

**Search for direct production of chargino pairs which decay
via W-boson, with “mass splitting” near the electroweak
scale, using data collected by the ATLAS experiment
during Run 2 of LHC**

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Introduction

Supersymmetry is an extension of the Elementary Particle Standard Model (SM)

- For each SM particle it is associated a **superpartner**, which differs of 1/2 in spin
- New quantic number, R-parity: $R = (-1)^{3(B-L)+2S}$

$$R = \begin{cases} +1 & \text{for SM particles} \\ -1 & \text{for SUSY particles} \end{cases}$$

The diagram compares the particle content of the Standard Model and Supersymmetry. It is organized into two main columns: STANDARD MODEL and SUPERSYMMETRY.

STANDARD MODEL:

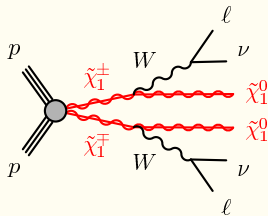
- Quarks:** A 2x3 grid of red circles containing u , c , t (top row) and d , s , b (bottom row).
- Leptons:** A 2x3 grid of green circles containing e , μ , τ (top row) and ν_e , ν_μ , ν_τ (bottom row).
- Interaction mediators:** A vertical column of blue circles containing g , γ , W , and Z .
- Higgs:** An orange circle containing H .

SUPERSYMMETRY:

- Squarks:** A 2x3 grid of red circles containing $\tilde{u}$, $\tilde{c}$, $\tilde{t}$ (top row) and $\tilde{d}$, $\tilde{s}$, $\tilde{b}$ (bottom row).
- Sleptons:** A 2x3 grid of green circles containing $\tilde{e}$, $\tilde{\mu}$, $\tilde{\tau}$ (top row) and $\tilde{\nu}_e$, $\tilde{\nu}_\mu$, $\tilde{\nu}_\tau$ (bottom row).
- Gauginos:** A vertical column of blue circles containing $\tilde{g}$, $\tilde{\gamma}$, $\tilde{W}$, and $\tilde{Z}$.
- Higgsino:** An orange circle containing $\tilde{H}$.

Minimal Supersymmetric Standard Model (MSSM) is the simplest formulation:

- four **charginos** $\tilde{\chi}_j^\pm$ ($j = 1, 2$) and four **neutralinos** $\tilde{\chi}_i^0$ ($i = 1, 2, 3, 4$)
- R-parity is conserved $\rightarrow$ **$\tilde{\chi}_1^0$ = Lightest Supersymmetric Particle (LSP)** $\rightarrow$ stable and a candidate of dark matter

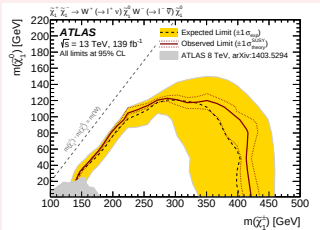


- Direct production of $\tilde{\chi}_1^\pm$ pairs:

$$pp \rightarrow \tilde{\chi}_1^+ \tilde{\chi}_1^- \rightarrow W^+ \tilde{\chi}_1^0 W^- \tilde{\chi}_1^0$$
- Final state with 2 leptons ($\ell = e, \mu$) of *Opposite Sign* (OS) + missing transverse energy E_T^{miss} (due to ν and $\tilde{\chi}_1^0$)
- Leptons can be *Same Flavour* (SF) or *Different Flavour* (DF)

$$pp \rightarrow \tilde{\chi}_1^+ \tilde{\chi}_1^- \rightarrow W^+ \tilde{\chi}_1^0 W^- \tilde{\chi}_1^0$$

State of art and current analysis



- Published results → Exclusion contour in the $(m(\tilde{\chi}_1^\pm), m(\tilde{\chi}_1^0))$ plane, by using data collected by ATLAS during Run2 of LHC, corresponding to 139 fb^{-1} of integrated luminosity and a center of mass energy of 13 TeV [1].
- Exclusion limits at 95% of CL have been set for chargino masses up to 420 GeV, in the hypothesis of massless neutralino

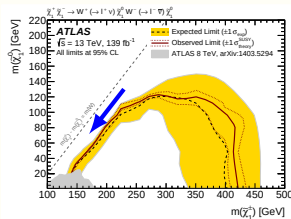
[1] ATLAS Collaboration, Search for electroweak production of charginos and sleptons decaying into final states with two leptons and missing transverse momentum in $\sqrt{s}=13 \text{ TeV}$ pp collisions using the ATLAS detector, Eur. Phys. J. C 80 123, 2020

- Current analysis's objective: study of the “less compressed” region of the mass spectrum $(m(\tilde{\chi}_1^\pm), m(\tilde{\chi}_1^0))$, with mass splitting:

$$\Delta m(\tilde{\chi}_1^\pm, \tilde{\chi}_1^0) = m(\tilde{\chi}_1^\pm) - m(\tilde{\chi}_1^0) \sim m_W$$

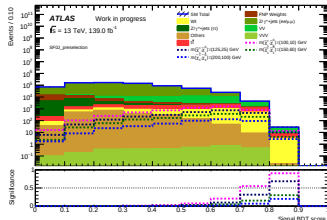
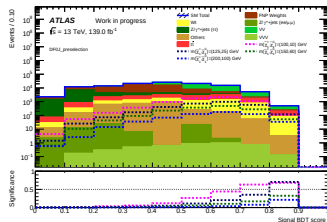
- In order to explore this region machine learning techniques (ML) have been developed → *Boosted Decision Tree* (BDT) with *Gradient Boosting*

- 4 BDT variables to improve the signal-bkg discrimination: BDT Signal, BDT VV and BDT Top (dibosons, VV, and top, $t\bar{t} + Wt$, are the main bkg), BDT Others (for the remaining bkg)
- Two analyzed channels:
 - DF0J: DF leptons + no hadronic jets
 - SF0J: SF leptons + no hadronic jets



- Definition of *Signal Regions* (SRs), by maximizing SUSY signal and by rejecting SM background
- Each SRs is a BDT Signal bin:
 - DF0J: 16 SRs starting from BDT Signal = 0.81
 - SF0J: 8 SRs starting from BDT Signal = 0.77

Regioni di Segnale (SR)	SR-DF-0J	SR-SF-0J
n_{jet}	=0	=0
$m_{\ell\ell}$ [GeV]	-	< 76.2 or > 106.2
E_T^{miss} significance	> 8	> 8
m_{T2} [GeV]	> 50	> 50
n_{bjet}	=0	=0
BDT Others	-	< 0.01
bin 1	$\in [0.81, 0.8125)$	$\in [0.77, 0.775)$
bin 2	$\in [0.8125, 0.815)$	$\in [0.775, 0.78)$
bin 3	$\in [0.815, 0.8175)$	$\in [0.78, 0.785)$
bin 4	$\in [0.8175, 0.82)$	$\in [0.785, 0.79)$
bin 5	$\in [0.82, 0.8225)$	$\in [0.79, 0.795)$
bin 6	$\in [0.8225, 0.825)$	$\in [0.795, 0.80)$
bin 7	$\in [0.825, 0.8275)$	$\in [0.80, 0.81)$
bin 8	$\in [0.8275, 0.83)$	$\in [0.81, 1)$
bin 9	$\in [0.83, 0.8325)$	
bin 10	$\in [0.8325, 0.835)$	
bin 11	$\in [0.835, 0.8375)$	
bin 12	$\in [0.8375, 0.84)$	
bin 13	$\in [0.84, 0.845)$	
bin 14	$\in [0.845, 0.85)$	
bin 15	$\in [0.85, 0.86)$	
bin 16	$\in [0.86, 1)$	



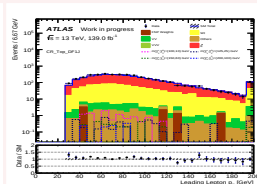
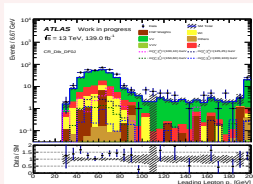
Signal BDT distribution for each of the analyzed channel, by applying only preselection cuts

- SR “unblinding” → after having correctly estimated SM bkg

Control and Validation Regions

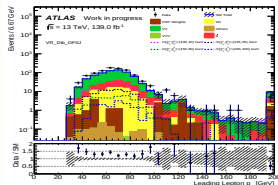
- Definition of CRs to well estimate the main SM backgrounds which affect the analysis:
 - Dibosons (VV): regions characterized by 0 jet and 0 bjet, high VV purity and low signal contamination
 - Top ($t\bar{t}$ + Wt): regions characterized by 1 jet and 1 bjet, high top purity and low signal contamination
- From CRs scale factors μ for the main backgrounds considered (VV and top) are extracted and applied to SRs: $\mu_{top} = 1.06 \pm 0.03$ and $\mu_{VV} = 1.39 \pm 0.08$

Region	CR_Dib_DF0J	CR_Dib_SF0J	CR_Top_DF1J	CR_Top_SF1J
isSF	=0	=1	=0	=1
n_{jet}	=0	=0	=1	=1
n_{bjet}	=0	=0	=1	=1
$m_{\ell\ell}$	>11	>11	>11	>11
$ m_{\ell\ell} - m_Z $	-	>15	-	>15
E_T^{miss}	>8	>8	>8	>8
m_{T2}	>50	>50	>50	>50
BDT Signal	0.2-0.65	0.2-0.65	0.5-0.7	0.7-0.75
BDT Top	<0.1	<0.1	-	-
BDT VV	>0.2	>0.2	-	-
BDT Others	-	<0.01	-	<0.01



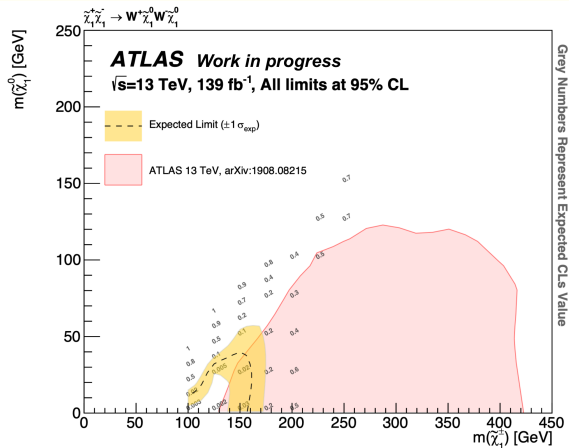
- We have defined VRs to validate scale factors from CRs

Region	VR_Dib_DF0J	VR_Dib_SF0J	VR_Top_DF1J	VR_Top_SF1J	VR_Top_DF0J	VR_Top_SF0J
isSF	=0	=1	=0	=1	=0	=1
n_{jet}	=0	=0	=1	=1	=0	=0
n_{bjet}	=0	=0	=1	=1	=0	=0
$m_{\ell\ell}$	>11	>11	>11	>11	>11	>11
$ m_{\ell\ell} - m_Z $	-	>15	-	>15	-	>15
E_T^{miss}	>8	>8	>8	>8	>8	>8
m_{T2}	>50	>50	>50	>50	>50	>50
BDT Signal	0.65-0.81	0.65-0.77	0.7-1	0.75-1	0.5-0.81	0.5-0.77
BDT Top	<0.1	<0.1	-	-	-	-
BDT VV	>0.2	>0.2	-	-	<0.15	<0.15
BDT Others	-	<0.01	-	<0.01	-	<0.01



Expected exclusion limit

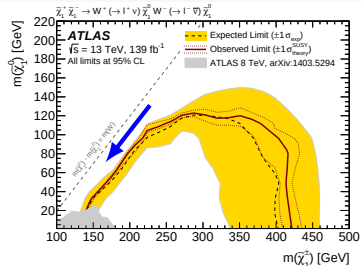
- Expected exclusion limit for chargino pair production decaying via W-boson
- SR “unblinding” is now in progress → if any significant excess of events wouldn't be observed → improvement of the current exclusion contour



THANKS FOR YOUR ATTENTION

BACKUP

The “less compressed” region



- Current analysis objective: study of the *less compressed* region of the mass spectrum ($m(\tilde{\chi}_1^\pm), m(\tilde{\chi}_1^0)$), with *mass splitting*:

$$\Delta m(\tilde{\chi}_1^\pm, \tilde{\chi}_1^0) = m(\tilde{\chi}_1^\pm) - m(\tilde{\chi}_1^0) \sim m_W$$

- Data collected by the ATLAS experiment during Run 2 of LHC, corresponding to 139 fb^{-1} of integrated luminosity and to a center of mass energy of $\sqrt{s} = 13 \text{ TeV}$
- The most relevant backgrounds in the analysis are di-bosons (VV) and top ($t\bar{t} + Wt$)

- In the previous scenario the analysis sensitivity has been optimized for a phase space with large chargino-neutralino mass splitting, by selecting events with two leptons in the final state, characterized by large invariant mass and *transverse mass* (m_{T2}). In order to explore the *less compressed* region, machine learning techniques (ML) are being used $\rightarrow$ *Boosted Decision Tree* (BDT) with *Gradient Boosting*
- BDT variables are built by a training which uses a set of kinematic variables for signal-background discrimination (e.g. the transverse momentum of the two leptons, E_T^{miss} , m_{T2} and the angles in the transverse plane between E_T^{miss} and the two leptons)
- Use of four BDT variables to improve the signal-background discrimination: BDT Signal, BDT VV , BDT Top, BDT Others (for the remaining background)
- Two channel analyzed:
 - DF0J: DF leptons + no hadronic jets
 - SF0J: SF leptons + no hadronic jets

Research strategy

- **SUSY models:** the analysis is based on *simplified models*: few new particles are included, with masses as free parameters. The relative decay BRs are supposed to be 100%
- **Event selection:** selective criteria are applied on the relevant variables in order to define *Signal Regions* (SRs), by maximizing the SUSY signal and by rejecting the SM backgrounds
- **Background estimation:**
 - ◇ Monte Carlo (MC) simulations of the relevant SM backgrounds are normalized to data in *Control Regions* (CRs) $\rightarrow$ *scale factors* μ extrapolation to the SRs
 - ◇ the normalization is verified into *Validation Regions* (VRs)
 - ◇ CRs and VRs are orthogonal to SRs
- **Results:** SR “unblinding” and data-expected bkg comparison, after having optimized the background estimation strategy
- **Interpretation:**
 - ◇ if a significant excess of data over the expected background is observed $\rightarrow$ signal **evidence/discovery**
 - ◇ otherwise an **upper limit** on the SUSY production cross section is set and limits on some other considered model parameters are derived

SM backgrounds, which enter inside the SRs, are classified in two categories:

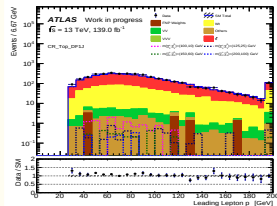
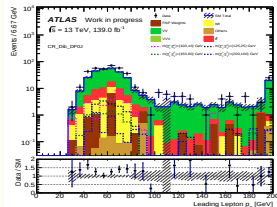
- **Riducible:** *fake-non-prompt lepton* background, estimated by using *fully data driven* techniques
- **Unreducible:** estimated via *semi data driven* techniques, based on CRs and VRs definition. In yellow in table are highlighted the most relevant unreducible backgrounds

SM backgrounds
$t\bar{t}$
$t\bar{t} + V$ ($V = W, Z$)
$t\bar{t} + WW$
$tZ, t\bar{t}t\bar{t}, t\bar{t}t$
Single top Wt
$Z/\gamma^* + \text{jets}$
Dibosons VV , ($V = W, Z$)
Tribosons VVV ($V = W, Z$)
Higgs

Control Regions

- CRs are orthogonal to SRs and to VRs
- CRs are defined for the relevant backgrounds which affect the analysis:
 - Dibosons (VV): regions characterized by 0 jet and 0 bjet, high VV purity and low signal contamination
 - Top ($t\bar{t}$ + Wt): regions characterized by 1 jet and 1 bjet, high top purity and low signal contamination

Region	CR.Dib.DF0J	CR.Dib.SF0J	CR.Top.DF1J	CR.Top.SF1J
isSF	=0	=1	=0	=1
n_{jet}	=0	=0	=1	=1
n_{bjet}	=0	=0	=1	=1
$m_{\ell\ell}$	> 11	> 11	> 11	> 11
$ m_{\ell\ell} - m_Z $	-	> 15	-	> 15
E_{miss}	> 8	> 8	> 8	> 8
τ significance	> 50	> 50	> 50	> 50
m_{T2}	> 50	> 50	> 50	> 50
BDT Signal	0.2-0.65	0.2-0.65	0.5-0.7	0.7-0.75
BDT Top	< 0.1	< 0.1	-	-
BDT VV	> 0.2	> 0.2	-	-
BDT Others	-	< 0.01	-	< 0.01
FNP	0.00 ± 9.15	0.00 ± 5.66	0.00 ± 24.07	0.00 ± 9.03
Wt	21.31 ± 1.72	15.85 ± 1.45	906.27 ± 10.86	153.94 ± 4.52
Zjets	0.00 ± 0.00	1.43 ± 3.71	0.00 ± 0.00	0.00 ± 1.32
Zttjets	0.00 ± 0.00	0.00 ± 0.00	0.00 ± 0.00	0.00 ± 0.00
VV	257.38 ± 5.72	118.76 ± 4.22	41.43 ± 2.26	8.80 ± 1.02
other	1.44 ± 0.57	0.23 ± 0.09	25.01 ± 1.05	4.21 ± 0.41
VVV	0.09 ± 0.01	0.05 ± 0.01	0.04 ± 0.01	0.01 ± 0.01
ttbar	35.06 ± 1.19	29.01 ± 1.09	2689.75 ± 10.09	380.70 ± 3.84
Total	315.28 ± 11.01	165.33 ± 8.18	3662.50 ± 28.37	547.66 ± 10.94
Data	424	208	3920	548
Purity	(81.64 $\pm$ 3.38)%	(71.84 $\pm$ 4.38)%	(98.18 $\pm$ 0.72)%	(97.62 $\pm$ 1.85)%
C1C1 100 10	24.49 ± 3.49	8.94 ± 2.28	9.38 ± 2.50	2.88 ± 1.22
contamination	(7.21 $\pm$ 1.06)%	(5.13 $\pm$ 1.33)%	(0.26 $\pm$ 0.07)%	(0.52 $\pm$ 0.22)%
C1C1 125 25	14.35 ± 1.68	9.79 ± 1.41	4.57 ± 1.05	1.40 ± 0.57
contamination	(4.35 $\pm$ 0.53)%	(5.59 $\pm$ 0.85)%	(0.12 $\pm$ 0.03)%	(0.25 $\pm$ 0.10)%
C1C1 150 60	7.03 ± 1.13	3.85 ± 0.84	2.54 ± 0.76	0.19 ± 0.19
contamination	(2.18 $\pm$ 0.36)%	(2.28 $\pm$ 0.51)%	(0.07 $\pm$ 0.02)%	(0.03 $\pm$ 0.03)%
C1C1 200 100	4.06 ± 0.89	5.84 ± 1.00	1.03 ± 0.51	0.19 ± 0.14
contamination	(1.27 $\pm$ 0.28)%	(3.41 $\pm$ 0.60)%	(0.03 $\pm$ 0.01)%	(0.03 $\pm$ 0.02)%

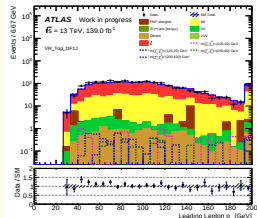
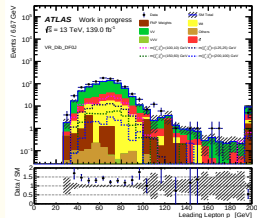


Data/Monte Carlo distributions of the leading lepton transverse momentum in CR.Dib.DF0J and in CR.Top.DF1J

Validation Regions

- VRs orthogonal to CRs and to SRs and kinematically closed to SRs

Region	VR_Dib_DF0J	VR_Dib_SF0J	VR_Top_DF1J	VR_Top_SF1J	VR_Top_DF0J	VR_Top_SF0J
isSF	=0	=1	=0	=1	=0	=1
η_{jet}	=0	=0	=0	=1	=0	=0
η_{bjet}	=0	=0	=1	=1	=0	=0
$m_{\ell\ell}$	>11	>11	>11	>11	>11	>11
$ m_{\ell\ell} - m_Z $	-	>15	-	>15	-	>15
E_T^{miss} significance	>8	>8	>8	>8	>8	>8
m_{T2}	>50	>50	>50	>50	>50	>50
BDT Signal	0.65-0.81	0.65-0.77	0.7-1	0.75-1	0.5-0.81	0.5-0.77
BDT Top	<0.1	<0.1	-	-	-	-
BDT VV	>0.2	>0.2	-	-	<0.15	<0.15
BDT Others	-	<0.01	-	<0.01	-	<0.01
FNP	0.00 ± 14.19	7.79 ± 10.90	0.00 ± 17.14	4.23 ± 5.75	20.47 ± 12.21	0.05 ± 1.40
Wt	86.65 ± 3.43	69.34 ± 3.06	473.85 ± 7.85	25.54 ± 1.84	154.95 ± 4.55	3.21 ± 0.64
Zjets	0.00 ± 0.00	67.53 ± 34.13	0.02 ± 0.02	0.04 ± 0.03	0.00 ± 0.00	0.00 ± 0.00
Zttjets	0.00 ± 0.00	0.24 ± 0.24	0.00 ± 0.00	0.00 ± 0.00	0.50 ± 0.50	0.00 ± 0.00
VV	528.29 ± 7.55	290.64 ± 5.81	23.34 ± 1.70	1.61 ± 0.42	310.88 ± 6.28	5.90 ± 0.81
other	0.99 ± 0.20	1.16 ± 0.23	13.56 ± 0.76	0.75 ± 0.19	3.53 ± 0.72	0.05 ± 0.04
VVW	0.06 ± 0.01	0.09 ± 0.02	0.03 ± 0.01	0.00 ± 0.00	0.16 ± 0.02	0.01 ± 0.00
tbtar	106.91 ± 2.05	102.95 ± 2.04	1279.36 ± 6.97	63.63 ± 1.57	241.21 ± 3.08	5.39 ± 0.45
Total Data	722.90 ± 16.56 972	539.74 ± 36.48 593	1790.15 ± 20.19 1910	95.80 ± 6.25 95	731.71 ± 14.81 810	14.59 ± 1.79 17
Purity	(73.08 ± 1.97)% (53.85 ± 3.80)% (97.94 ± 1.04)% (93.09 ± 5.31)% (54.14 ± 1.09)% (58.90 ± 7.51)%					
C1C1 100 10 contamination	133.82 ± 7.53 (15.62 ± 0.94)%	94.63 ± 6.48 (14.92 ± 1.34)%	5.94 ± 1.66 (0.33 ± 0.09)%	0.60 ± 0.43 (0.62 ± 0.44)%	134.31 ± 7.59 (15.51 ± 0.93)%	1.69 ± 0.85 (10.38 ± 5.35)%
C1C1 125 25 contamination	73.21 ± 3.79 (9.20 ± 0.51)%	60.35 ± 3.48 (10.06 ± 0.84)%	4.74 ± 1.01 (0.26 ± 0.06)%	0.38 ± 0.28 (0.40 ± 0.29)%	101.16 ± 4.48 (12.15 ± 0.58)%	2.56 ± 0.72 (14.93 ± 4.54)%
C1C1 150 60 contamination	33.89 ± 2.46 (4.48 ± 0.34)%	24.73 ± 2.10 (4.38 ± 0.47)%	1.67 ± 0.60 (0.09 ± 0.03)%	0.43 ± 0.30 (0.44 ± 0.32)%	46.77 ± 2.90 (6.01 ± 0.39)%	1.55 ± 0.52 (9.60 ± 3.44)%
C1C1 200 100 contamination	14.43 ± 1.71 (1.96 ± 0.24)%	14.58 ± 1.67 (2.63 ± 0.35)%	1.70 ± 0.59 (0.09 ± 0.03)%	0.26 ± 0.26 (0.27 ± 0.27)%	26.12 ± 2.29 (3.45 ± 0.31)%	0.84 ± 0.46 (5.44 ± 3.06)%



Data/Monte Carlo distributions of the leading lepton transverse momentum in VR_Dib_DF0J and in VR_Top_DF1J

- From CRs scale factors μ for the main backgrounds considered (VV and tt) are extracted to VRs and to SRs: $\mu_{top} = 1.06 \pm 0.03$ and $\mu_{VV} = 1.39 \pm 0.08$
- Once backgrounds have been correctly estimated, the SR “unblinding” follows