

Motivation

The Standard Model (SM) Lagrangian can be split in two:

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}}(A_a, \psi_f) + \mathcal{L}_{\text{Higgs}}(\phi, A_a, \psi_f).$$

- $\mathcal{L}_{\text{gauge}}$ is invariant under $\mathcal{G}_F = U(3)_Q \otimes U(3)_u \otimes U(3)_d \otimes U(3)_L \otimes U(3)_e$;
- $\mathcal{L}_{\text{Higgs}}$ breaks \mathcal{G}_F , but how is it dynamically broken? Can we explain the hierarchy in fermion masses?
- Simplest explanation: horizontal U(1) symmetry [1, 2], spontaneously broken by vev v_ϕ of one flavon field.
- We want to explore viable minimal models (low charges, for a cheaper UV completion) that reproduce exactly the quark masses and mixings and are not fine tuned.

EFT for Froggatt Nielsen

Yukawa Lagrangian in *up-aligned* basis: $-\mathcal{L}_{\text{SM}}^{Y^{u,d}} = \hat{y}_{ij}^u \bar{Q}_i \tilde{H} u_j + (V_{\text{CKM}} \hat{y}^d)_{ij} \bar{Q}_i H d_j + \text{h.c.}$, with

$$\hat{y}^u = \frac{\sqrt{2}}{v_H} \text{diag}(m_u, m_c, m_t), \quad \hat{y}^d = \frac{\sqrt{2}}{v_H} \text{diag}(m_d, m_s, m_b).$$

- Yukawa coefficients $\hat{y}_{ij}^{u,d}$ are not all O(1): rotate quarks under flavor U(1), $\psi_j \rightarrow e^{i\theta X_{\psi_j}}$, then introduce charged scalar ($X_\phi = 1$ without loss of generality) for invariance.
- Assuming $v_\phi < \Lambda$ ($\Lambda =$ scale of heavy charged messengers [3]), when $\phi \rightarrow v_\phi$, one can write $-\mathcal{L}_{\text{FN-EFT}} = c_{ij}^u e^{n_{ij}^u} \bar{Q}_i \tilde{H} u_j + c_{ij}^d e^{n_{ij}^d} \bar{Q}_i H d_j + \text{h.c.}$, $e = \frac{v_\phi}{\Lambda}$, $n_{ij}^u = |X_{Q_i} - X_{u_j}|$, $n_{ij}^d = |X_{Q_i} - X_{d_j}|$.
- Now the coefficients c_{ij} are of O(1), the hierarchy comes only from different powers of the perturbative parameter ϵ .
- Usual approach: find hierarchical patterns and select suitable charges [4]

$$\begin{aligned} y_d &\sim \lambda^6, y_s \sim \lambda^4, y_b \sim \lambda^2, y_u \sim \lambda^7, y_c \sim \lambda^3, y_t \sim \lambda^0 \\ |V_{ud}| &\sim |V_{cs}| \sim |V_{tb}| \sim \lambda^0, |V_{us}| \sim |V_{cd}| \sim \lambda, \\ |V_{cb}| &\sim |V_{ts}| \sim \lambda^2, |V_{ub}| \sim |V_{td}| \sim \lambda^3 \end{aligned}$$



$$\begin{aligned} X_{Q_{1,2,3}} &= \{3, 2, 0\} \\ X_{u_{1,2,3}} &= \{-4, -1, 0\} \\ X_{d_{1,2,3}} &= \{-3, -2, -2\} \\ \epsilon &\sim \lambda \end{aligned}$$

New approach: U(3)³ rotations

- $-\mathcal{L}_{\text{FN-EFT}} = c_{ij}^u e^{n_{ij}^u} \bar{Q}_i \tilde{H} u_j + c_{ij}^d e^{n_{ij}^d} \bar{Q}_i H d_j + \text{h.c.}$;
- $-\mathcal{L}_{\text{SM}}^Y = \hat{y}_{ij}^u \bar{Q}_i \tilde{H} u_j + (V_{\text{CKM}} \hat{y}^d)_{ij} \bar{Q}_i H d_j + \text{h.c.}$;
- There exist 3 U(3) rotations such that $(V_Q^\dagger \hat{y}^u V_u)_{ij} = c_{ij}^u e^{n_{ij}^u}$, $(V_Q^\dagger V_{\text{CKM}} \hat{y}^d V_d)_{ij} = c_{ij}^d e^{n_{ij}^d}$.
- Relating O(1) coefficients to rotational parameters means that **no fits** are **required**: the starting point are the SM values for quark masses and mixings, so they will be perfectly reproduced in every FN-EFT model.
- To favour O(1) coefficients, minimise cost function

$$\chi_{\text{FN}}^2 = \sum_{i,j=1}^3 \left(\left| c_{ij}^u \left(\epsilon, \theta_{1,2,3}^{Q,u,d}, \delta_{1,2,3}^{Q,u,d} \right) \right| - 1 \right)^2 + \left(\left| c_{ij}^d \left(\epsilon, \theta_{1,2,3}^{Q,u,d}, \delta_{1,2,3}^{Q,u,d} \right) \right| - 1 \right)^2.$$

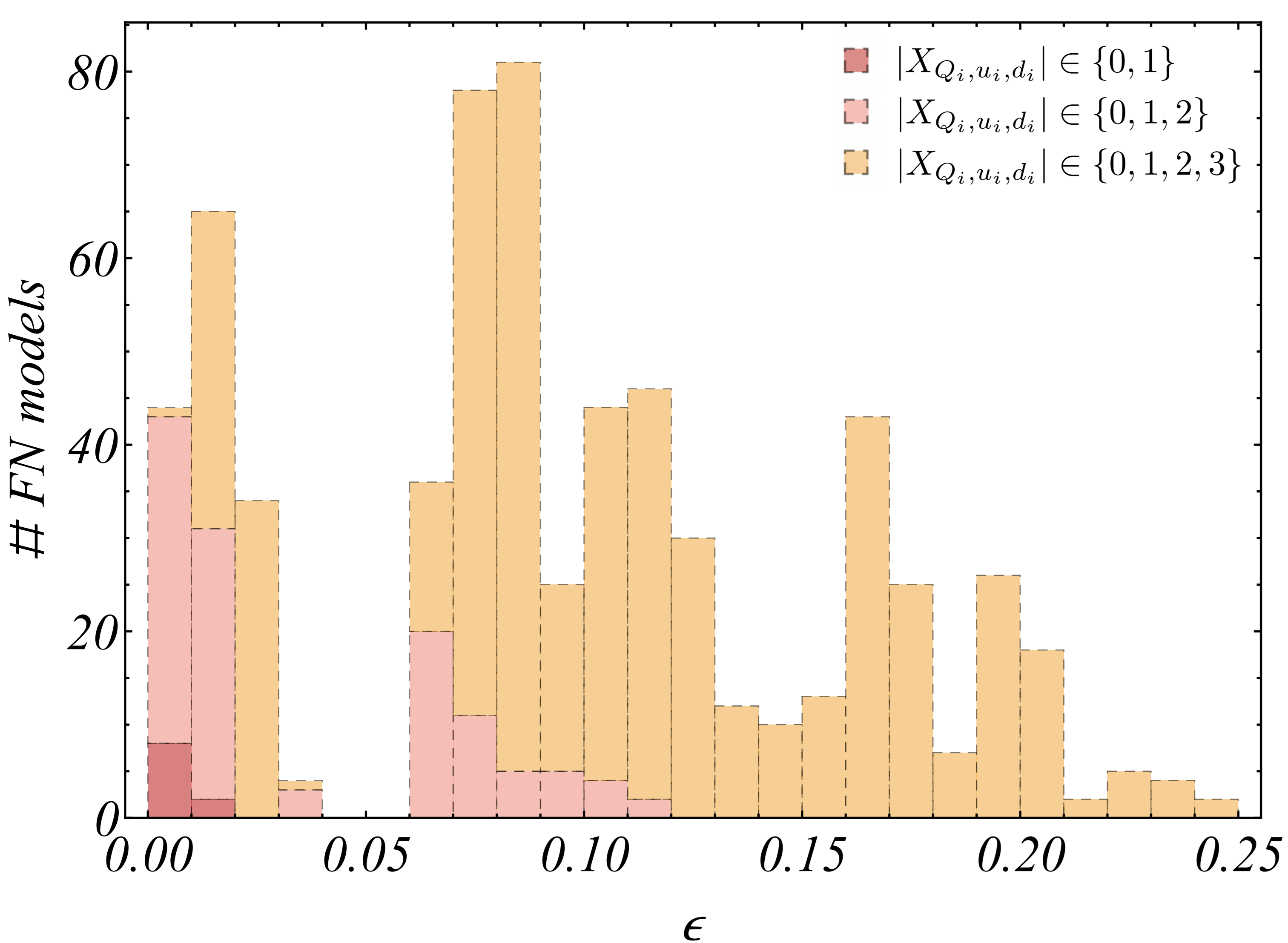
Tuning of the models

- O(1) coefficients are not enough: coefficients may cancel out and contribute to hierarchical pattern.
- Introduce **tuning score** (similar to Barbieri-Giudice [5]): $\Delta_{\text{FN}} \equiv \max_{K,i,j} |\delta_{K,ij}|$, $\delta_{K,ij} \equiv \frac{c_{ij}^{u,d}}{O_K} \frac{\delta O_K}{\delta c_{ij}^{u,d}}$
- If small change in c_{ij} results in large change of an observable O_K , $\Delta_{\text{FN}} \gg 1$ and the model is fine tuned.

Scanning the models

- Acceptance range of the models: $0.4 < |c_{ij}^u|, |c_{ij}^d| < 1.6$, $\Delta_{\text{FN}} \leq 100$.
- Consider all configurations with $X_{Q,u,d} \leq 3$: **~1.3k** models can produce all coefficients in the accepted range; **~85%** also have acceptable tuning [6].

Model population



- 2 dominant modes at $\epsilon \sim 0.01, \epsilon \sim 0.08$;
Explored range:
 $0.005 \lesssim \epsilon \lesssim 0.25$

- O(10) minimal models: all charges less than 1 in absolute value

- $\epsilon \sim \lambda \approx 0.22$ (popular choice in literature) only captures tail of distribution when considering small charges

Explicit models

| X_{Q_1} | X_{Q_2} | X_{Q_3} | X_{u_1} | X_{u_2} | X_{u_3} | X_{d_1} | X_{d_2} | X_{d_3} | ϵ |
|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------------|
| 0 | 0 | 0 | 1 | -1 | 0 | -1 | -1 | -1 | 0.005 |
| 1 | 0 | 0 | -1 | -1 | 0 | -1 | -1 | -1 | 0.006 |
| 1 | 0 | 0 | 0 | -1 | 0 | -1 | -1 | -1 | 0.006 |
| 1 | 1 | 0 | 0 | -1 | 0 | -1 | -1 | -1 | 0.012 |
| 0 | 0 | 0 | 1 | 1 | 0 | -1 | -1 | -2 | 0.005 |
| 0 | 0 | 0 | 2 | -1 | 0 | -1 | -1 | -2 | 0.006 |
| 0 | 0 | 0 | 2 | -1 | 0 | -1 | -1 | -1 | 0.005 |
| 0 | 0 | 0 | 2 | -1 | 0 | 2 | 1 | -1 | 0.006 |
| 1 | 0 | 0 | -1 | -2 | 0 | -1 | -1 | -2 | 0.008 |
| 1 | 0 | 0 | -1 | -1 | 0 | -1 | -1 | -2 | 0.007 |
| 1 | 1 | 0 | 0 | -2 | 0 | -2 | -2 | -2 | 0.094 |
| 1 | 1 | 0 | 0 | -1 | 0 | -2 | -2 | -2 | 0.093 |
| 2 | 1 | 0 | -2 | -2 | 0 | -2 | -2 | -2 | 0.109 |
| 2 | 1 | 0 | -1 | -2 | 0 | -2 | -2 | -2 | 0.094 |
| 2 | 1 | 0 | 0 | 0 | 0 | -2 | -2 | -2 | 0.094 |
| 2 | 2 | 0 | -1 | -1 | 0 | -2 | -2 | -2 | 0.112 |
| 0 | 0 | 0 | 3 | -3 | 0 | -2 | -2 | -3 | 0.104 |
| 1 | 0 | 0 | -2 | -3 | 0 | -2 | -3 | -3 | 0.098 |
| 1 | 1 | 0 | -2 | -3 | 0 | -2 | -2 | -3 | 0.100 |
| 2 | 0 | 0 | -2 | -3 | 0 | -2 | -3 | -3 | 0.104 |
| 2 | 1 | 0 | -2 | -3 | 0 | -2 | -2 | -2 | 0.104 |

- Examples of minimal models

- Examples of $\epsilon \lesssim 0.01$ models

- Examples of $\epsilon \sim 0.1$ models with all charges ≤ 2 (top) and with no restrictions (bottom)

Future work

- Repeat same procedure for leptons: whatever the mechanism for neutrino masses, assume Weinberg operator in EFT to write lepton Yukawa Lagrangian.
- Explore model with small charges for both Normal and Inverted Ordering, and for different values of Seesaw masses, then try unification with quark models.
- Preliminary results: similar percentage of non tuned models; ~5 completely minimal models when considering quarks and leptons together

References

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