\sigma$  (3.0 $\sigma$ ) IO:  $\delta_{BF} = 1.58\pi$  ;  $\delta = \pi/2$  ( $\pi$ ) disfavored at 6.2 $\sigma$  (3.8 $\sigma$ )

# de Salas et al, JHEP 02 (2021) 071

1.5

2

$$\begin{split} &\delta/\pi\\ &\text{NO: } \delta_{BF} = 1.08\pi \text{ (NOvA-T2K tension)}\\ &\delta = \pi/2 \text{ (0) disfavored at } 4.0\sigma \text{ (3.0}\sigma\text{)}\\ &\text{IO: } \delta_{BF} = 1.58\pi \text{ ;}\\ &\delta = \pi/2 \text{ (\pi) disfavored at } 6.2\sigma \text{ (3.8}\sigma\text{)} \end{split}$$



@MariamTortola (IFIC-CSIC/UValencia)

0.5

0

()

de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]



# The mass ordering

◆ T2K and NOvA separate analyses prefer
NO with Δ $\chi^2 \approx 0.4$ 

◆ T2K + NOvA combined prefer IO with
∆ $\chi^2 \approx 2.4$  (tension in δ for NO)

◆ LBL + REAC prefer NO with Δ $\chi^2 \approx 1.4$ (tension in Δm<sup>2</sup><sub>31</sub> measurement in IO)

♦ Atmos. sensitivity: Super-K (Δ $\chi^2 \approx 3.5$ ) and DeepCore (Δ $\chi^2 \approx 1.0$ )



◆ Global fit: Δ $\chi^2$  = 6.4 → 2.5σ preference for NO

#### de Salas et al, JHEP 02 (2021) 071

# The mass ordering

#### de Salas et al, JHEP 02 (2021) 071



#### $2.5\sigma$ preference for NO

# The mass ordering

#### de Salas et al, JHEP 02 (2021) 071



#### $2.5\sigma$ preference for NO



# Other inputs for mass ordering?

#### de Salas et al, JHEP 02 (2021) 071

#### Experimental sensitivity to neutrino masses:

- v-oscillations: Δm<sup>2</sup><sub>ij</sub>
- ♦ β-decay:  $m_\beta = f(m_i, \theta_{ij})$
- ♦ 0νββ:  $m_{\beta\beta} = f(m_i, \theta_{ij}, \varphi_i)$
- cosmology: Σm<sub>i</sub>

#### Results from the combined bayesian analysis:

- $\Rightarrow$  weak/moderate preference for NO driven by oscillation data (2.0 $\sigma$ )
- $\Rightarrow \beta$ -decay and  $0\nu\beta\beta$  have little impact on MO.
- $\Rightarrow$  cosmological data enhances the preference for NO from 2.0 to 2.7



# Other inputs for mass ordering?

#### de Salas et al, JHEP 02 (2021) 071

#### Experimental sensitivity to neutrino masses:

- ν-oscillations: Δm<sup>2</sup><sub>ij</sub>
- ♦ β-decay:  $m_β = f(m_i, θ_{ij})$
- ♦ 0νββ:  $m_{\beta\beta} = f(m_i, \theta_{ij}, \varphi_i)$
- cosmology: Σm<sub>i</sub>

#### Results from the combined bayesian analysis:

- $\Rightarrow$  weak/moderate preference for NO driven by oscillation data (2.0 $\sigma$ )
- $\Rightarrow \beta$ -decay and  $0\nu\beta\beta$  have little impact on MO.
- $\Rightarrow$  cosmological data enhances the preference for NO from 2.0 to 2.7



#### Jiménez et al, 2203.14247 → Decisive evidence for NO from cosmology

# Preference for NO (with OSC)

#### Gariazzo et al, 2205.02195



# Preference for NO (without OSC)

#### Gariazzo et al, 2205.02195



# Preference for NO (with OSC)

#### Gariazzo et al, 2205.02195



# Future prospects in neutrino oscillations

# Prospects for precision



# Prospects for precision



J. Zhao, Neutrino 2022

# Prospects for CP violation





T2K

Abe et al, 1609.04111



by 2026 (60-70 x 10<sup>20</sup> POT):
 ~ 2σ sensitivity on CP violation at max CP violation (π/2 & 3π/2)

 by 2026 (20×10<sup>21</sup> POT):
 > 3σ sensitivity on CP violation for 3π/2

# Prospects for mass ordering



# Next generation of v experiments

#### DUNE



- 1.2 MW wide-band beam from FNAL to SURF (1300km)
- 4x10 kt Liquid Argon TPCs
- capability to probe 2nd oscillation max
- great sensitivity to mass ordering

#### Hyper-Kamiokande



188 kton water Cerenkov
 T2HK: great sensitivity to δ<sub>CP</sub>
 T2HKK (1100km) will have similar sensitivities as DUNE

# Next generation of v experiments



# Beyond the standard three-neutrino scenario

# Beyond the 3-neutrino scenario

♦ Neutrino results suggest the presence of physics BSM to explain:

- light neutrino masses (mass generation mechanism)
- ✓ large neutrino mixing compared to quark sector (flavour problem)
- ✓ short-distance anomalies (LSND, reactor and Ga anomalies)

Many different BSM scenarios analyzed in the literature:

- ✓ neutrino non-standard interactions (NSI) with matter
- ✓ exotic neutrino electromagnetic properties
- ✓ presence of light sterile neutrinos
- ✓ mixing with heavy sterile neutrinos: non-unitary neutrino mixing

⇒ the presence of new physics may affect our current description of 3-nu oscillations as well as the future measurements

# Non-unitary light neutrino mixing

Most models of neutrino masses include new extra heavy states

Ex: type I seesaw,  $\begin{pmatrix} 0 & M_D \\ M_D^T & M_R \end{pmatrix} \begin{pmatrix} 0 & M_D & 0 \\ M_D^T & 0 & M \\ 0 & M^T & \mu \end{pmatrix}$ 

 $\rightarrow$  (3x3) light neutrino mixing matrix U is **non-unitary** in general

- ▶ NxN non-unitary mixing matrix described with 2N<sup>2</sup>-(2N-1) parameters
  - $\rightarrow$  13 parameters are needed to describe a non-unitary (3x3) matrix
  - $\rightarrow$  besides the 4 standard ones ( $\theta_{ij}$  and  $\delta_{CP}$ ), 9 more parameters are needed
- General parameterization for non-unitary NxN mixing matrix

$$U^{n \times n} = \left(\begin{array}{cc} N & W \\ V & T \end{array}\right) \qquad \mathbf{w}$$

*rith*  $N = N^{NP} U^{3 \times 3} = \begin{pmatrix} \alpha_{11} & 0 & 0 \\ \alpha_{21} & \alpha_{22} & 0 \\ \alpha_{31} & \alpha_{32} & \alpha_{33} \end{pmatrix} U^{3 \times 3}$ 

Escrihuela et al, PRD92 (2015) See also Xing, PRD2012 for n=6

 $\rightarrow \alpha_{ii}$  real,  $\alpha_{ij}$  complex: 9 new parameters

# NU neutrino oscillations in DUNE

$$P_{\mu e} = (\alpha_{11}\alpha_{22})^2 P_{\mu e}^{3\times3} + \alpha_{11}^2 \alpha_{22} |\alpha_{21}| P_{\mu e}^I + \alpha_{11}^2 |\alpha_{21}|^2 \quad \text{with} \quad P_{\mu e}^I(\phi)$$

The new phases ( $\phi$ ) will modify the standard oscillation picture in LBL experiments, such as DUNE



#### Escrihuela et al, NJP 2017

Miranda, MT, Valle, PRL 117 (2016)

 $\rightarrow$  (\delta,  $\phi)$  degeneracies in  $P_{\mu e}$  for  $E \gtrsim$  3 GeV spoil sensitivity to  $\delta$ 

# **DUNE CP sensitivity with NU**



Fernández-Martínez et al (DUNE-BSM Working Group)

- $\rightarrow$  The sensitivity to CP violation might be spoiled in the absence of priors on NU
- $\rightarrow$  With priors based on current bounds (10<sup>-3</sup>-10<sup>-2</sup>), the effect is less dramatic

# Neutrino NSI with matter

- NSI appear in models of neutrino masses
- Information about the size of NSI could be very useful for neutrino model building
- NSI may affect oscillation parameters
  - $\Rightarrow$  precision measurements at current experiments
  - $\Rightarrow$  sensitivity reach of upcoming experiments(degeneracies)

$$V_{\alpha}$$
  $V_{\beta}$   $f'$ 

 $\mathcal{L}_{\rm NC-NSI} = -2\sqrt{2}\overline{G_F} \,\epsilon^{fX}_{\alpha\beta} \left(\bar{\nu}_{\alpha}\gamma^{\mu}P_L\nu_{\beta}\right) \left(\bar{f}\gamma_{\mu}\overline{P_X}f\right)$ 

 $\epsilon_{\alpha\beta} \neq 0 \quad \rightarrow \text{NSI violate lepton flavor (FC-NSI)}$ 

 $\epsilon_{\alpha\alpha} - \epsilon_{\beta\beta} \neq 0 \quad o$  NSI violate lepton universality (NU-NSI)

⇒ mainly affecting neutrino propagation in matter (but also detection in Super-K & Borexino)

## NSI at future LBL experiments

#### ( $\theta_{23}$ - $\epsilon_{\tau\tau}$ ) degeneracy in DUNE



#### Gouvea and Kelly, NPB 2016

#### Coloma, JHEP 2016

# NSI at future LBL experiments

NSI significantly spoil sensitivity to CP violation in DUNE



#### Masud and Mehta, PRD 2016

# NSI at future LBL experiments

NSI significantly spoil sensitivity to mass ordering in DUNE



#### Masud and Mehta, PRD 2016

# Beyond the 3-neutrino scenario

♦ Neutrino results suggest the presence of physics BSM to explain:

- light neutrino masses (mass generation mechanism)
- ✓ large neutrino mixing compared to quark sector (flavour problem)
- ✓ short-distance anomalies (LSND, reactor and Ga anomalies)

Many different BSM scenarios analyzed in the literature:

- ✓ neutrino non-standard interactions (NSI) with matter
- ✓ exotic neutrino electromagnetic properties
- ✓ presence of light sterile neutrinos
- ✓ mixing with heavy sterile neutrinos: non-unitary neutrino mixing

⇒ the presence of new physics may affect our current description of 3-nu oscillations as well as the future measurements

# Beyond the 3-neutrino scenario

♦ Neutrino results suggest the presence of physics BSM to explain:

- light neutrino masses (mass generation mechanism)
- ✓ large neutrino mixing compared to quark sector (flavour problem)
- ✓ short-distance anomalies (LSND, reactor and Ga anomalies)

Many different BSM scenarios analyzed in the literature:

- ✓ neutrino non-standard interactions (NSI) with matter
- ✓ exotic neutrino electromagnetic properties
- ✓ presence of light sterile neutrinos
- ✓ mixing with heavy sterile neutrinos: non-unitary neutrino mixing

⇒ the presence of new physics may affect our current description of 3-nu oscillations as well as the future measurements

#### Can they also help reducing the current tensions?

## The solar-KamLAND $\Delta m^2_{21}$ tension



 $\Rightarrow 2\sigma~(1.5\sigma)$  tension between preferred value of  $\Delta m^2{}_{21}$  from KamLAND and solar data

 $\Rightarrow \Delta m_{21}^2$  preferred by KamLAND predicts steep upturn and smaller D/N asymmetry

# The solar-KamLAND $\Delta m^2_{21}$ tension



 $\Rightarrow 2\sigma~(1.5\sigma)$  tension between preferred value of  $\Delta m^2{}_{21}$  from KamLAND and solar data

 $\Rightarrow \Delta m^2_{21}$  preferred by KamLAND predicts steep upturn and smaller D/N asymmetry

♦ NSI ( $\varepsilon \sim 0.3$ ) can reconcile both results:

- $\Rightarrow$  flatter spectrum at intermediate E-region
- $\Rightarrow$  larger D/N asymmetries can be expected

Escrihuela et al, PRD80 (2009); Coloma et al, PRD96 (2017)



@MariamTortola (IFIC-CSIC/UValencia)

Maltoni & Smirnov, EPJ 2015

# The T2K-NOvA $\delta_{CP}$ tension

• NSI may include new sources of CP violation besides  $\delta_{CP}$ :  $\epsilon_{\alpha\beta} = |\epsilon_{\alpha\beta}| \exp(i\phi_{\alpha\beta})$ 

• CP-violating NSI with a new complex phase  $\phi_{e\mu}$  or  $\phi_{e\tau}$  close to maximal with NSI couplings  $\epsilon_{e\mu}$  or  $\epsilon_{e\tau}$  of the order of 0.2 may reconcile T2K and NOvA results.



#### Chatterjee and Palazzo, PRL 2021

Denton et al, PRL 2021

# The T2K-NOvA $\delta_{CP}$ tension

Non-unitary mixing analysis of T2K and NOvA (normal ordering)



NU includes additional sources of CP violation.

♦ No significant deviation from unitary mixing is found: updated bounds with LBL and SBL ⇒MINOS improves current neutrino limits!

 $\Rightarrow$  The tension is not alleviated in the context of NU neutrino mixing

# Summary

- Current status of three-neutrino oscillation parameters:
- ✓ very precise and robust determinations for most of them (1.3-10%)
- ✓ preference for  $\theta_{23} > 45^{\circ}$ , 1st octant value disfavoured with  $\Delta \chi^2 \ge 5.8$  (6.4)
- ✓  $\delta_{BF} = 1.08\pi$  (1.58 $\pi$ ) for NO (IO) ;  $\delta = \pi/2$  disfavored at 4.0 $\sigma$  (6.2 $\sigma$ )
- $\checkmark$  2.5 $\sigma$  hint for normal ordering from atmospheric, LBL and reactor data
- sensitivity on mass ordering driven by oscillation data so far.
- New results presented in Neutrino 2022 may change some results:
- ✓ Daya Bay achieved expected final sensitivity on  $sin^2 2\theta_{13}$
- Small changes expected in CP violation, atmospheric octant and mass ordering

#### **→** By 2025/2026:

- ✓ oscillation parameters will be measured with 0.6-3% precision
- ✓  $\theta_{23}$  octant can be resolved at more than  $3\sigma$  (for some values)
- ✓ 2-3σ sensitivity to CP violation at NOvA and T2K
- $\checkmark$  3 $\sigma$  sensitivity to MO from reactor, accelerator and nu-telescopes
- $\Rightarrow$  sensitivities above  $3\sigma$  from a single experiment: DUNE, Hyper-Kamiokande

• New physics BSM may affect the current description of neutrino oscillations relaxing tensions or worsening the precision of measurements.