

# A Machine Learning Based Approach to the Galactic Center Excess

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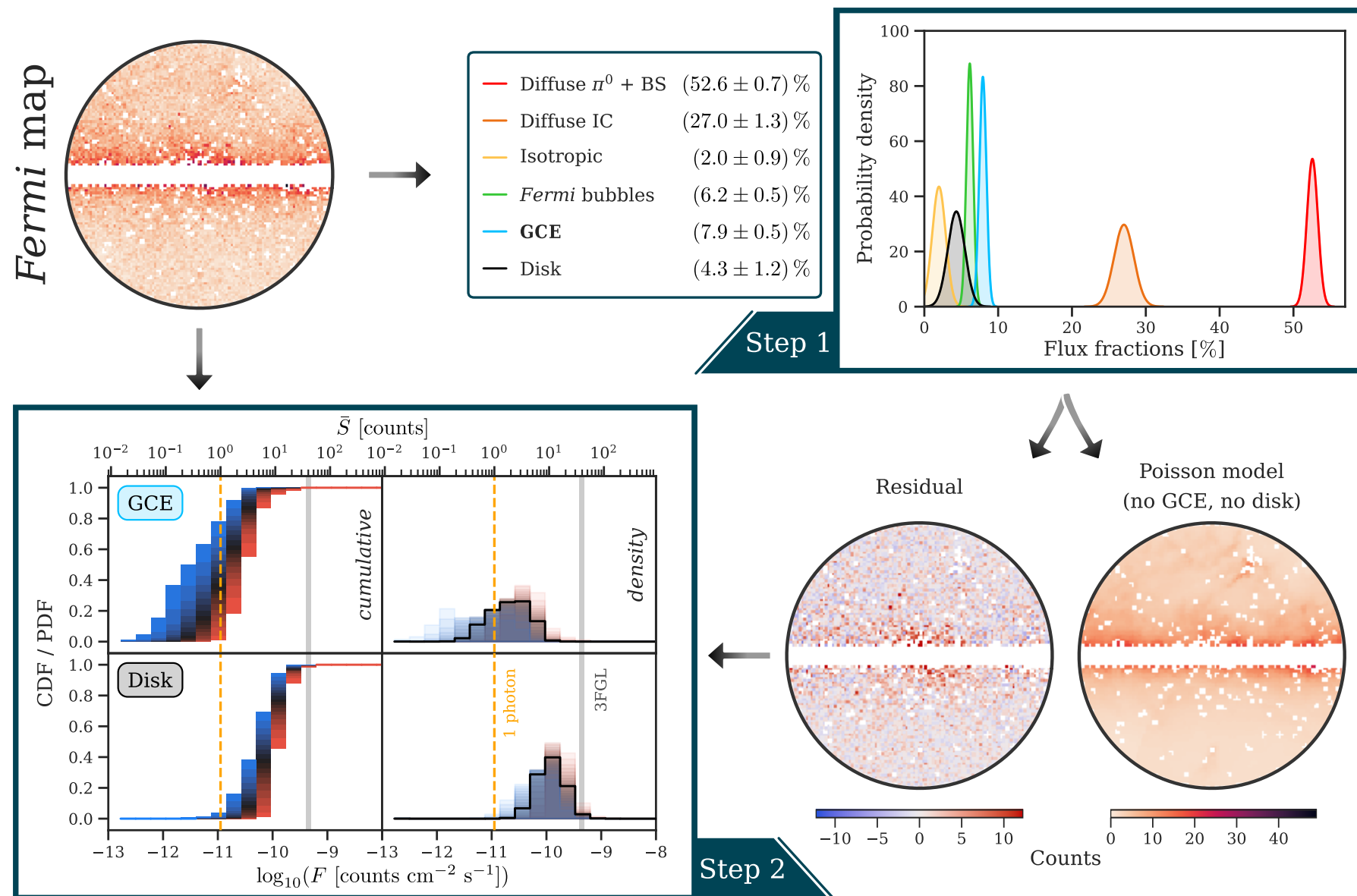
NICK RODD | PANIC 2021 | 5 SEPTEMBER 2021



2107.09070 (under review) w/ List, Lewis  
2006.12504 (PRL 2020) w/ List, Lewis, Bhat

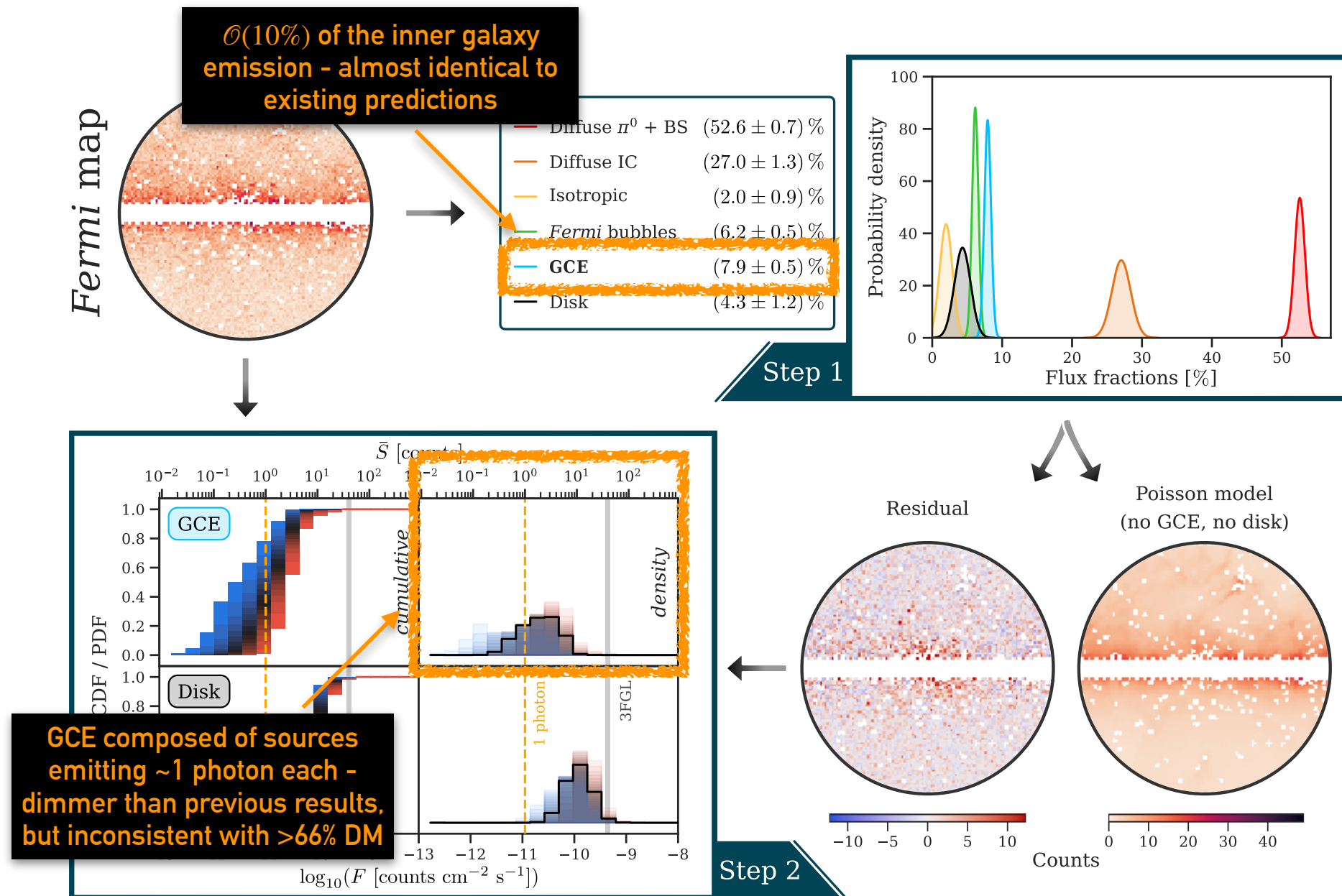


# Headline Results



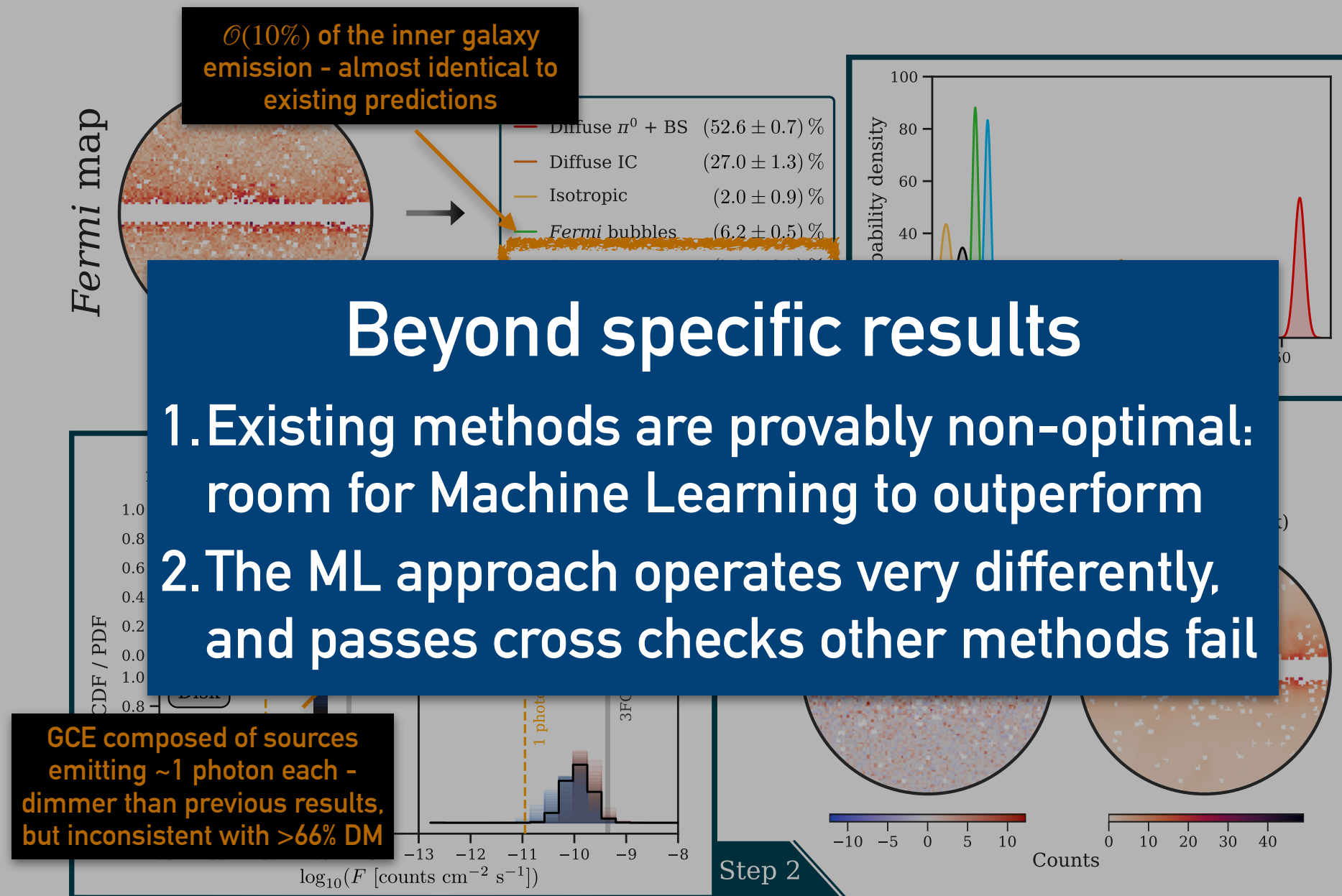


# Headline Results





# Headline Results





# Outline

1. The GCE: dark matter or millisecond pulsars?
2. Likelihood approaches, and why ML can improve on them
3. Our convolutional neural network approach



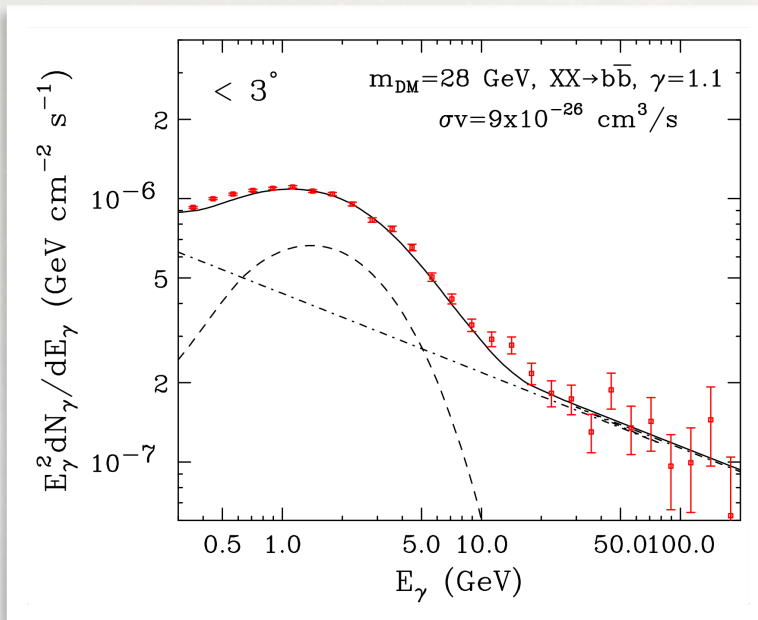
# The Galactic Center Excess



# The Galactic Center Excess

## Dark Matter

[Goodenough, Hooper 2009]



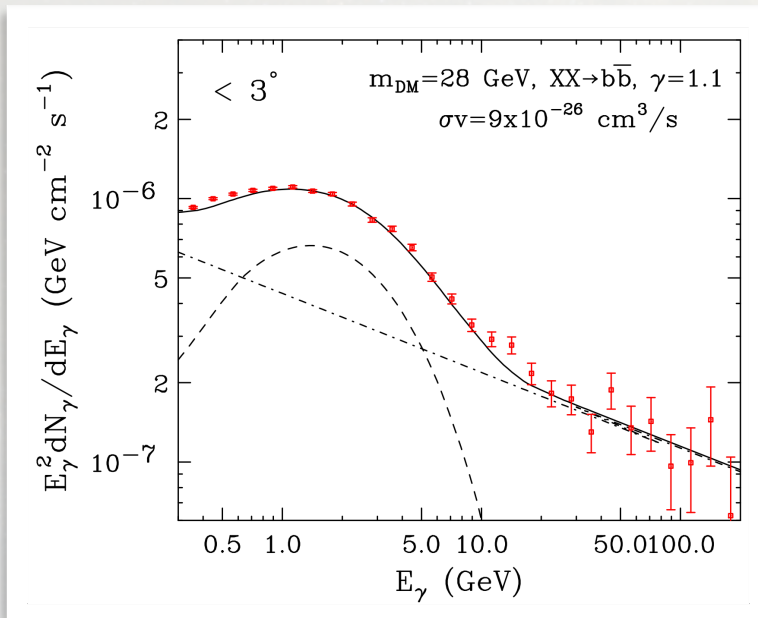
Exhibited many expected properties of DM, e.g. [Daylan, NLR+ 2014]



# The Galactic Center Excess

## Dark Matter

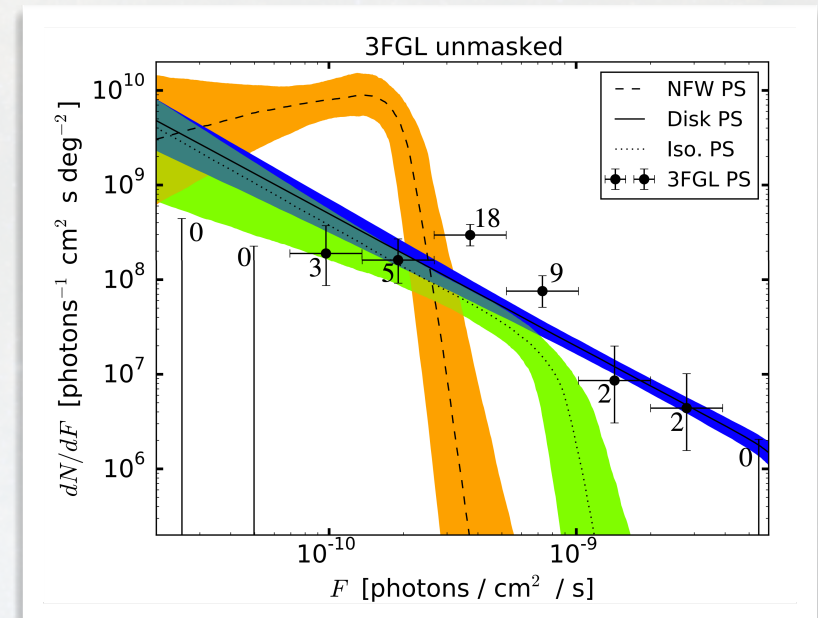
[Goodenough, Hooper 2009]



Exhibited many expected properties of DM, e.g. [Daylan, NLR+ 2014]

## Millisecond Pulsars

[Lee+ 2016]



Data preferred clumpy point-source statistics rather than smoother DM

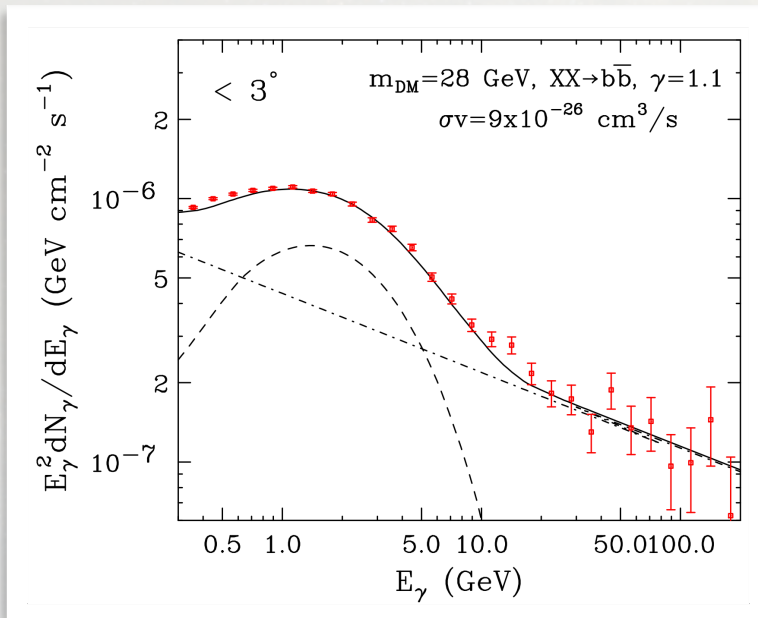
Unresolved MSPs had been suggested earlier e.g. [Wang+ 2005], [Hooper, Goodenough 2010], [Abazajian, Kaplinghat 2012]



# The Galactic Center Excess

## Dark Matter

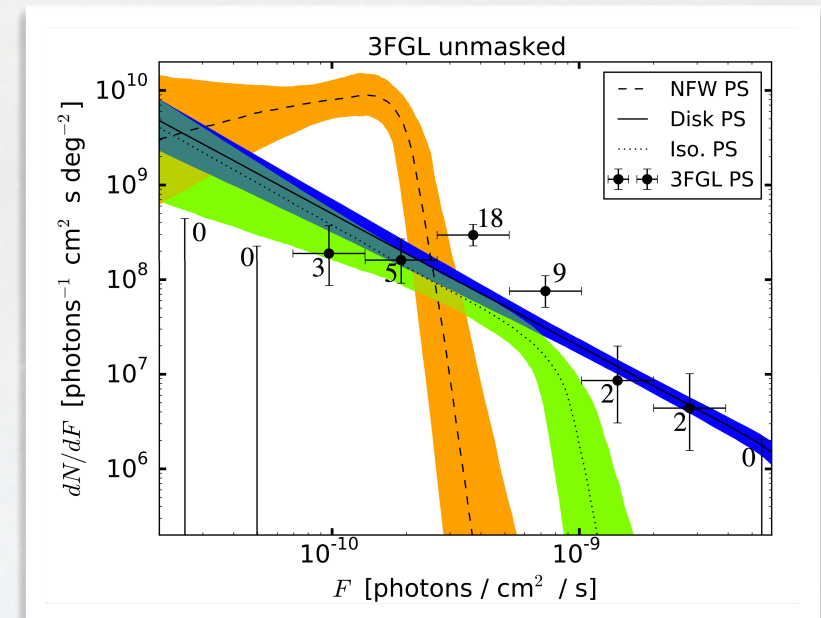
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## Millisecond Pulsars

[Lee+ 2016]



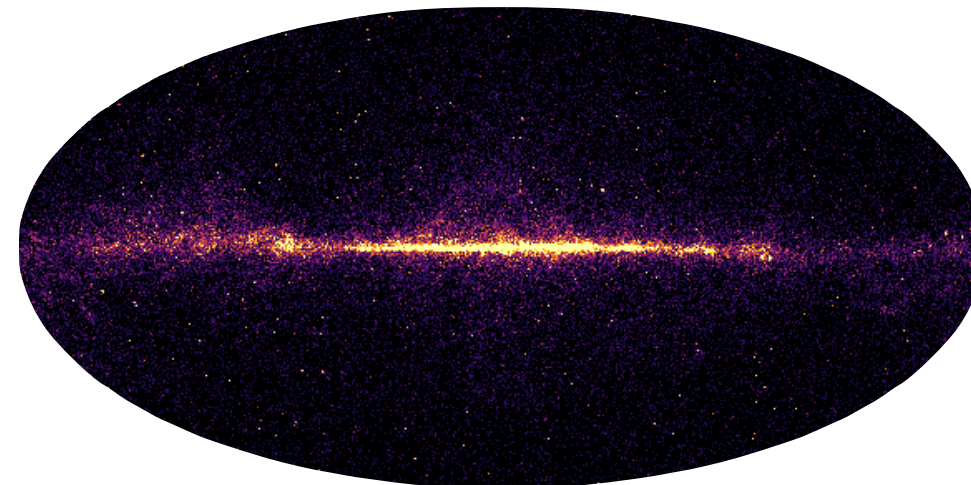
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# Likelihood Methods

Fermi  $\gamma$ -ray data



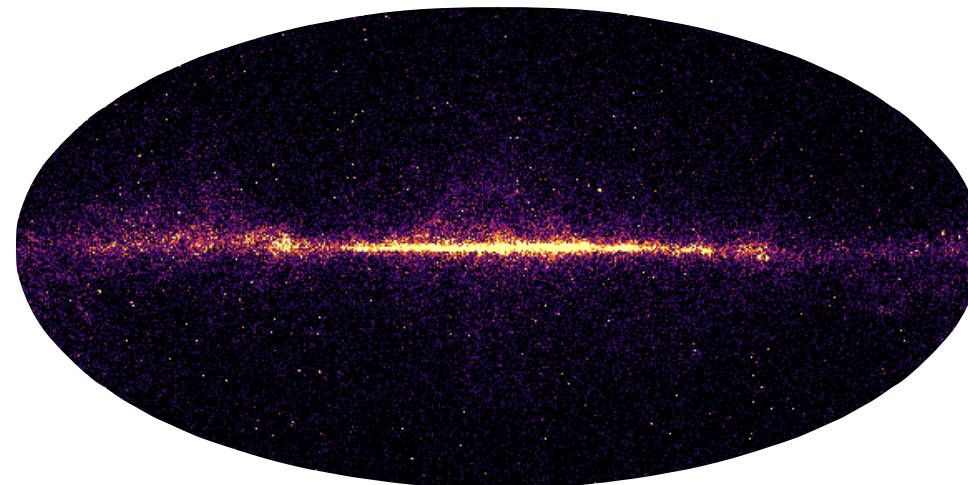
Dataset: 11 years of PASS 8  
UltracleanVeto BestPSF data  
(with standard quality cuts)

Template Fitting: model the data as a  
sum of spatial templates =  $\sum A_t T_t$

~template fluxes

# Likelihood Methods

Fermi  $\gamma$ -ray data



Dataset: 11 years of PASS 8  
UltracleanVeto BestPSF data  
(with standard quality cuts)

$$= A_{\text{diffuse}} \text{ (diffuse band) } + A_{\text{bubbles}} \text{ (two purple spots) } + A_{\text{DM}} \text{ (point source) } + \dots$$

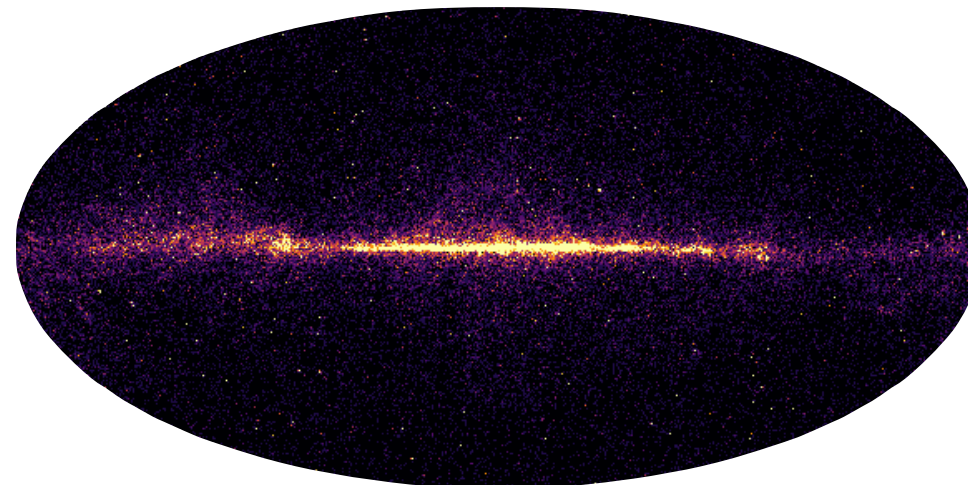
Template Fitting: model the data as a  
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~template fluxes

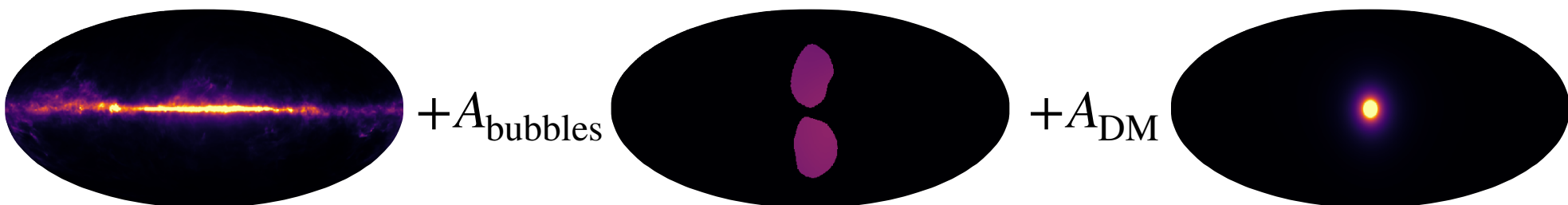


# Likelihood Methods

Fermi  $\gamma$ -ray data



Dataset: 11 years of PASS 8  
UltracleanVeto BestPSF data  
(with standard quality cuts)

$$= A_{\text{diffuse}} + A_{\text{bubbles}} + A_{\text{DM}} + \dots$$


Determine  $\theta = \{A_t\}$  using Poisson likelihood

$$p(d | \theta) = \prod p_{\text{Poisson}}^{(p)}$$

Product over all  
pixels  $p$  in the map

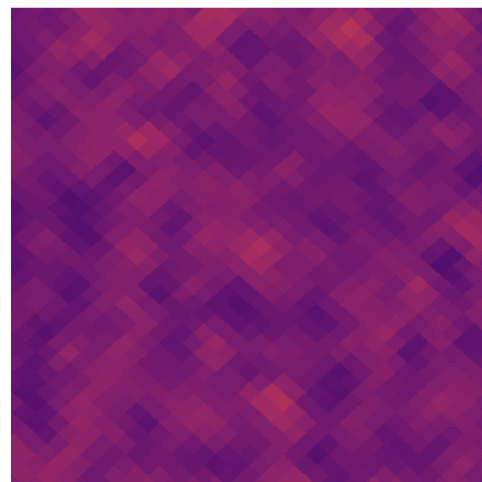
For more details, see  
[Mishra-Sharma, NLR, Safdi 2016]



# Likelihood Methods

## Non-Poissonian Template Fitting

“Smooth” Poissonian emission  
(expected for **dark matter**)

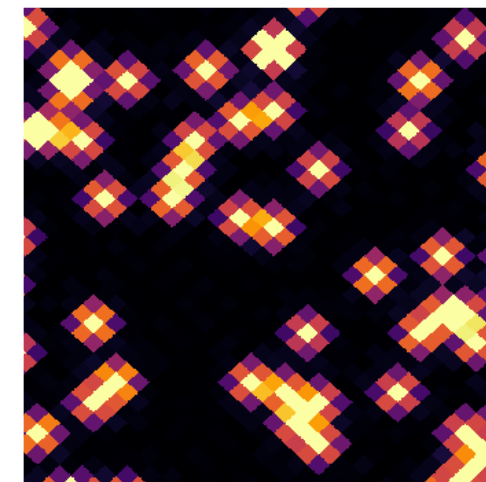


Likelihood:  $p_P$

[de Moivre 1711],  
[Poisson 1837]

vs.

“Clumpy” Non-Poissonian emission  
(expected for **millisecond pulsars**)



Likelihood:  $p_{\text{NPTF}}$

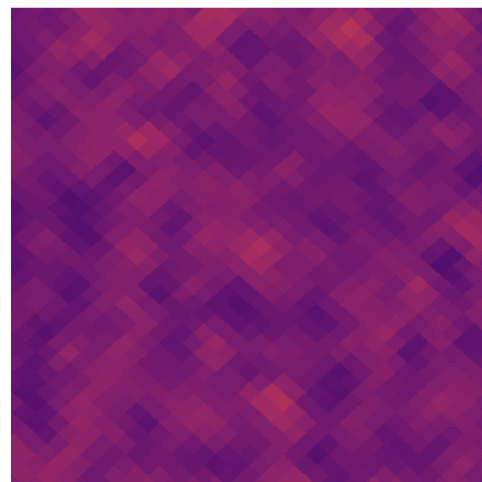
[Malyshev, Hogg 2011],  
[Lee+ 2016]



# Likelihood Methods

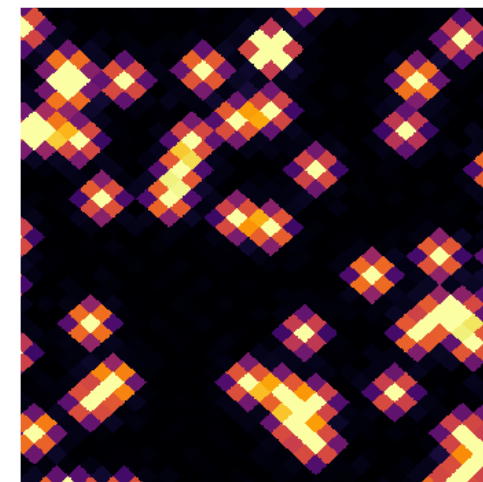
## Non-Poissonian Template Fitting

“Smooth” Poissonian emission  
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Likelihood:  $p_P$

“Clumpy” Non-Poissonian emission  
(expected for **millisecond pulsars**)



Likelihood:  $p_{\text{NPTF}}$

VS.

Template fluxes  
+ PS properties

Determine  $\theta$  using combined likelihood

$$p(d | \theta) = \prod p_P^{(p)} \times p_{\text{NPTF}}^{(p)}$$

Product over all  
pixels  $p$  in the map

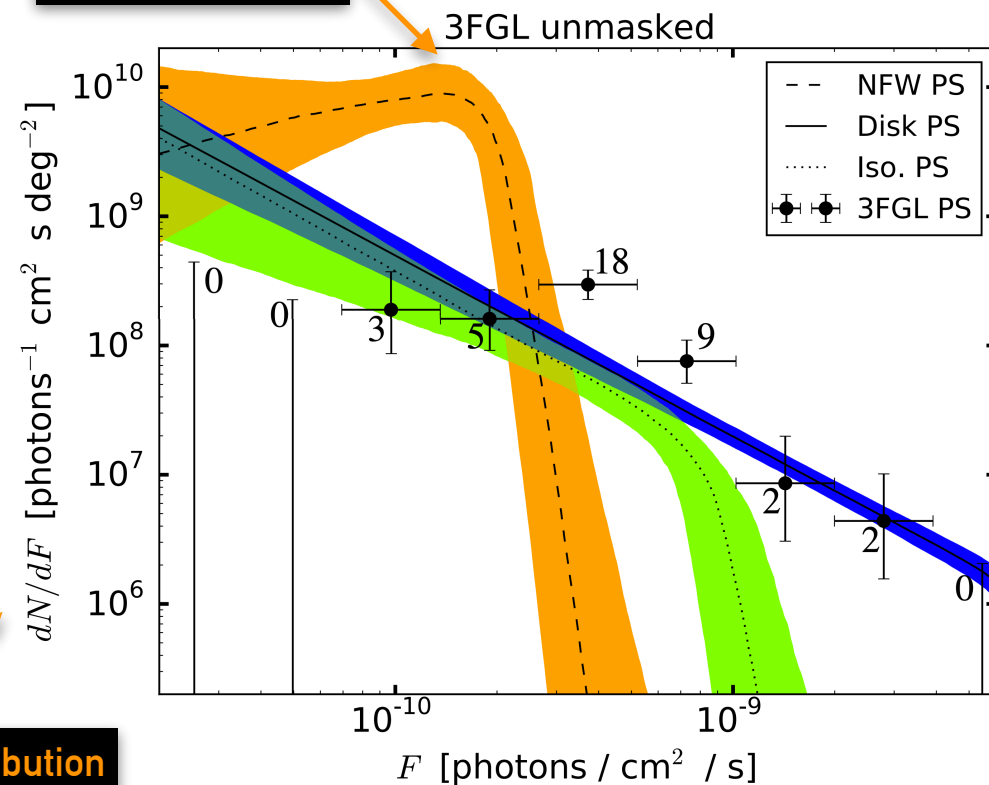
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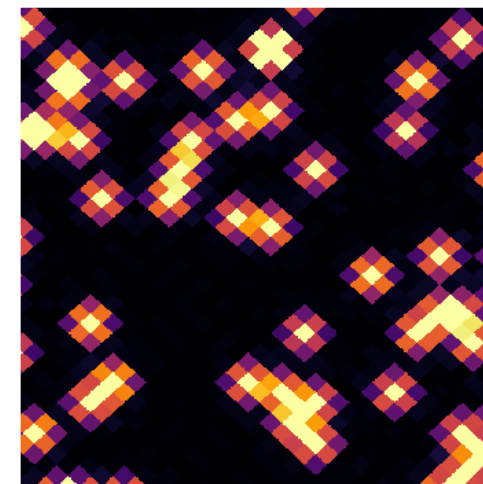
# Likelihood Methods

NPTF  $\Rightarrow$  GCE was clumpy

GCE PSs peak just below the 3FGL threshold



“Clumpy” Non-Poissonian emission  
(expected for **millisecond pulsars**)



Likelihood:  $p_{\text{NPTF}}$

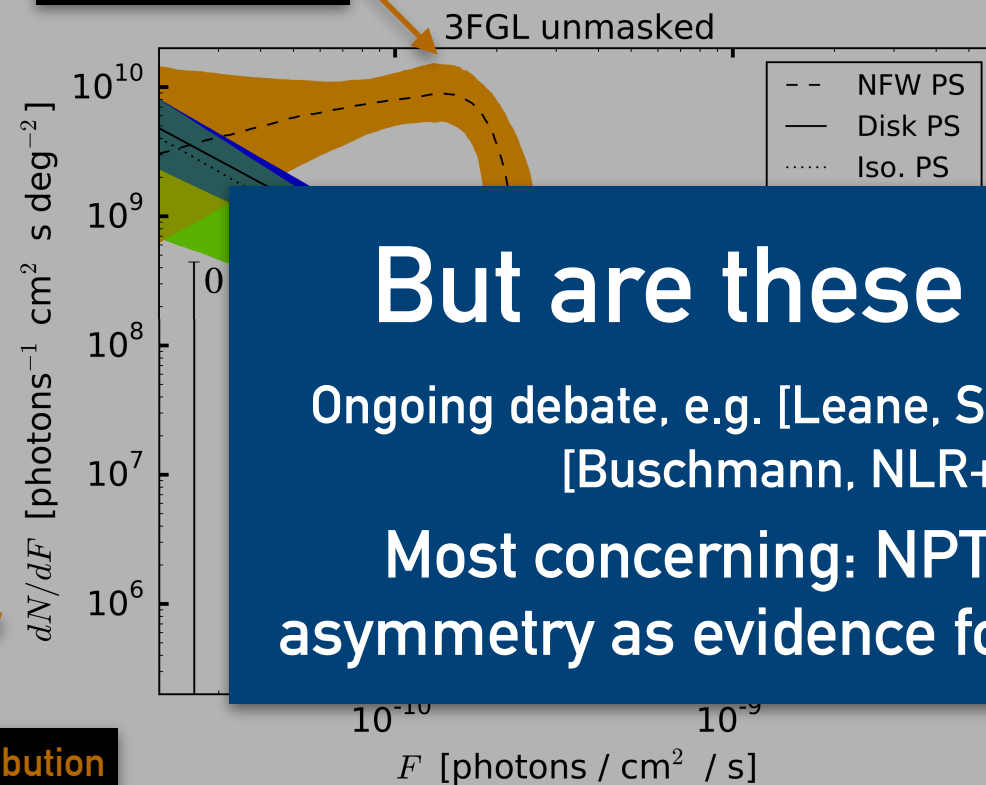
[Lee, Lisanti, Safdi, Slatyer, Xue 2016]



# Likelihood Methods

NPTF  $\Rightarrow$  GCE was clumpy

GCE PSs peak just below the 3FGL threshold



**But are these results robust?**

Ongoing debate, e.g. [Leane, Slatyer 2019], [Chang, NLR+ 2020], [Buschmann, NLR+ 2020], [Zhong+ 2020]

Most concerning: NPTF recovers mismodeled asymmetry as evidence for PSs [Leane, Slatyer 2020]

“Clumpy” Non-Poissonian emission  
(expected for **millisecond pulsars**)

Likelihood:  $p_{\text{NPTF}}$

Brightness distribution of sources

[Lee, Lisanti, Safdi, Slatyer, Xue 2016]

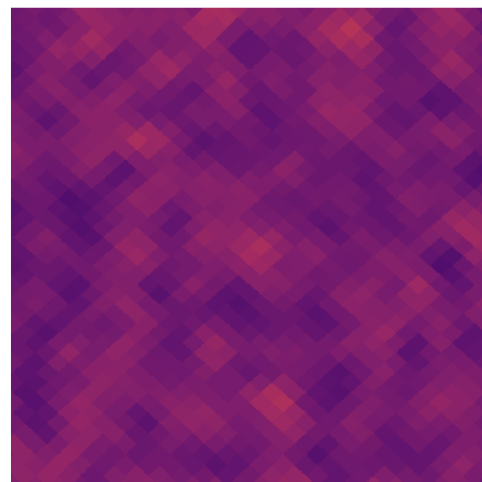
Additional contemporaneous evidence  
Wavelets: [Bartels, Krishnamurthy, Weniger 2016], ...  
Non-spherical morphology: [Macias+ 2018], ...



# Where are the problems?

## 1. Poisson vs Non-Poisson divide is artificial

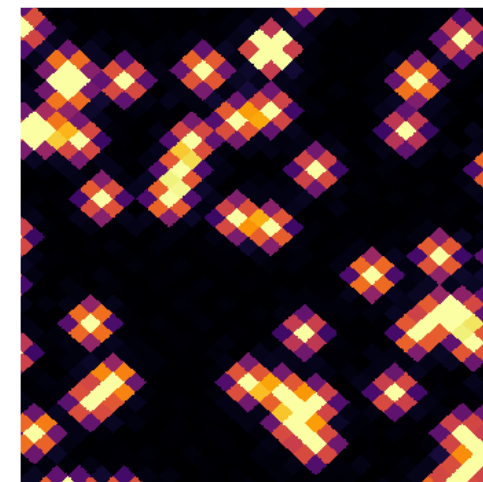
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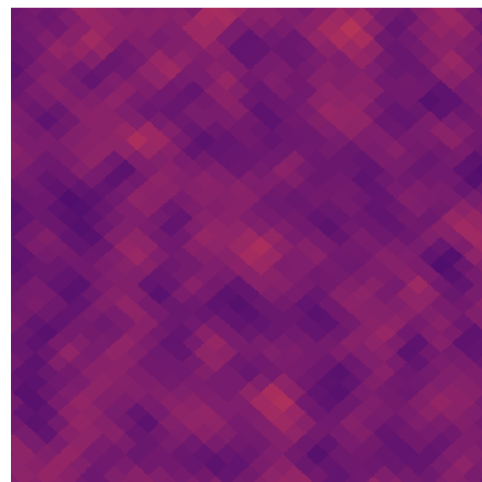


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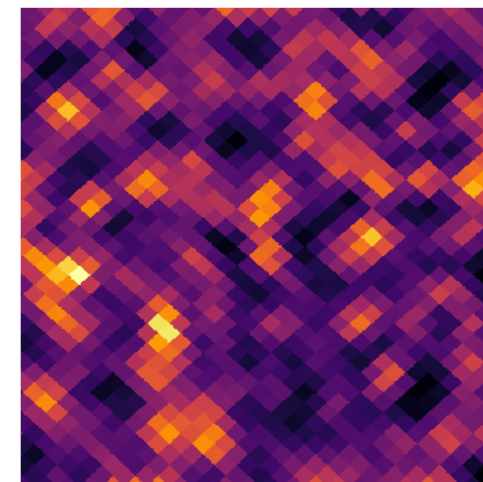
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Likelihood:  $p_P$

vs.

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Likelihood:  $p_{NPTF}$

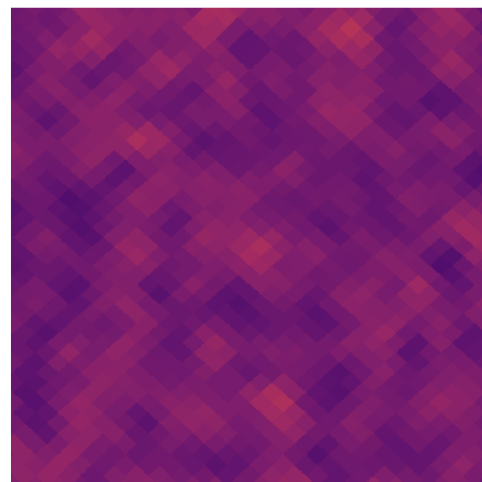
increase  $N_{PS}$   
decrease  $F_{PS}$   
(leaving total flux unchanged)



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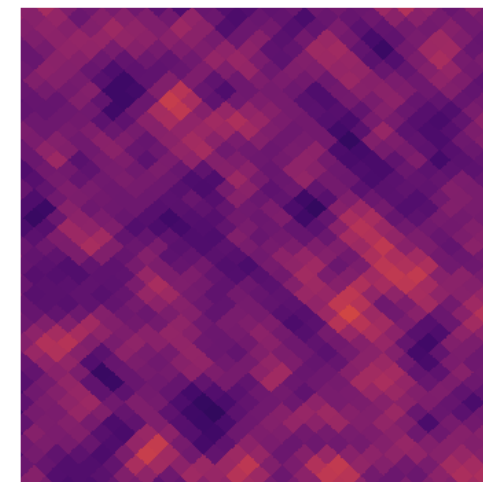
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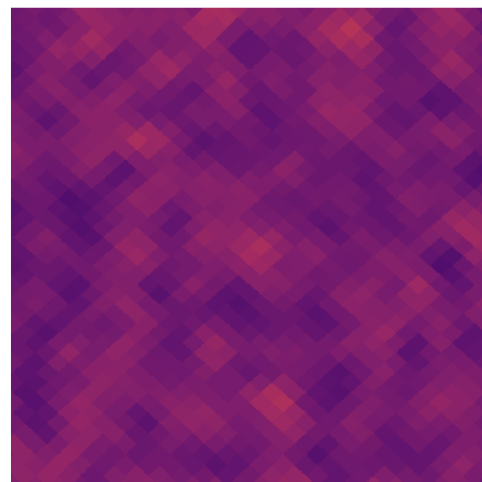
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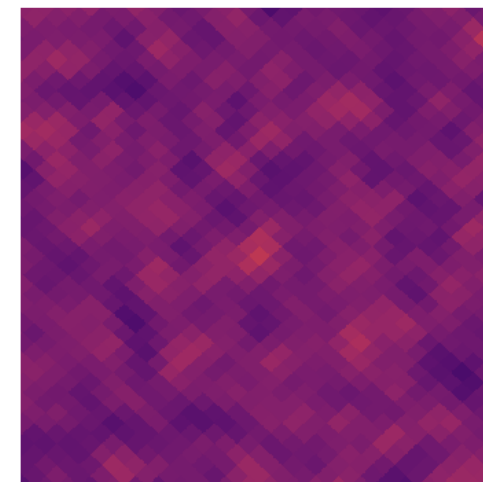
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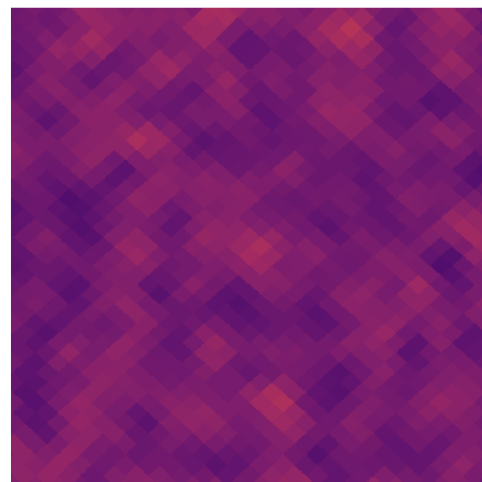
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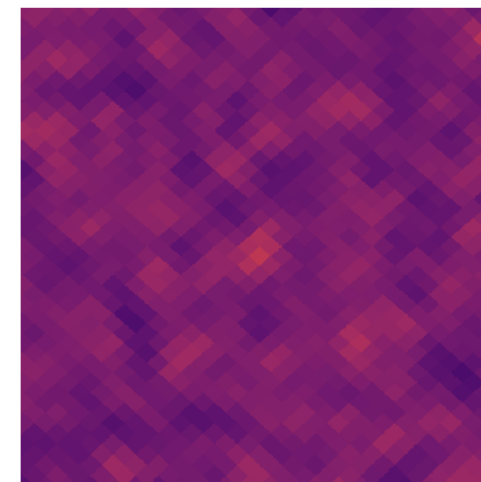
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“Clumpy” Non-Poissonian emission  
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Likelihood:  $p_{\text{NPTF}}$

$\subset$

**Many dim sources = Poisson emission**  
**To address, in [List, NLR, Lewis 2021]**  
**we only use the point-source model,**  
**Poisson included as dim-sources**

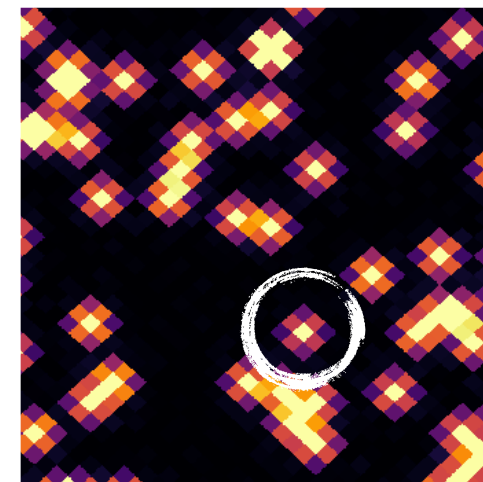
For additional discussion, further issues  
with NPTF, and an improved likelihood,  
see [Collin, NLR, Erjavec, Perez 2021]



# Where are the problems?

## 2. Likelihood in each pixel is not independent

“Clumpy” Non-Poissonian emission  
(expected for **millisecond pulsars**)



Likelihood:  $p_{\text{NPTF}}$

$$p(d | \theta) = \prod p_{\text{P}}^{(p)} \times p_{\text{NPTF}}^{(p)}$$

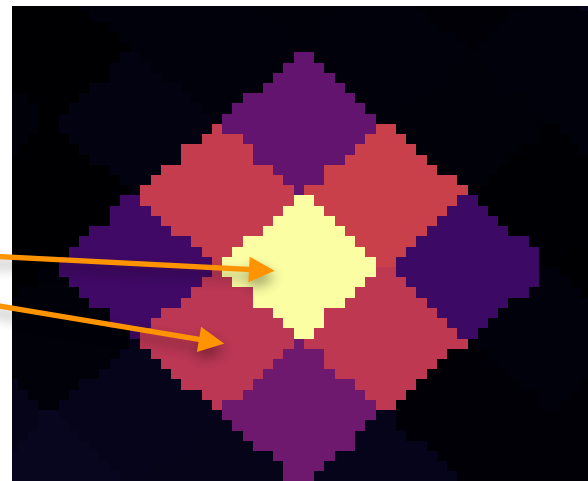
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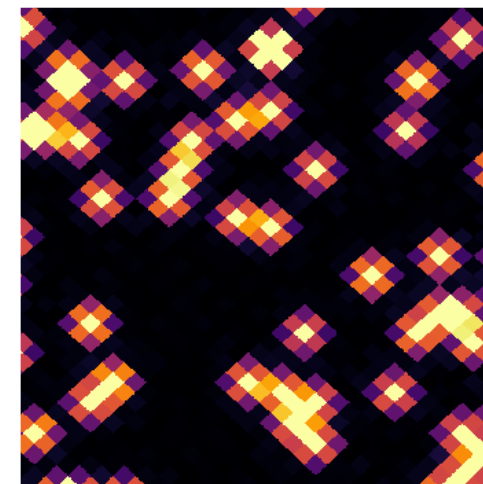
# Where are the problems?

## 2. Likelihood in each pixel is not independent

Not independent:  
one point-source  
smeared by the  
instrument



“Clumpy” Non-Poissonian emission  
(expected for **millisecond pulsars**)



Likelihood:  $p_{\text{NPTF}}$

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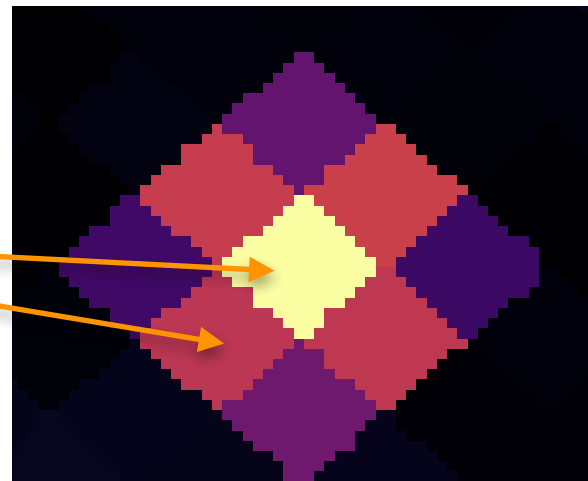
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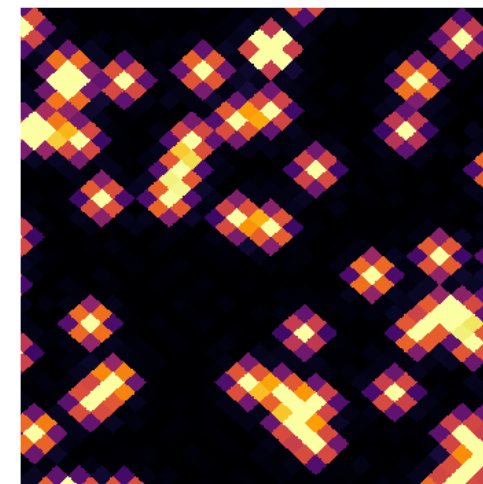
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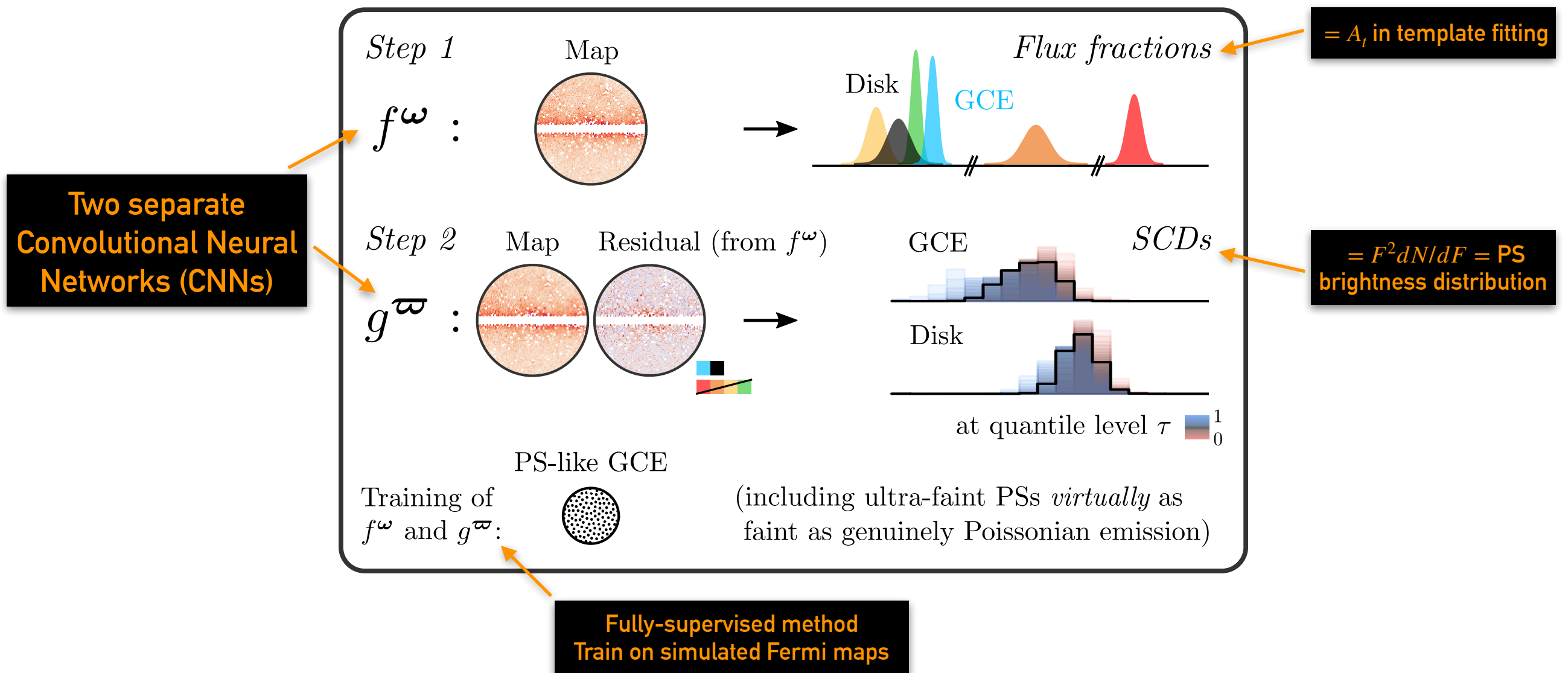
NPTF only approximates the  
true likelihood - unused  
information ML can exploit

$$p(d | \theta) \approx \prod p_{\text{P}}^{(p)} \times p_{\text{NPTF}}^{(p)}$$

For additional discussion, further issues  
with NPTF, and an improved likelihood,  
see [Collin, NLR, Erjavec, Perez 2021]

# A Machine Learning Approach

## A two step approach to the GCE



For an earlier application of CNNs to the GCE, see [Caron+ 2018]

[List, NLR, Lewis 2021]



# A Machine Learning Approach

## Step 1: estimate template flux fractions

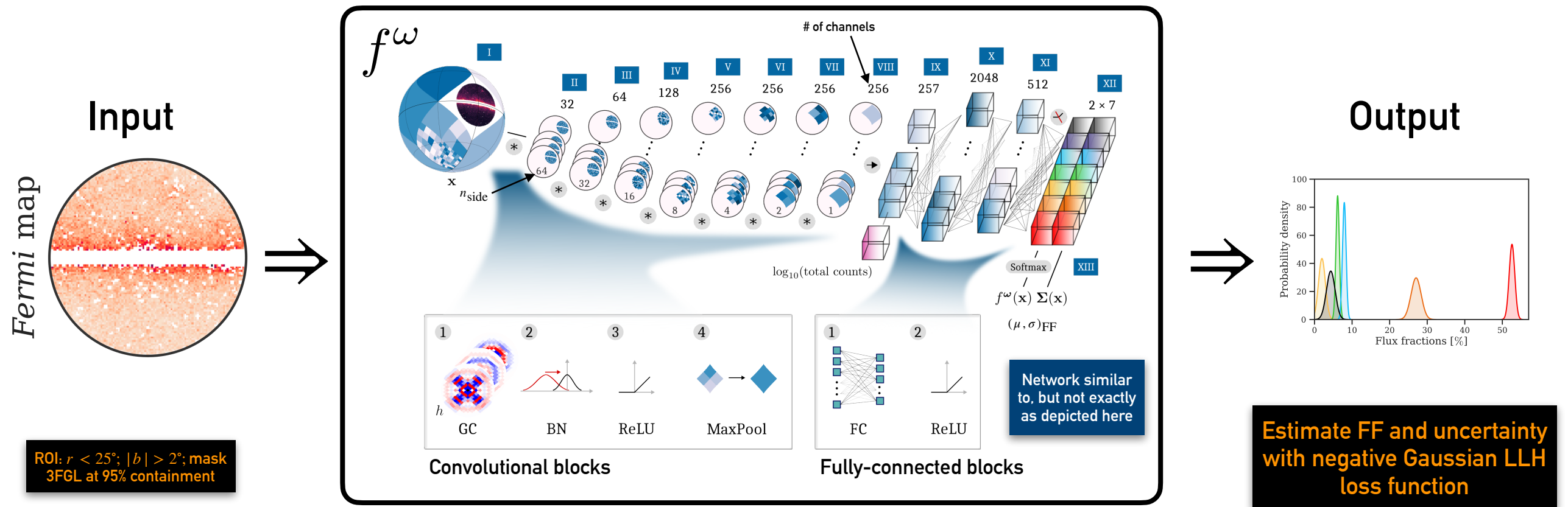


Image from [List, NLR, Lewis, Bhat 2020], see there for network details  
We add 1 layer, as begin with  $n_{\text{side}} = 256$

# A Machine Learning Approach

## Step 1: estimate template flux fractions

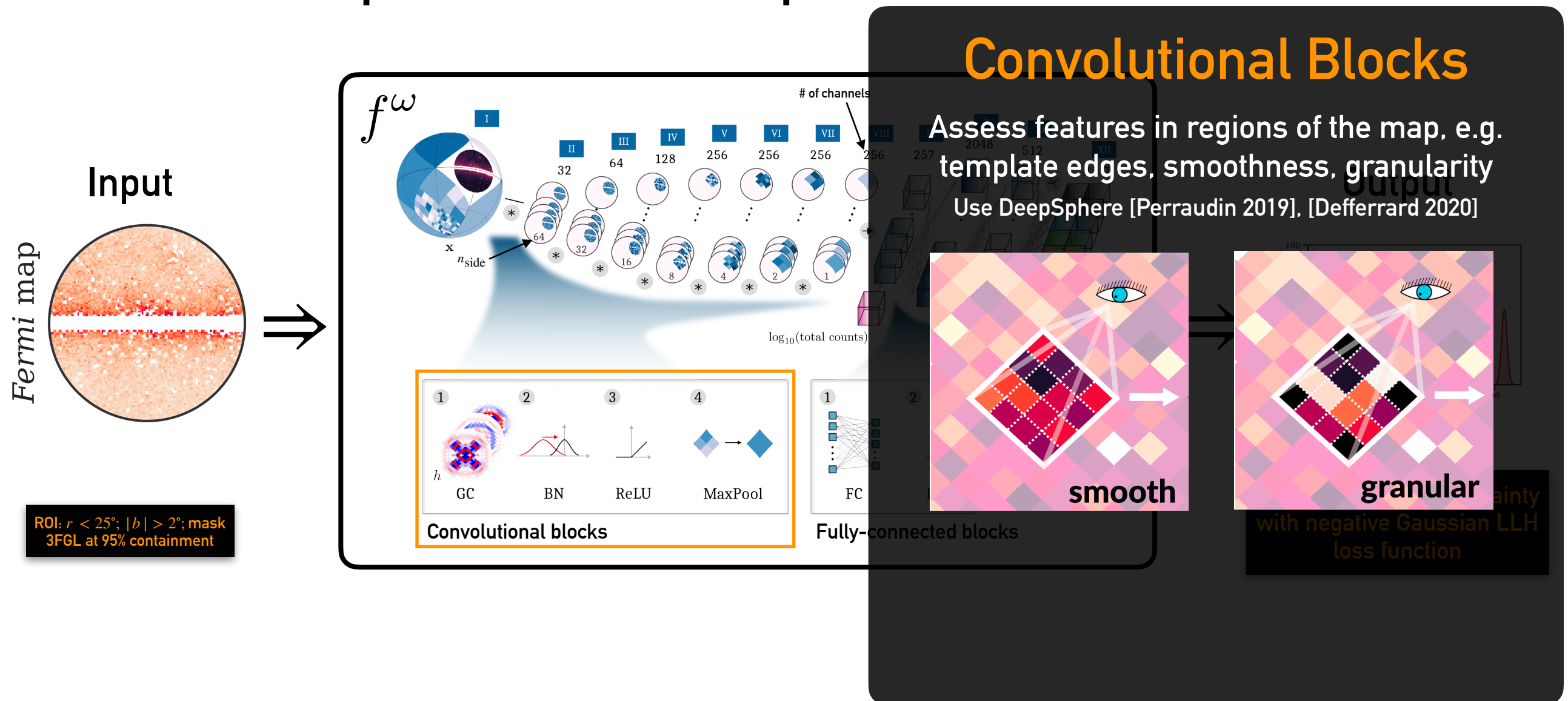


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[List, NLR, Lewis 2021]



# A Machine Learning Approach

## Step 1: estimate template flux fractions

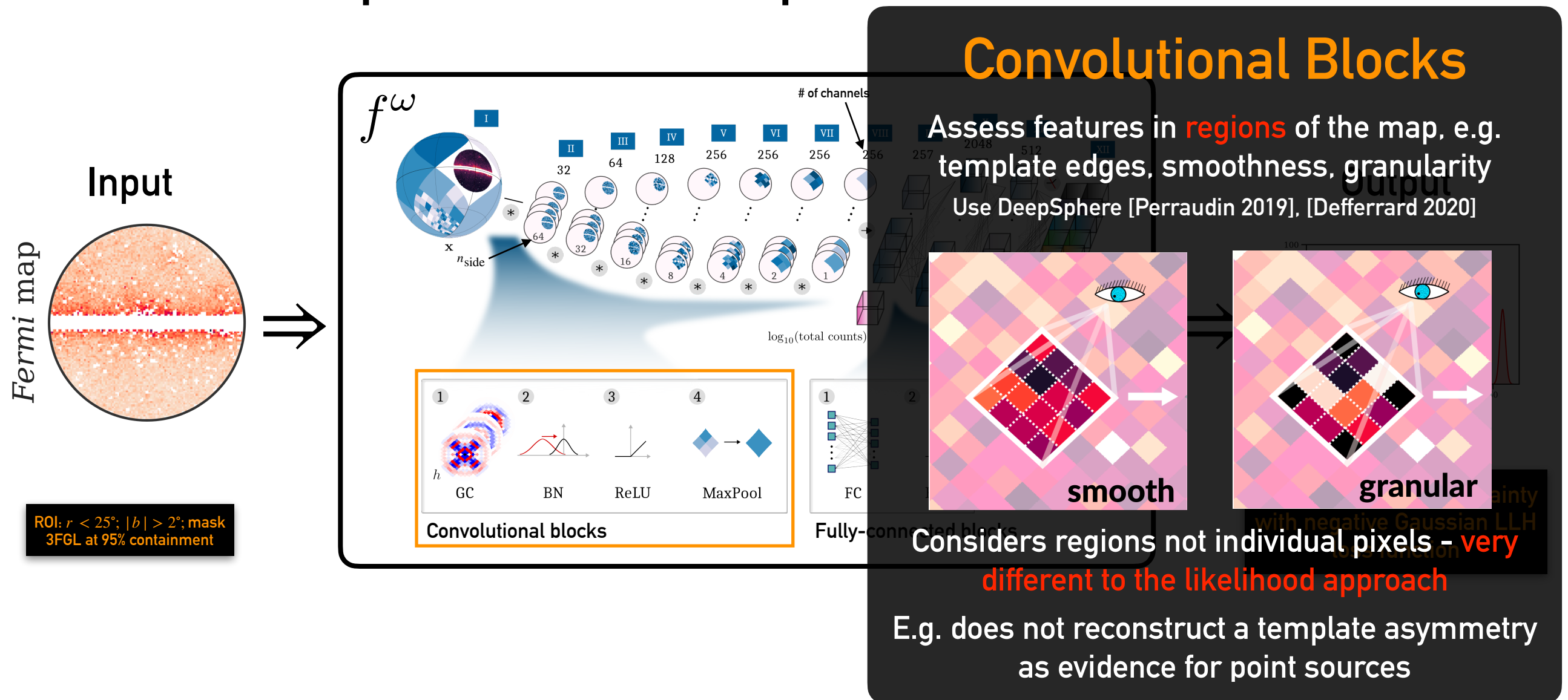
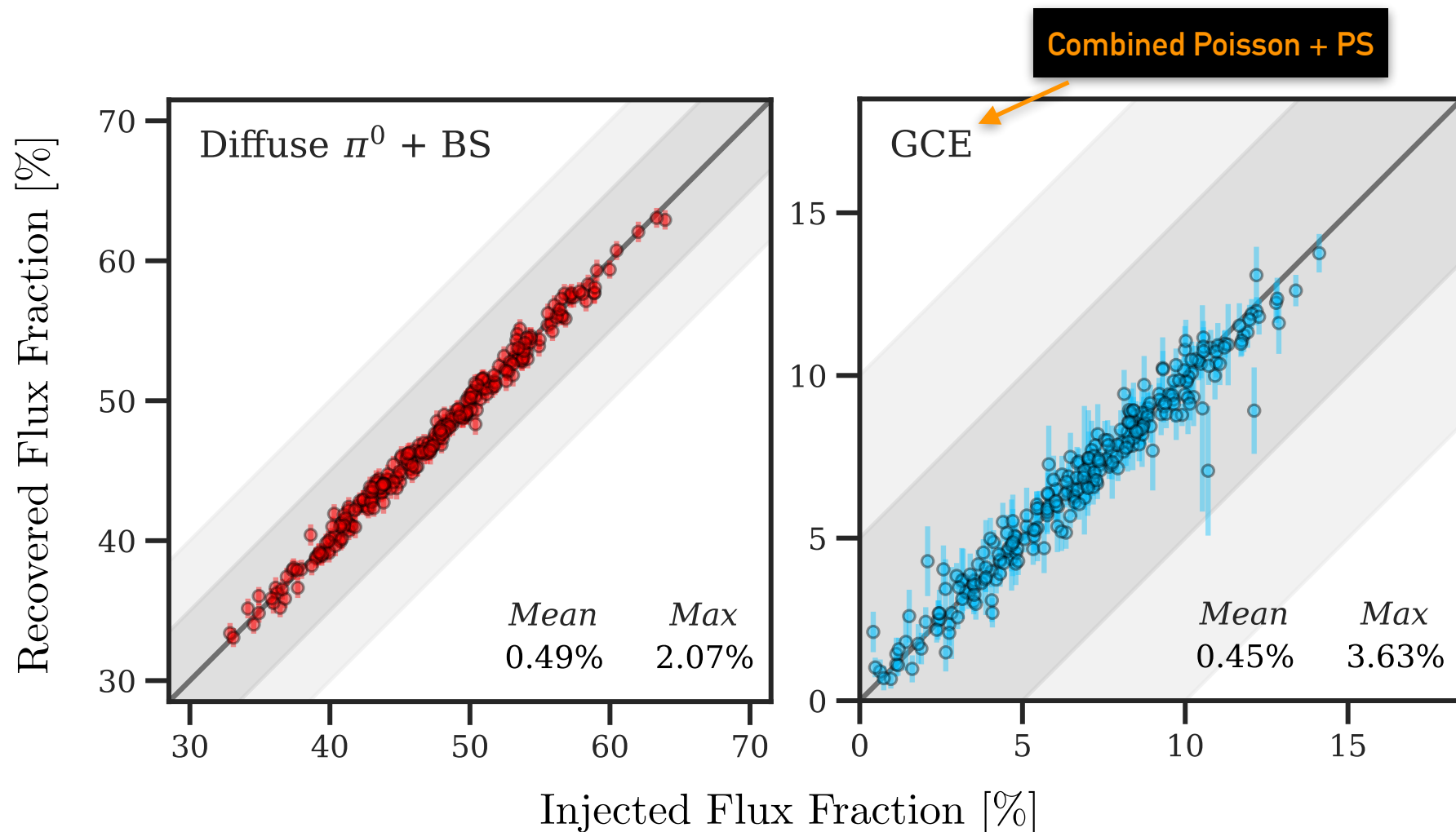


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We add 1 layer, as begin with  $n_{\text{side}} = 256$

[List, NLR, Lewis 2021]

# A Machine Learning Approach

## Step 1: results in simulated data



Not shown: estimates for diffuse IC, isotropic, Fermi bubbles, and disk



# A Machine Learning Approach

## Step 2: estimate GCE & disk $dN/dF$ (SCD)

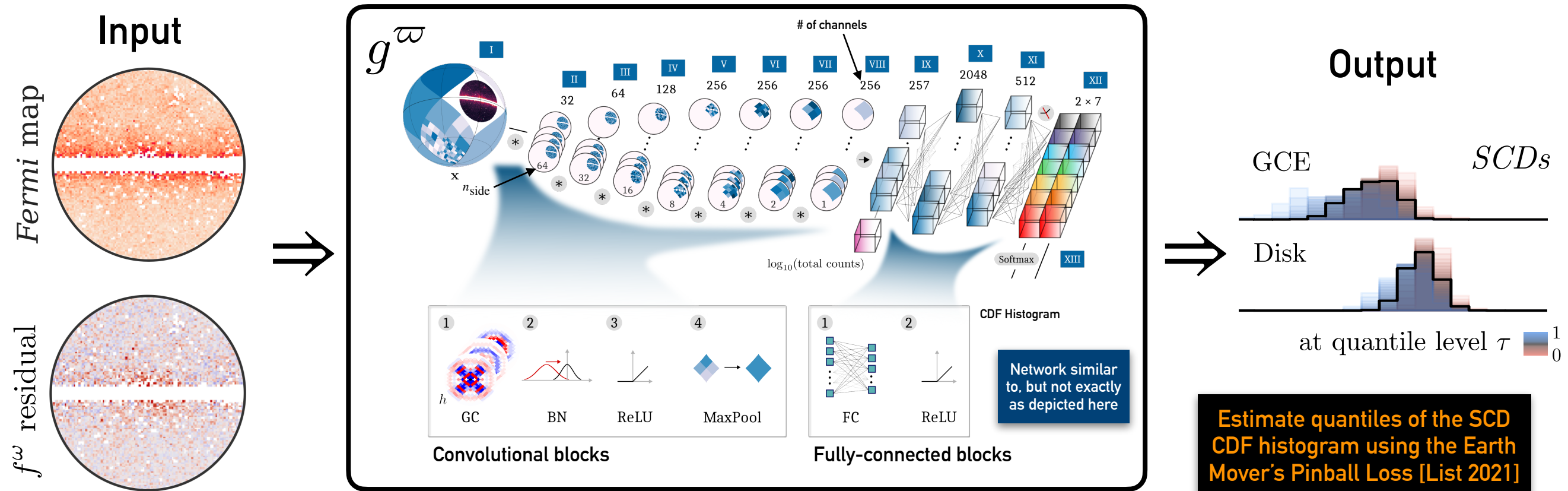
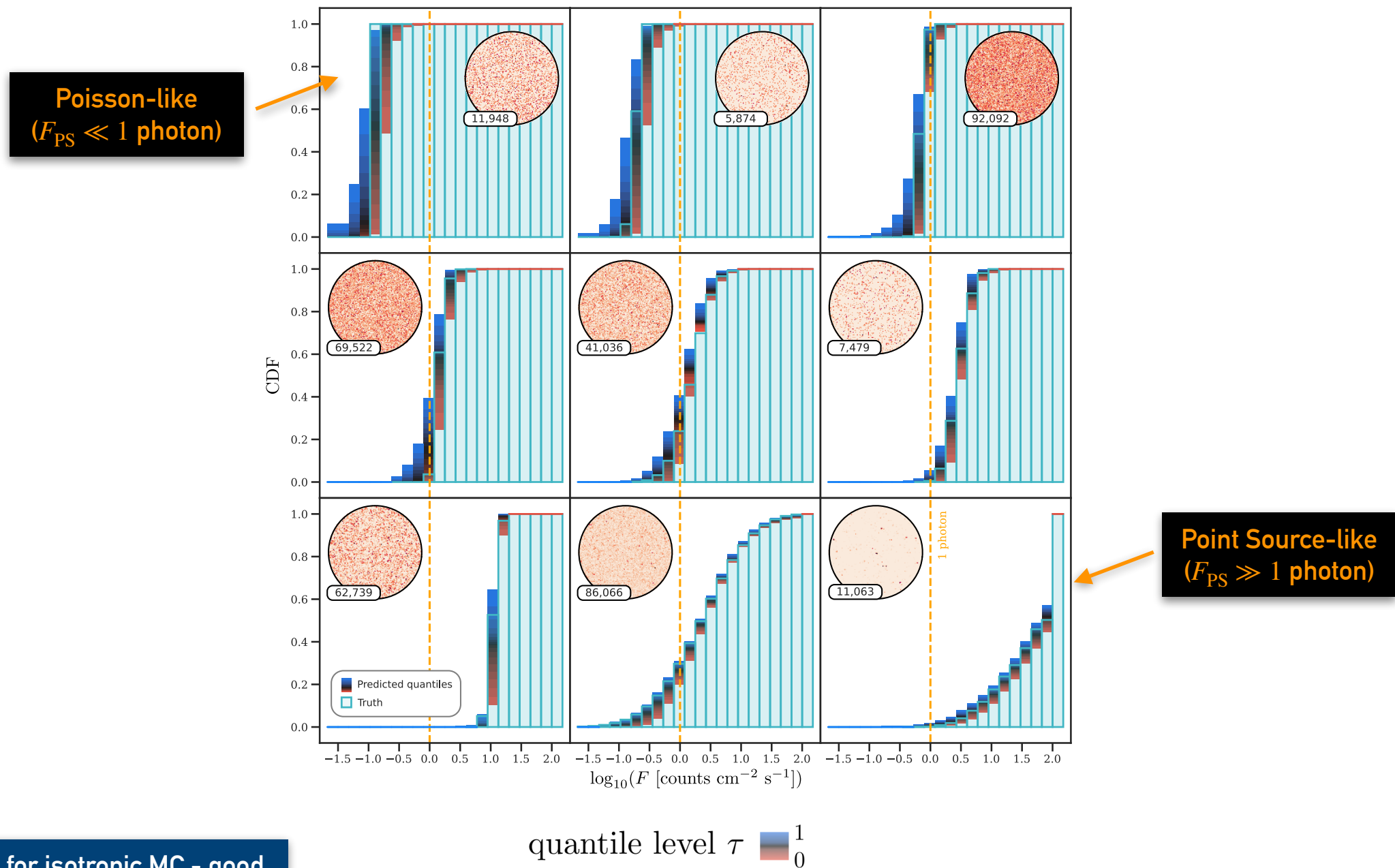


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# A Machine Learning Approach

## Step 2: results in simulated data

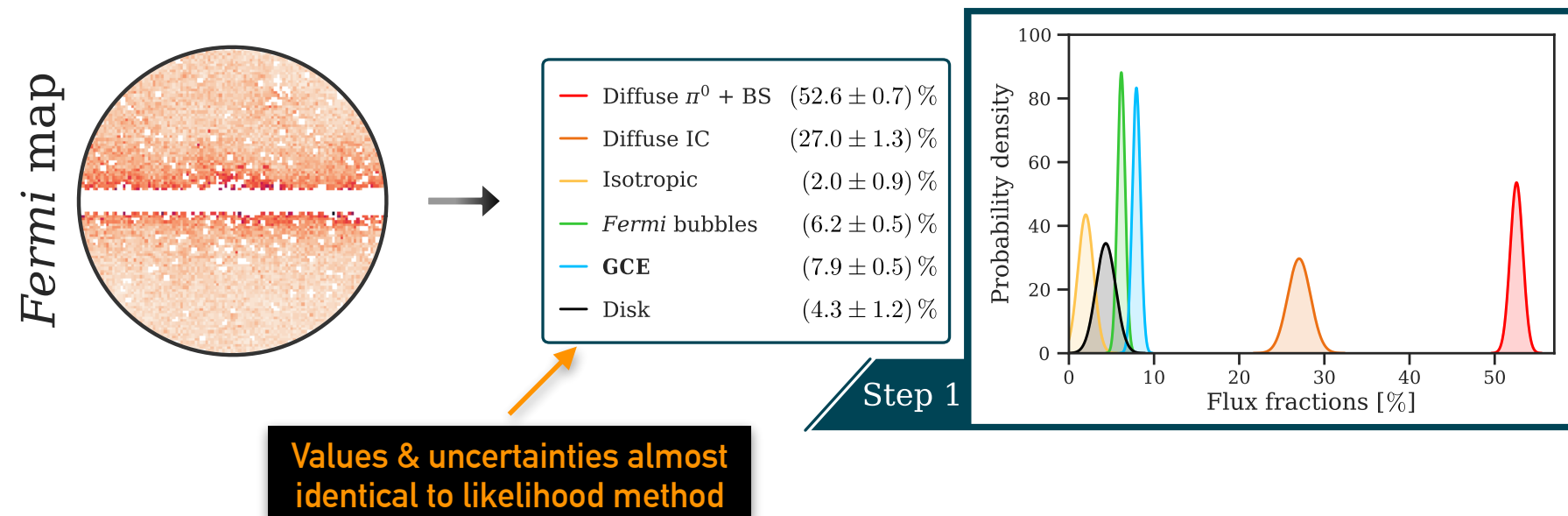


Results shown for isotropic MC - good performance also seen for disk & GCE

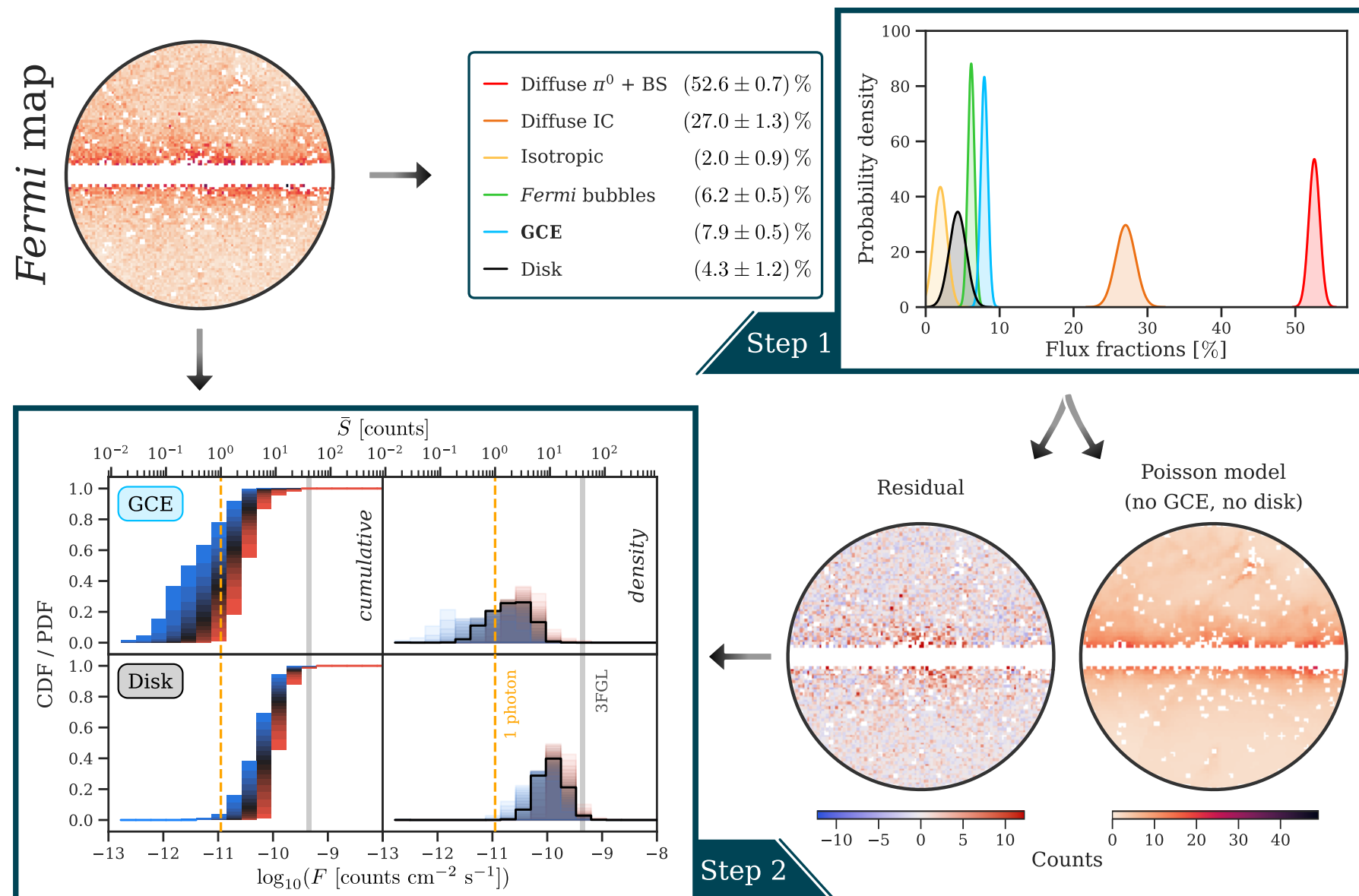
[List, NLR, Lewis 2021]



# Results



# Results



We use latest diffuse models, if use with NPTF, also find a dimmer SCD

SCD  $\Rightarrow N_{\text{PS}} \sim 3 \times 10^4$   
(100% PSs) or  $\sim 6 \times 10^3$   
(brightest 50% PSs)  
Consistent with recent MSP population studies  
e.g. [Gonthier+ 2018], [Ploeg+ 2020]

## Much dimmer GCE SCD than previous results

Consistent with no more than 66% Poisson emission (determined with a 3rd NN)

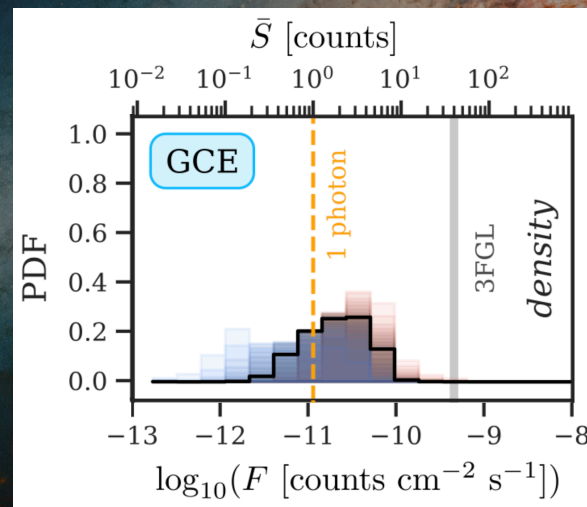
[List, NLR, Lewis 2021]



# Conclusion

Existing GCE analyses are not optimal: room for ML

CNN finds a much dimmer source-count distribution



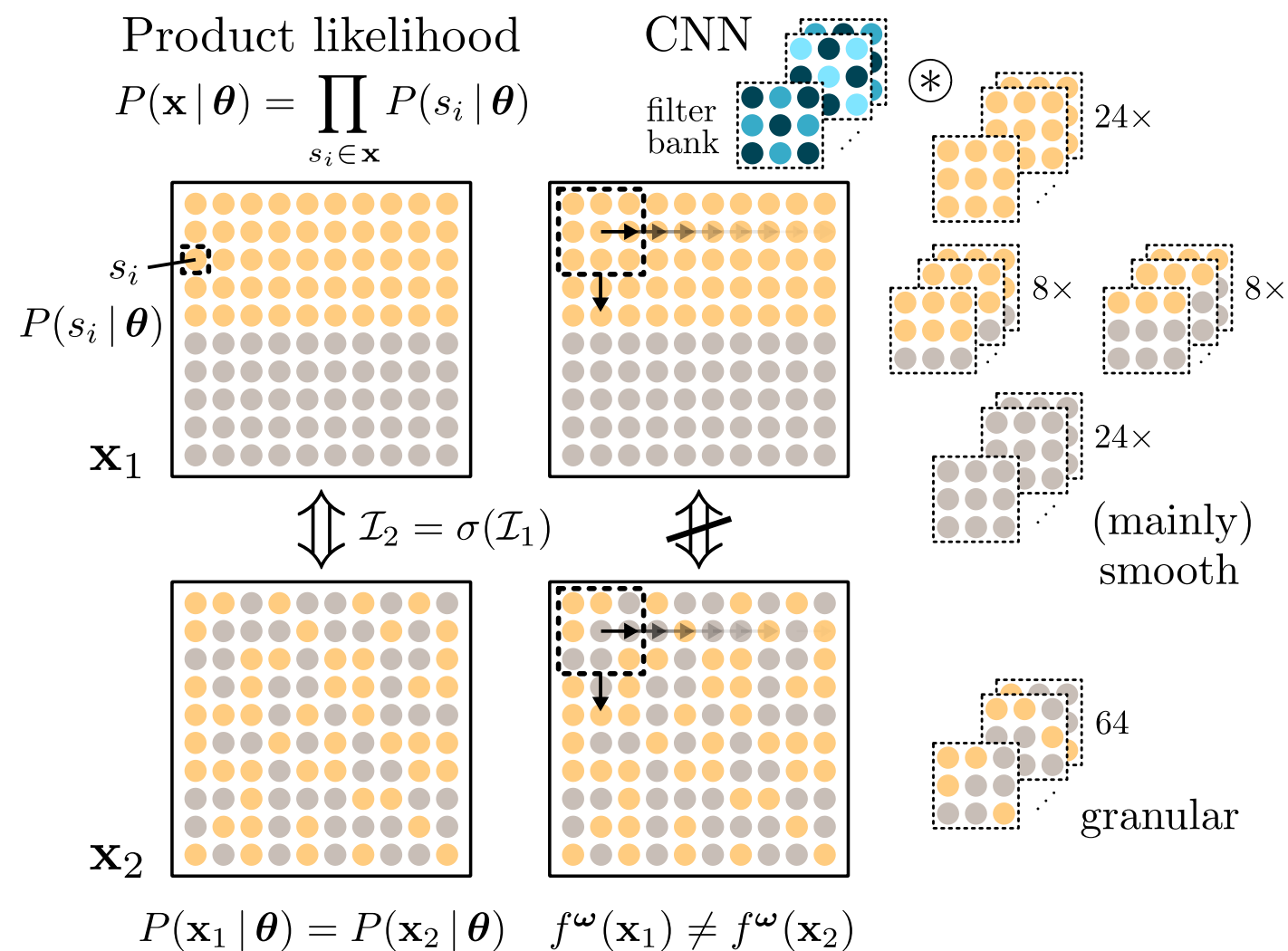
Significant scope to expand and explore ML methods



# Backup Slides

# Likelihood vs CNN

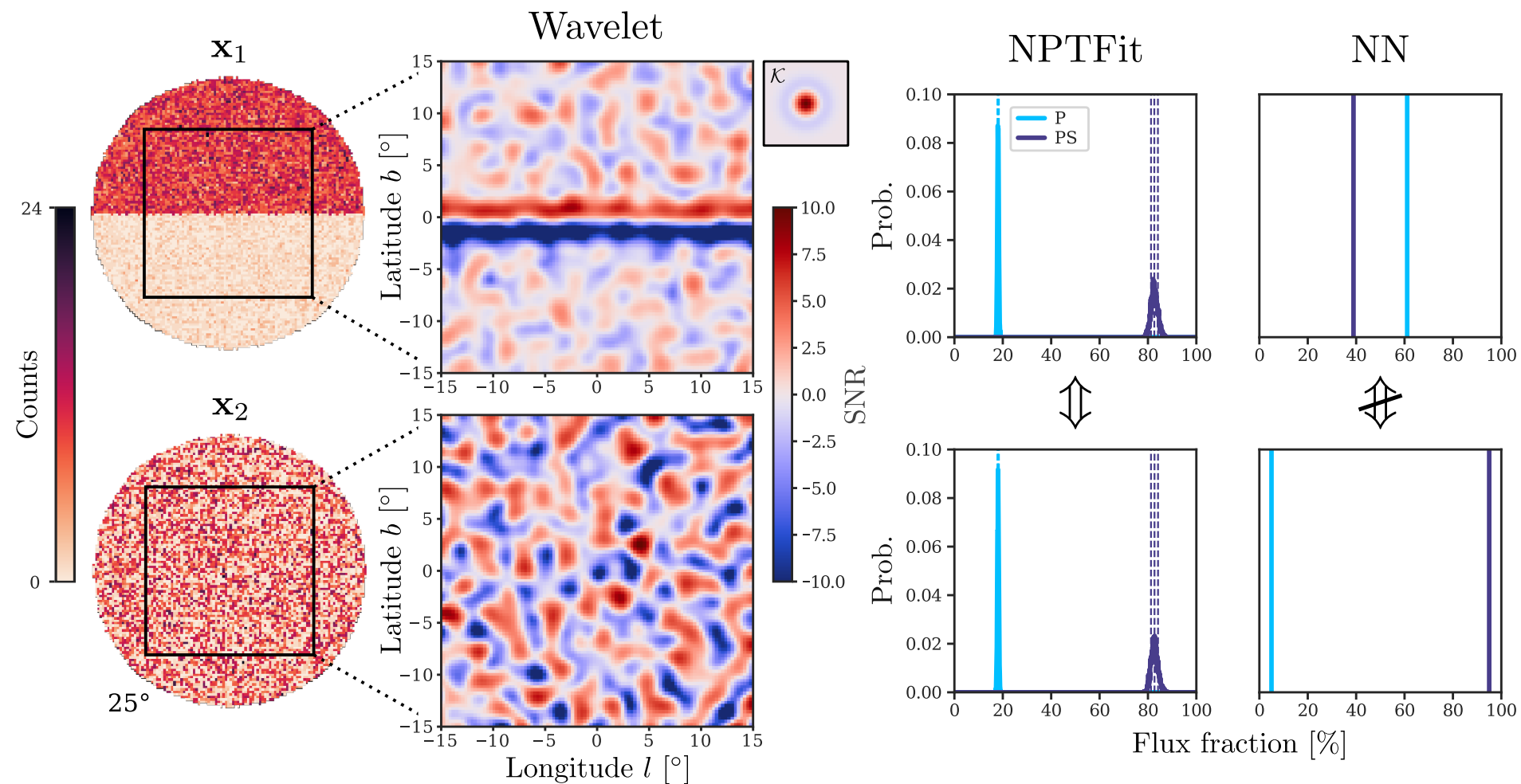
How can the NPTF reconstruct an asymmetry as PSs, but the CNN not?





# Likelihood vs CNN

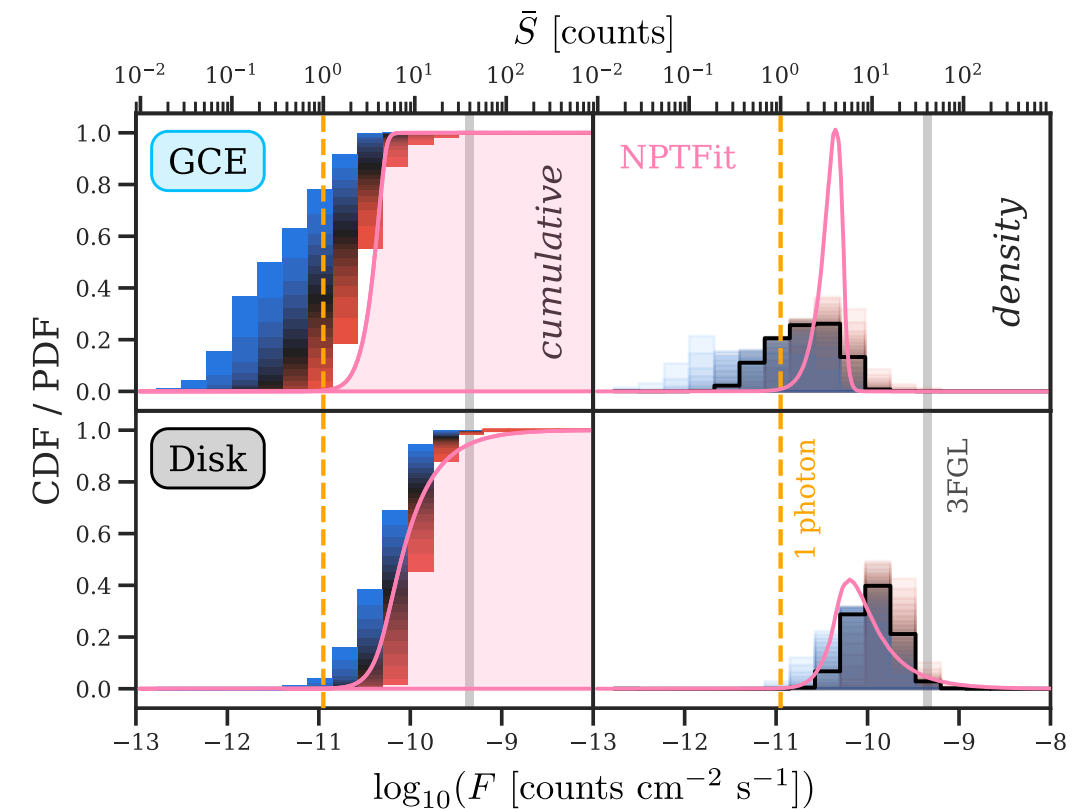
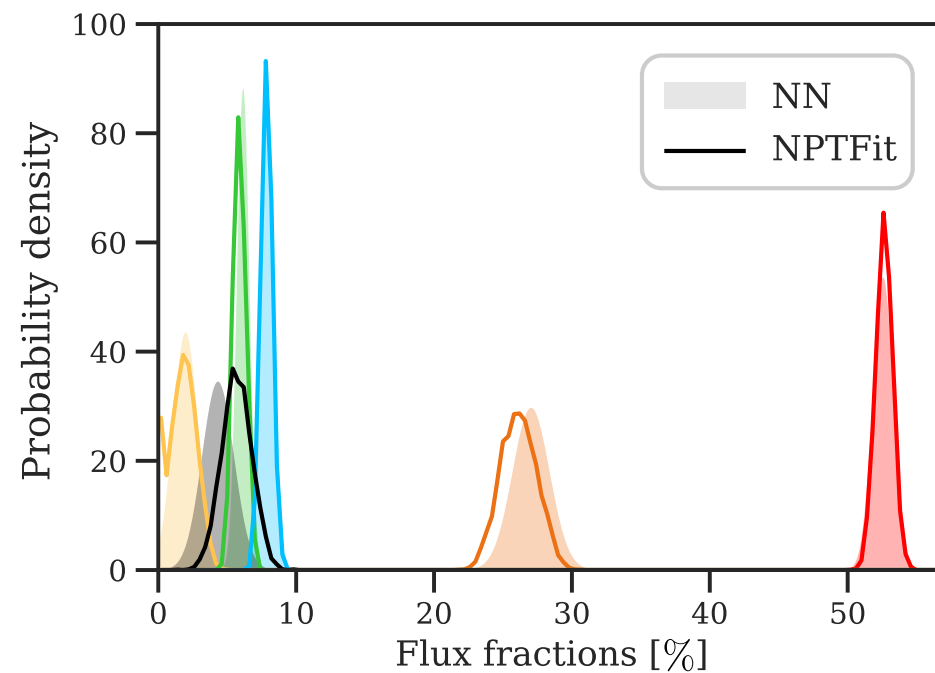
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# A MACHINE LEARNING APPROACH

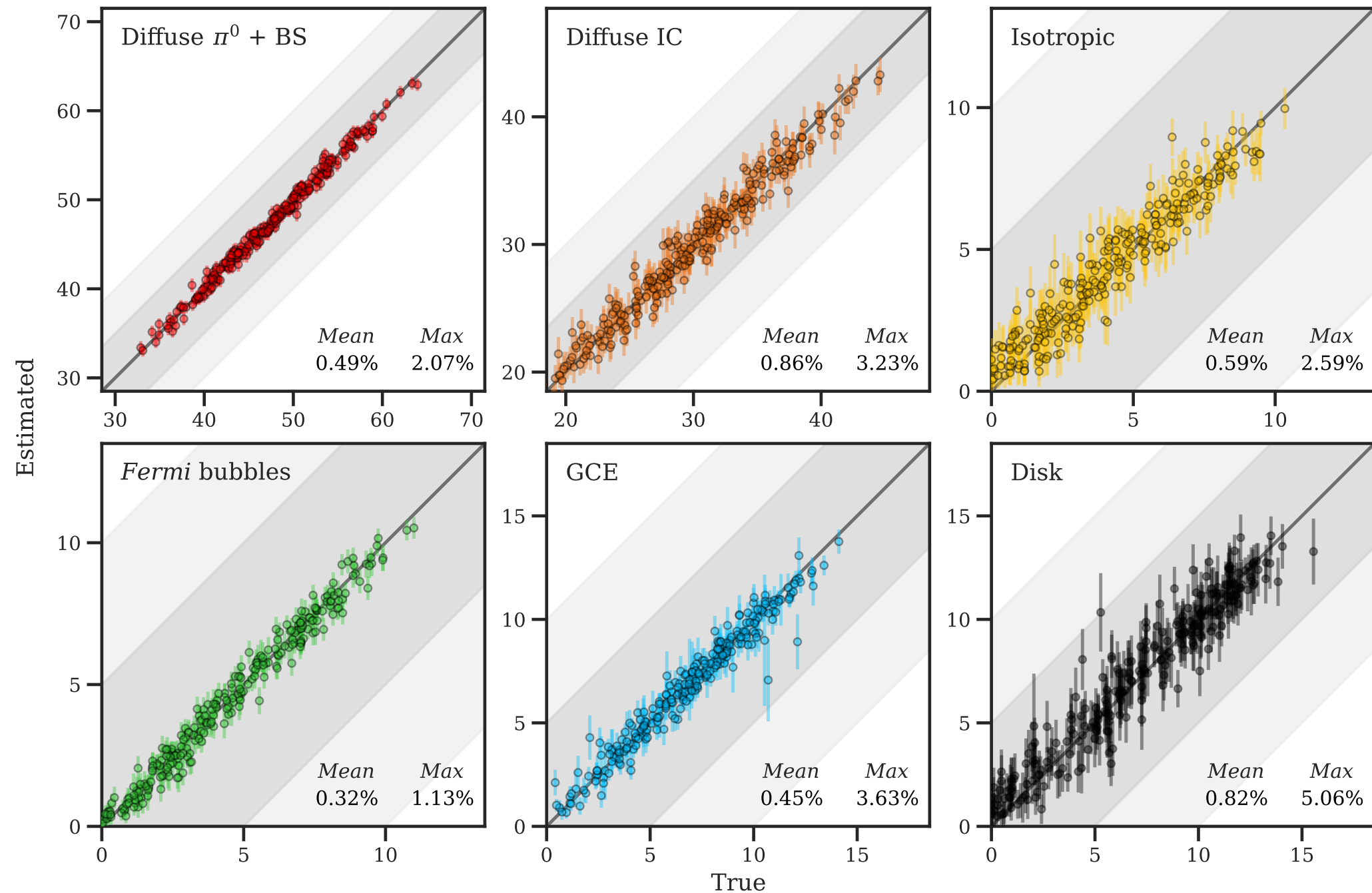
## Likelihood vs CNN

	NN	NPTFit
— Diffuse $\pi^0$ + BS	$(52.6 \pm 0.7) \%$	$(52.6 \pm 0.6) \%$
— Diffuse IC	$(27.0 \pm 1.3) \%$	$(26.1^{+1.4}_{-1.3}) \%$
— Isotropic	$(2.0 \pm 0.9) \%$	$(1.8^{+1.0}_{-1.1}) \%$
— <i>Fermi</i> bubbles	$(6.2 \pm 0.5) \%$	$(5.9^{+0.5}_{-0.4}) \%$
— <b>GCE</b>	$(7.9 \pm 0.5) \%$	$(7.9 \pm 0.4) \%$
— Disk	$(4.3 \pm 1.2) \%$	$(5.7 \pm 1.1) \%$

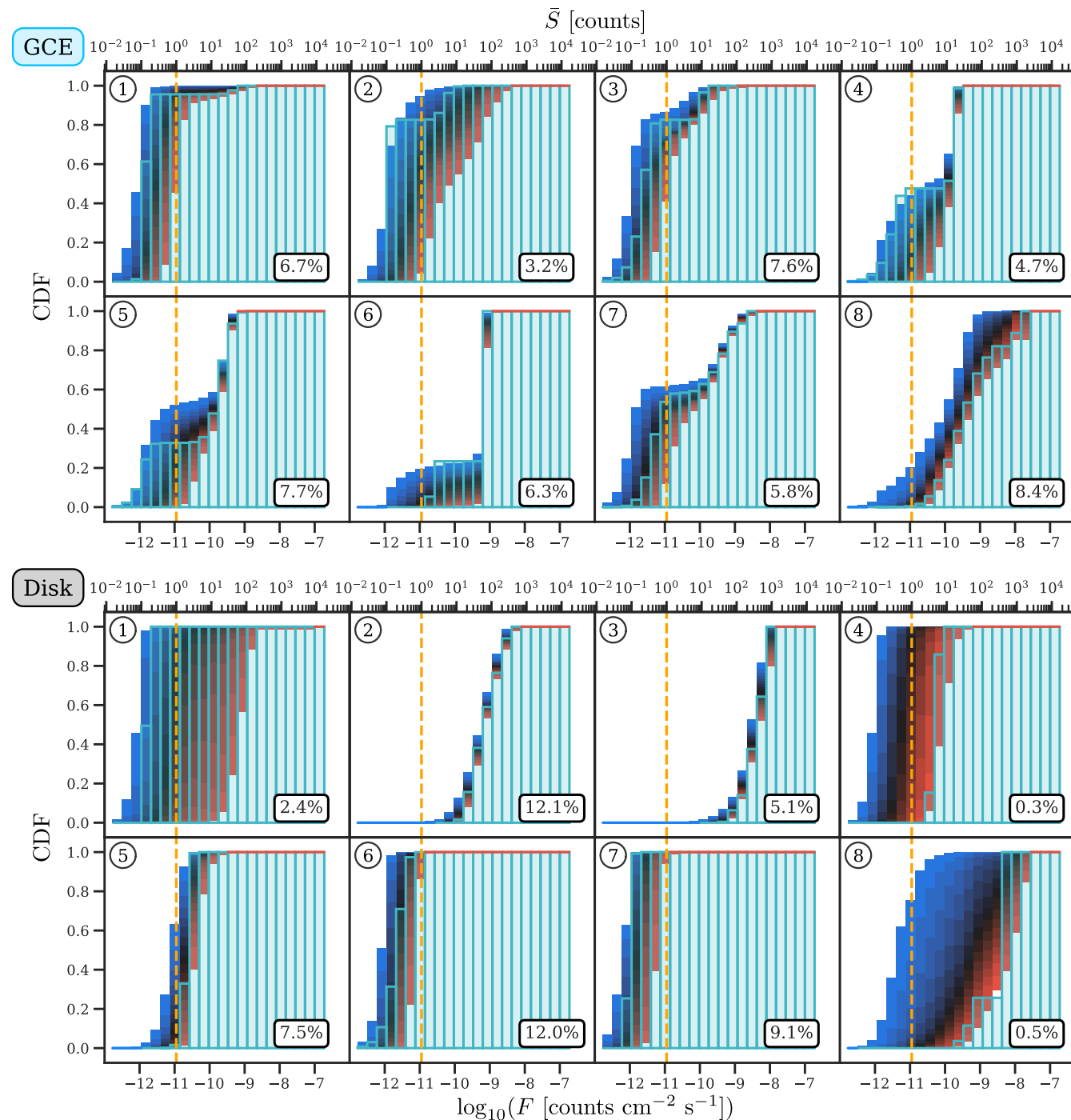




# Step I: Performance on MC

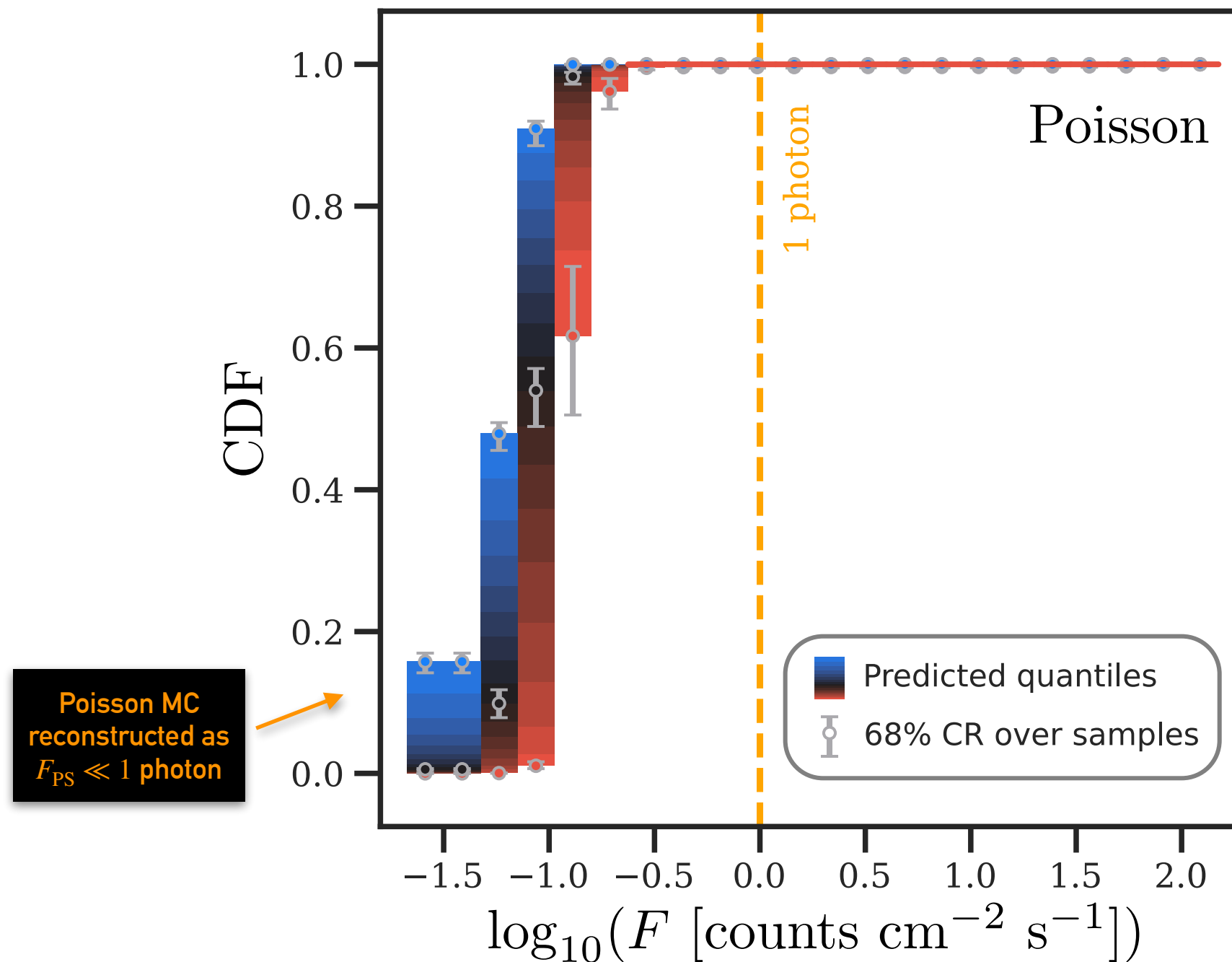


# Step 2: Performance on MC



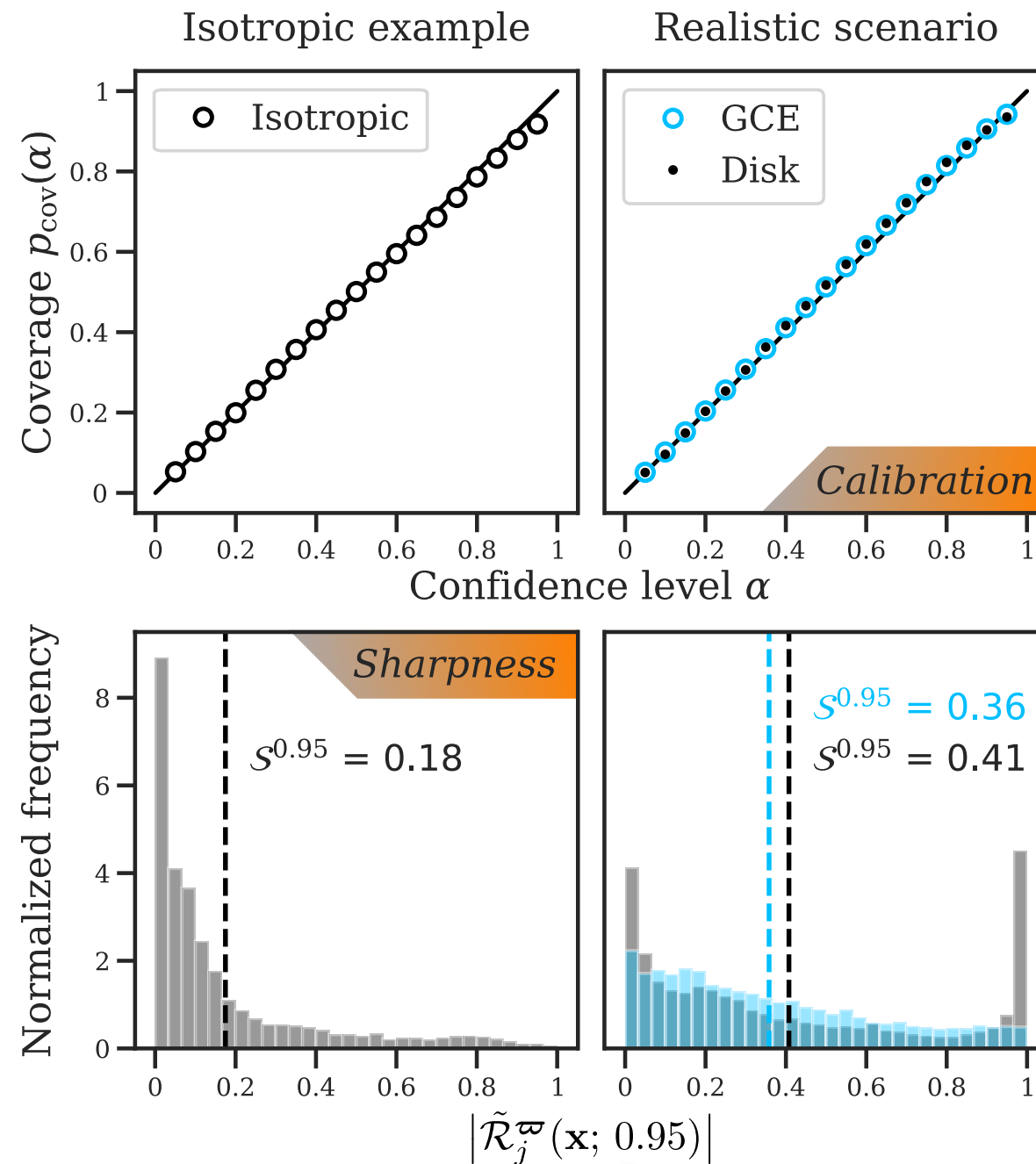


# Step 2: Poissonian MC



# Step 2: Calibration

How often does the true value lie within inferred quantile range

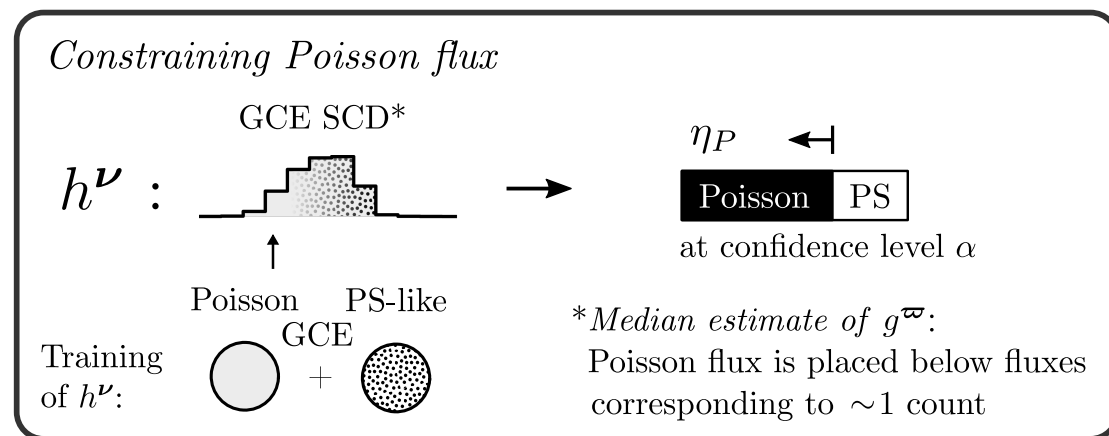


How wide is the 95%-IQR?

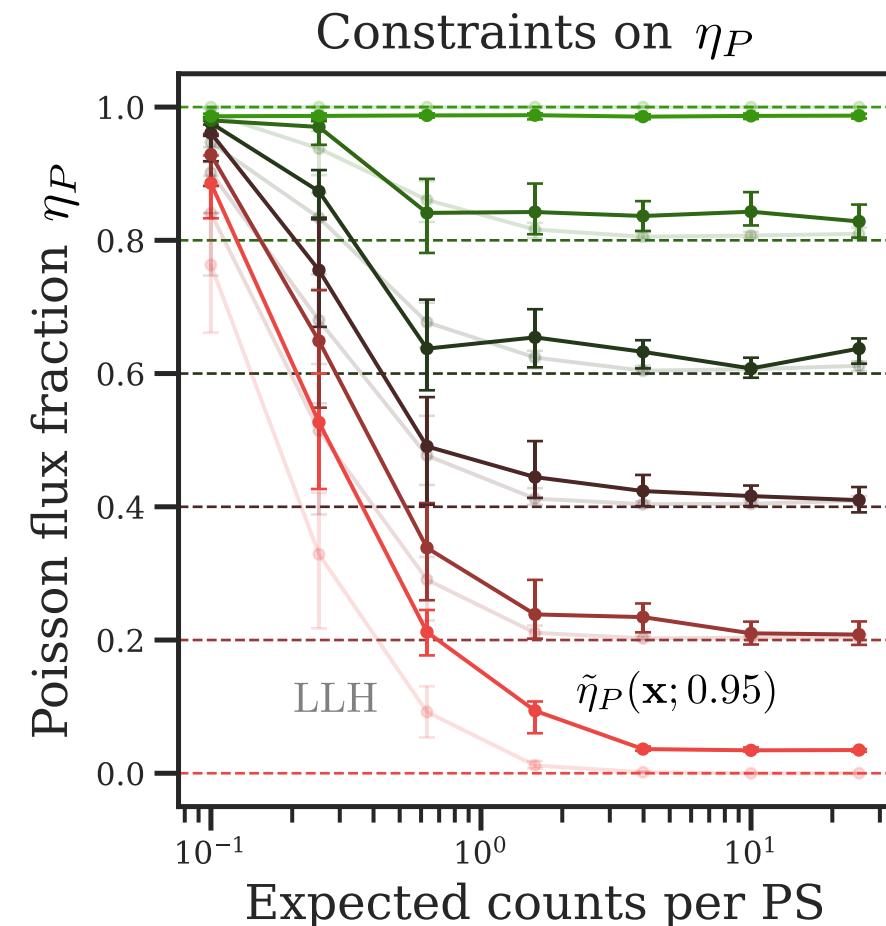
[List, NLR, Lewis 2021]



# Step 3: Constraining $\eta_P$

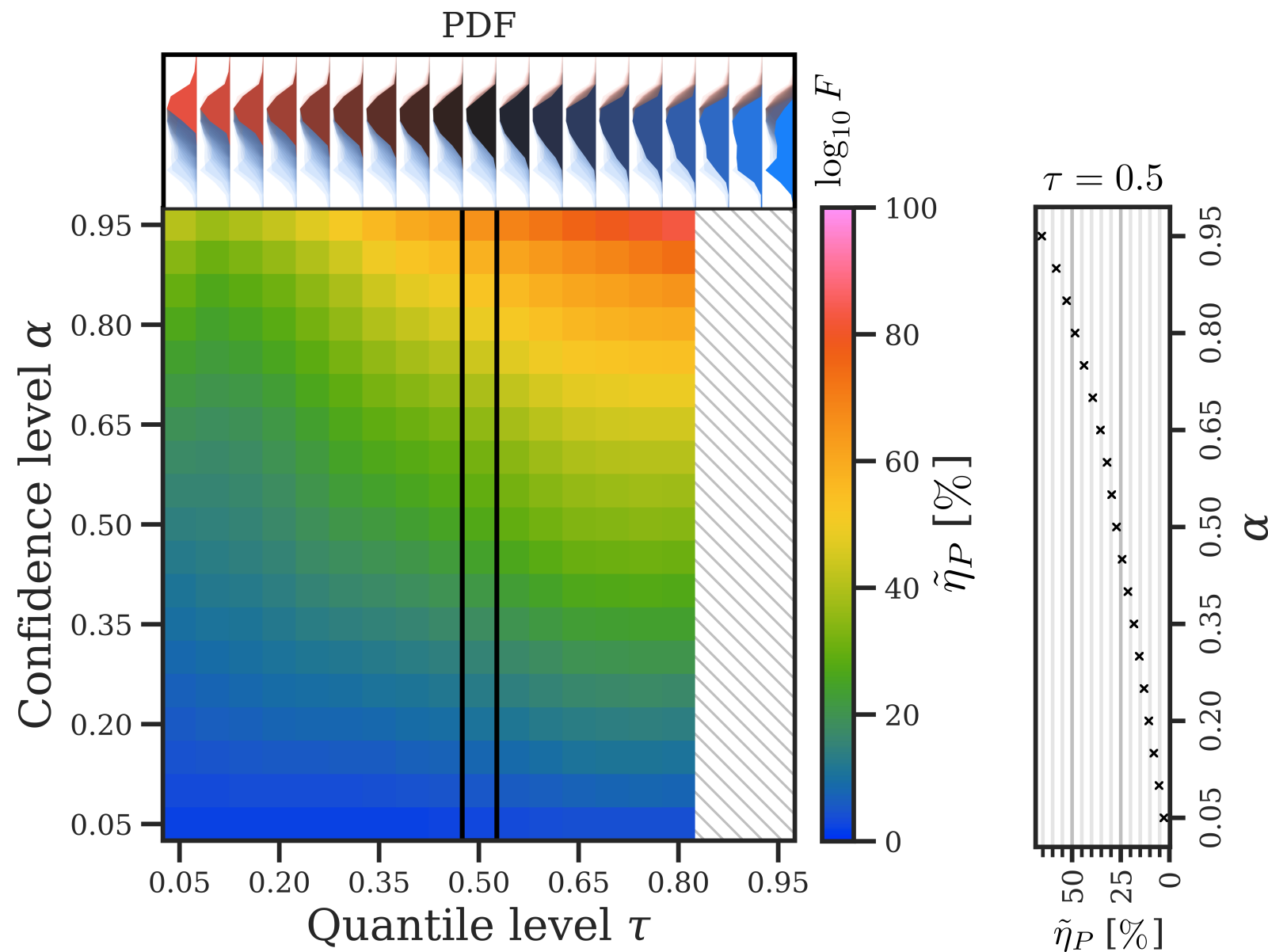


Bright PSs are inconsistent with Poisson emission  
Can constrain the Poisson flux fraction  $\eta_P$  from the data



Performance in isotropic MC with no PSF  
(where LLH approach is correct)

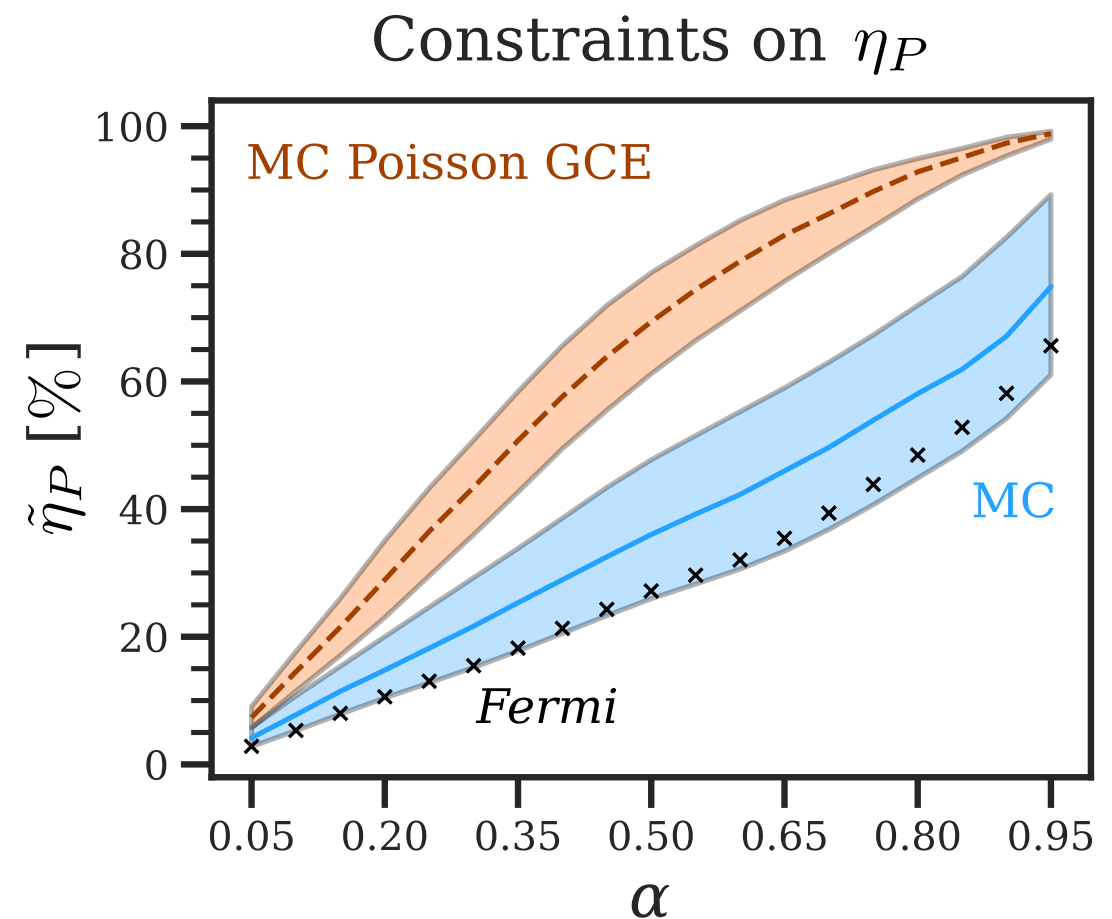
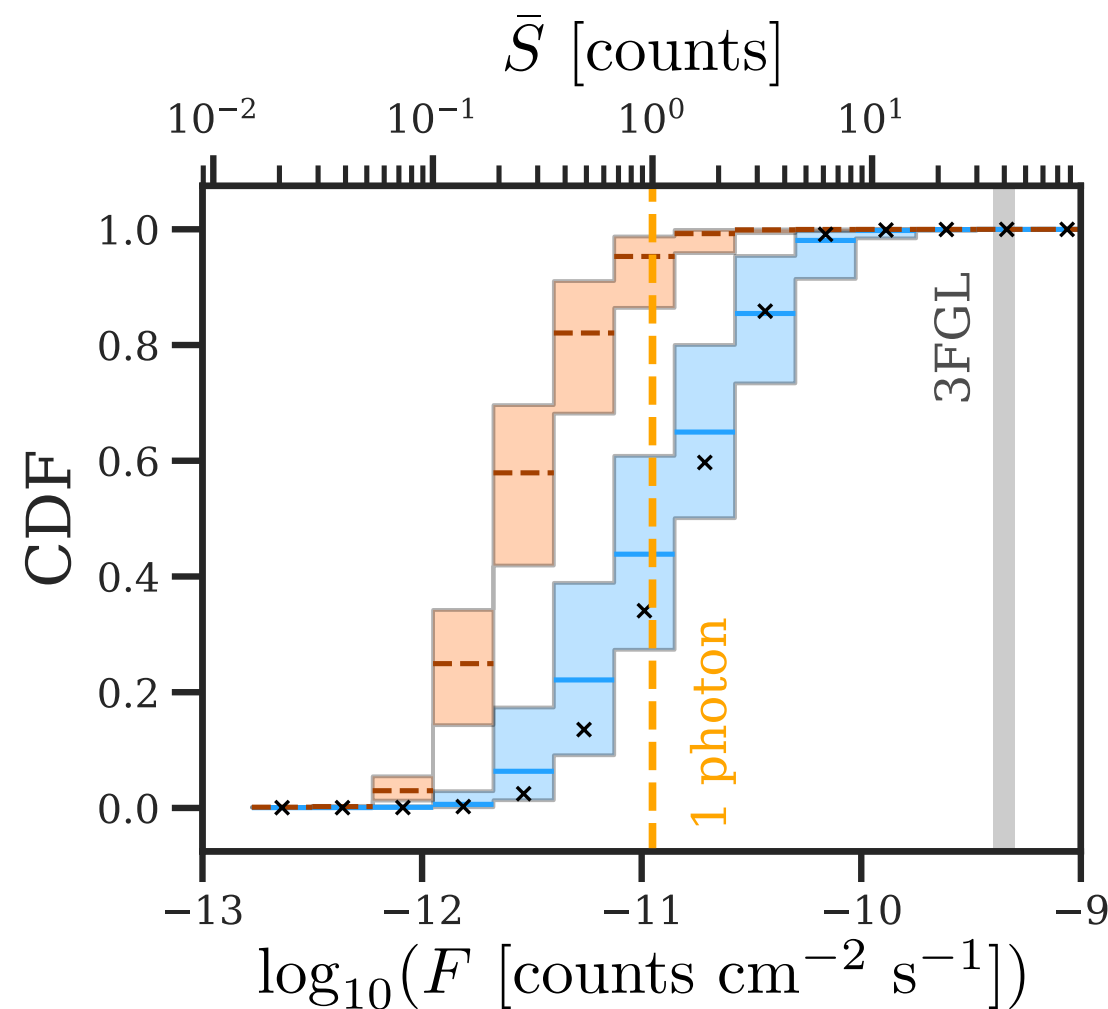
# Step 3: Constraining $\eta_P$



In the Fermi data: at 95% C.L.  $\eta_P < 66\%$

# Systematic Checks

Are the data results consistent with the equivalent MC predictions?

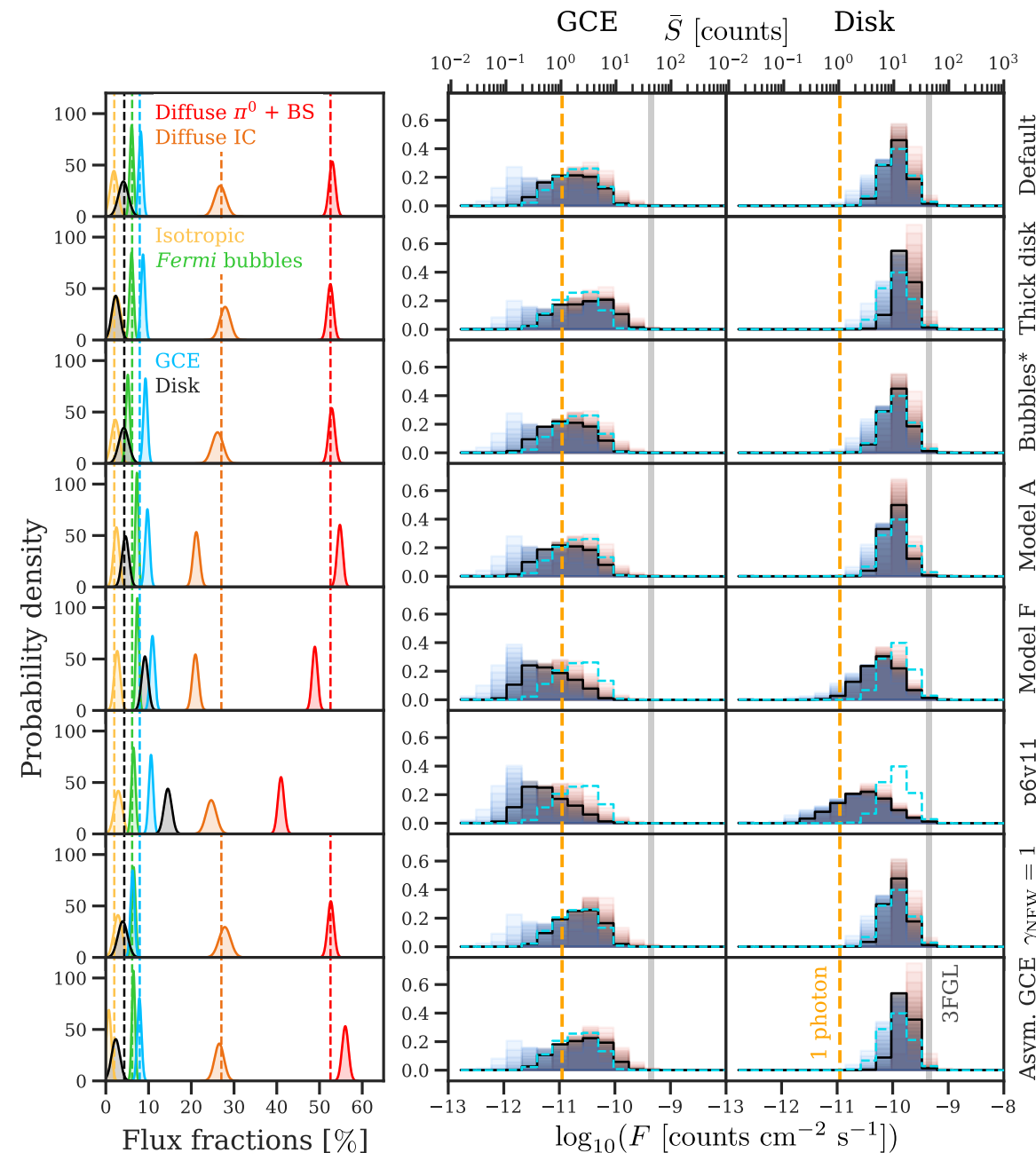


×: results from the real Fermi data



# Systematic Checks

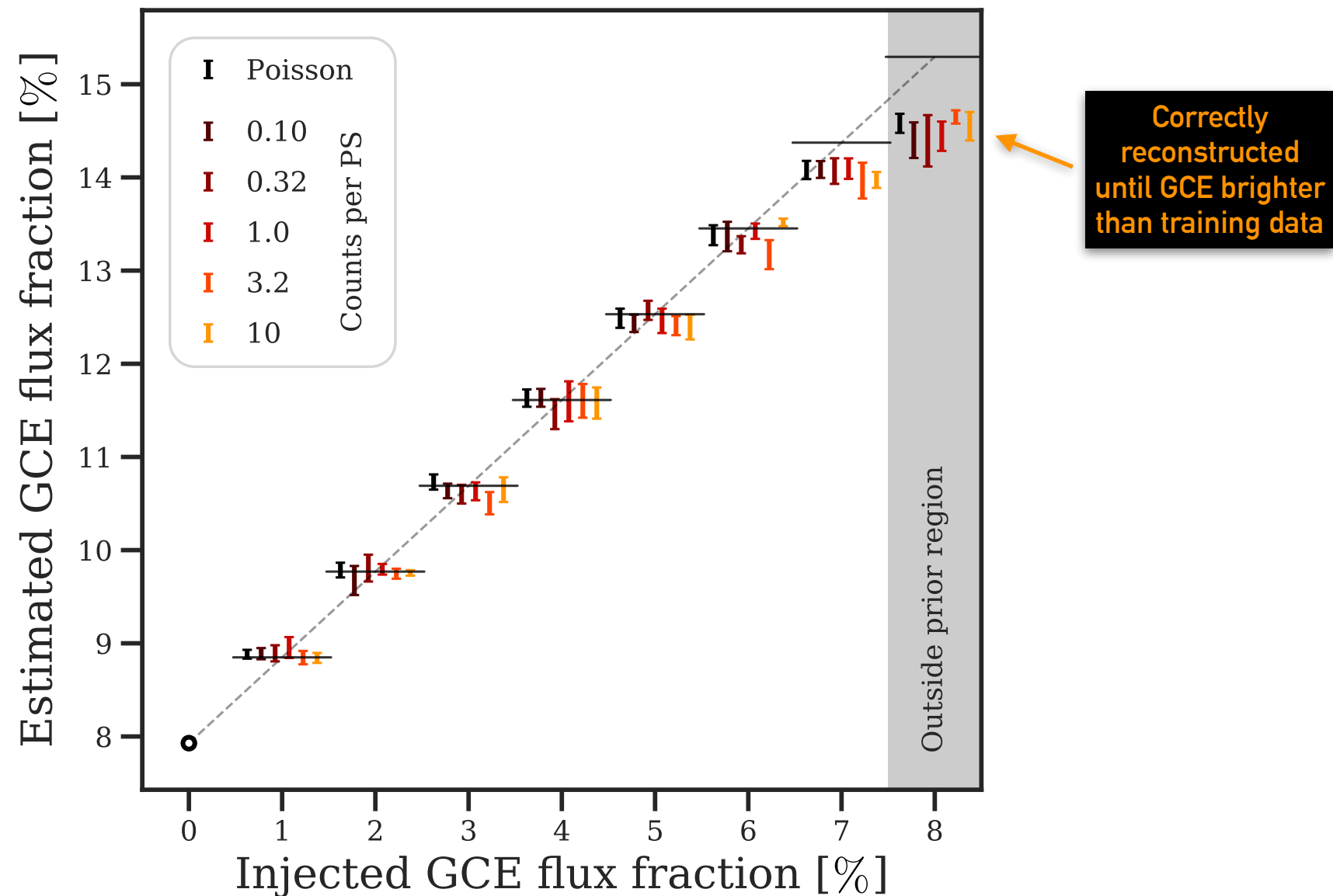
## Performance with mismodeling



Diffuse mismodeling  
reconstructed as  
dimmer SCD - exact  
opposite to NPTF

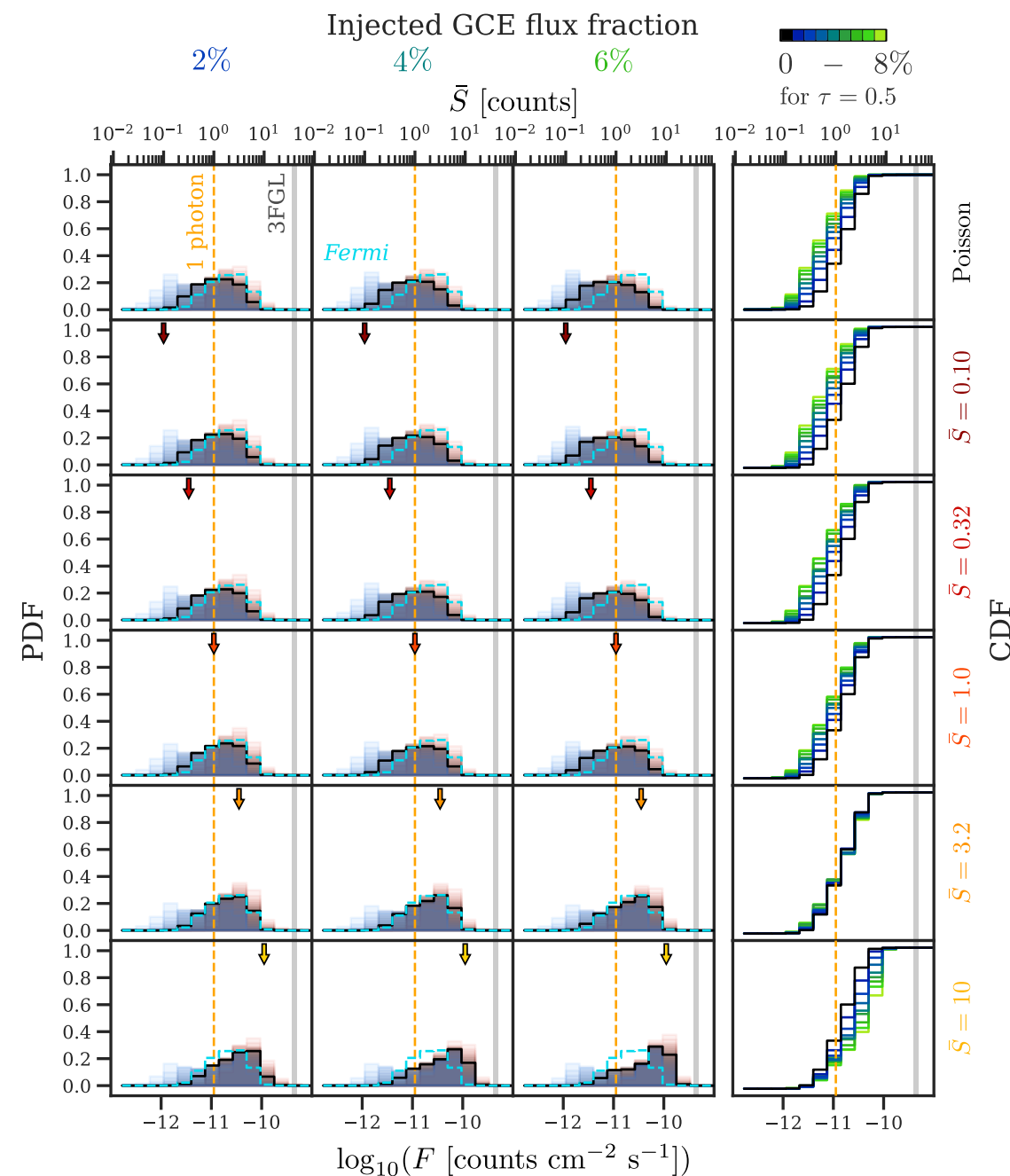
# Systematic Checks

## Recovery of injected signal into Fermi data



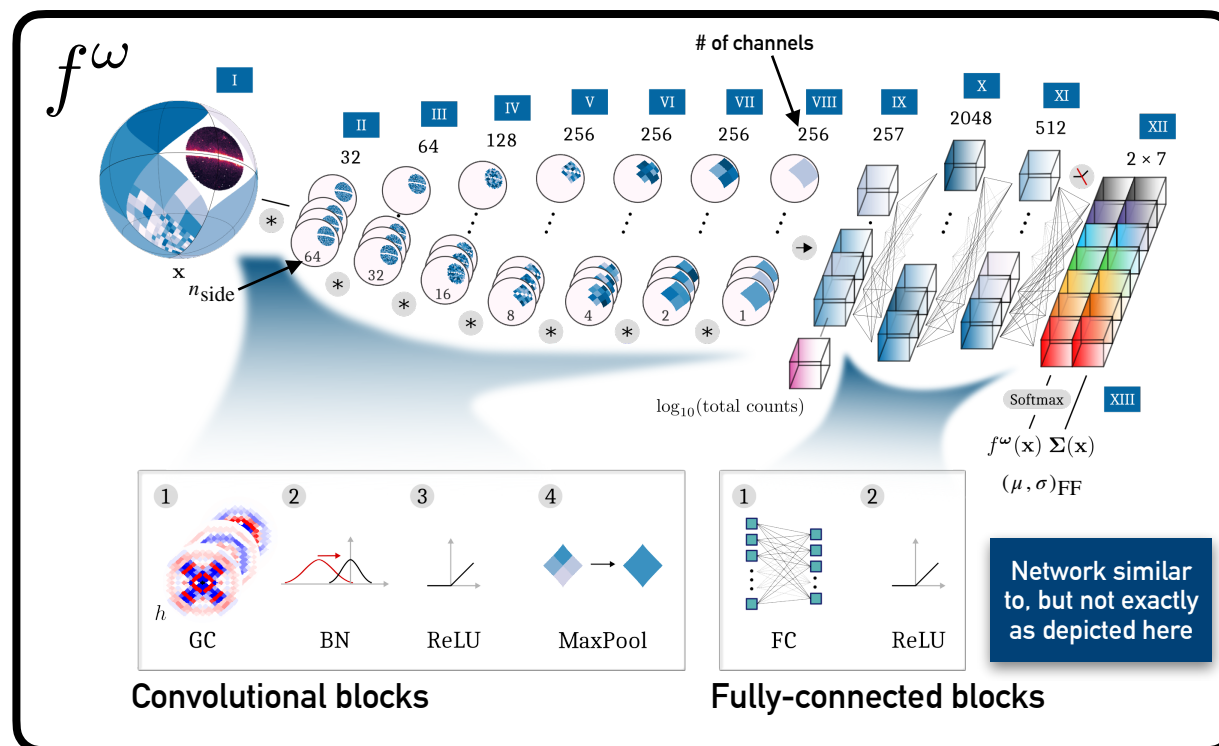
# Systematic Checks

## Recovery of injected signal into Fermi data





# Network parameters



$f^\omega$  (map  $\rightarrow$  template flux fractions):

Layer	Operations	Output shape	Output $n_{\text{side}}$	Trainable parameters
I	Input map (normalized)	$30,805 \times 1$	256	—
II	ConvBlock	$8,117 \times 32$	128	$160 + 32$
III	ConvBlock	$2,199 \times 64$	64	$10,240 + 64$
IV	ConvBlock	$598 \times 128$	32	$40,960 + 128$
V	ConvBlock	$164 \times 256$	16	$163,840 + 256$
VI	ConvBlock	$50 \times 256$	8	$327,680 + 256$
VII	ConvBlock	$14 \times 256$	4	$327,680 + 256$
VIII	ConvBlock	$4 \times 256$	2	$327,680 + 256$
IX	ConvBlock	$1 \times 256$	1	$327,680 + 256$
X	Append $\log_{10}(S_{\text{tot}})$	$1 \times 257$	—	—
XI	ReLU $\circ$ FC	$1 \times 2,048$	—	$526,336 + 2,048$
XII	ReLU $\circ$ FC	$1 \times 512$	—	$1,048,576 + 512$
XIII	Reshape $\circ$ FC	$2 \times 6$	—	$6,144 + 0$
XIV	Softmax (means only)	$2 \times 6$	—	—
				3,111,040

$g^\omega$  (map  $\rightarrow$  SCD histograms):

Layer	Operations	Output shape	Output $n_{\text{side}}$	Trainable parameters
I	Input map (normalized)	$30,805 \times 2$	256	—
II	ConvBlock	$8,117 \times 32$	128	$320 + 32$
III	ConvBlock	$2,199 \times 64$	64	$10,240 + 64$
IV	ConvBlock	$598 \times 128$	32	$40,960 + 128$
V	ConvBlock	$164 \times 256$	16	$163,840 + 256$
VI	ConvBlock	$50 \times 256$	8	$327,680 + 256$
VII	ConvBlock	$14 \times 256$	4	$327,680 + 256$
VIII	ConvBlock	$4 \times 256$	2	$327,680 + 256$
IX	ConvBlock	$1 \times 256$	1	$327,680 + 256$
X	Append $\log_{10}(S_{\text{tot}})$	$1 \times 257$	—	—
XI	Append $\tau$	$1 \times 258$	—	—
XII	ReLU $\circ$ FC	$1 \times 2,048$	—	$528,384 + 2,048$
XIII	ReLU $\circ$ FC	$1 \times 512$	—	$1,048,576 + 512$
XIV	Reshape $\circ$ FC	$2 \times 22$	—	$22,528 + 0$
XV	Normalized softplus	$2 \times 22$	—	—
				3,129,632

$h^\nu$  (GCE SCD histogram  $\rightarrow$  Poissonian flux fraction  $\eta_P$ ):

Layer	Operations	Output shape	Trainable parameters
I	Input histogram	22	—
II	Append $\alpha$	23	—
III	ReLU $\circ$ FC	256	$5,888 + 256$
IV	ReLU $\circ$ FC	256	$65,536 + 256$
V	Sigmoid $\circ$ FC	1	$256 + 1$
			72,193