

# Neutrinos: Theory

Pilar Hernández IFIC University of Valencia





>20 years of revolutionary neutrino experiments have revealed neutrino masses and a new flavour sector, which does not quite fit in the Standard Model



# Neutrino Theory: vSM in the making



# The Standard Model+massive v

$\overline{({f 1},{f 2})_{-rac{1}{2}}}$	$({f 3},{f 2})_{-rac{1}{6}}$	$({f 1},{f 1})_{-1}$	$({f 3},{f 1})_{-rac{2}{3}}$	$({f 3},{f 1})_{-rac{1}{3}}$	$({f 1},{f 1})_0$
$\begin{array}{c} \\ \begin{pmatrix} \nu_e \\ e \end{pmatrix}_{_L} \end{array}$	$\begin{pmatrix} u^i \\ d^i \end{pmatrix}_{_L}$	$e_R$	$u_R^i$	$d_R^i$	$ u_R^1$
$\binom{\nu_{\mu}}{\mu}_{_L}$	$\begin{pmatrix} c^i \\ s^i \end{pmatrix}_{_L}$	$\mu_R$	$c_R^i$	$s_R^i$	$ u_R^2$
$\begin{pmatrix} \nu_{\tau} \\ \tau \end{pmatrix}_{L}$	$\begin{pmatrix} t^i \\ b^i \end{pmatrix}_{_L}$	$ au_R$	$t_R^i$	$b_R^i$	$ u_R^3$

# The Standard Model+massive v

With arbitrary choice: global L  $\nu_B^i 
ightarrow e^{i lpha} \nu_B^i$ 



- No insight into family structure/parity breaking/lepton-quark complementarity...
- Less predictivity (7 more free parameters): 3 masses, 3 mixing angles, 1 CP phase

## SM+3 massive neutrinos: Global Fits



Also Esteban, et al '20; Salas et al, '20

# SM+3 massive neutrinos: Global Fits



Hints for hierarchy/octant/CP violation still not robust

- > Some tensions in the data (eg. NOVA vs T2K)
- $> \Delta \chi^2$  look different when subsets of data are considered...
- > Rich experimental programme ahead will pin these unknowns

-> See Tortola's talk

# Outliers: LSND+MiniBOONE+Gallium+Reactor



- > Not fully robust against systematics (neutrino cross sections/reactor neutrino fluxes)
- > Still confusing experimental situation, intense experimental programme ongoing
- > Many highly constrained phenomenological models, but no baseline scenario

-> See Lasserre's Talk

## Neutrino Mass Scale

 $H^3 \rightarrow^3 He + e^- + \bar{\nu}_e$ 

Kinematic effect of neutrino mass  $\, m_{eta} \,$ 



 $m_{
u} < 0.8 \, {
m eV} \, \left( 90 \, \% \, \, {
m CL} 
ight)$ 

## Neutrino Mass Scale

 $\sum m_{
u}$  strongly constrained by cosmology (LSS, CMB)



Planck '18  $\sum m_{\nu} < 0.12 \text{ eV}$  (95%, *Planck* TT,TE,EE+lowE +lensing+BAO).

# Absolute v mass scale



➤ Some tensions in cosmo data, alternative analysis not including incompatible data relaxed limits ~0.8eV Capozzi et al 2107.00532

Cosmo bounds very sensitive to non-standard neutrino properties and cosmology Chacko et al 1909.05275; 2002.08401; Escudero et al 2007.04994; Barenboim et al 2011.01502; Lorenz et al 2102.13618; Esteban, Salvado 2101.05804;....

# Massive neutrinos: a new flavour perspective

Why are neutrinos so much lighter?



# Massive neutrinos: a new flavour perspective Why do they mix so differently ?

#### CKM



#### $J = (\beta.18 \pm 0.15) \times 10^{-5}$



Many new ideas: Flavour symmetries, Modular invariance,...

-> Talk Ding

# Are Neutrinos cracking the SM ?



Revealing a new physics scale mass of neutrino mass mediators ?

# SM + high scale BSM = SMEFT

What if there is new physics (ie. new fields with mass  $\Lambda >> v$  )?

$$\begin{array}{c} \mathbf{E} \\ & \mathcal{L}_{\mathrm{SM}}[\phi] + \mathcal{L}_{\mathrm{BSM}}[\phi, \Phi] \\ & (g_3, g_2, g_1, y_q, y_l, \lambda, \mu^2, \ldots) \\ & \boldsymbol{\Lambda} - \\ & \mathcal{L}_{\mathrm{SM}}'[\phi] + \mathcal{L}_{\mathrm{SMEFT}}[\phi] \\ & (g_3', g_2', g_1', y_q', y_l', \lambda', \mu'^2, \ldots) \\ & \mathcal{L}_{\mathrm{SMEFT}} = \sum_i \frac{c_i^{(5)}}{\Lambda} O_i^{(5)} + \sum_i \frac{c_i^{(6)}}{\Lambda^2} O_i^{(6)} + \ldots \\ & \mathcal{O}\left(\frac{E}{\Lambda}\right)^{d-4} \end{array}$$

# SMEFT @ d=5







# Neutrino-mass mediator scale ?

Degeneracy between c and  $\Lambda$  !

$$c_i^{(d)} \propto ( ext{couplings})^{\#}$$
  $c_i^{(d)} \leq 1$  gives an upper bound on  $\Lambda$ 



# Neutrino-mass mediator scale ?

12 order of magnitude of possibilities that can explain why neutrinos are "naturally" special

Eg: Type I seesaw models



# SMEFT and hierarchy problem

Fine-tunning?



If there are heavy new particles, the Higgs mass should know about them...

# LHC news:

> No yet sign of a solution to the hierarchy problem (SUSY, compositeness,etc)

 $\succ$  The SM cutoff could be beyond  $\rm M_{planck}$ 



# Neutrino-mass mediator scale ?

I Generic prediction



> there is neutrinoless double beta decay at some level ( $\Lambda$  > 100MeV)



model independent contribution

from the neutrino mass



# Majorana nature: $\beta\beta 0\nu$

Plethora of experiments with different techniques/systematics: EXO, KAMLAND-ZEN, GERDA, MAJORANA, CUORE, NEXT, ...



Next generation of experiments @Ton scale to cover the IO region

# Neutrino-mass mediator scale ?

II Generic prediction



> a matter-antimatter asymmetry if there is CP violation in the lepton sector via leptogenesis and sufficient out-of-equilibrium

details model dependent...



## Sakharov conditions generically satisfied

✓ CP violation ( $\geq$  3 CP phases)

✓ B+L violation from sphalerons T >  $T_{EW}$ 

 $\checkmark$  New out of equilibrium condition from the neutrino mass mediators



Freeze-out leptogenesis

 $\Gamma_N \le H(M_N)$ 





 $\Gamma_s(T_{EW}) \le H(T_{EW})$ 

# New scale (if dynamical) could lead to new/modified PT $\Lambda = \left< \Phi \right>$ @EW:

EWPT first order +Leptonic CP violation EW Baryogenesis by neutrinos ?



PH, Rius '96; Fernández-Martínez et al 2007.11008

#### New scale (if dynamical) could lead to new/modified PT

►~ GUT cosmic strings -> stochastic background of Gravity Waves ?



Buchmuller et al 1305.3392 &1912.03695;Dror et al 1908.03227; King et al 2005.13549, 2106.15634

# Neutrino-mass mediator scale ?

**III Generic prediction** 

New interactions beyond d=5



 $c_{i}^{(6)}$  can modify the SM couplings and generate new ones including eg NSI that can be best constrained by neutrino oscillation/interaction physics

$$\mathcal{L}_{\text{SMEFT}} \supset \mathcal{L}_{NC}^{NSI} = -\frac{G_F}{\sqrt{2}} \left( \epsilon_{pr}^{fL} \left[ \bar{\nu}_p \gamma^{\mu} (1 - \gamma_5) \nu_r \right] \left[ \bar{f} \gamma_{\mu} (1 - \gamma_5) f \right] \right. \\ \left. + \epsilon_{pr}^{fR} \left[ \bar{\nu}_p \gamma^{\mu} (1 - \gamma_5) \nu_p \right] \left[ \bar{f} \gamma_{\mu} (1 + \gamma_5) f \right] \right),$$

## SMEFT (beyond $O_{d=5}$ ) from Neutrino Physics ?

➤ Updated and prospective NSI constraints from various experiments: reactors, LBL, solar, atmospheric, CEvNS, FASERnu, SND@LHC...

-> Talks Scholbert, Miranda, De Gouvea

> Bounds not competitive within SMEFT (Λ > v) but can be relevant in the case of light mediators (Λ << v) or cancellations</p>

Altmannshofer et al 1812.02778;Falkowski et al 1901.04555 &1910.02971; Bischer et al 1905.08699; Davidson, Gorbahn 1909.07406 ; Escrihuela et al 2105.06484; Y. Du et al 2106.15800;...



Terol-Calvo et al 1912.09131



# Neutrino- mass mediator scale ?

IV Generic prediction



> new states can be kinematically produced

potential impact in cosmology (DM, baryogenesis), EW precision tests, collider, rare searches,  $\beta\beta0v$ , ...



# Neutrino-mass mediator scale ?



The EW scale is an interesting region: new physics underlying the matter-antimatter asymmetry could be predicted & tested !

#### Resolving the neutrino mass operator at tree level



#### E. Ma

Type I seesaw models  $(n_R \ge 2)$ 

$$\mathcal{L}_{\nu} = -\bar{l}Y\tilde{\Phi}N_R - \frac{1}{2}\bar{N}_RMN_R + h.c.$$

 $n_R = 3$ : 18 free parameters (6 masses+6 angles+6 phases) out of which we have measured 2 masses and 3 angles...



#### Type I seesaw models

Phenomenology (beyond neutrino masses) of these models depends on the heavy spectrum and the size of active-heavy mixing:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{ll} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} + U_{lh} \begin{pmatrix} N_1 \\ N_2 \\ N_3 \end{pmatrix}$$





#### Seesaw correlations



R: general orthogonal complex matrix (contains all the parameters we cannot measure in neutrino experiments)

Strong correlation between active-heavy mixing and neutrino masses:

$$|U_{lh}|^2 \sim \frac{m_l}{M_N} \quad (n_R = 1) \qquad |U_{\alpha i}|^2 \gg \frac{m_\nu}{M_i} \leftrightarrow R \gg 1 \quad (n_R \ge 2)$$

Natural approx Lepton number -> Pseudo-Dirac HNL

## Searches for HNL's

@Laboratory (fixed target, colliders) and cosmic rays



➤ New or updated constraints from LHC, NA62, T2K, atmospheric (SuperK, Icecube), CHARM

Cortina-Gil et al (NA62) 1712.00297, Abe et al (T2K) 1902.07598, Bryman, Schrock 1909.11198, Coloma et al, 1911.09129, Atkinson et al 2105.09357; Boyarska et al 2107.14685

#### HNL searches at NA62



NA62 coll. 2005.09575

#### HNL's searches at LHC by prompt and displaced signatures

Helo et al, 1312.2900; Izaguirre, Shuve 1504.02470; Gago et al, 1505.05880; Dib, Kim 1509.05981; Cottin et al 1801.02734 & 1806.051891; Cvetic et al 1805.00070; Abada et al 1807.10024; Boiarska et al 1902.04535;Drewes, Hajer 1903.06100; Liu et al 1904.01020



#### CMS collaboration 1802.02965

ATLAS collaboration 1905.09787

## Searches for HNL's

@Laboratory (fixed target, colliders) and cosmic rays



➤ New or updated constraints from LHC, NA62, T2K, atmospheric (SuperK, Icecube), CHARM Cortina-Gil et al (NA62) 1712.00297, Abe et al (T2K) 1902.07598, Bryman, Schrock 1909.11198, Coloma et al, 1911.09129, Atkinson et al 2105.09357; Boyarska et al 2107.14685

> Prospects at future facilities DUNE, HL-LHC, FASER, e+e- Higgs factories (ILC, FCC-ee)

#### High Mass region $(M_N > M_B)$ : HL-LHC can significantly improve HNL's searches



#### Low mass region: DUNE searches HNL's

 $10^{-2}$  $10^{-4}$  $|U_{\alpha 4}|^2$  $10^{-6}$  $10^{-8}$ Seesaw Seesaw Excluded Excluded Excluded  $10^{-10}$ – DUNE DUNE - DUNE 0.05 0.1 0.05 0.1 0.010.50.01  $0.5 \quad 1$ 0.01  $0.05 \ 0.1$  $0.5 \quad 1$ 1  $M_4$  (GeV)  $M_4$  (GeV)  $M_4$  (GeV)

Coloma et al, 2007.03701

See also Krasnov, 1902.06099;Ballet et al, 1905.00284; Berryman et al, 1912.07622; Coloma 2105.09357

#### Seesaw correlations:

flavour ratios of heavy lepton mixings strongly correlated with ordering and CP phases of the  $U_{PMNS}$  matrix:  $\delta$ ,  $\phi_1$ 

n<sub>R</sub>=2:



Caputo, PH, Lopez-Pavon, Salvado 1704.08721



 $\begin{array}{l} 3.69 \leq \phi_1 \leq 5.57 \\ 0.78 \leq \delta \leq 1.85 \ \cup \ 4.47 \leq \delta \leq 5.55 \end{array}$ 

#### Barducci et al 2011.04725

#### Predicting $Y_B$ in the minimal seesaw model M~GeV



PH, Kekic, Lopez-Pavon, Racker, Salvado '16

The GeV-miracle: the measurement of the mixing to  $e/\mu$  of the sterile states, neutrinoless double-beta decay and  $\delta$  in neutrino oscillations have a chance to give a prediction for  $Y_B$ 

## Majorana vs Dirac





Lepton # conserving (Dirac & Majorana) Lepton # violating (Majorana)

## Majorana vs pseudo-Dirac





Lepton # conserving (Dirac & Majorana)

Lepton # violating (Majorana)

Observable effects in colliders only possible in regions with approximate lepton number <-> HNL's come in pseudo-Dirac pairs

- ➤ If HNL are off-shell LNV processes strongly suppressed
- $\succ$  If HNL are on-shell LNV unsuppressed provided  $|M_1 M_2| \gg \Gamma_{1,2}$
- > Quantum coherence effects (oscillations)  $|M_1 M_2| \simeq \Gamma_{1,2}$ Anamiati et al 1607.05641

## Majorana vs pseudo-Dirac @ e+e-

In some processes lepton charges cannot distinguish, but angular distributions can ...



Also: beam dump when primary lepton not observed, purely leptonic decays,...

### Majorana vs pseudo-Dirac @ e+e-

ILC, 250GeV, 2ab-1



PH, Jones-Pérez, Suárez-Navarro 1810.07210

See also Del Aguila, Aguilar-Saavedra 0503026; Cvetic et al 1203.0573; Arbeláez et al, 1712.08704; Dib et al, 1703.01934; Balantekin et al 1808.10518; Tastet, Timiryasov 1912.05520; Blondel et al 2105.06576

# Beyond the minimal model

Many concrete possibilities: type I + extra Z'/scalars left-right symmetric models GUTs radiative models

Keung, Senjanovic; Pati, Salam, Mohapatra, Pati; Mohapatra, Senjanovic; Ferrari et al; Zee, Babu + many recent refs...

- Generically new gauge interactions can enhance the production in colliders: richer phenomenology
- But also make leptogenesis more challenging (out-of-equilibrium condition harder to meet)
- Can incorporate dark matter candidate or address other anomalies (eg B-anomalies, g-2) Hati et al 1806.10146; Babu et al 2009.01771; Nomura, Okada 2104.03248;...

A more generic possibility:



#### Model independent approach: EFT

$$\mathcal{L}_{BSM} = \mathcal{L}_{mSS} + \sum_{d,i} \frac{1}{\Lambda^{d-4}} O_i^{(d)}$$

The seesaw portal to BSM:

$$d=5 \qquad \mathcal{O}_{W} = \sum_{\alpha,\beta} \frac{(\alpha_{W})_{\alpha\beta}}{\Lambda} \overline{L}_{\alpha} \tilde{\Phi} \Phi^{\dagger} L_{\beta}^{c} + h.c.,$$
$$\mathcal{O}_{N\Phi} = \sum_{i,j} \frac{(\alpha_{N\Phi})_{ij}}{\Lambda} \overline{N}_{i} N_{j}^{c} \Phi^{\dagger} \Phi + h.c.,$$
$$\mathcal{O}_{NB} = \sum_{i \neq j} \frac{(\alpha_{NB})_{ij}}{\Lambda} \overline{N}_{i} \sigma_{\mu\nu} N_{j}^{c} B_{\mu\nu} + h.c.$$

M. Graesser '07; F. Del Aguila et al '09; Aparici et al, '09; Bhattacharya, Wudka '15; Liao, Ma '16

 $\mathcal{O}_{NH}, \mathcal{O}_{NB}$  could lead to spectacular signals at LHC/colliders of two displaced vertices from higgs/Z decays (production independent of mixing)



Many recent studies d=6 present and future colliders, meson decays, CEvNS,  $\beta\beta$ ov...

Caputo et al 1704.08721; Alcaide et al 1905.11375; Butterworth et al 1909.04665; Han et al 2004.13869; Li et al 2005.01543 & 2007.15408; Biekotter et al 2007.00673; De Vries et al 2010.07305; Barducci et al 2003.08391 & 2011..04725; Cottin et al 2105.13851,...

# Conclusions

- The results of many beautiful experiments have demonstrated that v are (for the time-being) the less standard of the SM particles
- Many fundamental questions remain to be answered however: Majorana nature of neutrinos and scale of new physics? CP violation in the lepton sector? Source of the matter-antimatter asymmetry ? Lepton vs quark flavour ?
- A rich experimental neutrino programme lies ahead, that will answer some of these important questions
- Neutrino physics is an area of synergy where most hep experiments (colliders, neutrino exp, astroparticle exp) and cosmology are providing essential inputs