

Neutrino oscillations: current status and future opportunities

Mariam Tórtola
IFIC, CSIC/Universitat de València



Outline

- ◆ Current status of the **standard three-neutrino** framework

- ⇒ based on **de Salas et al, JHEP 02 (2021) 071 [arXiv:2006.11237]**

- ⇒ updated with the results presented in Neutrino 2020 Conference

- ⇒ figures and χ^2 tables publicly available at the website:

<https://globalfit.astroparticles.es/>

<https://doi.org/10.5281/zenodo.4593330>

See also: Esteban et al. (NuFIT), Lisi et al.

- ⇒ **preliminary update** using Super-K atmospheric χ^2 tables

- ◆ Future prospects in neutrino oscillations:

- ⇒ near future & next generation neutrino oscillation experiments

- ◆ Beyond the standard three-neutrino scenario:

- ⇒ can BSM physics improve oscillation fits?

The three-flavour ν picture

neutrino mixing

$$U_{3 \times 3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

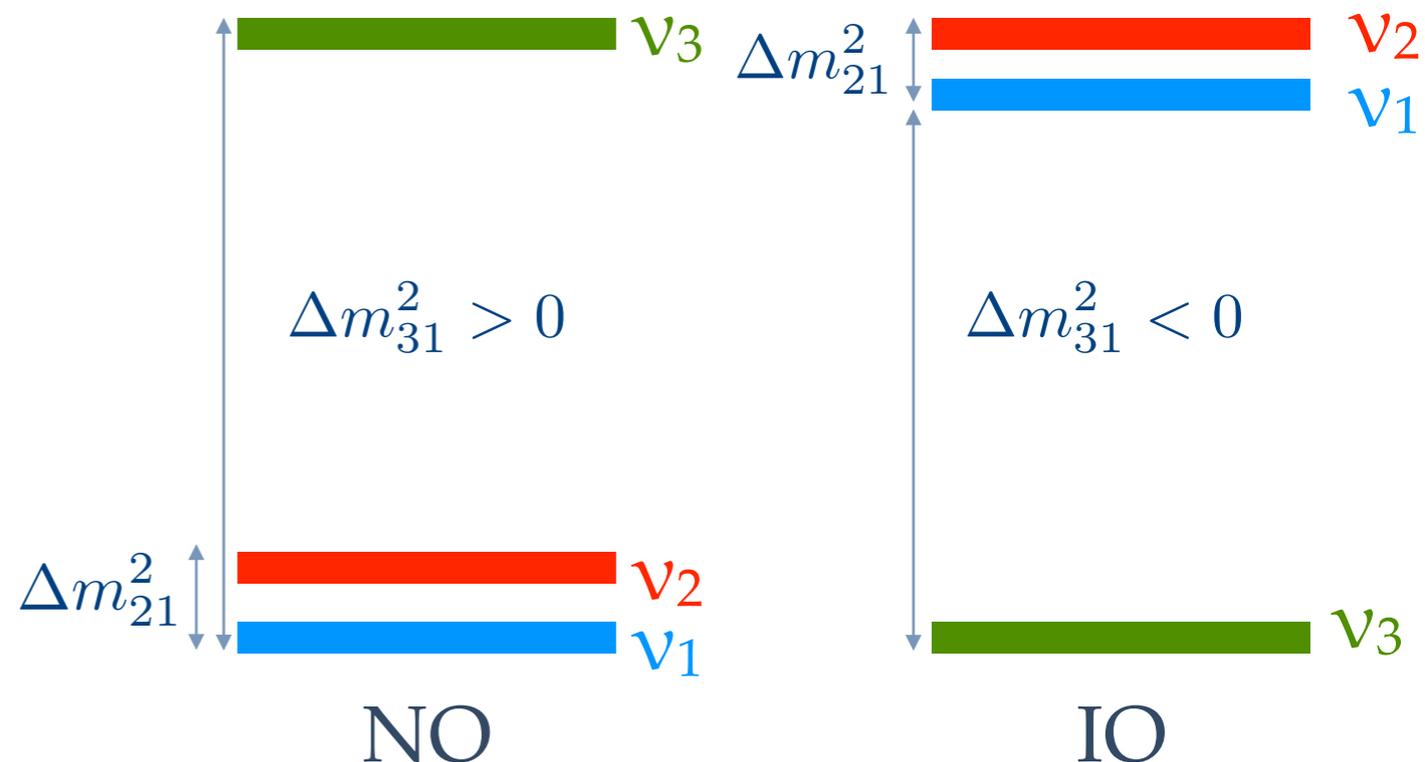
- ✓ 3 mixing angles: $\theta_{12}, \theta_{23}, \theta_{13}$
- ✓ 3 CP phases: 1 Dirac + 2 Majorana
- ✓ 3 masses: m_1, m_2, m_3

⇒ absolute neutrino mass: m_0

⇒ two mass splittings:

$$\Delta m_{21}^2, \Delta m_{31}^2$$

neutrino mass spectrum



Three-neutrino mixing

- ◆ Currently, we have evidence for neutrino oscillations in atmospheric, solar, reactor and accelerator experiments
- ◆ Each type of experiment is sensitive to different mixing parameters:

$$U_{3 \times 3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

atmospheric +
accelerator disapp

$$\Delta m^2_{31}$$

SBL reactor +
accelerator app

$$\Delta m^2_{31}$$

solar +
KamLAND

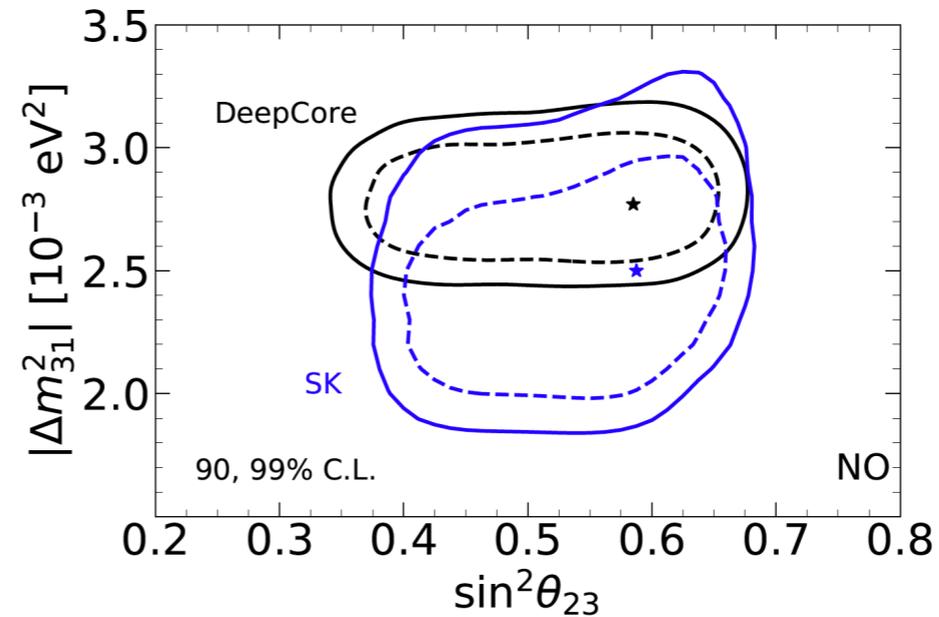
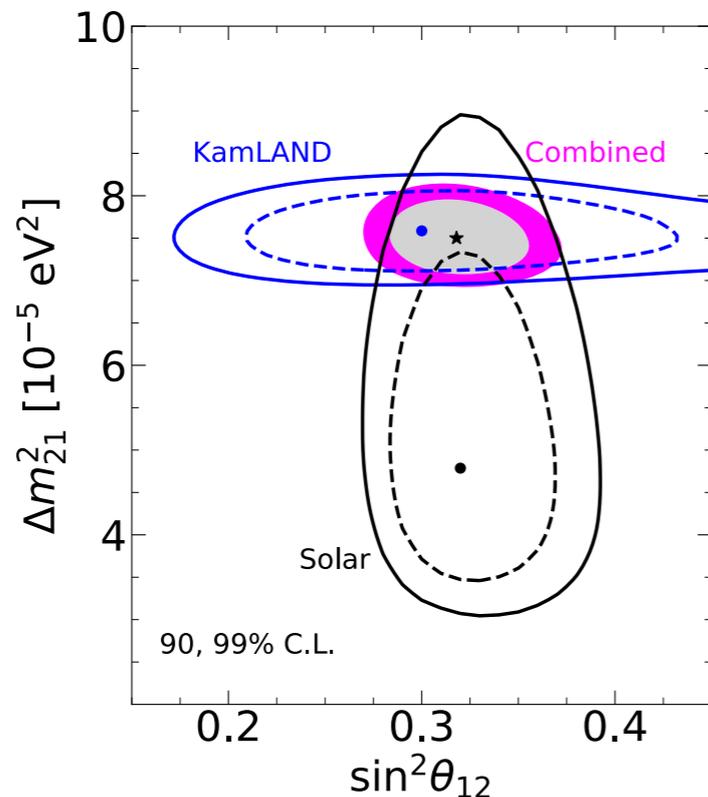
$$\Delta m^2_{21}$$

Experimental data

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]

solar sector

Cl, Ga, SK
SNO, Borexino
KamLAND

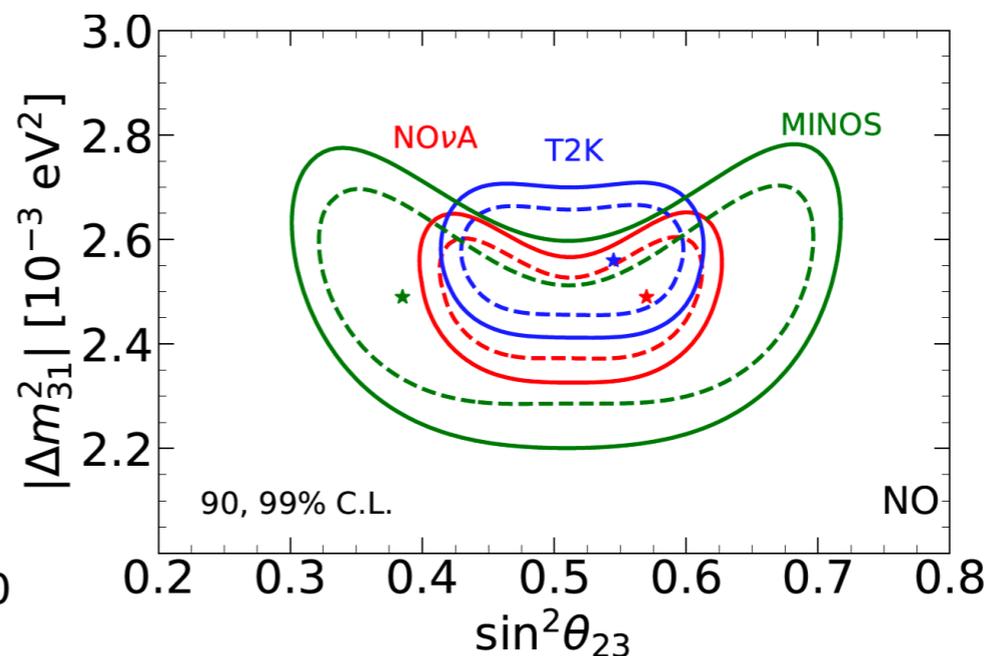
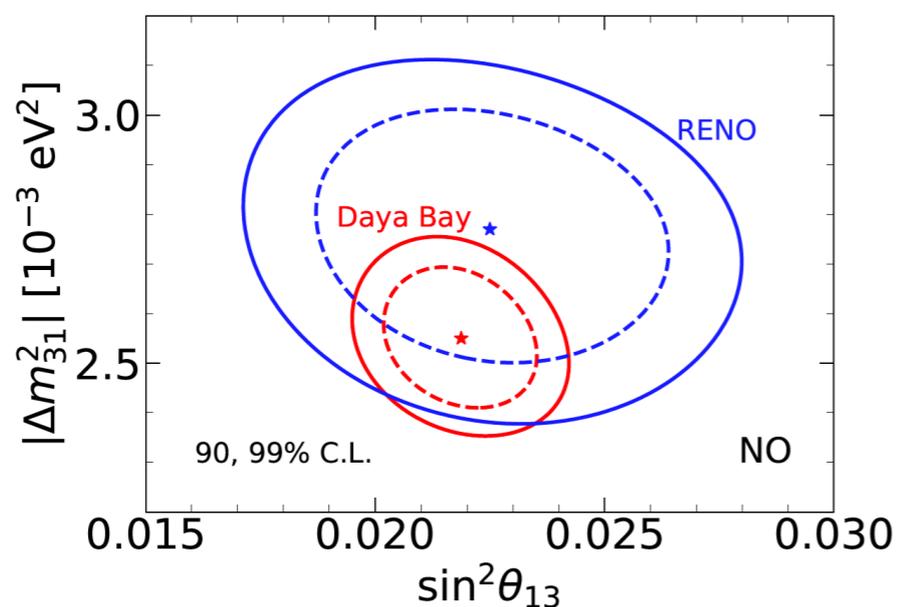


atmospheric results

Super-K
IC-DeepCore

SBL reactors

Daya Bay
RENO



LBL experiments

MINOS
T2K
NOvA

Neutrino oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]

See also
NuFIT and
Bari group
analysis

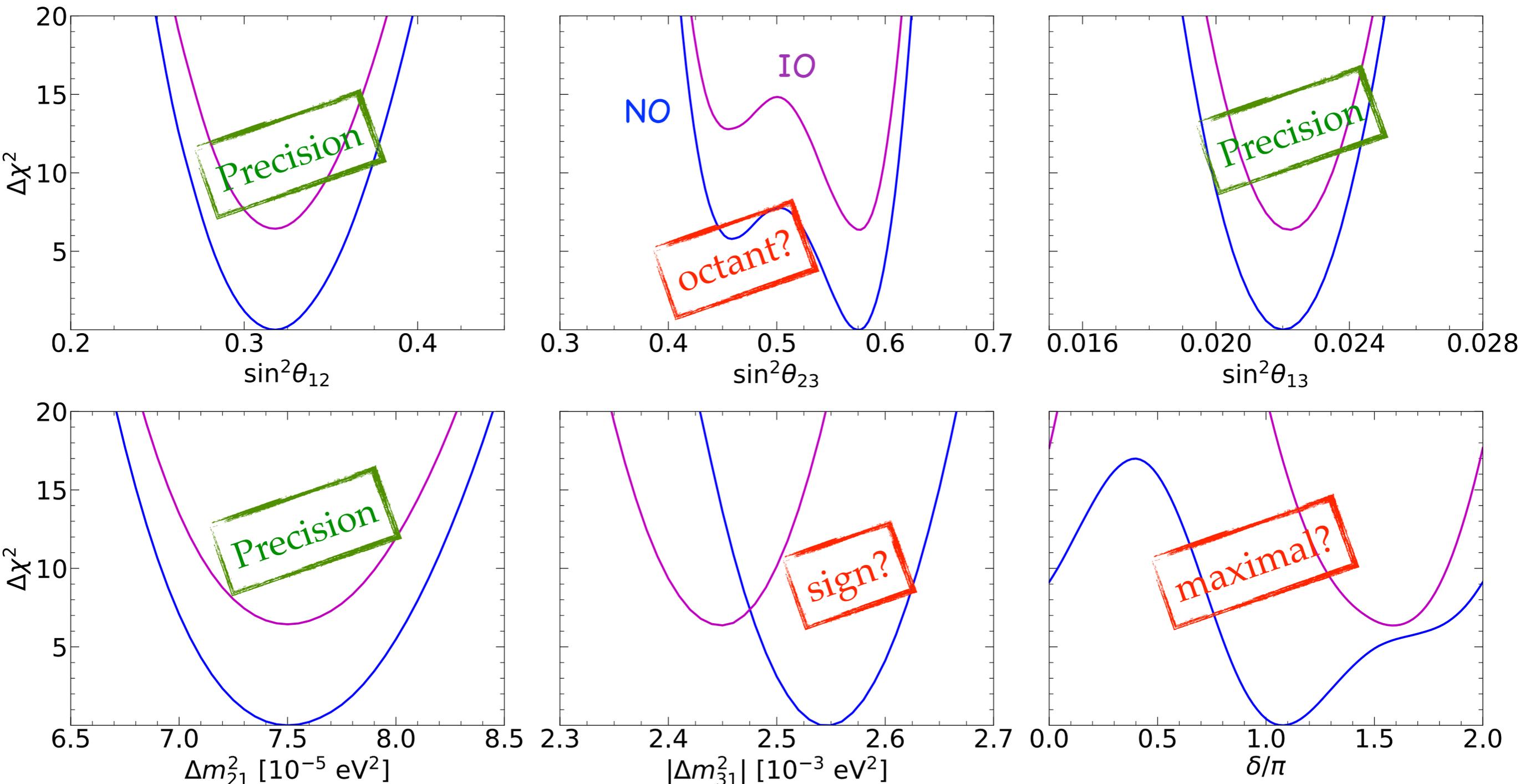
parameter	best fit $\pm 1\sigma$	3σ range	
Δm_{21}^2 [10^{-5}eV^2]	$7.50^{+0.22}_{-0.20}$	6.94–8.14	2.7%
$ \Delta m_{31}^2 $ [10^{-3}eV^2] (NO)	$2.55^{+0.02}_{-0.03}$	2.47–2.63	1.1%
$ \Delta m_{31}^2 $ [10^{-3}eV^2] (IO)	$2.45^{+0.02}_{-0.03}$	2.37–2.53	
$\sin^2\theta_{12}$ / 10^{-1}	3.18 ± 0.16	2.71–3.69	5.2%
$\sin^2\theta_{23}$ / 10^{-1} (NO)	5.74 ± 0.14	4.34–6.10	5.1%
$\sin^2\theta_{23}$ / 10^{-1} (IO)	$5.78^{+0.10}_{-0.17}$	4.33–6.08	
$\sin^2\theta_{13}$ / 10^{-2} (NO)	$2.200^{+0.069}_{-0.062}$	2.000–2.405	3.0%
$\sin^2\theta_{13}$ / 10^{-2} (IO)	$2.225^{+0.064}_{-0.070}$	2.018–2.424	
δ/π (NO)	$1.08^{+0.13}_{-0.12}$	0.71–1.99	20%
δ/π (IO)	$1.58^{+0.15}_{-0.16}$	1.11–1.96	9.0%

relative 1σ uncertainty

<https://globalfit.astroparticles.es/>

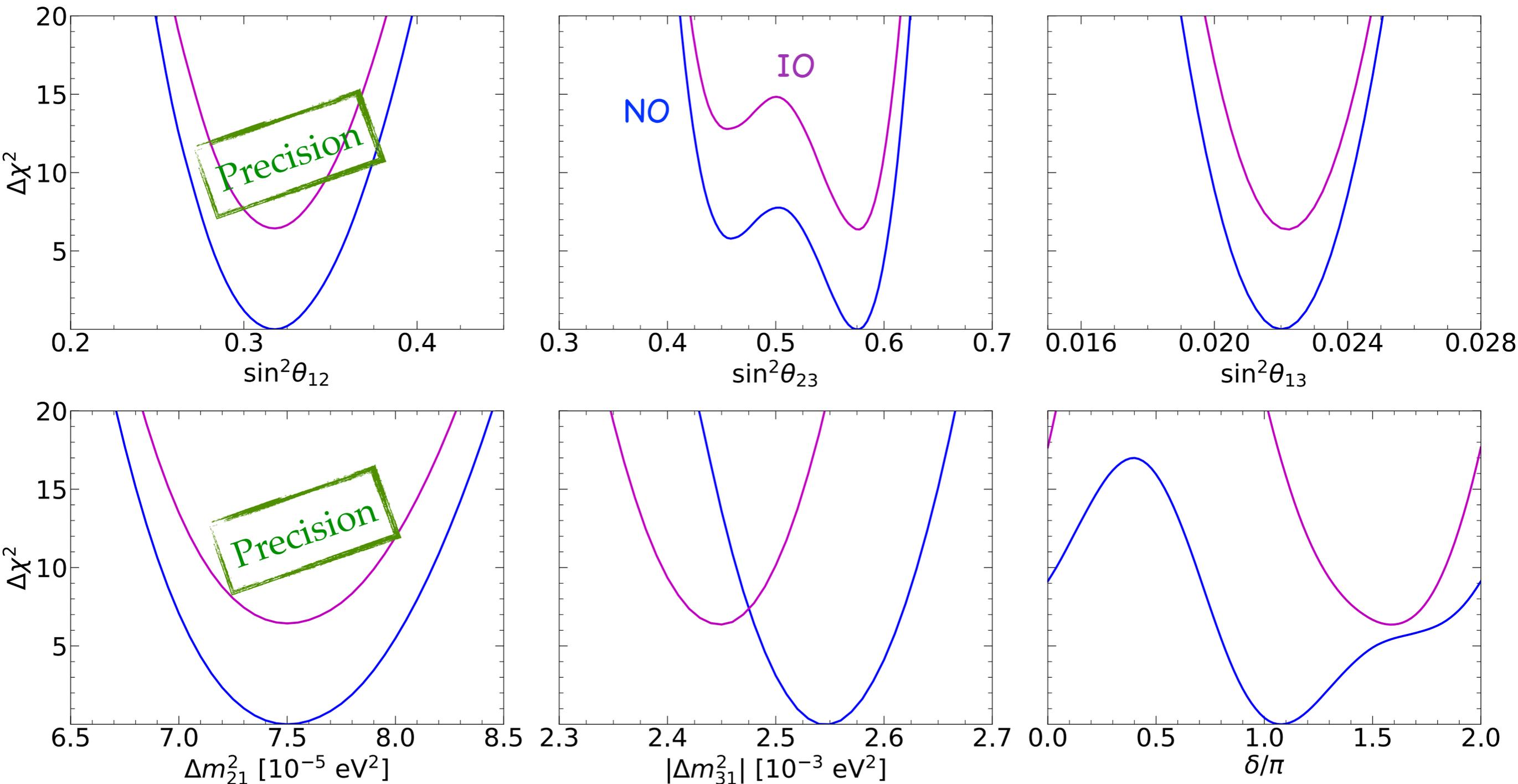
Global fit to ν oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



Global fit to ν oscillation parameters

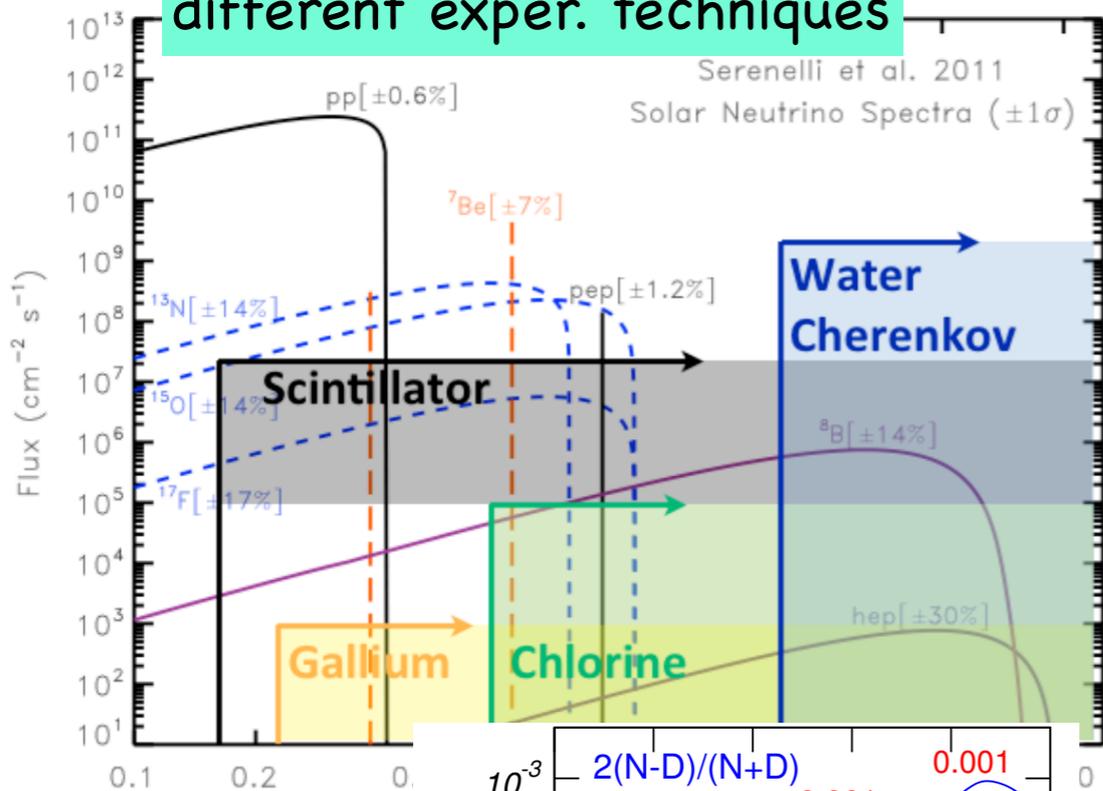
de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



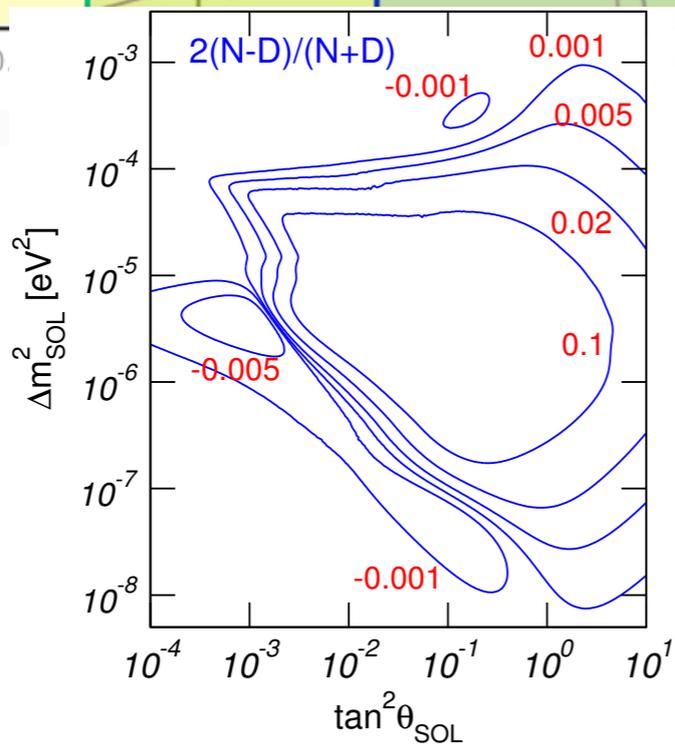
The solar sector

Solar experiments have measured neutrino disappearance for ~ 50 years

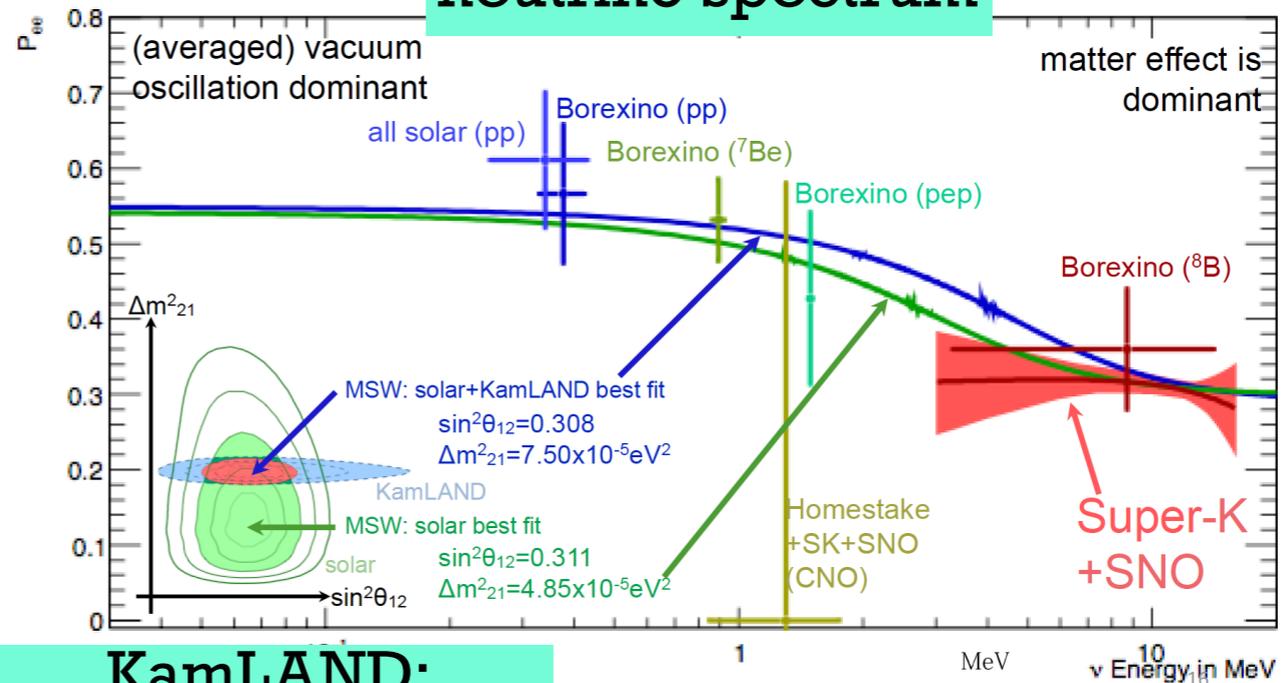
different exper. techniques



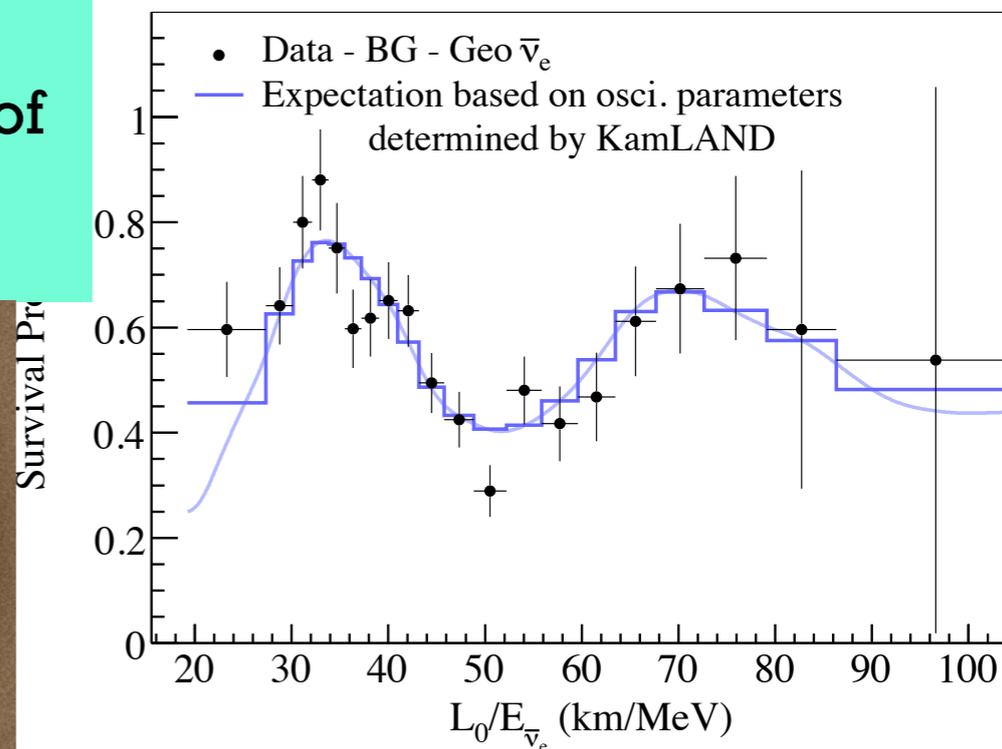
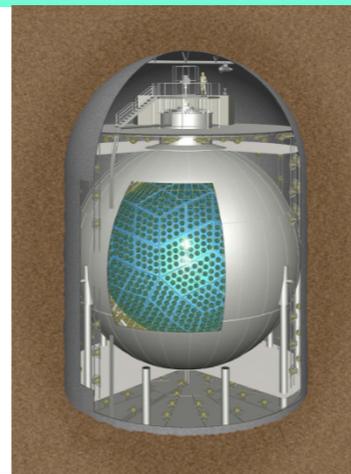
day/night asymmetry



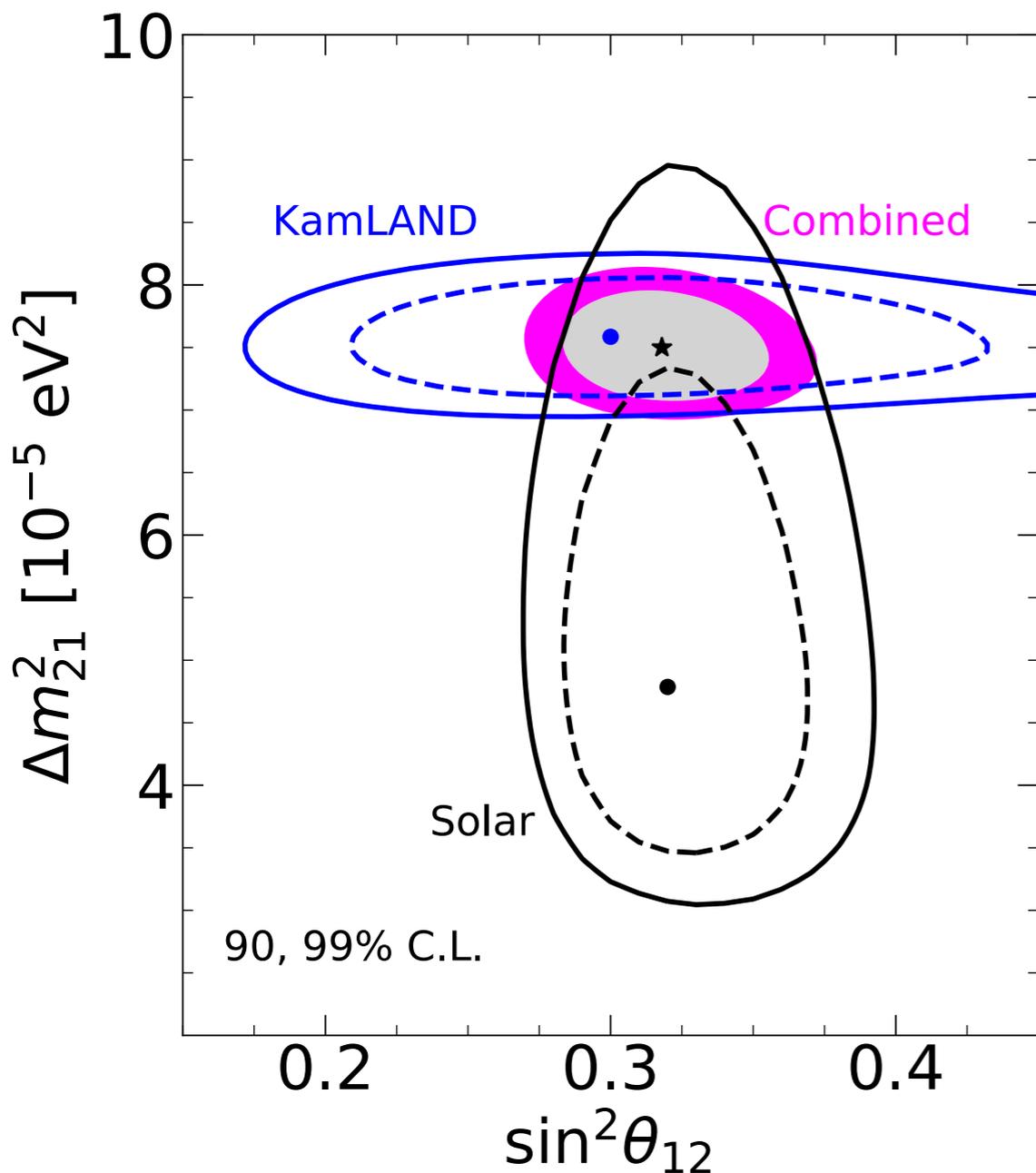
neutrino spectrum



KamLAND:
precise measurement of oscillation frequency

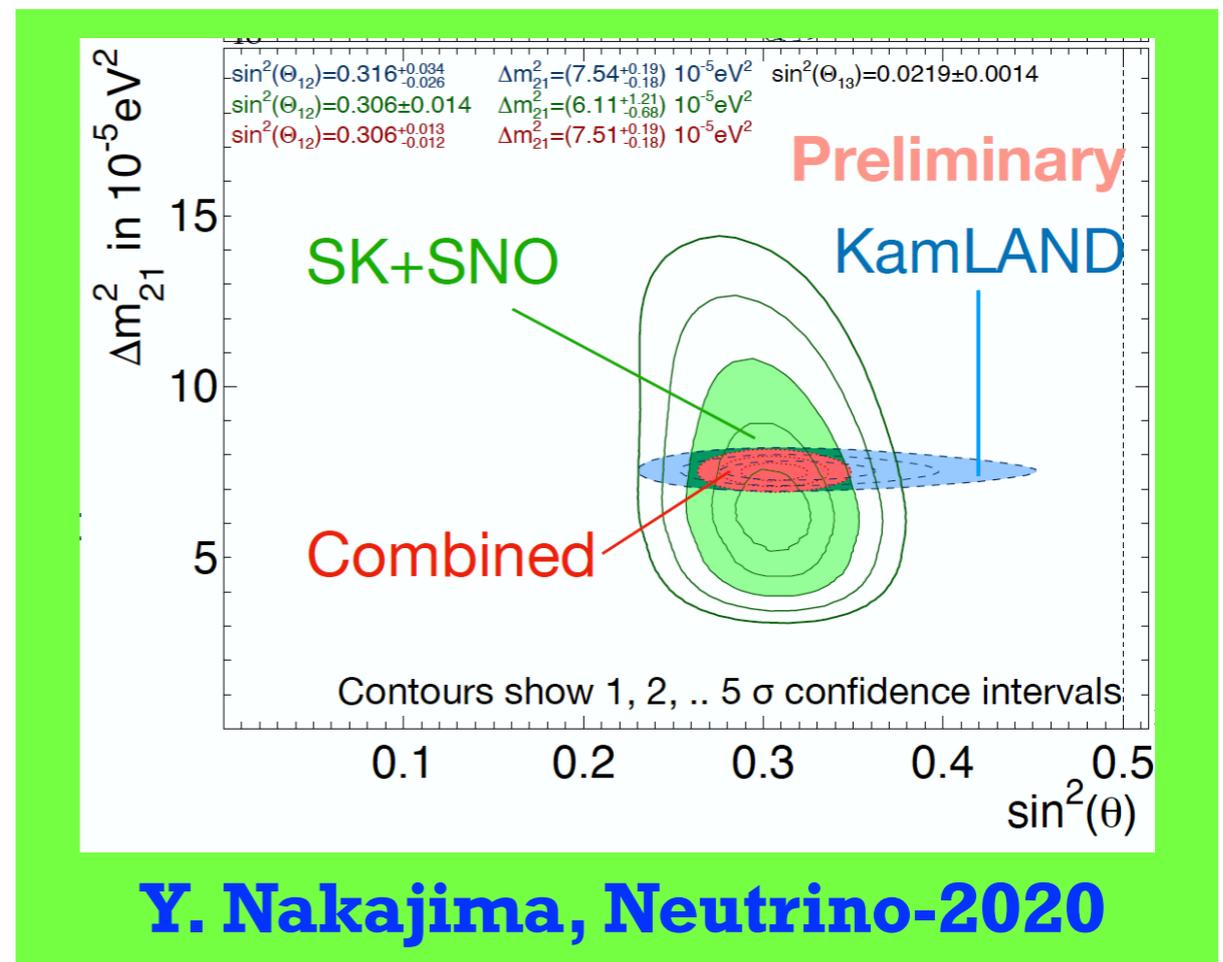


The solar sector



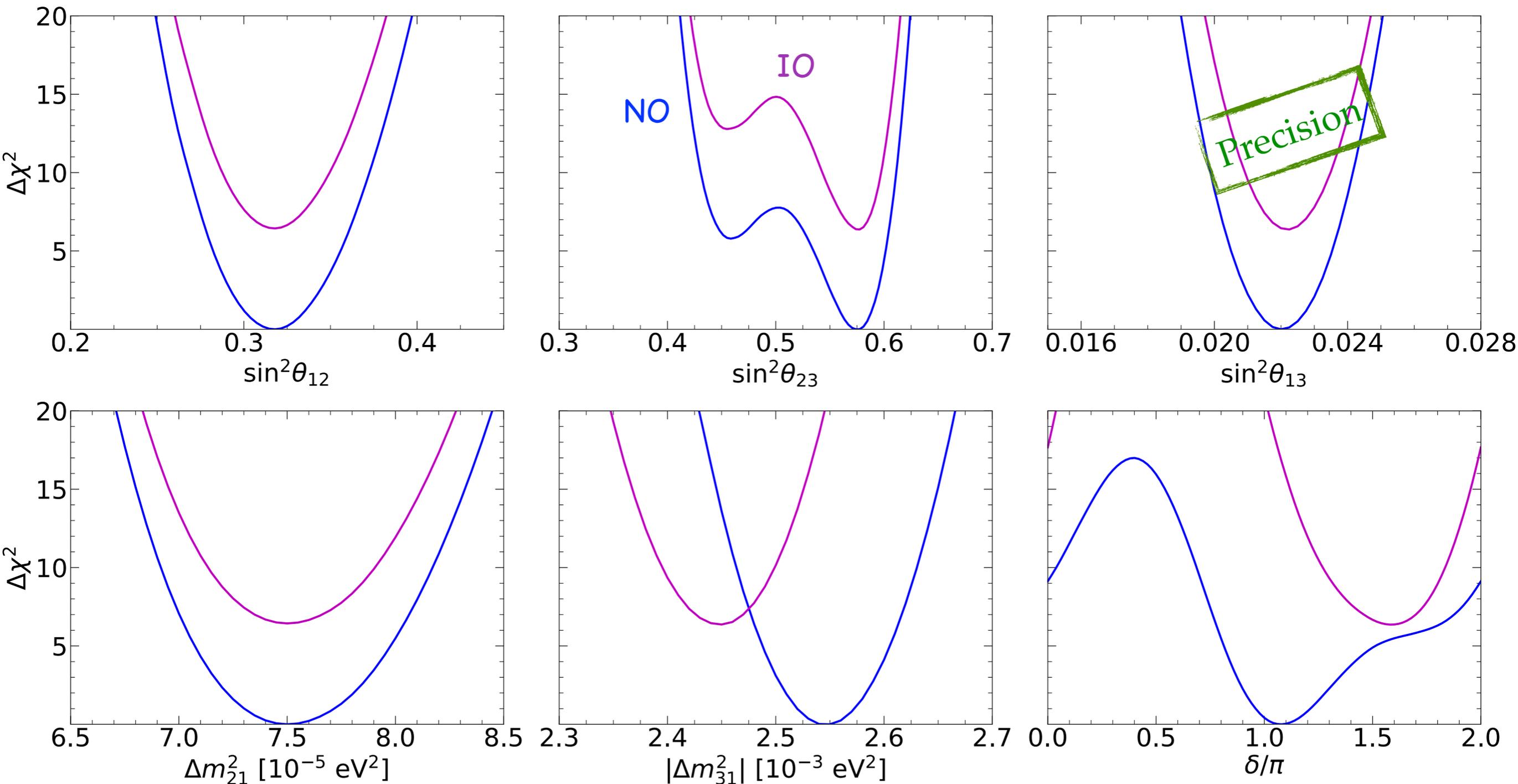
de Salas et al, **JHEP 02 (2021) 071**
[arXiv:2006.11237]

- ◆ θ_{12} measurement is dominated by solar neutrino data
- ◆ Δm_{21}^2 is better measured by KamLAND.
- ◆ **2 σ mismatch** between the values of Δm_{21}^2 measured by solar and KamLAND



Global fit to ν oscillation parameters

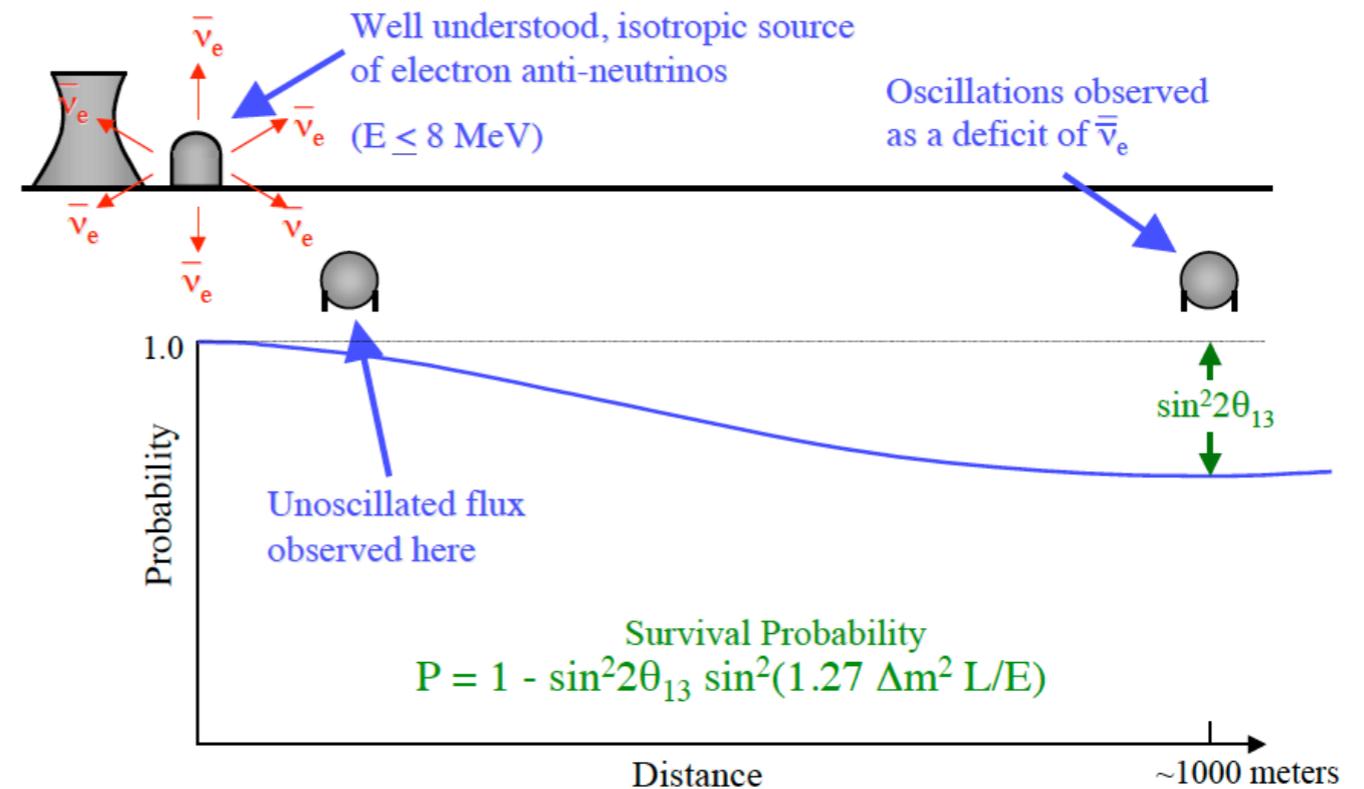
de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



The reactor sector

New generation of SBL reactor experiments

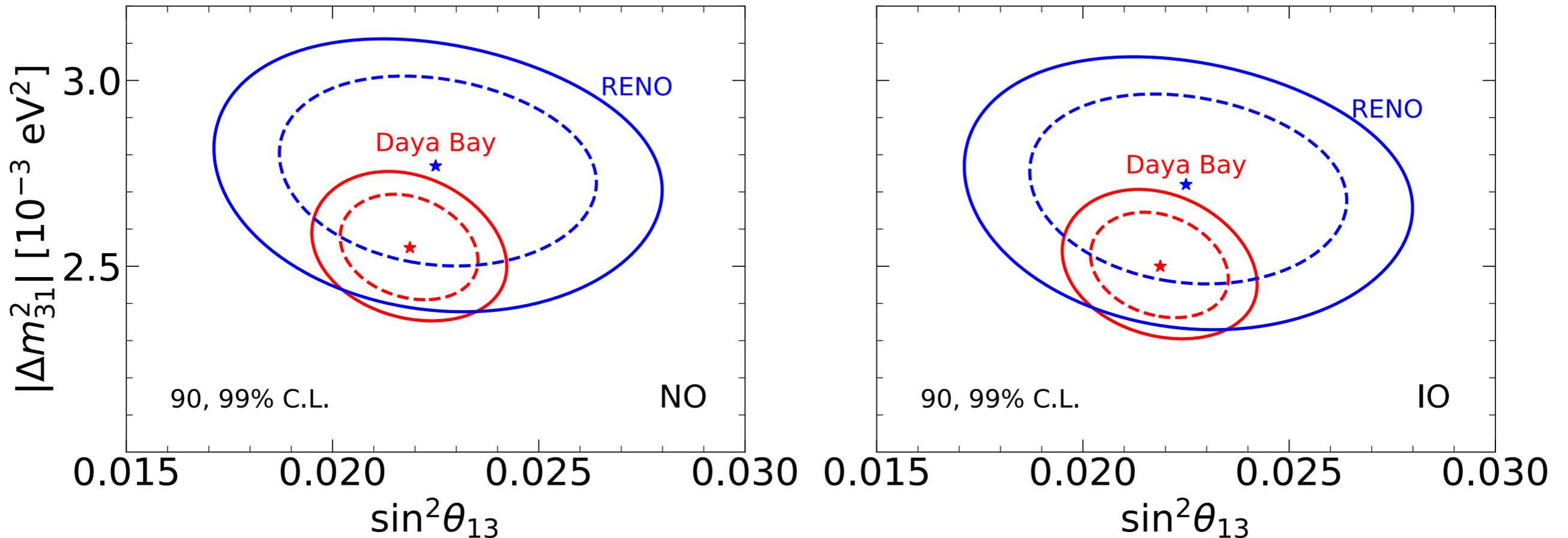
- ◆ more powerful reactors
- ◆ larger detector volume
- ◆ 2-8 detectors at 100 m – 1 km



6 cores + 4 ND + 4FD 2 cores + 1 ND + 1 FD 6 cores + 1 ND + 1 FD

The reactor sector

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



◆ Daya Bay: 1958-day data: $\sin^2 2\theta_{13} = 0.0856 \pm 0.0029$ (3.4%)

◆ RENO: 2900-day data: $\sin^2 2\theta_{13} = 0.0892 \pm 0.0063$ (7%)

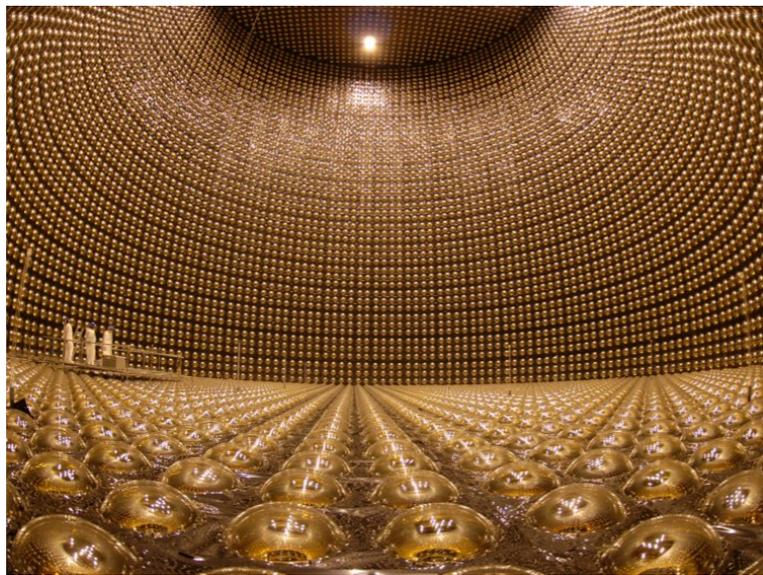
Precision dominated by Daya Bay

The atmospheric sector

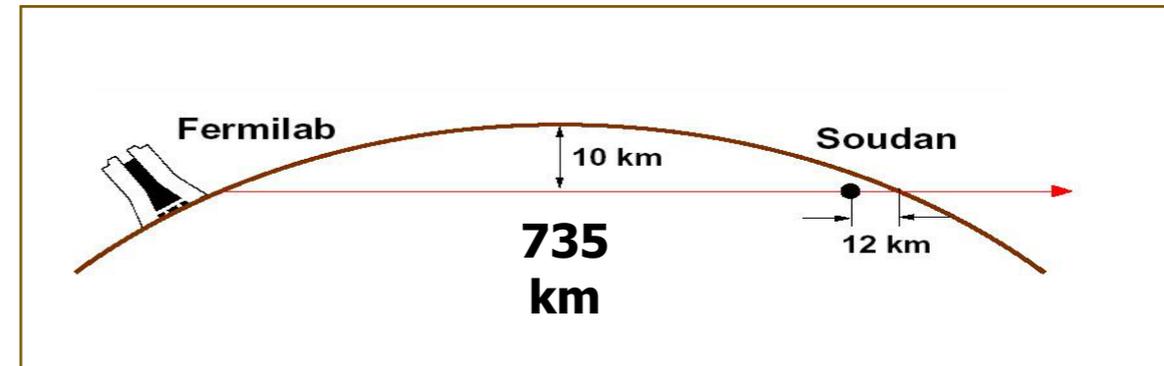
Atmospheric experiments

Accelerator long-baseline experiments

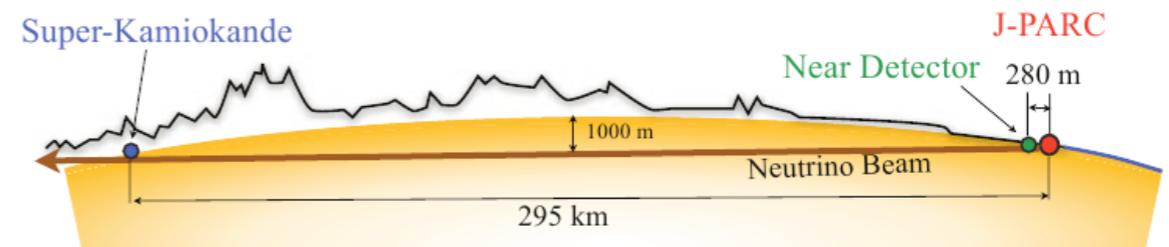
Super-Kamiokande



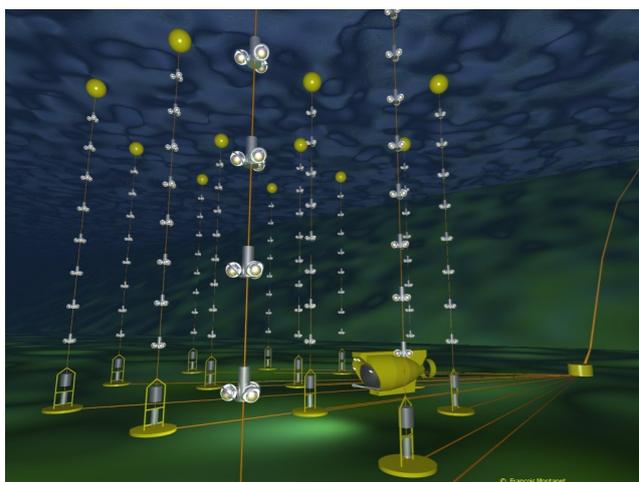
MINOS



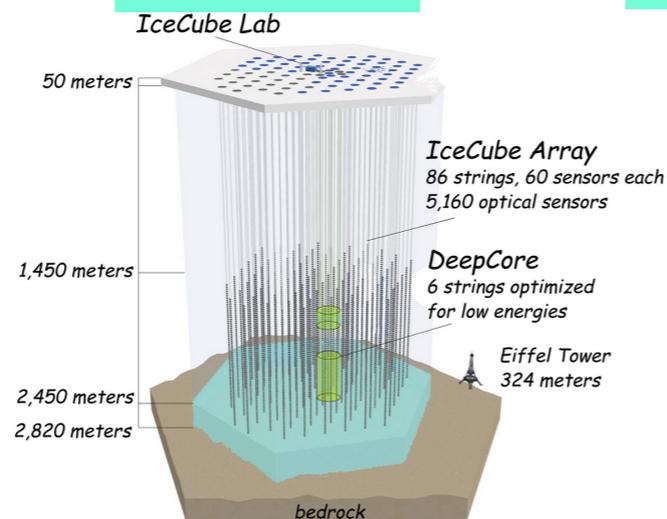
T2K



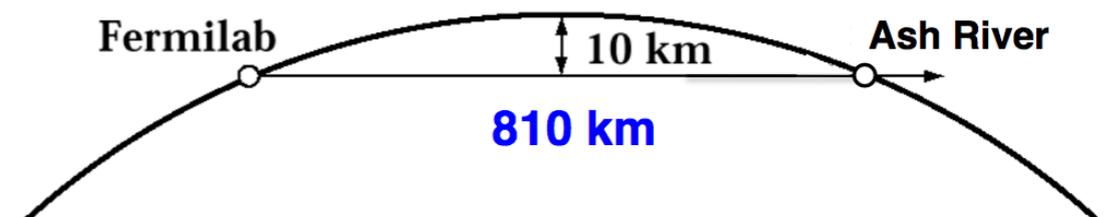
ANTARES



IceCube

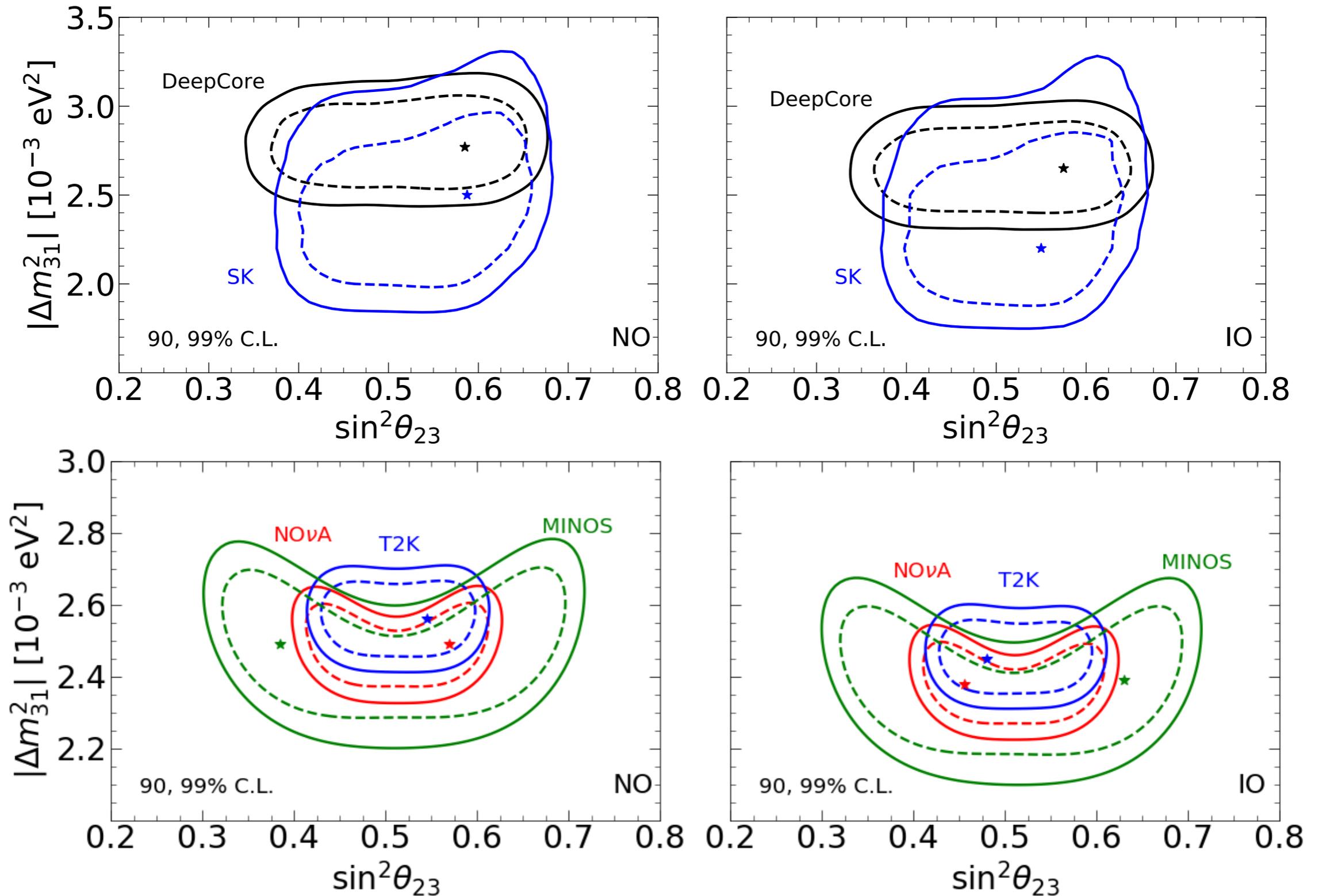


NOvA



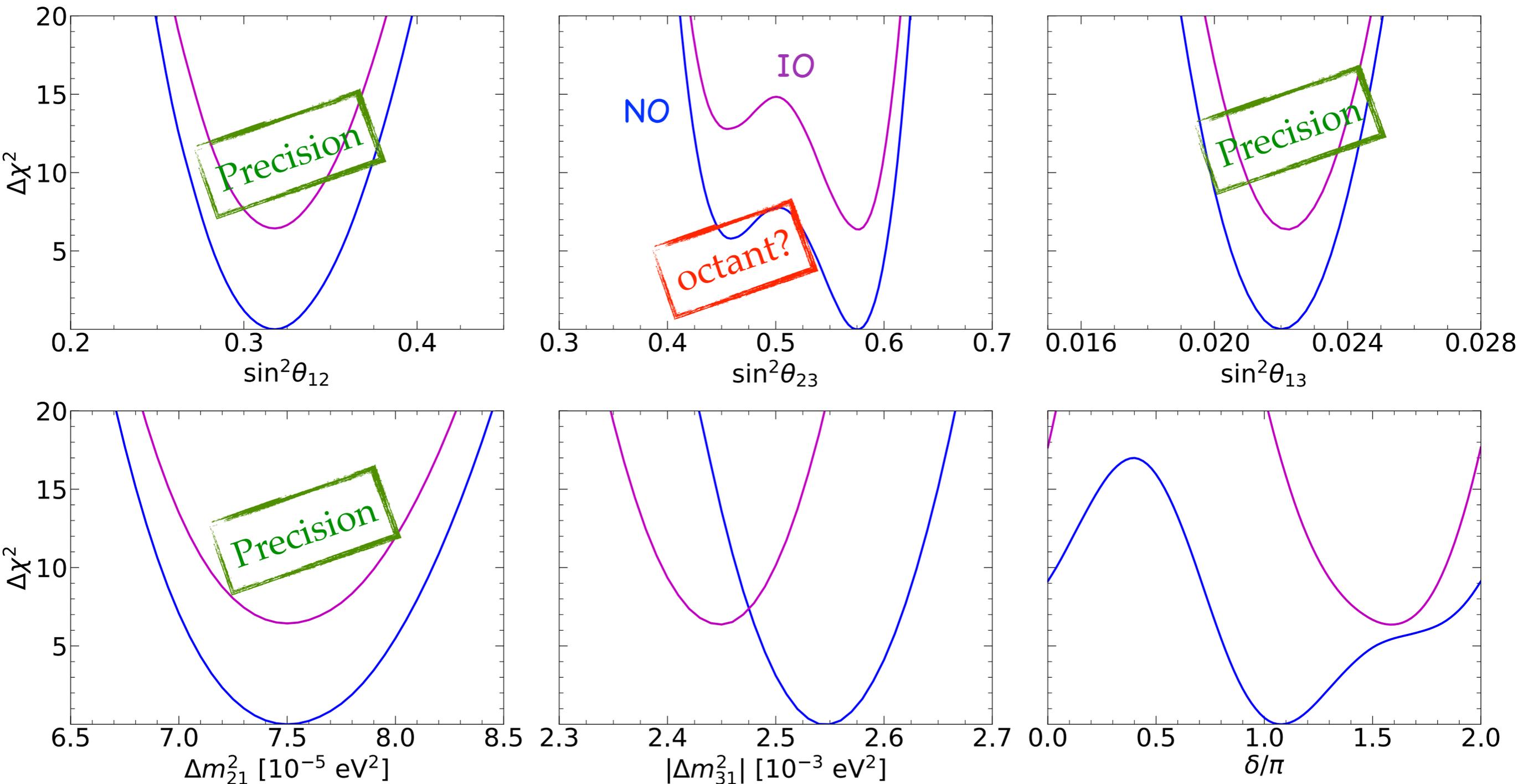
- consistent with atmospheric data
- atm ν oscillations confirmed by lab exps

The atmospheric sector



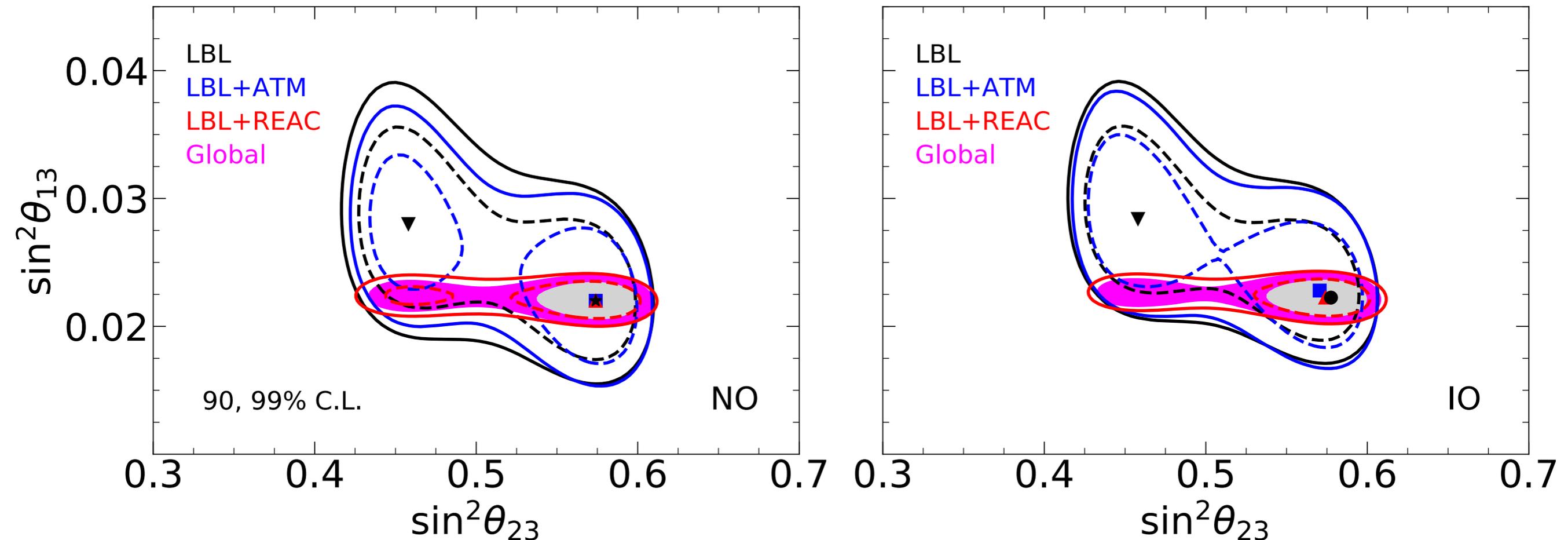
Global fit to ν oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



The octant of θ_{23}

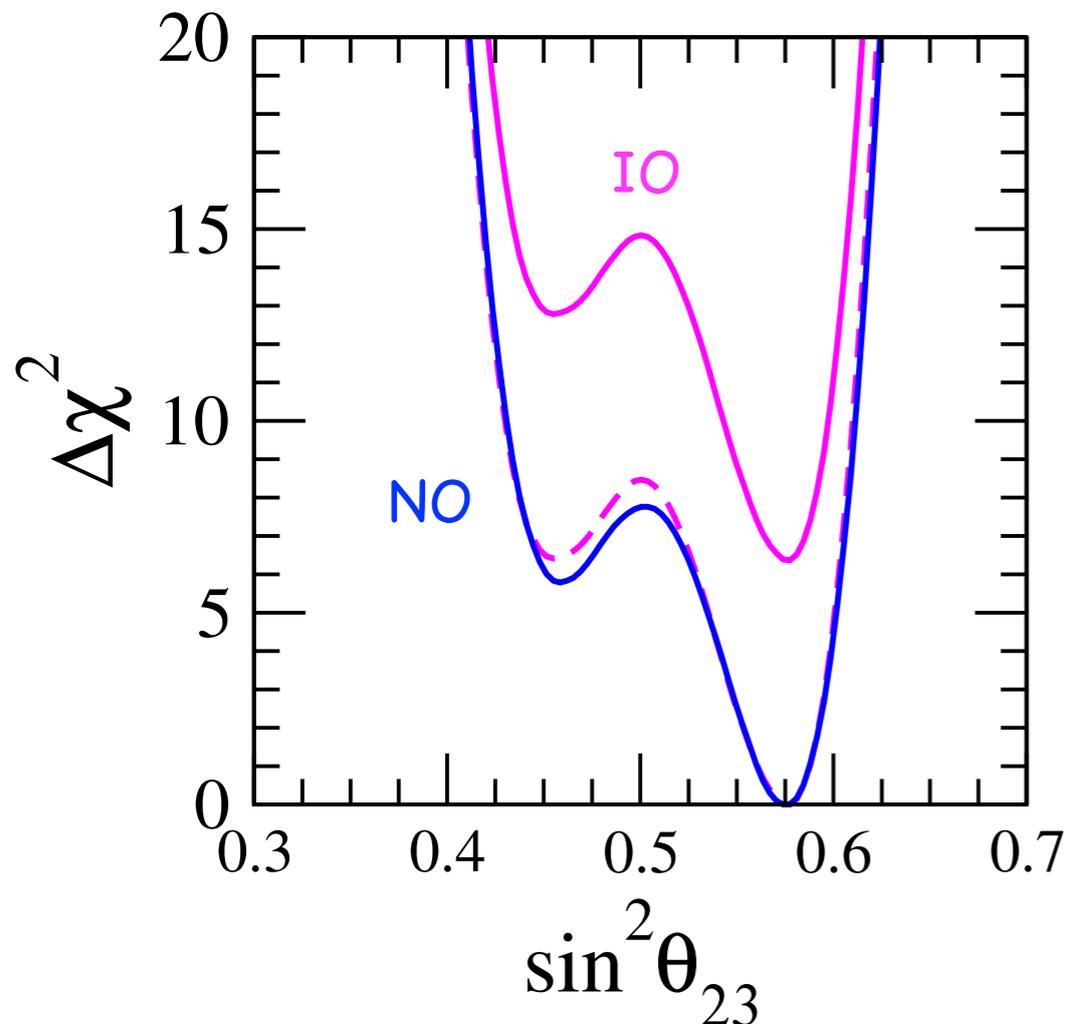
de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



- ◆ The combination of LBL experiments prefers $\theta_{23} < 45^\circ$ for both orderings
- ◆ The combination with atmospheric data shifts the preferred θ_{23} to the second octant
- ◆ The combination with SBL reactors also breaks the degeneracy in favor of 2nd octant

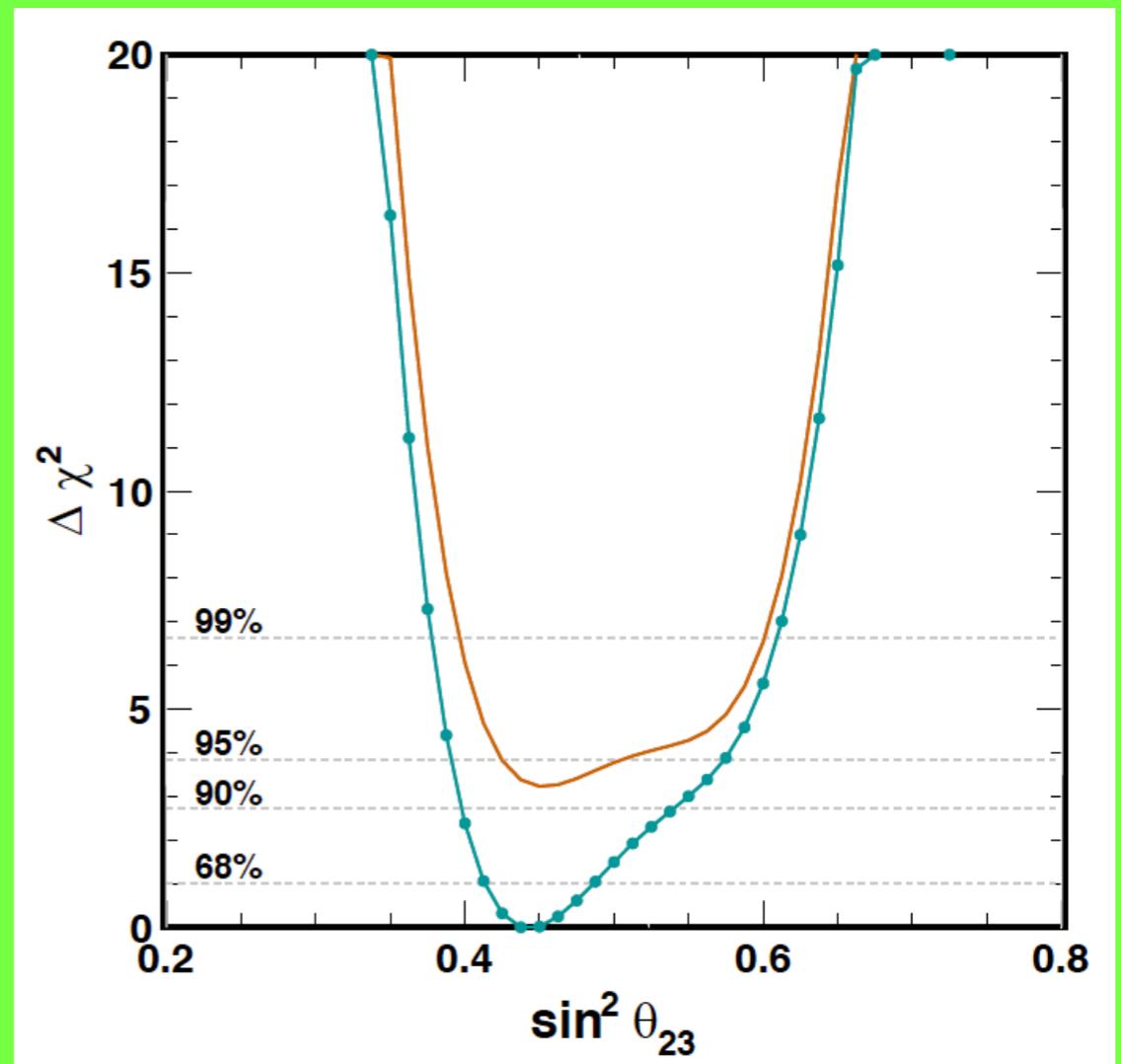
The octant of θ_{23}

de Salas et al, **JHEP 02 (2021) 071**



Values at the 1st octant disfavored
with $\Delta\chi^2 \geq 5.8$ (6.4) for NO (IO)

New Super-Kamiokande data

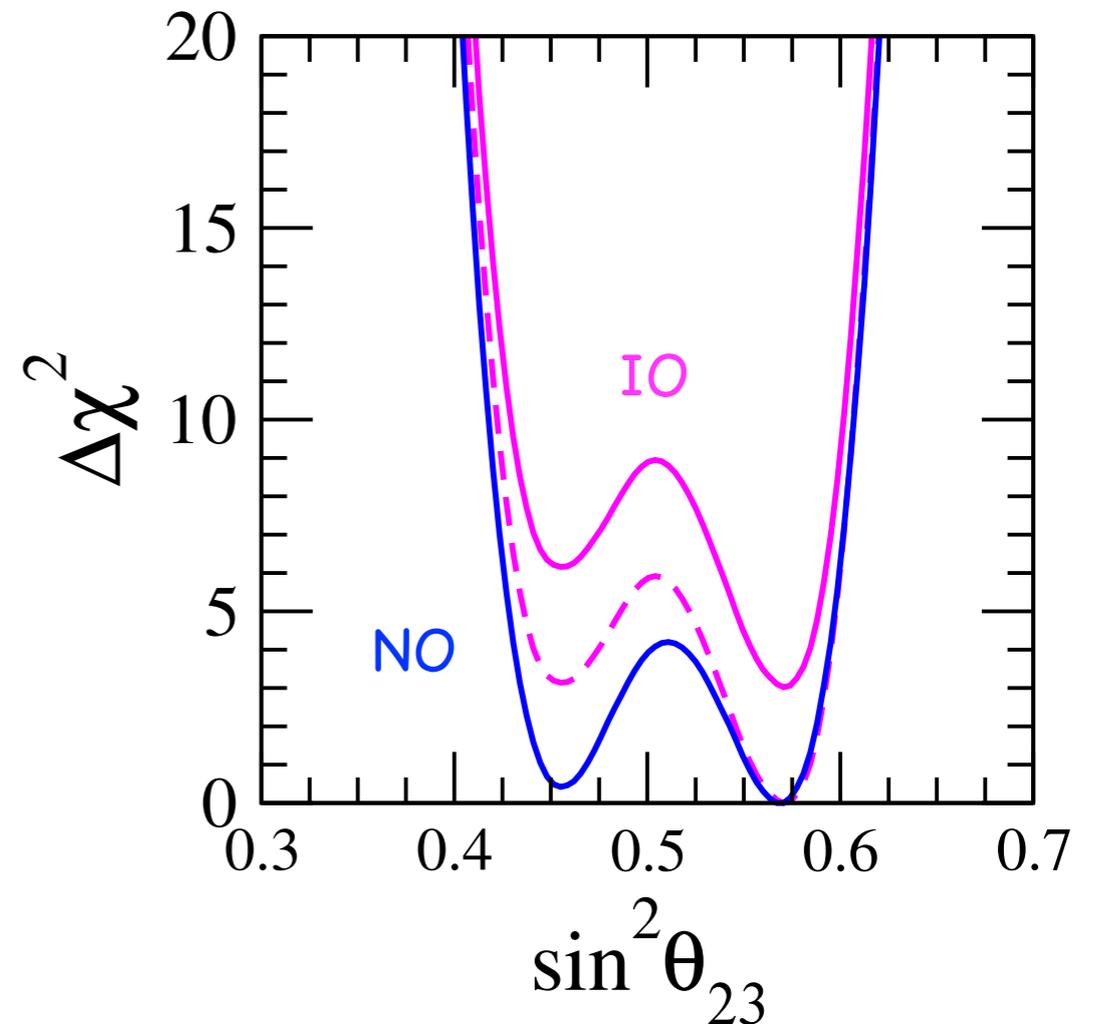
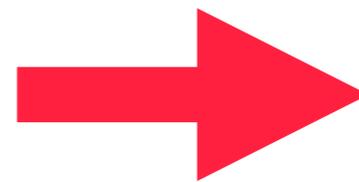
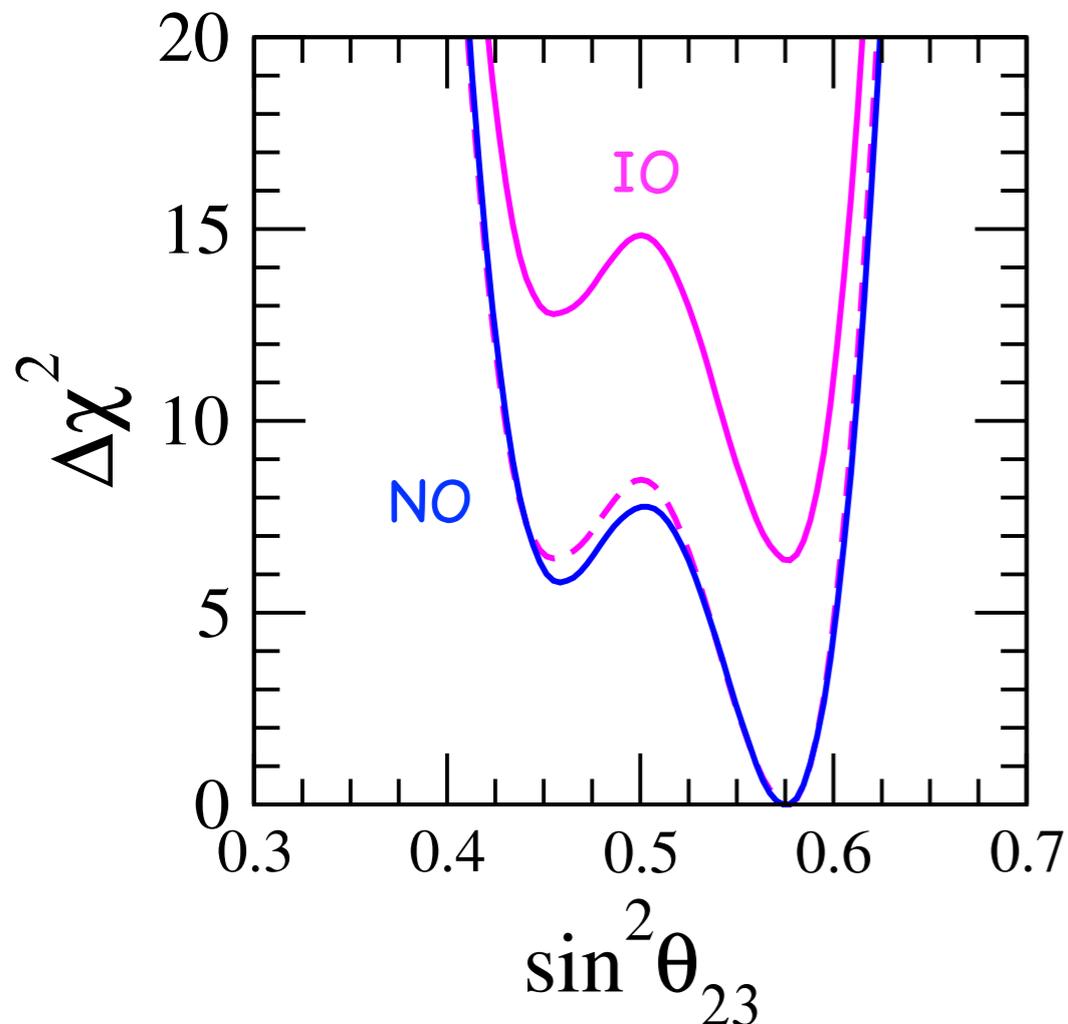


Y. Nakajima, Neutrino 2020

The octant of θ_{23}

de Salas et al, JHEP 02 (2021) 071

de Salas et al, preliminary



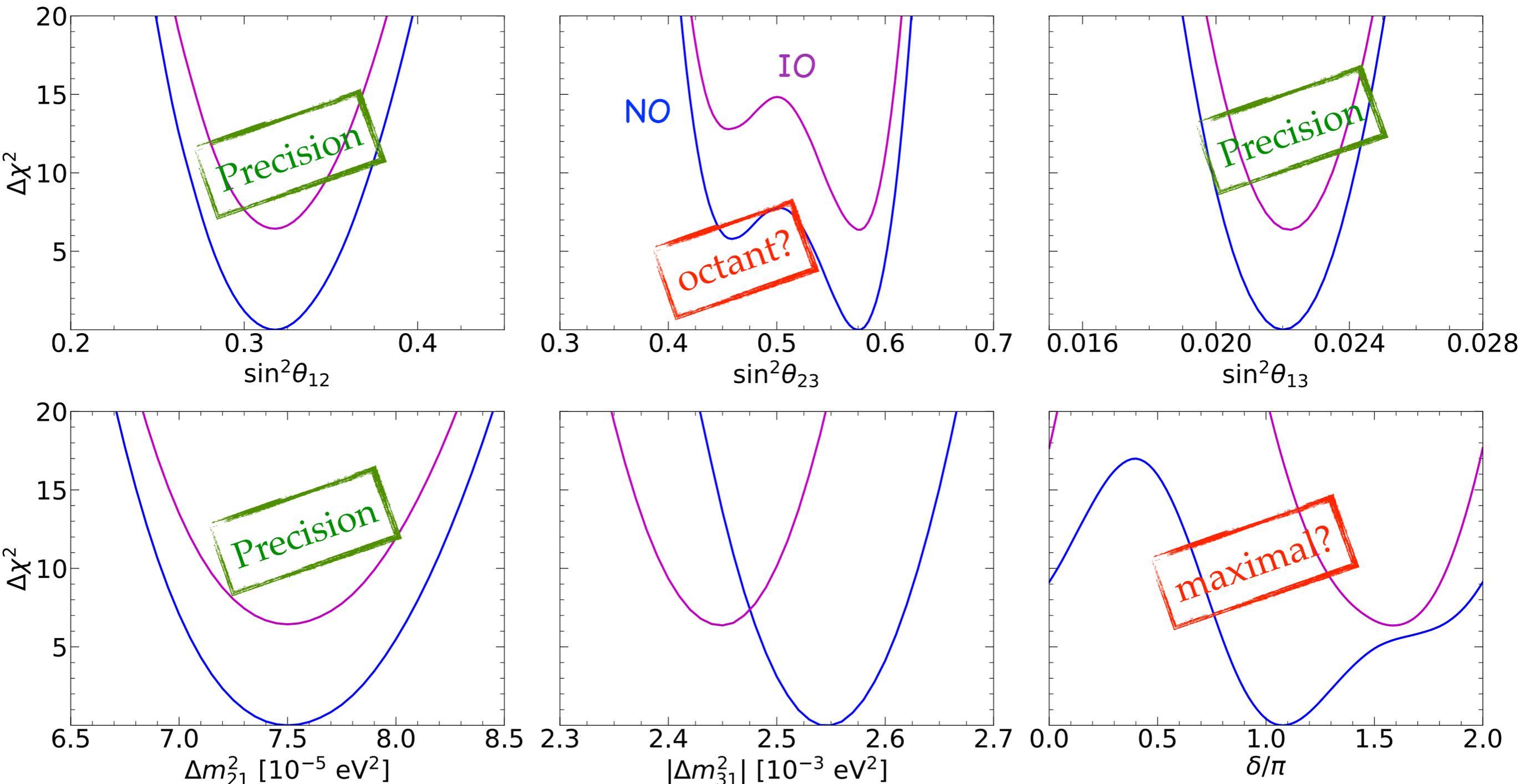
Values at the 1st octant disfavored
with $\Delta\chi^2 \geq 5.8$ (6.4) for NO (IO)

Values at the 1st octant disfavored
with $\Delta\chi^2 \geq 0.4$ (3.1) for NO (IO)

→ degenerate solutions in NO

Global fit to ν oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



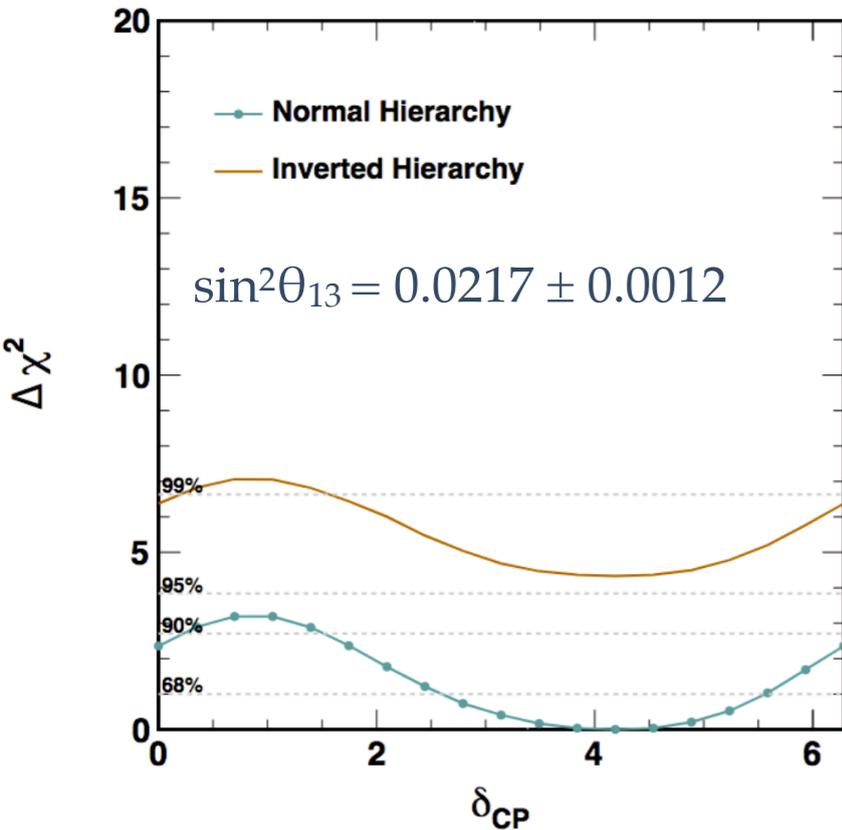
The CP phase

H. Tanaka, TAUP 2019

Super-Kamiokande (atm)

T2K

$\delta_{BF} \approx 3\pi/2$ due to better agreement with observed ν_e and $\bar{\nu}_e$ events



T2K (NO)		$-\pi/2$	0	$+\pi/2$	π	OBS
ν mode	1Re 0 d.e.	74.5	62.3	50.6	62.8	75
	1Re 1 d.e.	7.0	6.1	4.9	5.9	15
$\bar{\nu}$ mode	1Re 0 d.e.	17.1	19.6	21.7	19.3	15

◆ $\delta_{BF} = 1.5\pi$ (1.2π) for NO (IO)

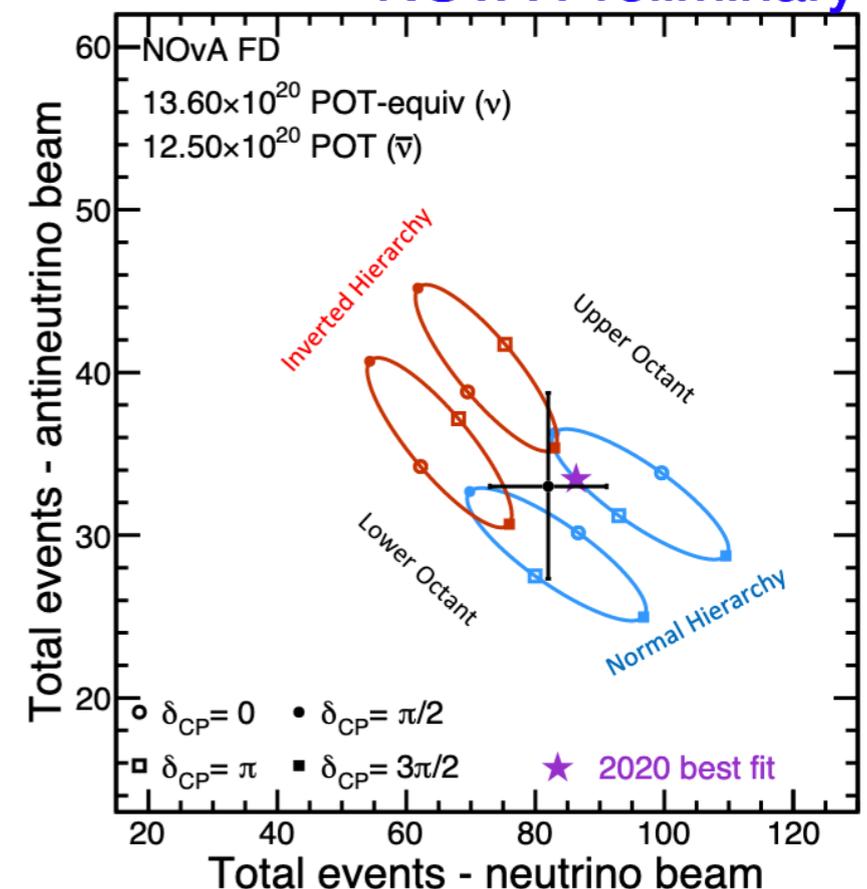
◆ preference driven by sub-GeV e-like samples

SK Collab. PRD97 (2018)

NOvA

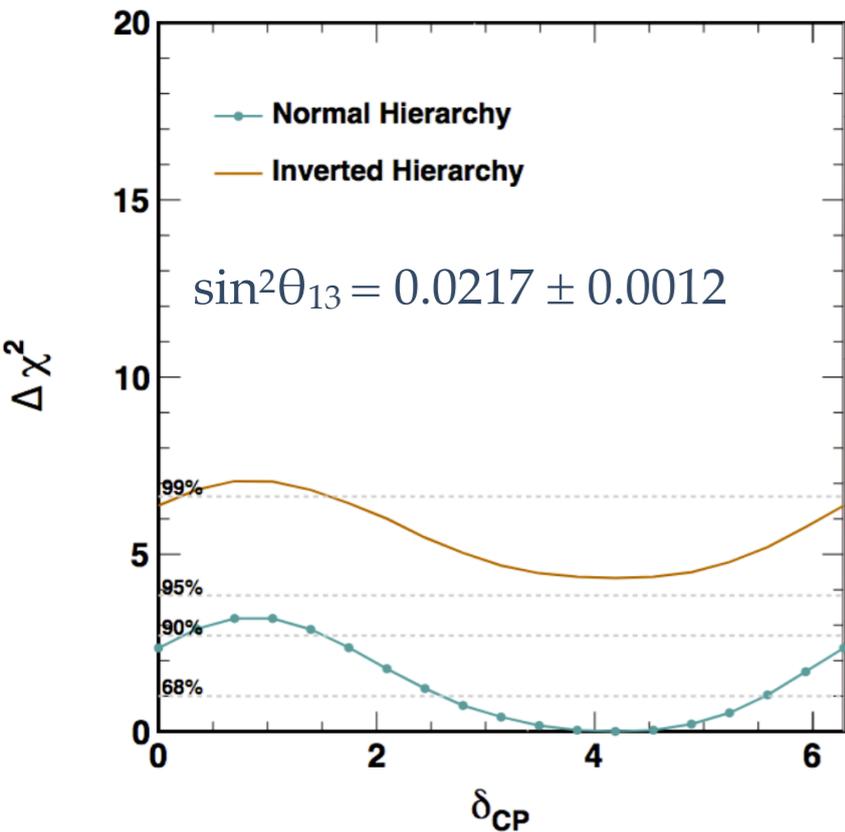
P Vahle, TAUP 2021

NOvA Preliminary



The CP phase

Super-Kamiokande (atm)

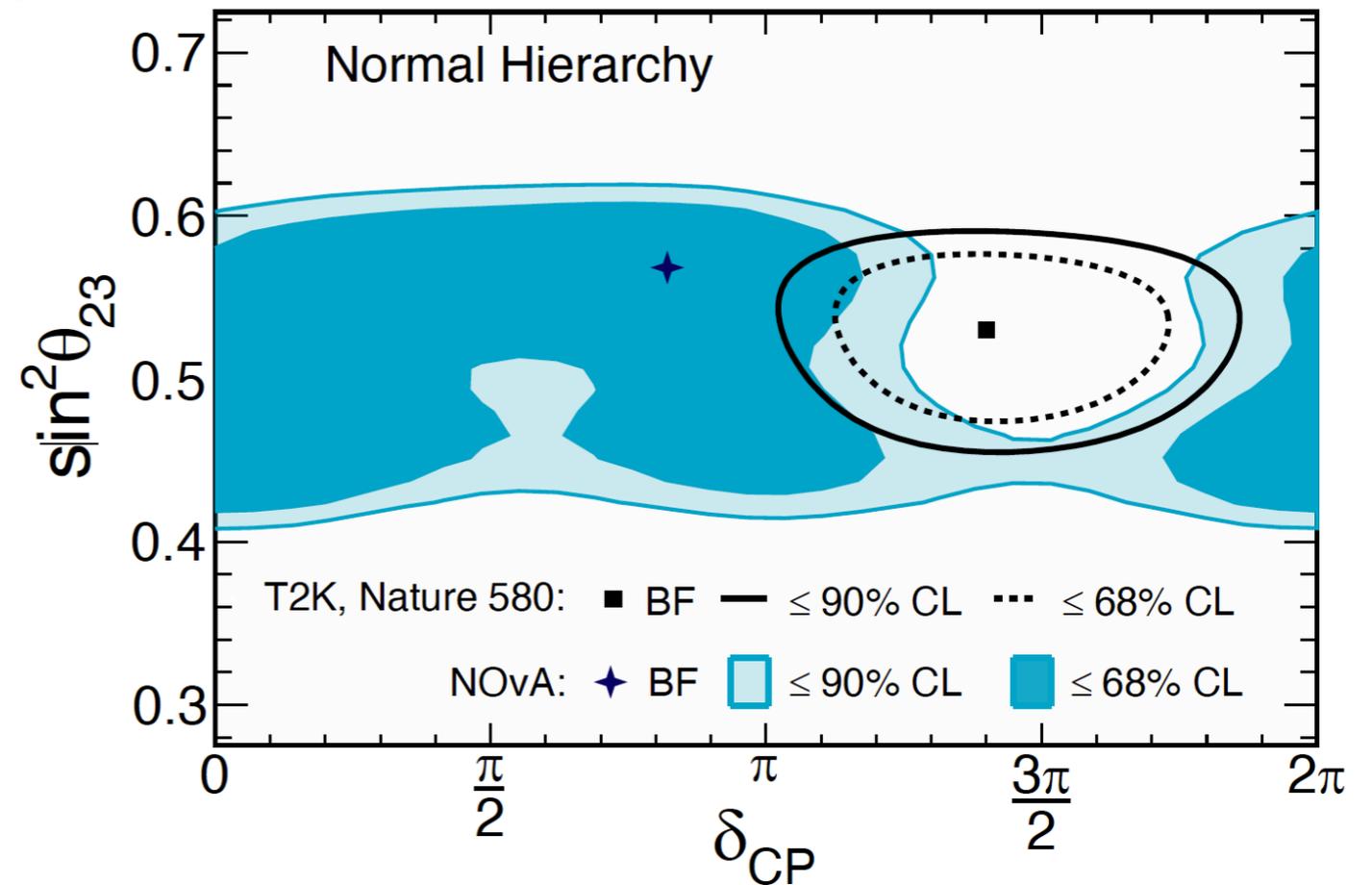


◆ $\delta_{BF} = 1.5\pi$ (1.2π) for NO (IO)

◆ preference driven by sub-GeV e-like samples

SK Collab. PRD97 (2018)

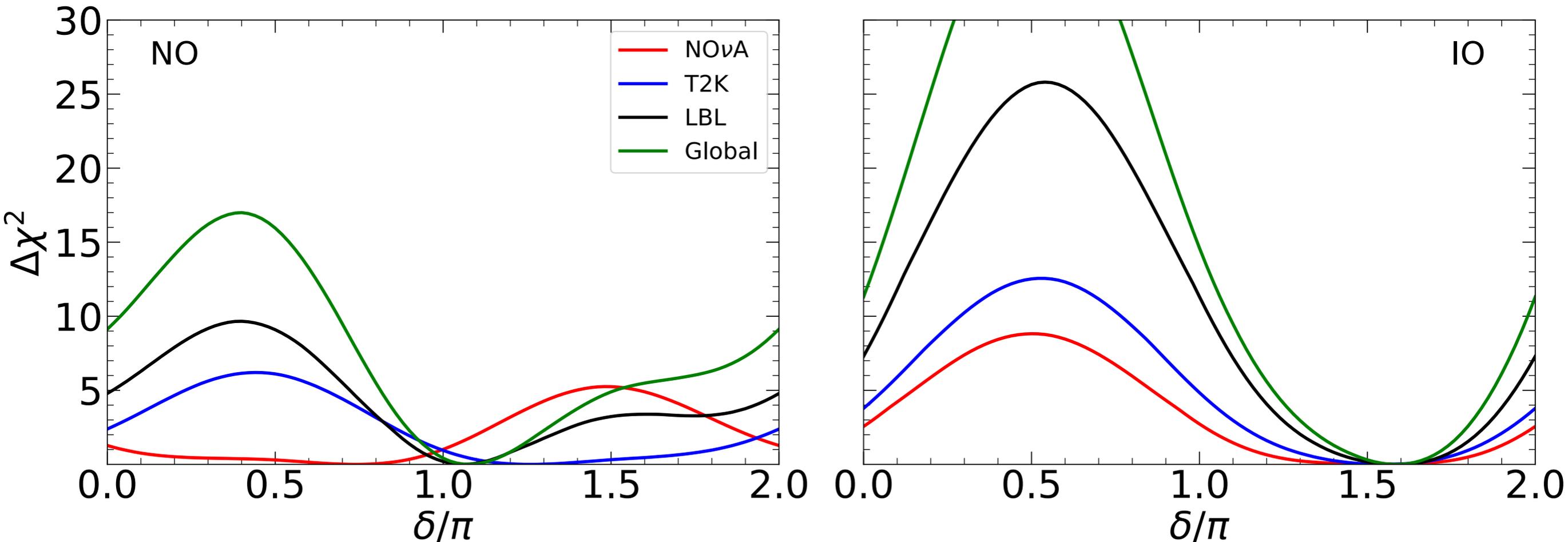
Strong tension between T2K and NOvA results for NO



A. Himmel, Neutrino 2020

The CP phase

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



◆ NO: there is a tension between NOνA and T2K and SK atmospheric results

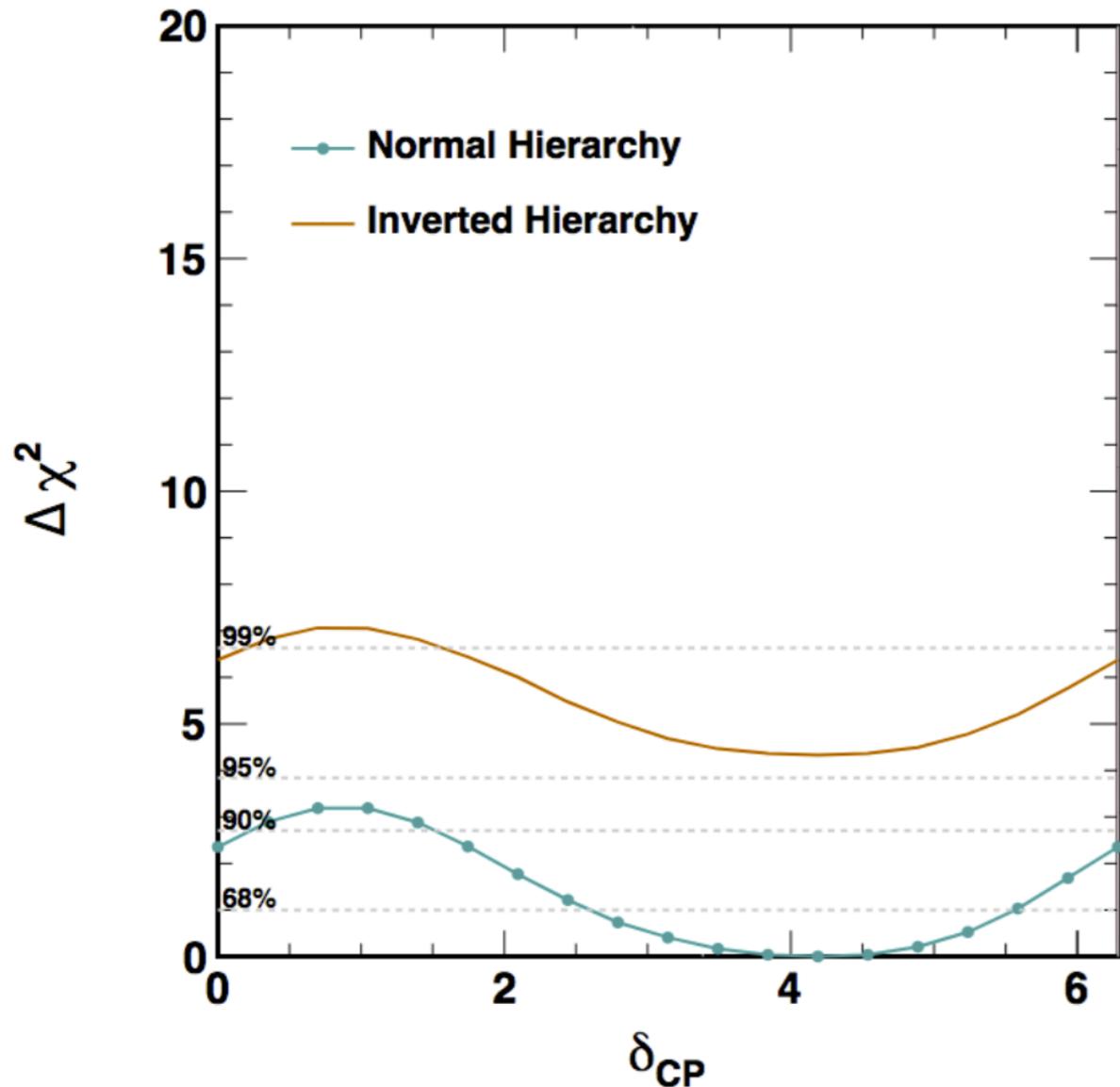
$\delta_{\text{BF}} = 1.08\pi$; $\delta = \pi/2$ (0) disfavored at 4.0σ (3.0σ); $\delta = 3\pi/2$ with $\Delta\chi^2 = 4.9$

◆ IO: all experiments prefer $\delta \approx 3\pi/2$

$\delta_{\text{BF}} = 1.58\pi$; $\delta = \pi/2$ (π) disfavored at 6.2σ (3.8σ);

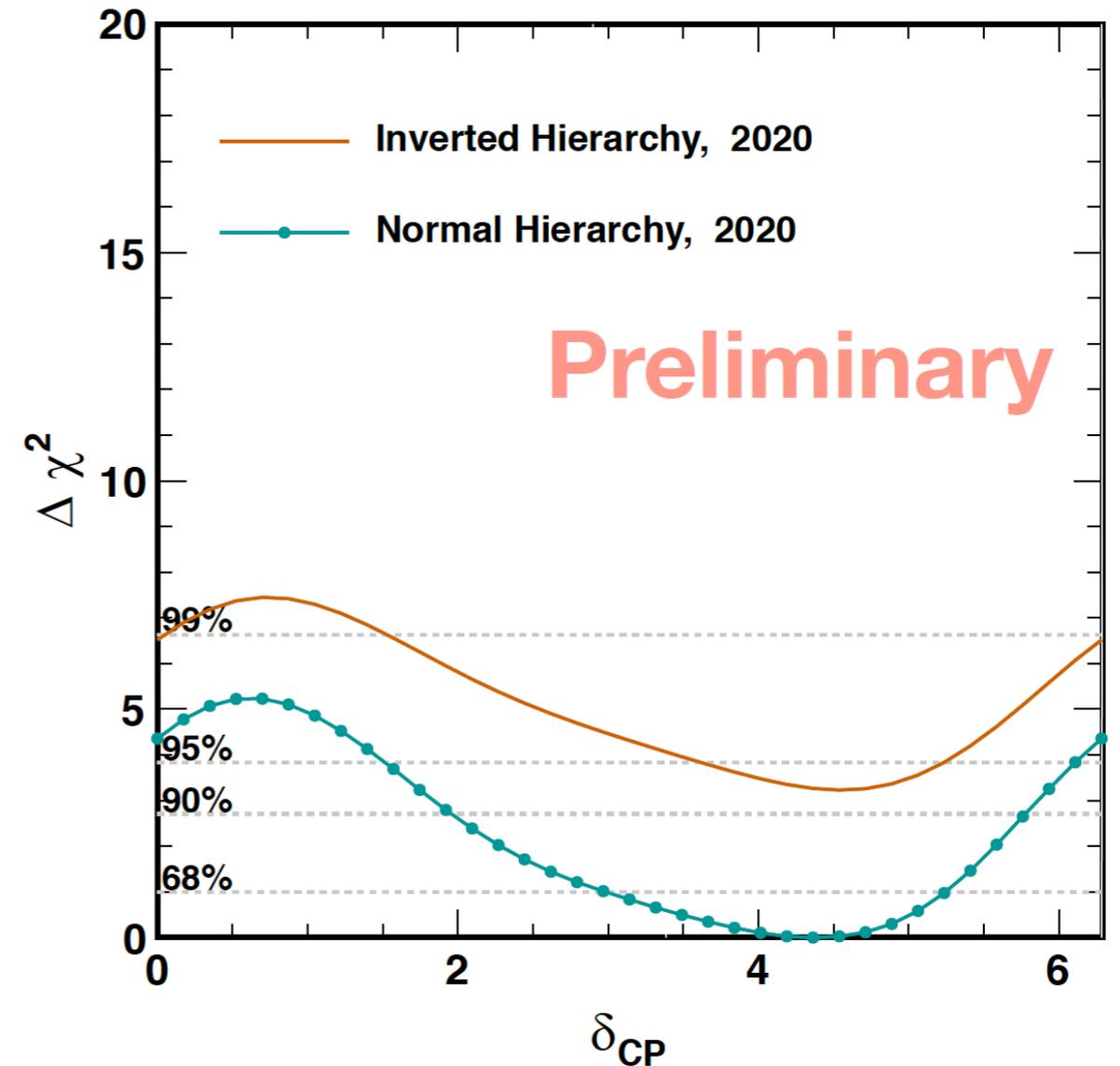
The CP phase

Super-Kamiokande (atm) 2018



SK Collab. PRD97 (2018)

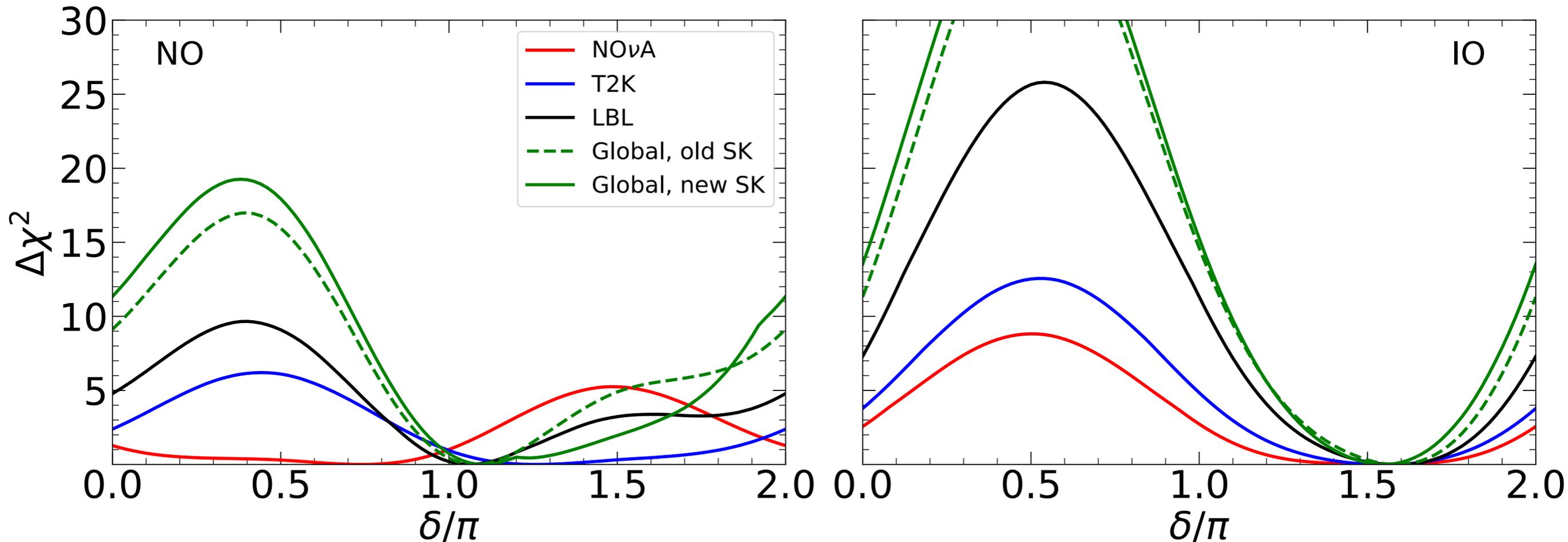
Super-Kamiokande (atm) 2020



Y. Nakajima, Neutrino 2020

The CP phase

de Salas et al, preliminary



- ◆ NO: there is a **larger (smaller) rejection to $\delta = 0$ and $\delta = \pi/2$ ($\delta = 3\pi/2$)**

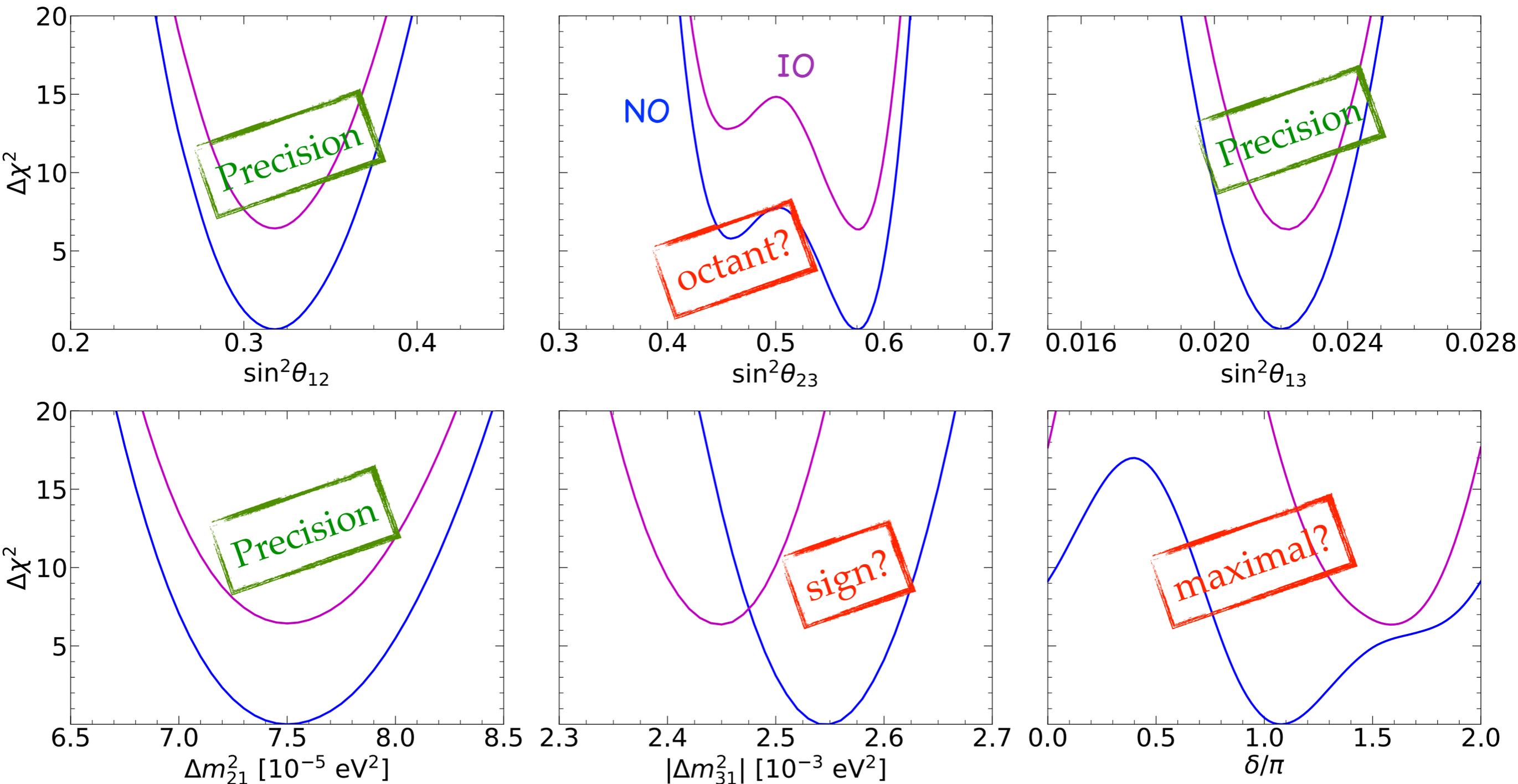
$\delta_{\text{BF}} = 1.1\pi$; $\delta = \pi/2$ (0) disfavored at 4.2σ (3.4σ); $\delta = 3\pi/2$ with $\Delta\chi^2 = 2.0$

- ◆ IO: all experiments prefer $\delta \approx 3\pi/2$ (**similar results**)

$\delta_{\text{BF}} = 1.54\pi$; $\delta = \pi/2$ (π) disfavored at 6.4σ (3.9σ)

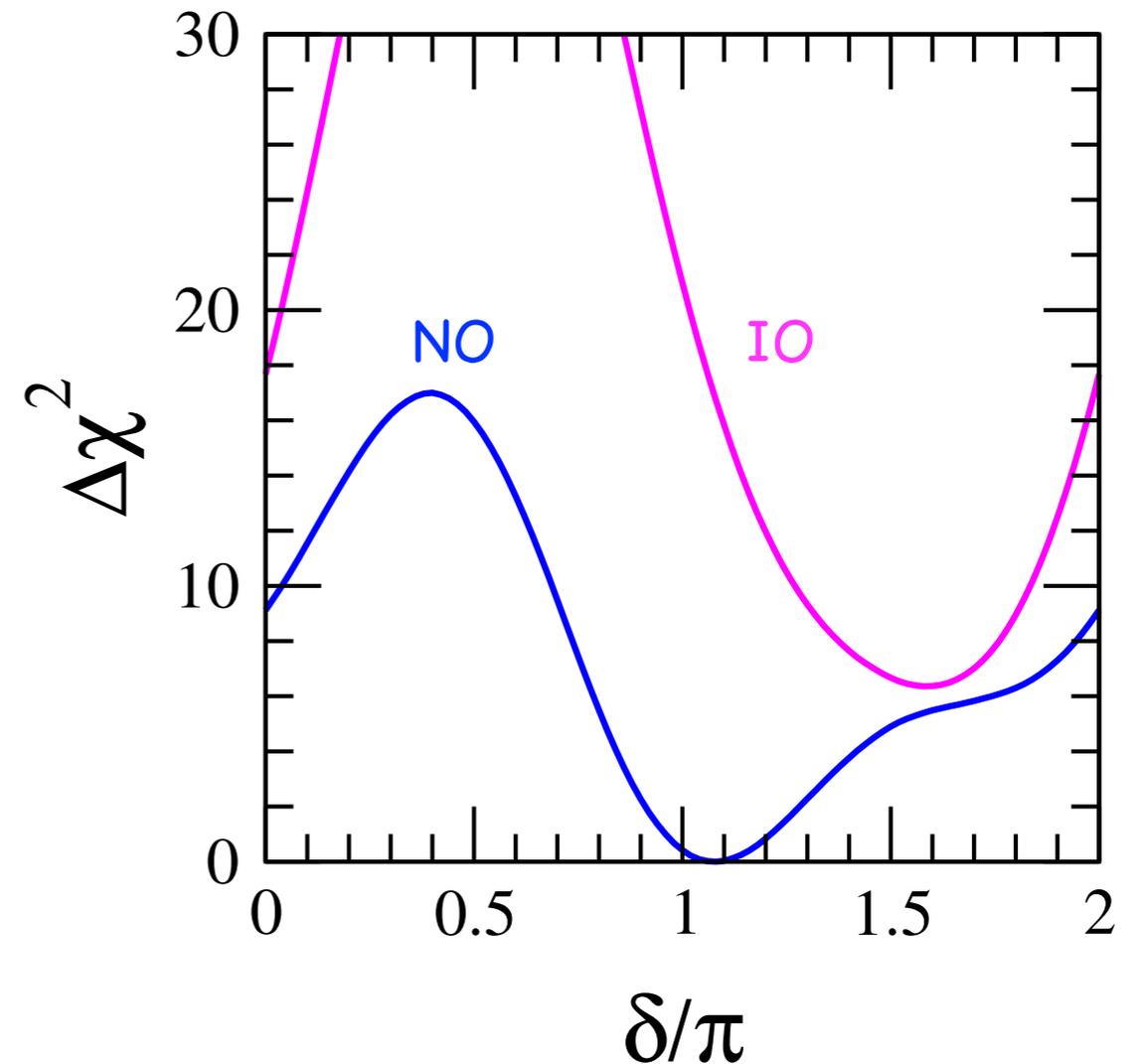
Global fit to ν oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



The mass ordering

- ◆ T2K and NOvA separate analyses prefer NO with $\Delta\chi^2 \approx 0.4$
- ◆ T2K + NOvA combined prefer IO with $\Delta\chi^2 \approx 2.4$ (tension in δ for NO)
- ◆ LBL + REAC prefer NO with $\Delta\chi^2 \approx 1.4$ (tension in Δm^2_{31} measurement in IO)
- ◆ Atmos. sensitivity: Super-K ($\Delta\chi^2 \approx 3.5$) and DeepCore ($\Delta\chi^2 \approx 1.0$)
- ◆ Global fit: $\Delta\chi^2 = 6.4 \rightarrow 2.5\sigma$ preference for NO



de Salas et al, JHEP 02 (2021) 071

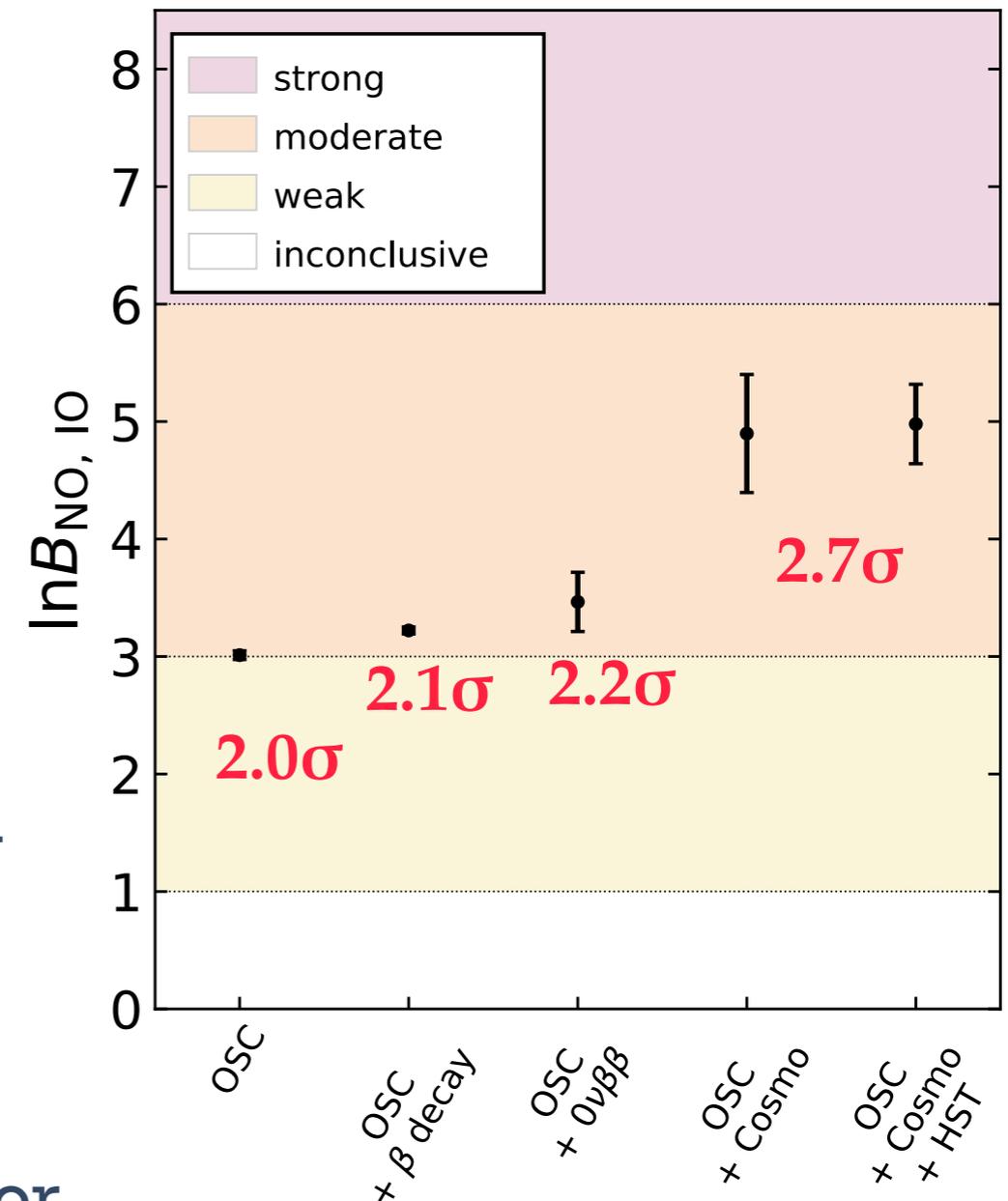
Other inputs for mass ordering?

Experimental sensitivity to neutrino masses:

- ◆ ν -oscillations: Δm^2_{ij}
- ◆ β -decay: $m_\beta = f(m_i, \theta_{ij})$
- ◆ $0\nu\beta\beta$: $m_{\beta\beta} = f(m_i, \theta_{ij}, \phi_i)$
- ◆ cosmology: Σm_i

Results from the combined bayesian analysis:

- ⇒ weak/moderate preference for NO driven by oscillation data (2.0σ)
- ⇒ β -decay and $0\nu\beta\beta$ have little impact on MO.
- ⇒ cosmological data enhances the preference for NO from 2.0σ to 2.7σ



de Salas et al, JHEP 02 (2021) 071

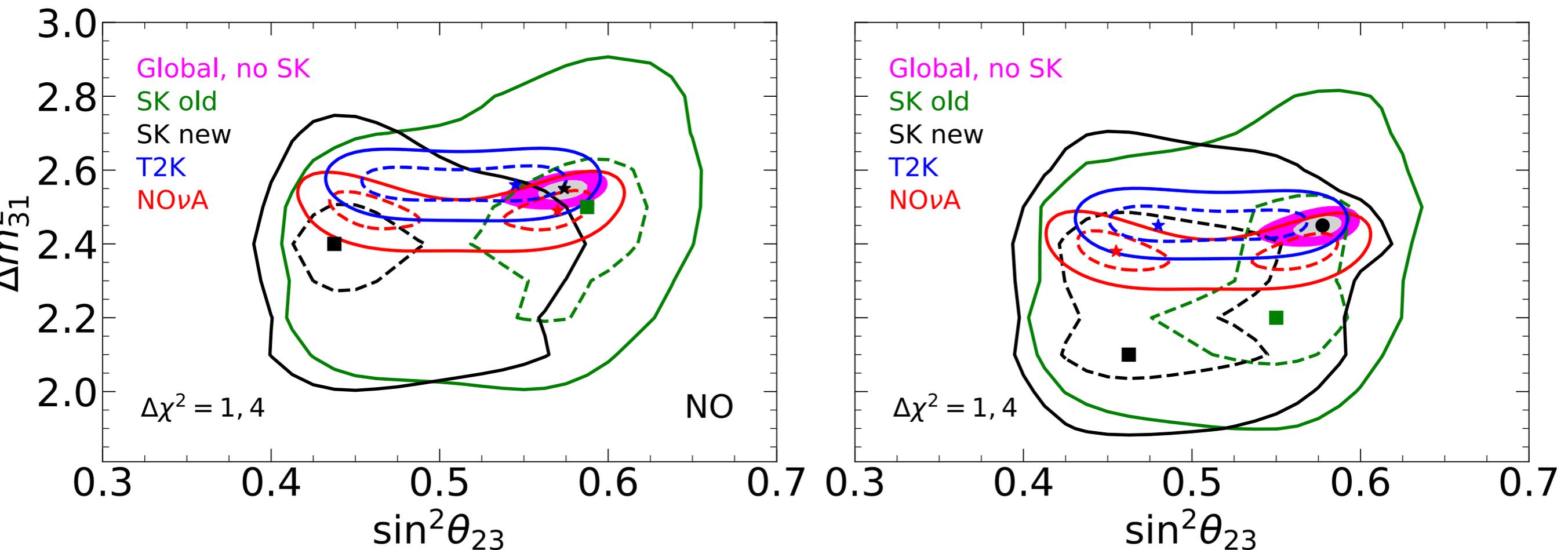
The mass ordering (preliminary)

◆ New Super-K atmospheric analysis (preliminary) Y. Nakajima, Neutrino-2020

◆ Preliminary Super-K analysis shows weaker preference for NO

$\Rightarrow \Delta\chi^2 = 3.5$ (previous SK analysis) $\rightarrow \Delta\chi^2 = 2.9$

◆ Super-K results for atm parameters are in more tension with LBL for NO



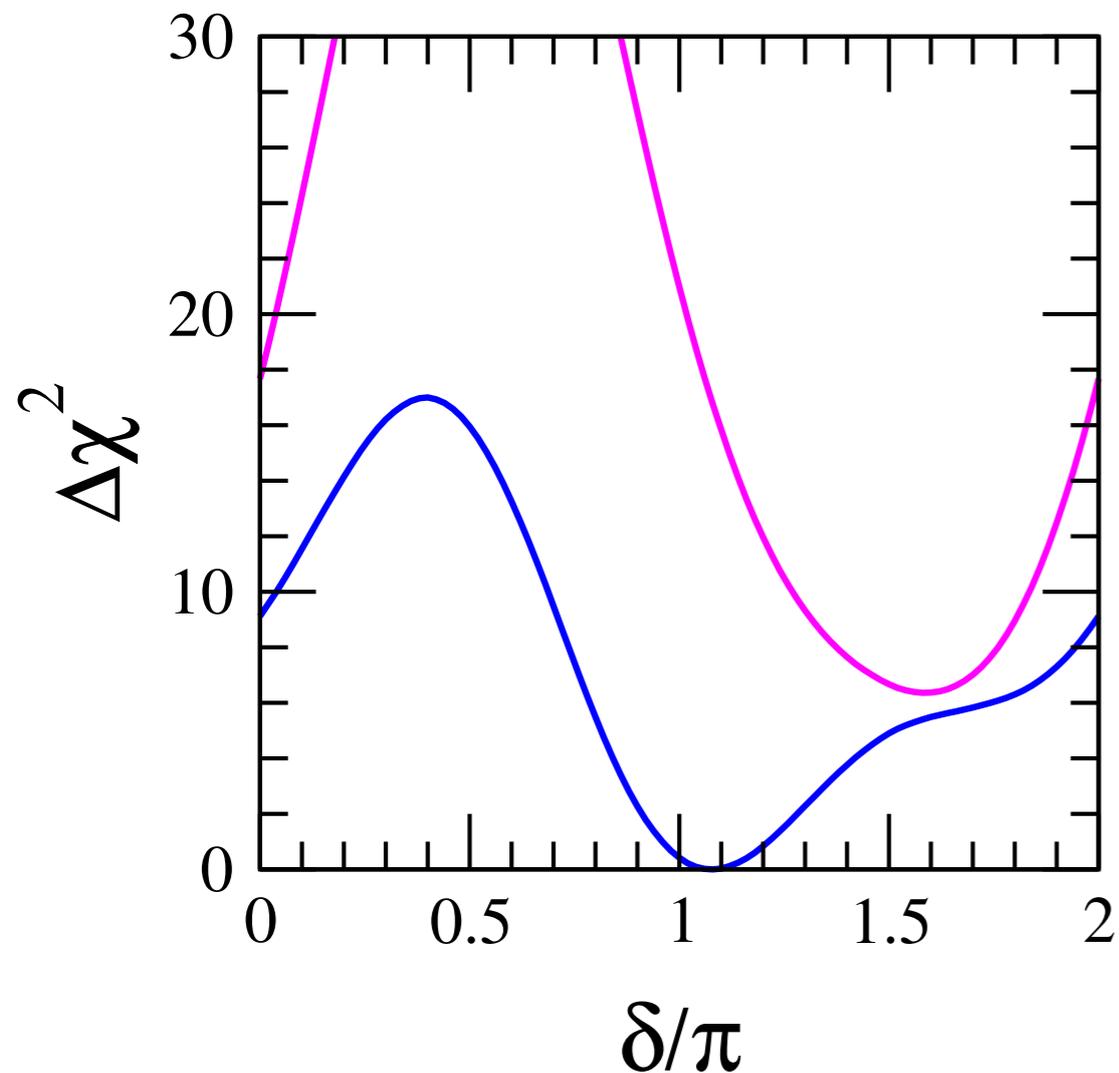
de Salas et al, preliminary

The mass ordering (preliminary)

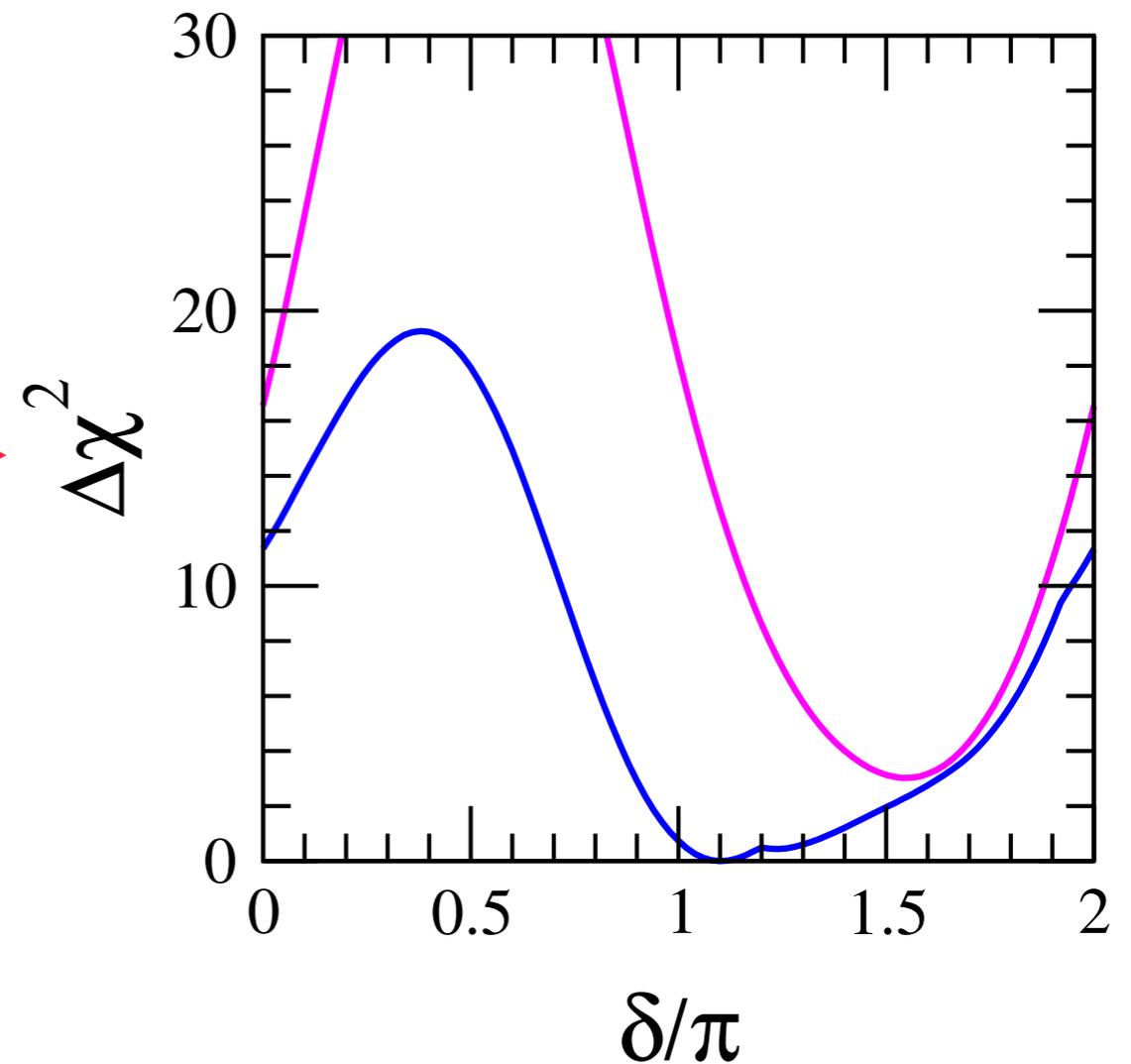
◆ New Super-K atmospheric analysis (preliminary)

Y. Nakajima, Neutrino-2020

de Salas et al, JHEP 02 (2021) 071



de Salas et al, preliminary



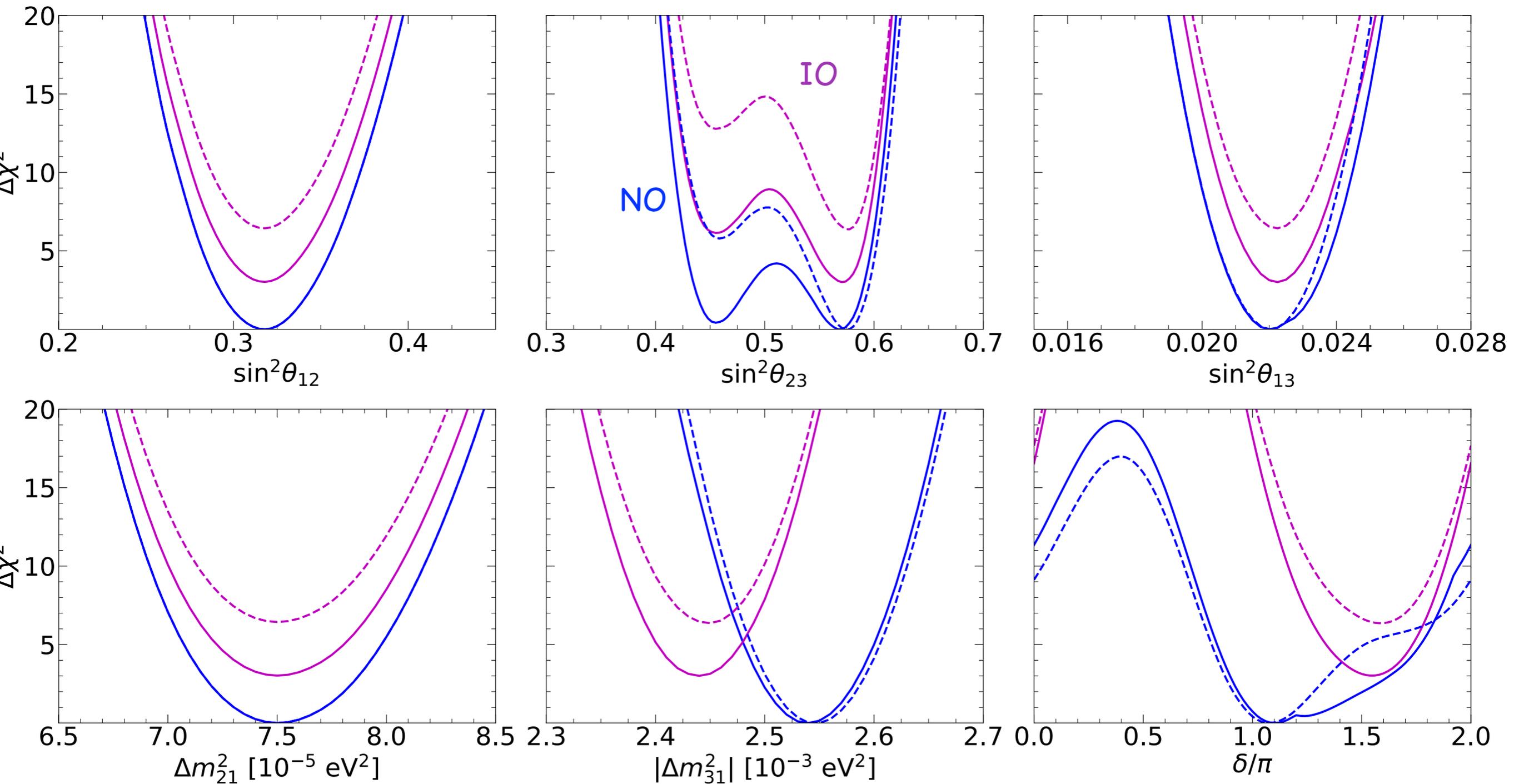
◆ $\Delta\chi^2 = 6.4 \rightarrow 2.5\sigma$ preference for NO

◆ $\Delta\chi^2 = 3.0 \rightarrow 1.7\sigma$ preference for NO

Global fit to ν oscillation parameters

de Salas et al, preliminary

— SK-atm prelim
--- SK atm 2018

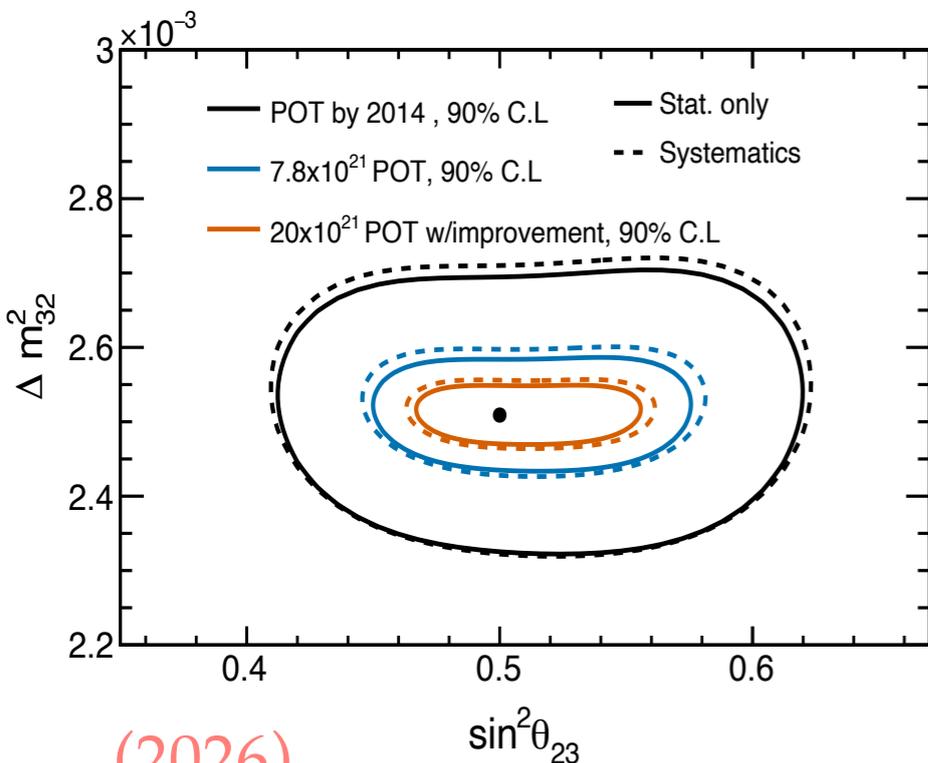


Future prospects in neutrino oscillations

Prospects for precision

T2K

Abe et al, 1609.04111



(2026)

~1% precision on Δm^2_{32}

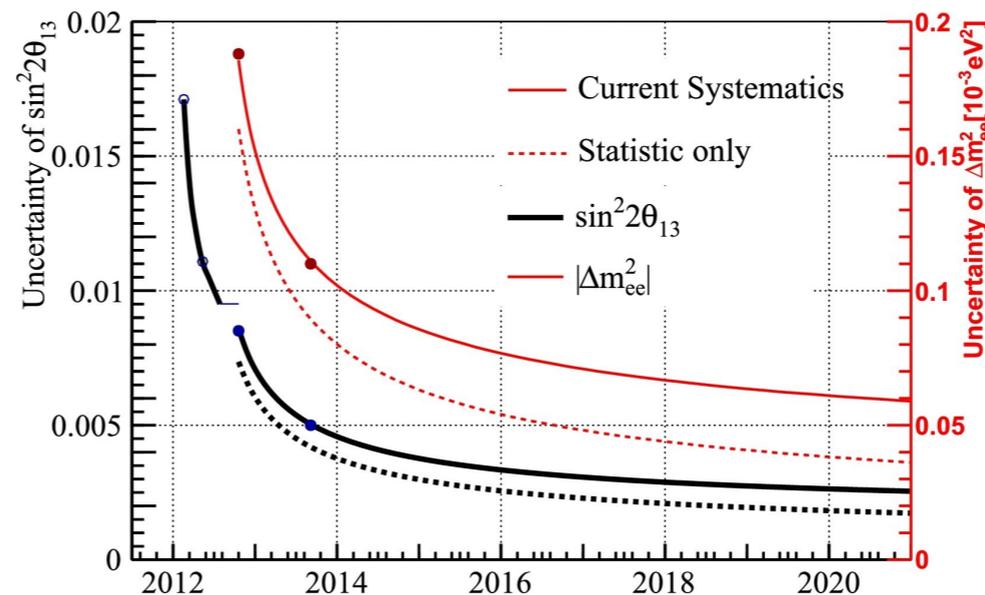
~1-3% precision on $\sin^2\theta_{23}$

DayaBay

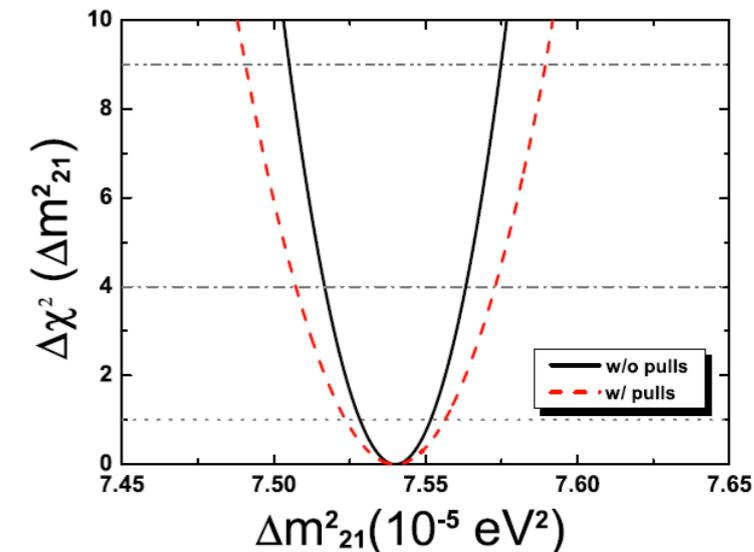
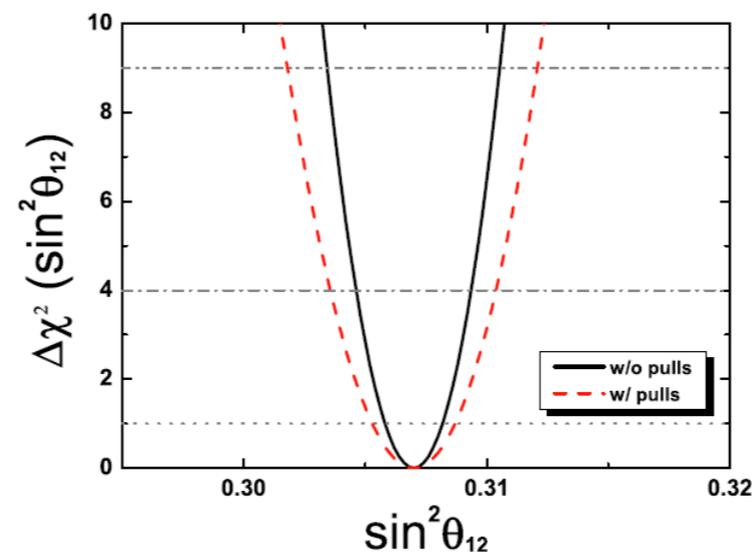
Cao and Luk, 1605.01502

< 3% precision in $\sin^2 2\theta_{13}$ and Δm^2_{ee}

2.7% in $\sin^2 2\theta_{13}$
[Z, Yu, TAUP'21]



JUNO (6 years)



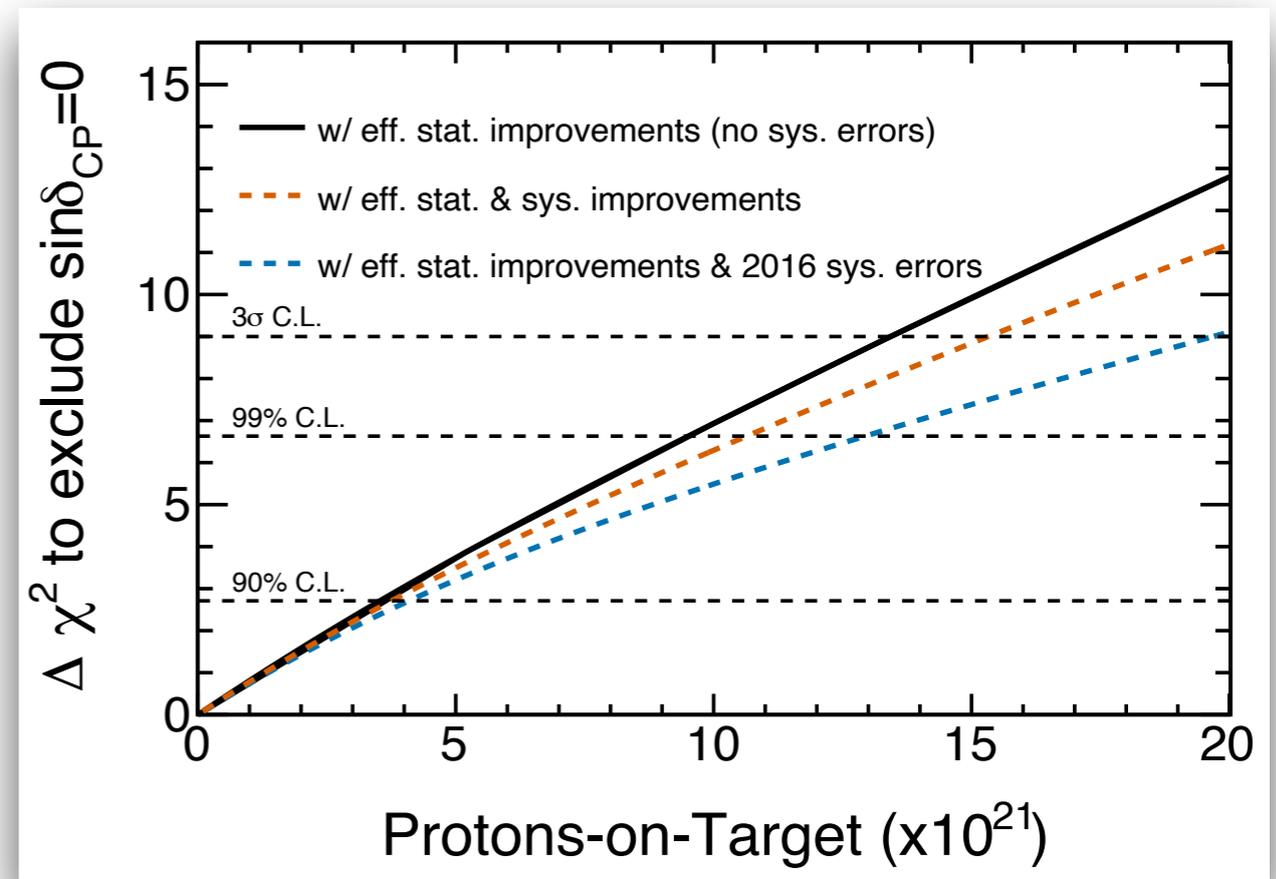
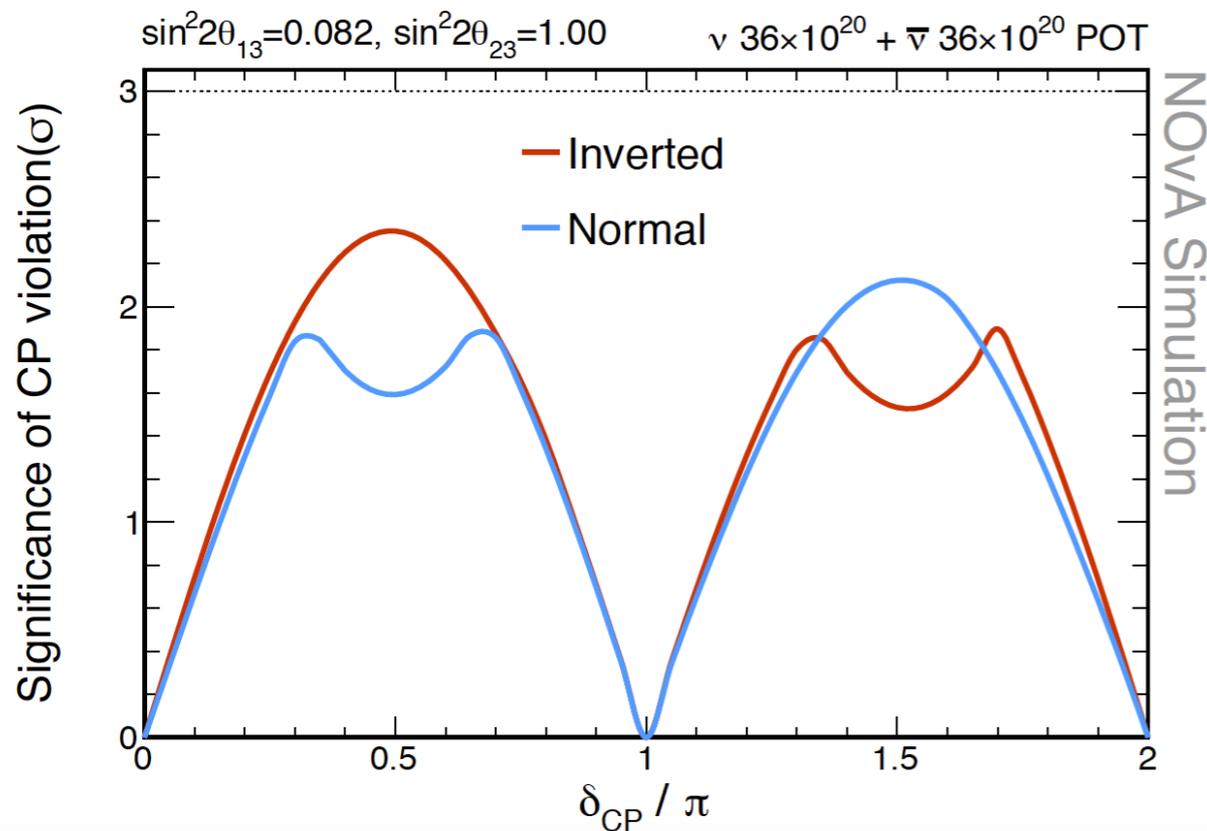
~0.7% precision on $\sin^2\theta_{12}$ ~0.6% precision on Δm^2_{21}

An et al, 1507.05613

Prospects for CP violation

NOvA M. Sánchez, Neutrino'18
P. Vahle, TAUP'21

T2K Abe et al, 1609.04111



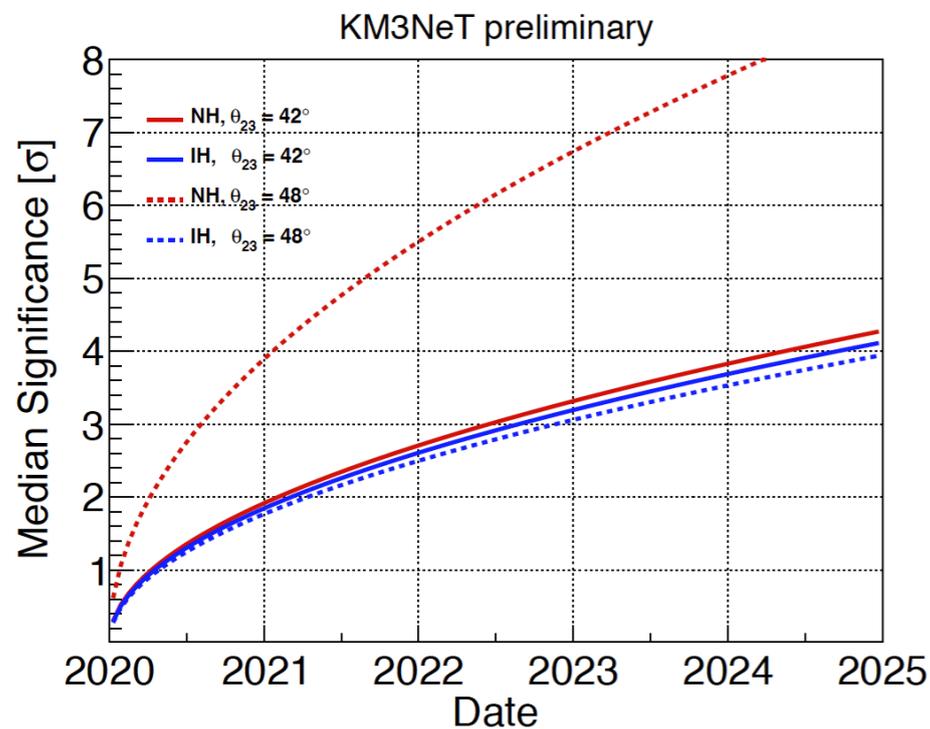
- ◆ by 2026 ($60-70 \times 10^{20}$ POT):
~ 2σ sensitivity on CP violation at
max CP violation ($\pi/2$ & $3\pi/2$)

- ◆ by 2026 (20×10^{21} POT):
> 3σ sensitivity on CP violation

Prospects for mass ordering

ORCA

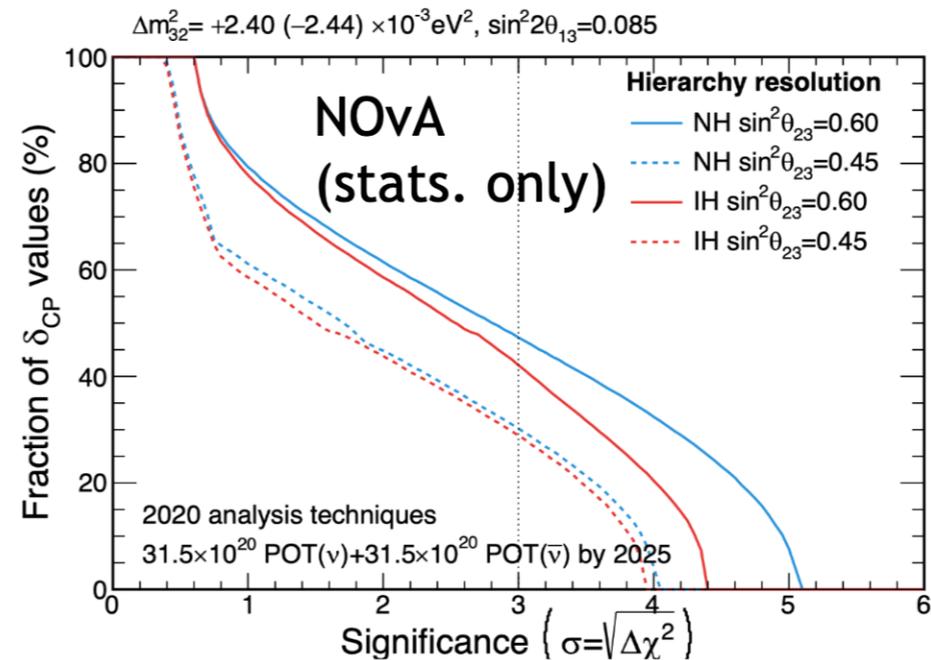
Adrian-Martinez et al,
1601.07459



◆ 2023: 3σ determination of MO

NOvA

P. Vahle, TAUP'21

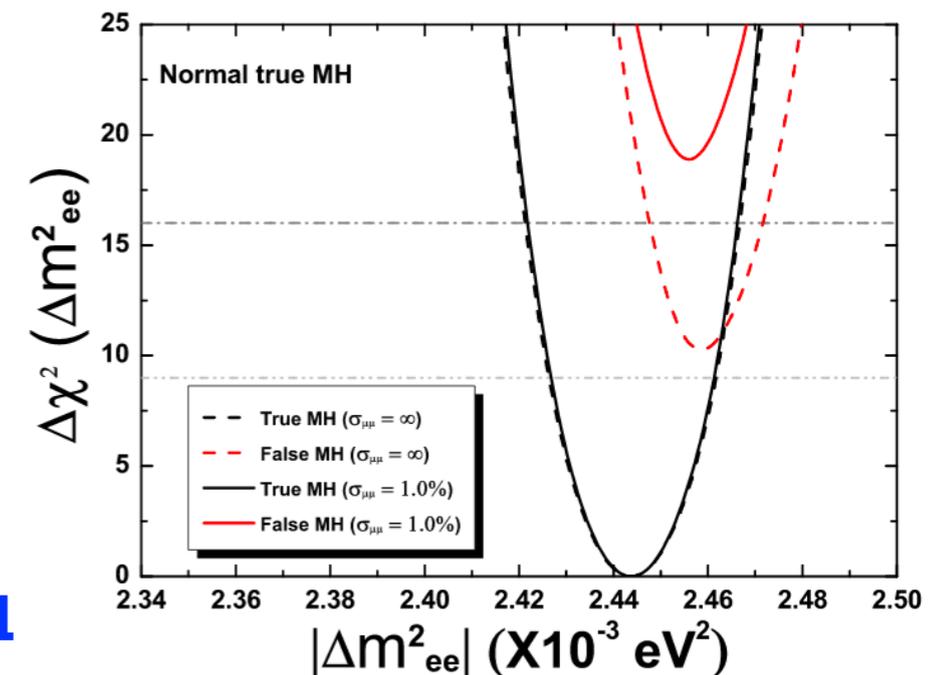


◆ 2026: 3σ sensitivity for 30-50% of δ

⇒ 3σ sensitivity on mass ordering after 6 years

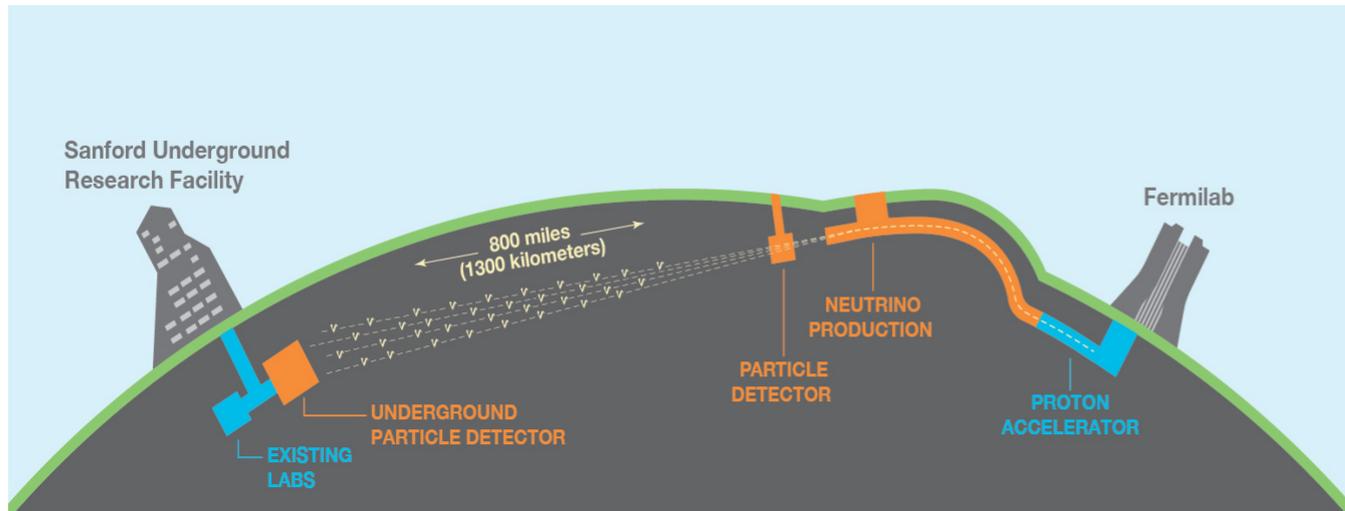
JUNO

An et al, J. Phys. G 43 (2016) 030401



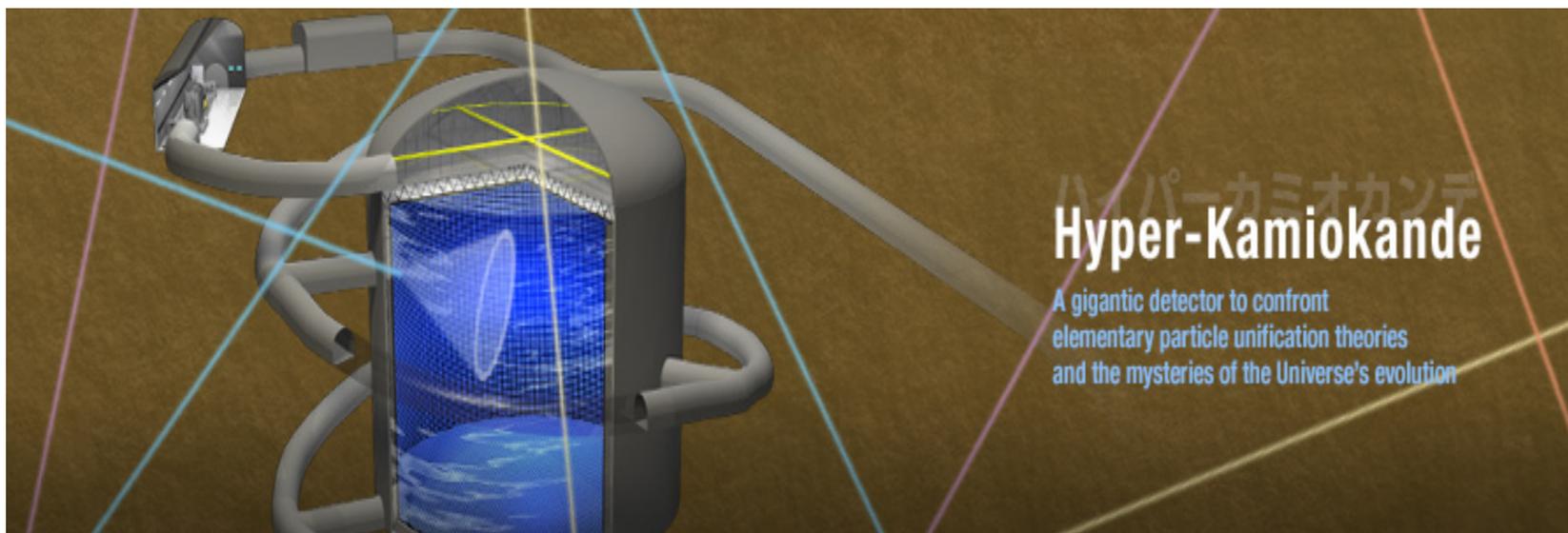
Next generation of ν experiments

DUNE



- ◆ 1.2 MW wide-band beam from FNAL to SURF (1300km)
- ◆ 4x10 kt Liquid Argon TPCs
- ◆ capability to probe 2nd oscillation max
- ◆ great sensitivity to mass ordering

Hyper-Kamiokande

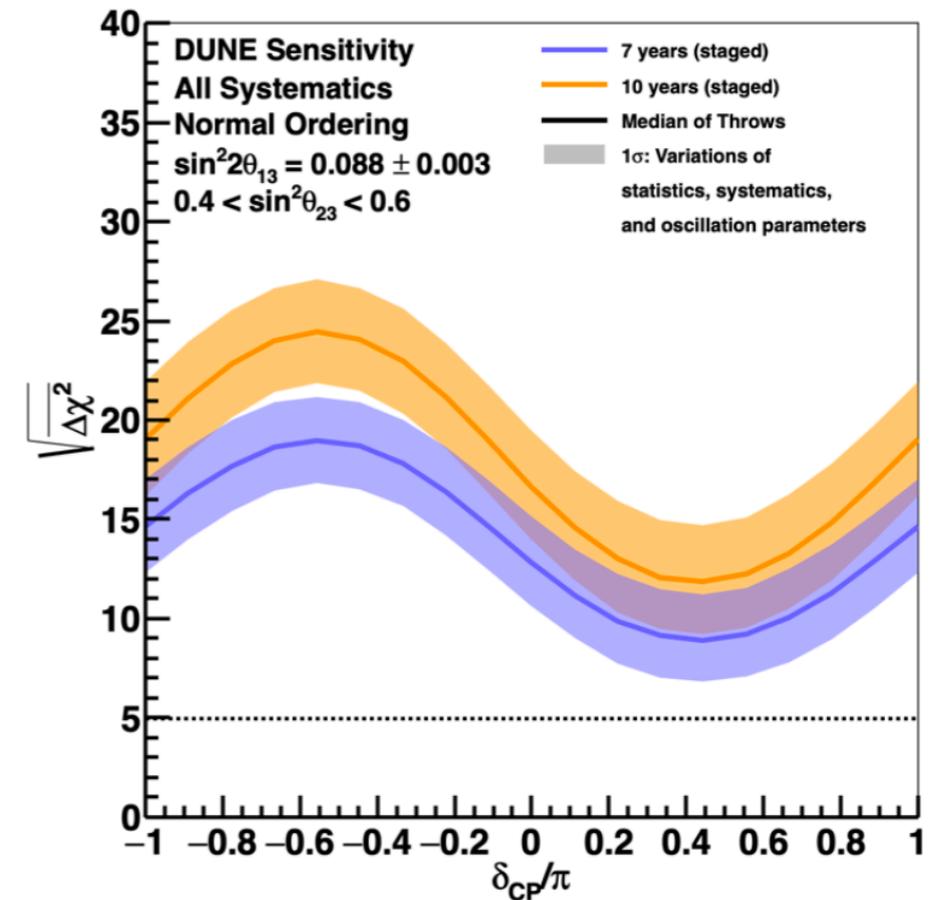
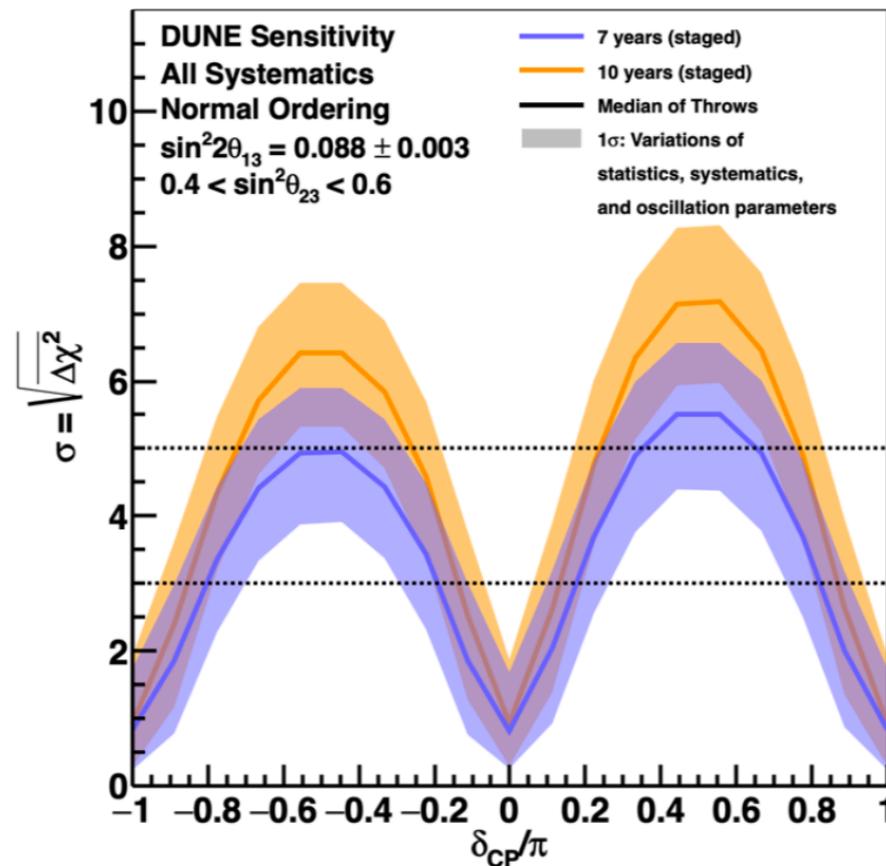


- ◆ 188 kton water Cerenkov
- ◆ T2HK: great sensitivity to δ_{CP}
- ◆ T2HKK (1100km) will have similar sensitivities as DUNE

Next generation of ν experiments

DUNE

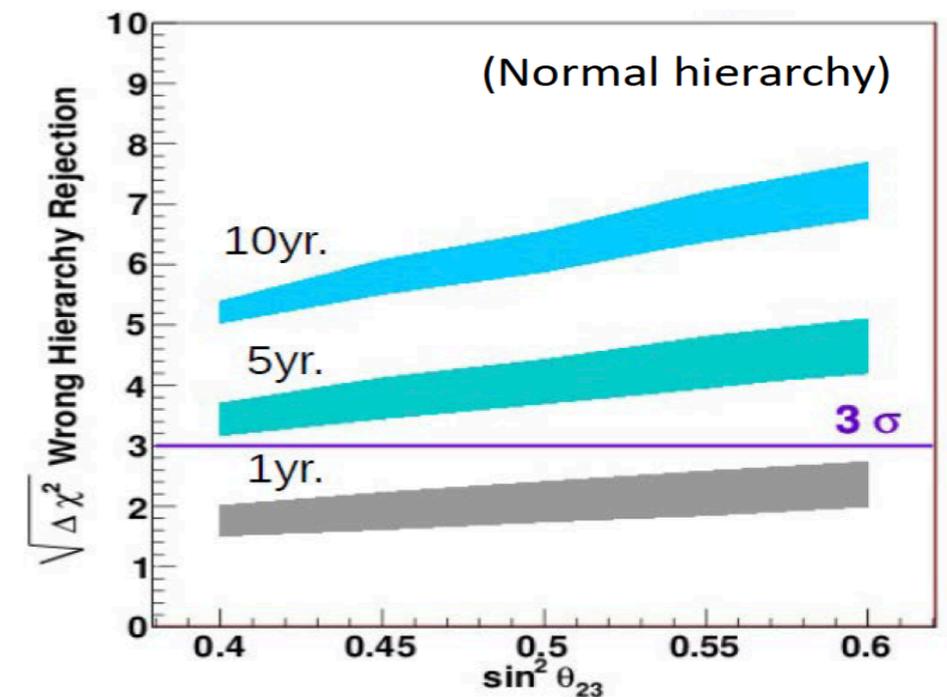
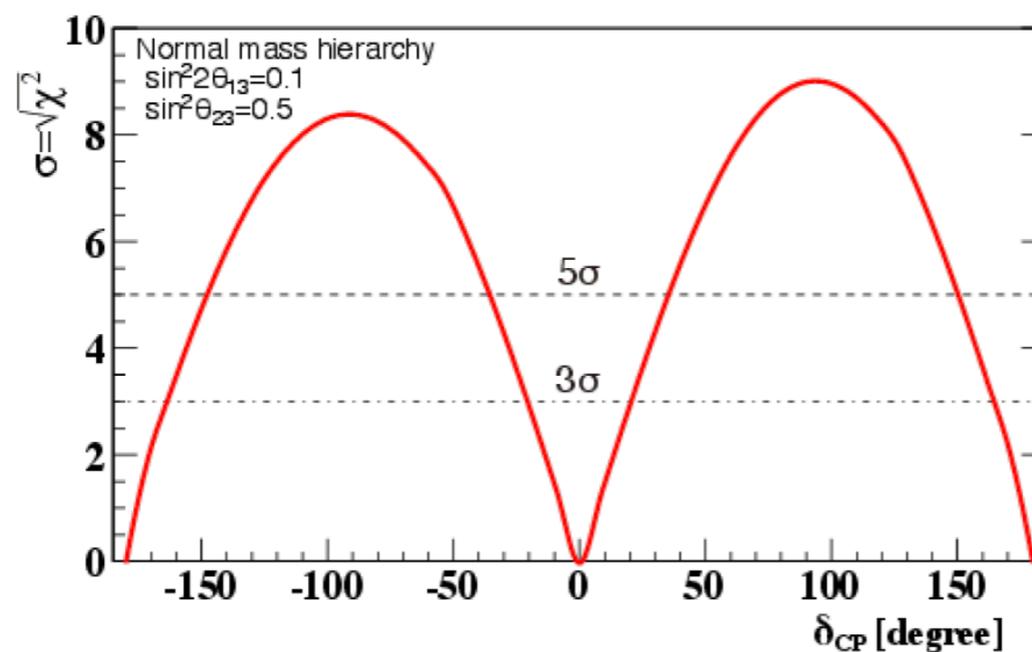
DUNE
Collaboration



Hyper-K

Y. Koshio, 2018

(10 yr data)



Beyond the standard three-neutrino scenario

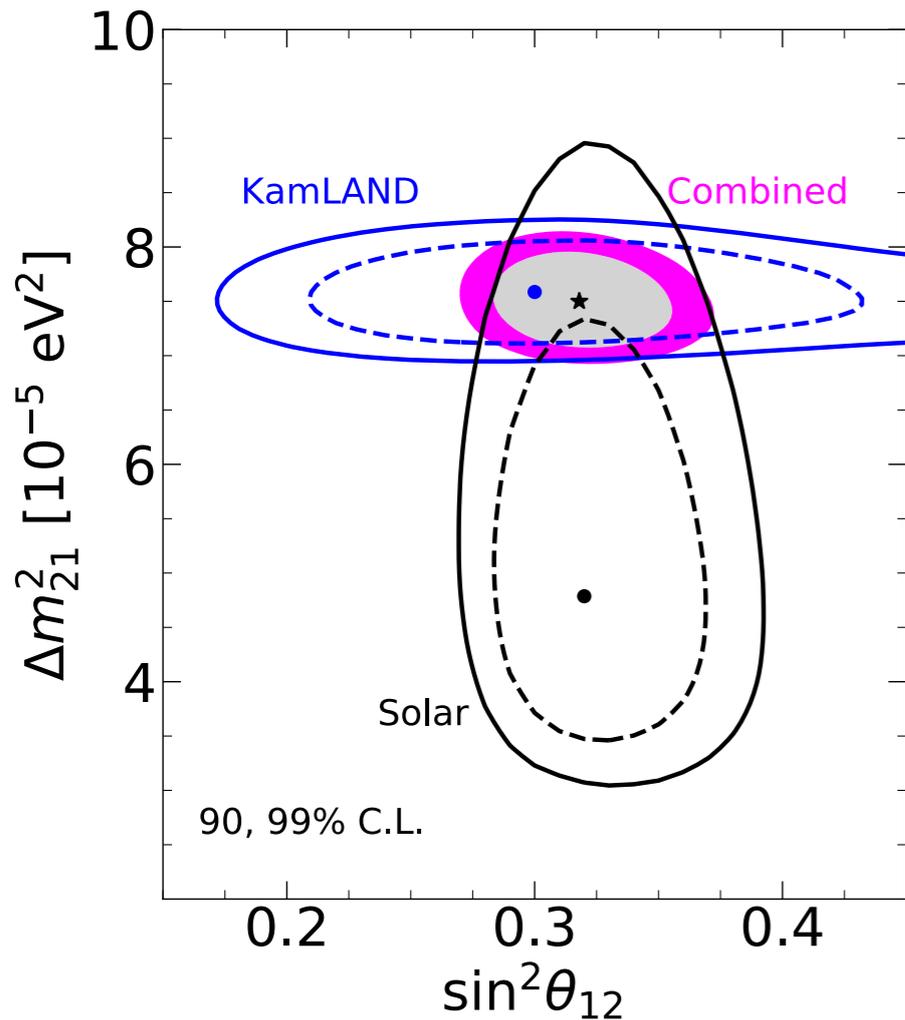
Beyond the 3-neutrino scenario

- ◆ Neutrino results suggest the presence of **physics BSM** to explain:
 - ✓ light neutrino masses (mass generation mechanism)
 - ✓ large neutrino mixing compared to quark sector (flavour problem)
 - ✓ short-distance anomalies (LSND, reactor and Ga anomalies)
- ◆ Many different **BSM scenarios** analyzed in the literature:
 - ✓ neutrino non-standard interactions (NSI) with matter
 - ✓ exotic neutrino electromagnetic properties
 - ✓ presence of light sterile neutrinos
 - ✓ mixing with heavy sterile neutrinos: non-unitary neutrino mixing

⇒ the presence of new physics may affect our current description of 3-nu oscillations as well as the future measurements

Can they also help reducing the current tensions?

The solar-KamLAND Δm^2_{21} tension



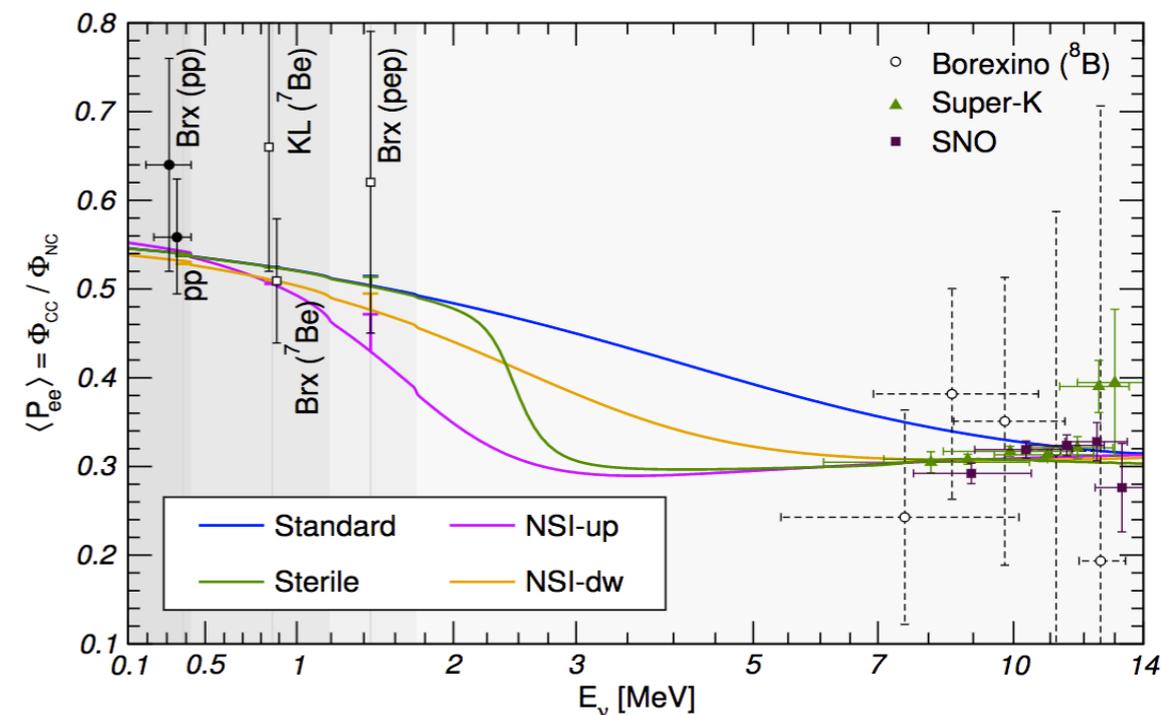
⇒ 2σ tension between preferred value of Δm^2_{21} from KamLAND and solar data

⇒ Δm^2_{21} preferred by KamLAND predicts steep upturn and smaller D/N asymmetry

◆ **NSI** ($\epsilon \sim 0.3$) can reconcile both results:

⇒ flatter spectrum at intermediate E-region

⇒ larger D/N asymmetries can be expected

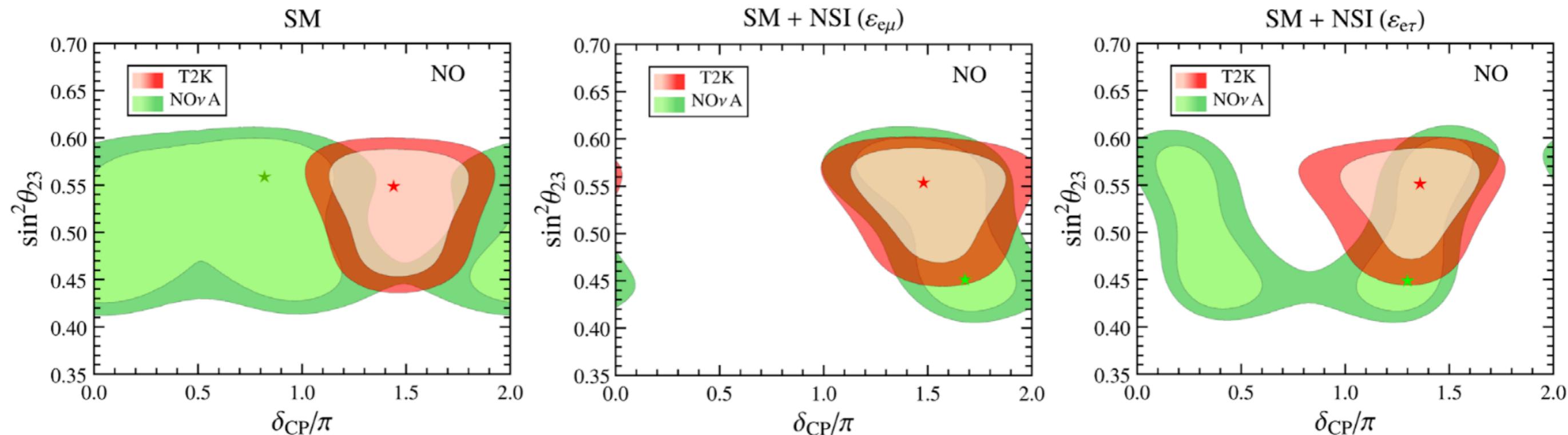


Escrivuela et al, PRD80 (2009); Coloma et al, PRD96 (2017)

Maltoni & Smirnov, EPJ 2015

The T2K-NO ν A δ_{CP} tension

- ◆ **NSI** may include new sources of CP violation besides δ_{CP} : $\varepsilon_{\alpha\beta} = |\varepsilon_{\alpha\beta}| \exp(i\phi_{\alpha\beta})$
- ◆ CP-violating NSI with a new complex phase $\phi_{e\mu}$ or $\phi_{e\tau}$ close to maximal with NSI couplings $\varepsilon_{e\mu}$ or $\varepsilon_{e\tau}$ of the order of 0.2 may reconcile T2K and NO ν A results.

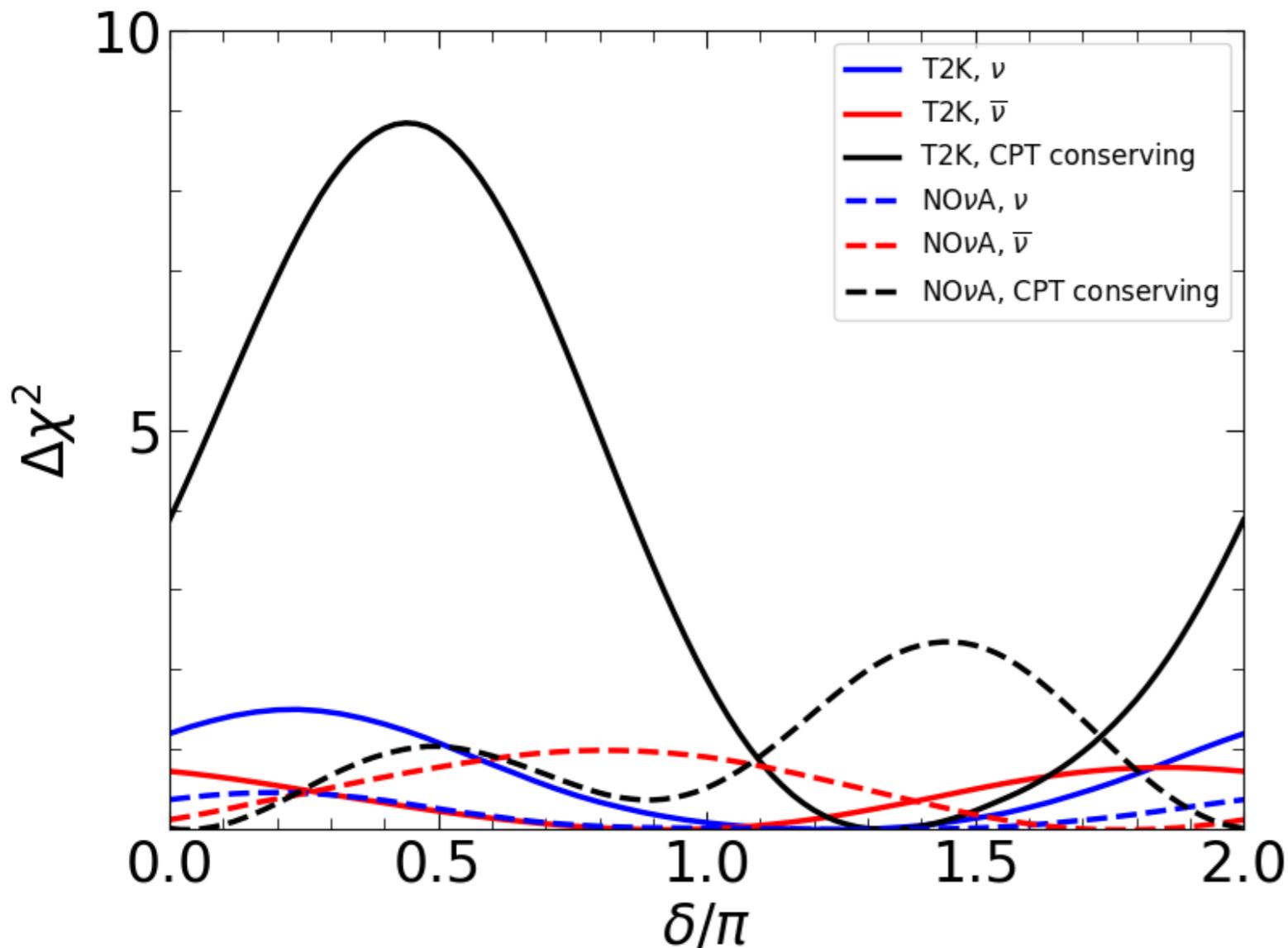


Chatterjee and Palazzo, PRL 2021

Denton et al, PRL 2021

The T2K-NOvA δ_{CP} tension

CPT-violating analysis of T2K and NOvA (normal ordering)

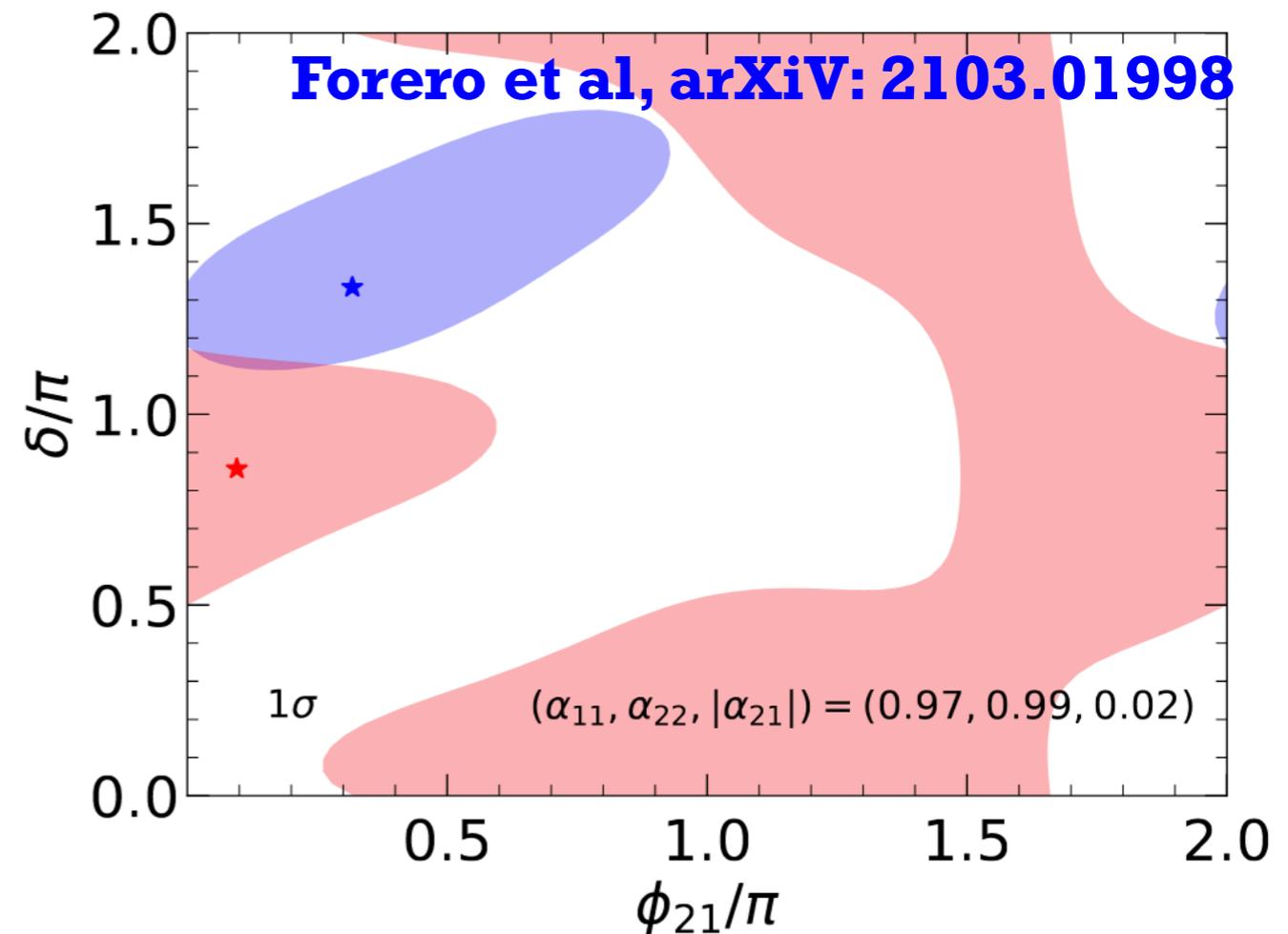
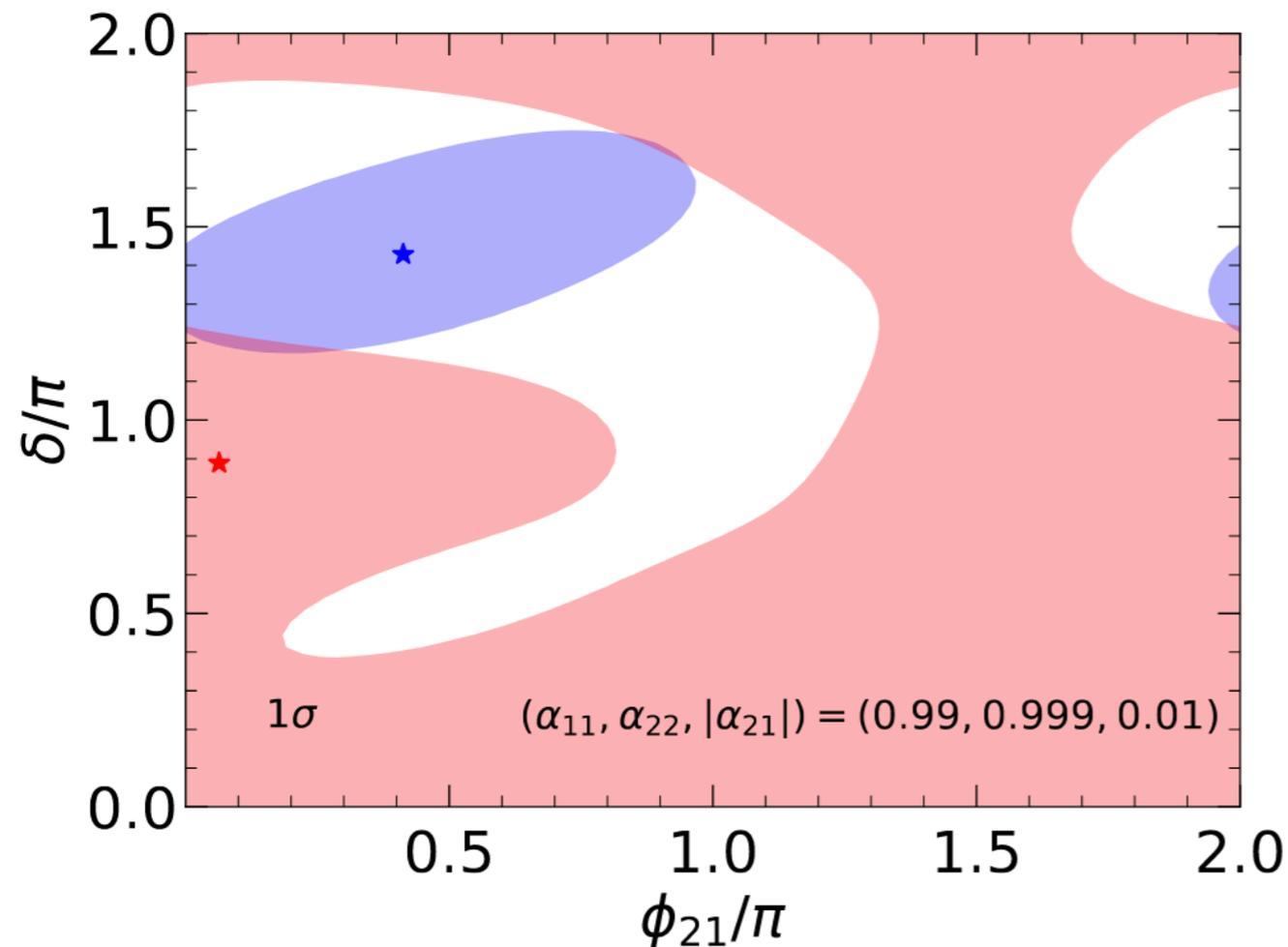


- ◆ the **tension** appears only in the $\bar{\nu}$ channel, with less sensitivity
 - ◆ all values of δ and $\bar{\delta}$ remain allowed at $\sim 1\sigma$
 - ◆ $\theta_{13} \neq \bar{\theta}_{13}$ can account for different behavior in neutrino and antineutrino channels
- ⇒ very poor sensitivity on CP violation compared to CPT-conserving scenario

Barenboim, Ternes, MT, JHEP2020

The T2K-NOvA δ_{CP} tension

Non-unitary mixing analysis of T2K and NOvA (normal ordering)



- ◆ NU includes additional sources of CP violation.
- ◆ No significant deviation from unitary mixing is found: **updated bounds** with LBL and SBL \Rightarrow **MINOS** improves current neutrino limits!
 \Rightarrow The tension is **not alleviated** in the context of NU neutrino mixing

Summary

◆ Current status of three-neutrino oscillation parameters:

- ✓ very precise and robust determinations for most of them (1.3-10%)
- ✓ preference for $\theta_{23} > 45^\circ$, 1st octant value disfavoured with $\Delta\chi^2 \geq 5.8$ (6.4)
- ✓ $\delta_{\text{BF}} = 1.08\pi$ (1.58 π) for NO (IO) ; $\delta = \pi/2$ **disfavored** at 4.0σ (6.2 σ)
- ✓ **2.5 σ** hint for **normal ordering** from atmospheric, LBL and reactor data

◆ Preliminary Super-K atmospheric data may change some results:

- ✓ **degenerate octant solutions** for θ_{23} : $\Delta\chi^2$ (1st octant) = 0.4 (3.1) for NO (IO)
- ✓ similar results for CP-violation, with $\delta = \pi/2$ disfavored at 4.2σ (6.4 σ)
- ✓ preference for **normal ordering** reduced to 1.7σ

◆ By 2025/2026:

- ✓ oscillation parameters will be measured with 0.6-3% precision
- ✓ θ_{23} octant can be resolved at more than 3σ (for some values)
- ✓ 2-3 σ sensitivity to CP violation at NOvA and T2K
- ✓ 3 σ sensitivity to MO from reactor, accelerator and nu-telescopes
- ⇒ **sensitivities above 3σ from a single experiment: DUNE, Hyper-Kamiokande**

◆ New physics BSM may affect the current description of neutrino oscillations relaxing tensions or worsening the precision of measurements.