



# RESULTS ON DIFFRACTION AND EXCLUSIVE PRODUCTION

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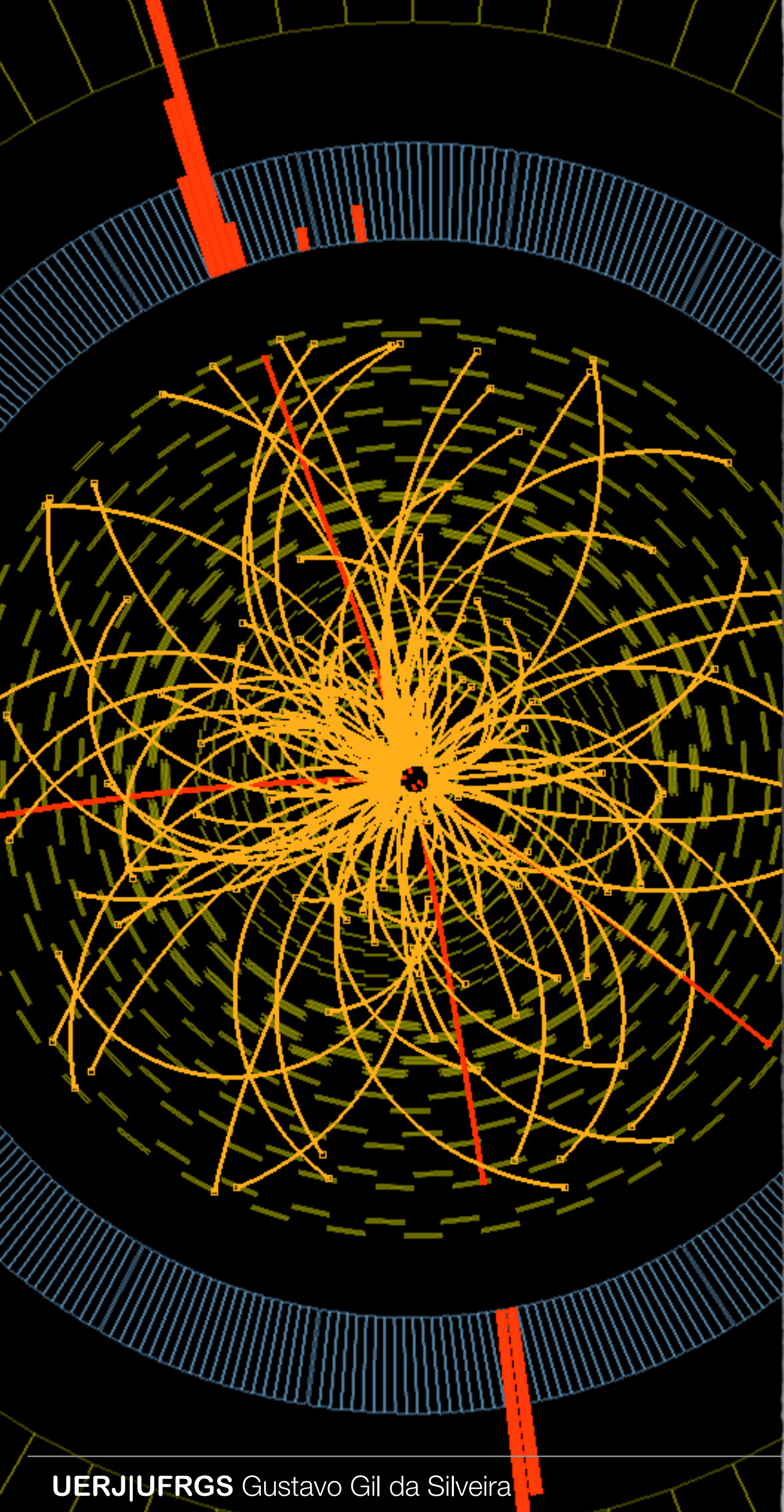
Universidade Federal do Rio Grande do Sul (UFRGS)

11 - 15 October 2021, LIP Lisboa



on behalf of the CMS and TOTEM Collaborations





# Outline

- ▶ **Forward detectors of the CMS and TOTEM experiments**  
Kinematical domain of forward processes  
Setup of TOTEM Roman Pots
- ▶ **Dijet measurements**  
Analysis based on the CMS data  
Additional topology with an intact proton
- ▶ **Precision Proton Spectrometer (PPS)**  
Physics programme  
Operation during CERN-LHC Run2  
Matching criteria between CMS and PPS information  
Results on (semi)exclusive dilepton production
- ▶ **Exclusive diphoton production**  
Latest results on diphoton production  
New Physics searches of aQGC



# CMS results on exclusive and diffraction production

► The CMS experiment has proven its capability of measuring exclusive and diffractive processes during the last years:

1. Exclusive **dilepton** production

[[FWD-10-005](#) [FWD-11-004](#) [FSQ-12-010](#) [FSQ-13-008](#) [PPS-17-001](#) [HIN-19-014](#)]

2. Exclusive production of **dibosons** [[FWD-11-004](#) [FSQ-12-010](#) [FSQ-13-008](#) [FSQ-16-012](#)]

3. Searches for **New Physics** [[FSQ-12-010](#) [FSQ-13-008](#) [FSQ-16-012](#) [EXO-18-014](#)]

4. Diffractive **dijet** production

[[FWD-10-004](#) [FWD-10-014](#) [FSQ-12-001](#) [FSQ-12-002](#) [FSQ-12-033](#) [SMP-18-006](#)]

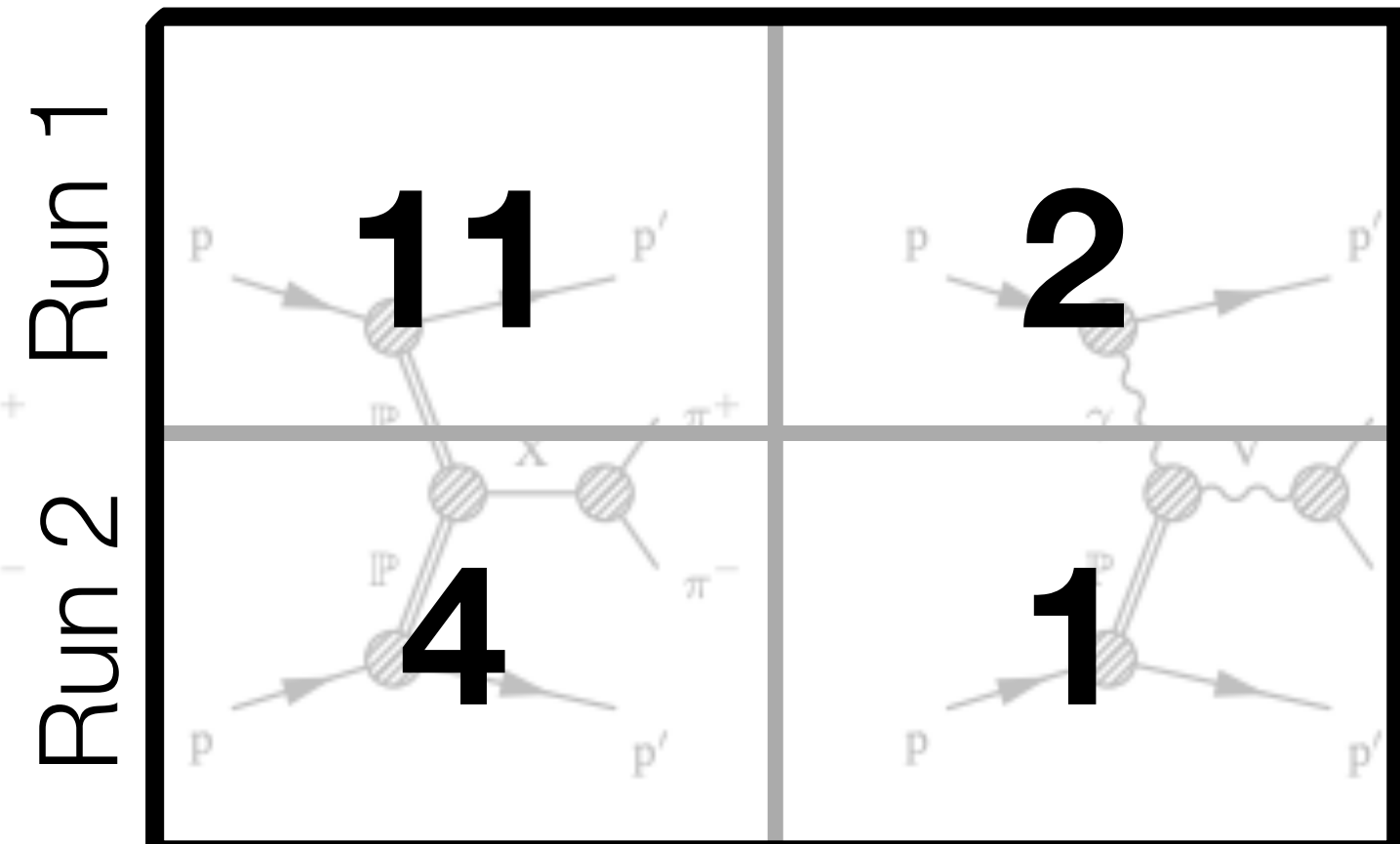
5. Photoproduction of **vector mesons** [[FSQ-13-009](#) [FSQ-16-007](#)]

6. Diffractive production of **pions** [[FSQ-16-006](#)]

7. Pseudorapidity **gaps** [[FWD-10-008](#)]

8. Diffraction **cross section** [[FSQ-12-005](#)]

**over  
the  
years**



# Exclusive and diffraction production

its capability of measuring exclusive and diffractive processes during

Measurement of single-diffractive dijet production in proton-proton collisions at  $\sqrt{s} = 8 \text{ TeV}$  with the CMS and TOTEM experiments

[EPJC 80 \(2020\) 1164](#)  
e-Print: [2002.12146](#) [hep-ex]

Hard color-singlet exchange in dijet events in proton-proton collisions at  $\sqrt{s} = 13 \text{ TeV}$

[PRD 104 \(2021\) 032009](#)  
e-Print: [2102.06945](#) [hep-ex]

[HIN-19-014](#)

[-13-008 FSQ-16-012](#)

[2 EXO-18-014](#)  $pp \rightarrow p\gamma\gamma p$

First search for exclusive diphoton production at high mass with intact protons in proton-proton collisions at  $\sqrt{s} = 13 \text{ TeV}$  at the LHC

[EXO-18-014](#)  
CMS & TOTEM Collaborations

4. Diffraction cross section [FWD-10-004 FWD-10-014 FWD-10-015]
5. Photoproduction of vector mesons [FWD-10-004 FWD-10-014 FWD-10-015]
6. Diffractive production of pions [FSQ-16-006]
7. Pseudorapidity gaps [FWD-10-008]
8. Diffraction cross section [FSQ-12-005]

$$pp \rightarrow j \oplus j$$

$$pp \rightarrow j \oplus j \oplus p$$

# CMS DETECTOR

Total weight : 14,000 tonnes  
Overall diameter : 15.0 m  
Overall length : 28.7 m  
Magnetic field : 3.8 T

STEEL RETURN YOKE  
12,500 tonnes

SILICON TRACKERS  
Pixel ( $100 \times 150 \mu\text{m}^2$ )  $\sim 1.9 \text{ m}^2 \sim 124\text{M}$  channels  
Microstrips ( $80\text{--}180 \mu\text{m}$ )  $\sim 200 \text{ m}^2 \sim 9.6\text{M}$  channels

SUPERCONDUCTING SOLENOID  
Niobium titanium coil carrying  $\sim 18,000 \text{ A}$

MUON CHAMBERS  
Barrel: 250 Drift Tube, 480 Resistive Plate Chambers  
Endcaps: 540 Cathode Strip, 576 Resistive Plate Chambers

PRESHOWER  
Silicon strips  $\sim 16 \text{ m}^2 \sim 137,000$  channels

FORWARD CALORIMETER  
Steel + Quartz fibres  $\sim 2,000$  Channels

CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)  
 $\sim 76,000$  scintillating  $\text{PbWO}_4$  crystals

HADRON CALORIMETER (HCAL)  
Brass + Plastic scintillator  $\sim 7,000$  channels

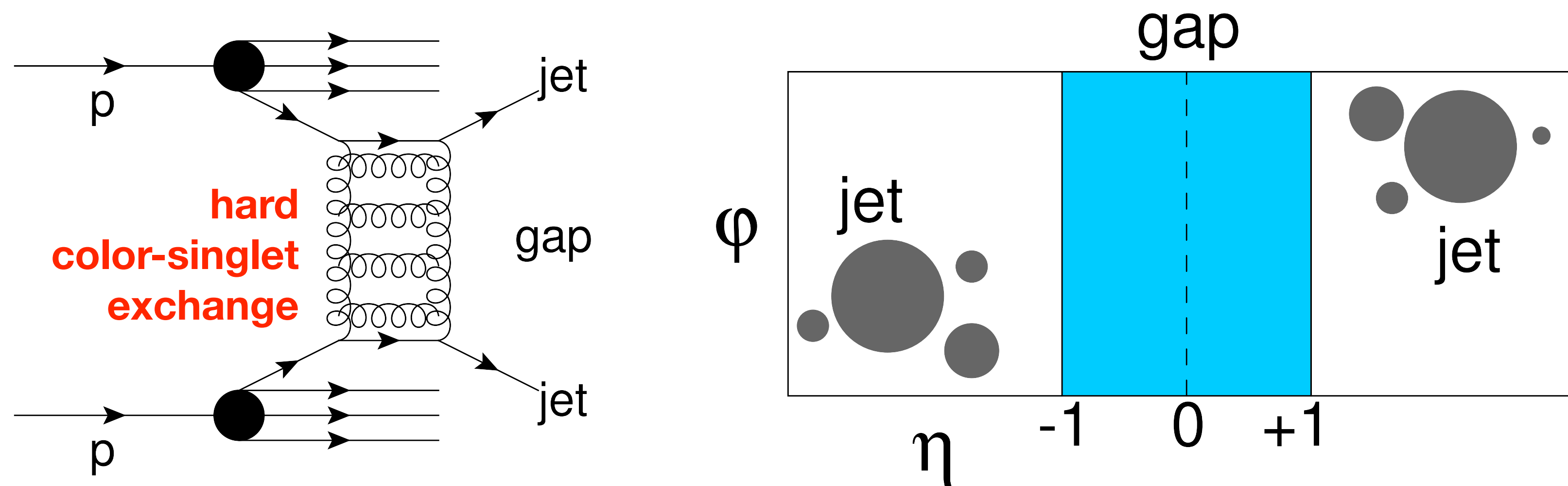
TOWARDS FORWARD REGION

CMS DETECTOR



# Jet-gap-Jet

- ▶ Diffractive dijet production has been measured via **pseudorapidity gaps** as experimental signature
- ▶ Important measurement for testing pQCD and the **BFKL dynamics** at energy of 13 TeV

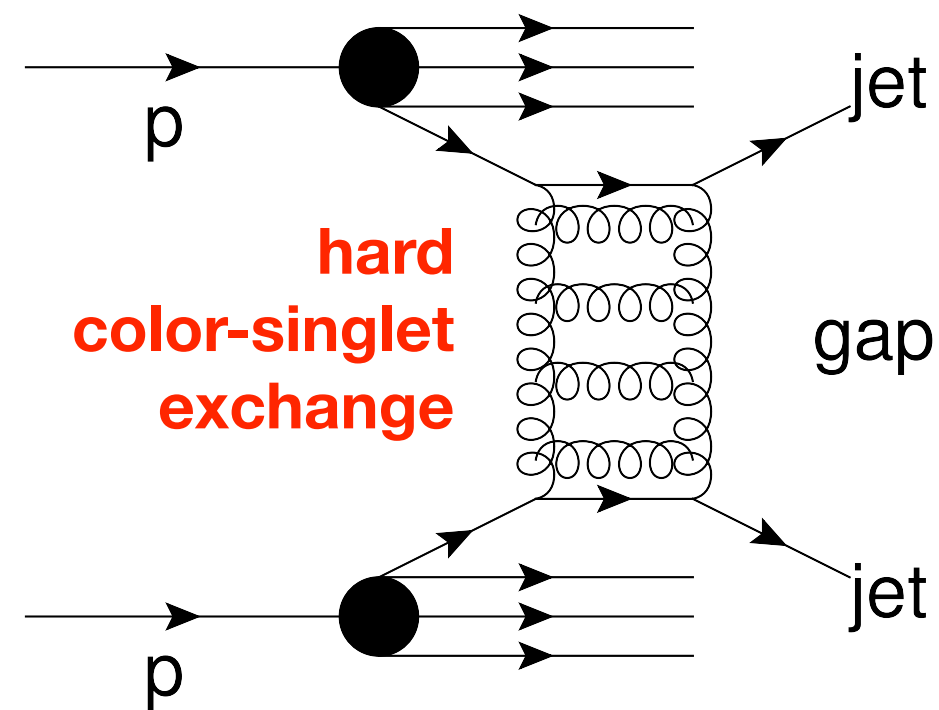


- ▶ Soft rescattering corrections are accounted by a **survival probability** of  $|\mathcal{S}|^2 = 1 - 10\%$
- ▶ Measurements in CMS+TOTEM common data-taking at **13 TeV** (2015) of **0.66/pb + 0.40/pb** (TOTEM)
- ▶ Special run with  $\beta^* = 90$  m where  $-4 < t < -0.025 \text{ GeV}^2$  and 0.05 – 0.10 pileup/event
- ▶ Intact proton largely reduces the soft interactions and improves **survivability** of the gaps

**MPI can further decrease it**  
**may not be entirely kinematic independent**

# Event selection for CMS data

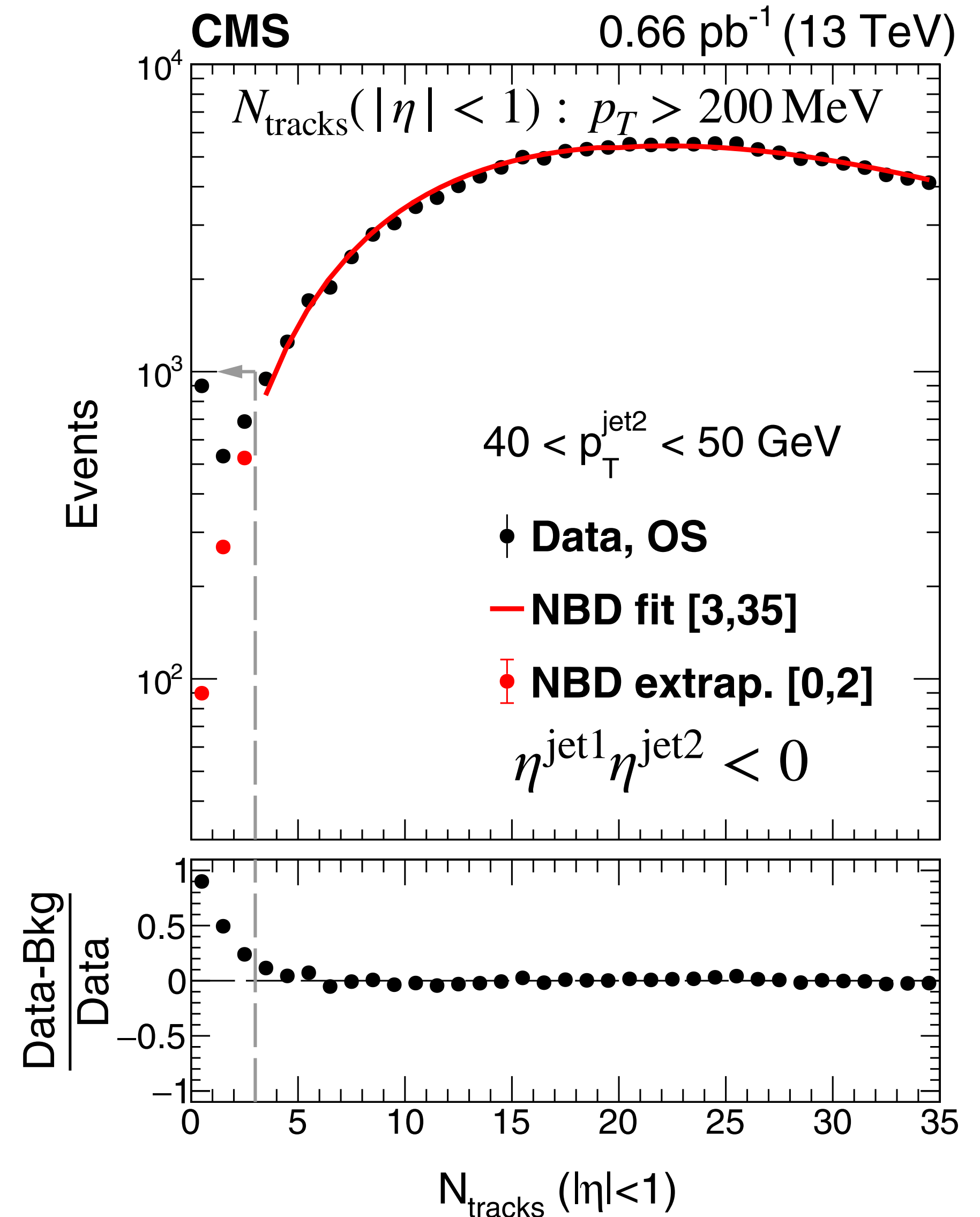
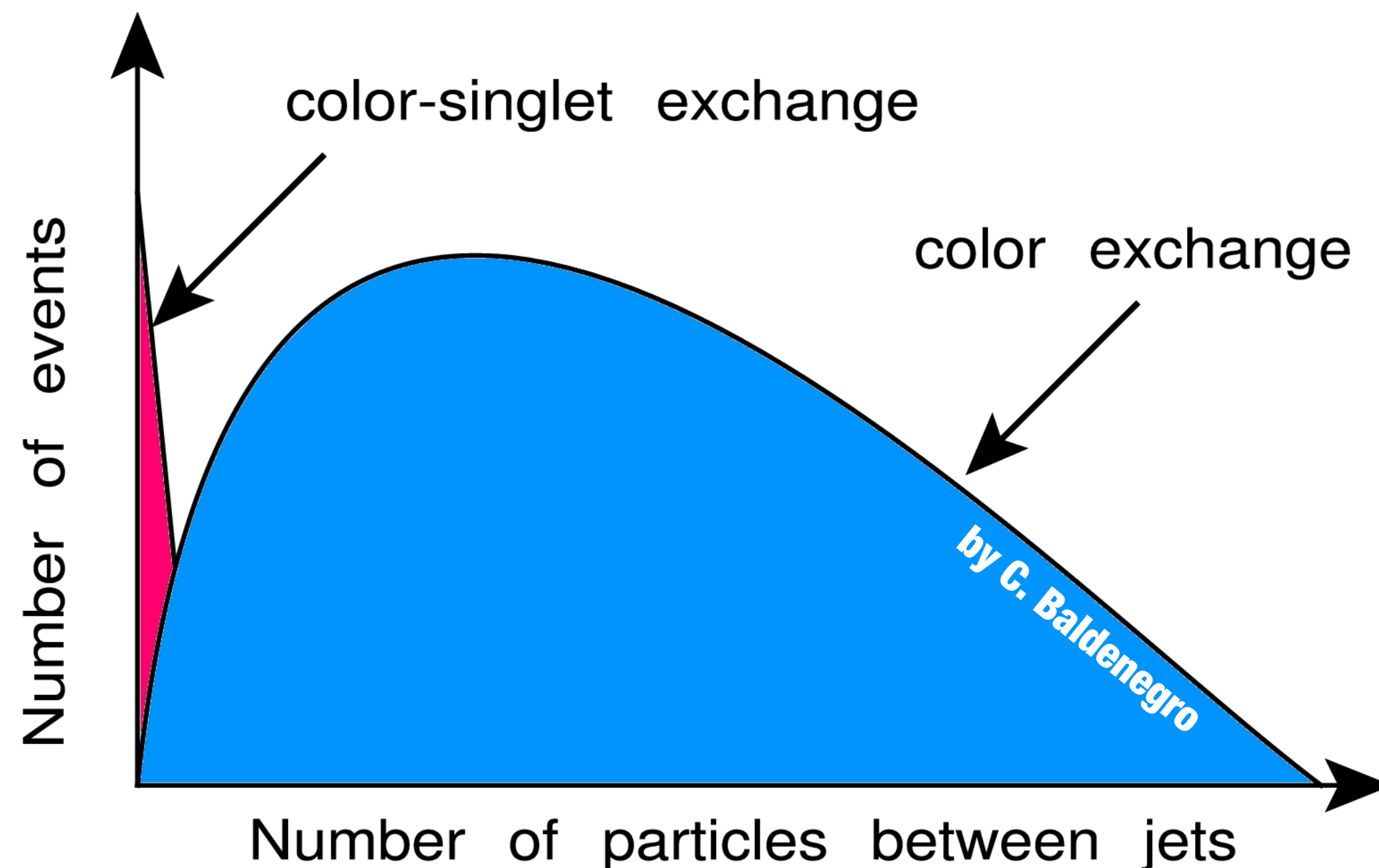
► **Leading jet** criteria for CMS: **360k+ events**



$$p_T^{\text{jet}} > 40 \text{ GeV}$$

$$1.4 < |\eta^{\text{jet}}| < 4.7$$

$$\eta^{\text{jet1}} \eta^{\text{jet2}} < 0$$



# Color-singlet exchange Fraction with CMS data

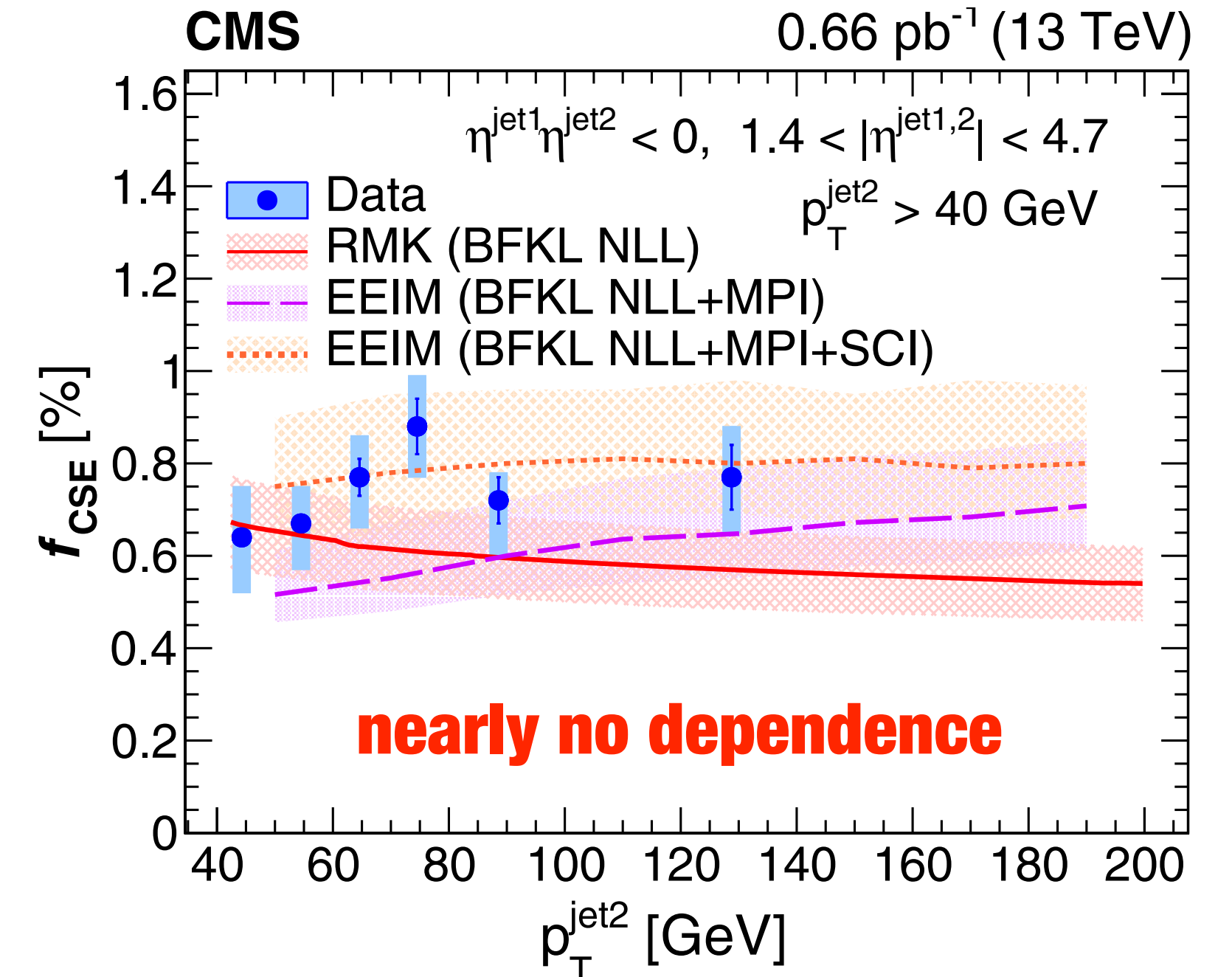
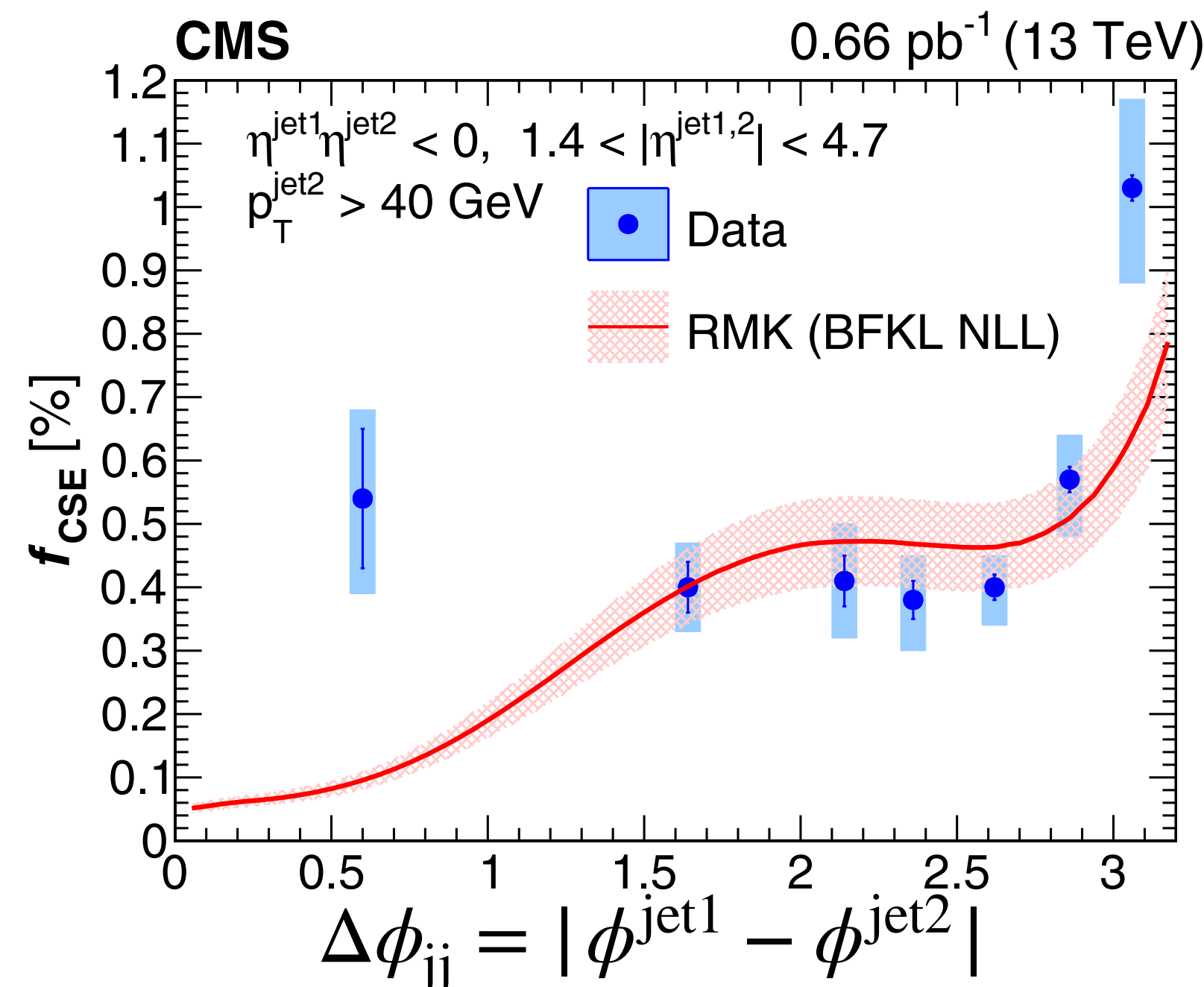
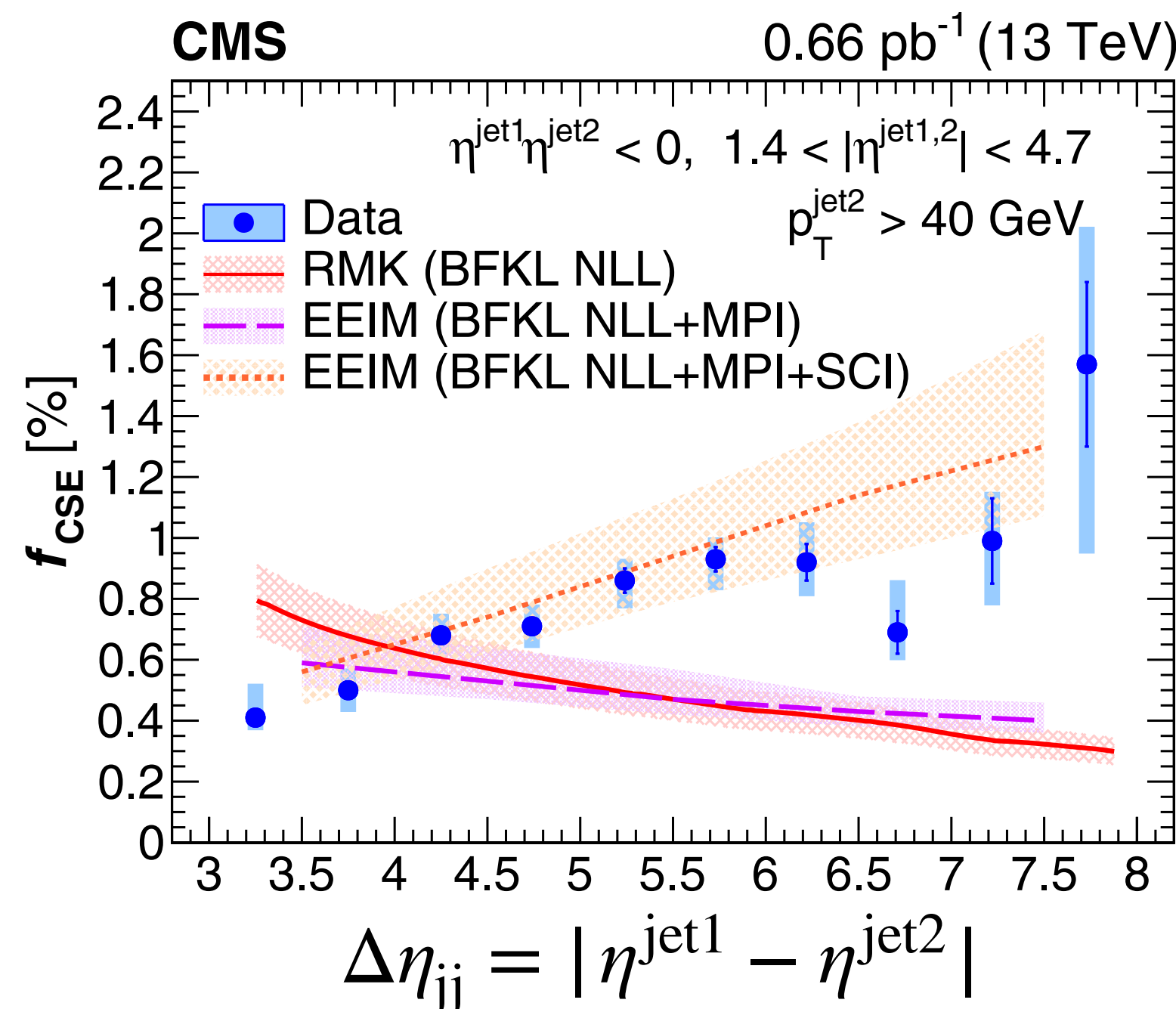
[PRD 104 \(2021\) 032009](#)  
[SMP-19-006](#)

- ▶ CSE events are accounted for  $N_{\text{tracks}} < 3$  based on background studies:

$$f_{\text{CSE}}(N_{\text{tracks}} < 3) = \frac{N^{\text{F}} - N_{\text{non-CSE}}^{\text{F}}}{N}$$

- ▶ Full NLL calculation of BFKL + NLO impact factor in progress
- ▶ BFKL predictions **strongly** depends on the  $\eta$  gap definition

$p_{\text{T}}^{\text{jet2}}$ [GeV]	$\langle p_{\text{T}}^{\text{jet2}} \rangle$ [GeV]	$f_{\text{CSE}}$ [%]
40–50	44.3	$0.64 \pm 0.01^{+0.11}_{-0.12}$
50–60	54.5	$0.67 \pm 0.02^{+0.08}_{-0.10}$
60–70	64.6	$0.77 \pm 0.04^{+0.08}_{-0.10}$
70–80	74.5	$0.88 \pm 0.06^{+0.09}_{-0.09}$
80–100	88.6	$0.72 \pm 0.05^{+0.04}_{-0.11}$
100–200	128.8	$0.77 \pm 0.07^{+0.09}_{-0.10}$



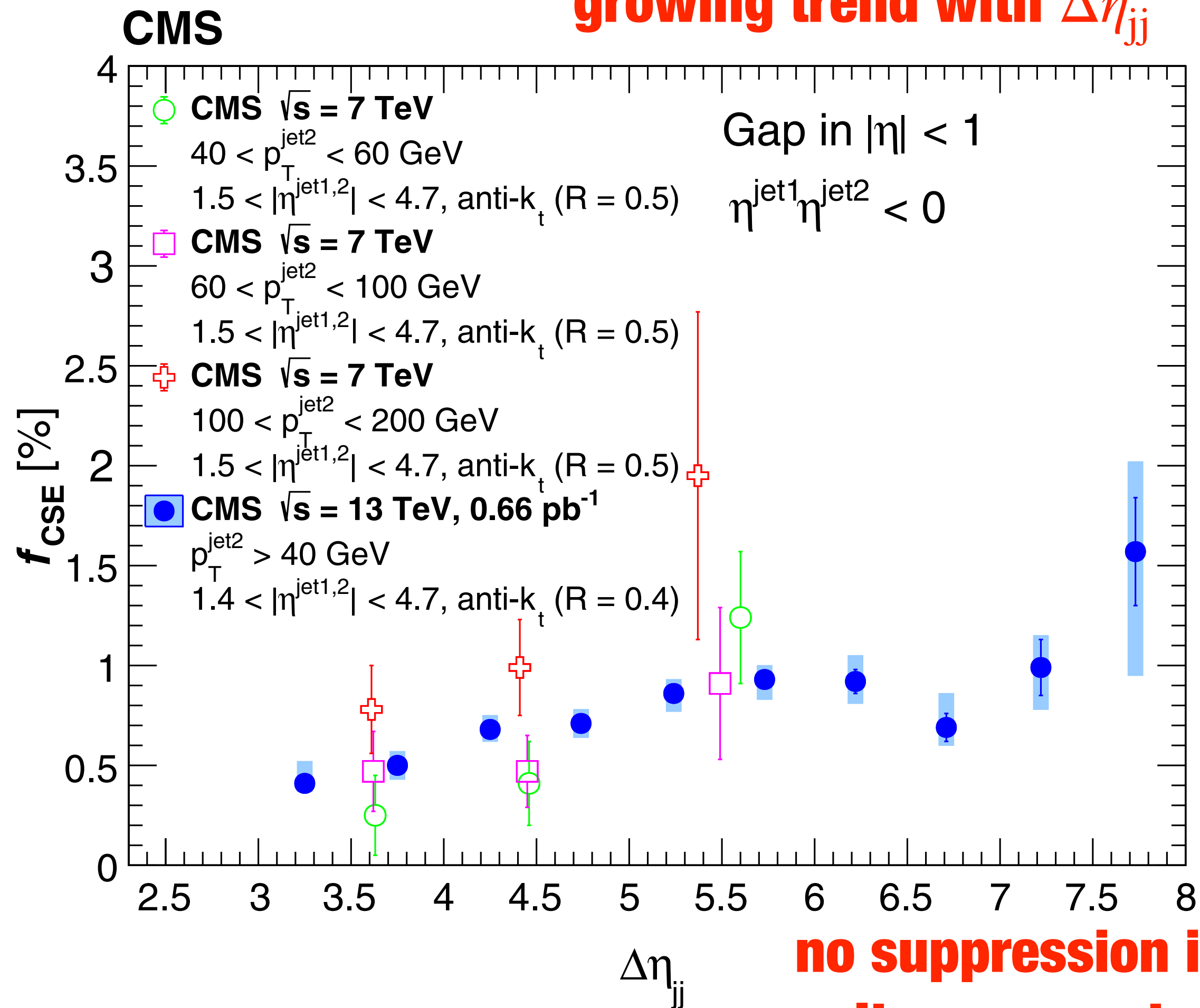


# Color-singlet exchange Fraction with CMS data

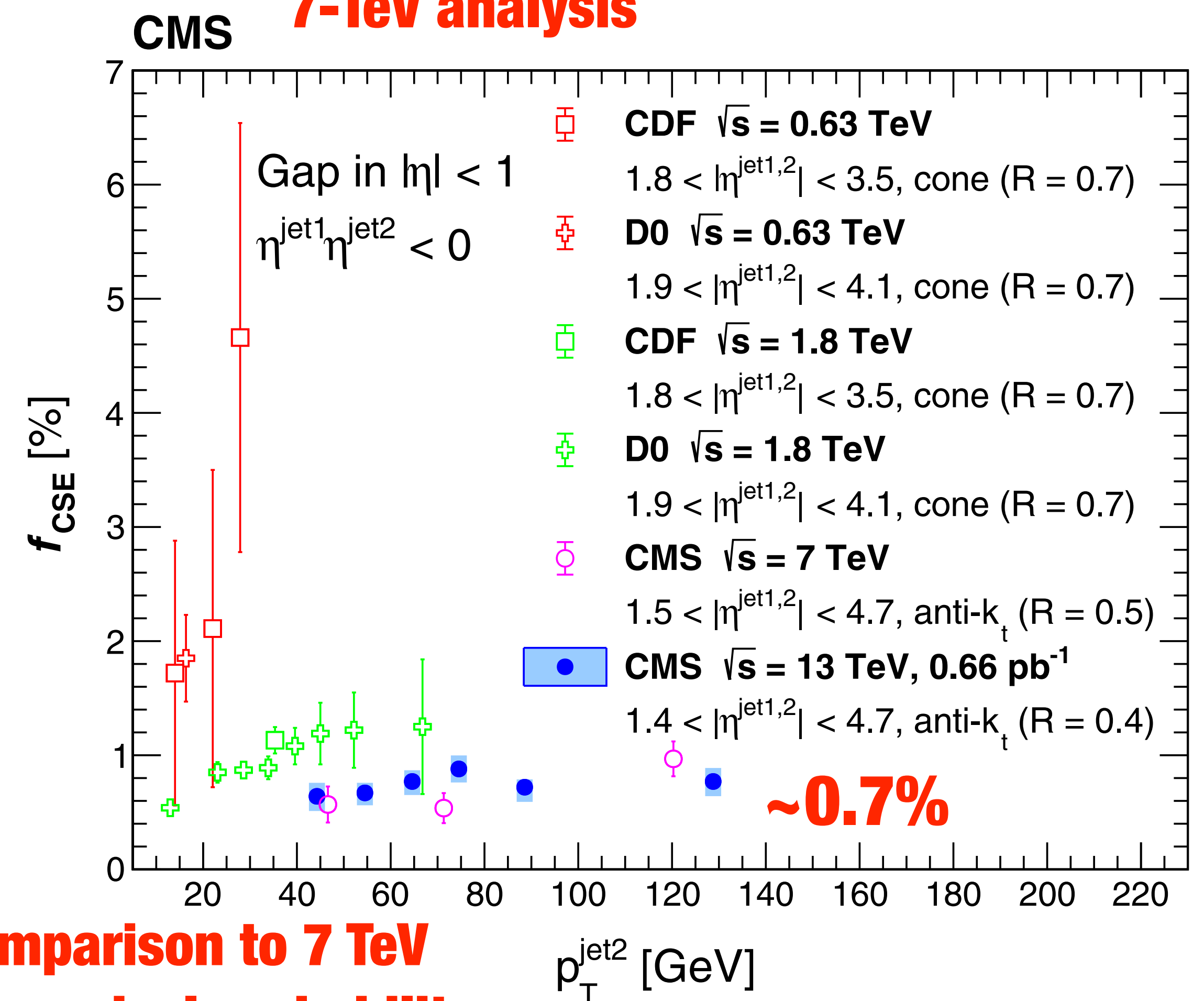
PRD 104 (2021) 032009  
SMP-19-006

Latest data confirm  
growing trend with  $\Delta\eta_{jj}$

Improvement compared to  
7-TeV analysis

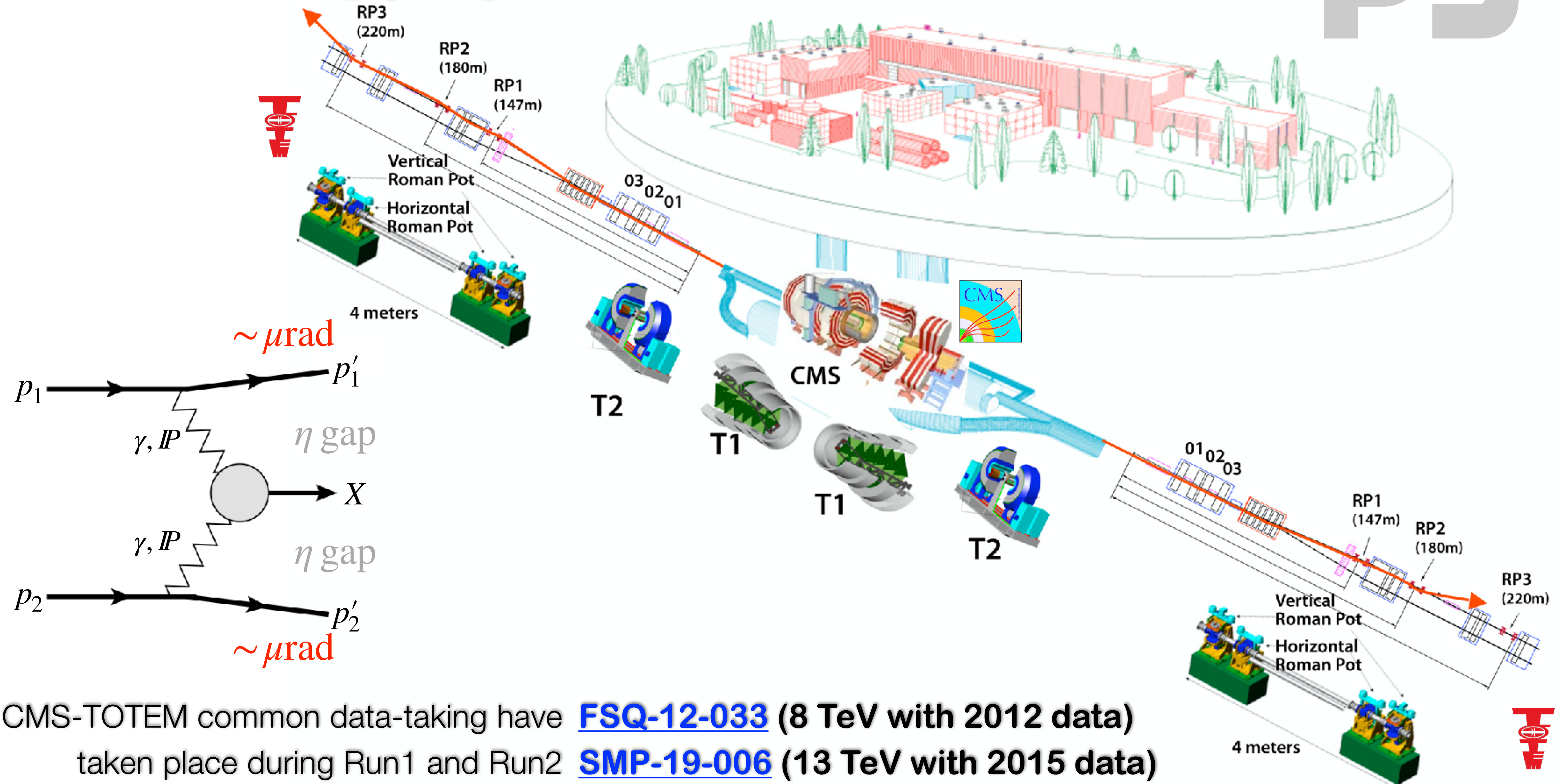


no suppression in comparison to 7 TeV  
results as expected by survival probability



# Proton tagging

# P5

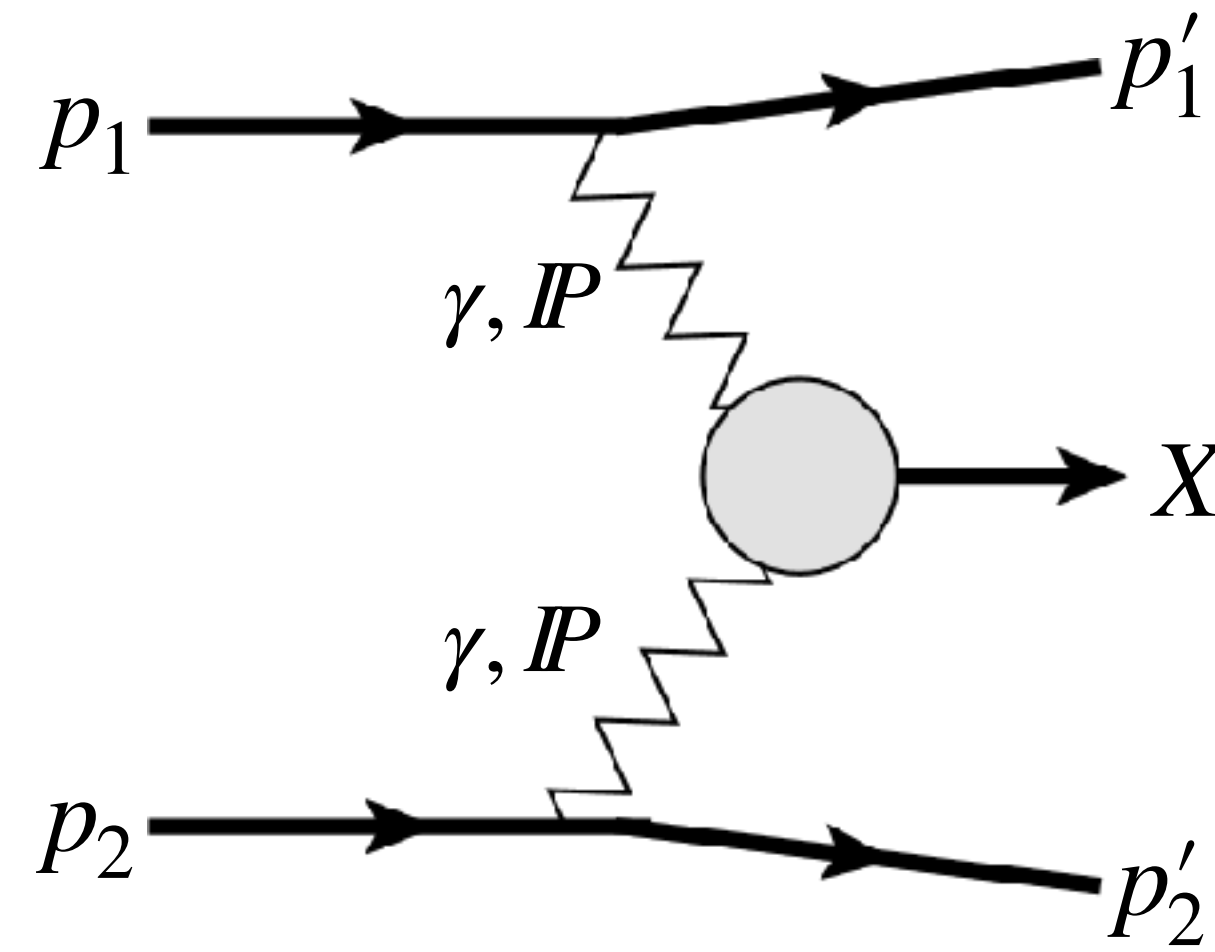
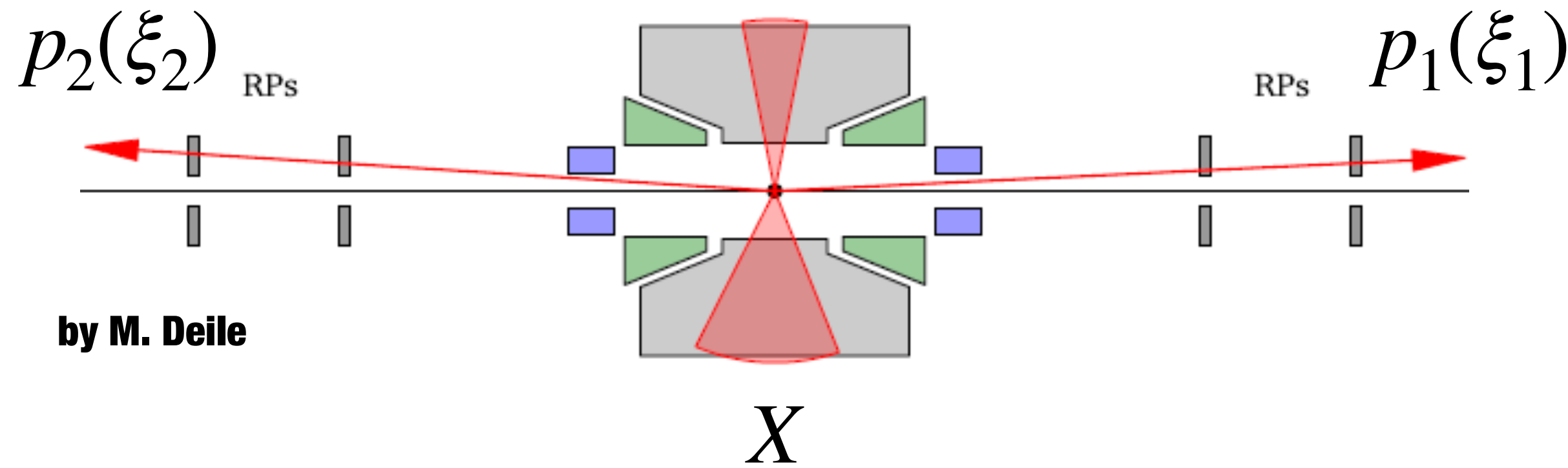


CMS-TOTEM common data-taking have taken place during Run1 and Run2

- [FSQ-12-033](#) (8 TeV with 2012 data)
- [SMP-19-006](#) (13 TeV with 2015 data)

# TOTEM Roman Pots

$$p + p \rightarrow p \oplus X \oplus p$$



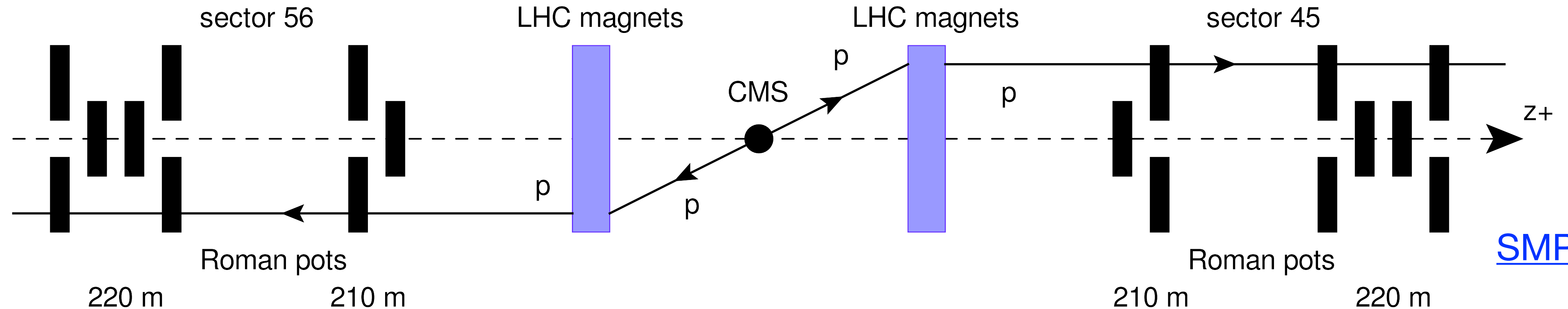
fractional momentum loss

$$\xi_1 = \frac{\Delta p_1}{p}$$

$$M_X = \sqrt{\xi_1 \xi_2 s}$$

$$\xi_2 = \frac{\Delta p_2}{p}$$

$$M_X \gtrsim 300 \text{ GeV}$$



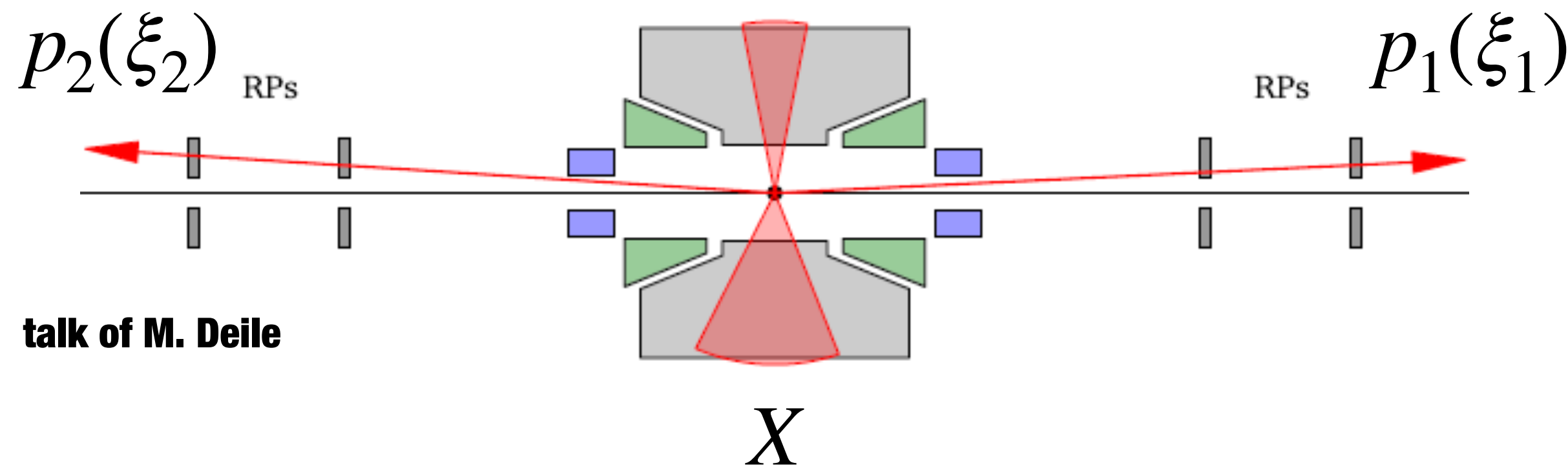
[SMP-19-006](#)



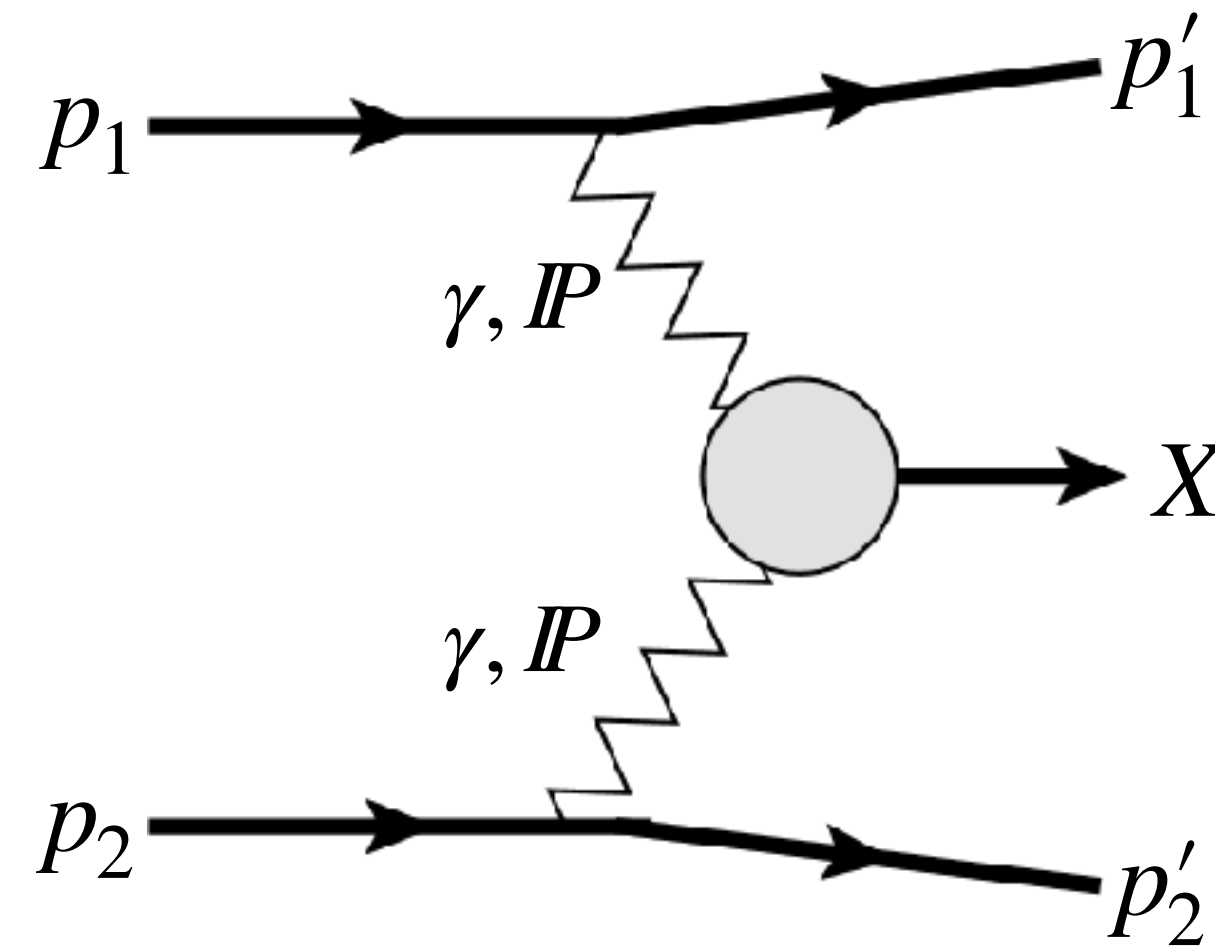
# TOTEM Roman Pots



$$p + p \rightarrow p \oplus X \oplus p$$



talk of M. Deile



fractional momentum loss

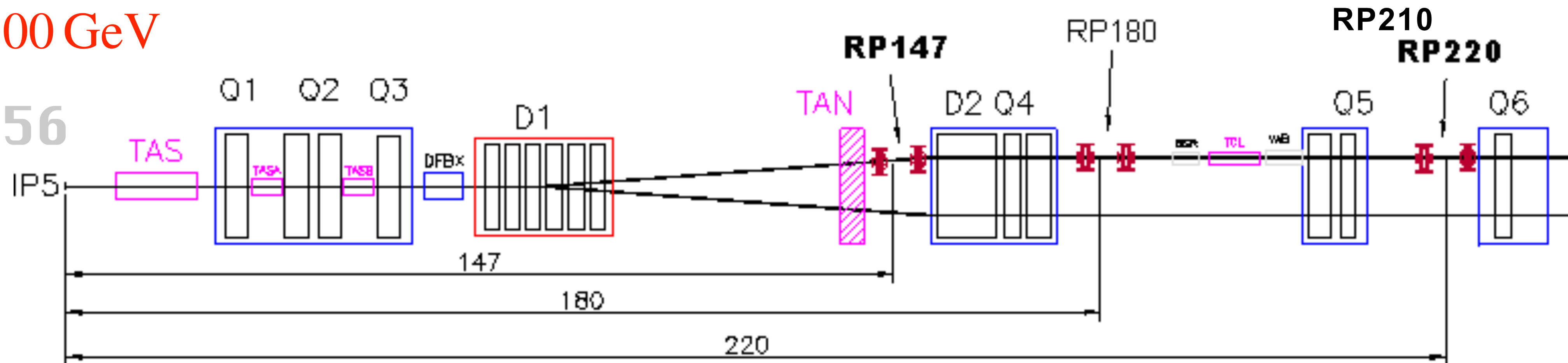
$$\xi_1 = \frac{\Delta p_1}{p}$$

$$M_X = \sqrt{\xi_1 \xi_2 s}$$

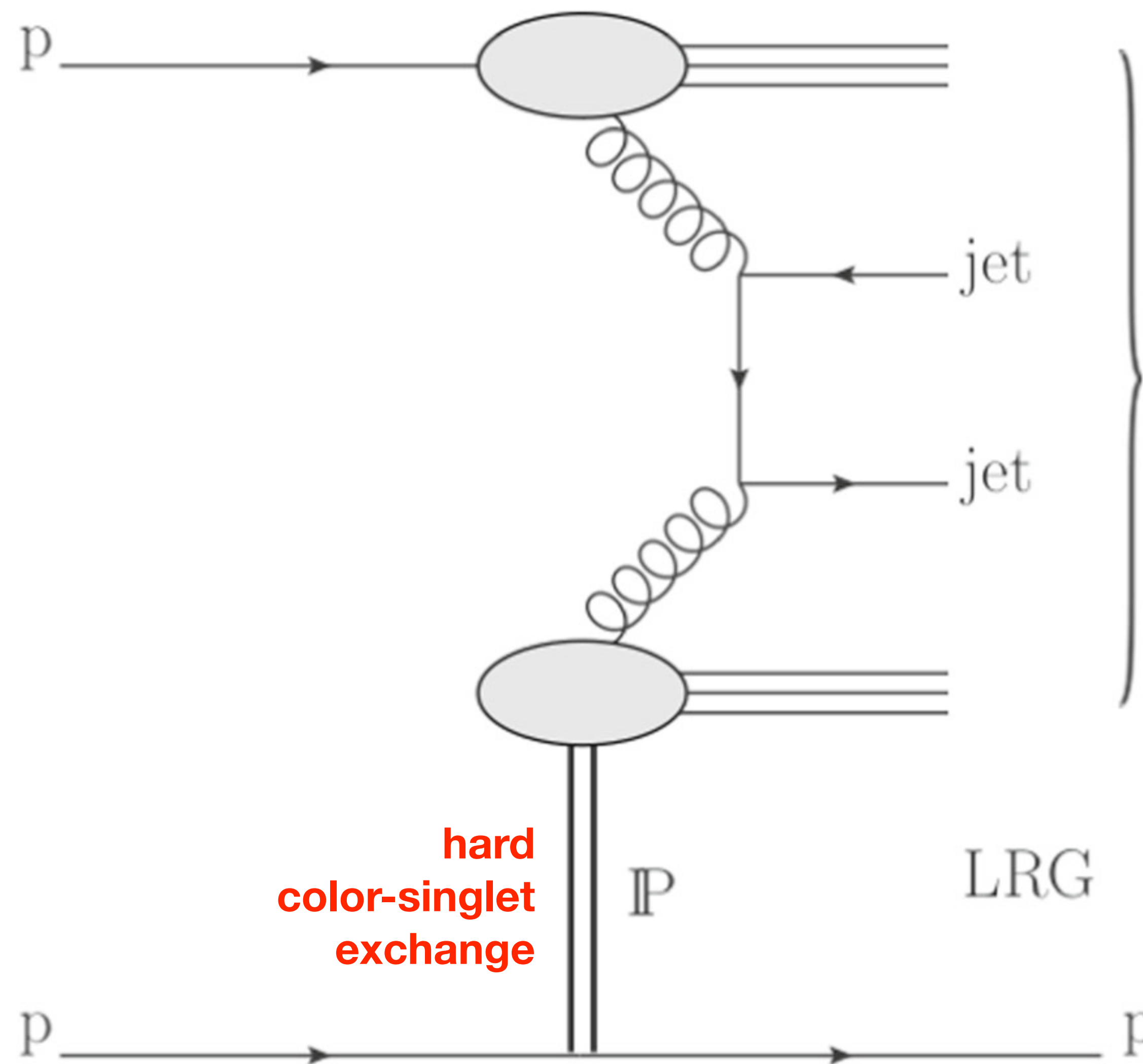
$$\xi_2 = \frac{\Delta p_2}{p}$$

$$M_X \gtrsim 300 \text{ GeV}$$

Sector 56



# Single-diffractive jets at 8 TeV with proton tagging



# Single-diff jets at 8 TeV + proton tagging



► **Leading jets** on a data sample with 37.5/nb

$$p_T^{\text{jet}} > 40 \text{ GeV}$$

$$|\eta^{\text{jet}}| < 4.4$$

$$\eta^{\text{jet1}} \eta^{\text{jet2}} < 0$$

► Intact **proton** criteria:

proton at RP45 or RP56

$$0 < \xi(\text{RP}) < 0.1$$

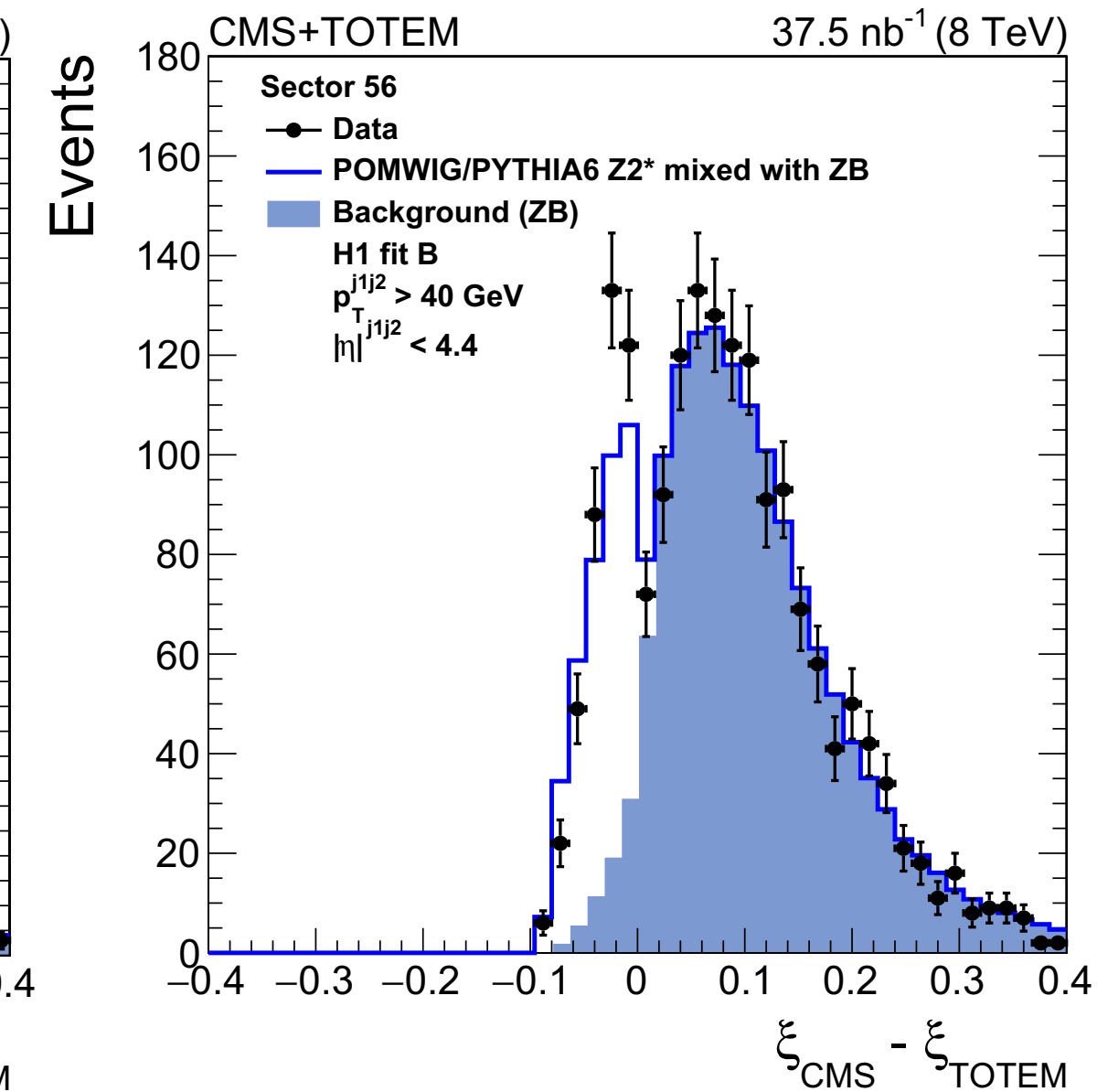
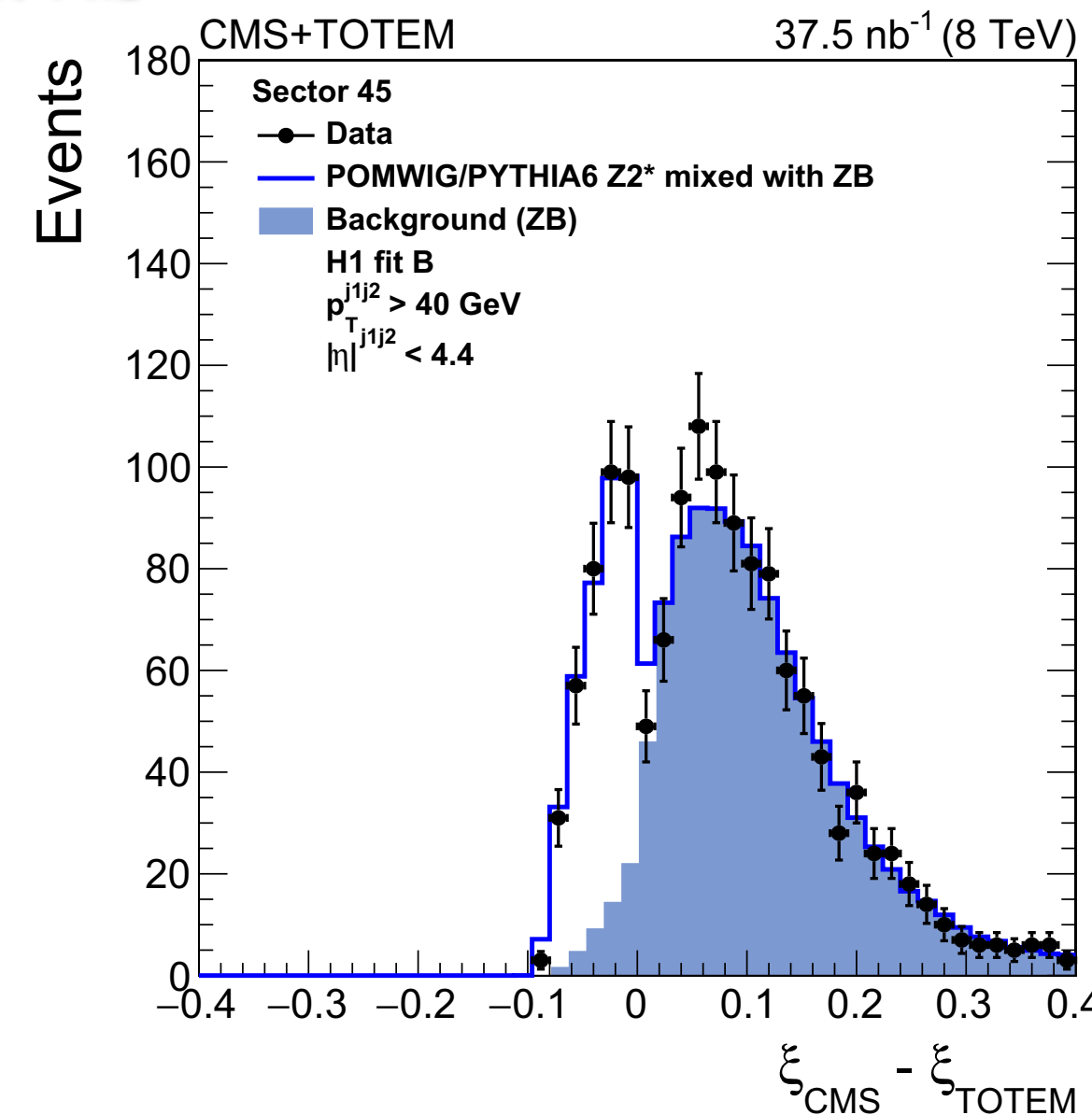
$$0.03 < |t| < 1 \text{ GeV}^2$$

**VERTICAL RPS**

**HORIZONTAL RPS**

$$8.4 < |y(\text{RP})| < 27 \text{ mm}$$

$$0 < x(\text{RP}) < 7 \text{ mm}$$



► **Final selection:**

$$\xi_p(\text{PF}) = \sum_i \frac{E^i \pm p_z^i}{\sqrt{s}}$$

$$\xi_p(\text{PF}) - \xi_p(\text{RP}) \leq 0$$

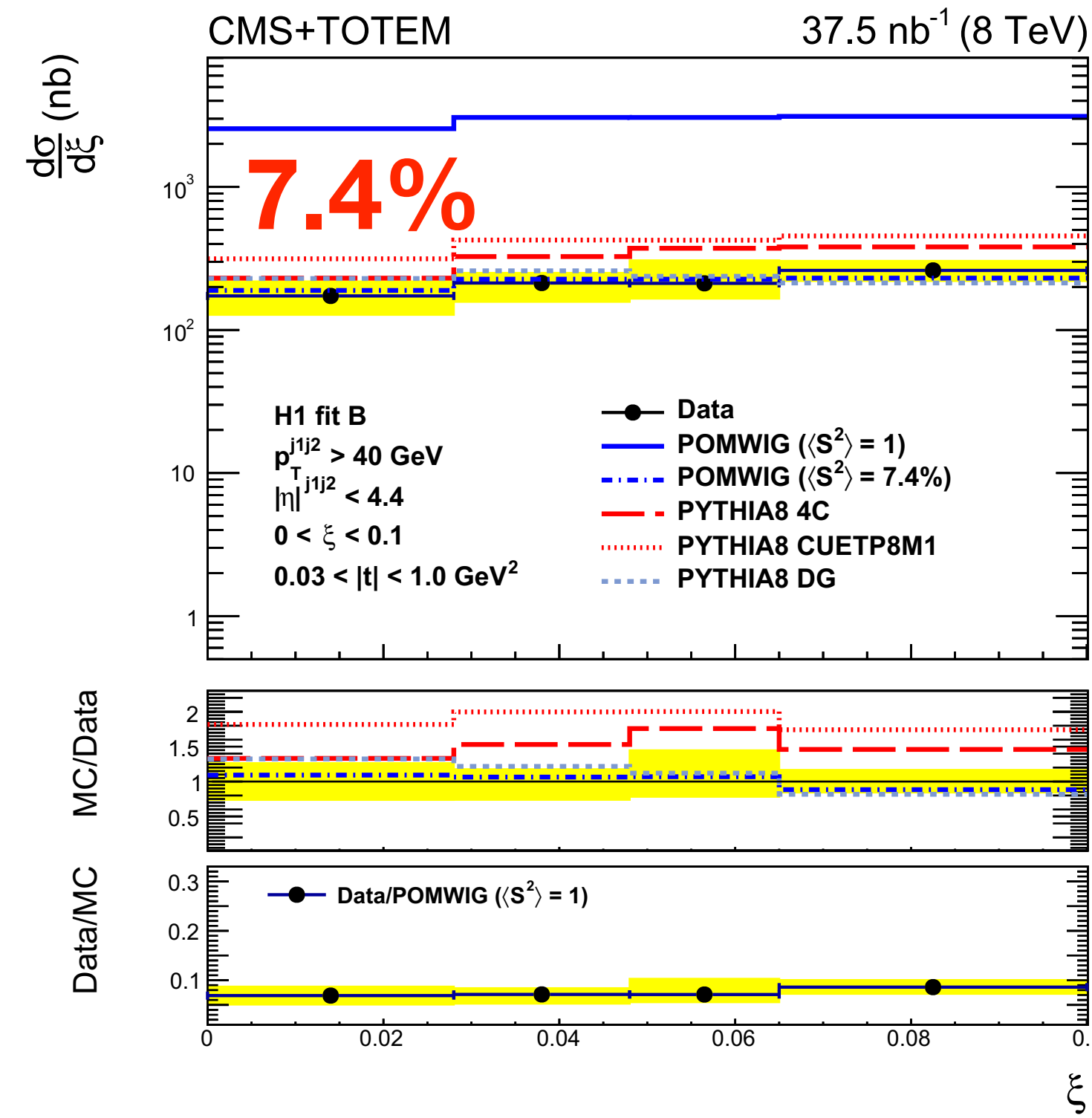
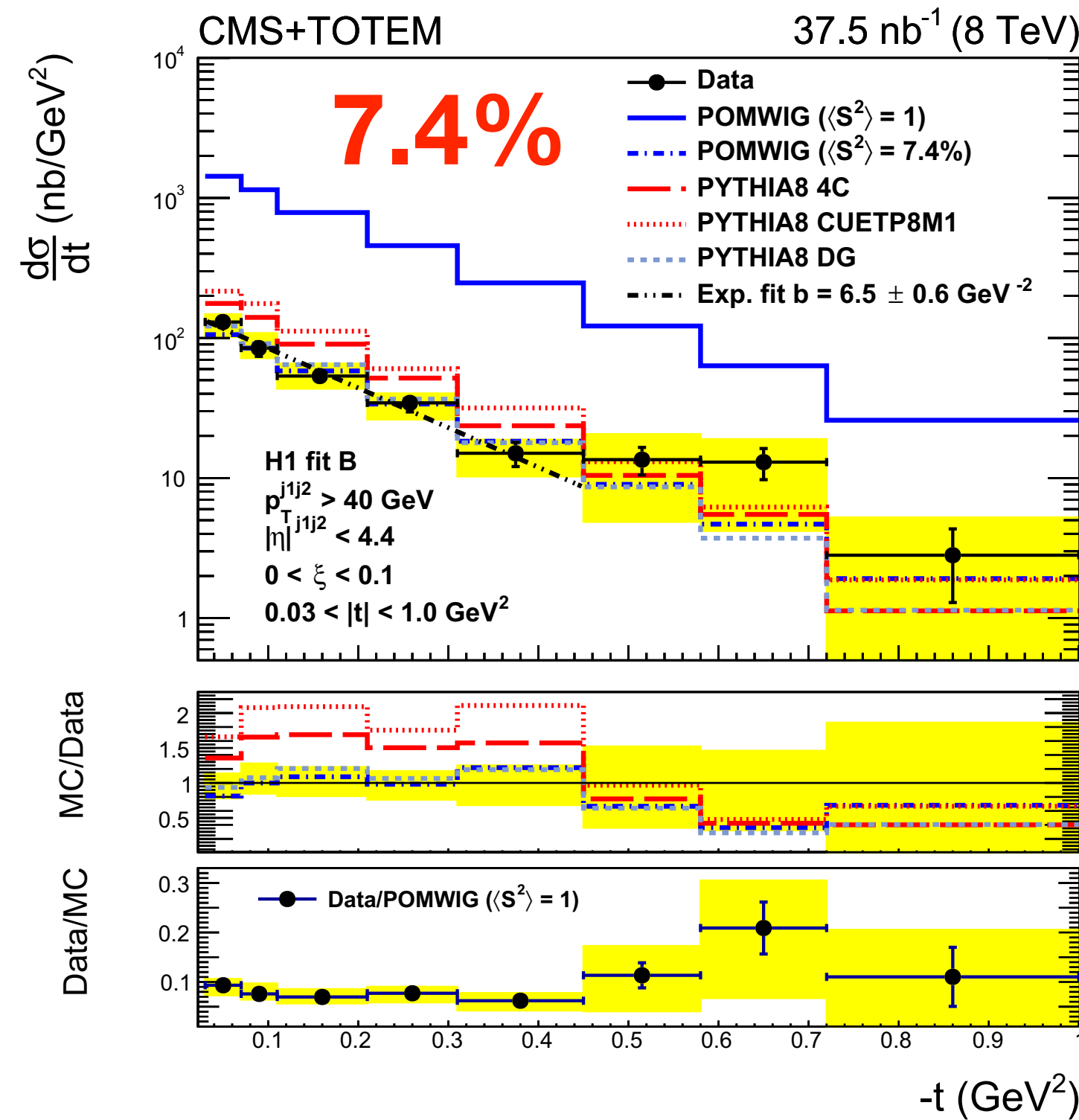
$$|\eta(\text{PF})| < 5.2$$

► **Events passing both CMS and TOTEM criteria:**

RP45 : 368 events

RP56 : 420 events

# Single-diff jets at 8 TeV + proton tagging



good agreement with PYTHIA8 DG

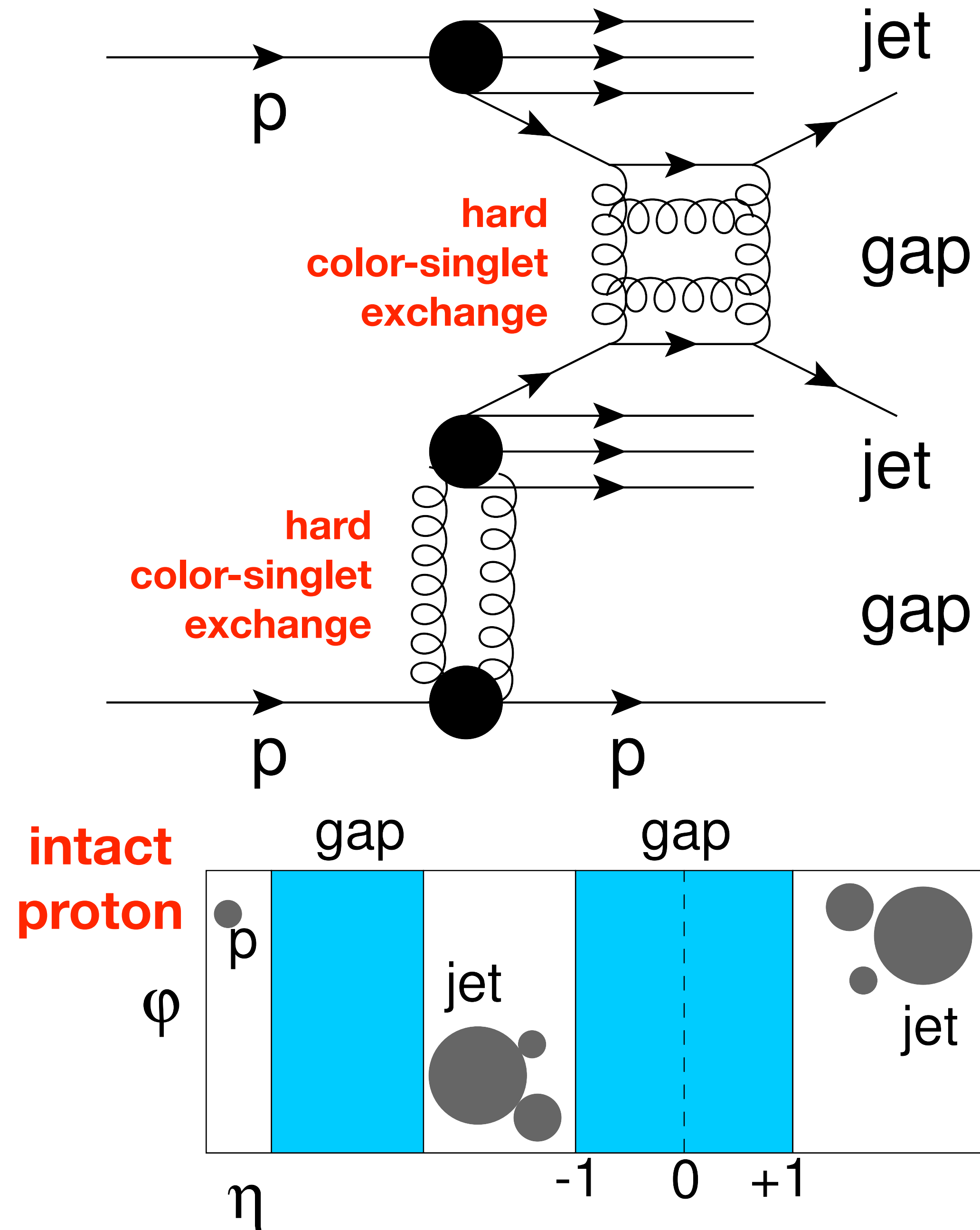
► Cross section for single-diffractive dijet production:  $\sigma_{jj}^{pX} = 21.7 \pm 0.9 \text{ (stat)}_{-3.3}^{+3.0} \text{ (syst)} \pm 0.9 \text{ (lumi)} \text{ nb}$

► Fit to data for  $d\sigma/dt \propto \exp(-b|t|)$ :  $b = 6.5 \pm 0.6 \text{ (stat)}_{-0.8}^{+1.0} \text{ (syst)} \text{ GeV}^{-2}$  **consistent with CDF result at small  $t$**

► Ratio normalized per unit of  $\xi$ :  $R = \left( \sigma_{jj}^{pX} / \Delta\xi \right) / \sigma_{jj} = 0.025 \pm 0.001 \text{ (stat)} \pm 0.003 \text{ (syst)}$



# Jet-gap-Jet at 13 TeV with proton tagging



**Measured for the first time**



# Jet-Gap-Jet at 13 TeV + proton tagging

PRD 104 (2021) 032009  
SMP-19-006



► **Leading jet** criteria for CMS: **~360k events**

$$p_T^{\text{jet}} > 40 \text{ GeV}$$

$$1.4 < |\eta^{\text{jet}}| < 4.7$$

$$\eta^{\text{jet1}} \eta^{\text{jet2}} < 0$$

► Intact **proton** criteria:

proton at RP45 or RP56

$$\xi_p(\text{RP}) < 0.2$$

$$-4 < t < -0.025 \text{ GeV}^2$$

$$|y(\text{RP})| < 25 \text{ mm}$$

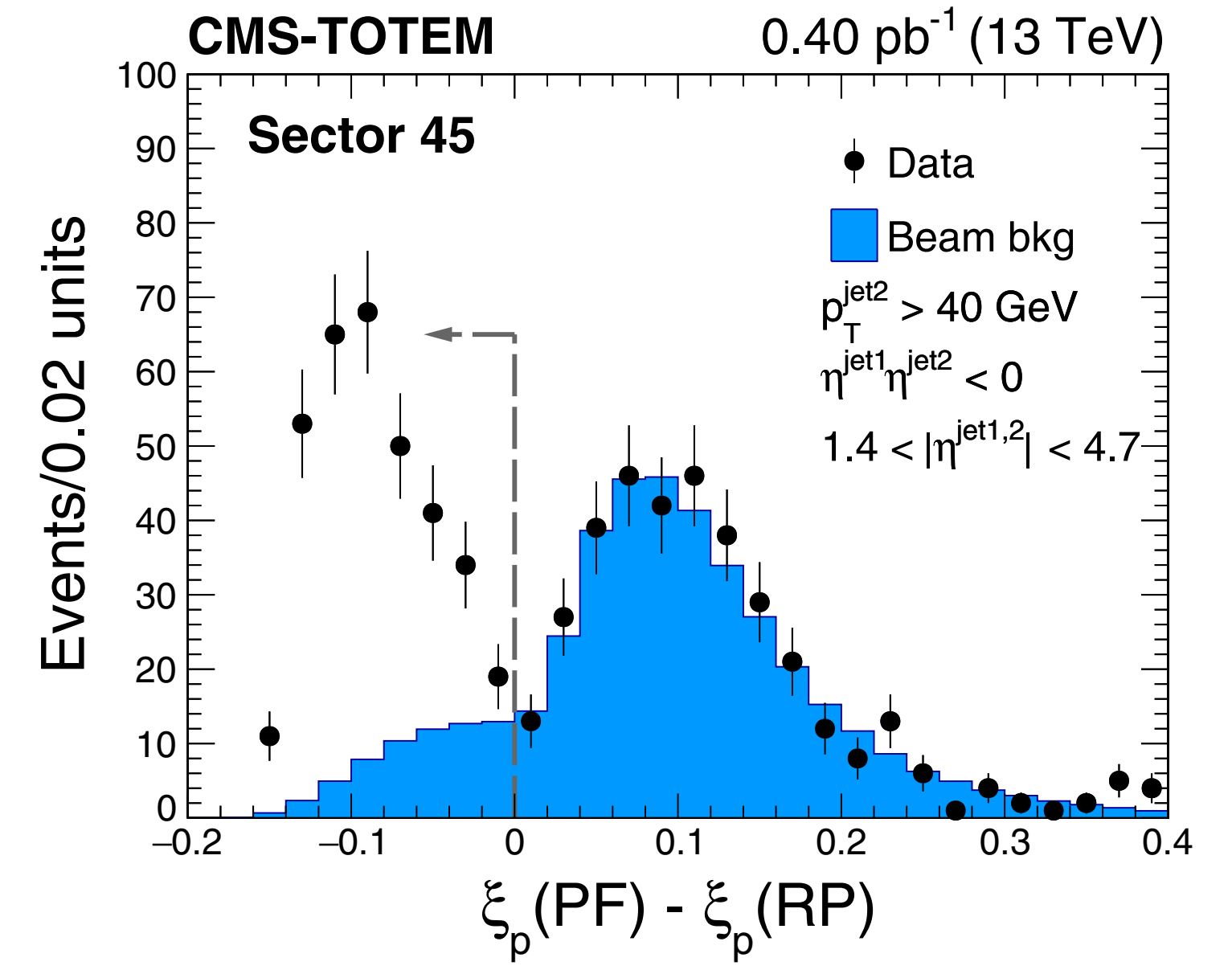
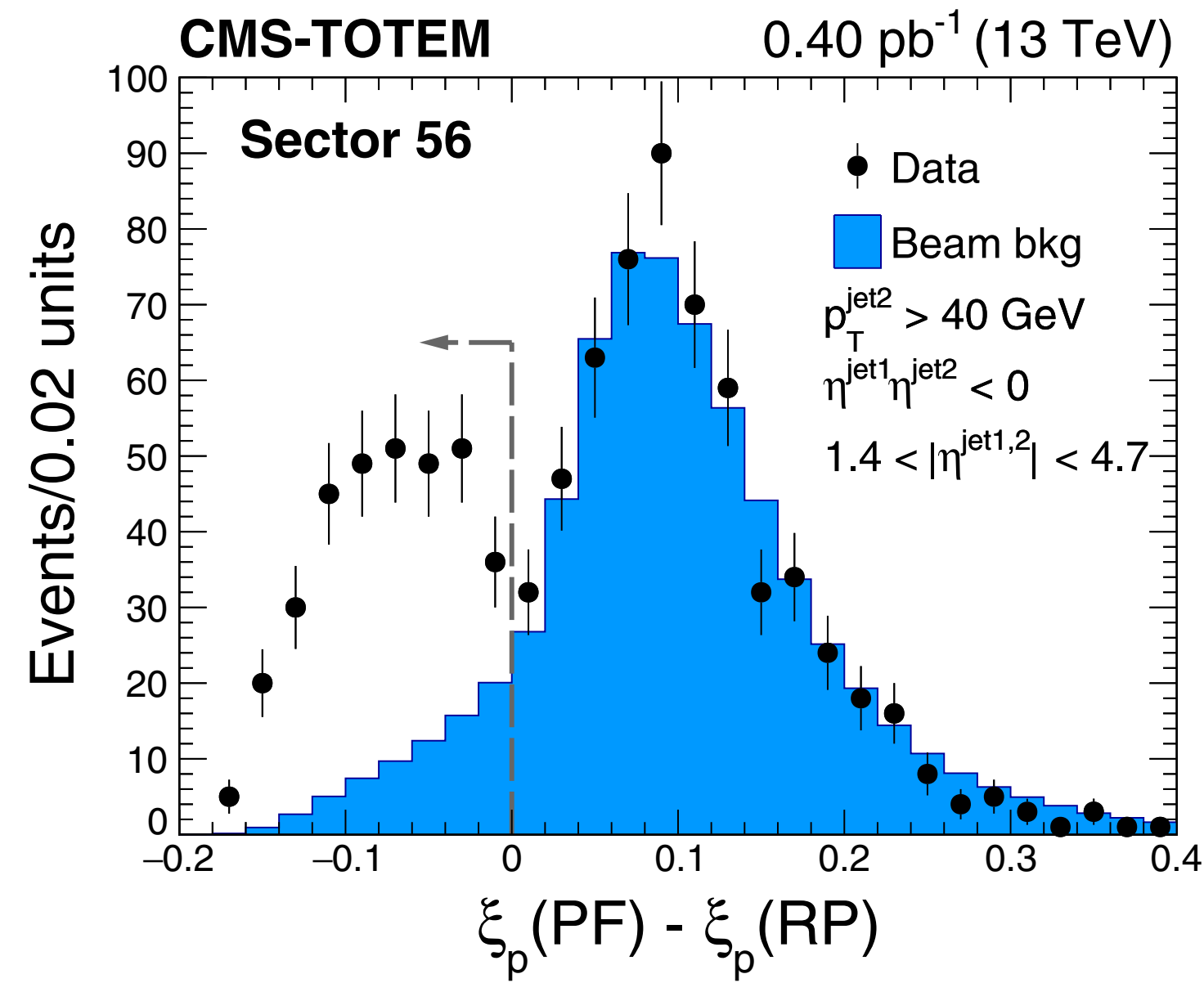
$$7 < x(\text{RP}) < 25 \text{ mm}$$

**VERTICAL RPS**

$$8 < |y(\text{RP})| < 30 \text{ mm}$$

$$0 < x(\text{RP}) < 20 \text{ mm}$$

**HORIZONTAL RPS**



► **Final selection:**

$$\xi_p(\text{PF}) = \sum_i \frac{E^i \pm p_z^i}{\sqrt{s}}$$

$$\xi_p(\text{PF}) - \xi_p(\text{RP}) < 0$$

$$|\eta(\text{PF})| < 5.2$$

► **Events passing both CMS and TOTEM criteria:**

**RP45 : 341 events**

**RP56 : 336 events**

# Jet-Gap-Jet at 13 TeV + proton tagging

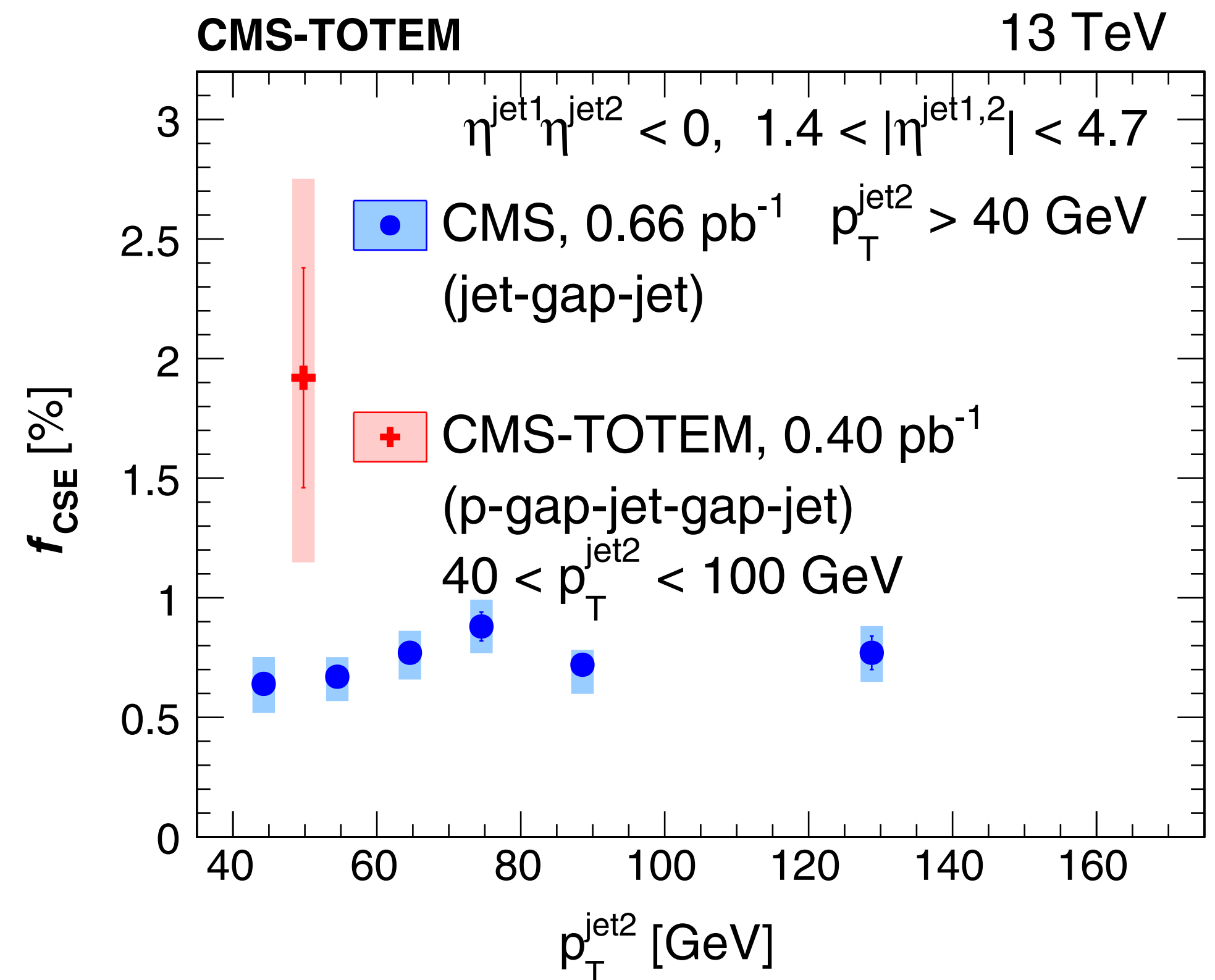
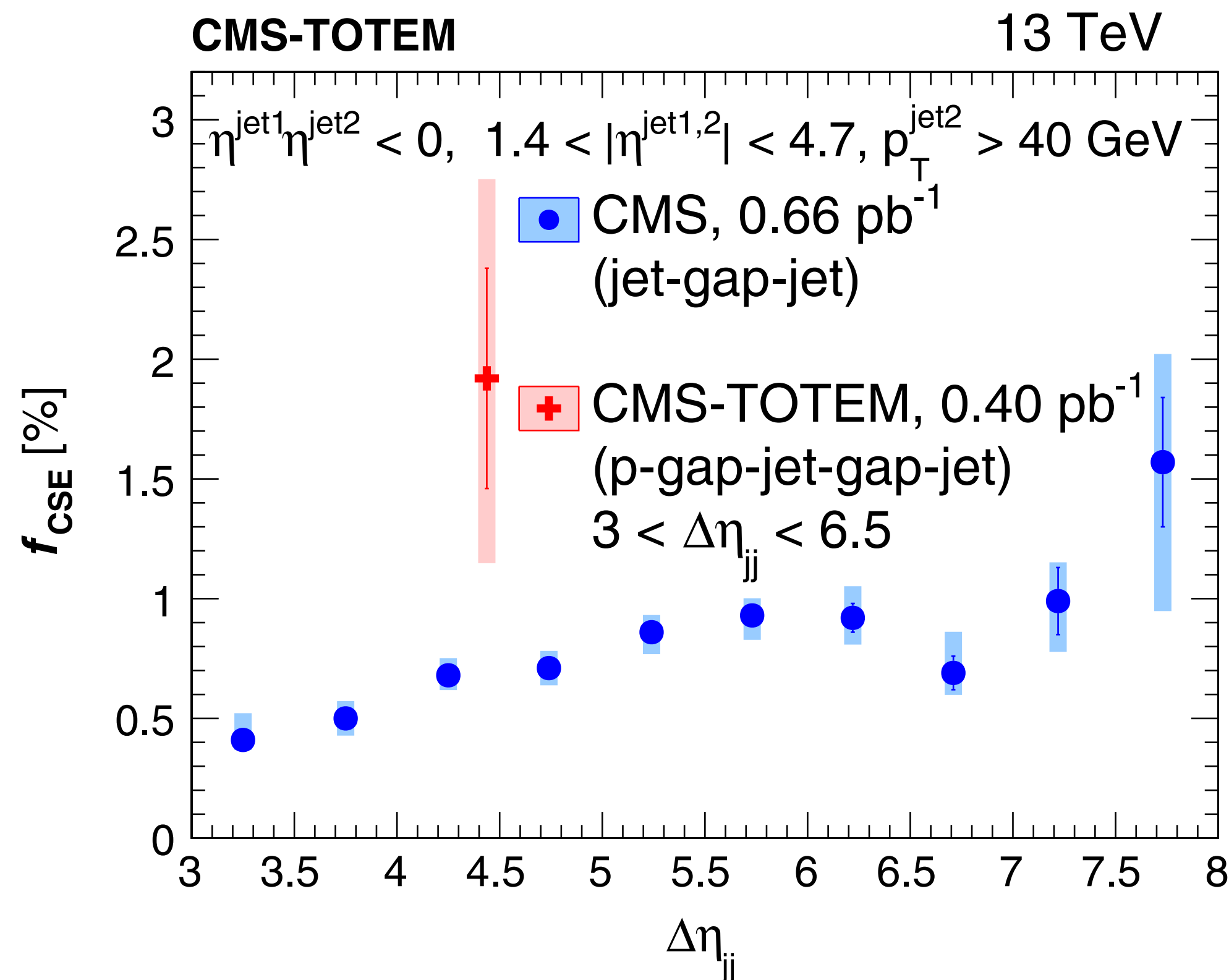
PRD 104 (2021) 032009  
SMP-19-006



- The fraction of CSR show an increase if compared with the jet-gap-jet topology

$$f_{\text{CSE}} = \left[ 1.92 \pm 0.46 \text{ (stat)} \begin{matrix} +0.69 \\ -0.62 \end{matrix} \text{ (syst)} \right] \%$$

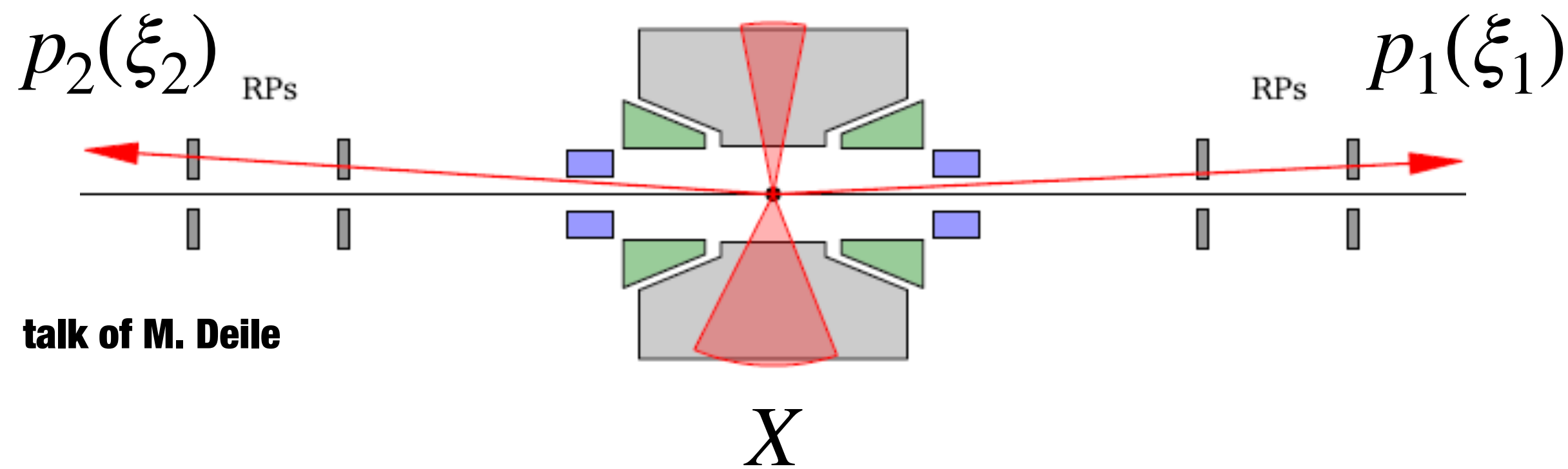
- **Larger number of events with a surviving pseudorapidity gap**



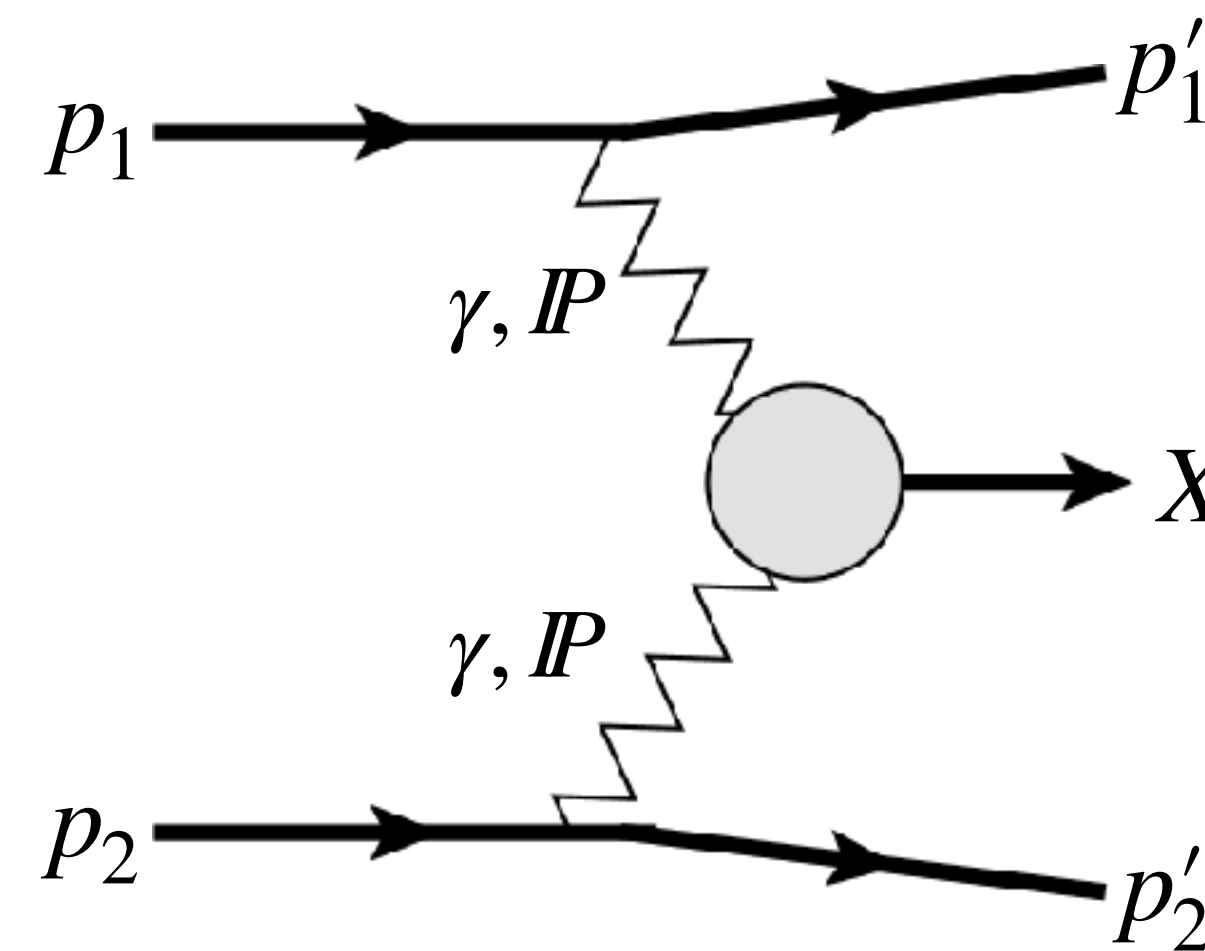
# Precision Proton Spectrometer (PPS)



$$p + p \rightarrow p \oplus X \oplus p$$



talk of M. Deile



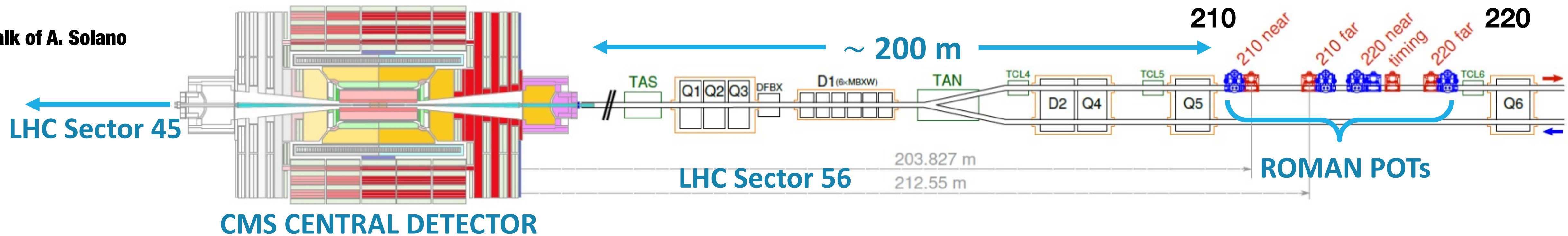
$$\xi_1 = \frac{\Delta p_1}{p}$$

$$M_X = \sqrt{\xi_1 \xi_2 s}$$

$$\xi_2 = \frac{\Delta p_2}{p}$$

$$\xi = 0.02 - 0.2 \quad M_X \gtrsim 400 \text{ GeV}$$

talk of A. Solano

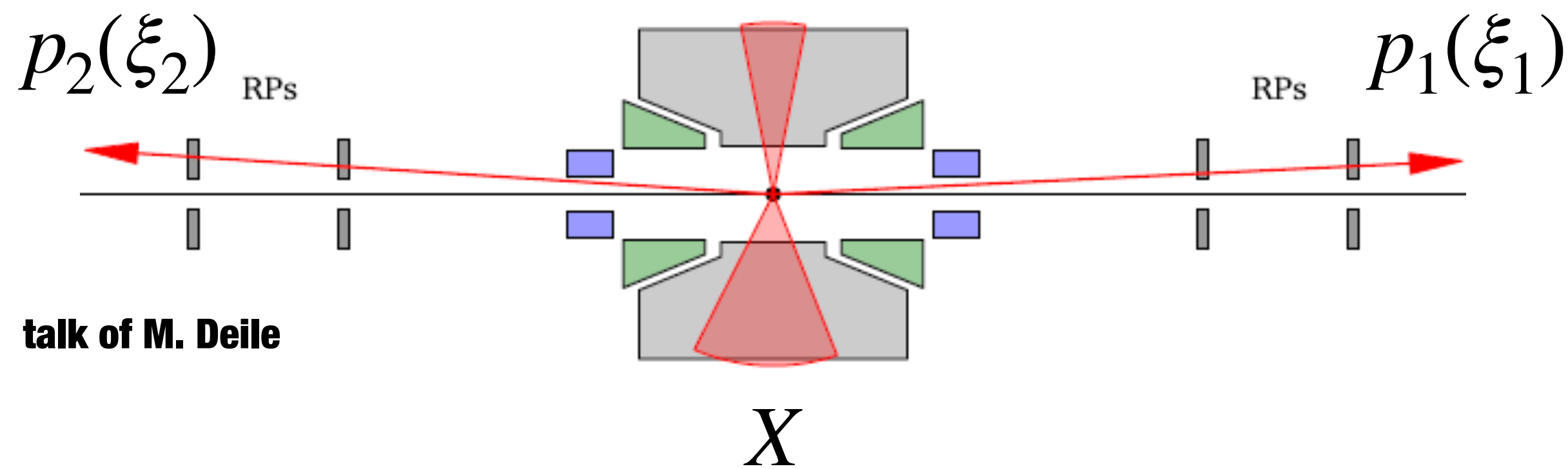


**PPS is planned to operate during the High-lumi LHC** [CMS-NOTE-2020-008 arXiv 2103.02752](https://arxiv.org/abs/2103.02752)

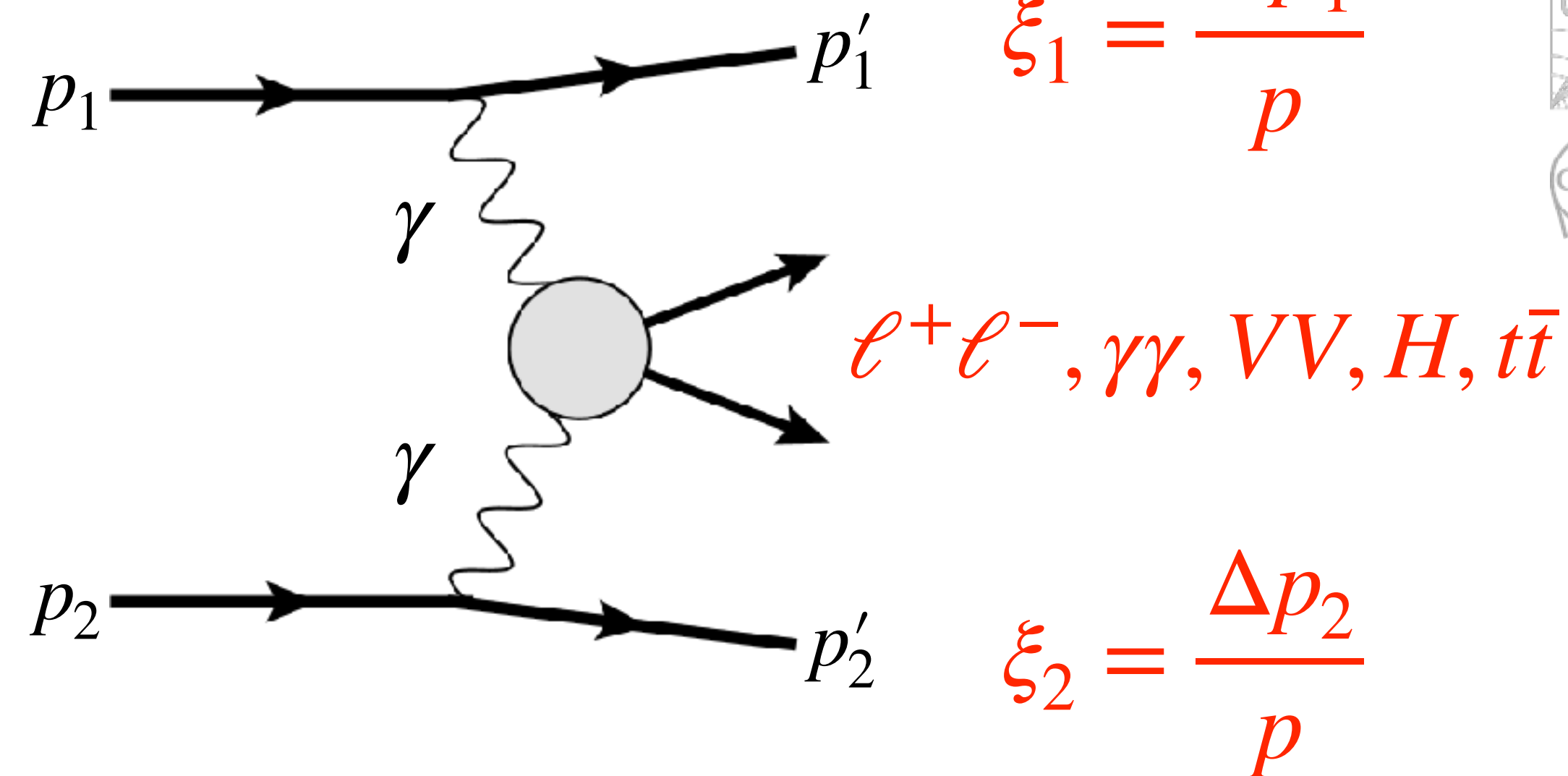
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$$p + p \rightarrow p \oplus X \oplus p$$

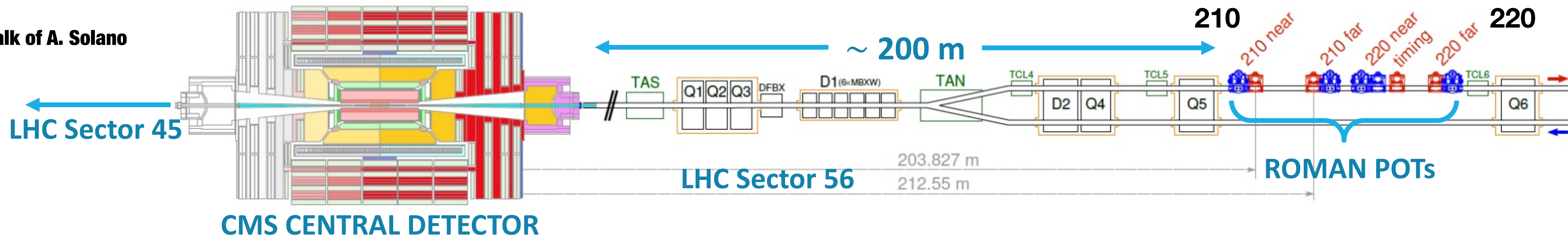


talk of M. Deile



$$\xi = 0.02 - 0.2 \quad M_X \gtrsim 400 \text{ GeV}$$

talk of A. Solano

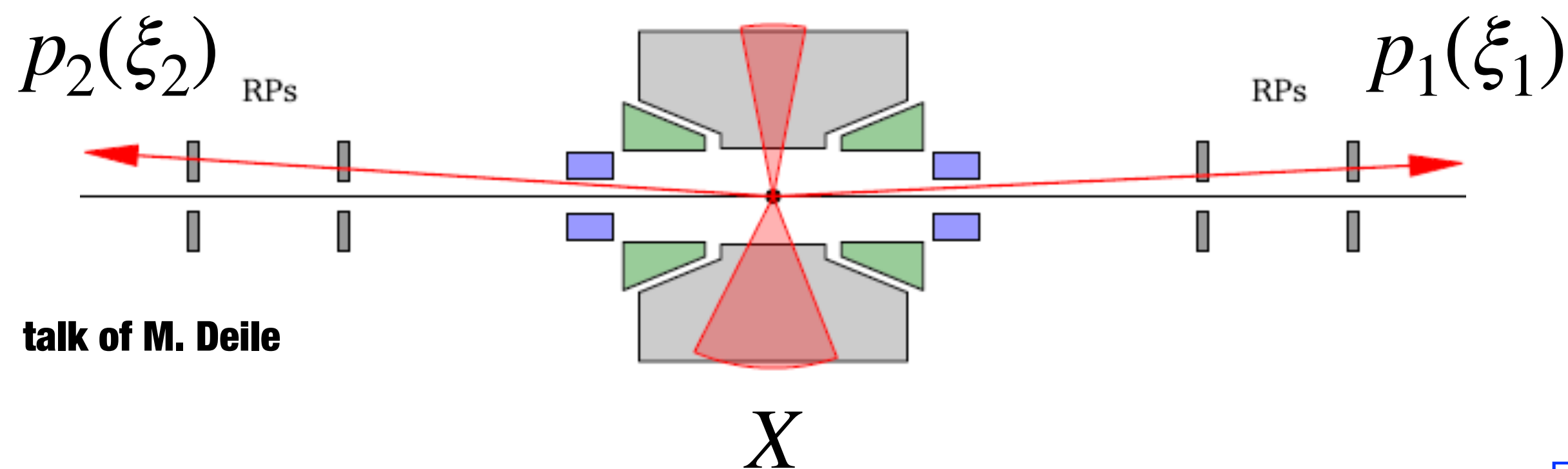


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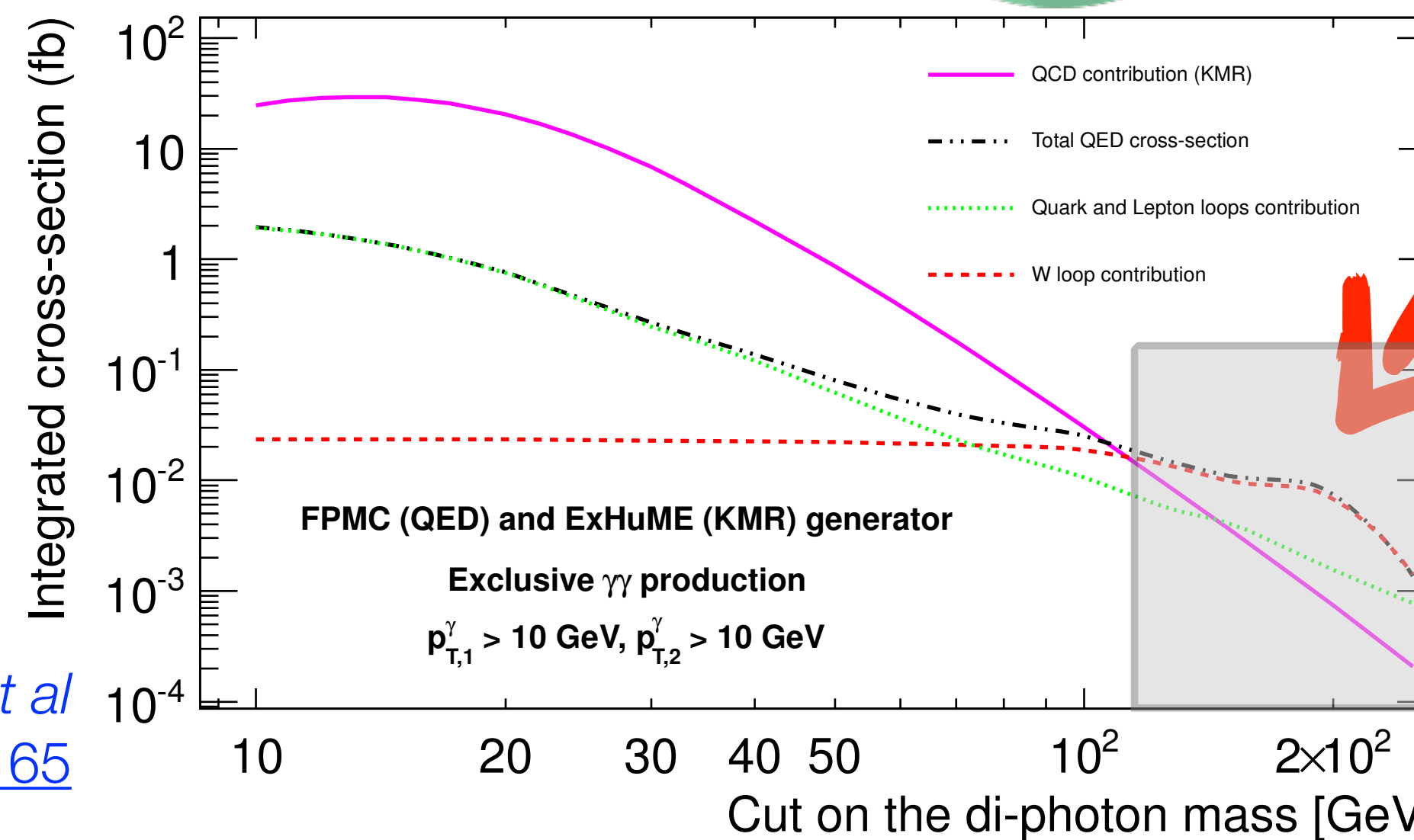
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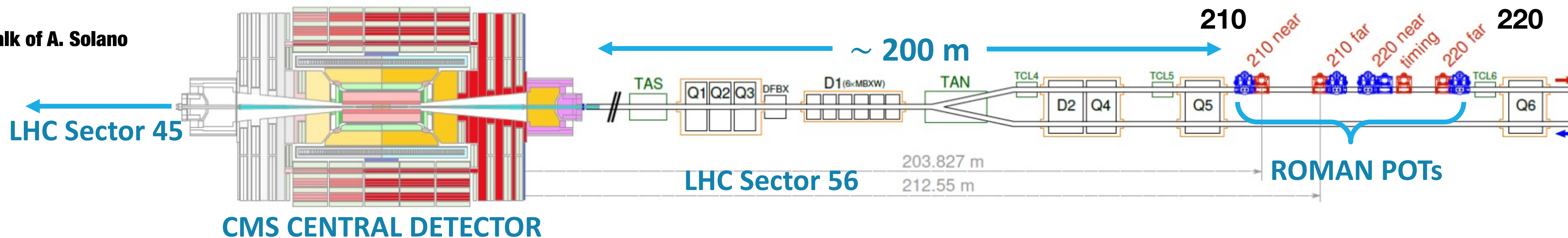
talk of M. Deile



Fichet *et al*  
[JHEP 02 \(2015\) 165](https://arxiv.org/abs/1412.165)

$$\xi = 0.02 - 0.2 \quad M_X \gtrsim 400 \text{ GeV}$$

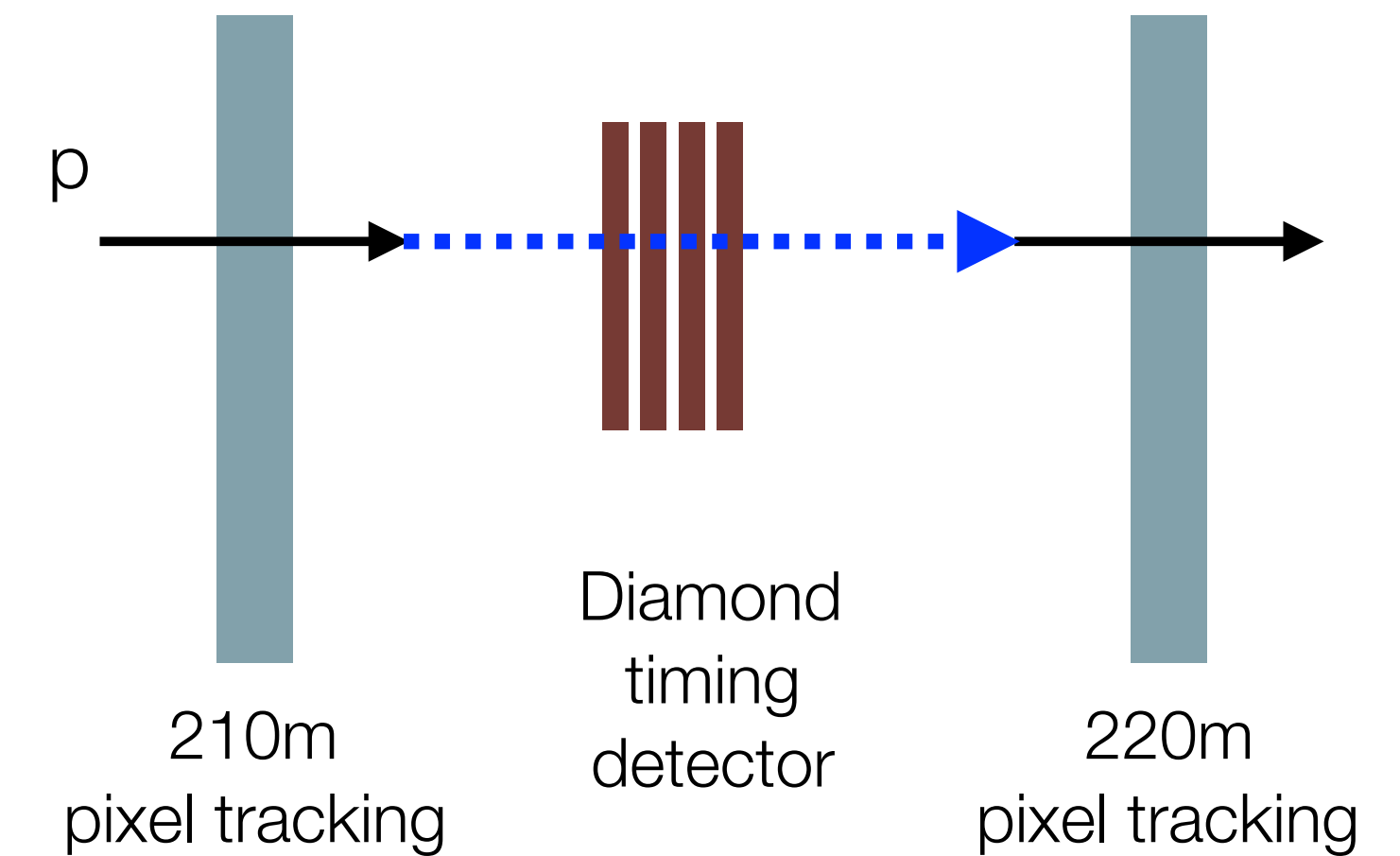
talk of A. Solano



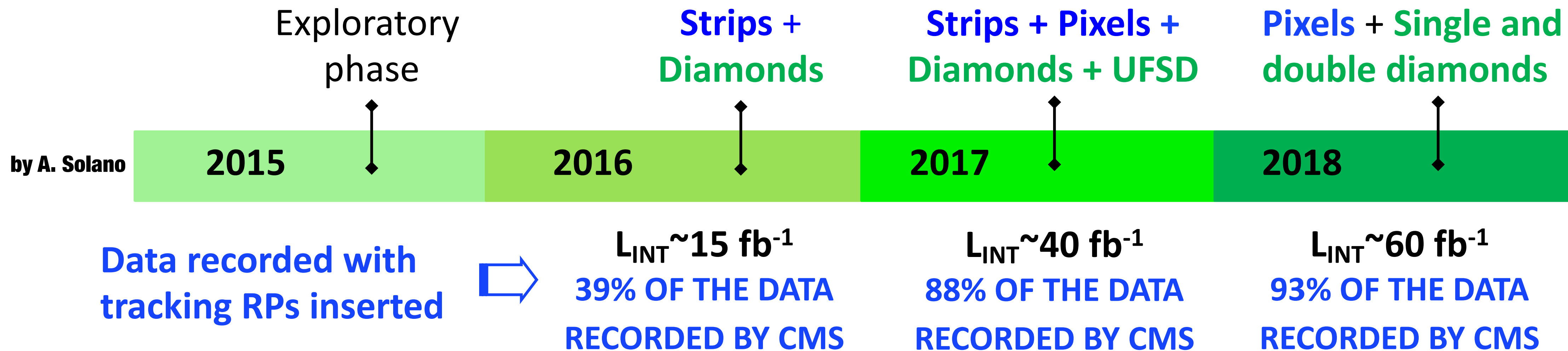
**PPS is planned to operate during the High-lumi LHC** [CMS-NOTE-2020-008 arXiv 2103.02752](https://arxiv.org/abs/2103.02752)

# PPS operation during Run2

- ▶ Designed to measure intact protons with a Physics program intended for **Central Exclusive Production (CEP)**
- ▶ Roman Pots house a set of sensors for measuring intact protons coming from the interaction point in CMS:



- ▶ **Tracking detectors:** used to determine the proton deviation from the beam in terms of  $\xi$  **resolution 10–30  $\mu\text{m}$**
- ▶ **Timing detectors:** measure the proton time-of-flight (ToF) and used for vertex matching **resolution 20–30 ps**



**PPS total integrated luminosity so far:  $\sim 115/\text{fb}$**

# (Semi)exclusive dilepton observation

JHEP 07 (2018) 153  
PPS-17-001

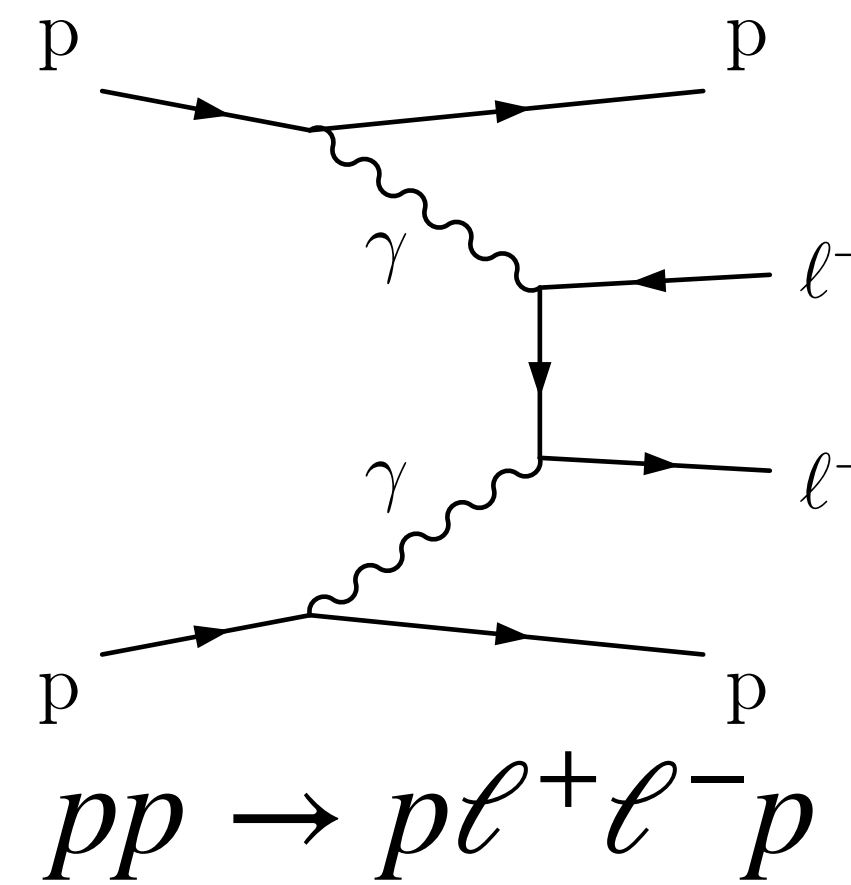
► 2016 data of PPS of **9.4/fb** (CMS 15.6/fb)

$$p_T^\ell > 50 \text{ GeV}$$

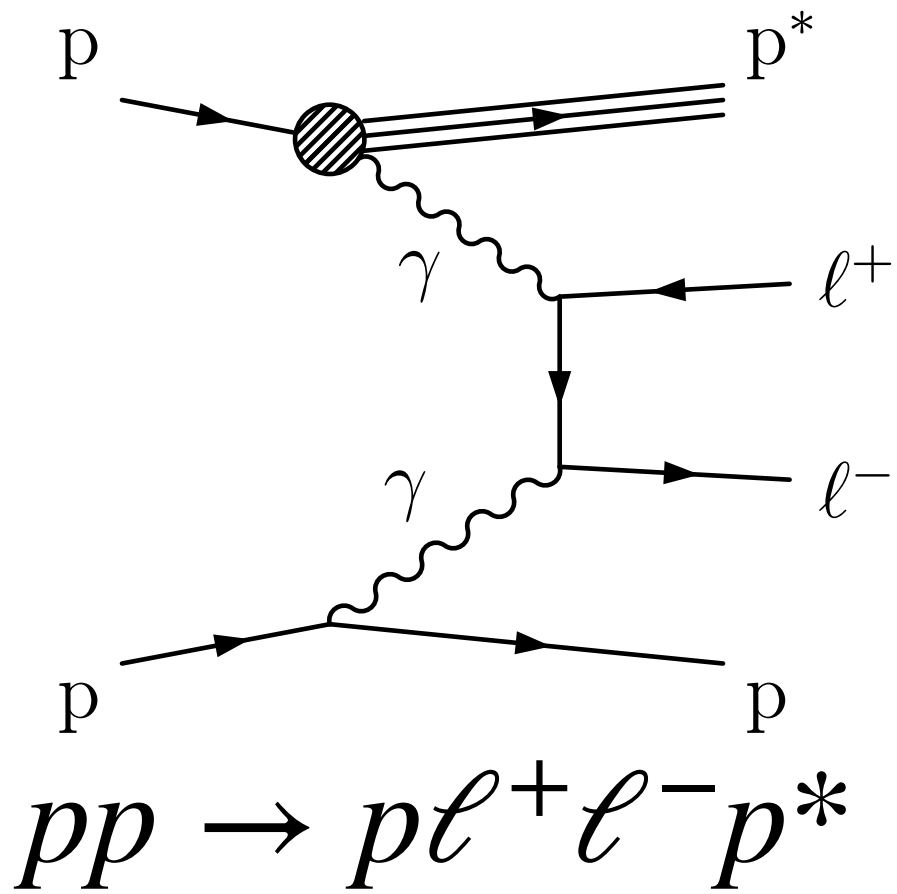
$$a = 1 - |\Delta\phi(\ell^+\ell^-)|/\pi$$

$$a(e^+e^-) < 0.006 \quad a(\mu^+\mu^-) < 0.009$$

$$m(\ell^+\ell^-) > 110 \text{ GeV}$$



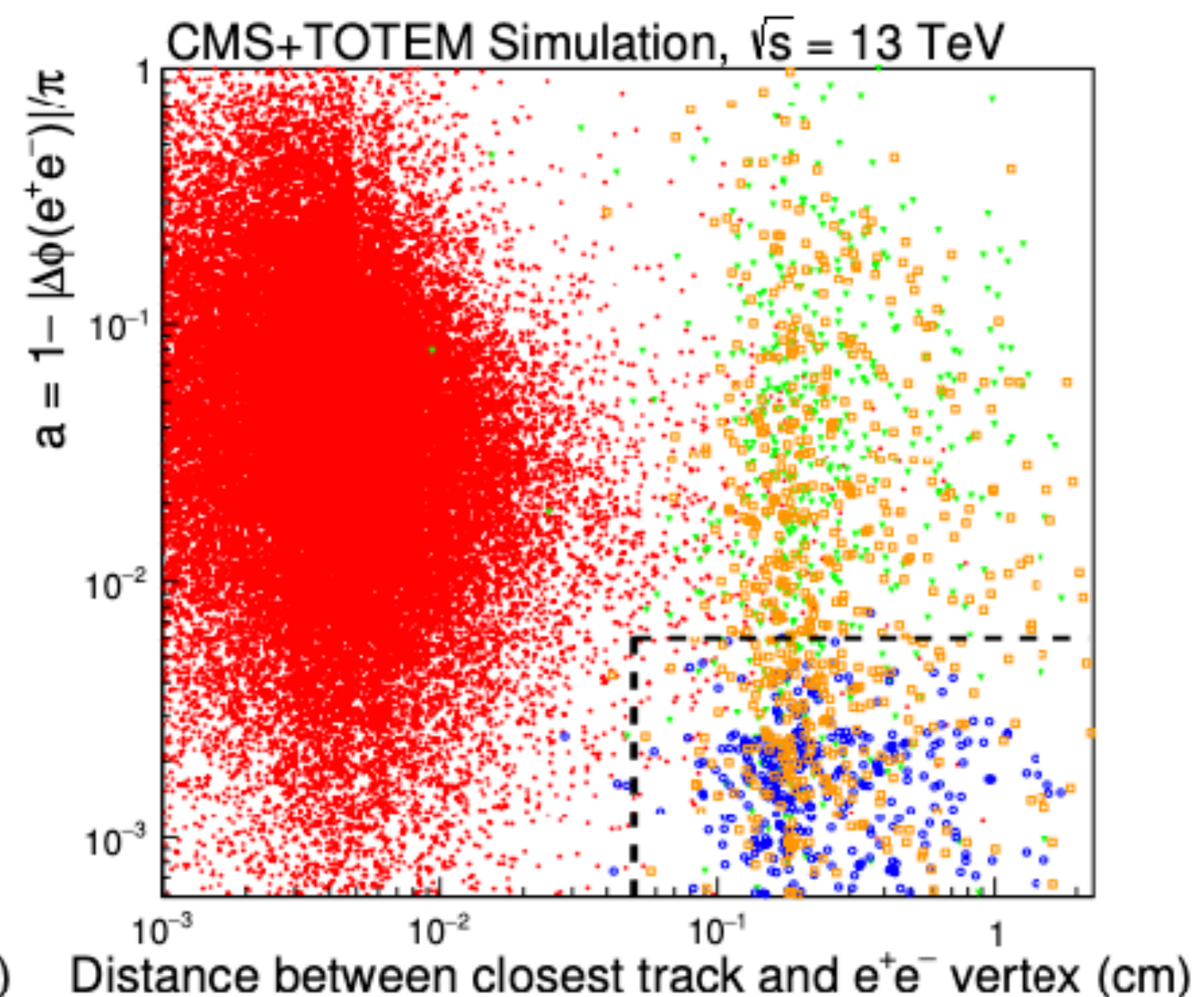
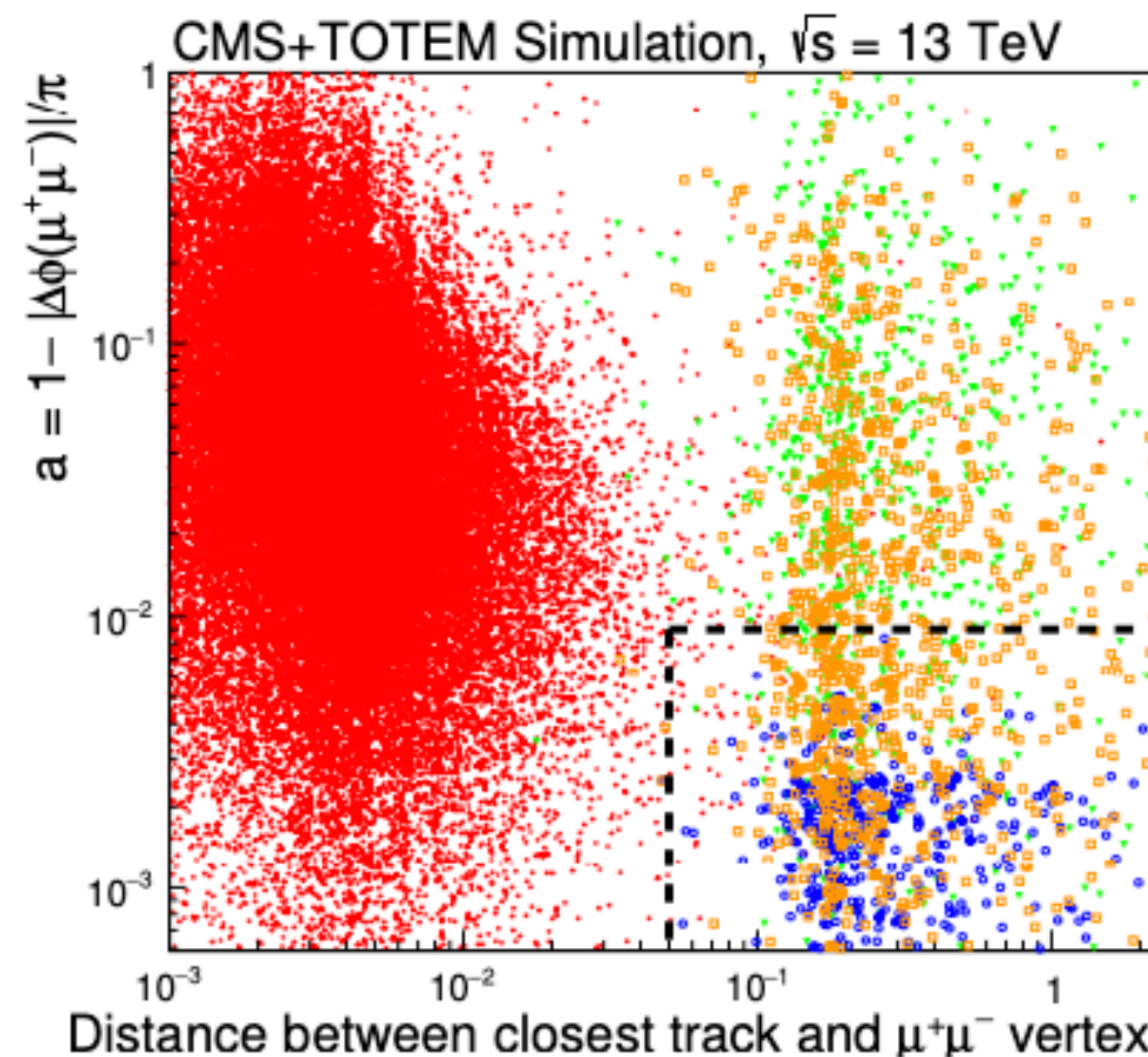
$$|\mathcal{S}|^2 = 0.89$$



$$|\mathcal{S}|^2 = 0.76$$

- $pp \rightarrow p\ell^+\ell^-p$
- ▼  $pp \rightarrow p\ell^+\ell^-p^*$
- $pp \rightarrow p^*\ell^+\ell^-p^*$
- Drell-Yan  $\ell^+\ell^-$

2016

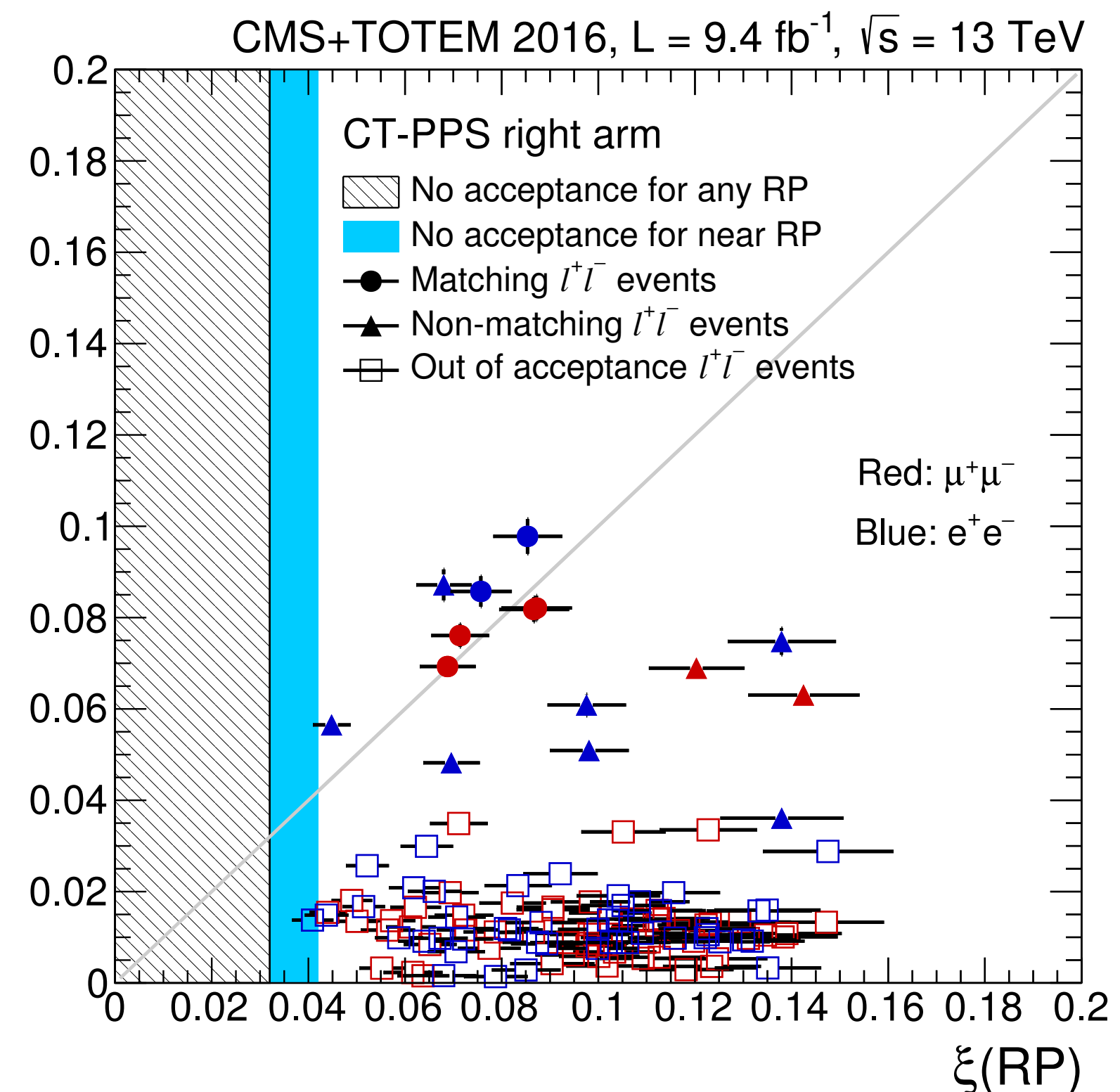
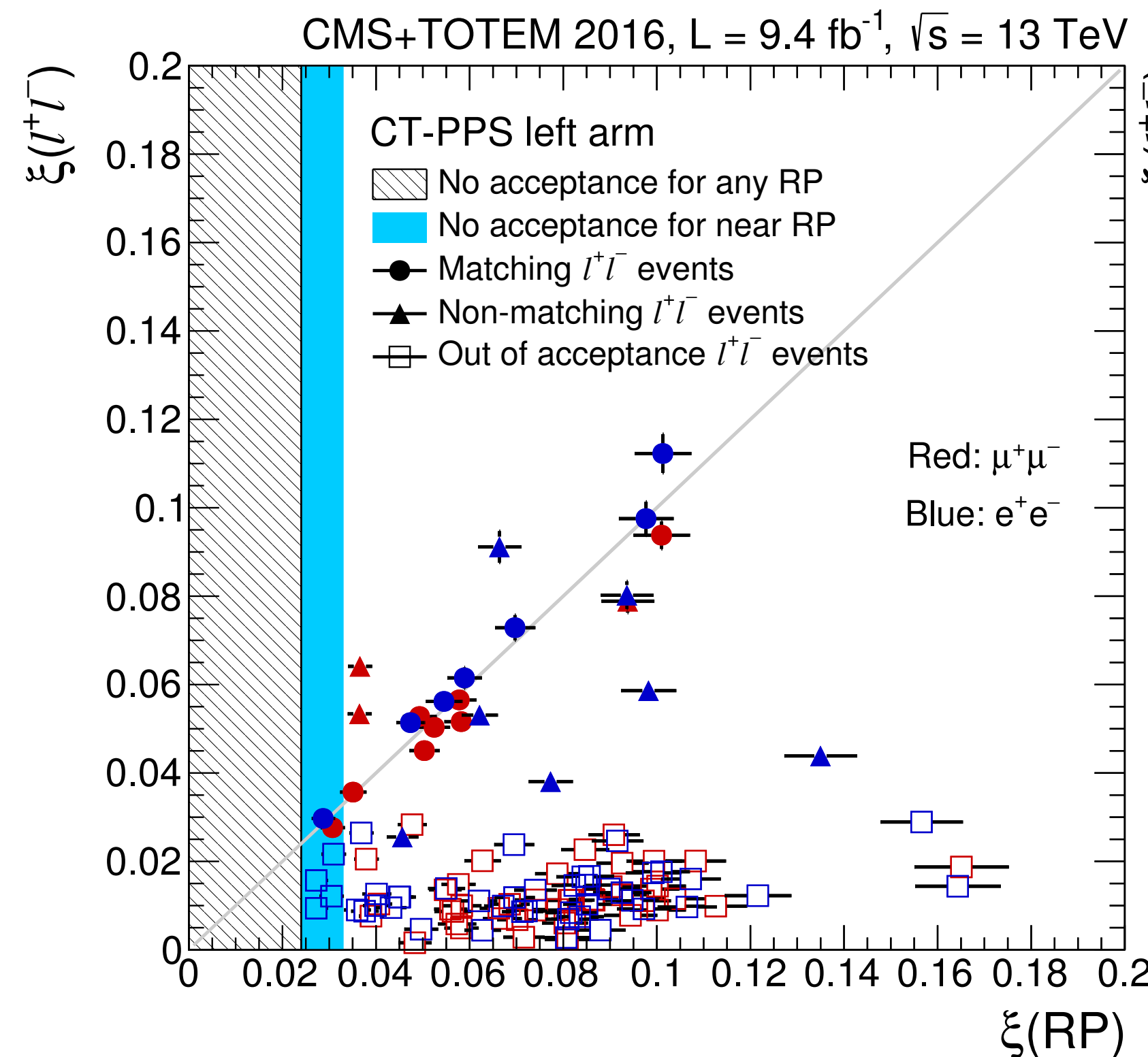
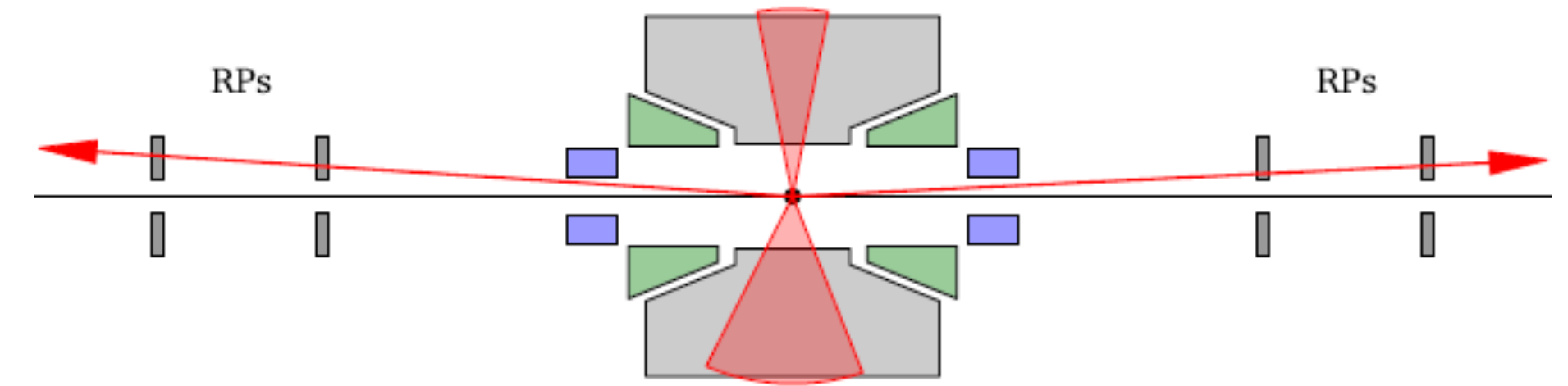


# Matching CMS and PPS

► Events with one proton observed in one of the PPS arms are related to the central system:

$$\xi(\ell^+\ell^-) = \frac{1}{\sqrt{s}} \left[ p_T(\ell^+) e^{\pm\eta(\xi^+)} + p_T(\ell^-) e^{\pm\eta(\xi^-)} \right]$$

expression ~holds  
for semi-elastic



2016

9.4/fb

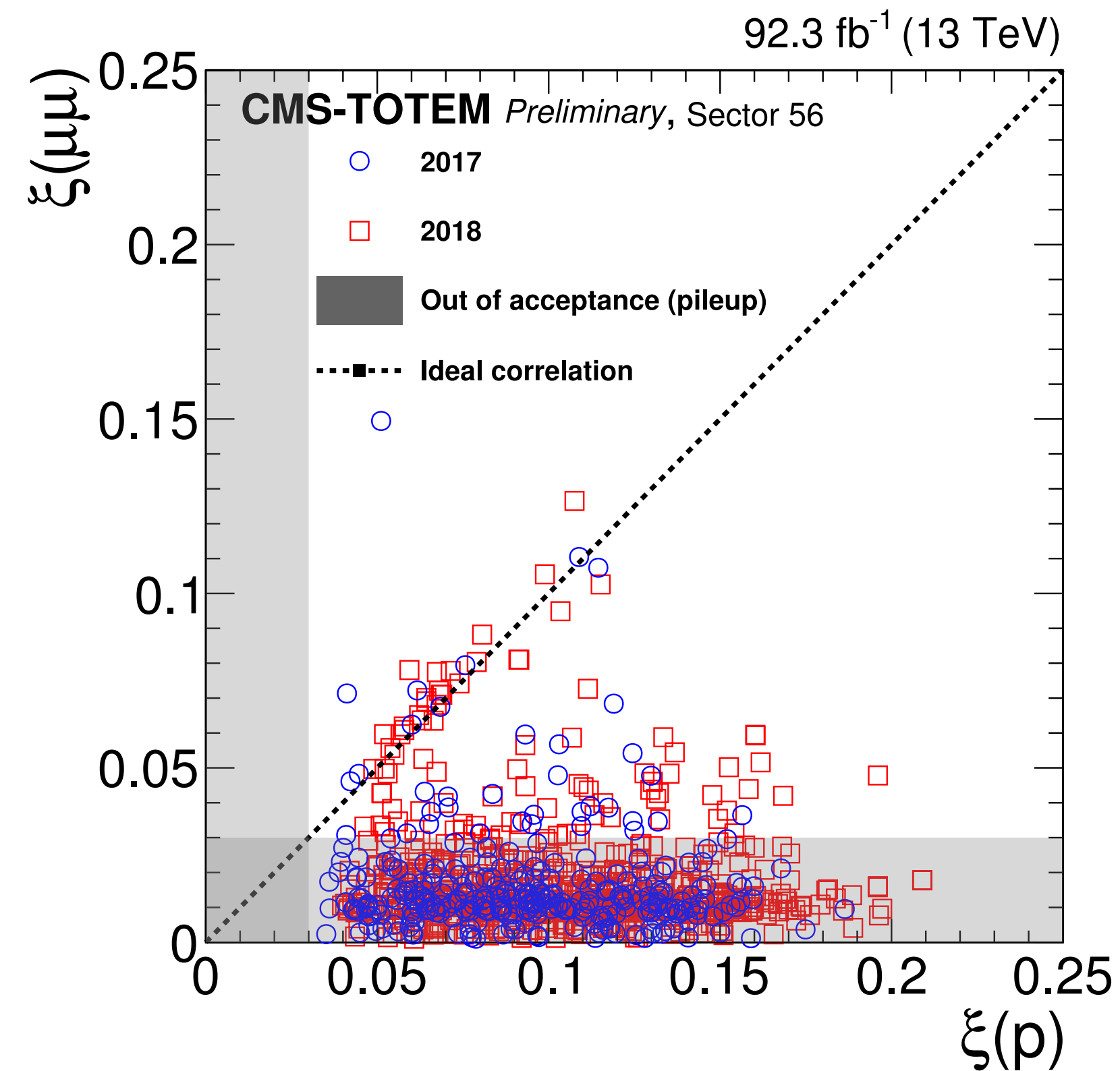
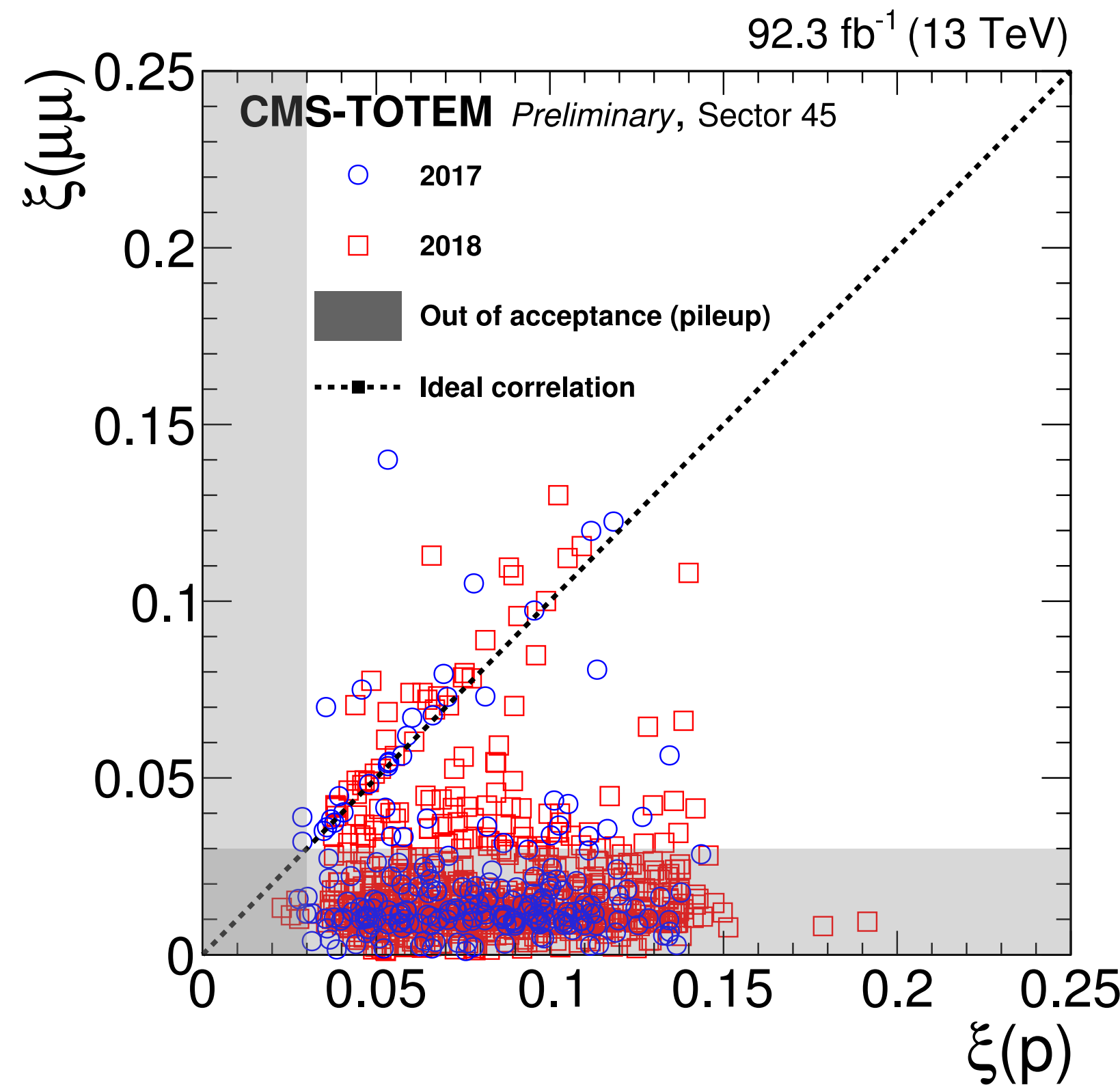
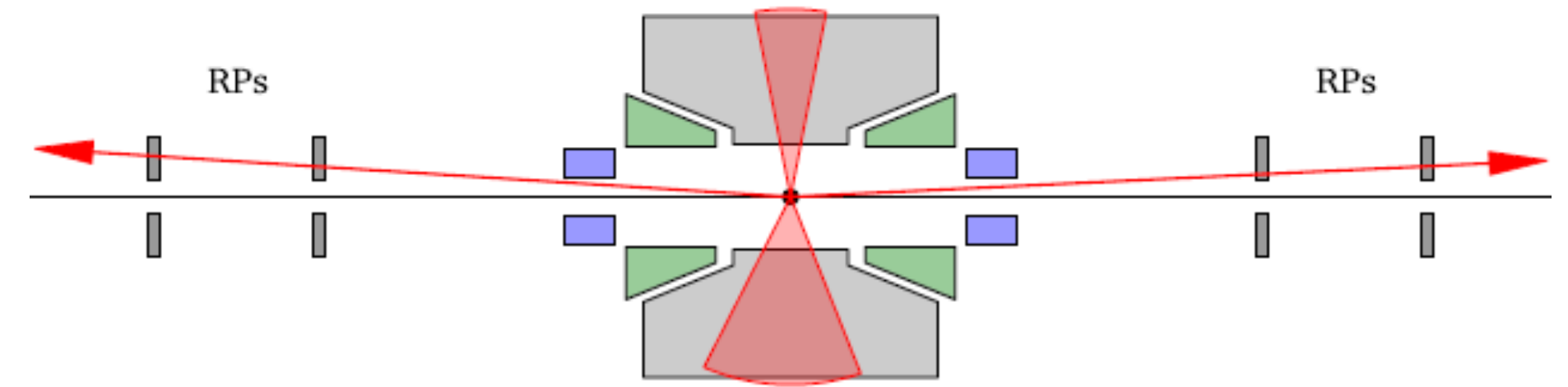


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2017  
2018

92.3/fb

# (Semi)exclusive dilepton observation

JHEP 07 (2018) 153  
PPS-17-001

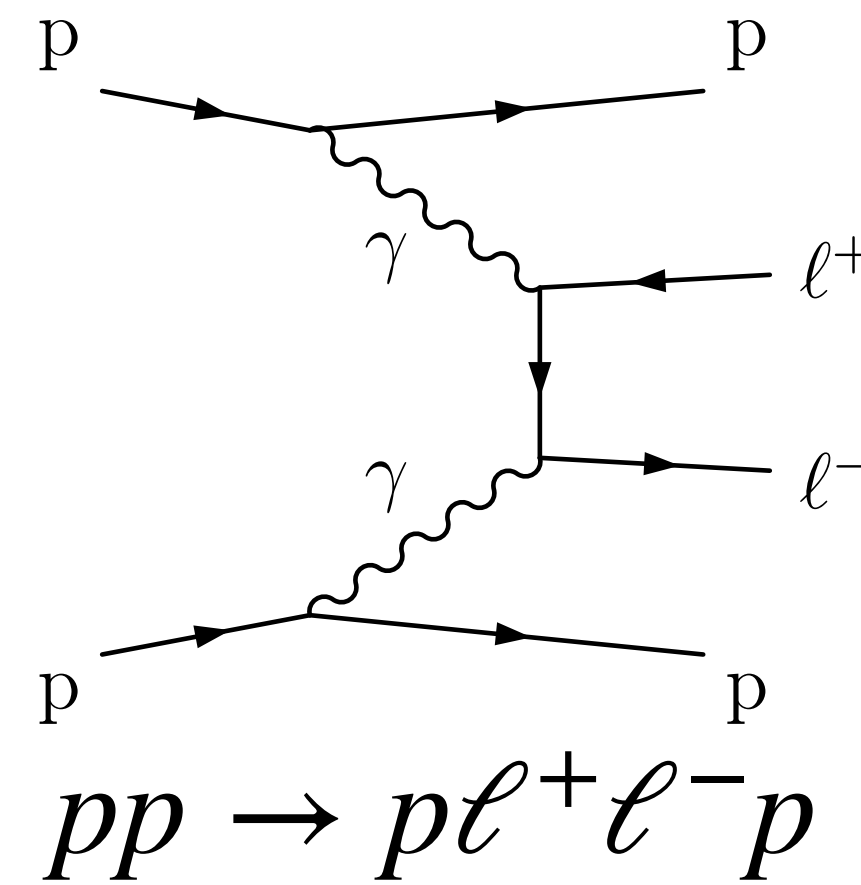
► Early **2016** data of PPS with **9.4/fb** (CMS 15.6/fb)

$$p_T^\ell > 50 \text{ GeV}$$

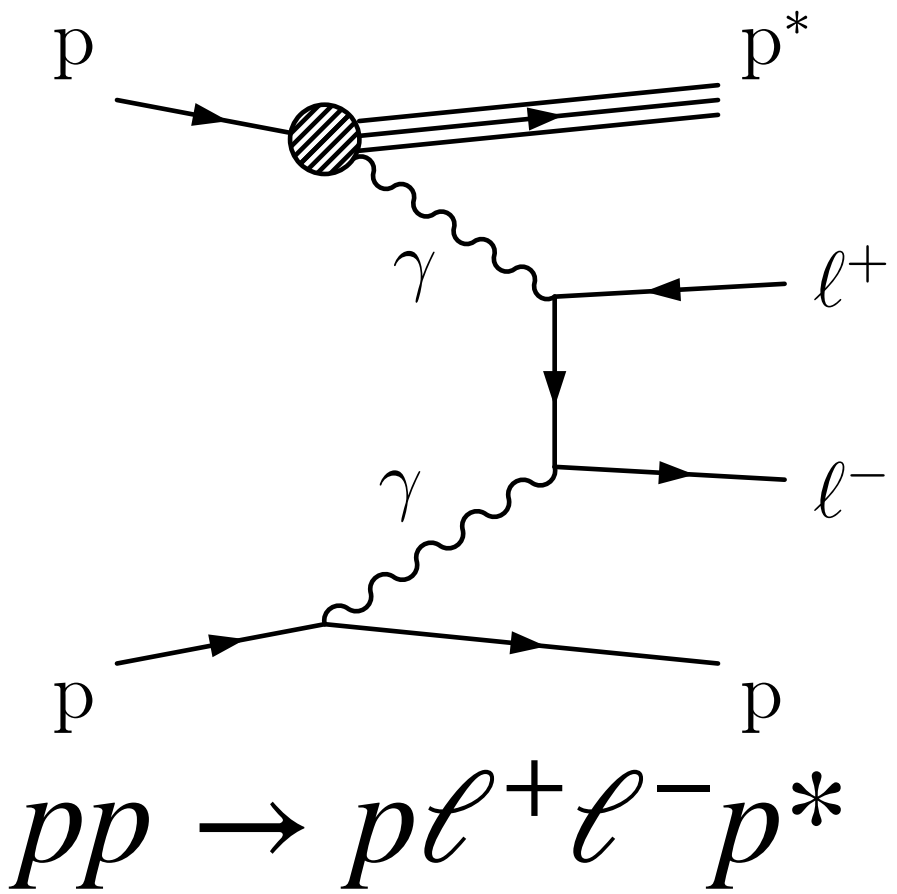
$$a = 1 - |\Delta\phi(\ell^+\ell^-)|/\pi$$

$$a(e^+e^-) < 0.006 \quad a(\mu^+\mu^-) < 0.009$$

$$m(\ell^+\ell^-) > 110 \text{ GeV}$$

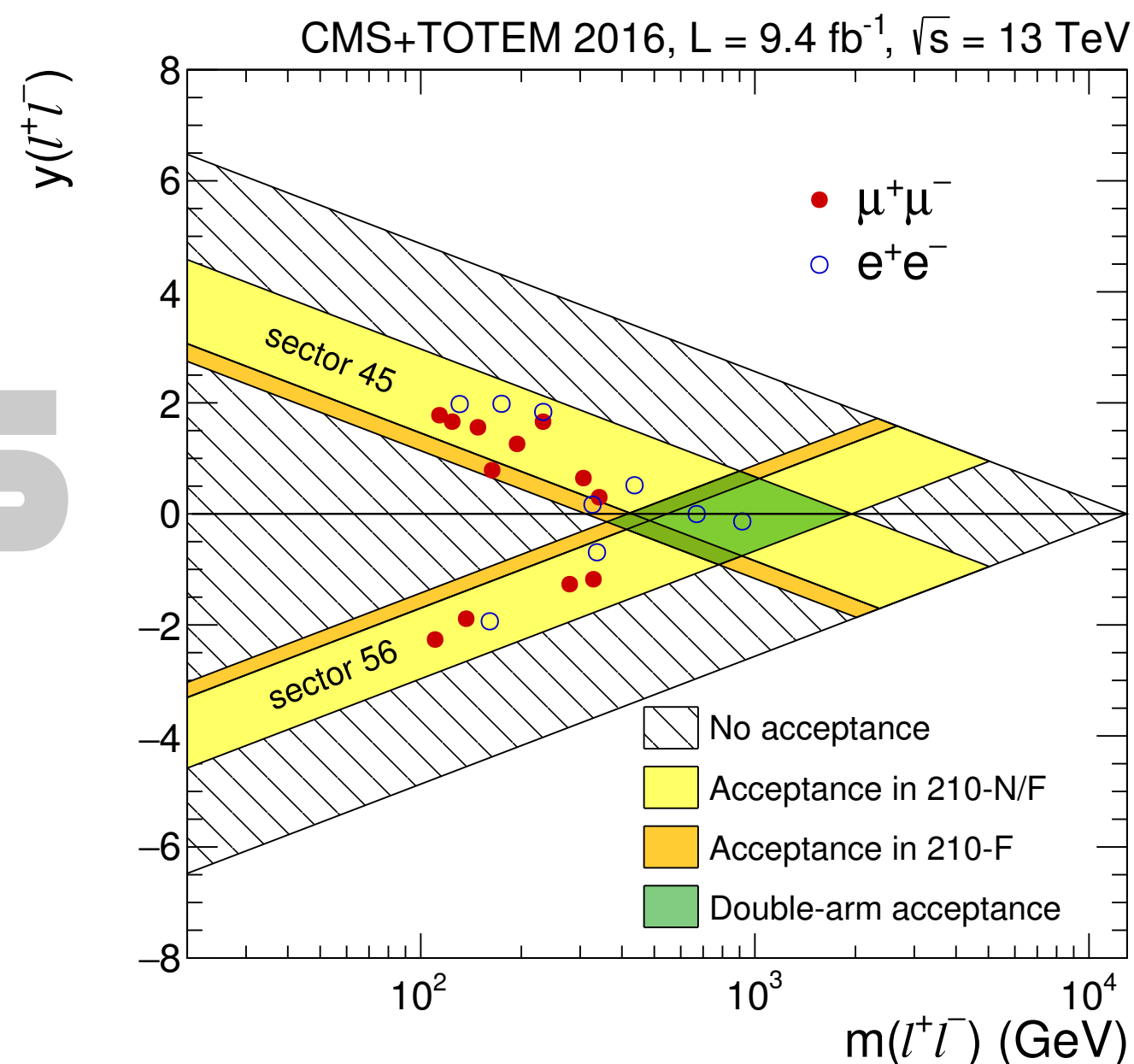


$$|\mathcal{S}|^2 = 0.89$$



$$|\mathcal{S}|^2 = 0.76$$

2016



► Overall selection with **one** proton reconstructed in either arm of PPS above 110 GeV:

12 events  $\gamma\gamma \rightarrow \mu^+\mu^-$     8 events  $\gamma\gamma \rightarrow e^+e^-$

**13 events with a track in both near/far RPs**

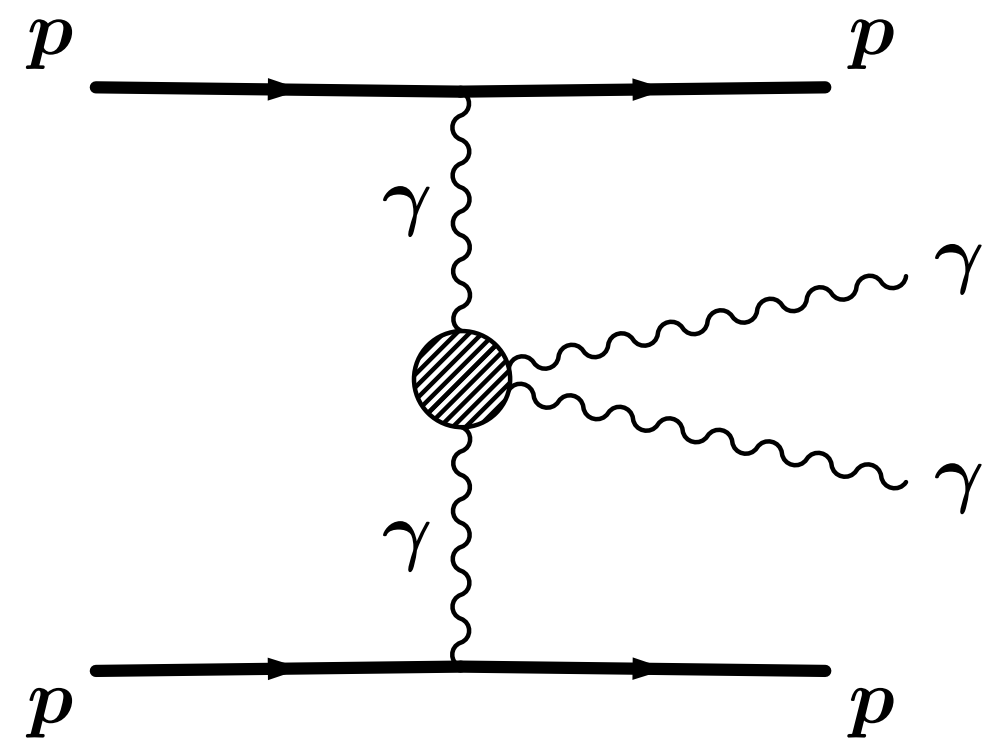
**two independent  $\xi$  measurements agree within 4%**

# Exclusive diphoton production

EXO-18-014 (Submitted to PRL)  
arXiv:2110.05916



► PPS **2016** data with **9.4/fb** (CMS 15.6/fb)



$$p_T^\gamma > 75 \text{ GeV}$$

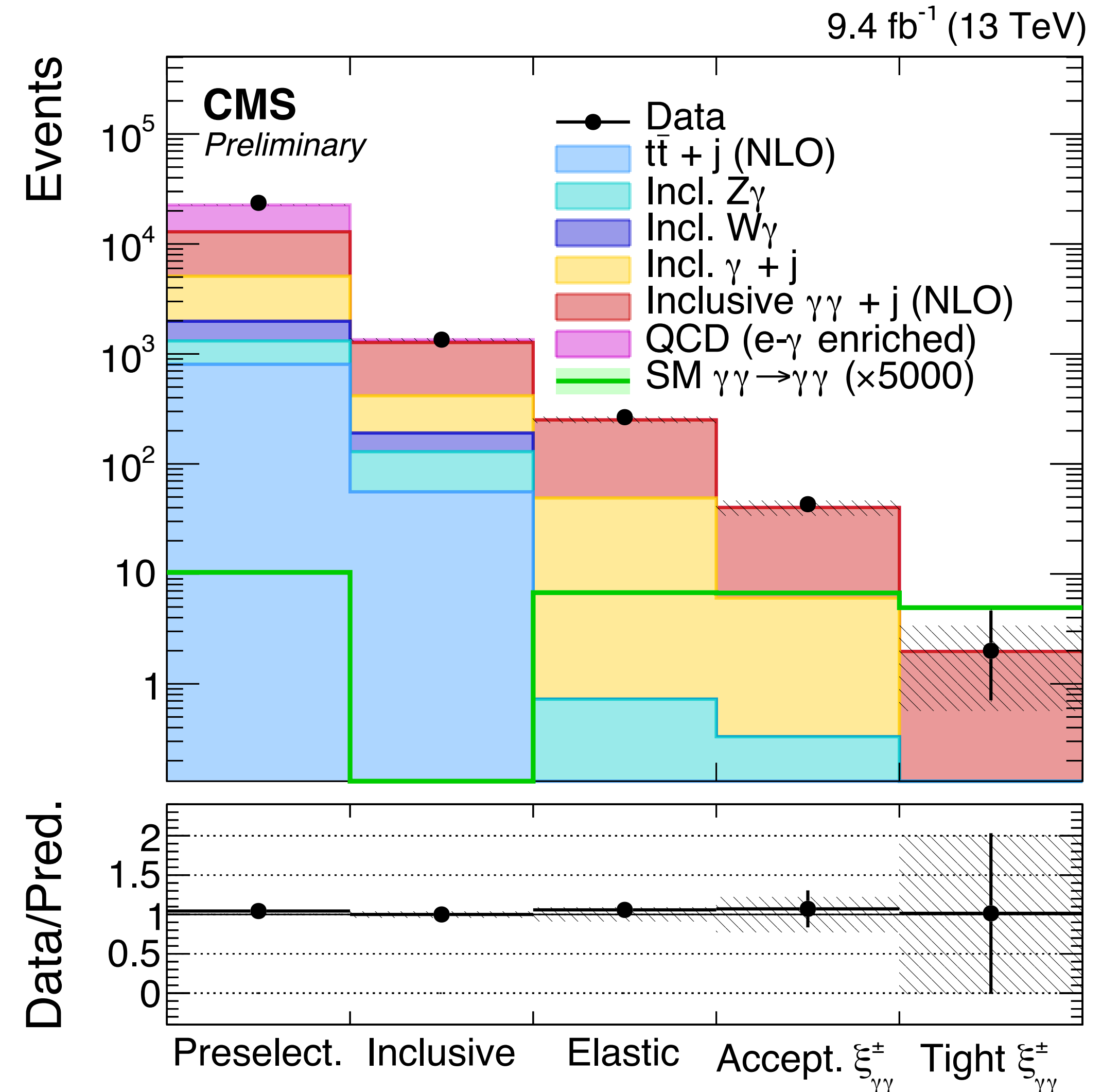
$$a(\gamma\gamma) < 0.005$$

$$m(\gamma\gamma) > 350 \text{ GeV}$$

$$\xi_{\gamma\gamma}^\pm = \frac{1}{\sqrt{s}} \left( p_T^{\gamma_1} e^{\pm\eta^{\gamma_1}} + p_T^{\gamma_2} e^{\pm\eta^{\gamma_2}} \right)$$

$$m_{pp} = \sqrt{\xi^+ \xi^- s} \quad y_{pp} = \frac{1}{2} \log \left( \frac{\xi^+}{\xi^-} \right)$$

► A **tighter**  $\xi$  proton selection is applied for a reconstruction efficiency above 90%



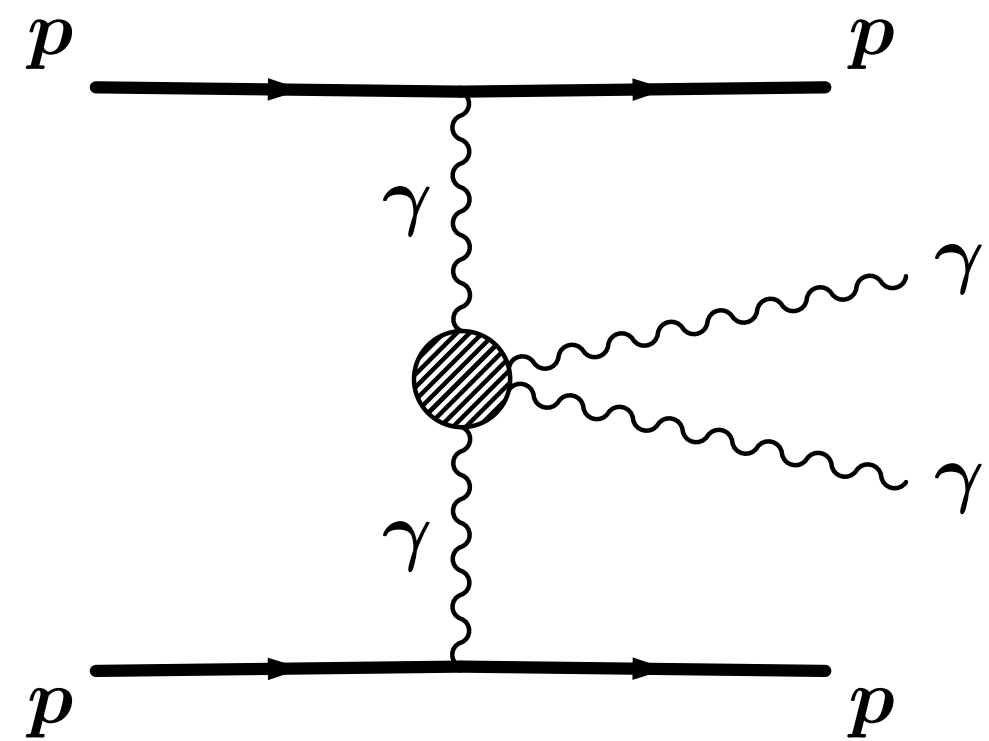
**>90% eff**

# Exclusive diphoton production

EXO-18-014 (Submitted to PRL)  
arXiv:2110.05916



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$$p_T^\gamma > 75 \text{ GeV}$$

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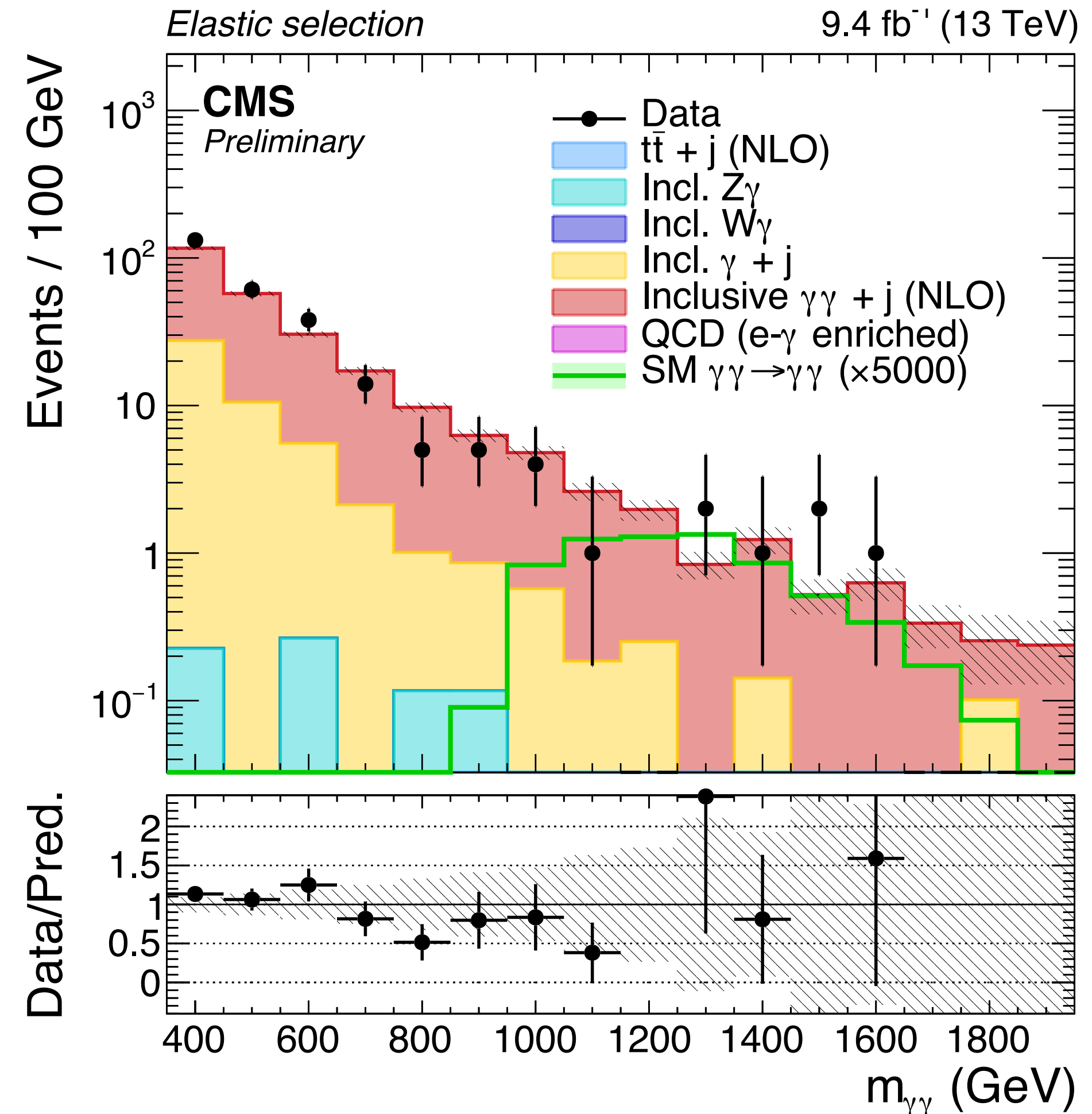
$$m(\gamma\gamma) > 350 \text{ GeV}$$

$$\xi_{\gamma\gamma}^\pm = \frac{1}{\sqrt{s}} \left( p_T^{\gamma_1} e^{\pm\eta^{\gamma_1}} + p_T^{\gamma_2} e^{\pm\eta^{\gamma_2}} \right)$$

$$m_{pp} = \sqrt{\xi^+ \xi^- s} \quad y_{pp} = \frac{1}{2} \log \left( \frac{\xi^+}{\xi^-} \right)$$

**requirement  
of 2 protons**

$$m_{pp} = m_{\gamma\gamma} \quad y_{pp} = y_{\gamma\gamma}$$



**no events observed with forward protons**

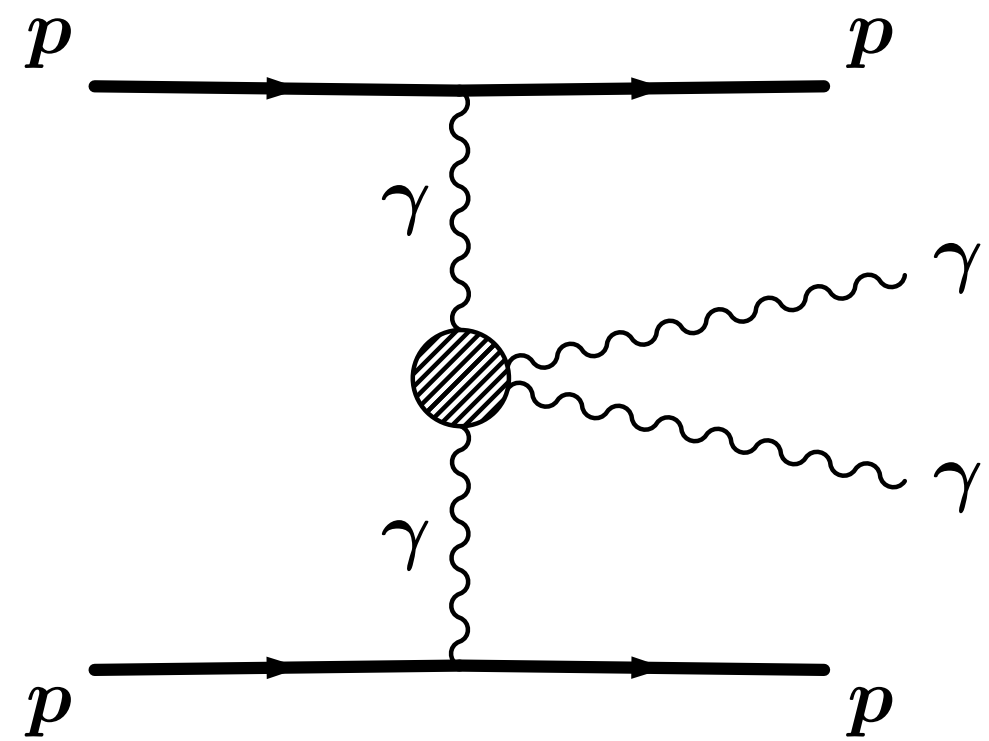
$$\sigma_{\text{SM}}(pp \rightarrow p\gamma\gamma p) < 4.4 \text{ fb}$$

# Exclusive diphoton production

EXO-18-014 (Submitted to PRL)  
arXiv:2110.05916



- PPS **2016** data with **9.4/fb** (CMS 15.6/fb)



$$p_T^\gamma > 75 \text{ GeV}$$

$$a(\gamma\gamma) < 0.005$$

$$m(\gamma\gamma) > 350 \text{ GeV}$$

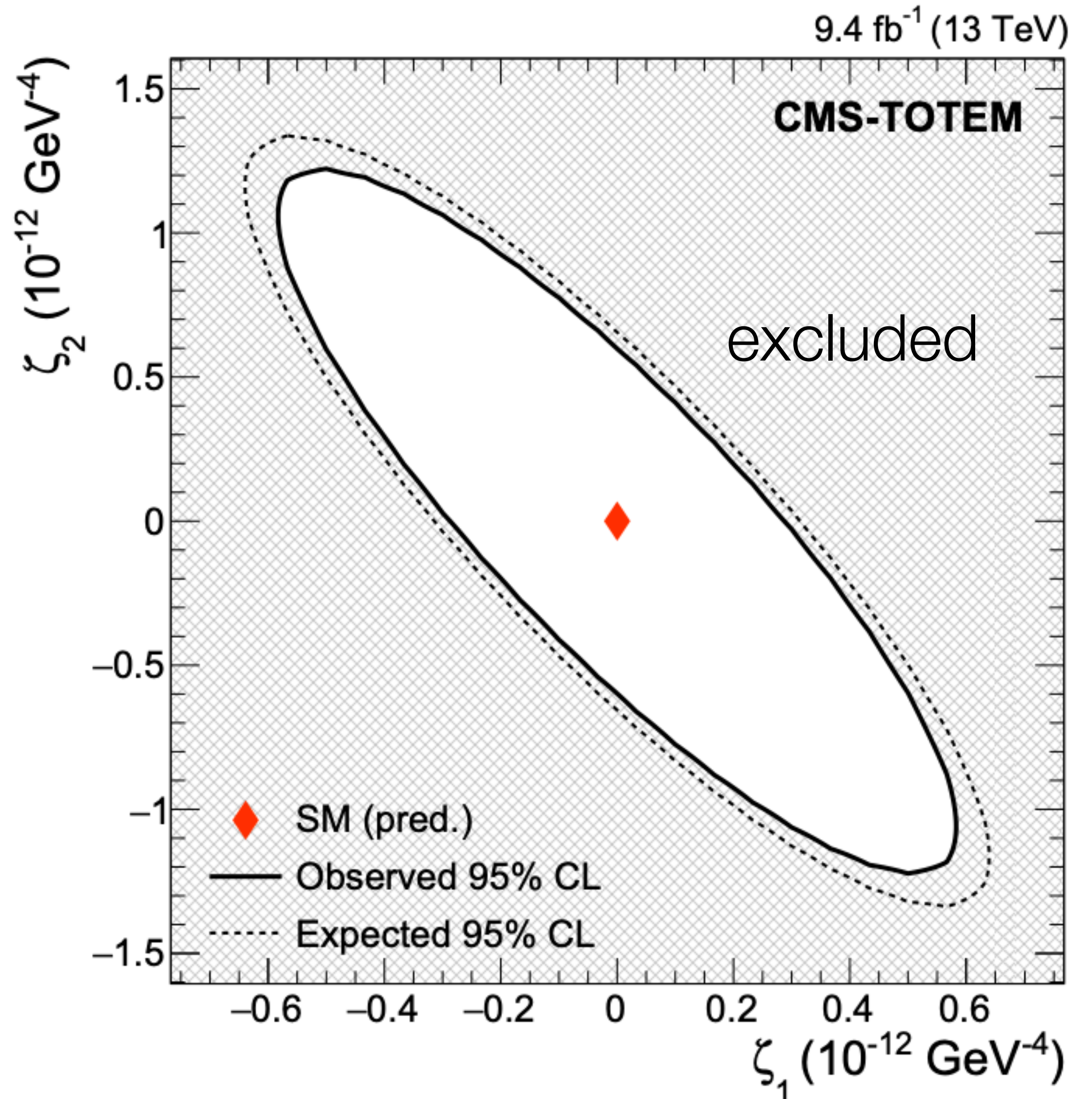
- Anomalous  $\gamma\gamma\gamma\gamma$  gauge coupling:

$$\mathcal{L}_8^{\gamma\gamma\gamma\gamma} = \zeta_1 F_{\mu\nu} F^{\mu\nu} F_{\rho\sigma} F^{\rho\sigma} + \zeta_2 F_{\mu\nu} F^{\mu\rho} F_{\rho\sigma} F^{\sigma\nu}$$

- **First** limits on **dimension-8**  $\gamma\gamma\gamma\gamma$  couplings:

$$|\zeta_1| < 2.88 \times 10^{-13} \text{ GeV}^{-4} (\zeta_2 = 0)$$

$$|\zeta_2| < 6.02 \times 10^{-13} \text{ GeV}^{-4} (\zeta_1 = 0)$$



# Summary

- ▶ The CMS and TOTEM Collaborations are performing **precise measurements** of QCD over the last years
  - ▶ Kinematical region to explore extensions of the SM for evidences of **New Physics**
- ▶ The Jet-Gap-Jet results provide new insight on the **BFKL dynamics** by extending the kinematical region probed at high-energies
  - ▶ **First time** jet-gap-jet events with proton tag are observed
- ▶ PPS has completed a full Run 2 data-taking period with the **first observation** of exclusive dilepton production with proton tagging
- ▶ PPS data has opened the searches at the **high-mass** region for exclusive processes
  - ▶ First search for **exclusive diphoton production** at high masses and investigation of evidences of New Physics

**MORE RESULTS COMING SOON**

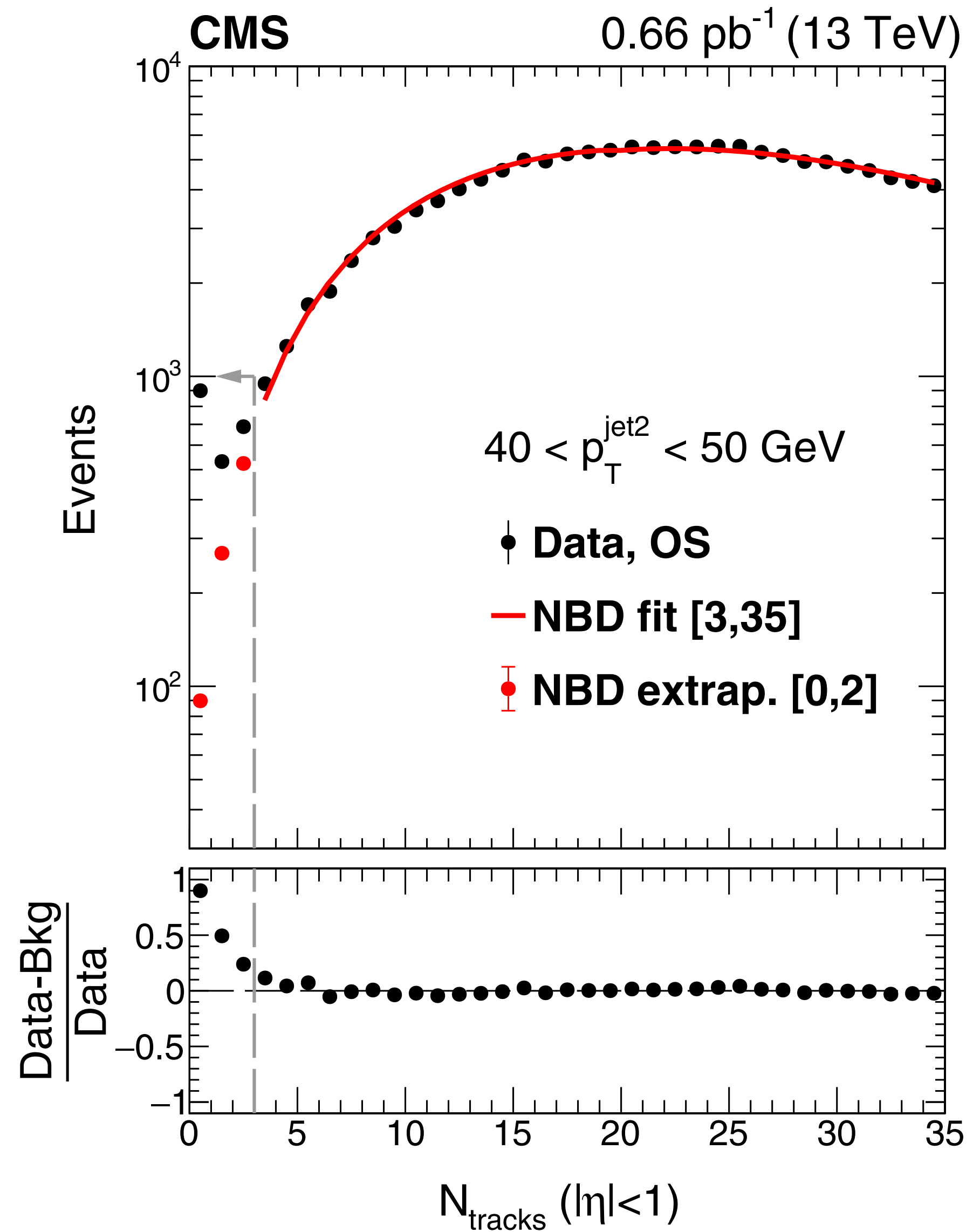
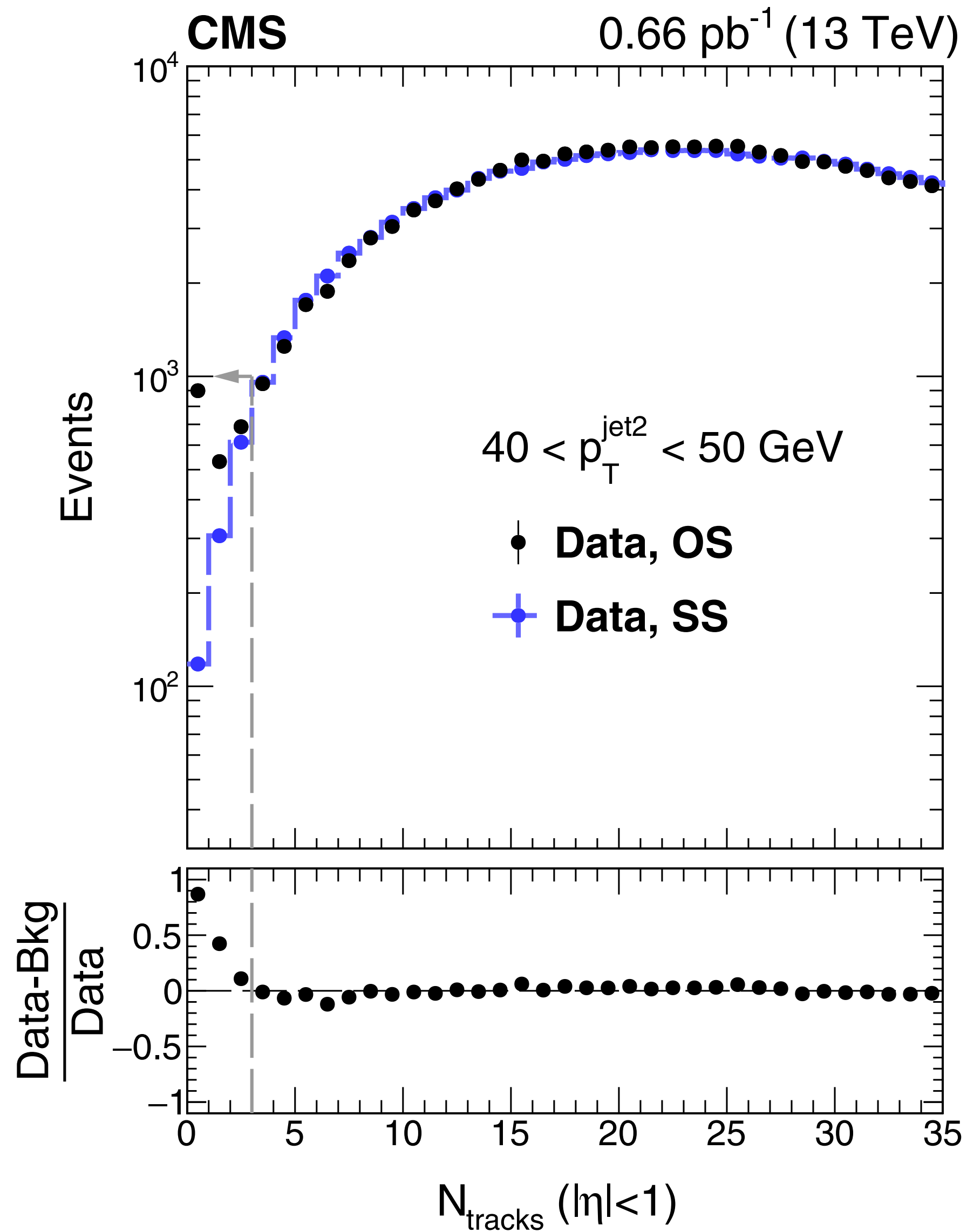


# BACKUP



# Color-exchange background estimation

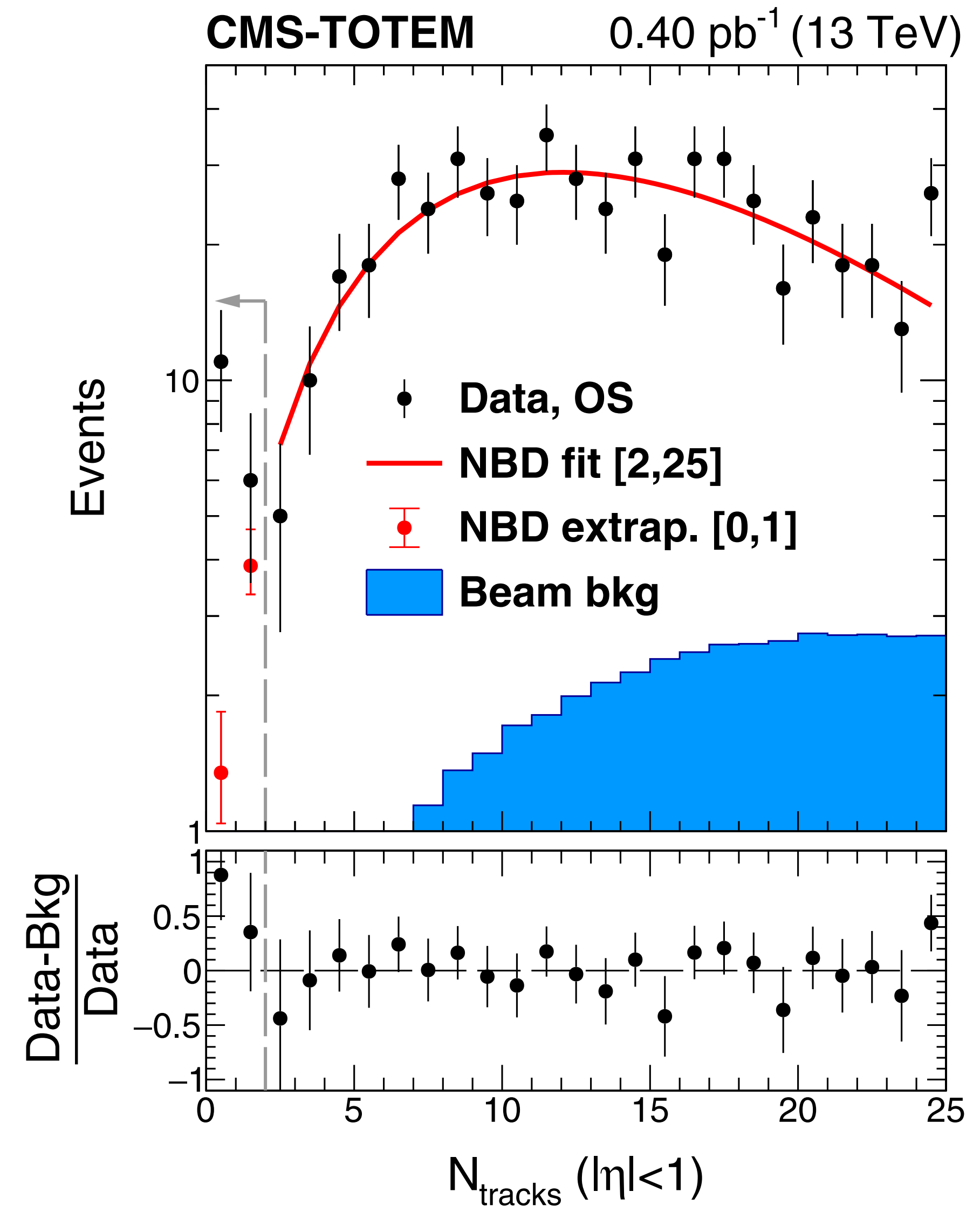
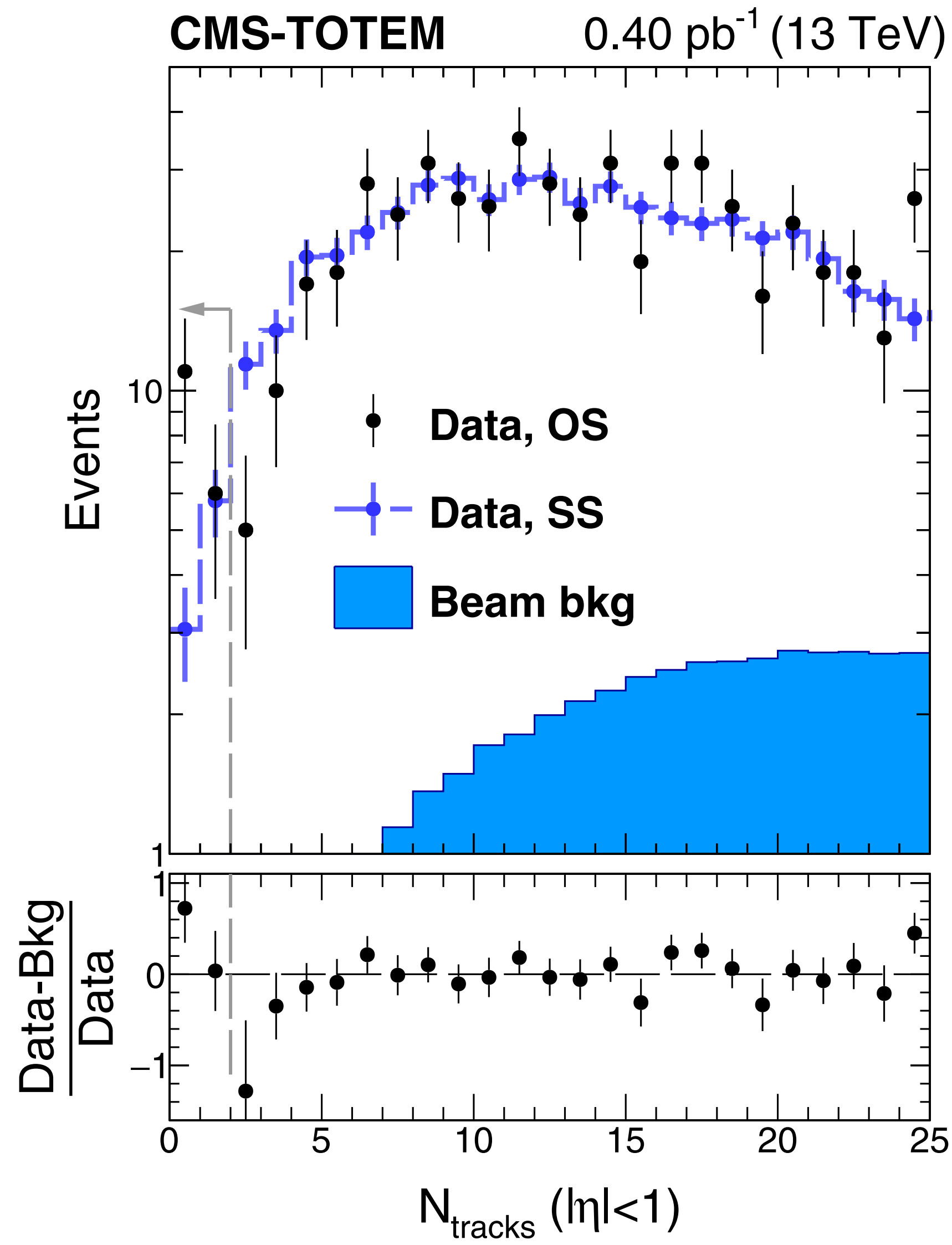
no proton tagging



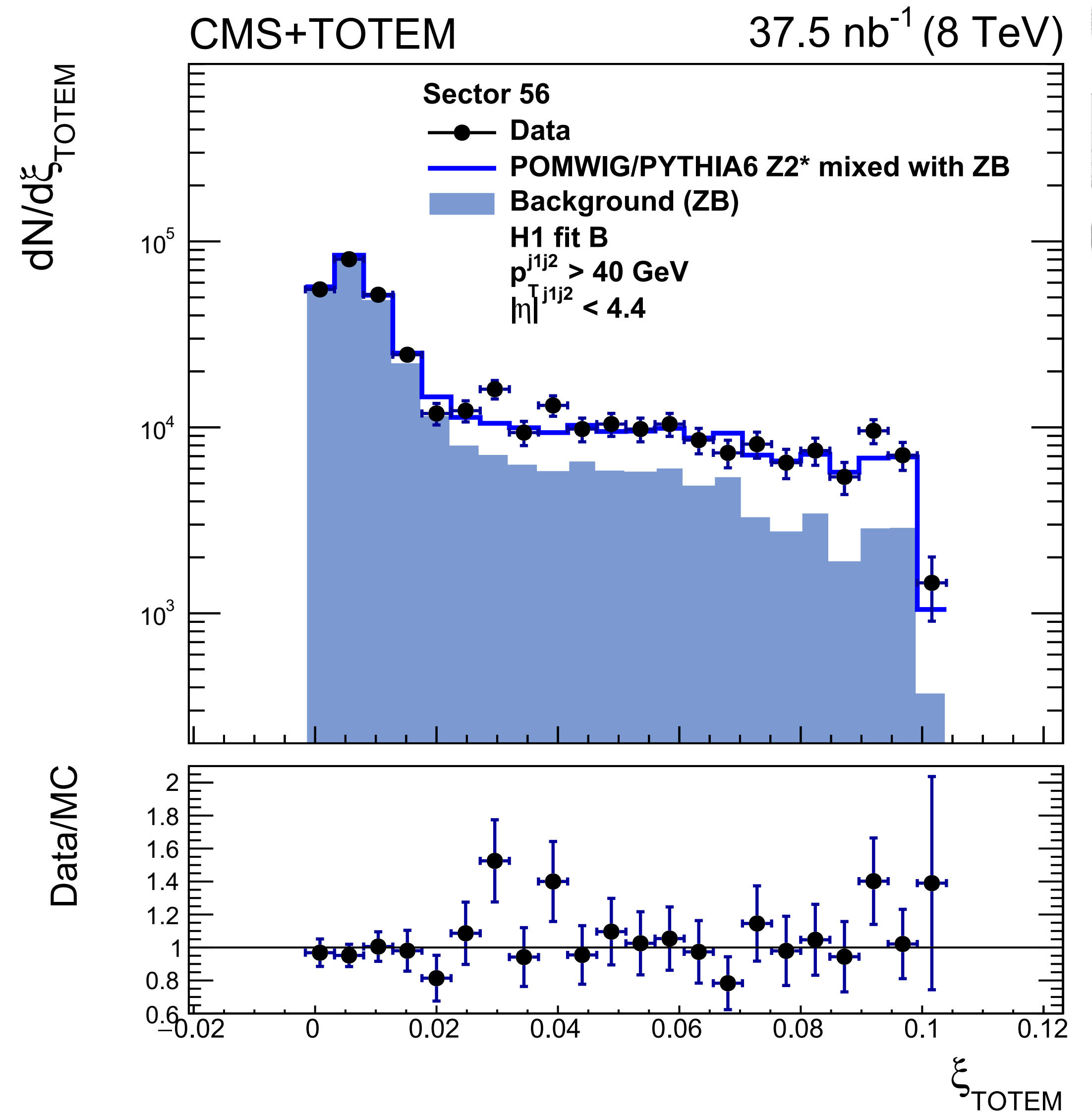
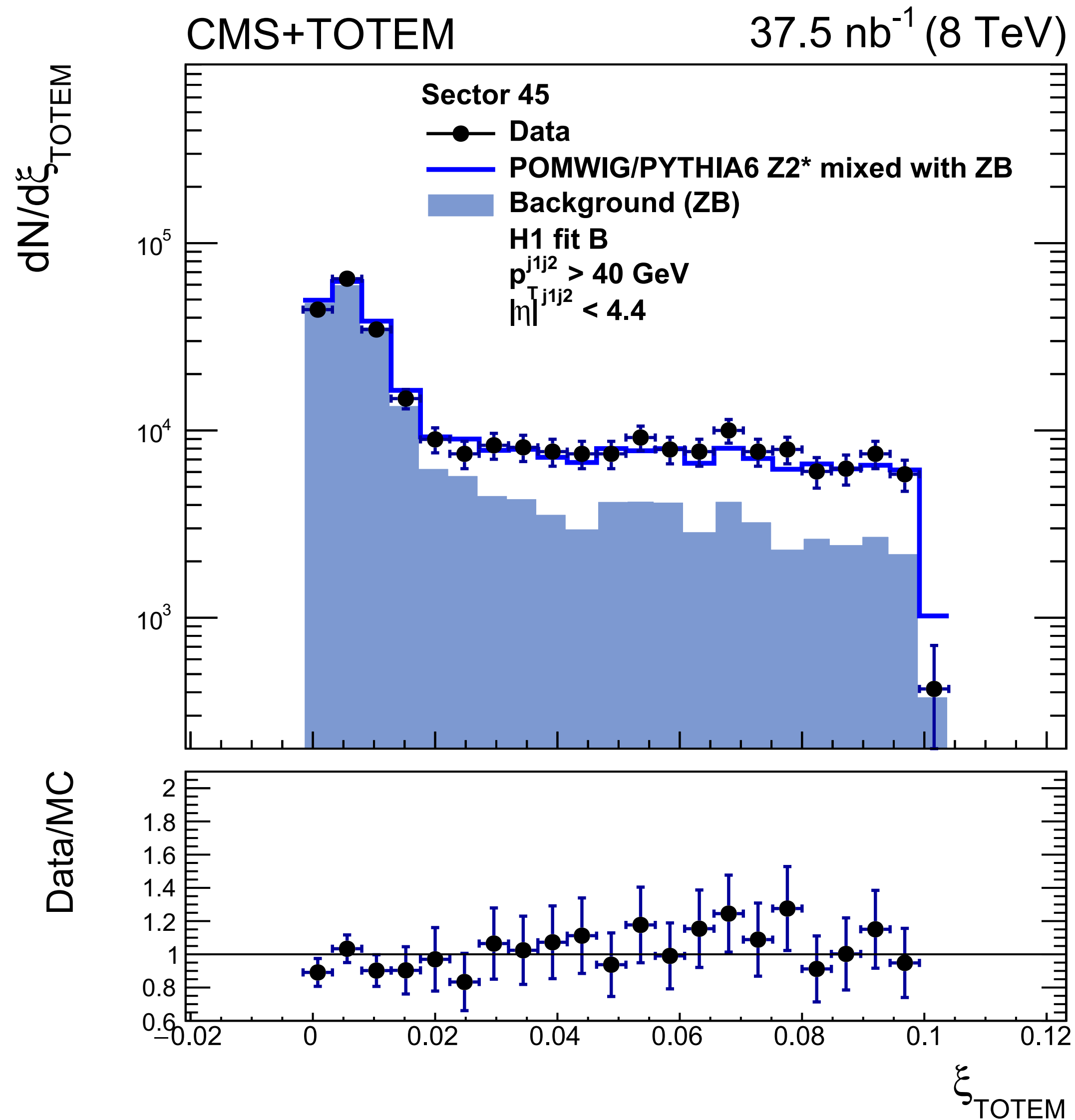


# Color-exchange background estimation

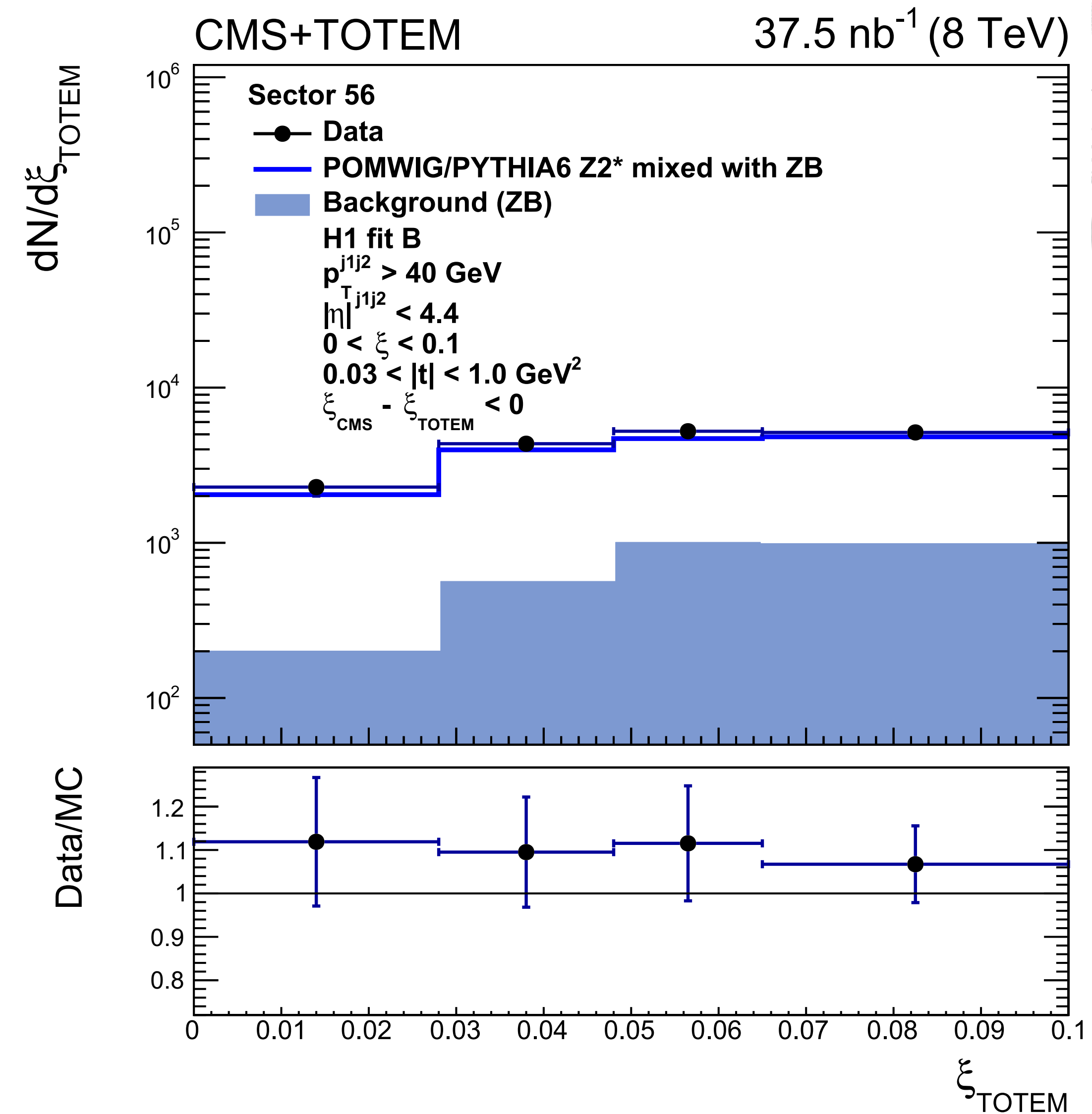
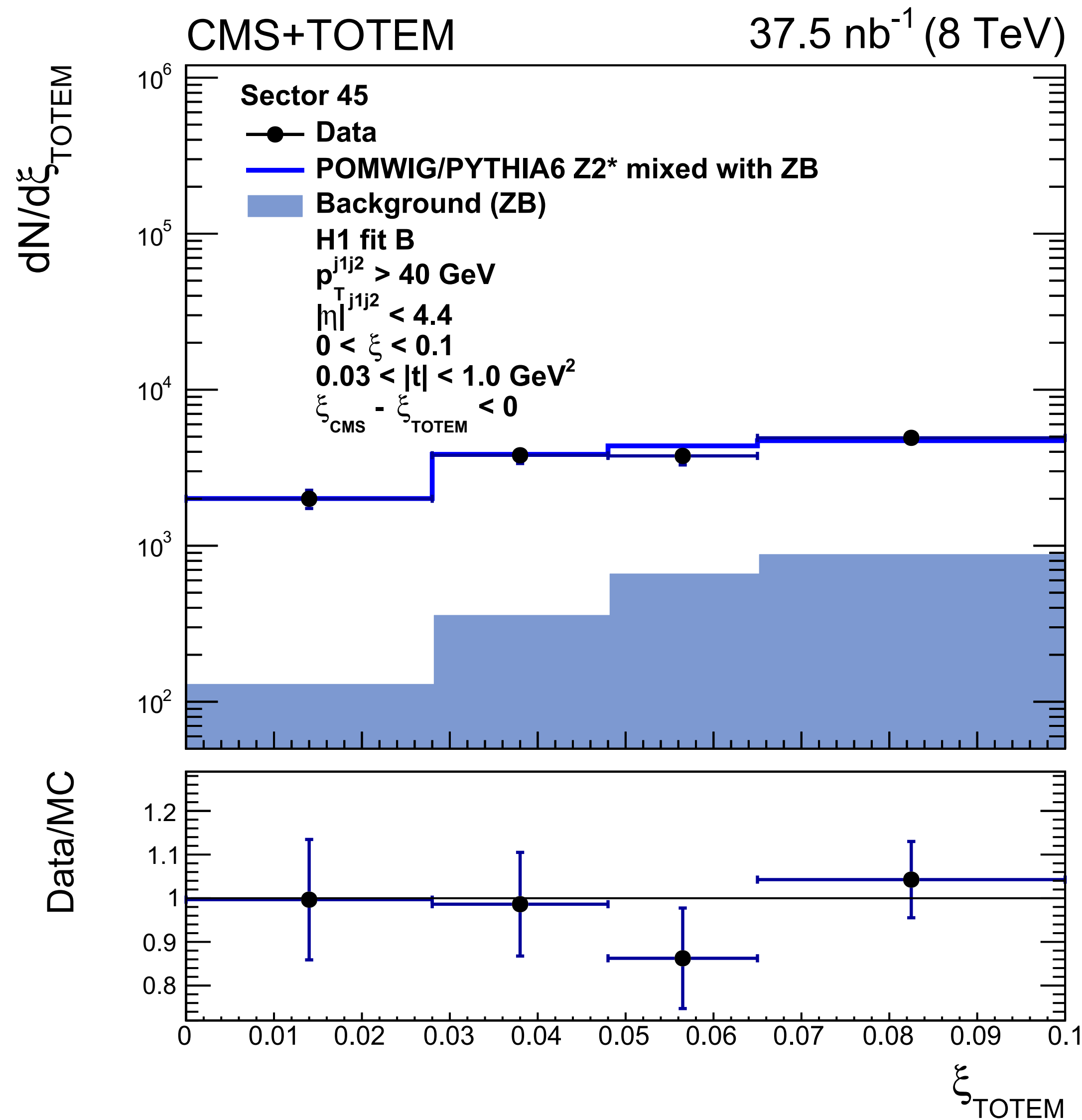
with proton tagging



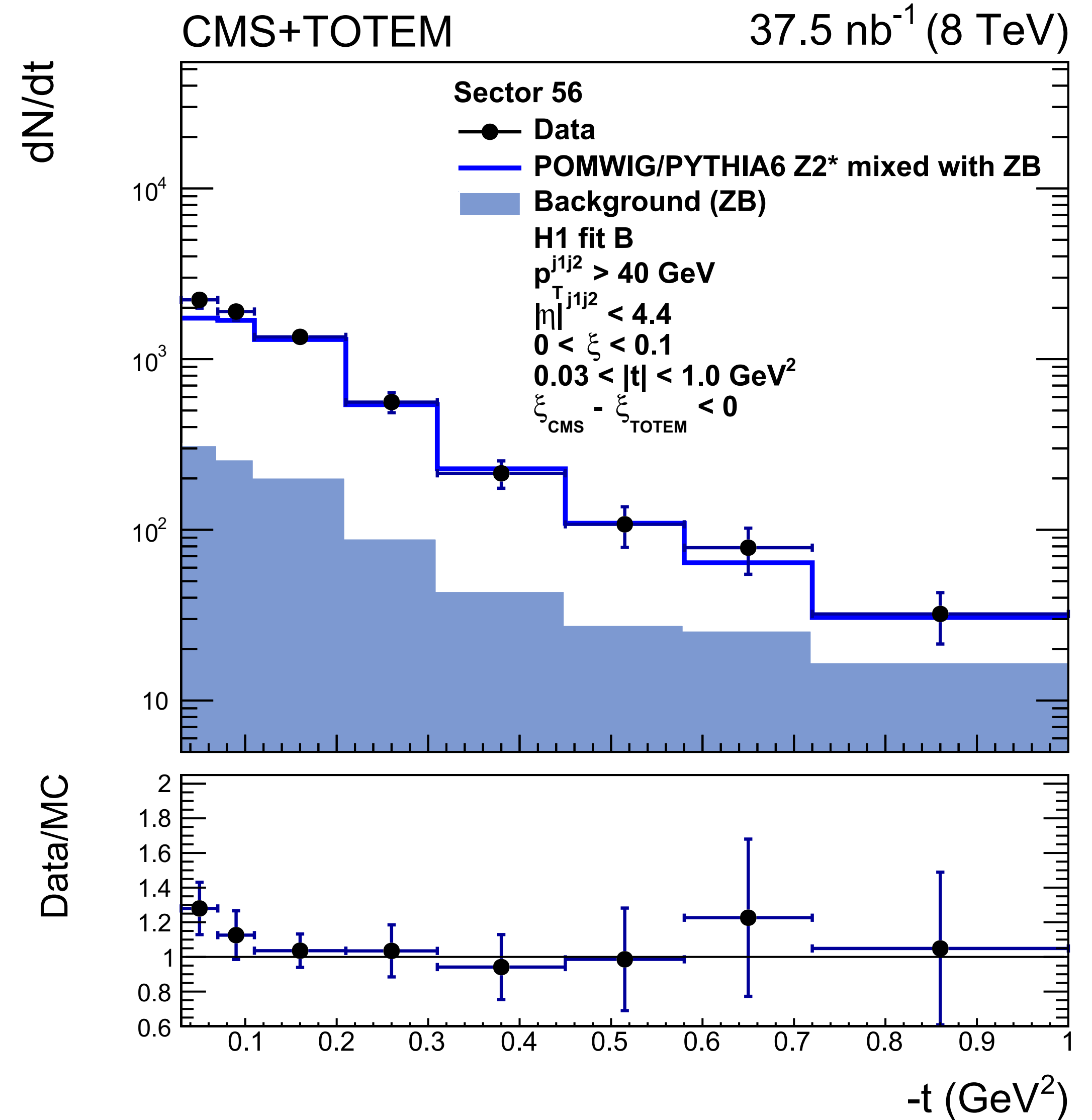
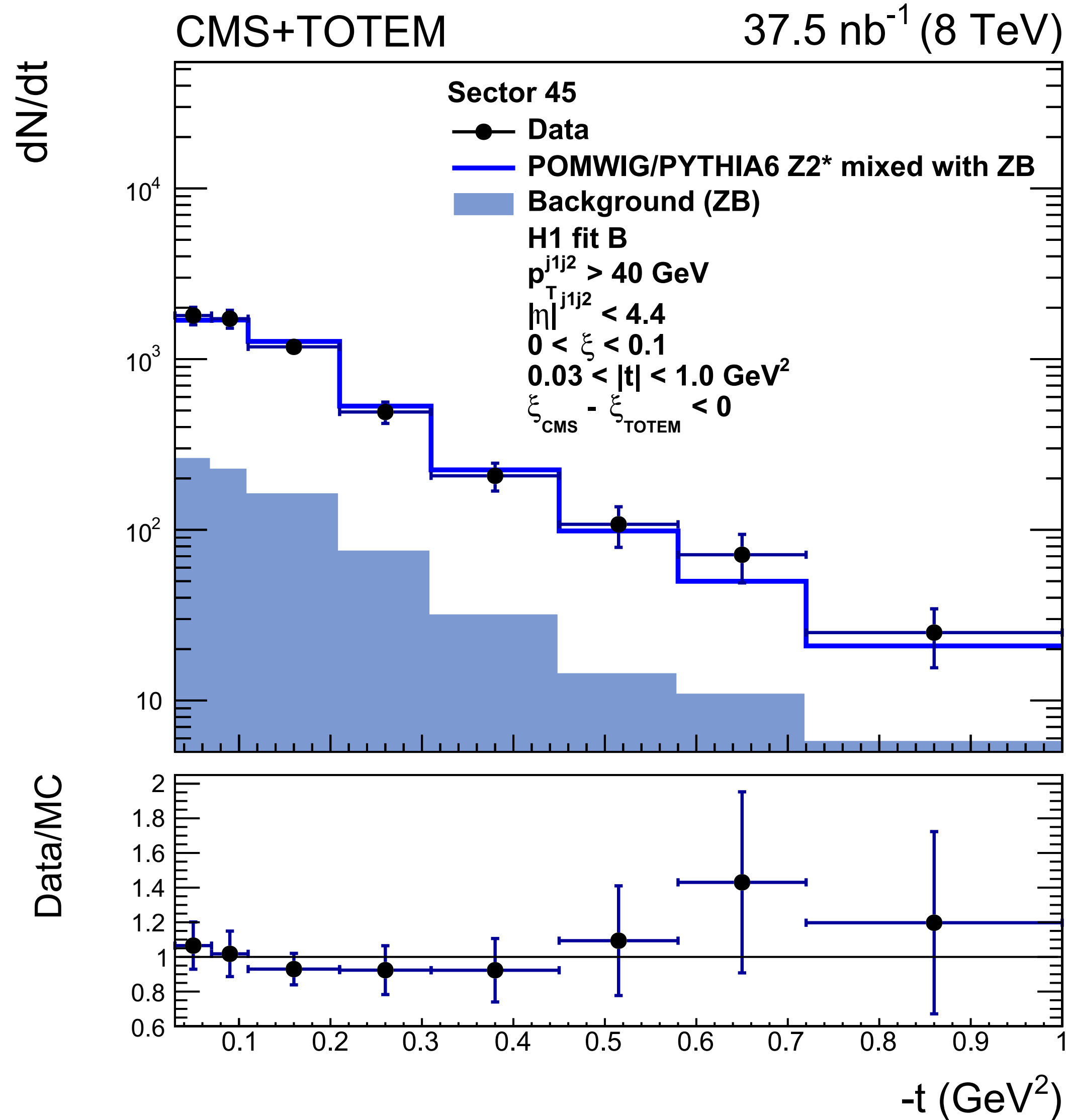
# Distribution with $\xi_{\text{CMS}} - \xi_{\text{TOTEM}}$



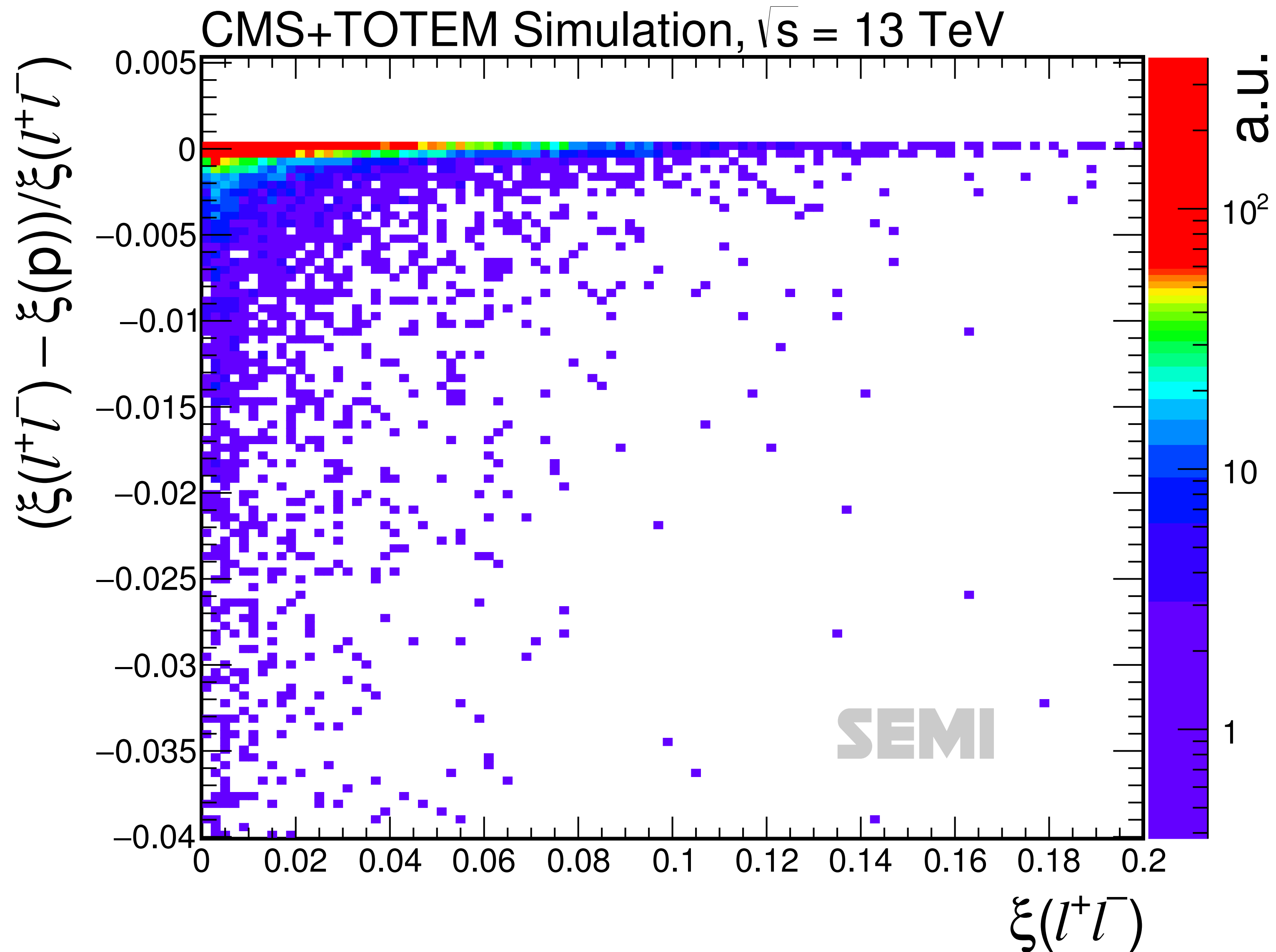
# Distribution after $\xi_{\text{CMS}} - \xi_{\text{TOTEM}}$



# Distribution after $\xi_{\text{CMS}} - \xi_{\text{TOTEM}}$



# Semi-elastic case



# Ratio in terms of parton momentum fraction

