

Atmospheric neutrino oscillation measurements and BSM searches with IceCube and KM3NeT

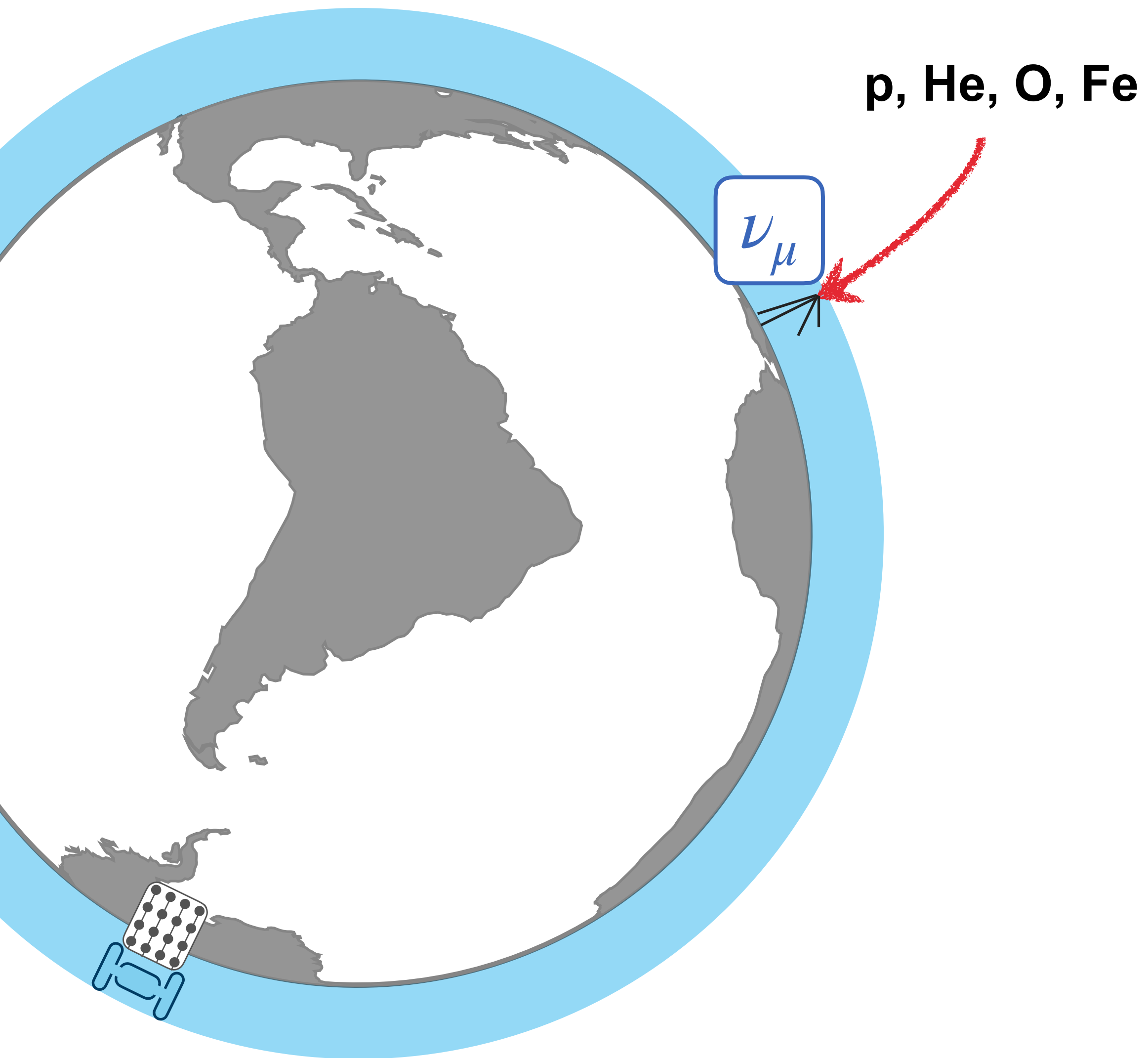


Alexander Trettin on behalf of the IceCube collaboration
PANIC 2021 Conference, Online

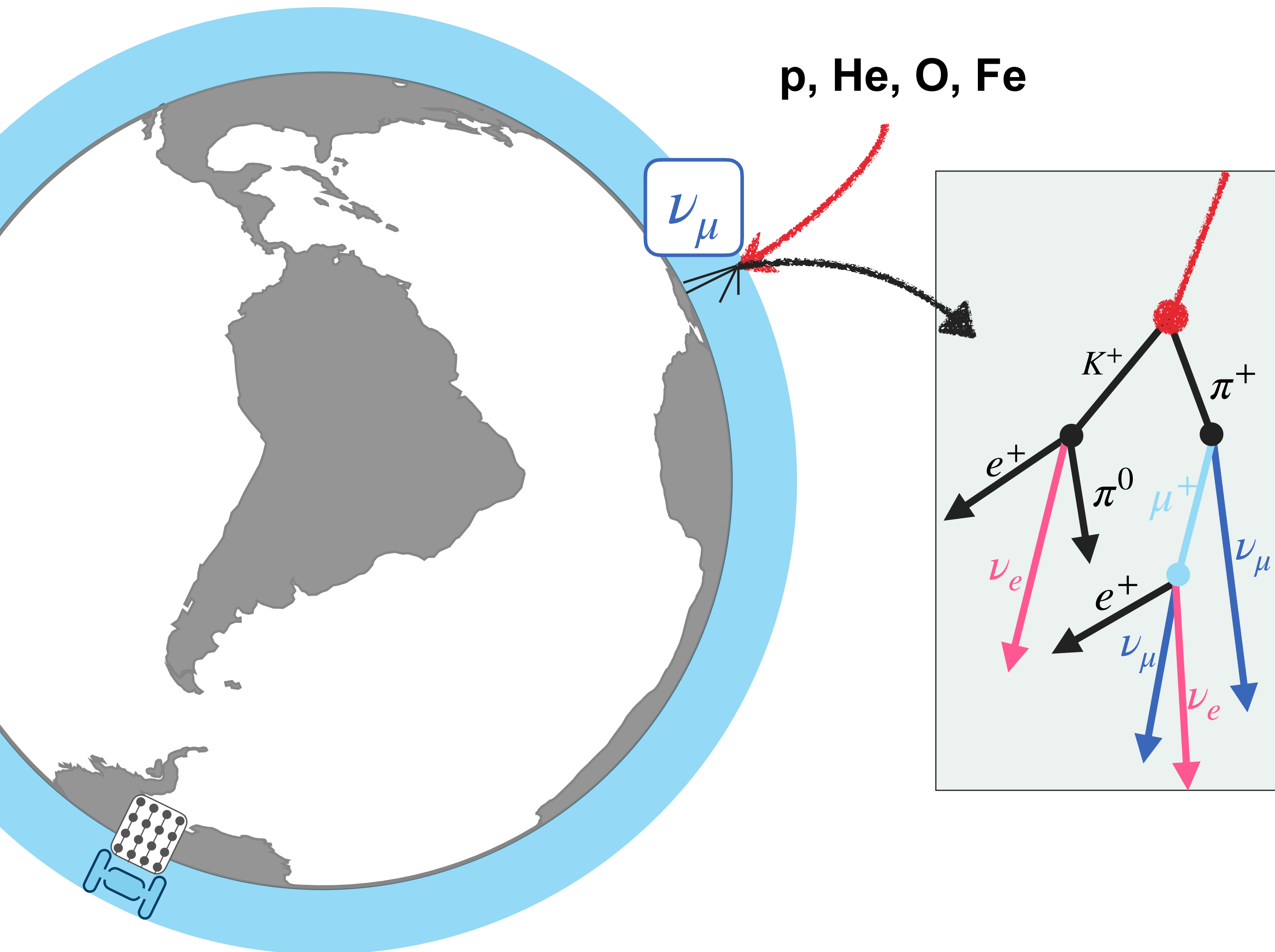
HELMHOLTZ RESEARCH FOR
GRAND CHALLENGES



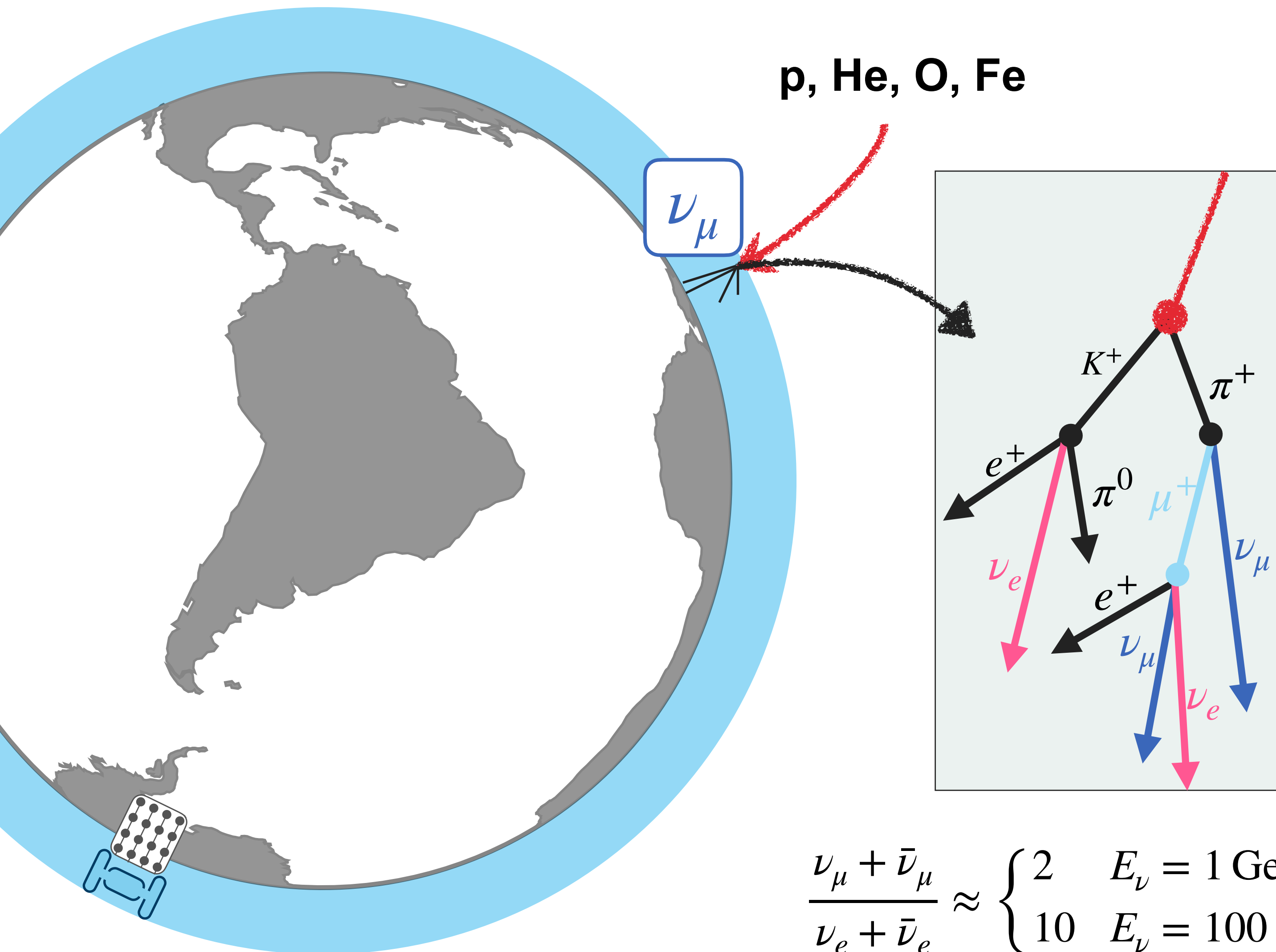
The Atmosphere as a Natural Beam Target



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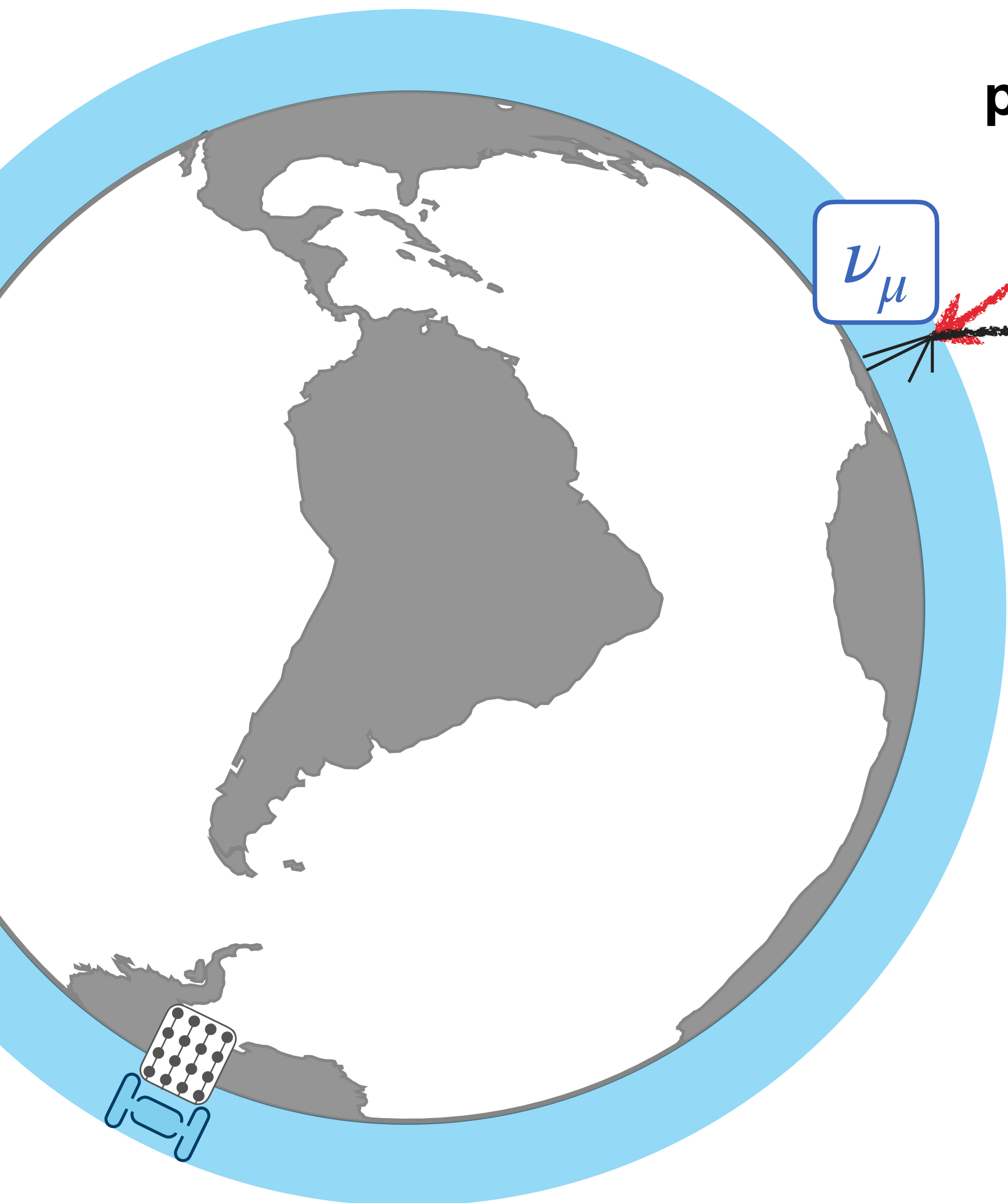


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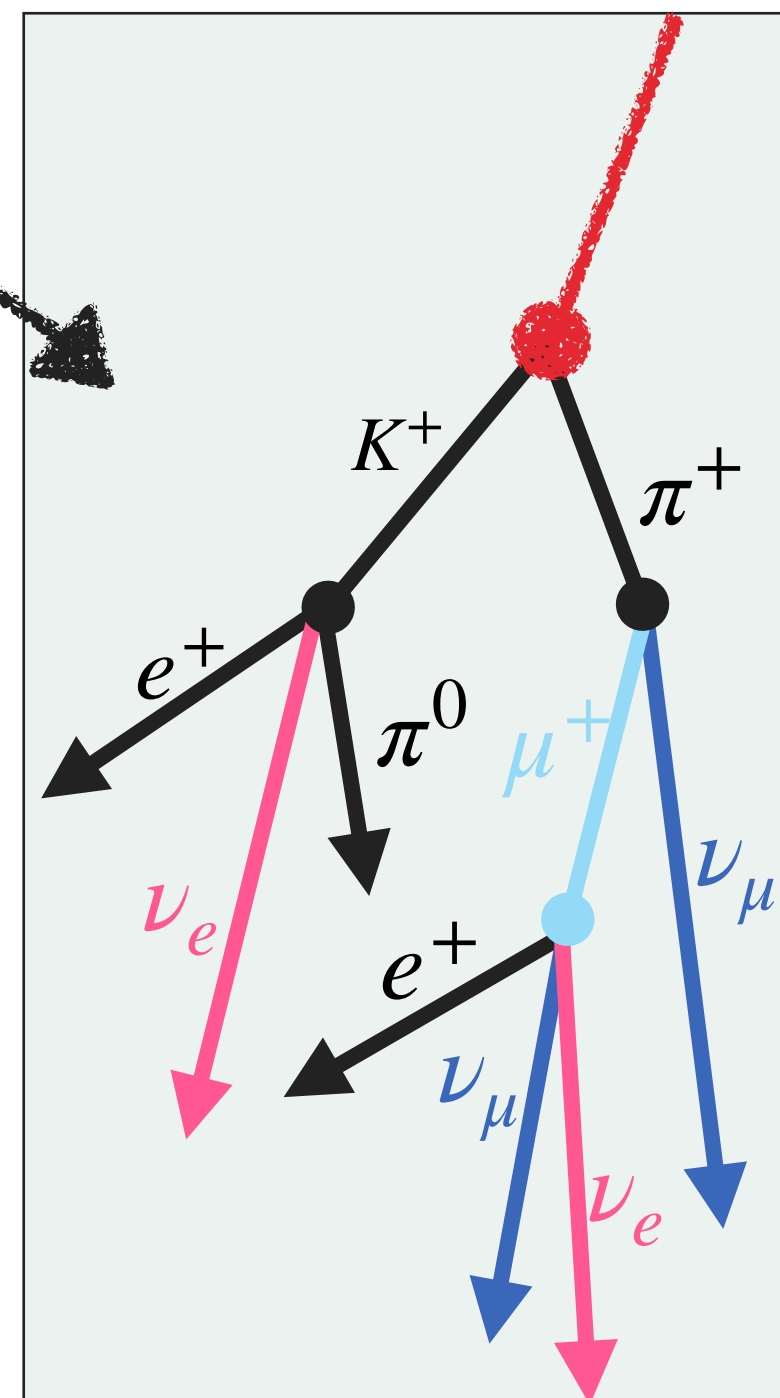
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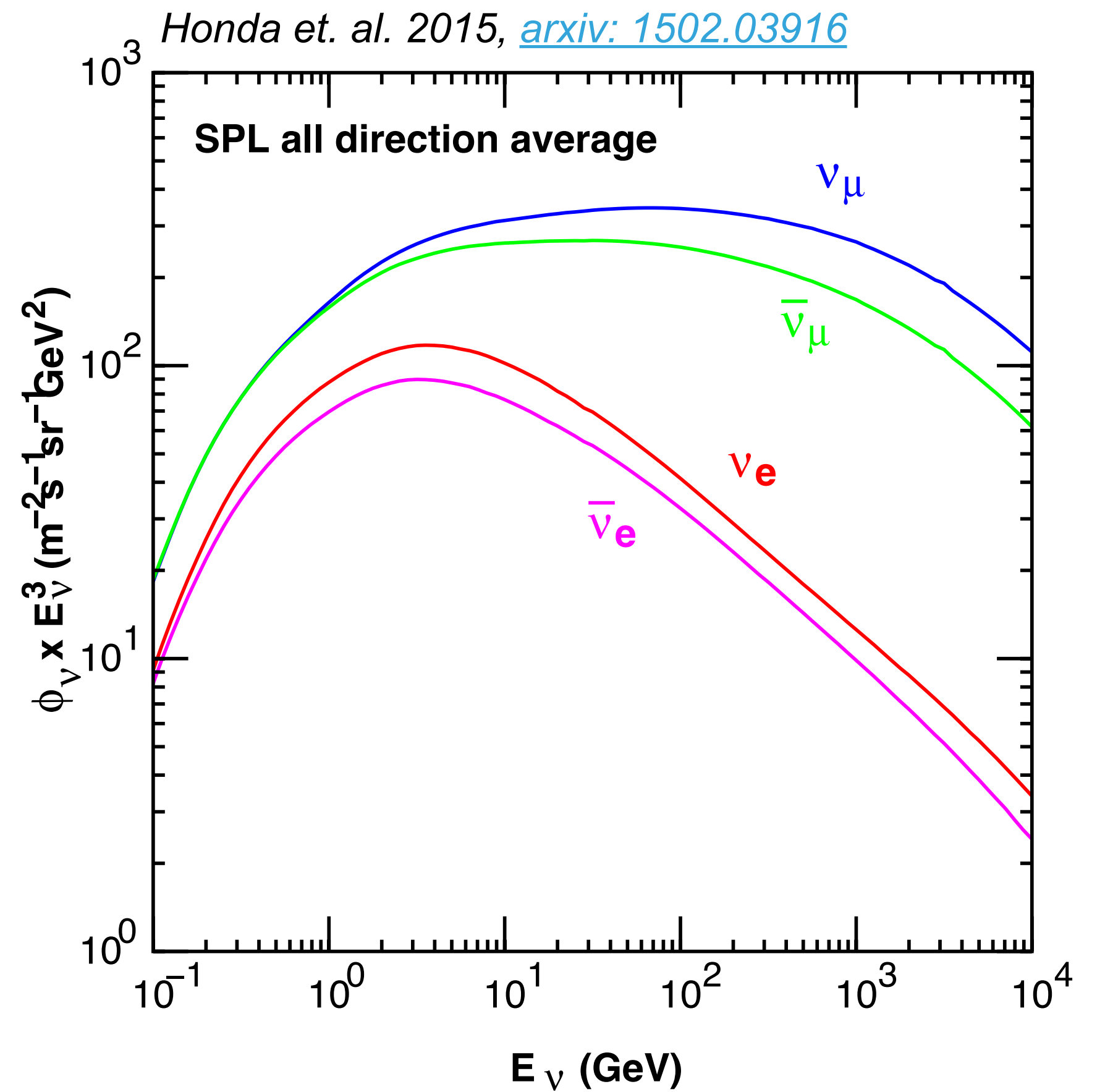


p, He, O, Fe

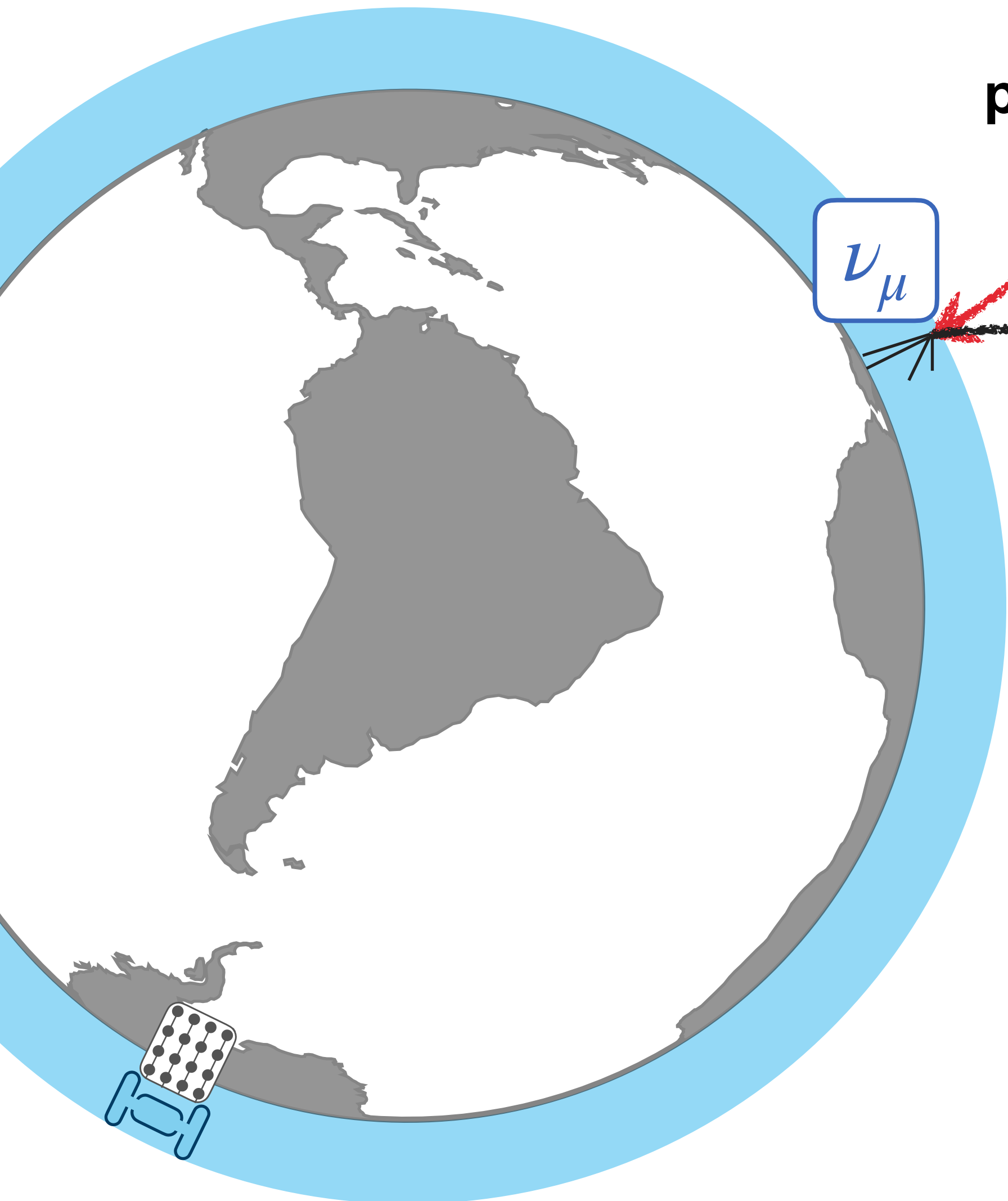
ν_μ



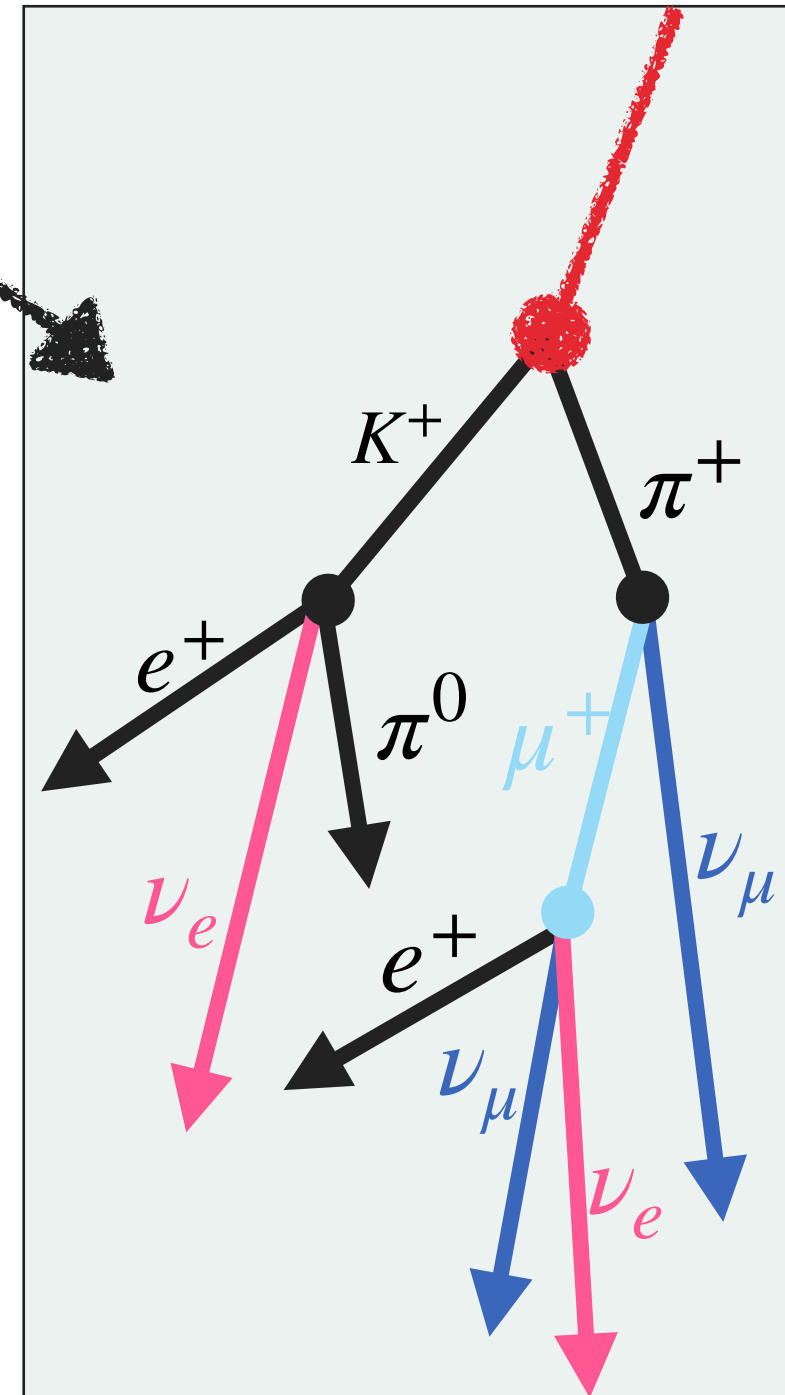
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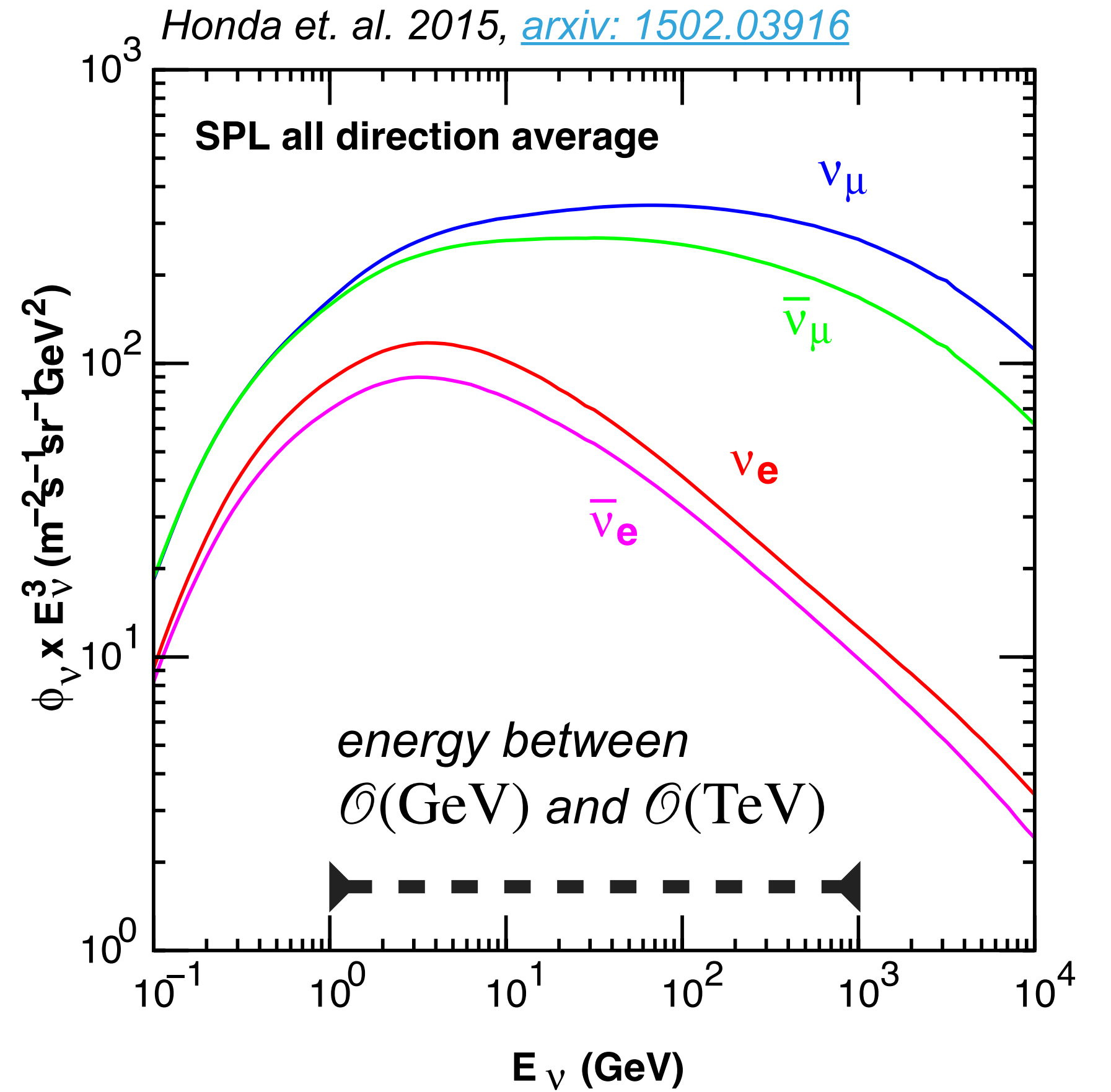
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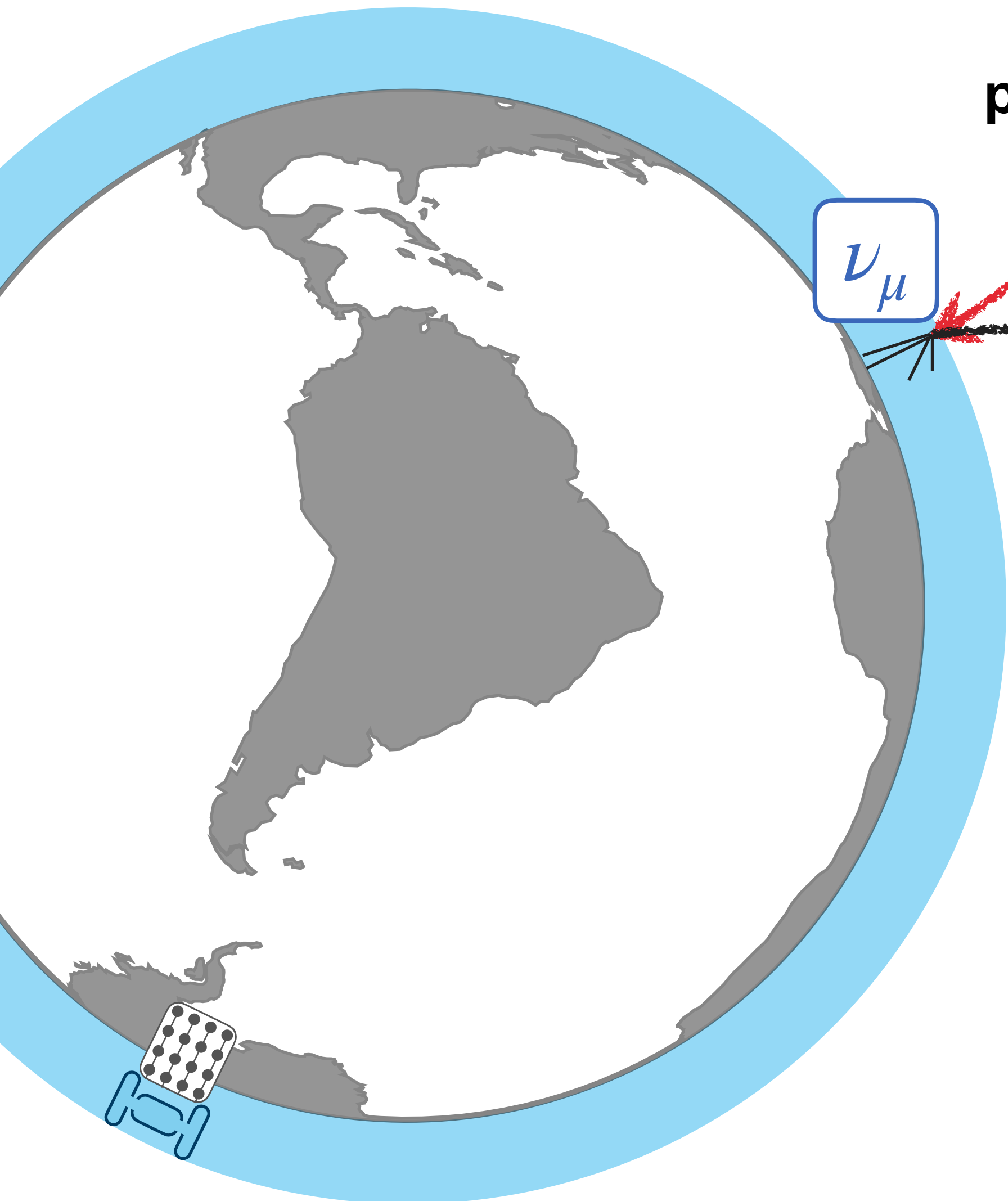
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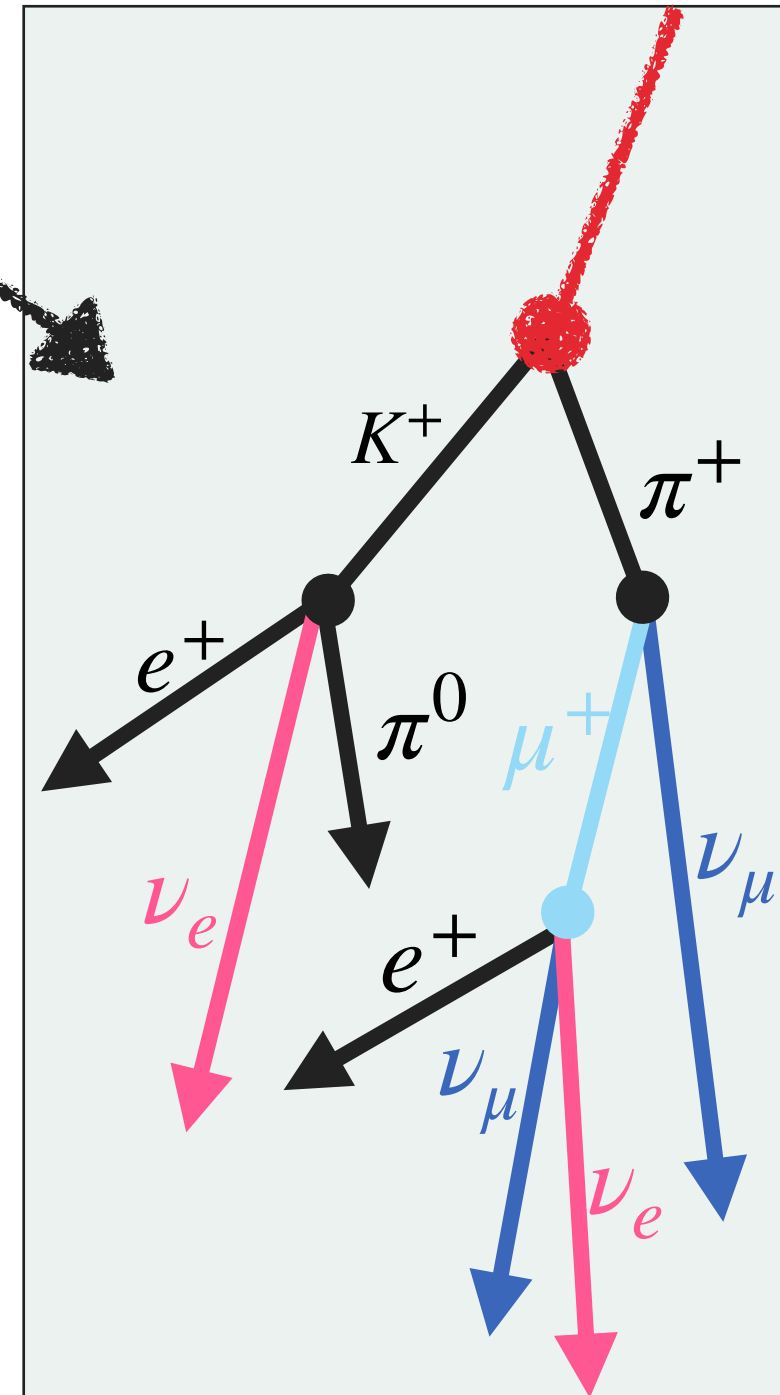
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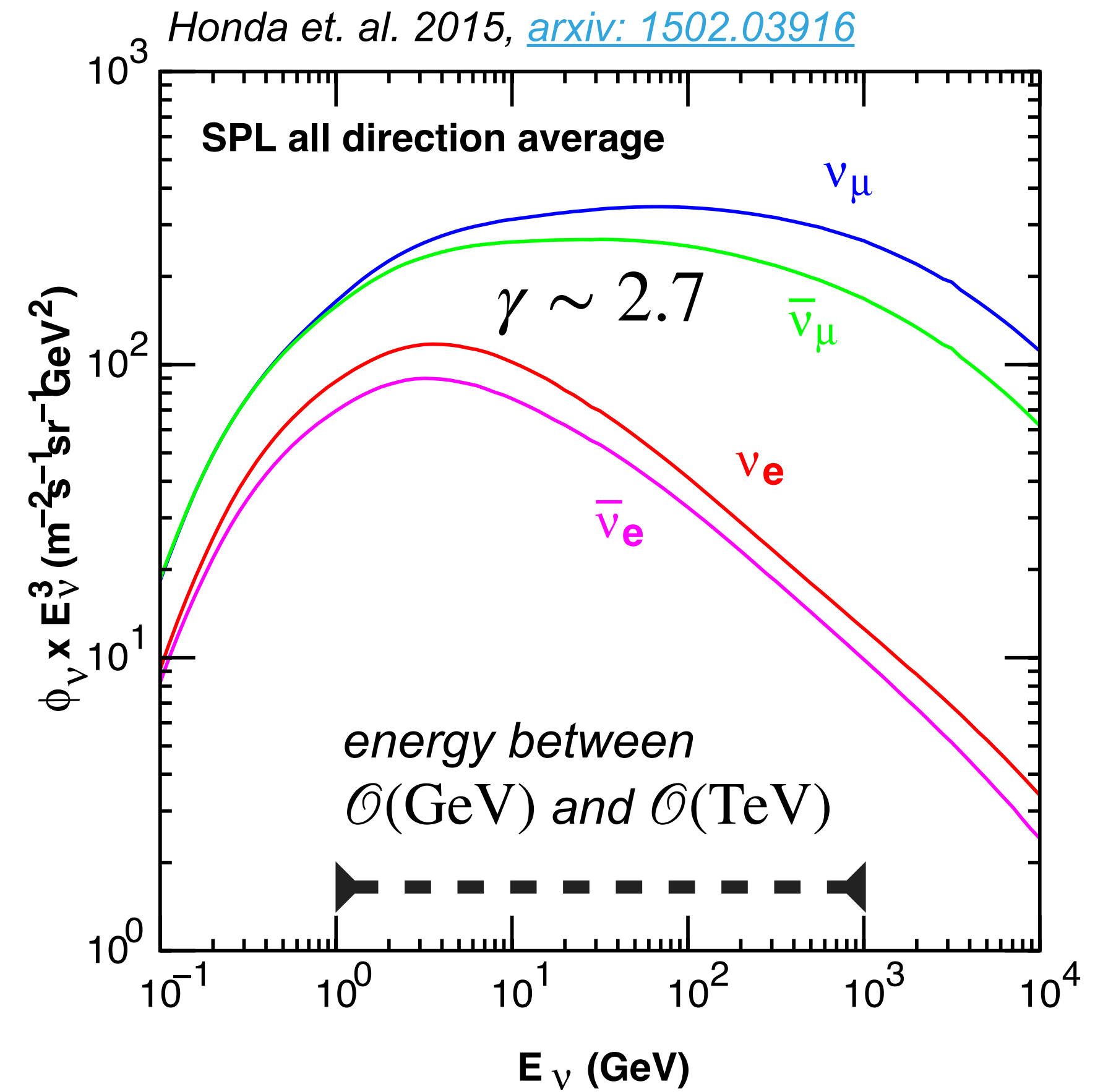
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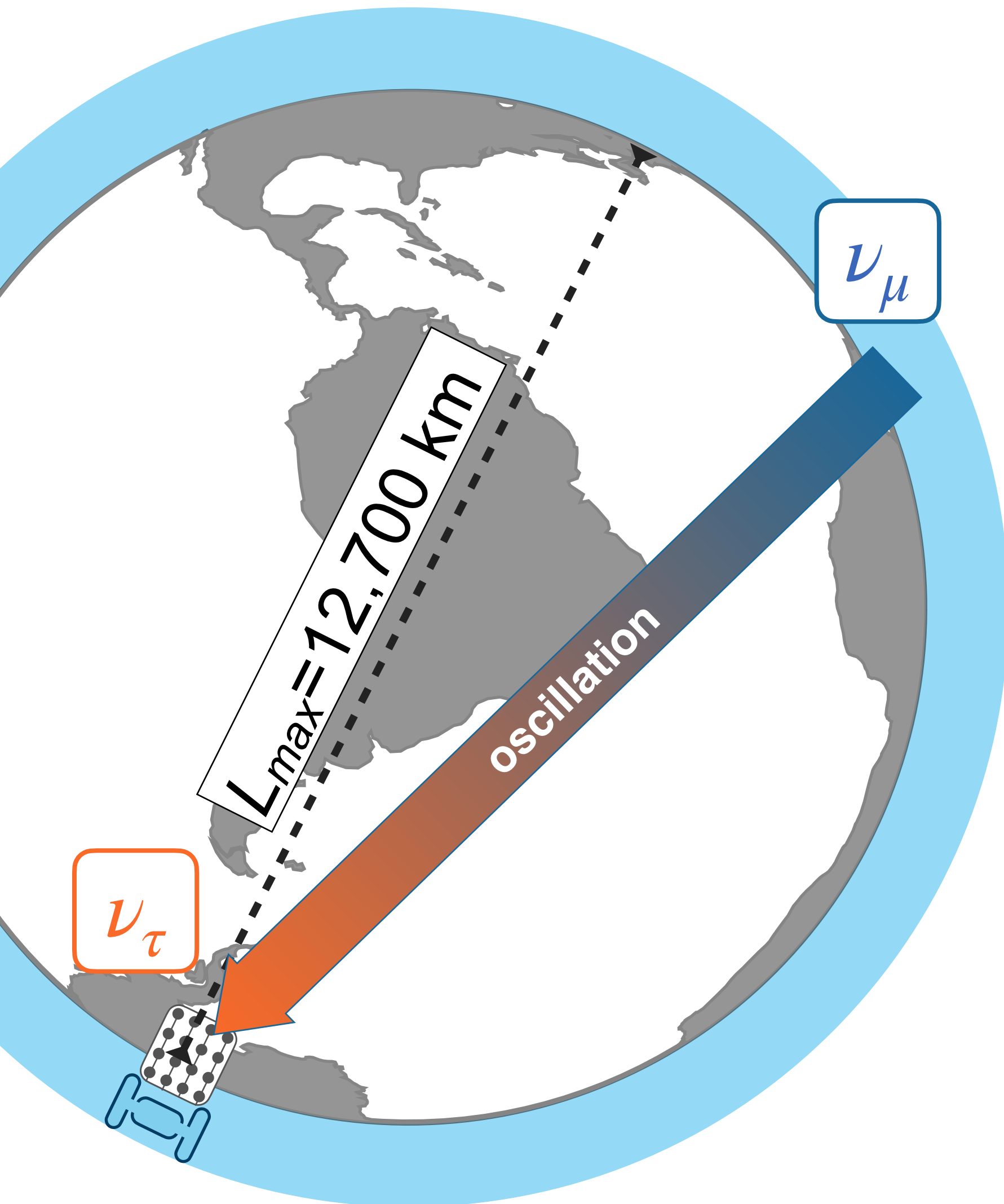
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Atmospheric Neutrino Oscillations



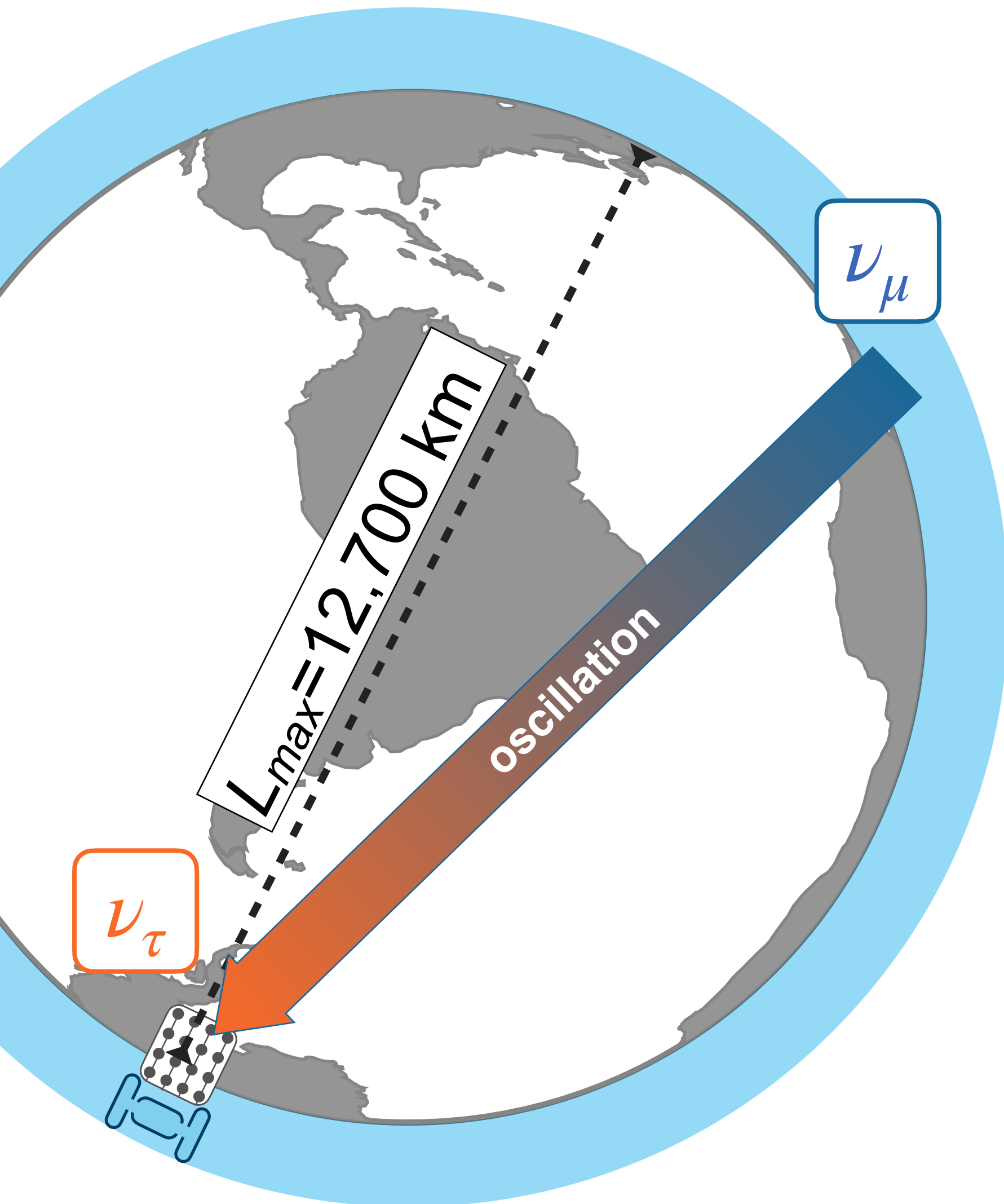
flavor eigenstate $\rightarrow |\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle \leftarrow$ mass eigenstate

neutrino mixing:

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix}$$

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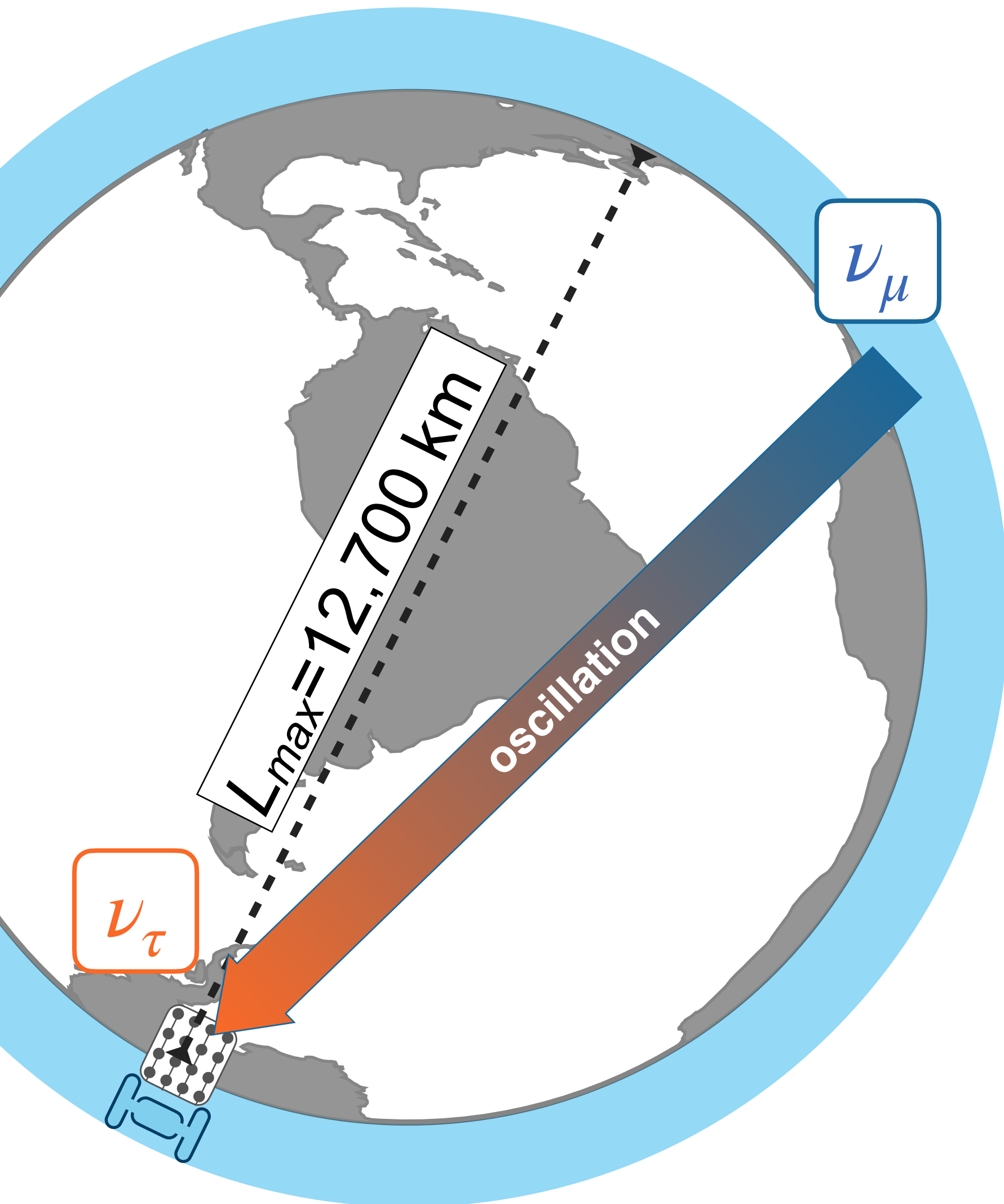
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Approximate oscillation probability neglecting Δm_{12}^2 and matter effects:

$$\left. \begin{aligned} P(\nu_\mu \rightarrow \nu_\mu) &\simeq 1 - 4 |U_{\mu3}|^2 (1 - |U_{\mu3}|^2) \sin^2 (\Delta m_{31}^2 L / (4E)) \\ &\simeq 1 - \sin^2(2\theta_{23}) \sin^2 (\Delta m_{31}^2 L / (4E)) \end{aligned} \right\} \text{“}\nu_\mu \text{ disappearance”}$$

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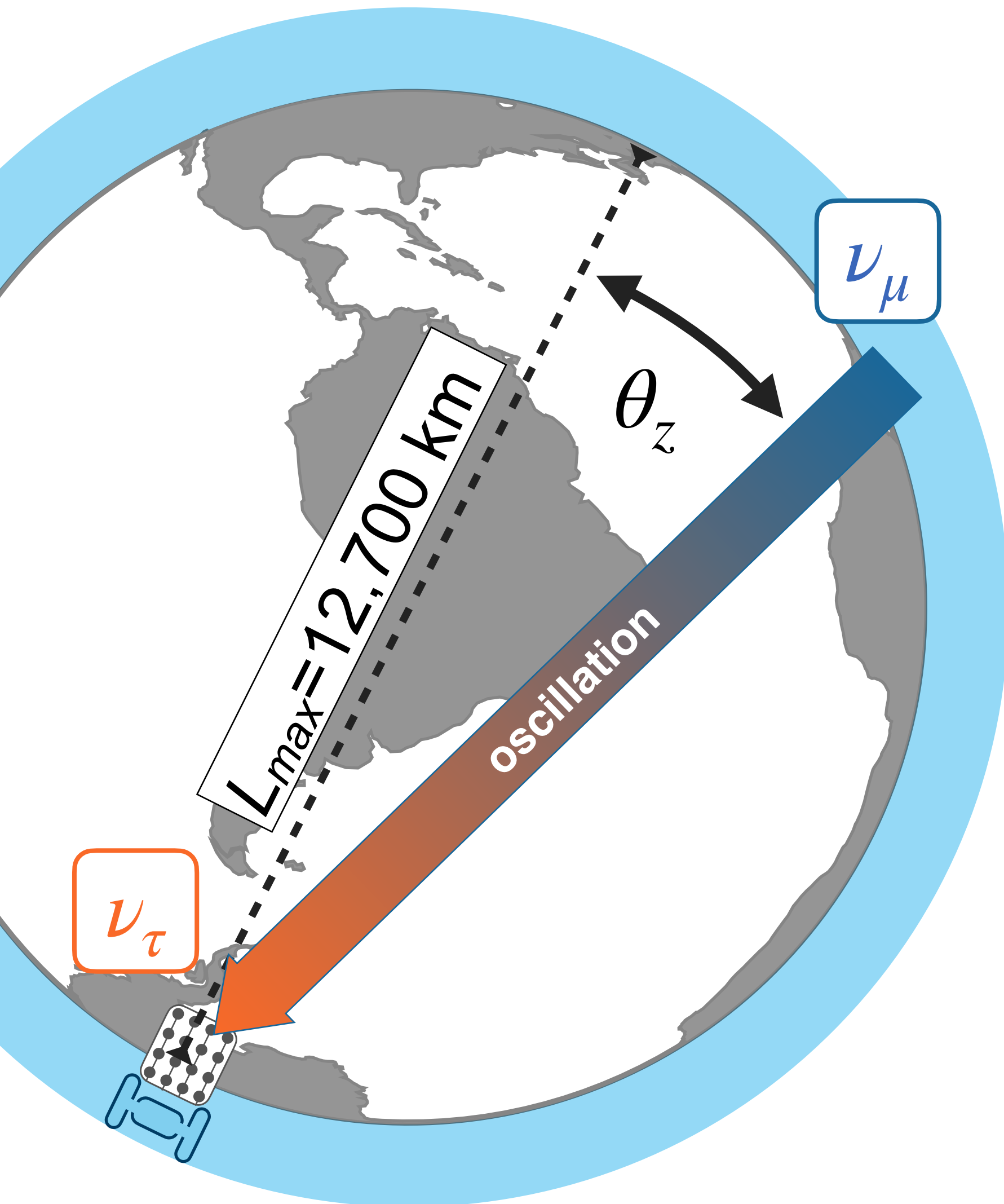
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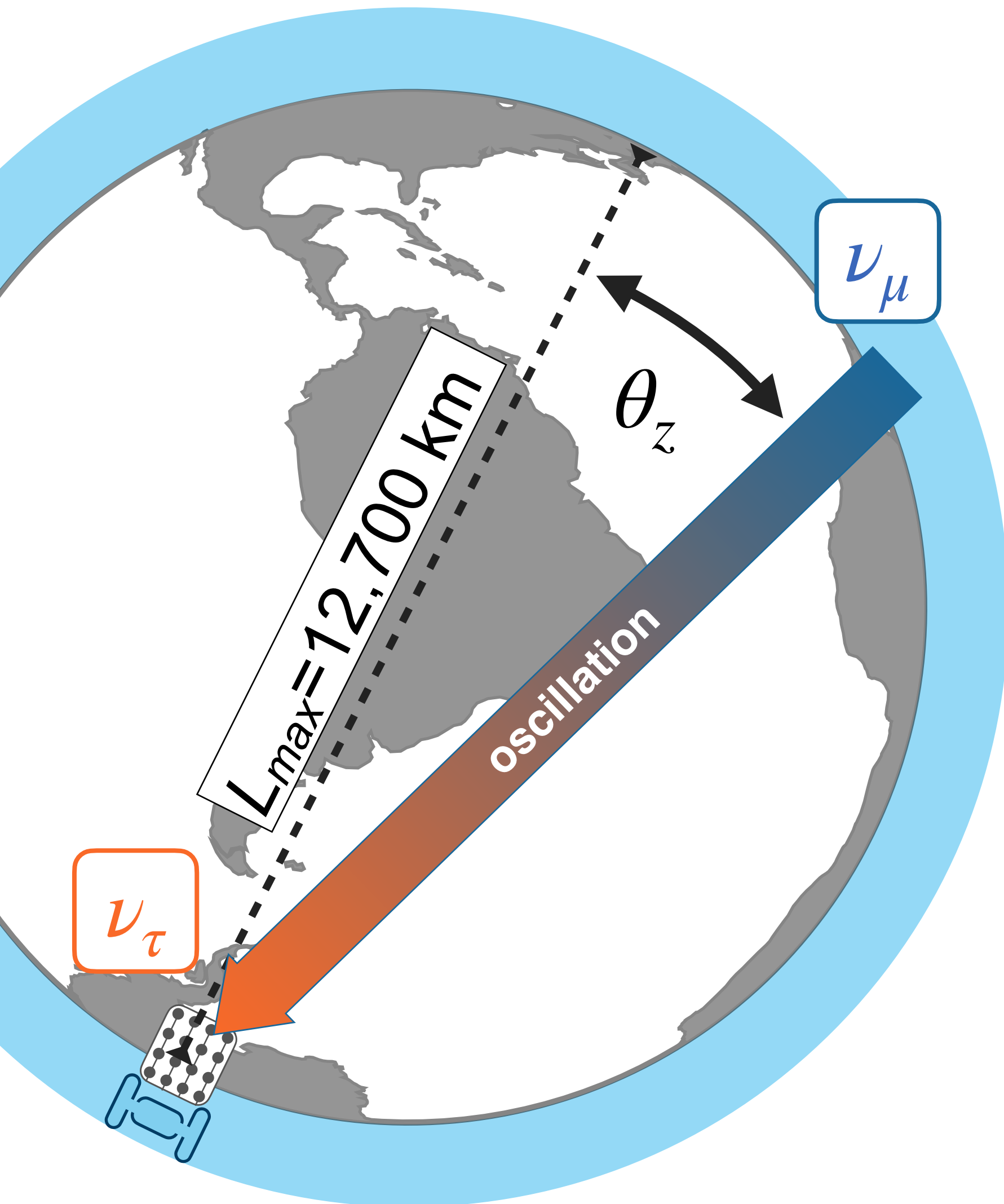
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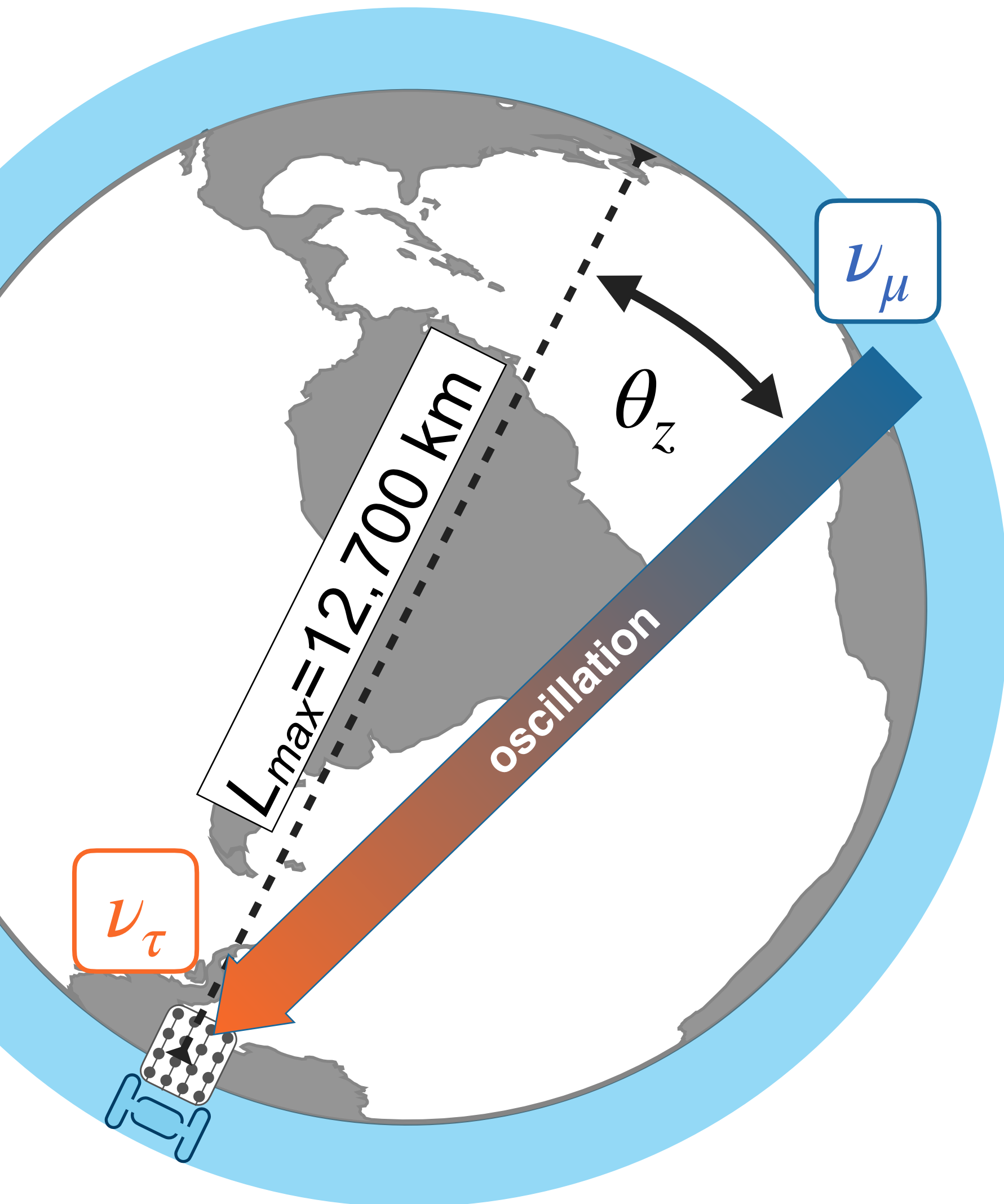
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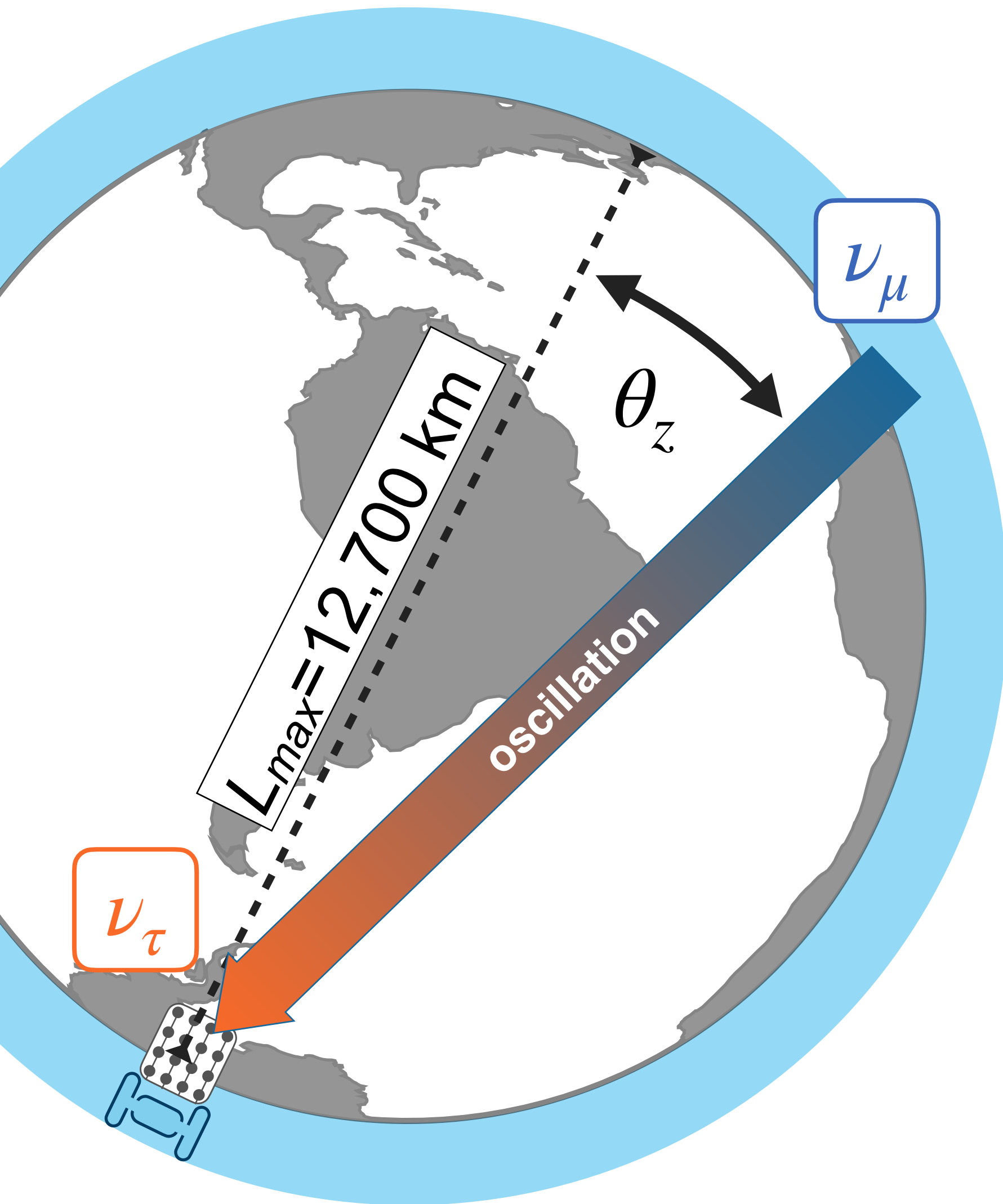
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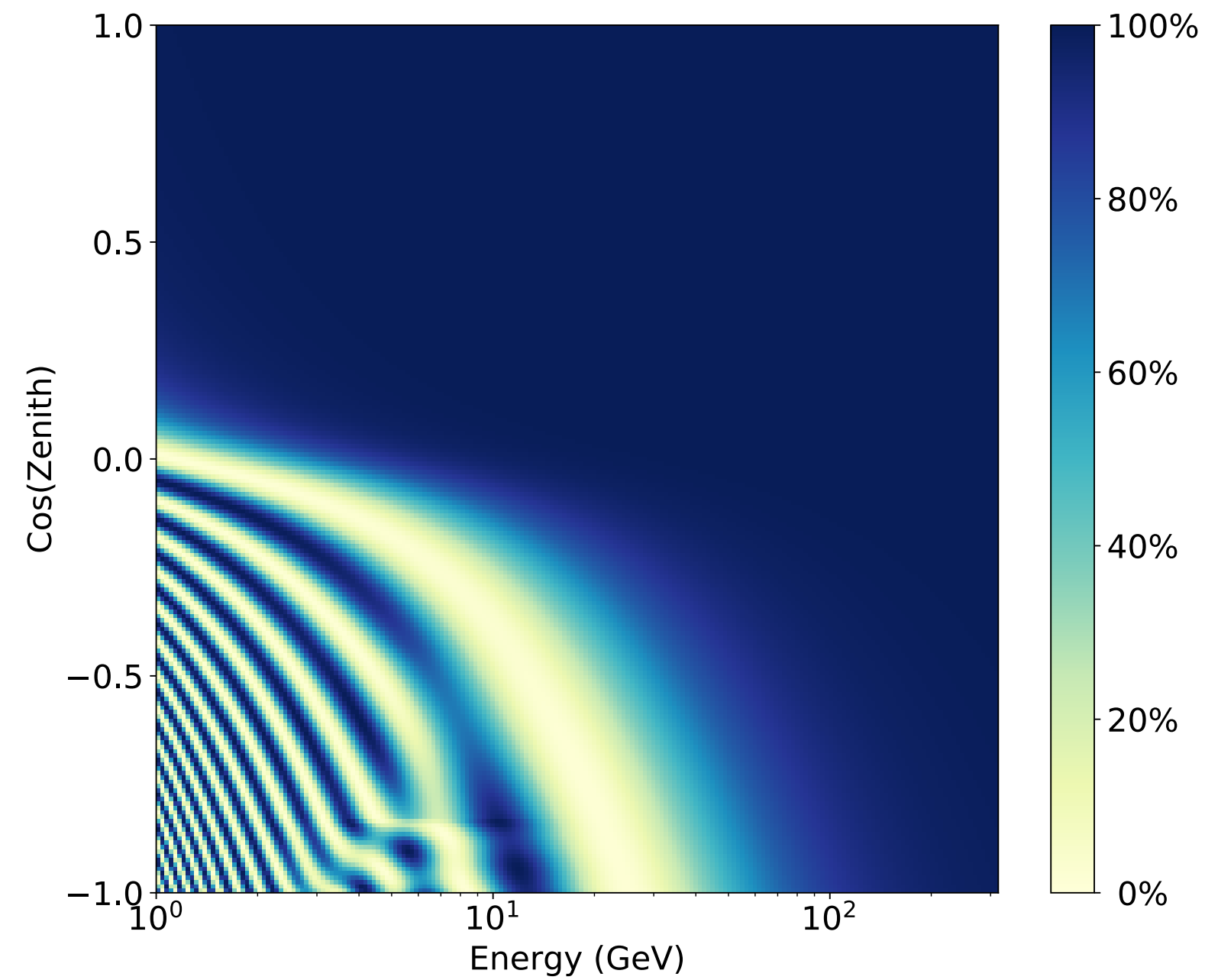
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Atmospheric Neutrino Oscillations

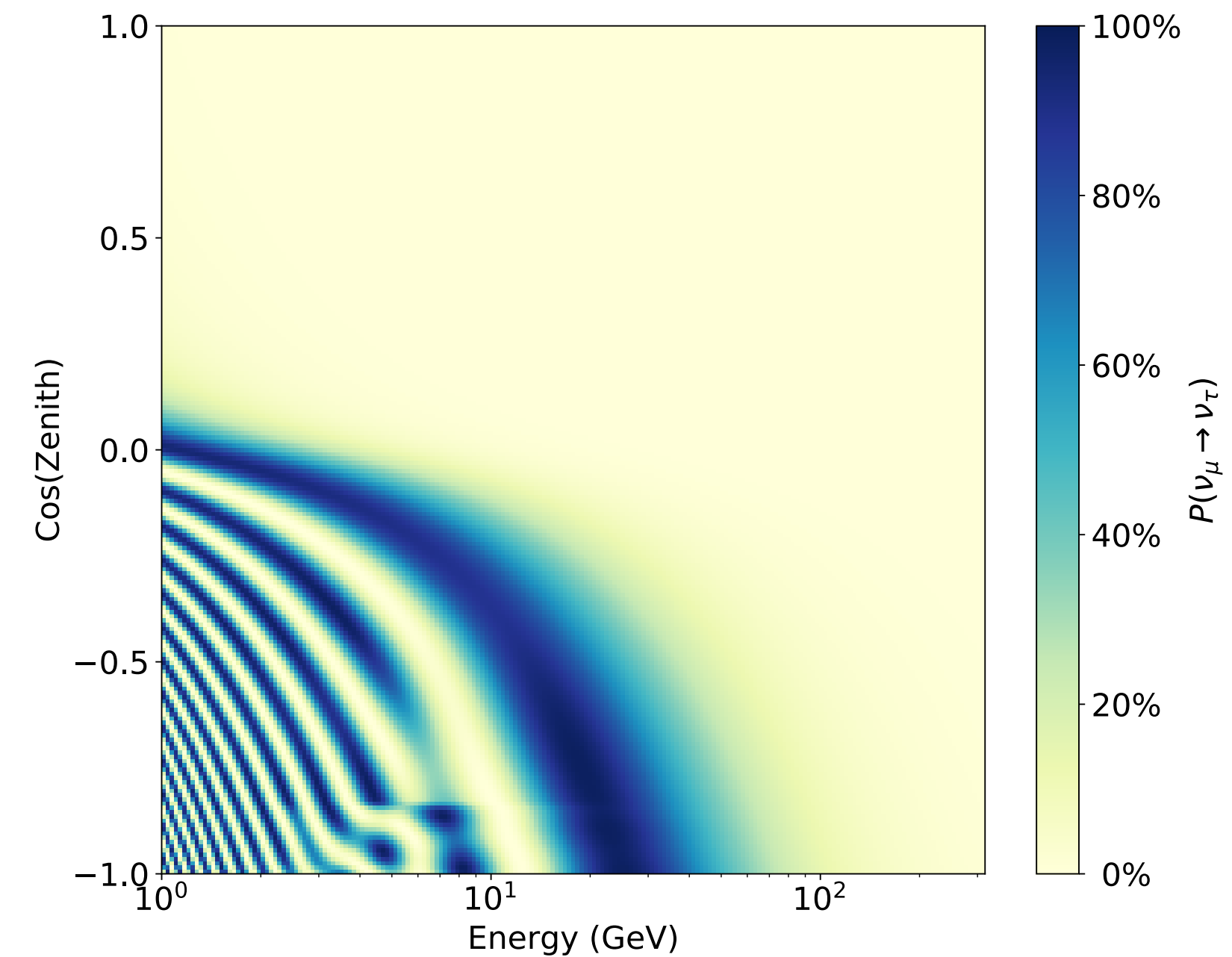


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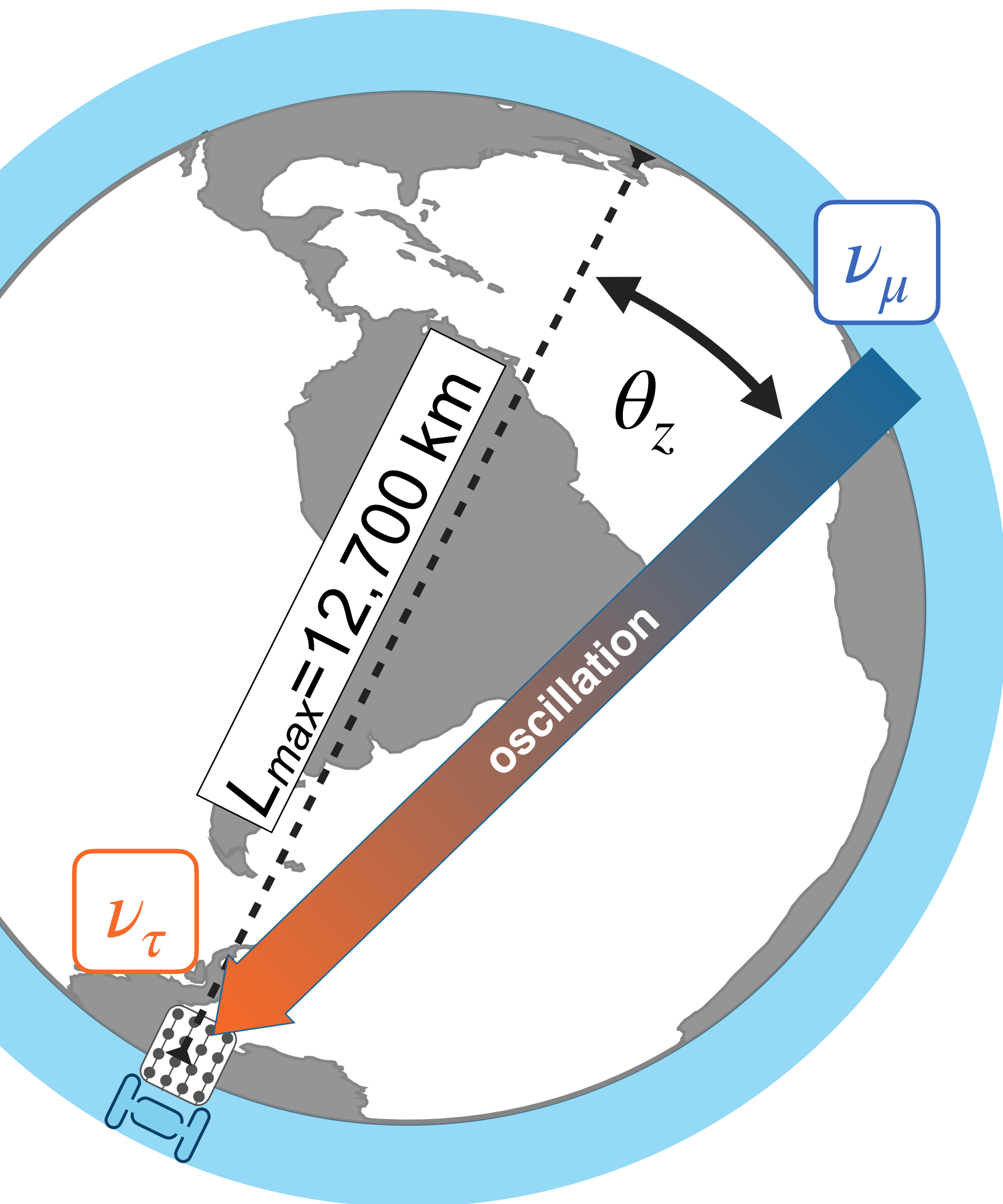
ν_μ disappearance



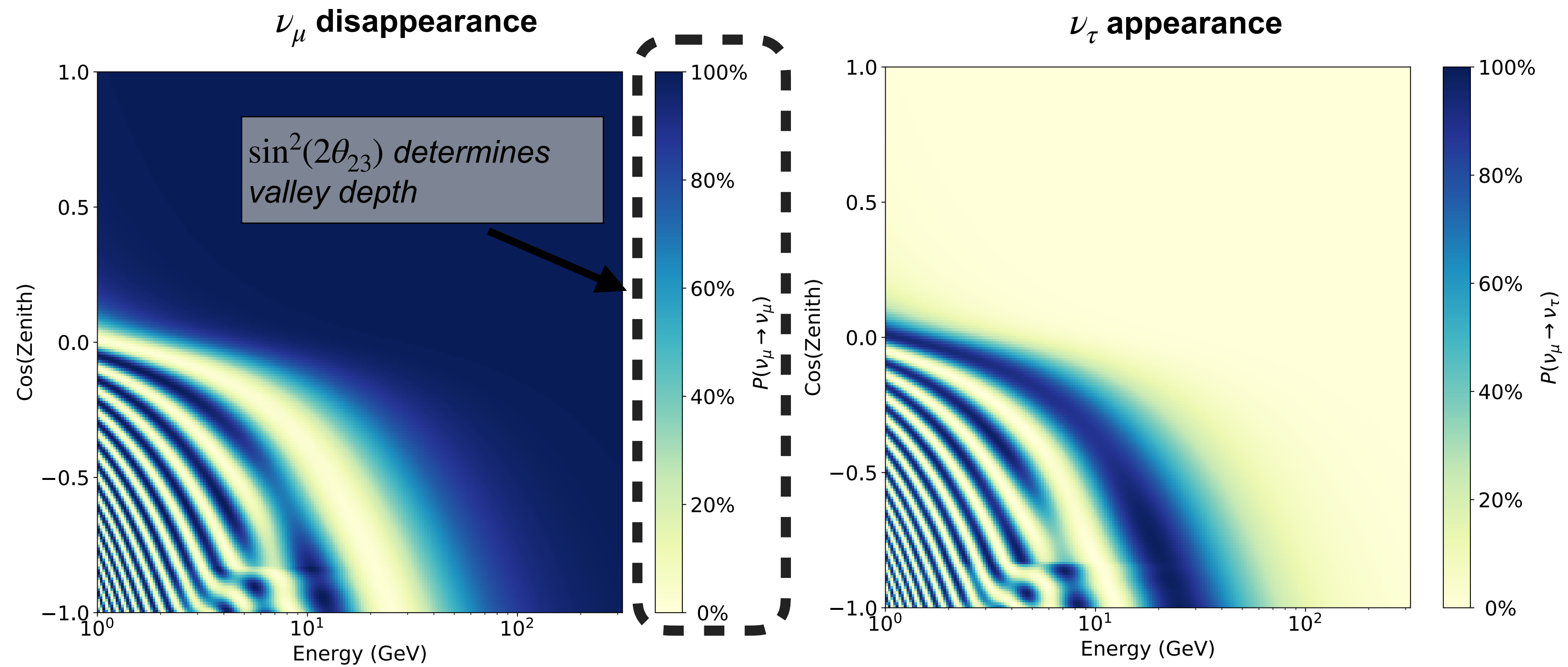
ν_τ appearance



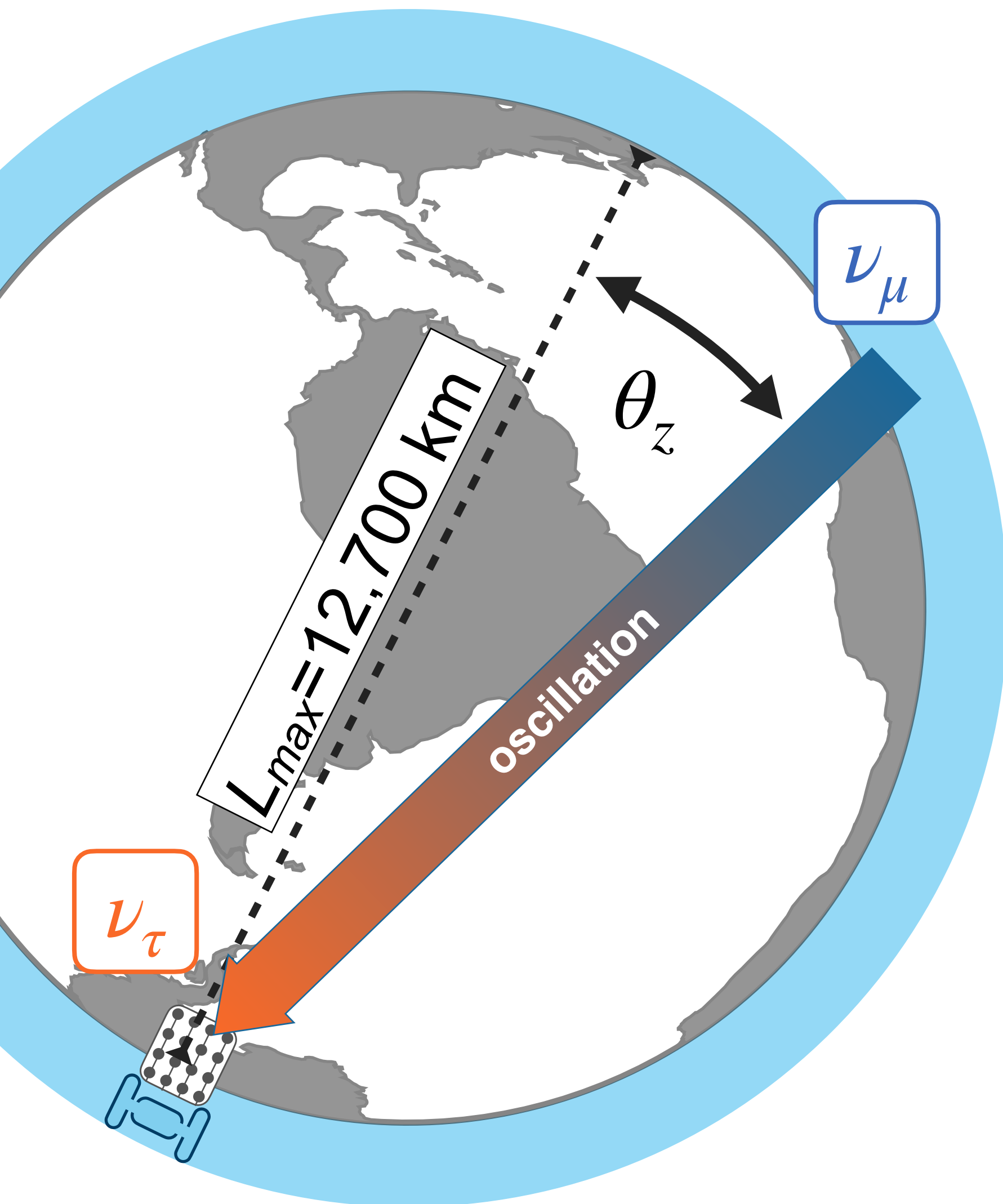
Atmospheric Neutrino Oscillations



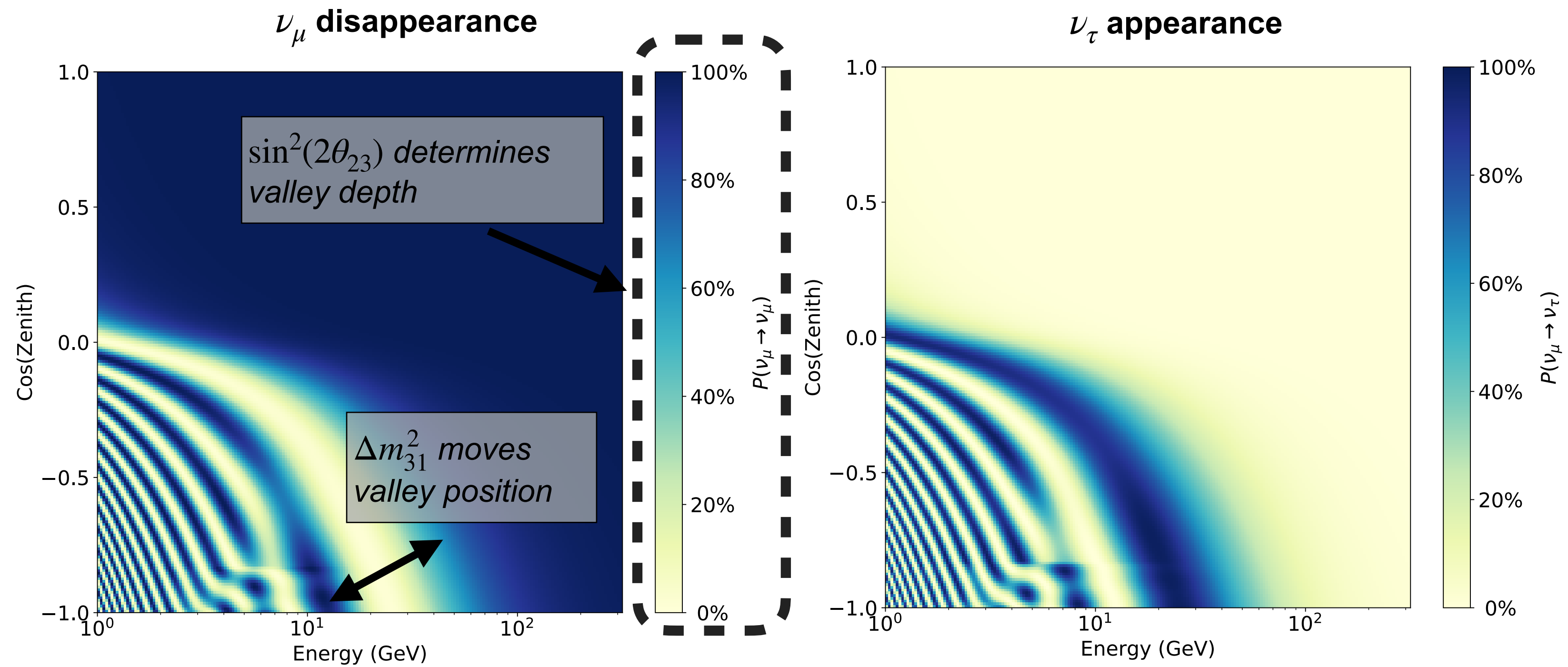
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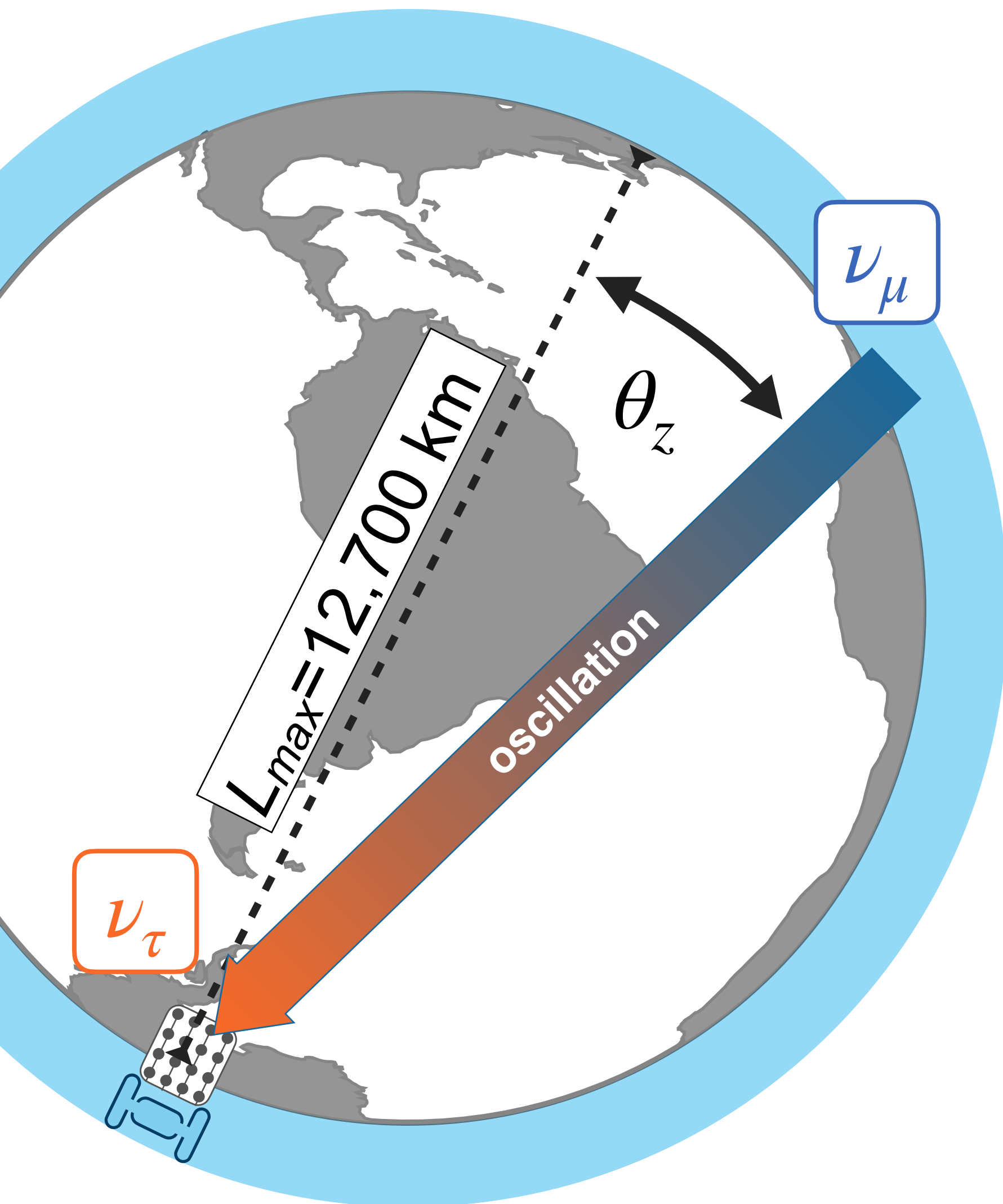
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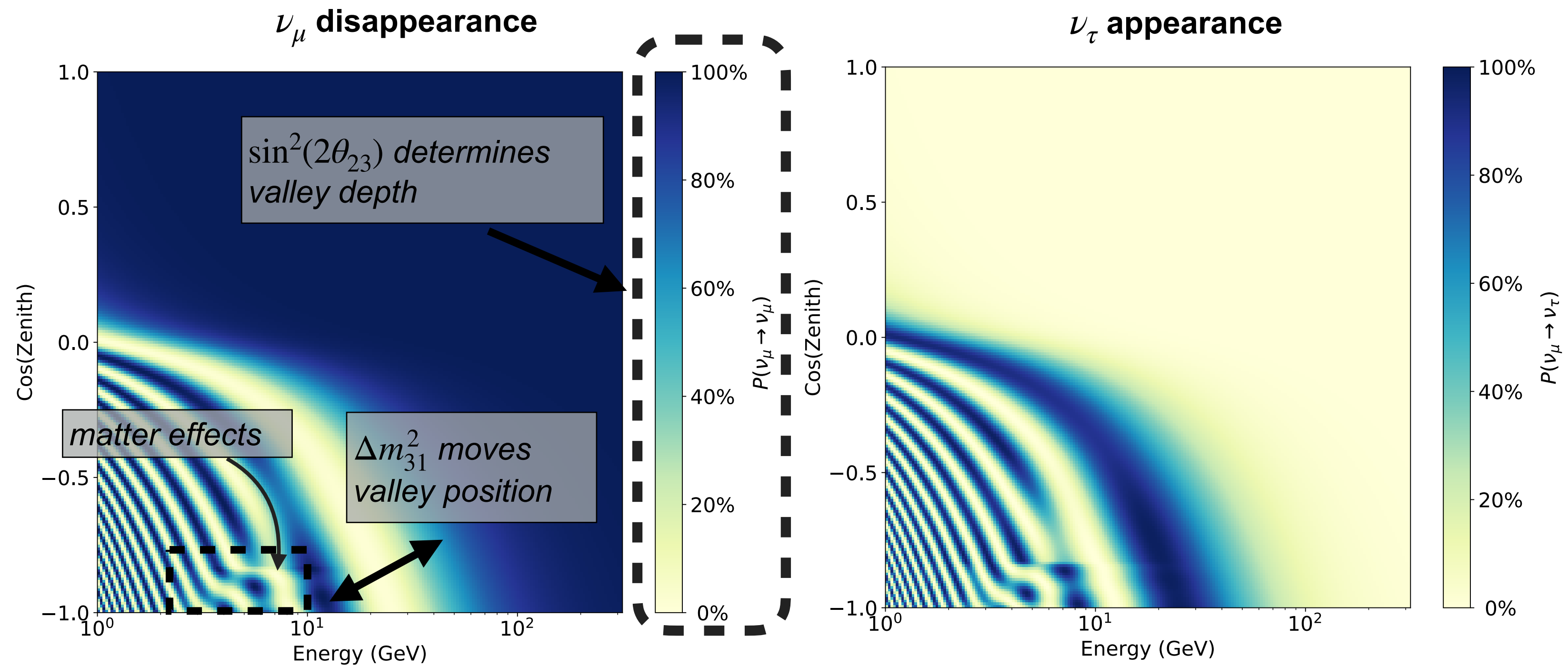
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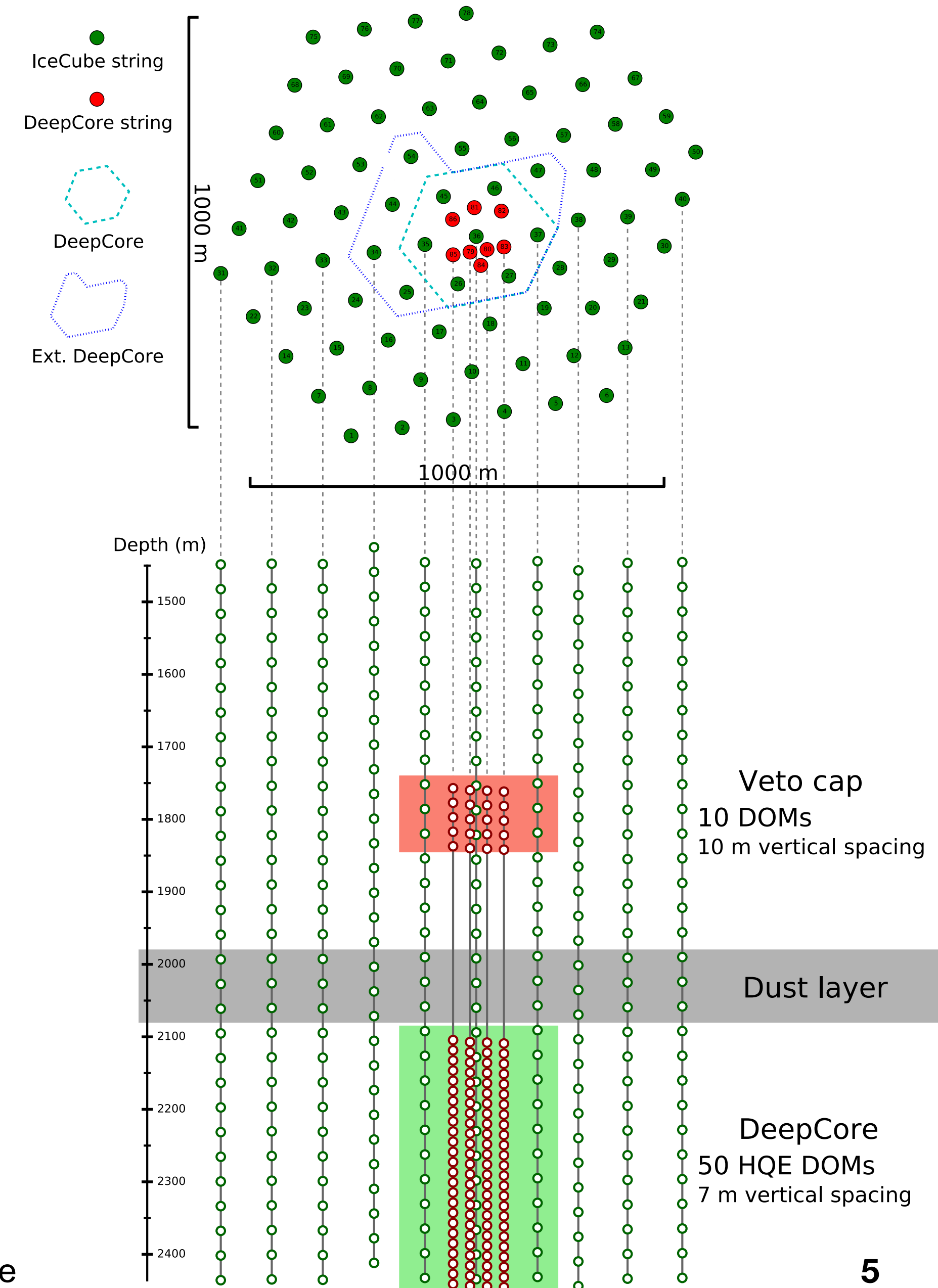
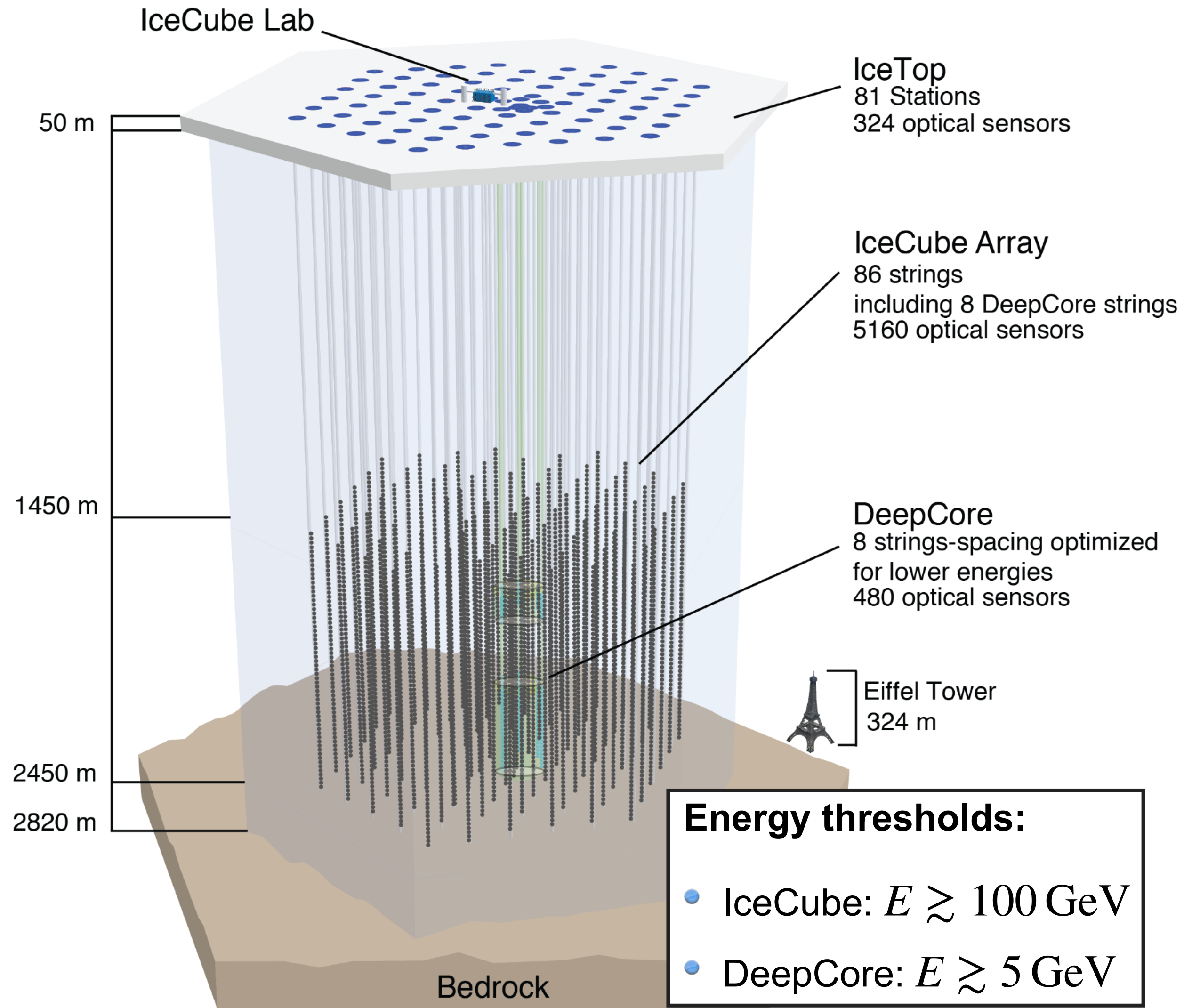
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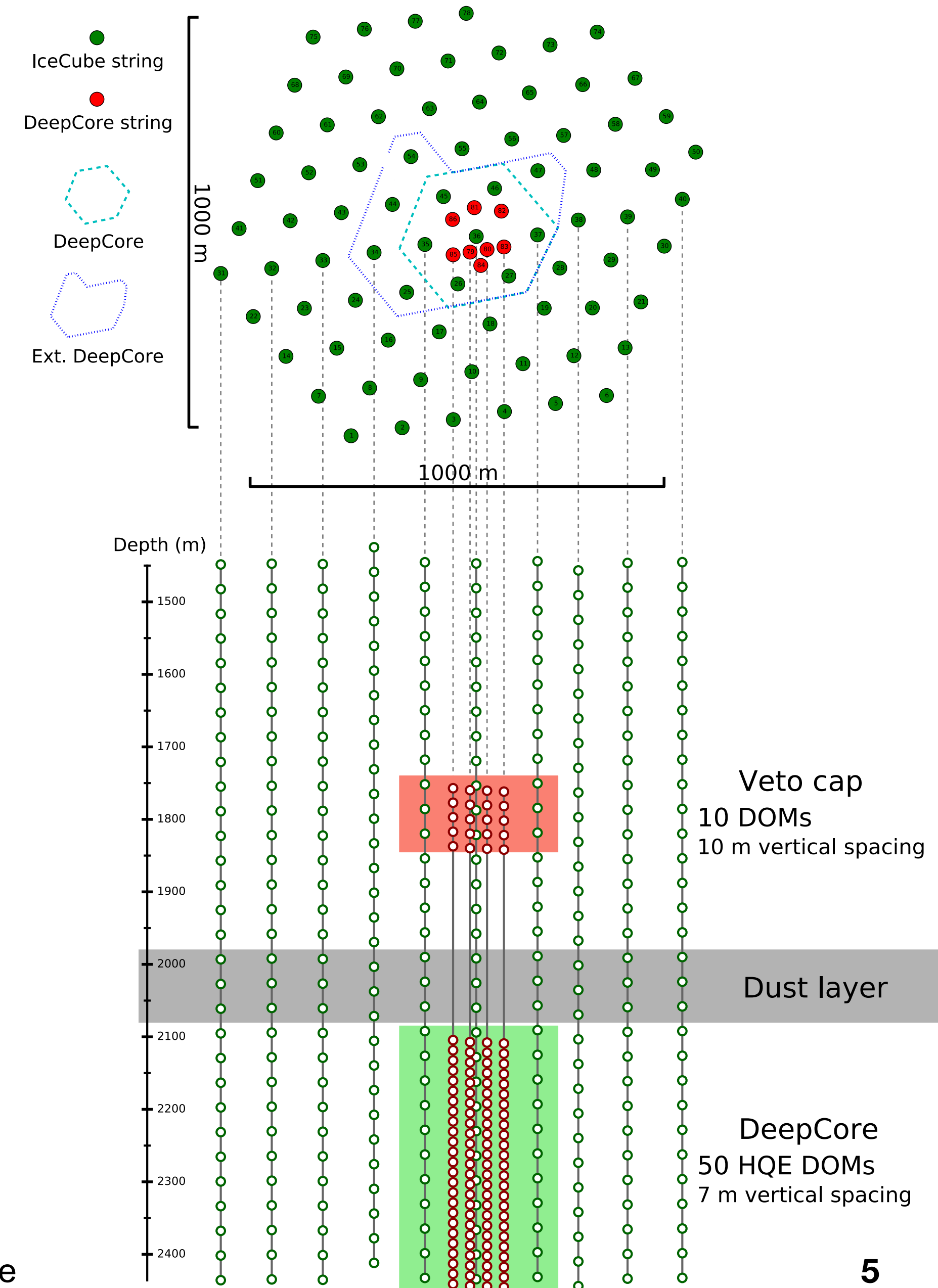
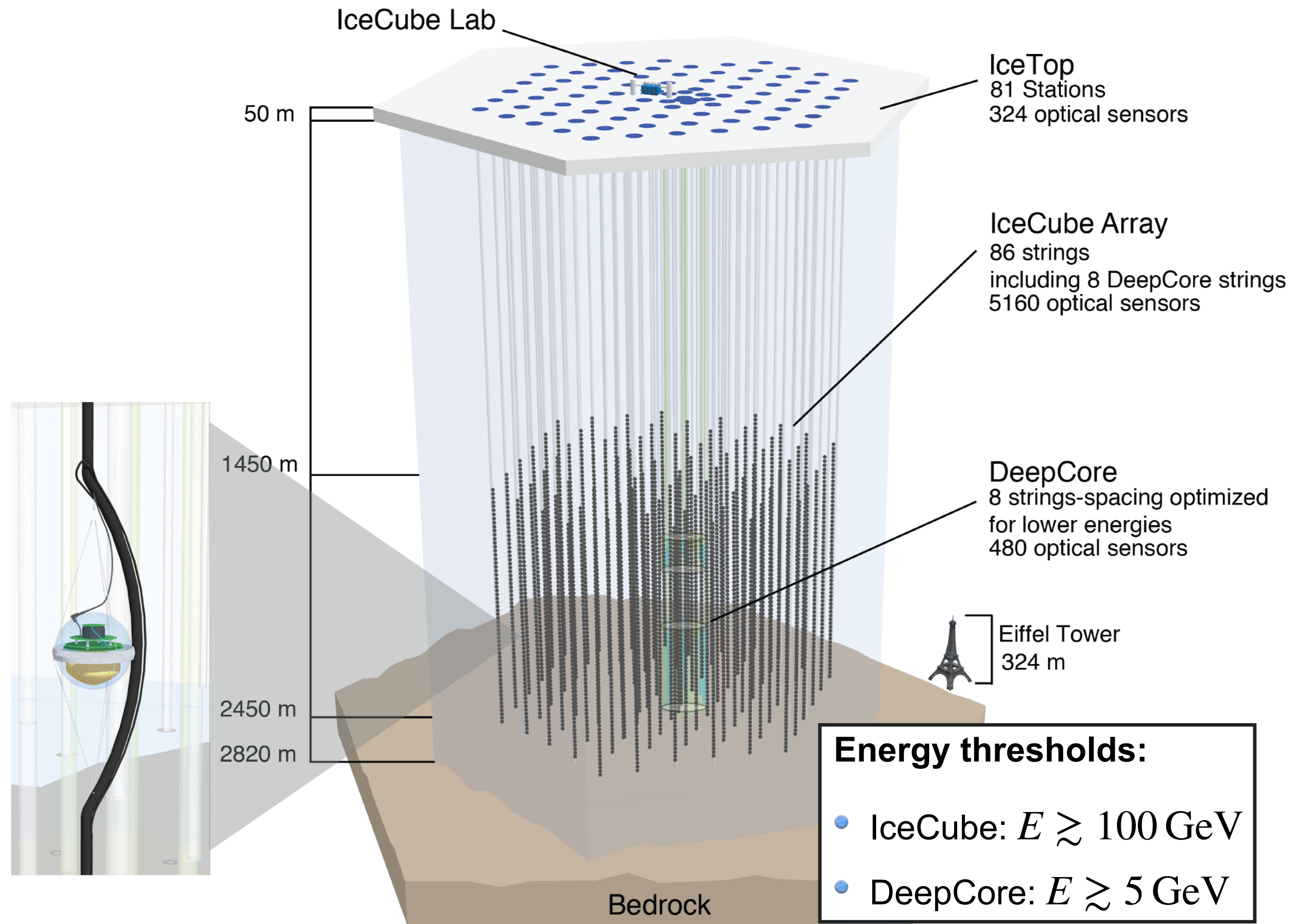
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The IceCube Neutrino Observatory



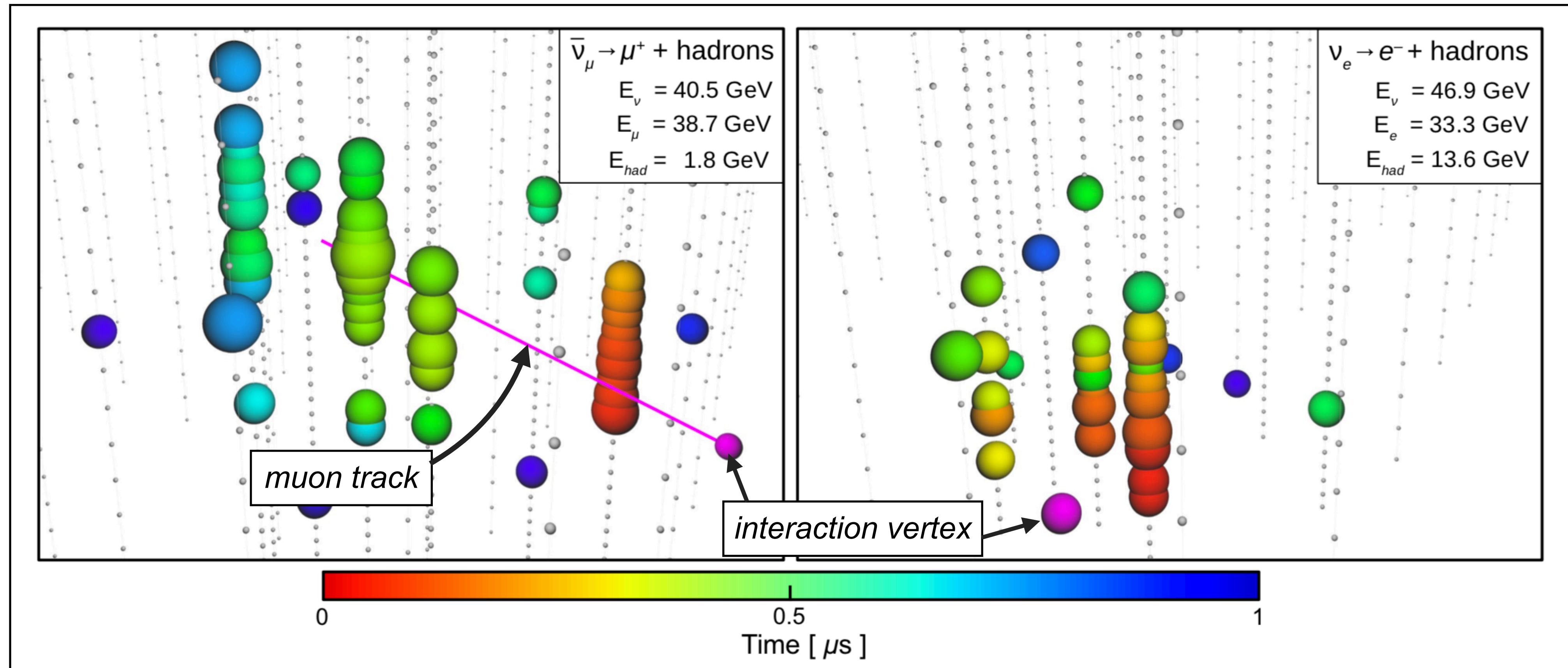
The IceCube Neutrino Observatory



Neutrino Interactions in DeepCore

Charged-current ν_μ interactions

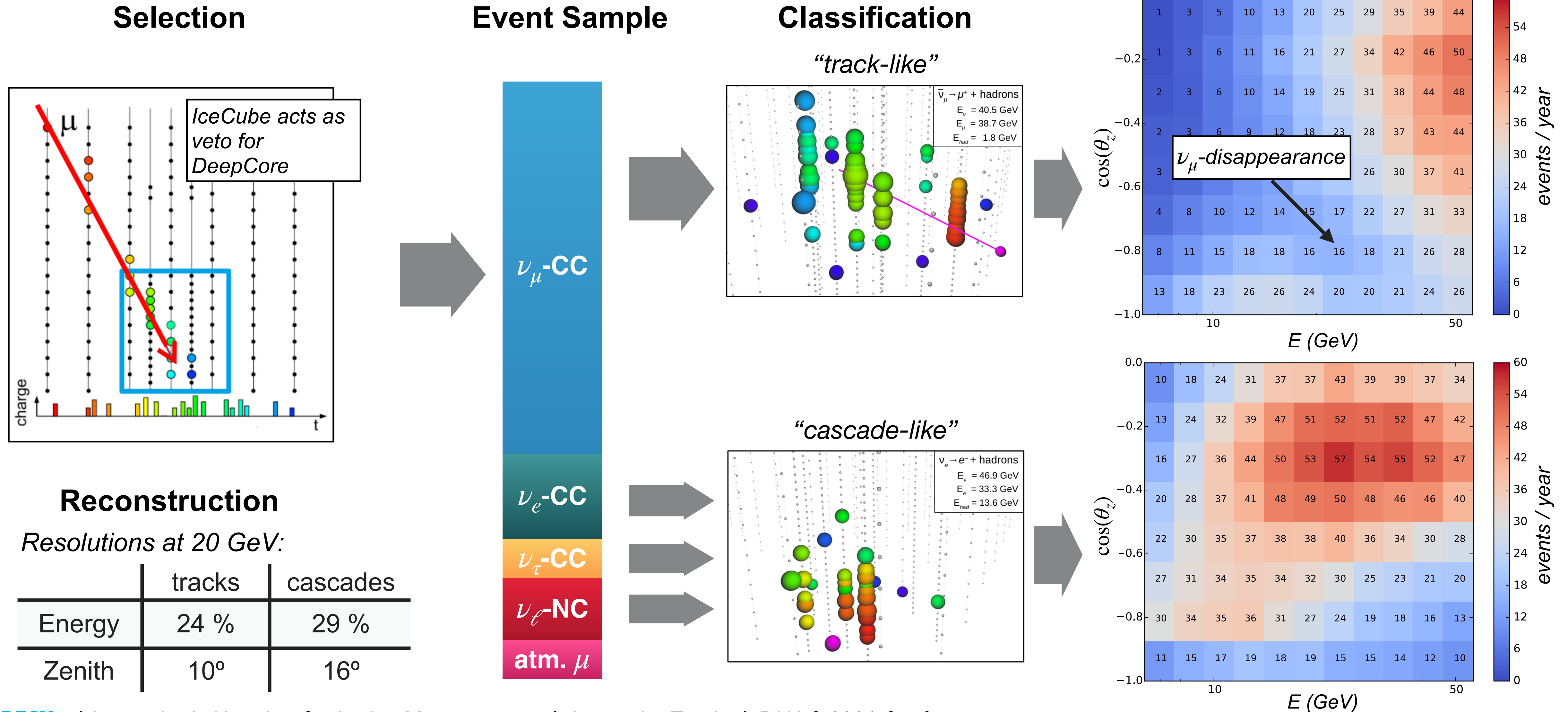
Neutral-current interactions + ν_e + ν_τ



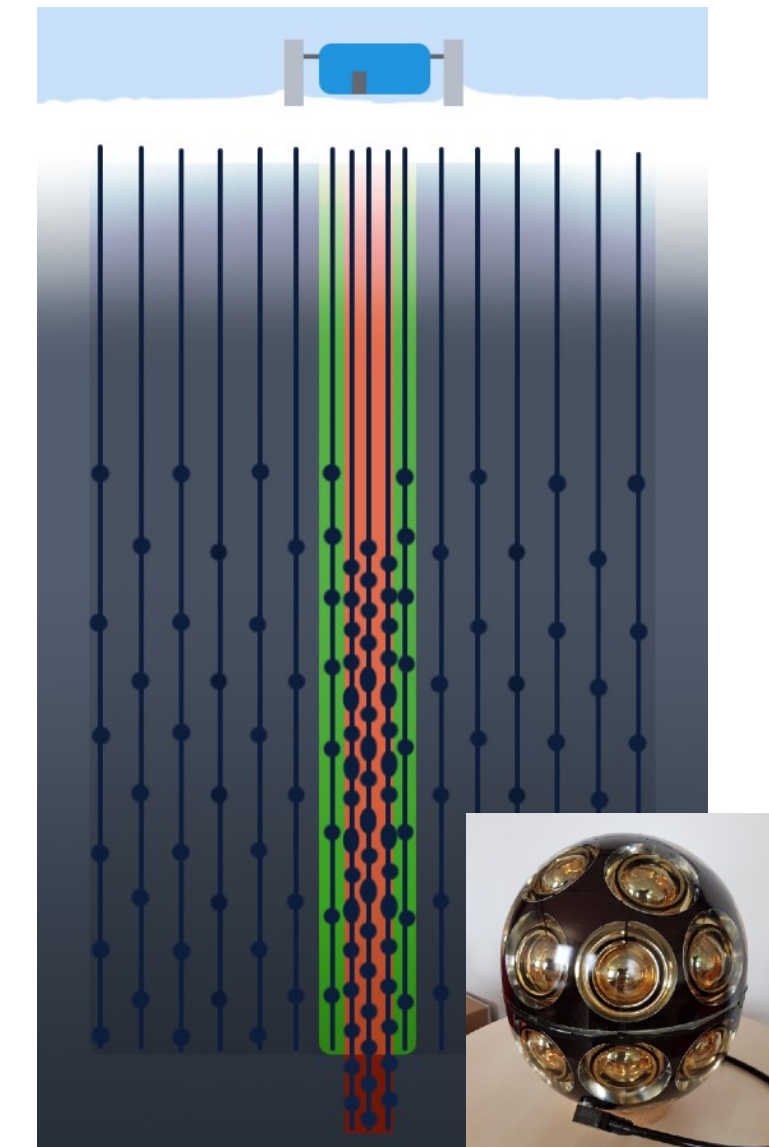
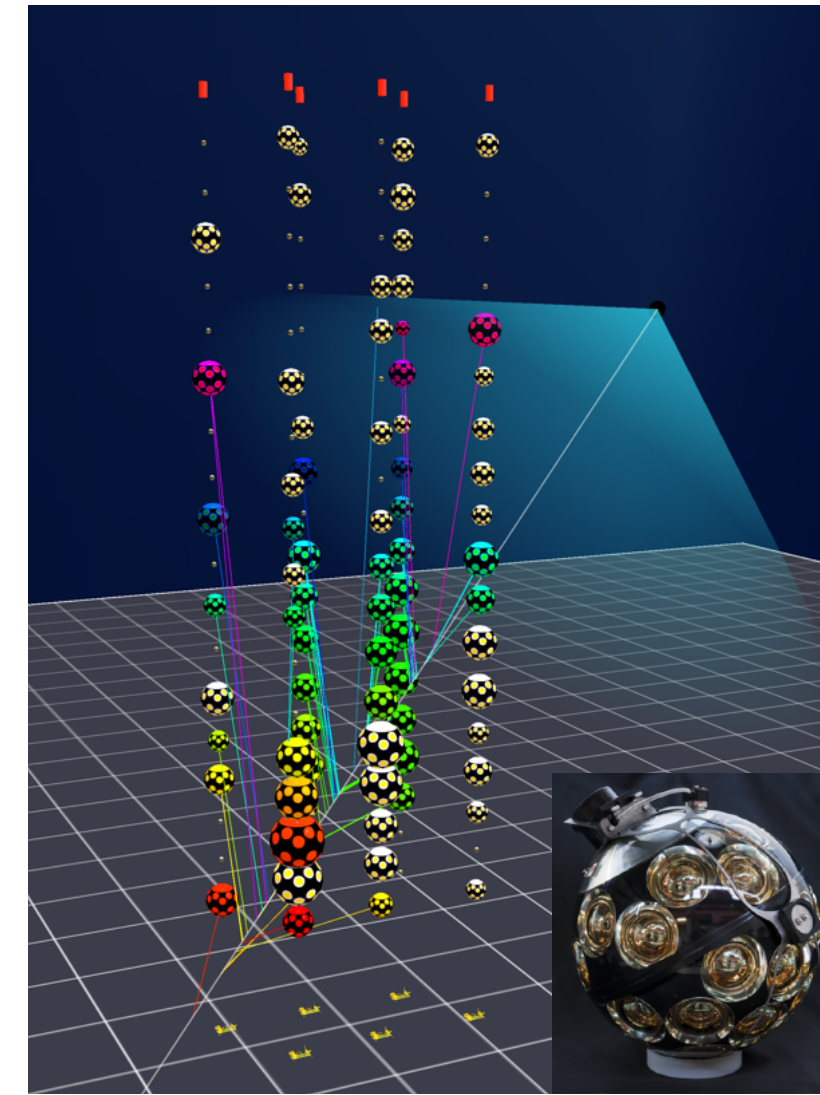
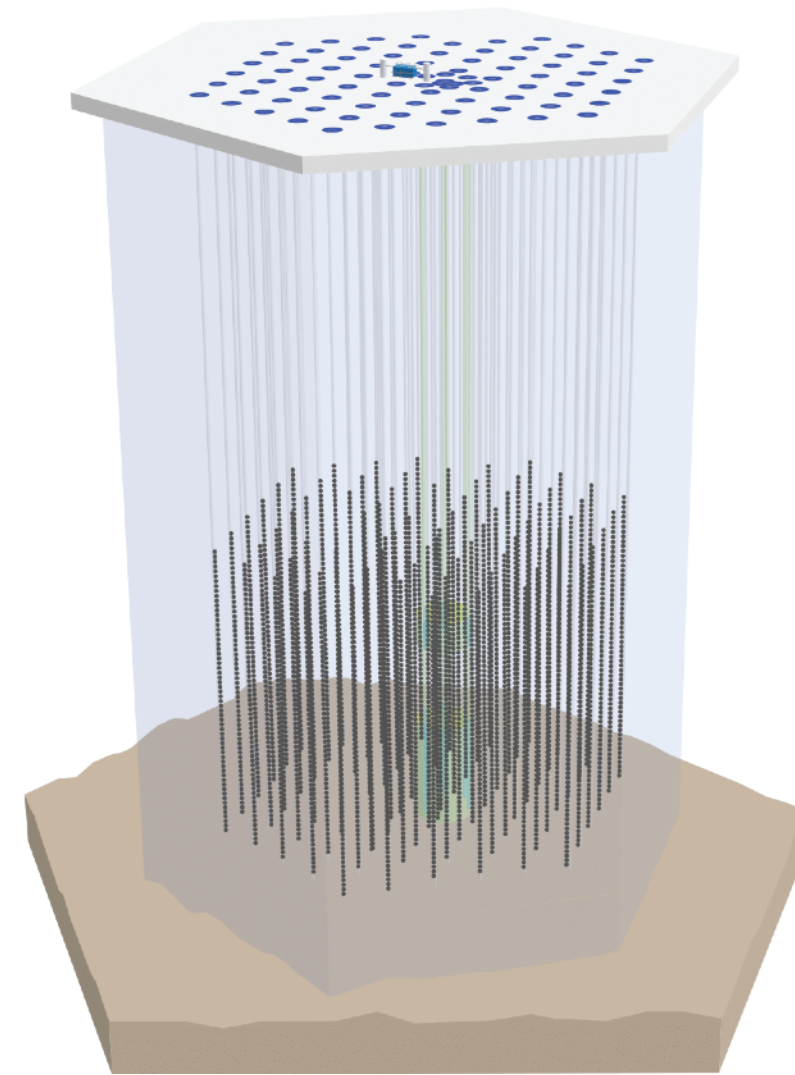
“tracks”

“cascades”

DeepCore Oscillation Analyses in a Nutshell

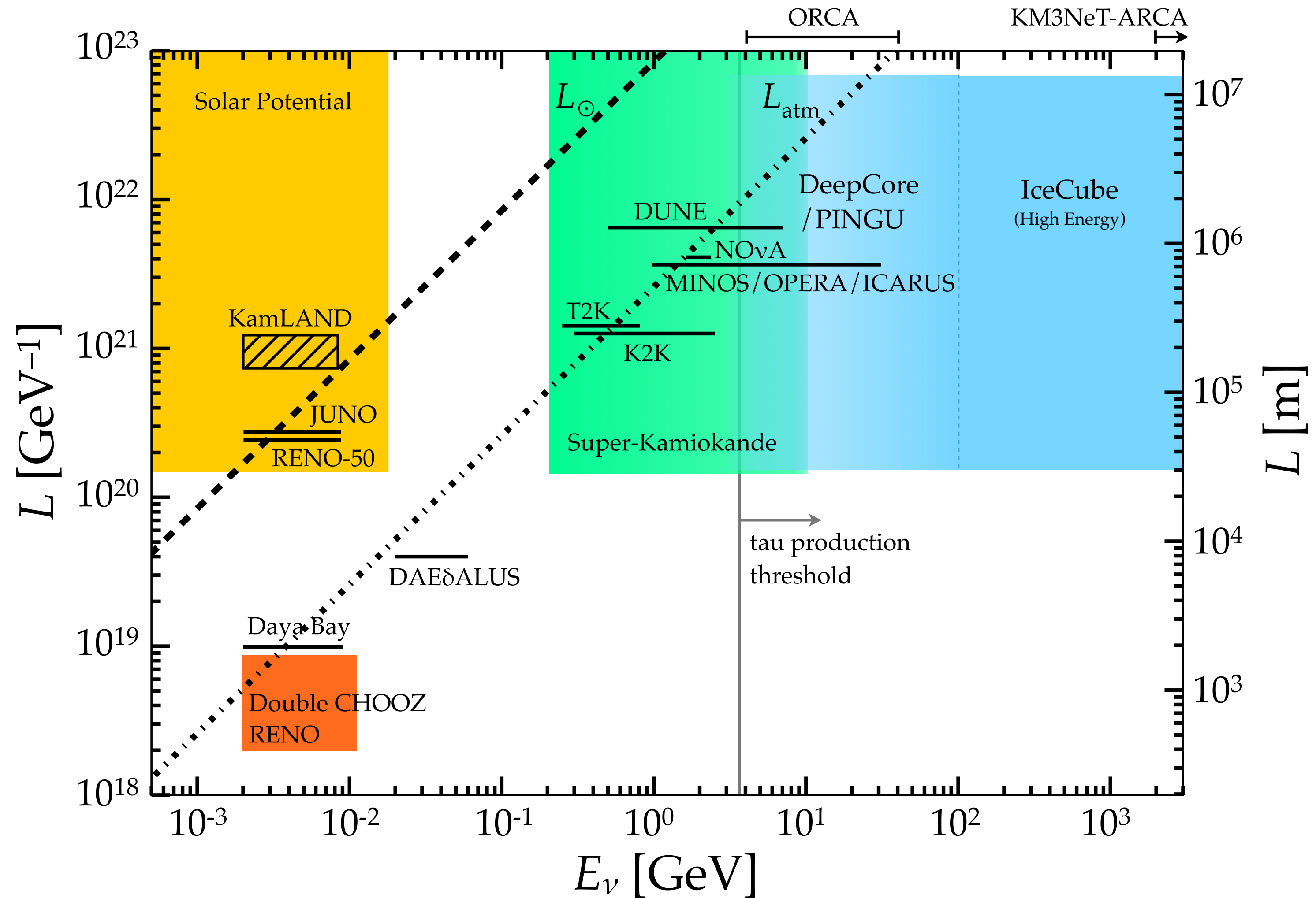


IceCube and KM3NeT: Present and Future



	IceCube DeepCore	KM3NeT/ORCA	IceCube Upgrade
deployment years	2009 — 2011	2019 —	2022 —
detector mass	~20 MT (DeepCore)	~7 MT	~2 MT
veto volume	1 km ³ (IceCube)	shared with fiducial	1 km ³ (IceCube)
medium	ice: -absorption, +scattering	water: +absorption, -scattering	ice: -absorption, +scattering
string / sensor spacing	20-50 m / 7 m	20 m / 9 m	20 m / 3 m
no. sensors / PMTs per sensor	400 / 1	2070 / 31	700 / 24
energy threshold	5 GeV	3 GeV	1 GeV

Neutrino Experiments in the Global Context



Abundant Physics Opportunities

Experimental Setup

Physics

Atmospheric Neutrinos:

- > energy: GeV - TeV, $\gamma \sim 2.7$
- > baseline: variable, up to 12,700 km
- > composition: mostly $\nu_\mu/\bar{\nu}_\mu$, some $\nu_e/\bar{\nu}_e$

Detector Capabilities:

- > method: Cherenkov effect
- > energy threshold: $\gtrsim 5$ GeV
- > ν -flavor separation: $\nu_\mu/\bar{\nu}_\mu$ -CC vs. rest



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Through Matter Effects:

- > neutrino mass ordering (NMO)
- > Non-Standard Interactions (NSI)

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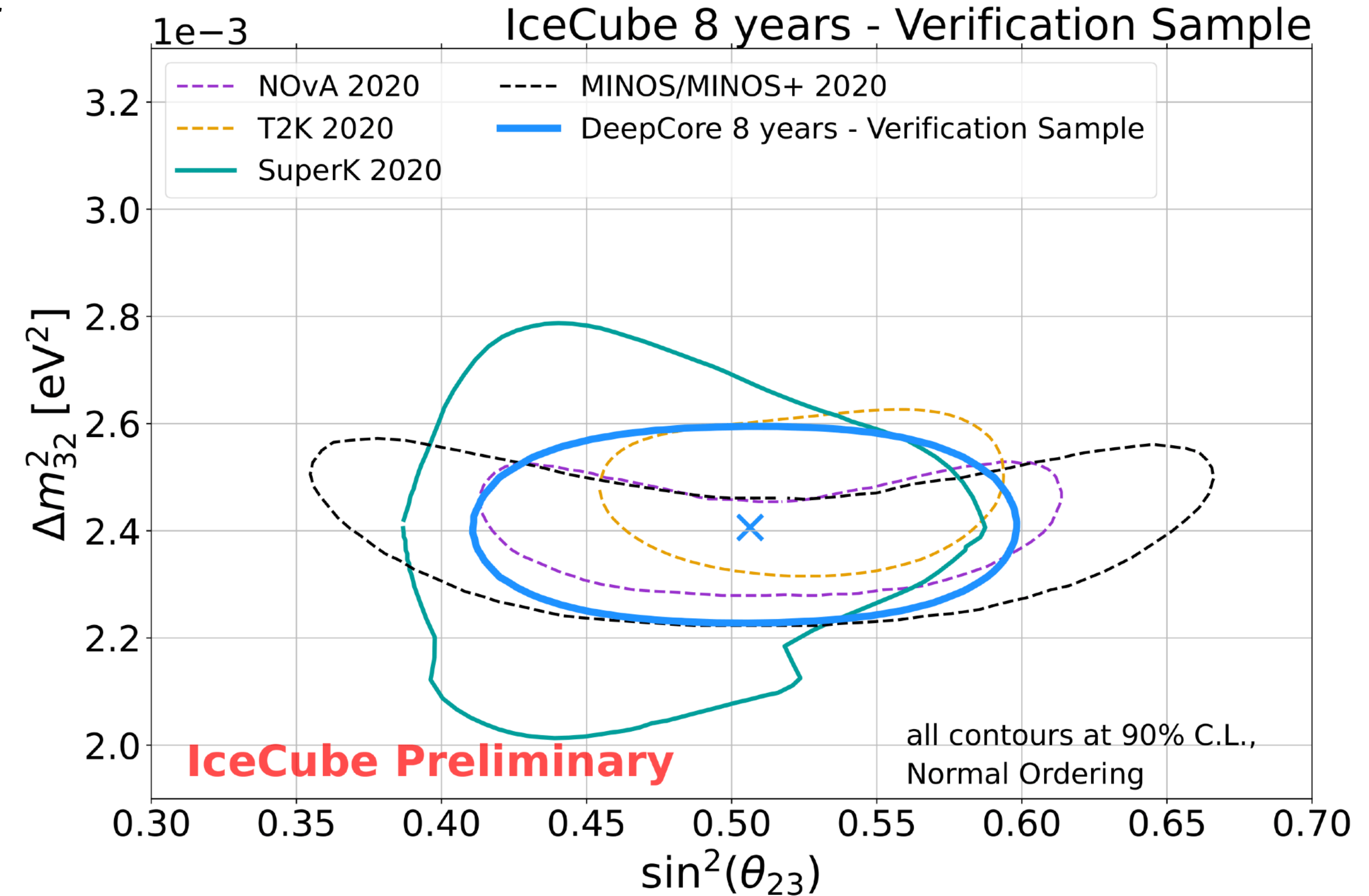
Other:

- > additional mass eigenstates (sterile neutrinos)
- > decoherence (space-time quantum effects)

Analysis Results & Future Sensitivities

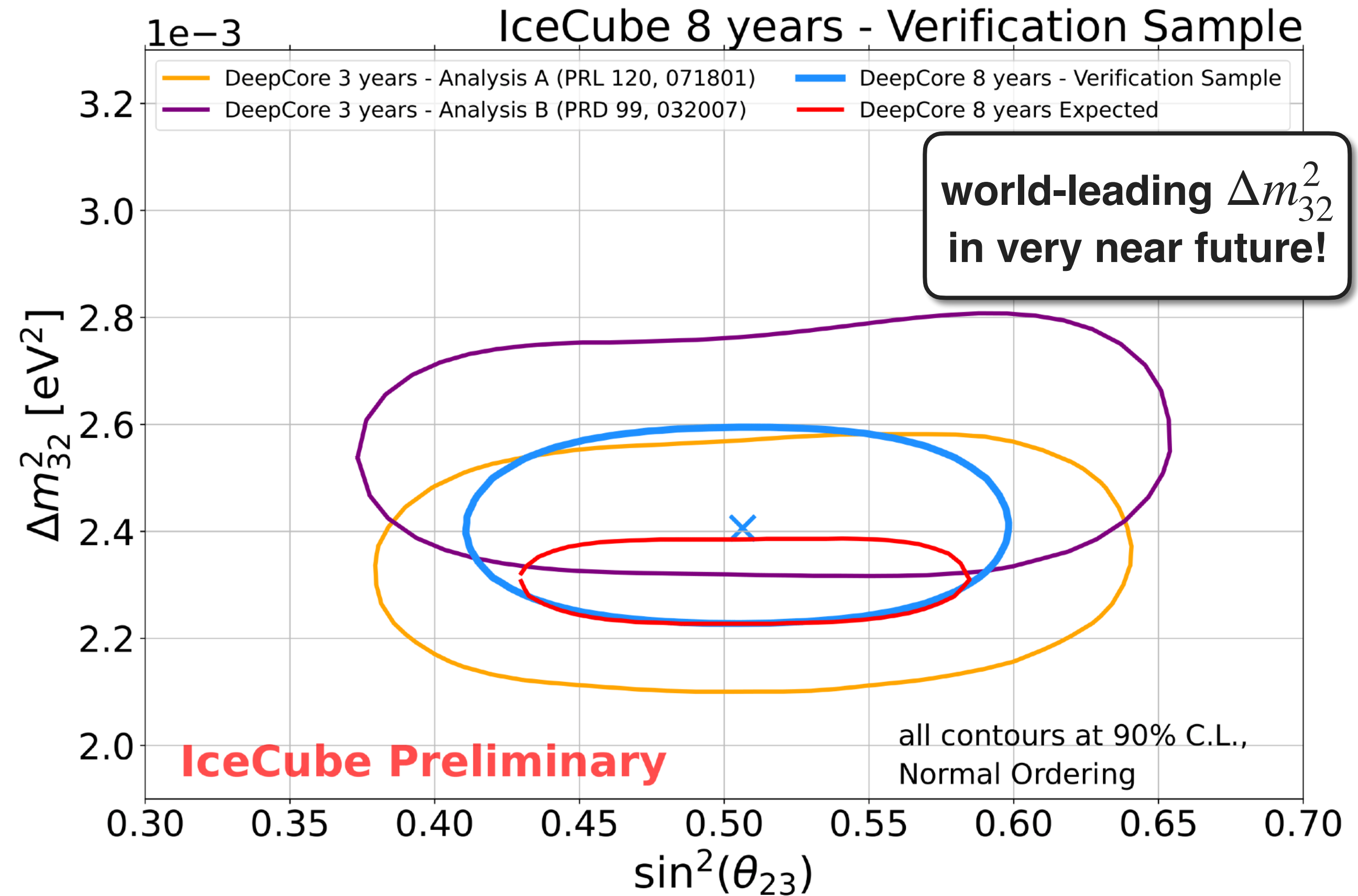
Latest 3-flavor Measurement at IceCube

- > new sample of atmospheric neutrinos with 8 years of live time and improved calibration
- > sub-sample of highly pure, well reconstructed ν_μ events: “Verification Sample” (~23000 events)
- > recently unblinded result (normal ordering assumed)
 - > $\sin^2 \theta_{23} = 0.505^{+0.051}_{-0.050}$
 - > $\Delta m_{32}^2 = 2.41^{+0.084}_{-0.084} \times 10^{-3} \text{ eV}^2$



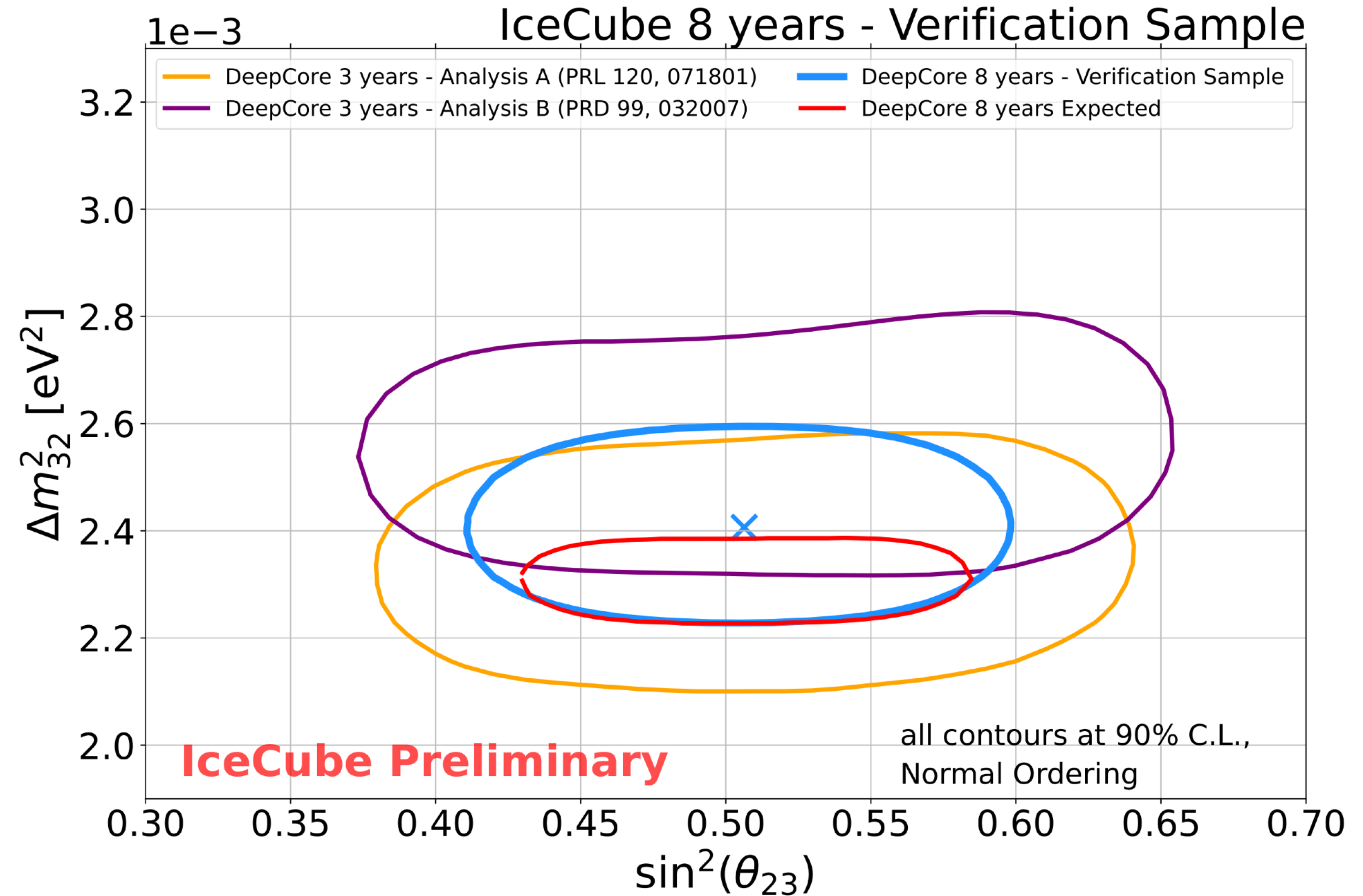
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- > new sample of atmospheric neutrinos with 8 years of live time and improved calibration
- > sub-sample of highly pure, well reconstructed ν_μ events: “Verification Sample” (~23000 events)
- > recently unblinded result (normal ordering assumed)
 - > $\sin^2 \theta_{23} = 0.505^{+0.051}_{-0.050}$
 - > $\Delta m_{32}^2 = 2.41^{+0.084}_{-0.084} \times 10^{-3} \text{ eV}^2$
- > world-leading Δm_{32}^2 sensitivity expected from full sample (~250000 events)



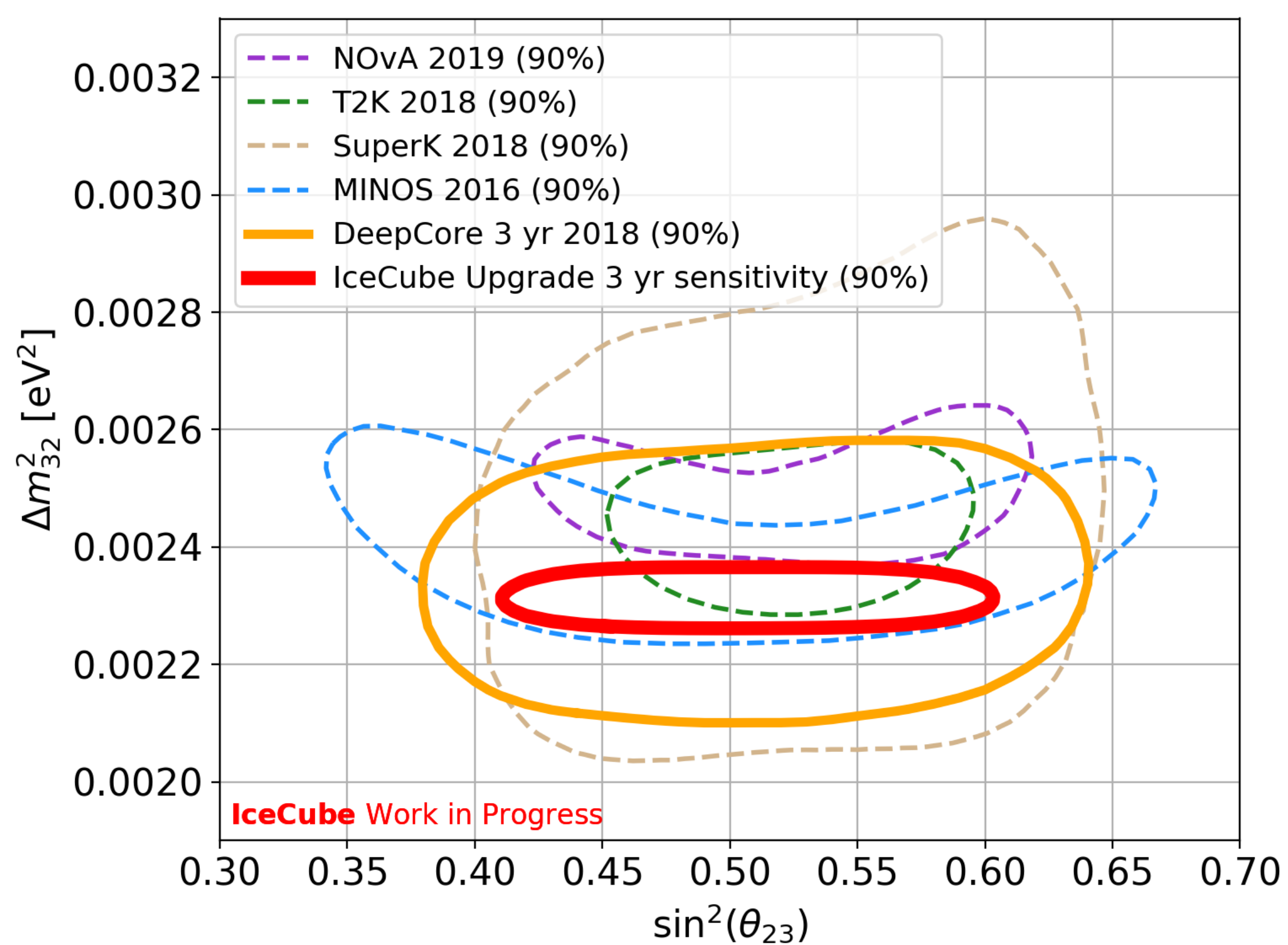
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- > honorable mention: first ORCA measurement with 6 (of 115) strings!

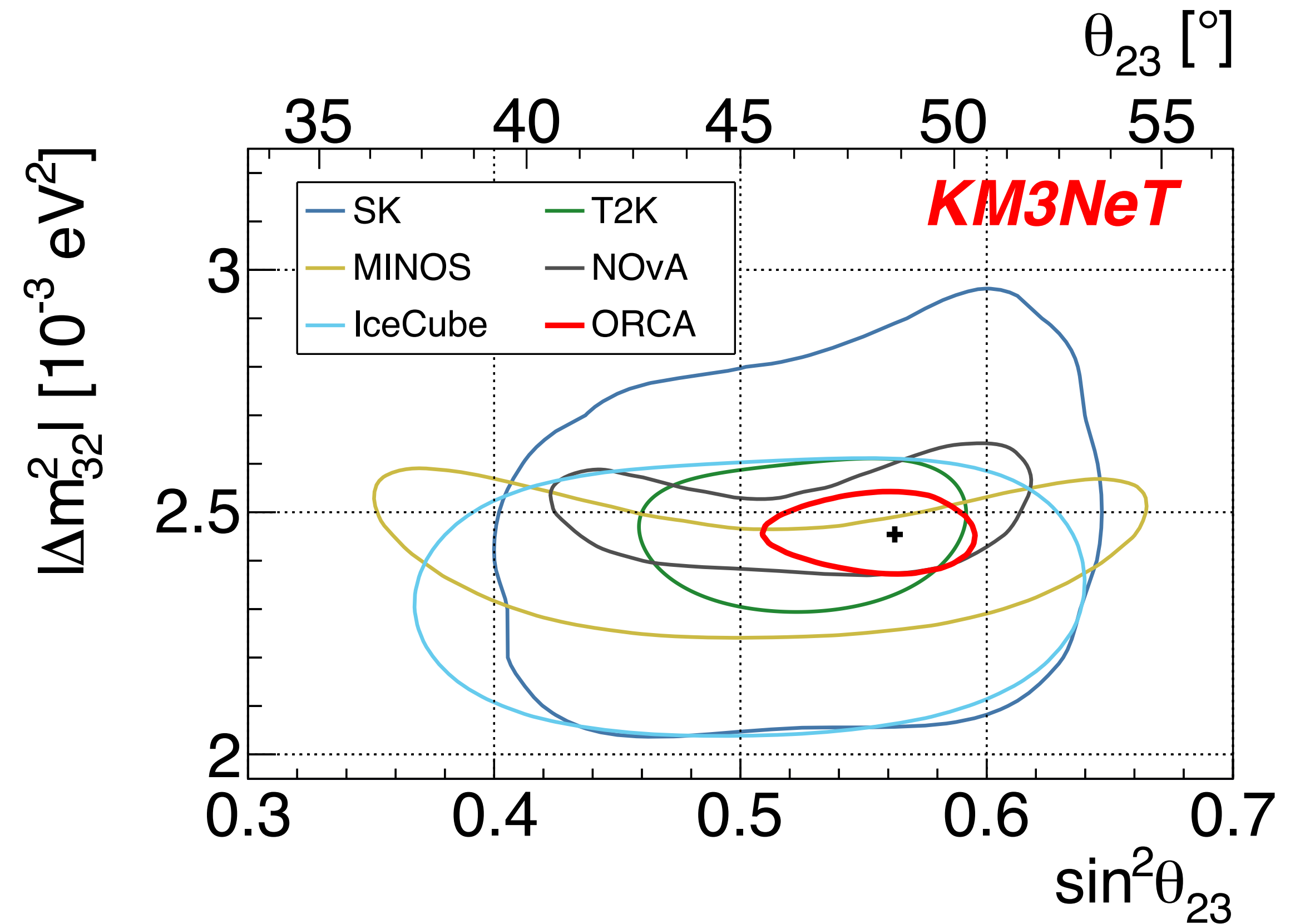


Expectations in Future Detectors

Hot on the heels of accelerator experiments!



Expected 3 year sensitivity with IceCube Upgrade, <https://pos.sissa.it/358/1031>



expected 3 year, 90% sensitivity, KM3NeT Collaboration, [arxiv: 2103.09885](https://arxiv.org/abs/2103.09885)

Testing Unitarity with Tau-Appearance

> three-flavour oscillations imply unitary mixing matrix: neutrinos can't disappear into or appear out of nothing!

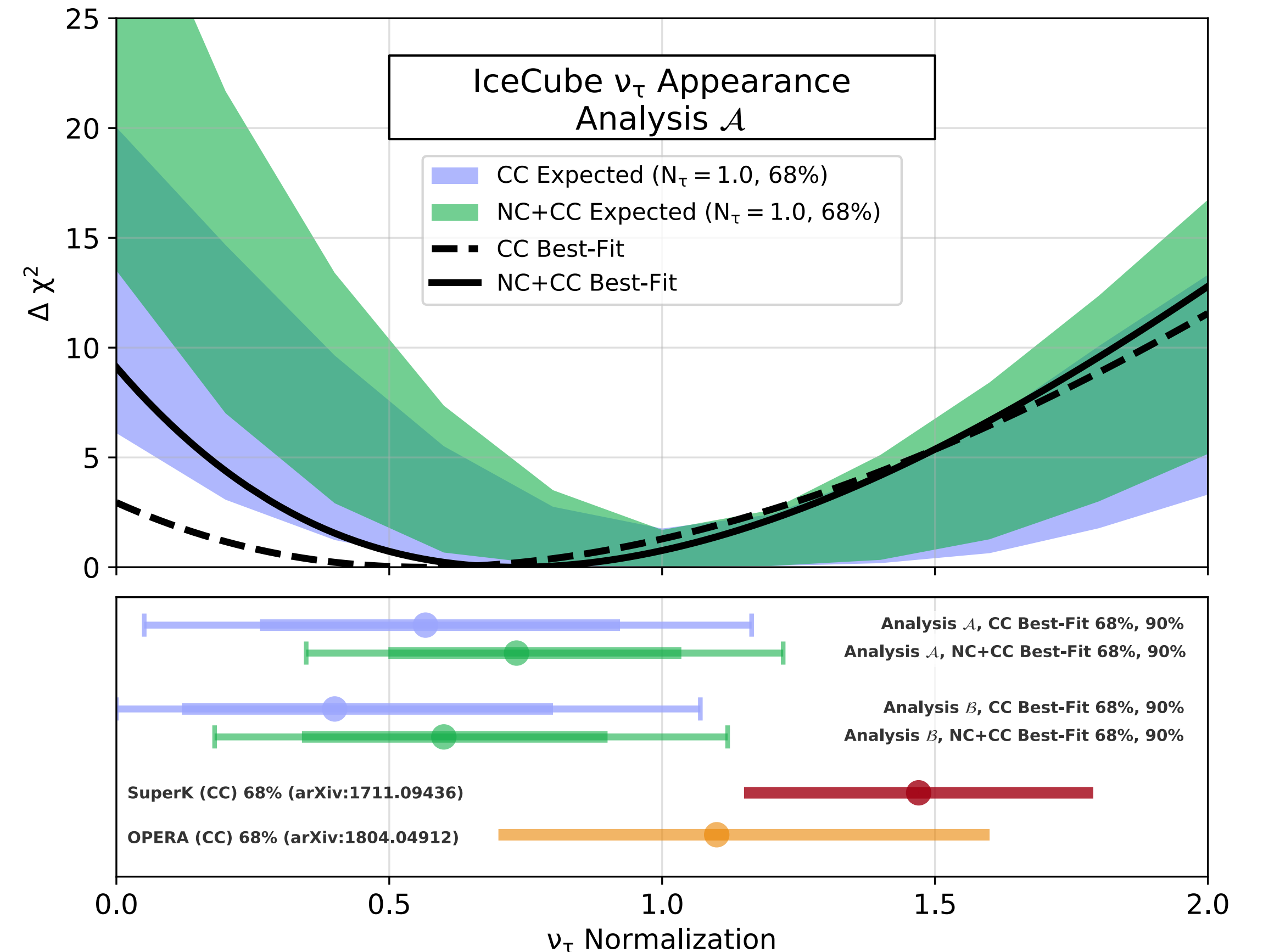
> unitarity implies rows and columns are normed:

$$|U_{e3}|^2 + |U_{\mu3}|^2 + |U_{\tau3}|^2 = 1$$

experimentally established to sum to 0.5

> standard oscillation analysis with free floating ν_τ normalization

> results compatible with standard 3- ν oscillation (at 90% C.L.)



DeepCore result with 3 years of data, [arxiv:1901.05366](https://arxiv.org/abs/1901.05366)

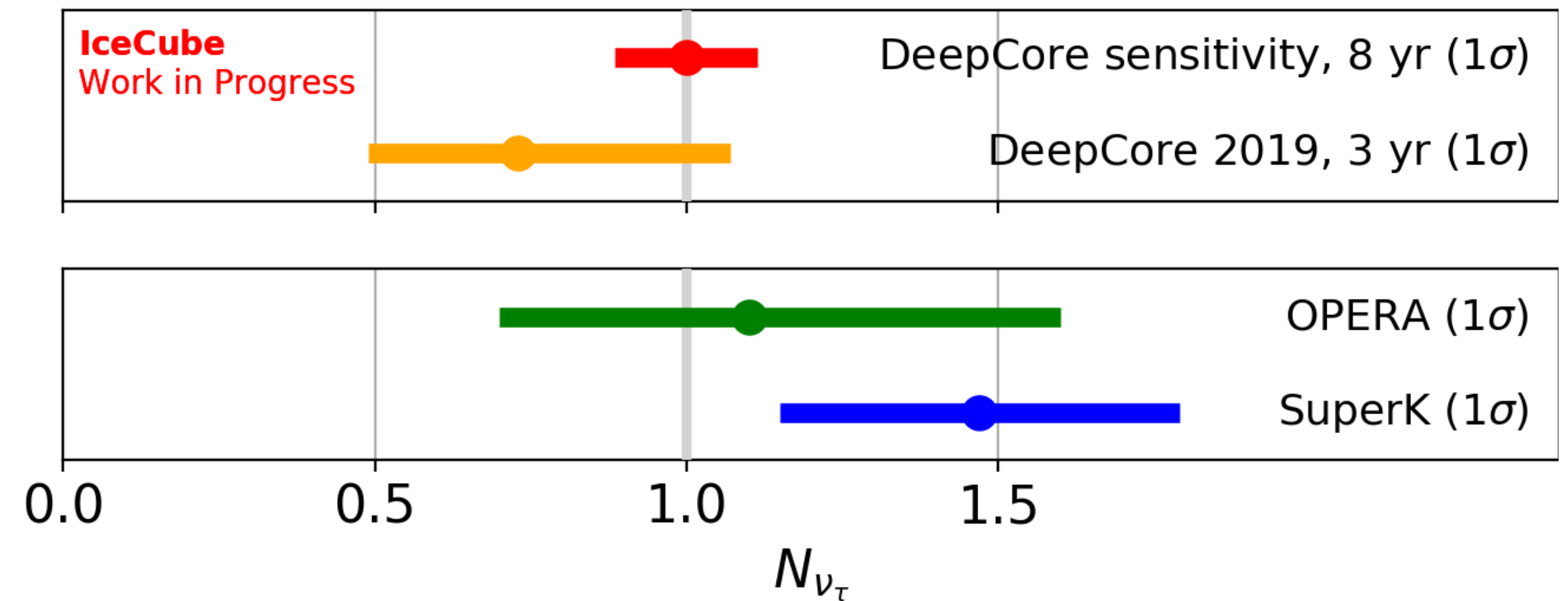
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Expected sensitivity with 8 years of DeepCore

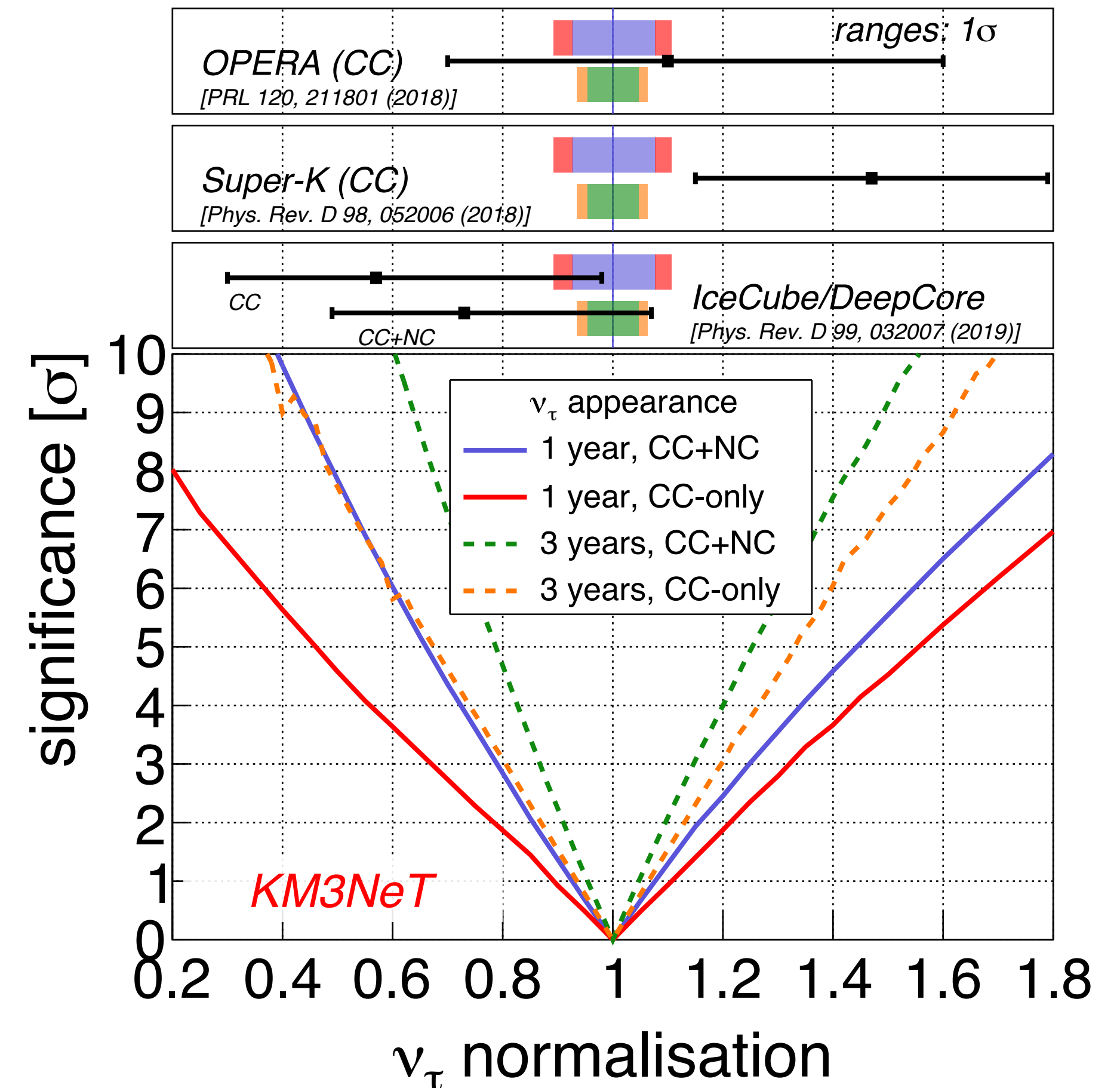
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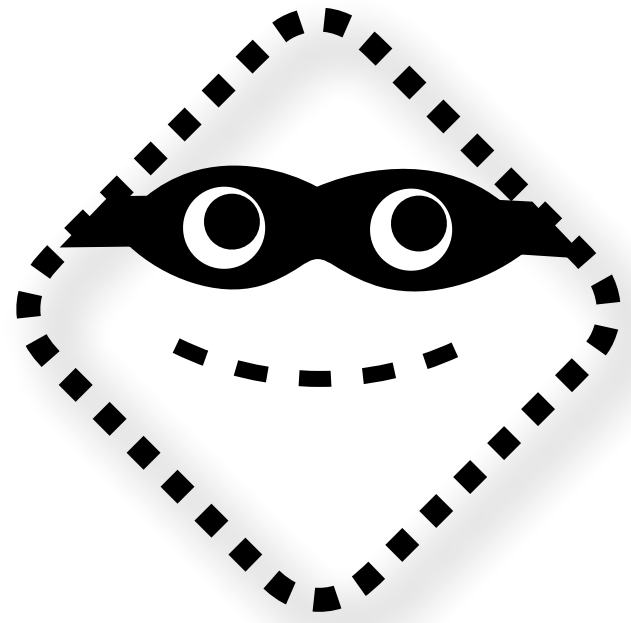
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What physics could hide in non-unitarity?

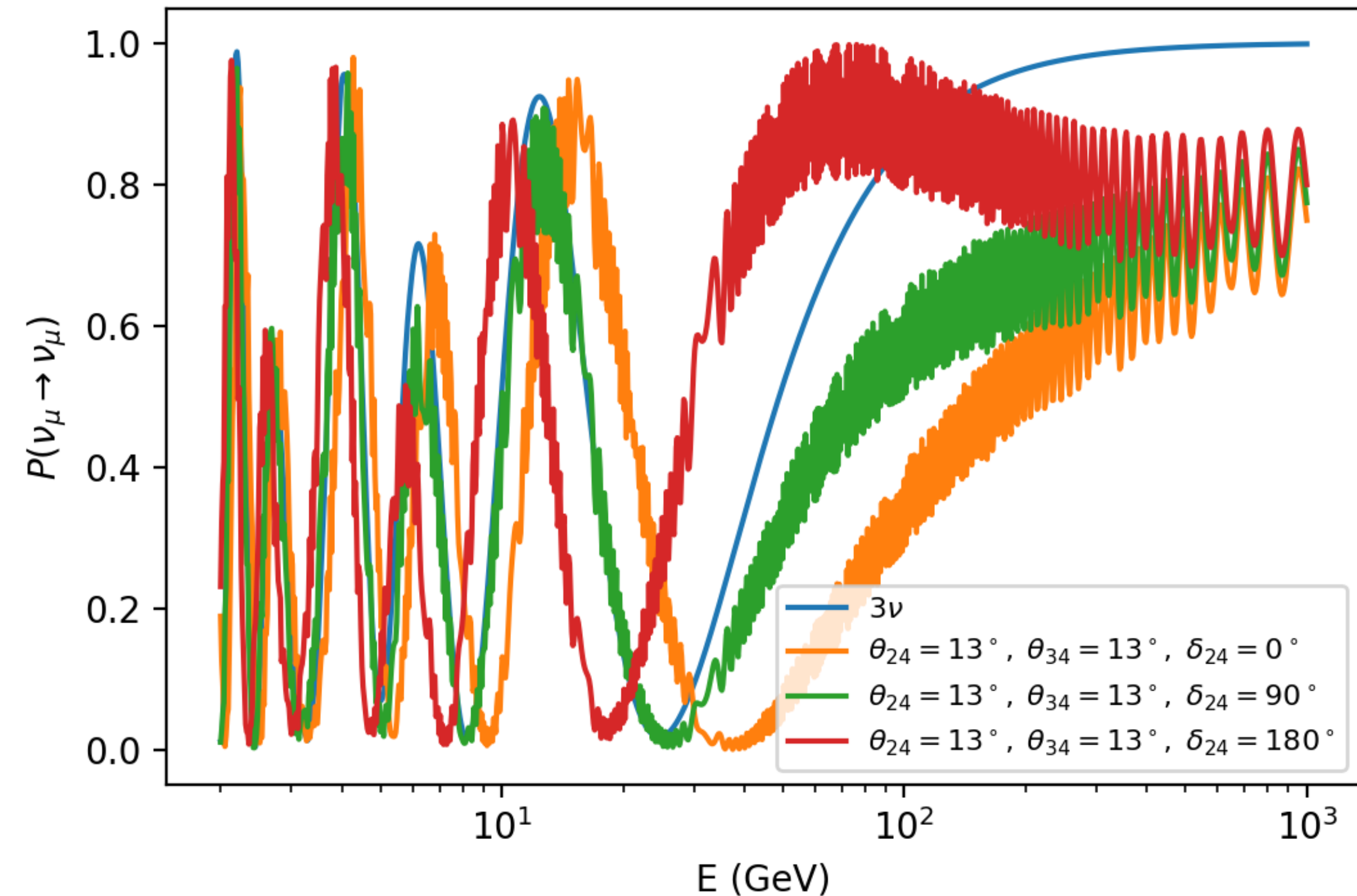
- > one possible explanation: sterile neutrinos!



- > no weak interaction, invisible to detector!
- > mass splitting $\Delta m_{41}^2 \approx \mathcal{O}(1 \text{ eV}^2)$ hinted by anomalies in other experiments
- > additional flavor state ν_s and additional mass state ν_4

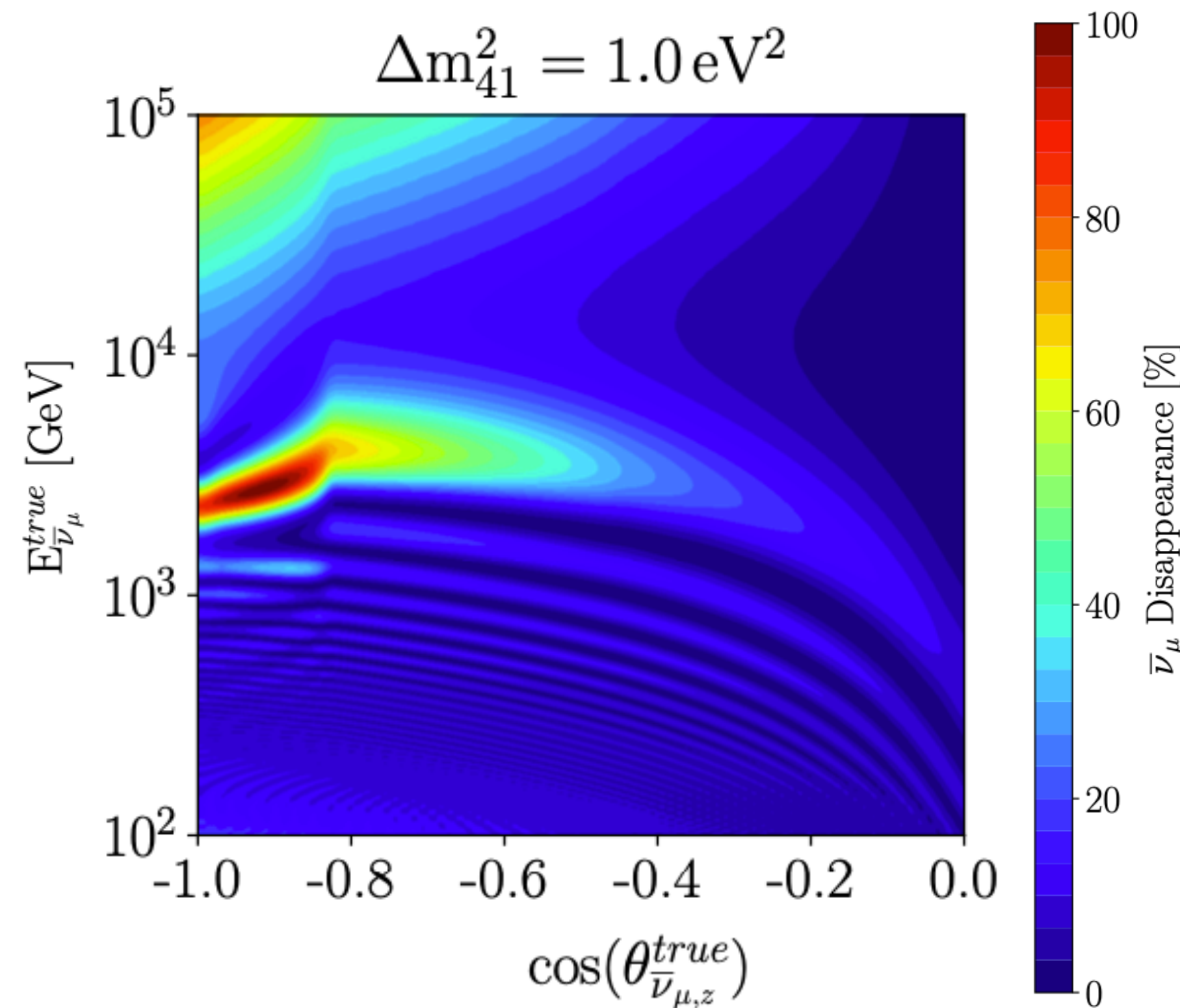
$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} = R_{34}(\theta_{34}) \tilde{R}_{24}(\theta_{24}, \delta_{24}) \tilde{R}_{14}(\theta_{14}, \delta_{14}) R_{23}(\theta_{23}) \tilde{R}_{13}(\theta_{13}, \delta_{13}) R_{12}(\theta_{12})$$

3 new mixing angles, 2 new CP phases

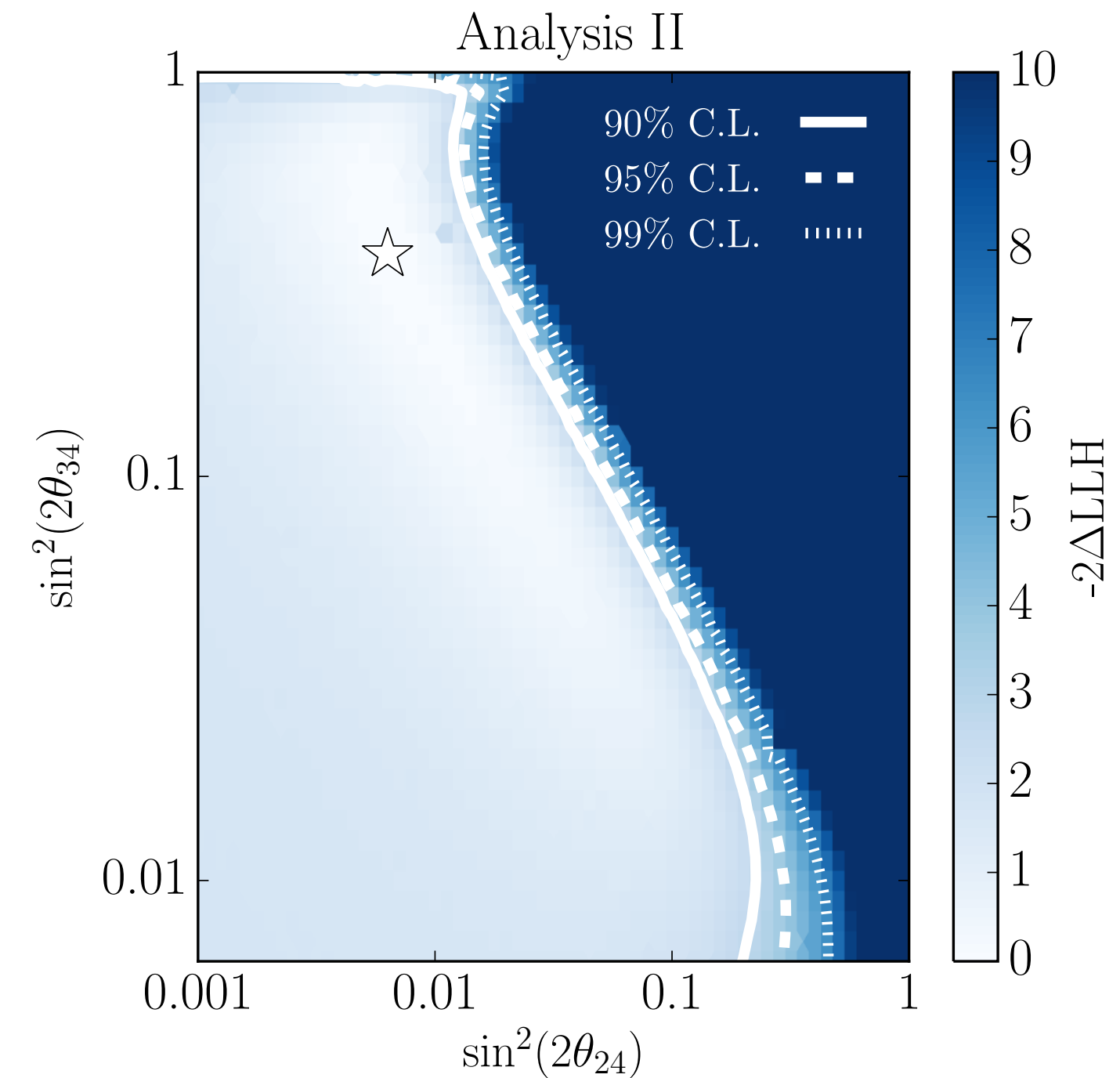
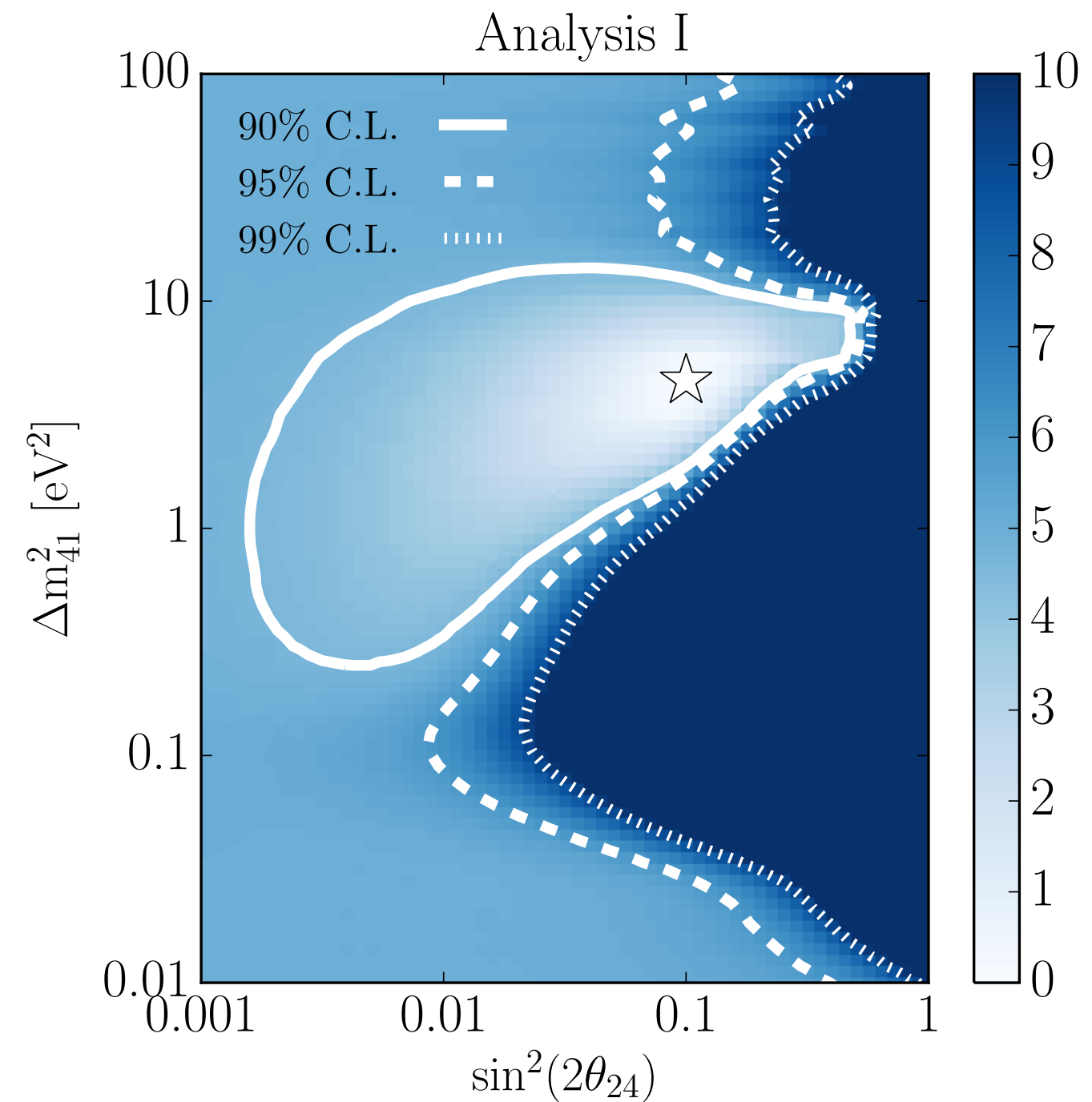


Sterile neutrinos at high and low energies

“High” energies: 500 GeV - 10 TeV



IceCube Collaboration, 2020, [arXiv:2005.12943](https://arxiv.org/abs/2005.12943)

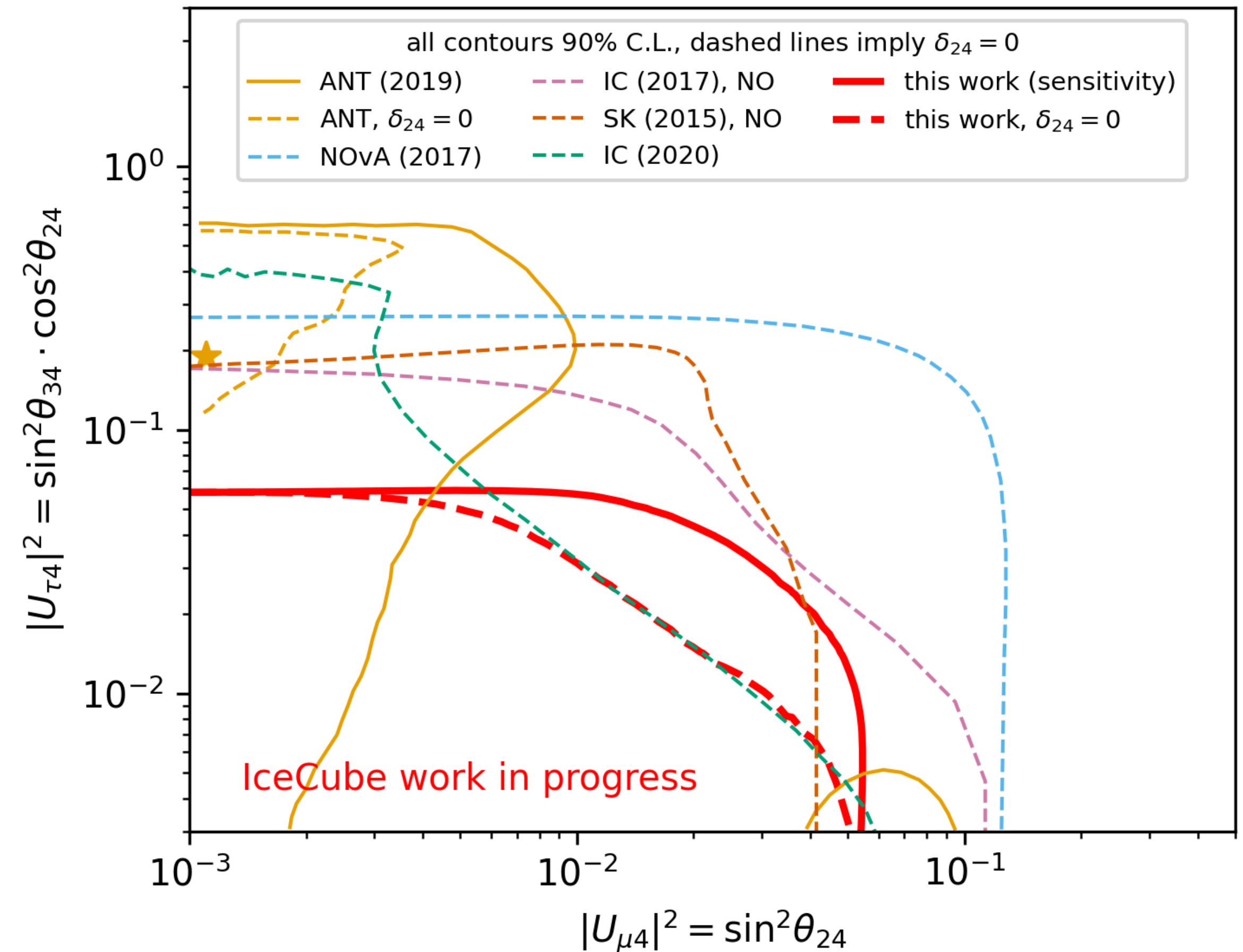
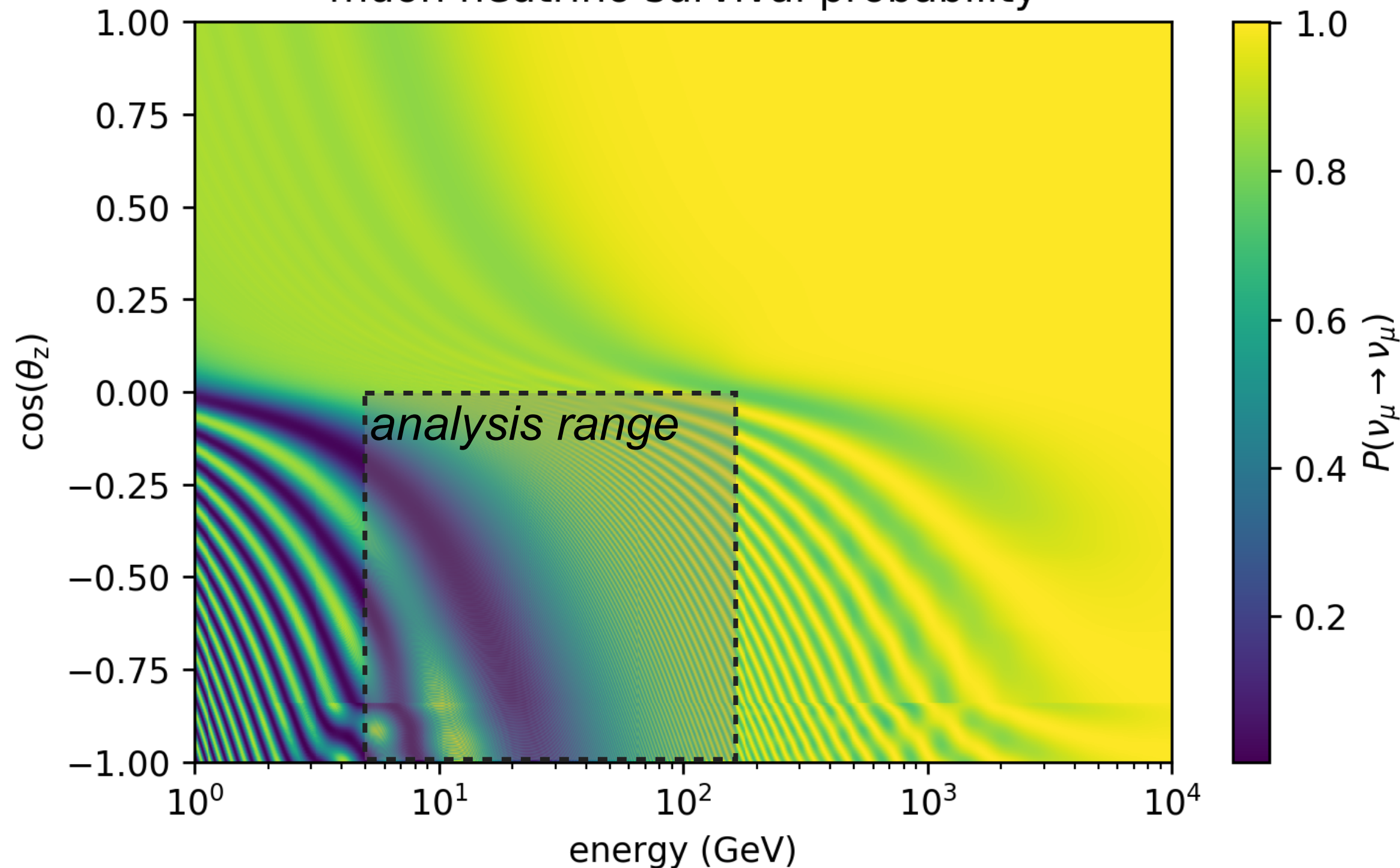


- > Earth’s core greatly enhances oscillation probability into eV-scale sterile antineutrinos (MSW resonance condition)
- > sterile neutrino signature: deficit of $\bar{\nu}_\mu$ between 1 and 10 TeV

Sterile neutrinos at high and low energies

“Low” energies: 5 - 150 GeV

muon neutrino survival probability



- > very fast, unresolvable oscillations + distortion
- > IceCube: World-leading limits on $|U_{\tau 4}|^2$ and $|U_{\mu 4}|^2$!

Projected sensitivity of sterile search with 8 years of DeepCore data

Non-Standard Interactions (NSI)

- > ν interaction via new heavy mediator
- > NC forward scattering of all flavors \rightarrow effective potential

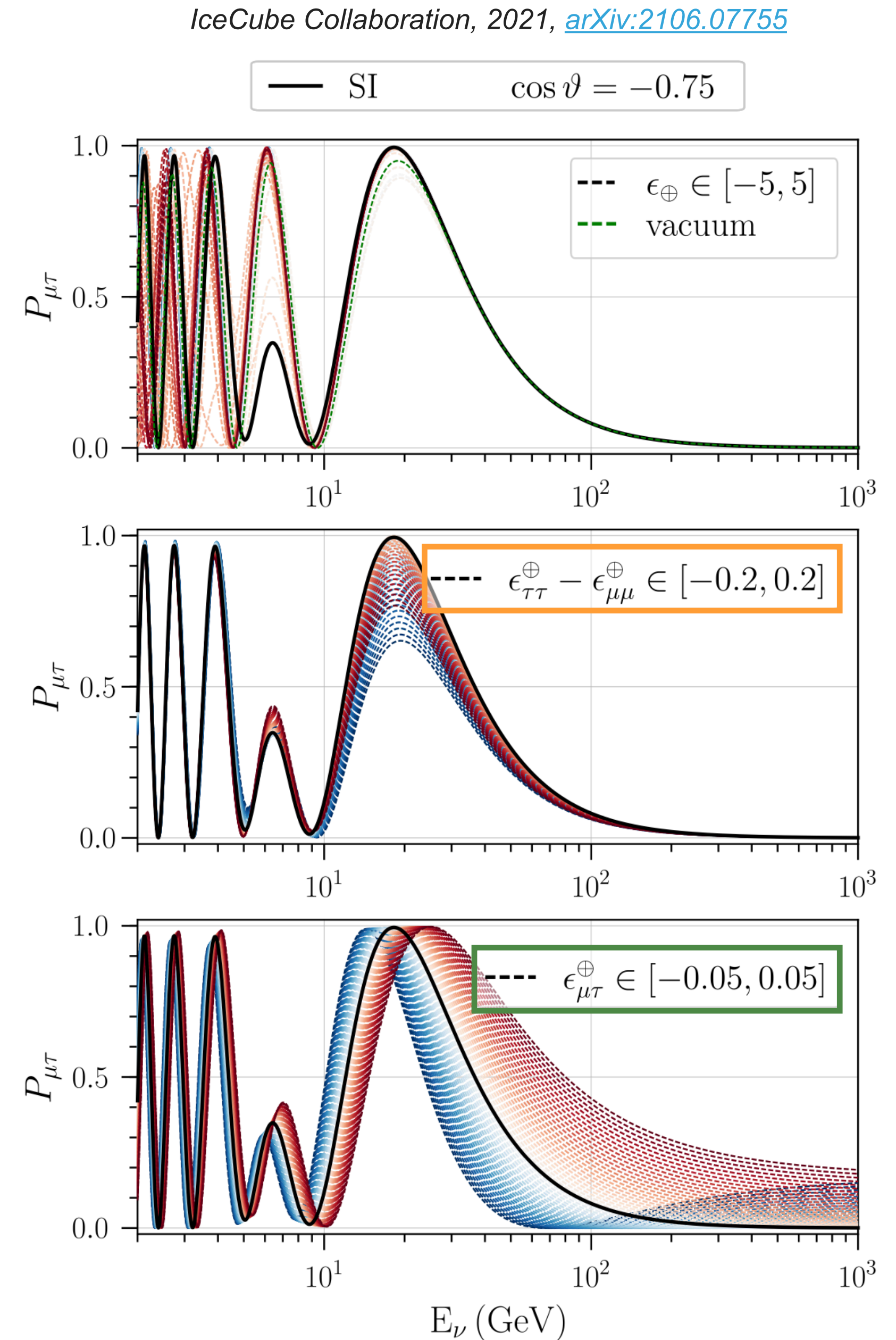
in flavor basis

$$H_{\text{mat}} = \sqrt{2} G_F N_e(x) \begin{pmatrix} 1 + \overbrace{(\epsilon_{ee}^\oplus - \epsilon_{\mu\mu}^\oplus)}^{\epsilon_\oplus} & \epsilon_{e\mu}^\oplus & \epsilon_{e\tau}^\oplus \\ \epsilon_{e\mu}^{\oplus*} & 0 & \epsilon_{\mu\tau}^\oplus \\ \epsilon_{e\tau}^{\oplus*} & \epsilon_{\mu\tau}^{\oplus*} & \underbrace{(\epsilon_{\tau\tau}^\oplus - \epsilon_{\mu\mu}^\oplus)}_{\text{breaking of lepton-universality}} \end{pmatrix}$$

flavor-changing interactions

- > mostly model independent
- > possible explanation for known tensions between $\text{NO}_{\nu A}$ and T2K[1]
- > atmospheric neutrinos:
 - > highest sensitivity to $\epsilon_{\mu\tau}^\oplus$ at energies > 100 GeV
 - > sensitivity to $\epsilon_{\tau\tau}^\oplus - \epsilon_{\mu\mu}^\oplus$ (and other parameters) at energies < 100 GeV

[1]Chatterjee & Palazzo, 2020, [arXiv:2008.04161](https://arxiv.org/abs/2008.04161)



Latest DeepCore NSI Result

- > 3 years of data
- > energy range: 5.6 - 100 GeV

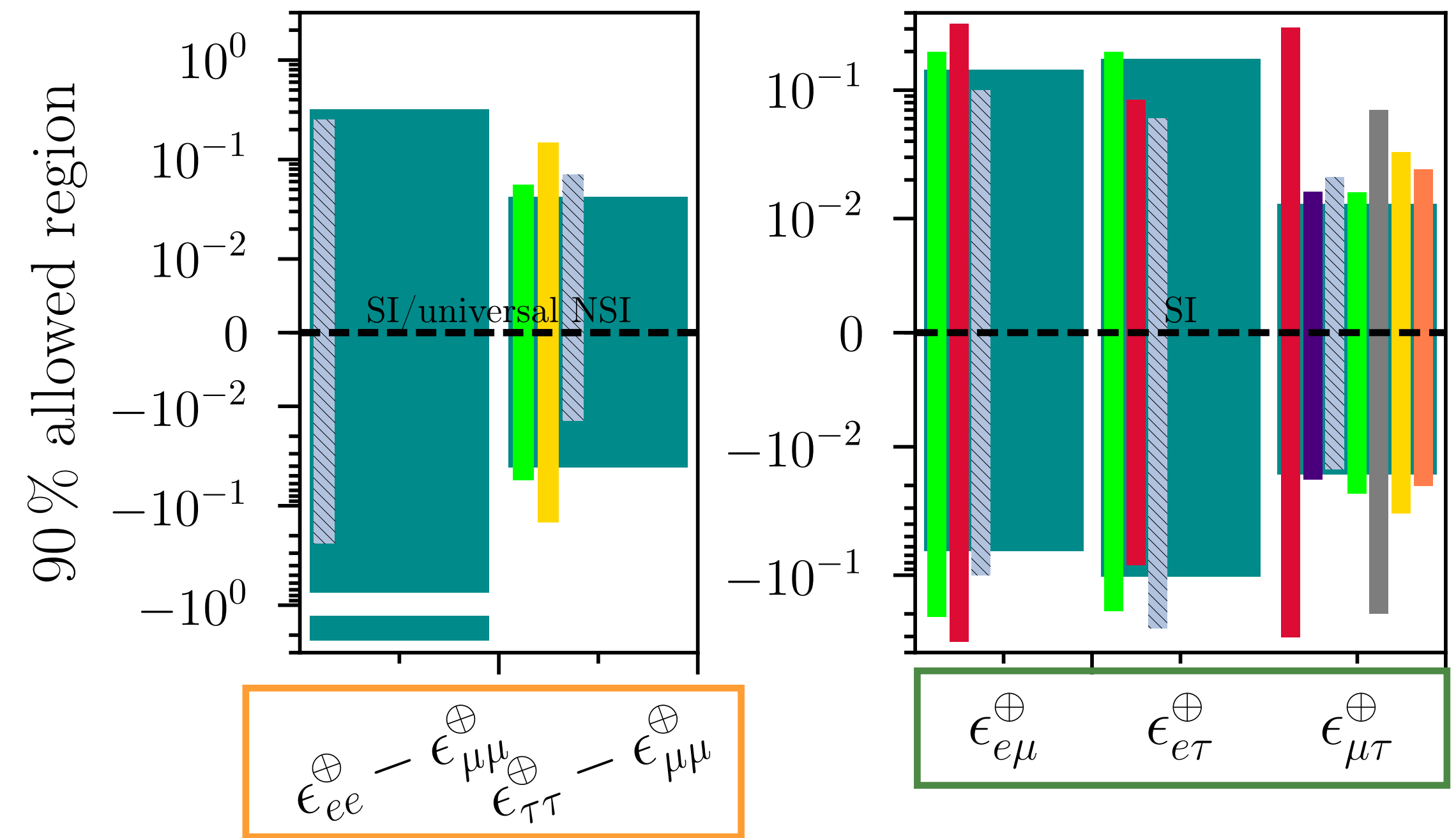
$$\left(\begin{array}{ccc}
 1 + (\epsilon_{ee}^{\oplus} - \epsilon_{\mu\mu}^{\oplus}) & \epsilon_{e\mu}^{\oplus} & \epsilon_{e\tau}^{\oplus} \\
 \epsilon_{e\mu}^{\oplus*} & 0 & \epsilon_{\mu\tau}^{\oplus} \\
 \epsilon_{e\tau}^{\oplus*} & \epsilon_{\mu\tau}^{\oplus*} & (\epsilon_{\tau\tau}^{\oplus} - \epsilon_{\mu\mu}^{\oplus})
 \end{array} \right)$$

flavor-changing interactions

breaking of lepton-universality

- > first analysis to constrain all NSI parameters simultaneously

- Super-K 2011 (2d)
 - COHERENT 2018 ($\epsilon_{\alpha\beta}^u = \epsilon_{\alpha\beta}^d$)
 - IC DC 2020 (public)
 - MINOS 2013
 - global 2018 (w/ correl.)
 - IC DC 2021 (this analysis)
 - IC 2017 (public)
 - IC DC 2018
- $\delta_{\alpha\beta} = 0^\circ, 180^\circ$

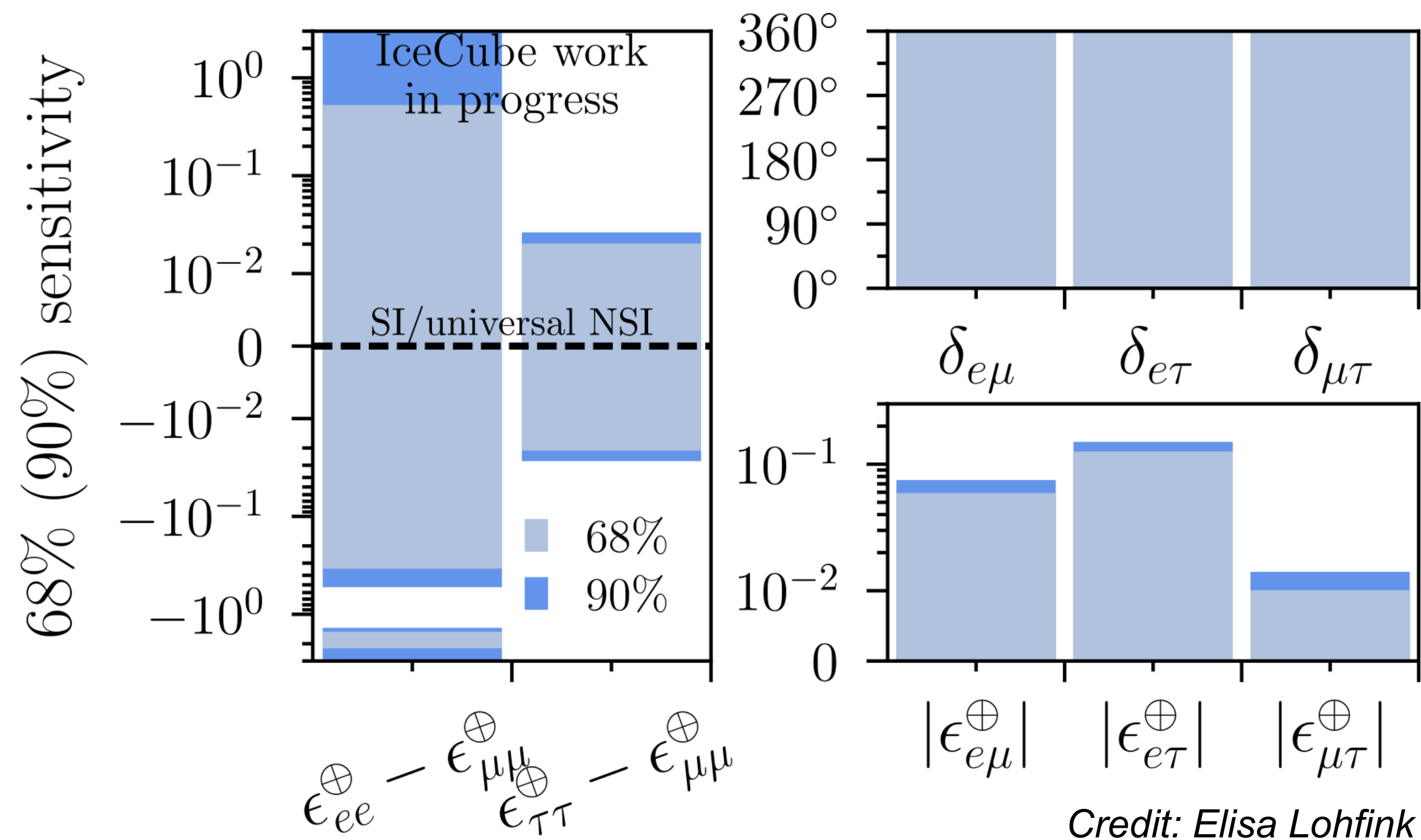


IceCube Collaboration, 2021, [arXiv:2106.07755](https://arxiv.org/abs/2106.07755)

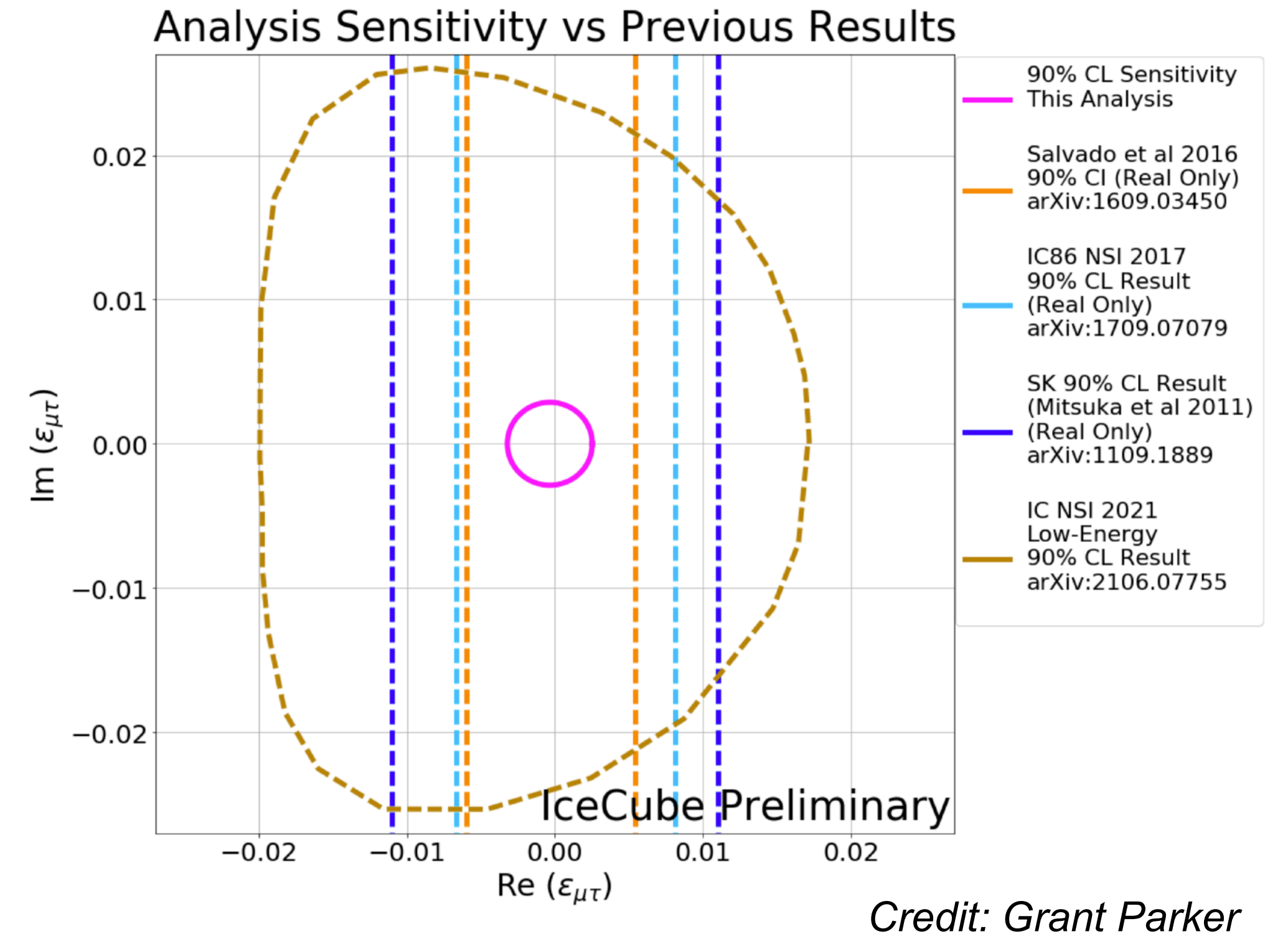
- > assumption: all $\epsilon_{\alpha\beta}^{\oplus}$ are real ($\delta_{\alpha\beta} = 0^\circ, 180^\circ$)

Upcoming IceCube NSI Analyses

“Low” Energies: 5 - 150 GeV



“High” Energies: 500 GeV - 10 TeV



- > expected sensitivity: $|\epsilon_{\mu\tau}^{\oplus}| < 1.4 \times 10^{-2}$
- > ORCA 3 yrs: $-1.7 \times 10^{-3} < \epsilon_{\mu\tau}^{\oplus} < 1.7 \times 10^{-3}$

- > same sample as the [high-energy sterile search](#)
- > fit real and imaginary part of $\epsilon_{\mu\tau}^{\oplus}$

Summary & Outlook

- > IceCube and KM3NeT are extremely versatile instruments
- > atmospheric neutrinos allow precision measurements of $P(\nu_\mu \rightarrow \nu_\mu)$
- > matter + long baseline allow probing of several phenomena beyond the Standard Model
- > DeepCore keeps leading the field of atmospheric oscillation measurements, closing in on accelerator sensitivity
- > construction of ORCA and IceCube Upgrade to greatly increase sensitivity to GeV-scale oscillation phenomena

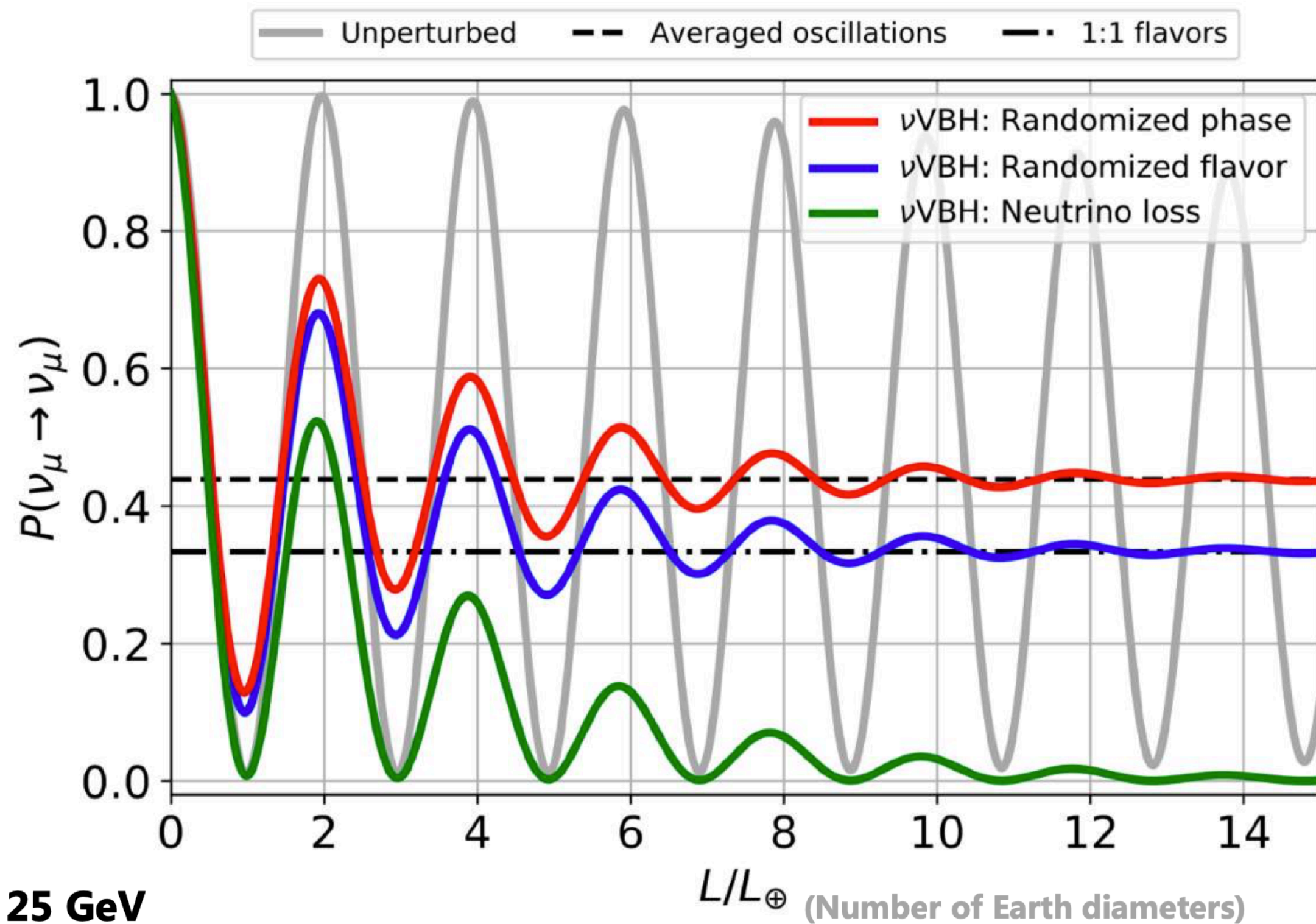


Backup

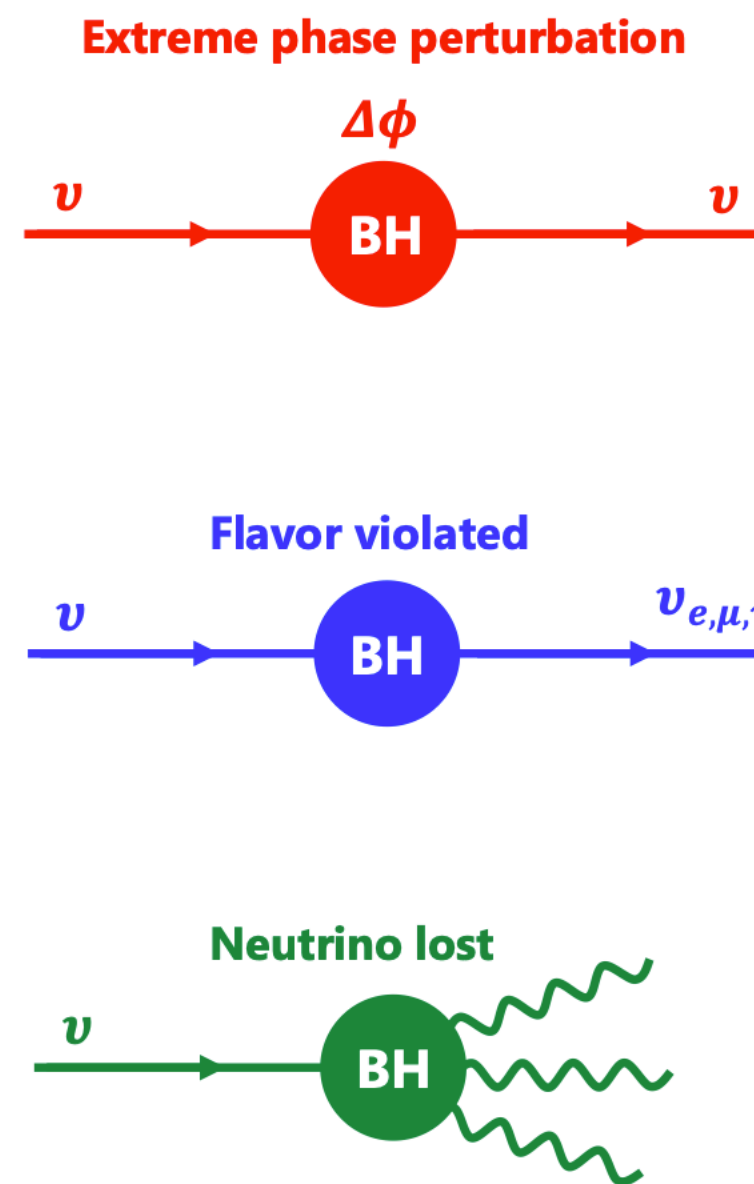
Neutrino Decoherence due to Virtual Black Holes

state density evolution: $\dot{\rho} = -i[H, \rho] - \mathcal{D}[\rho]$ \rightarrow $\frac{1}{\Gamma} \equiv L_{\text{coh}}$

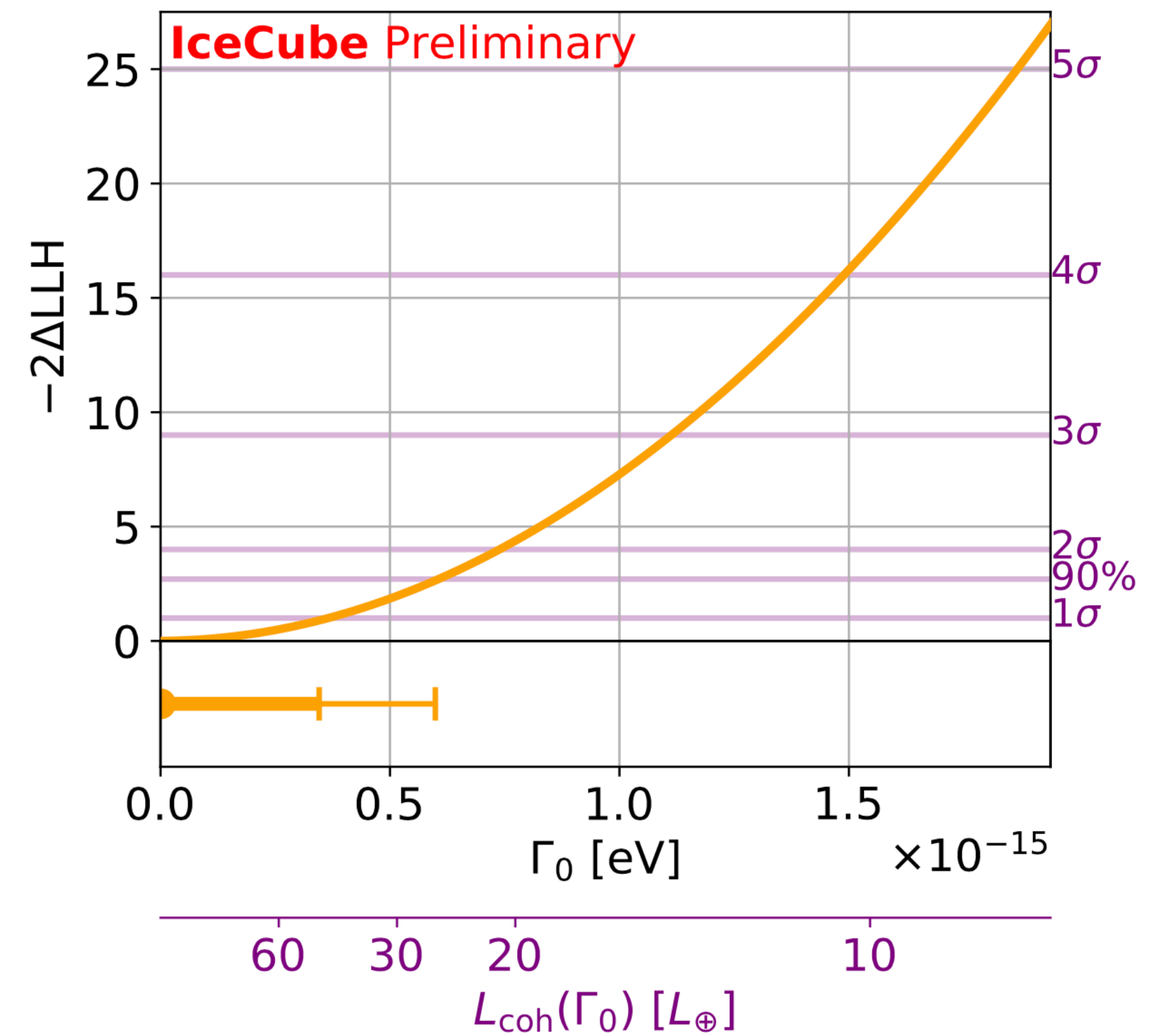
standard oscillations decoherence
magnitude of elements of \mathcal{D} decoherence length



E = 25 GeV



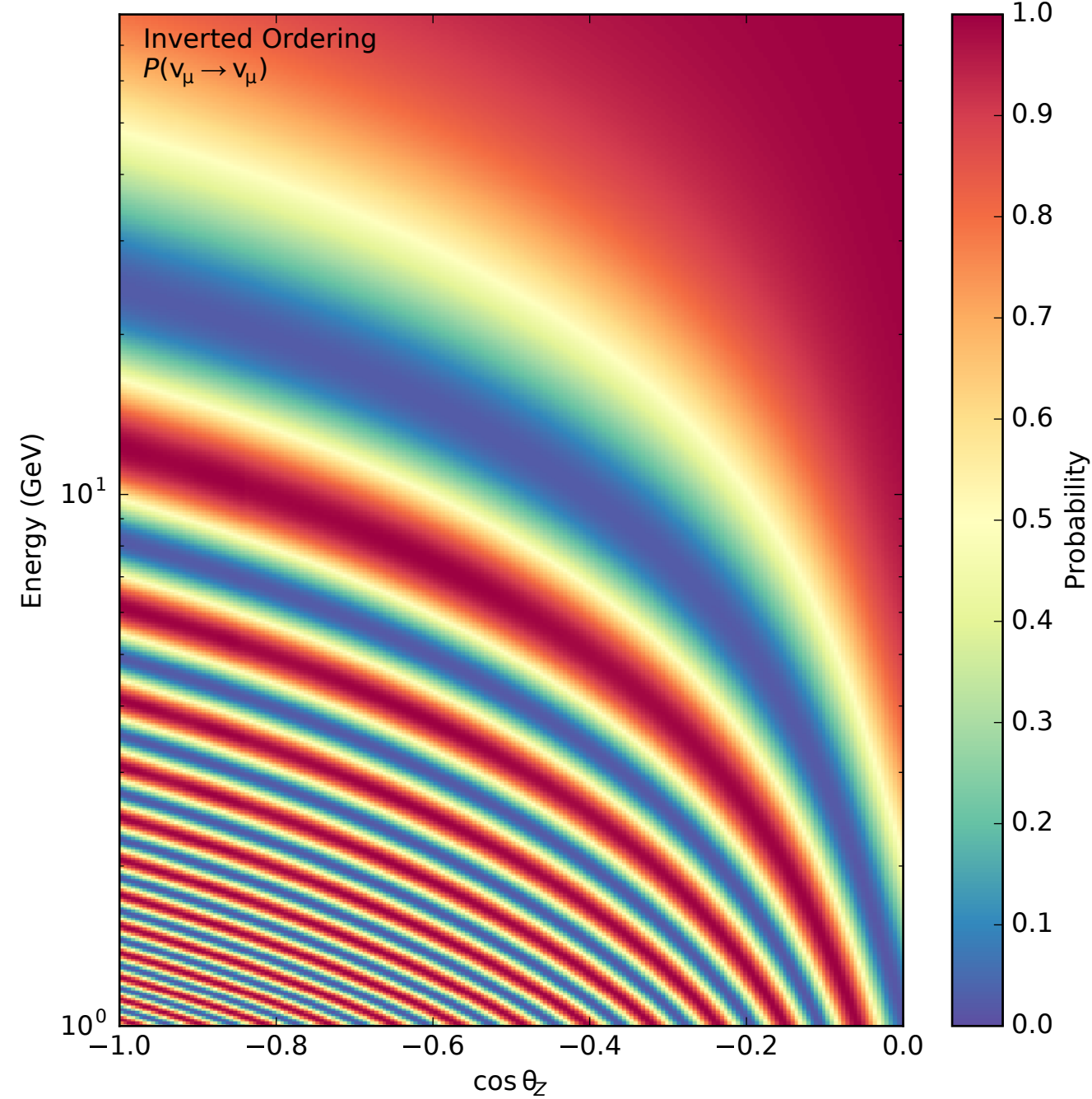
Sensitivity with 8 years of DeepCore



Stuttard & Jensen, 2020, [arxiv:2007.00068](https://arxiv.org/abs/2007.00068)

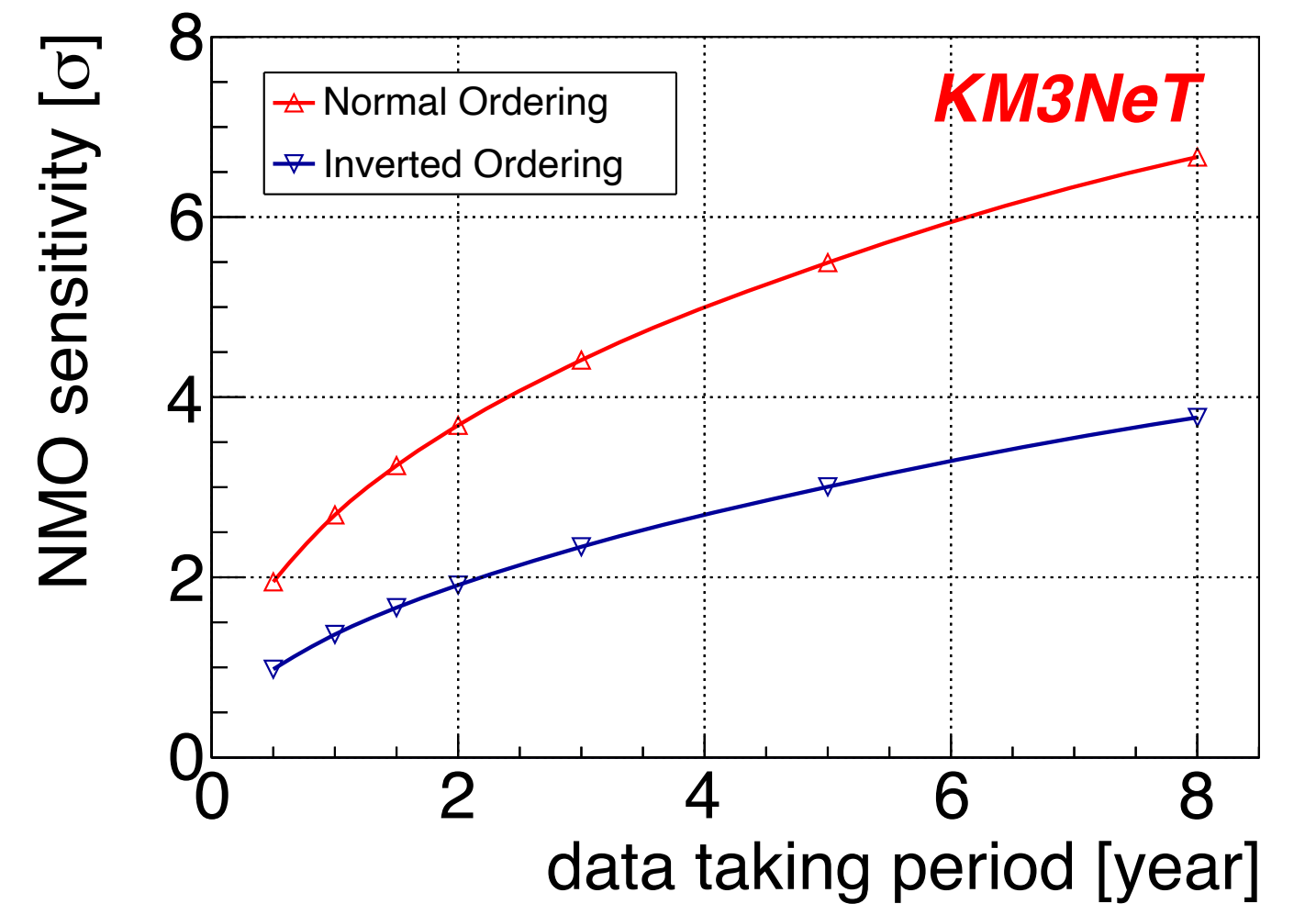
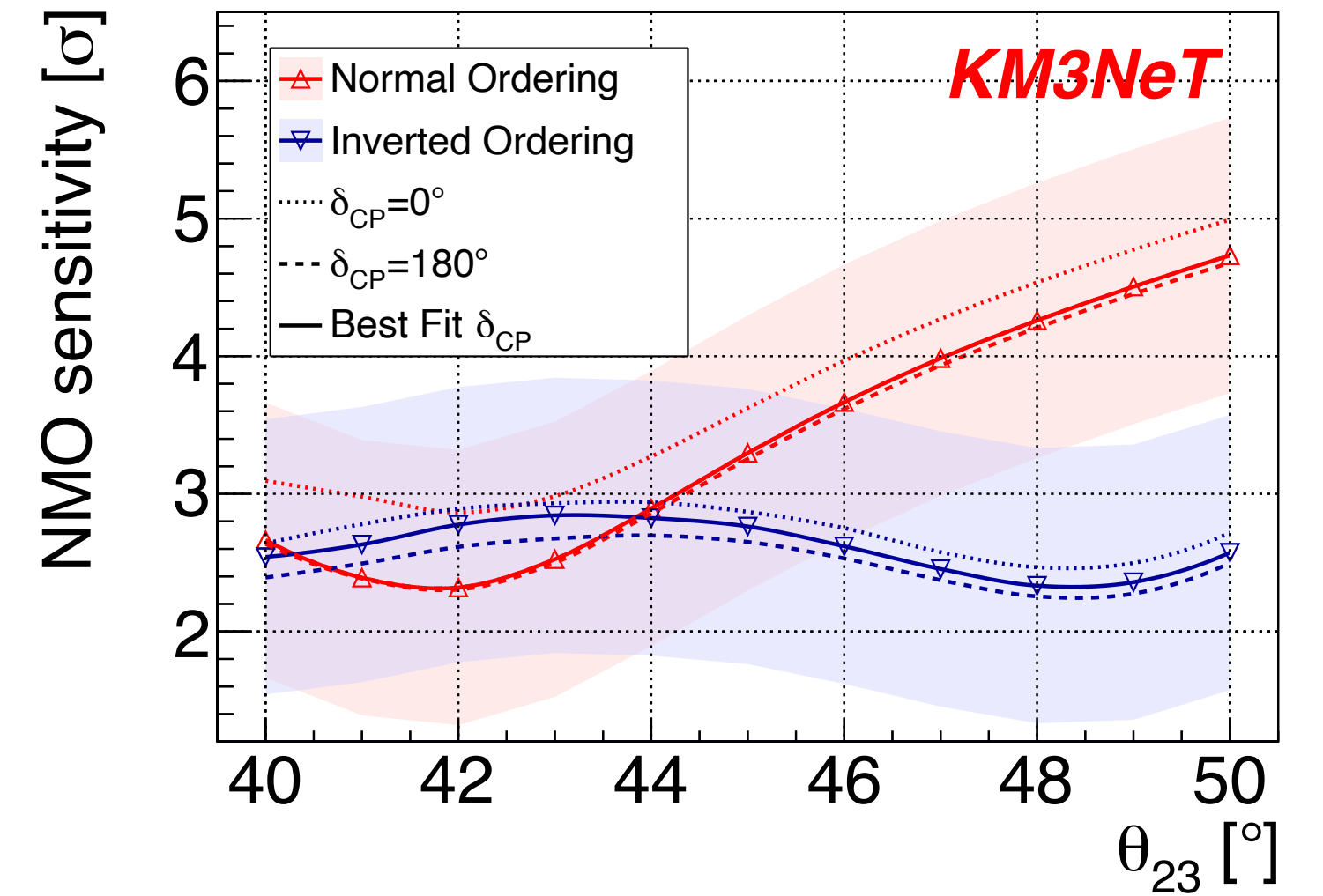
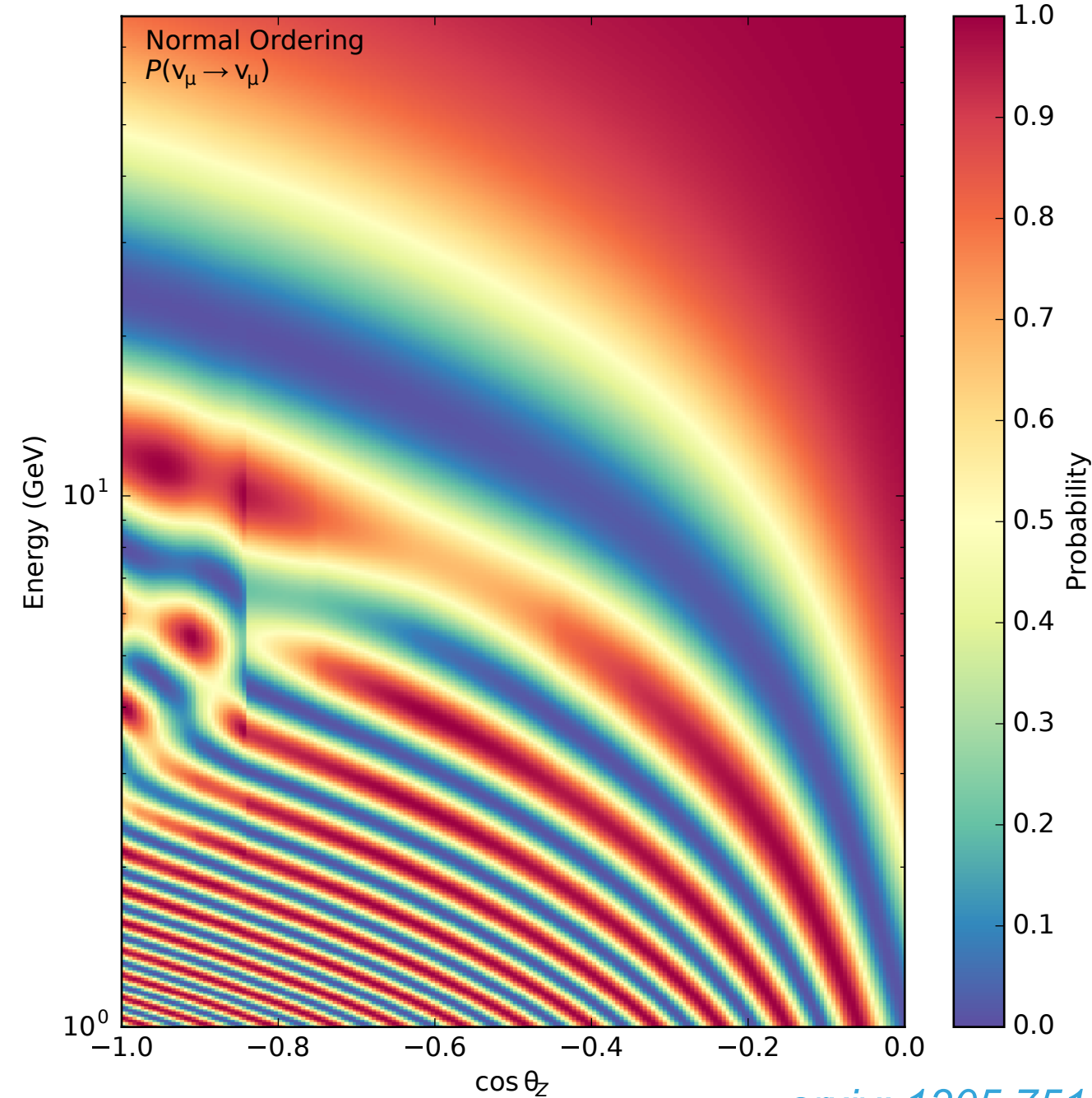
Neutrino Mass Ordering (NMO)

$P(\nu_\mu \rightarrow \nu_\mu)$, inverted ordering

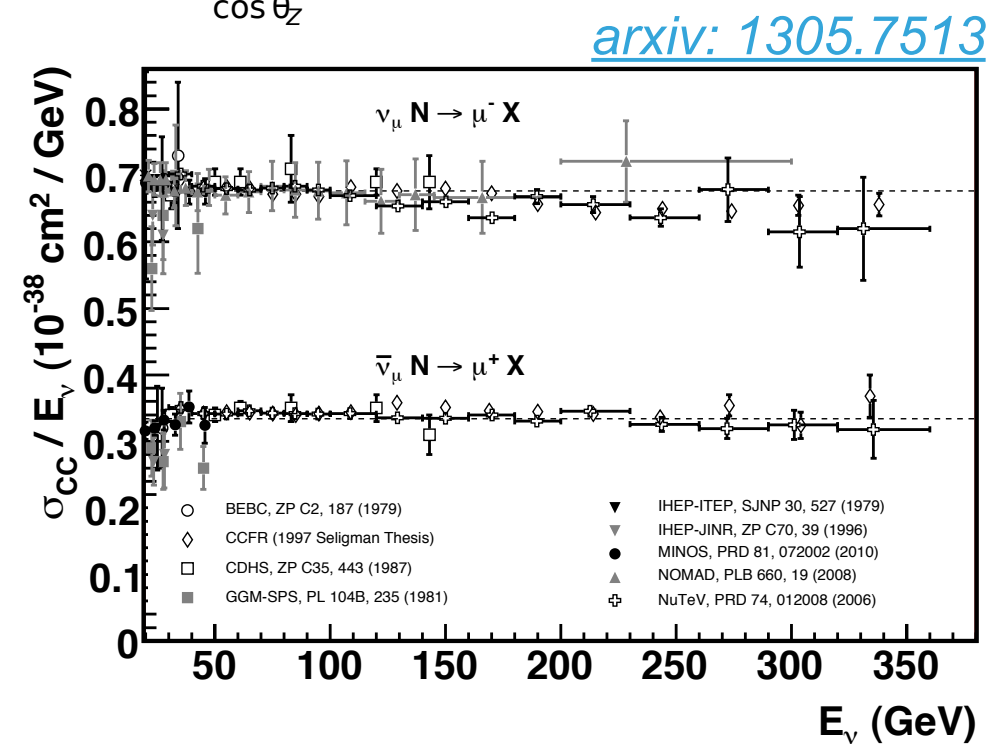


flipped
for $\bar{\nu}$

$P(\nu_\mu \rightarrow \nu_\mu)$, normal ordering

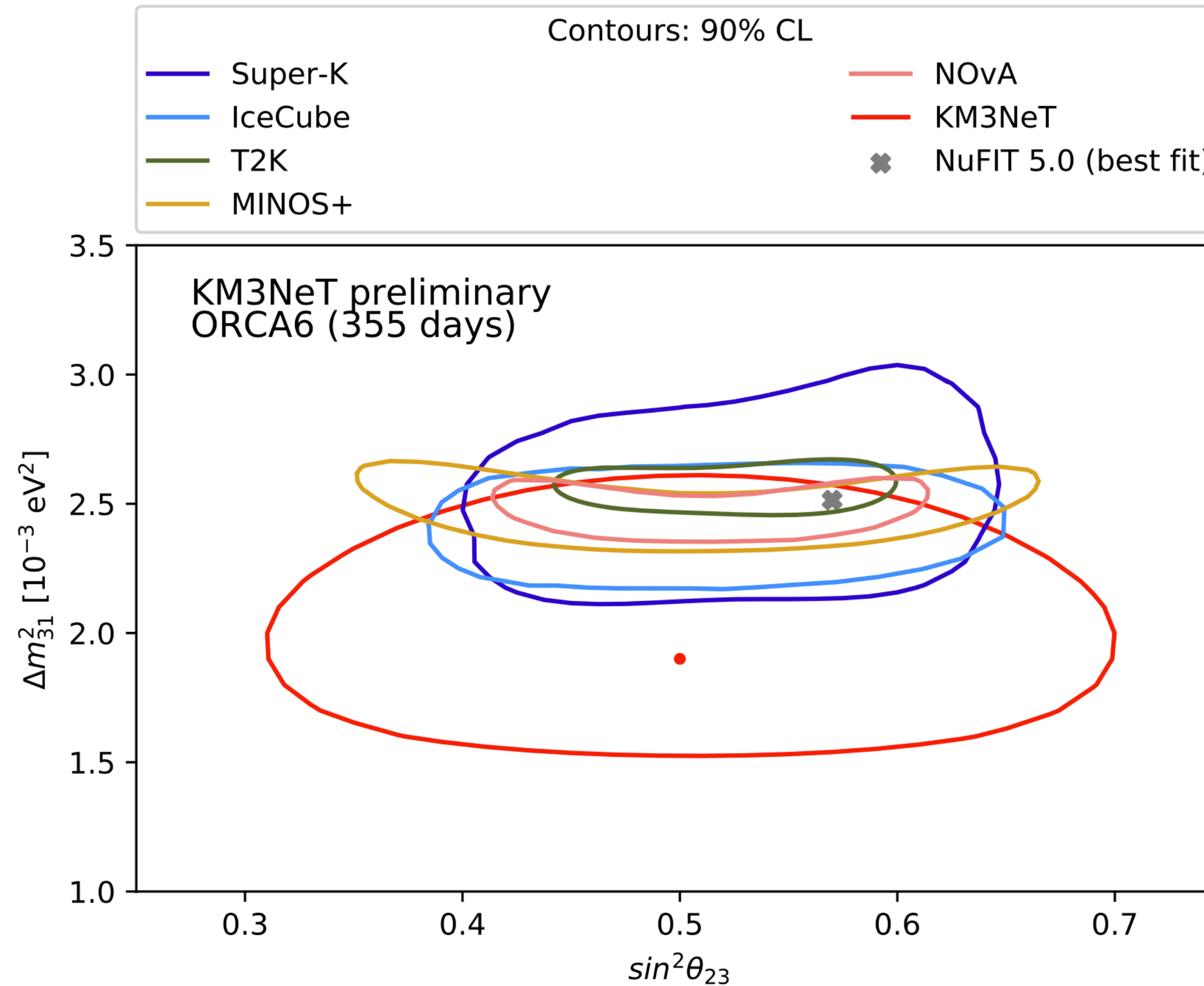


- > matter-induced distortions for ν in NO, $\bar{\nu}$ in IO
- > ν , $\bar{\nu}$ indistinguishable to detector
- ➔ effect on rates due to different cross-sections



KM3NeT Collaboration, [arxiv: 2103.09885](https://arxiv.org/abs/2103.09885)

ORCA 6-String Oscillation Measurement



ORCA 6-String Oscillation Measurement

