



Searches for Axion-Like Particles, or ALPs



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Axion Like Particles

- ALPs are pseudo Nambu-Goldstone bosons associated to Spontaneous Symmetry Breaking
 - Appear in many BSM models
- ALPs may couple to different sectors of the SM
- Considering the ALPs energy scale f_a lies beyond the EW scale: $f_a \gg v$ effective interactions to the SM:
 - Coupling to gauge sector: gluons, photons Z and W

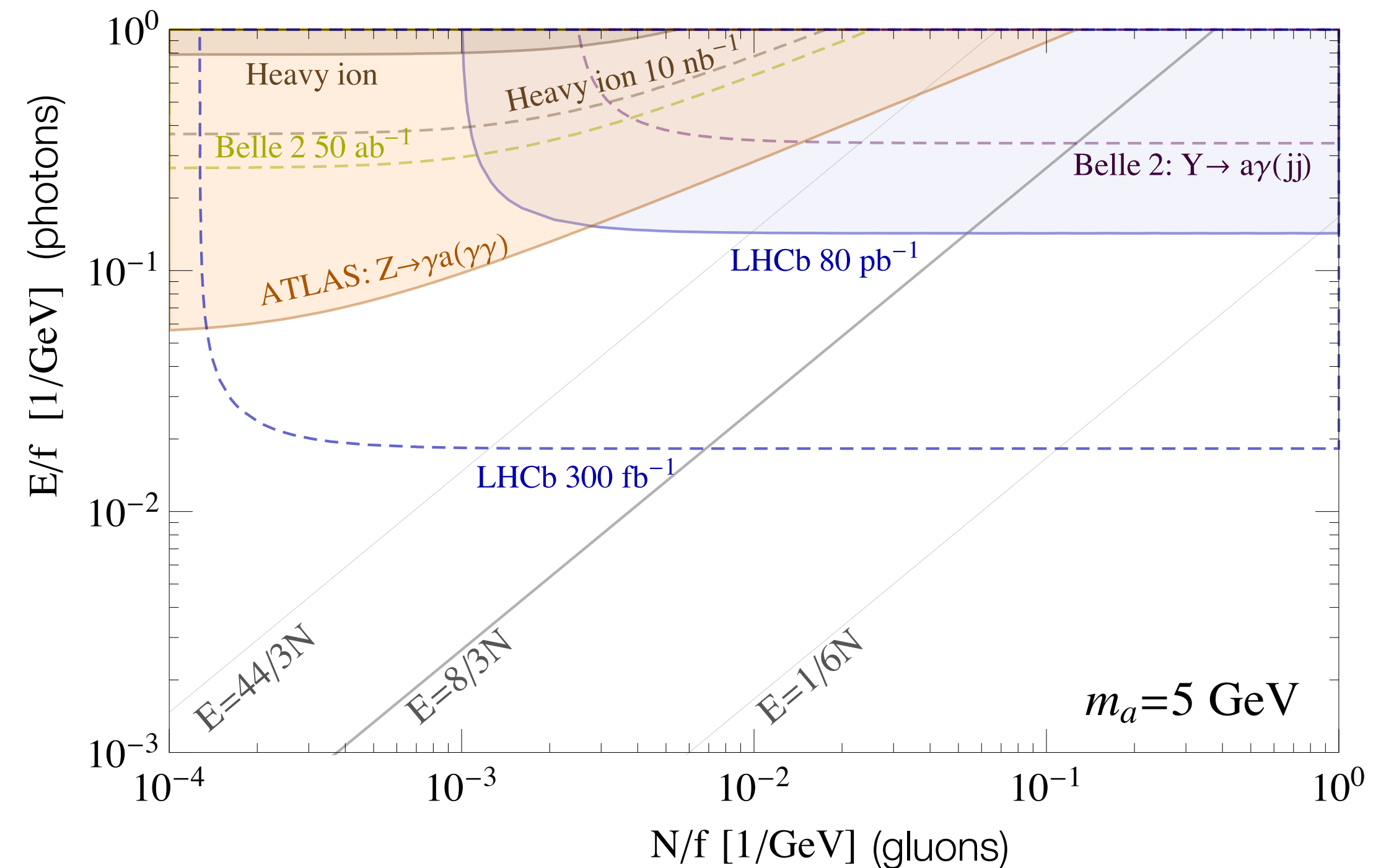
$$\mathcal{L}_{\text{eff}} \supset \frac{1}{2}(\partial_\mu a)^2 - \frac{1}{2}m_a^2 a^2 + \frac{a}{f} \sum_{i=1}^3 c_i \frac{\alpha_i}{4\pi} F_{i,\mu\nu} \tilde{F}_i^{\mu\nu}$$

- Where the decay widths to photons and gluons depend on $N(c_3)$

and $E(c_1, c_2)$:

$$\Gamma_{\gamma\gamma} = \frac{\alpha_{\text{em}} E^2 m_a^2}{64\pi^3 f_a^2}, \quad \Gamma_{gg} = \frac{\alpha_s N^2 m_a^2}{8\pi^3 f_a^2}$$

- Couplings to the Z and W vector bosons also considered
- Interactions with fermion sector and Higgs sector also possible
 - Chiral expansion realisations favor coupling to Higgs
[Phys.Rev.Lett. 124 (2020) 5, 051802]



JHEP 01 (2019) 113

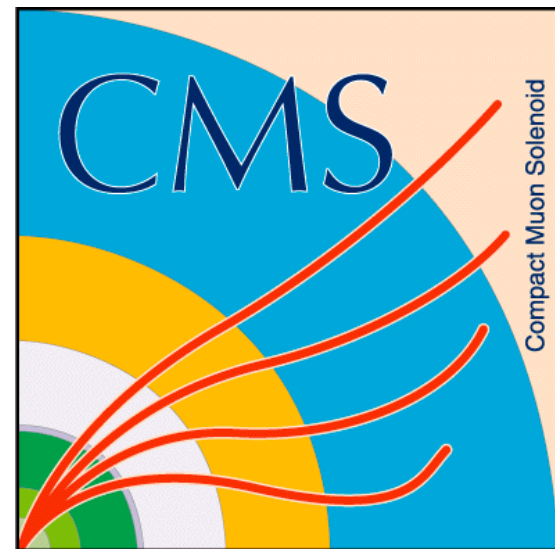
Signatures



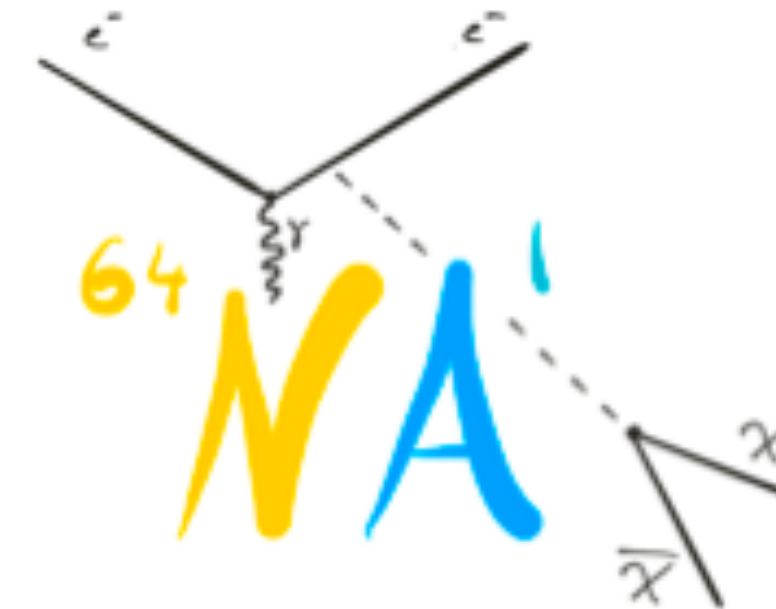
- $pp \rightarrow a \rightarrow \text{jet} + E_T^{\text{miss}}$
- $pp \rightarrow a \rightarrow \gamma + E_T^{\text{miss}}$
- $\text{Pb Pb} \rightarrow a \rightarrow \gamma\gamma$



$K^+ \rightarrow \pi^+ a, a \rightarrow \text{invisible}$



- $pp \rightarrow a^* \rightarrow ZH, ZV$
- $\text{Pb Pb} \rightarrow a \rightarrow \gamma\gamma$



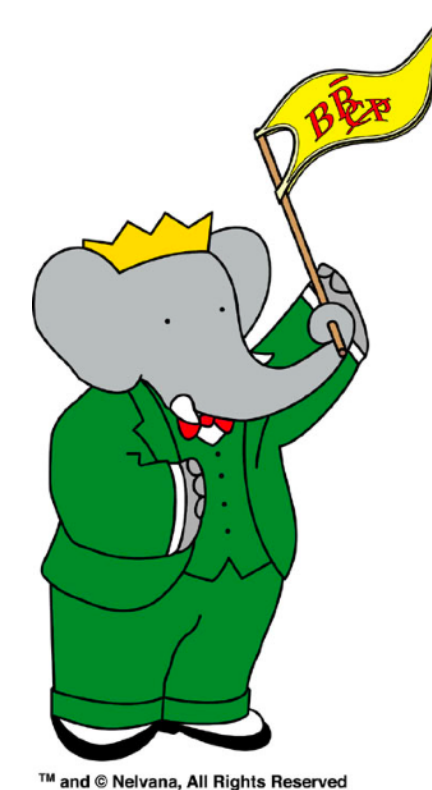
- $e^- Z \rightarrow a \rightarrow \gamma\gamma$
- $e^- Z \rightarrow X \rightarrow \text{invisible}$
- $e^- Z \rightarrow a \rightarrow e^+ e^-$



$e^+ e^- \rightarrow \gamma a, a \rightarrow \gamma\gamma$



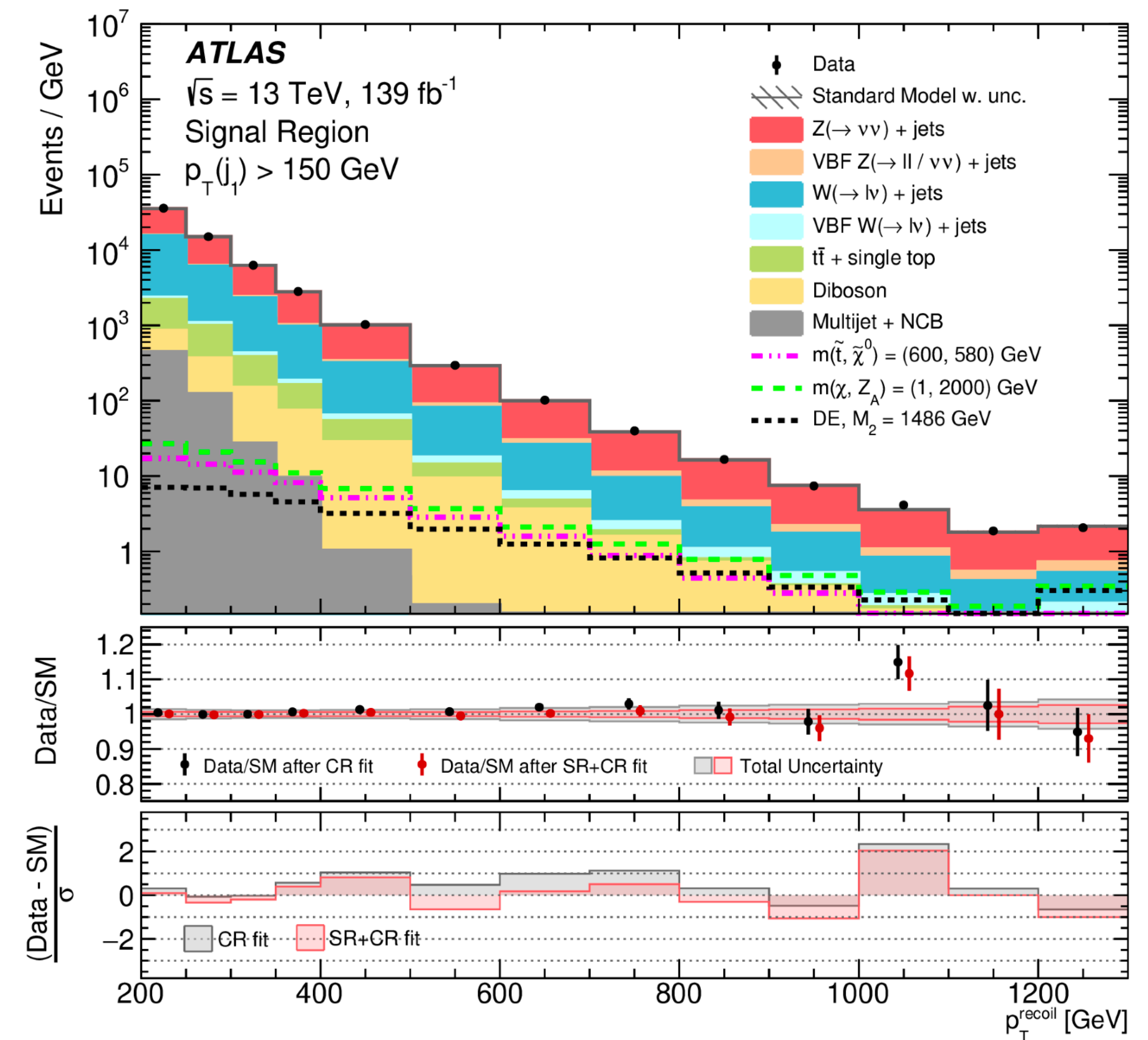
$pp \rightarrow a \rightarrow \gamma\gamma$



$B \rightarrow Ka, a \rightarrow \gamma\gamma$

ATLAS: jet + E_T^{miss} [Phys. Rev. D 103 (2021) 112006]

- Dataset
 - Run 2 data: 139 fb^{-1}
 - $\sqrt{s} = 13 \text{ TeV}$
- Search for a **ALP + gluon jet** production
- Signature of an ALP exiting the detector + gluon jet:
 - Jet with $p_T > 150 \text{ GeV}$
 - $E_T^{\text{miss}} > 200 \text{ GeV}$ (mapped in inclusive bins)
- Event selection:
 - Veto events with e, μ tracks and hadronic τ mesons and γ
- Main backgrounds:
 - $Z + \text{jets}$
 - $W + \text{jets}$
 - $t\bar{t}$
- Simultaneous fit to the p_T^{recoil} (proxy for E_T^{miss} quantity)
 - Signal regions + control regions with electrons and muons
- $Z + \text{jets}$ and $W + \text{jets}$ events reweighted
 - Higher order corrections (NNLO QCD + NLO electroweak)



ATLAS: $\gamma + E_T^{\text{miss}}$ [JHEP 02 (2021) 226]

Dataset:

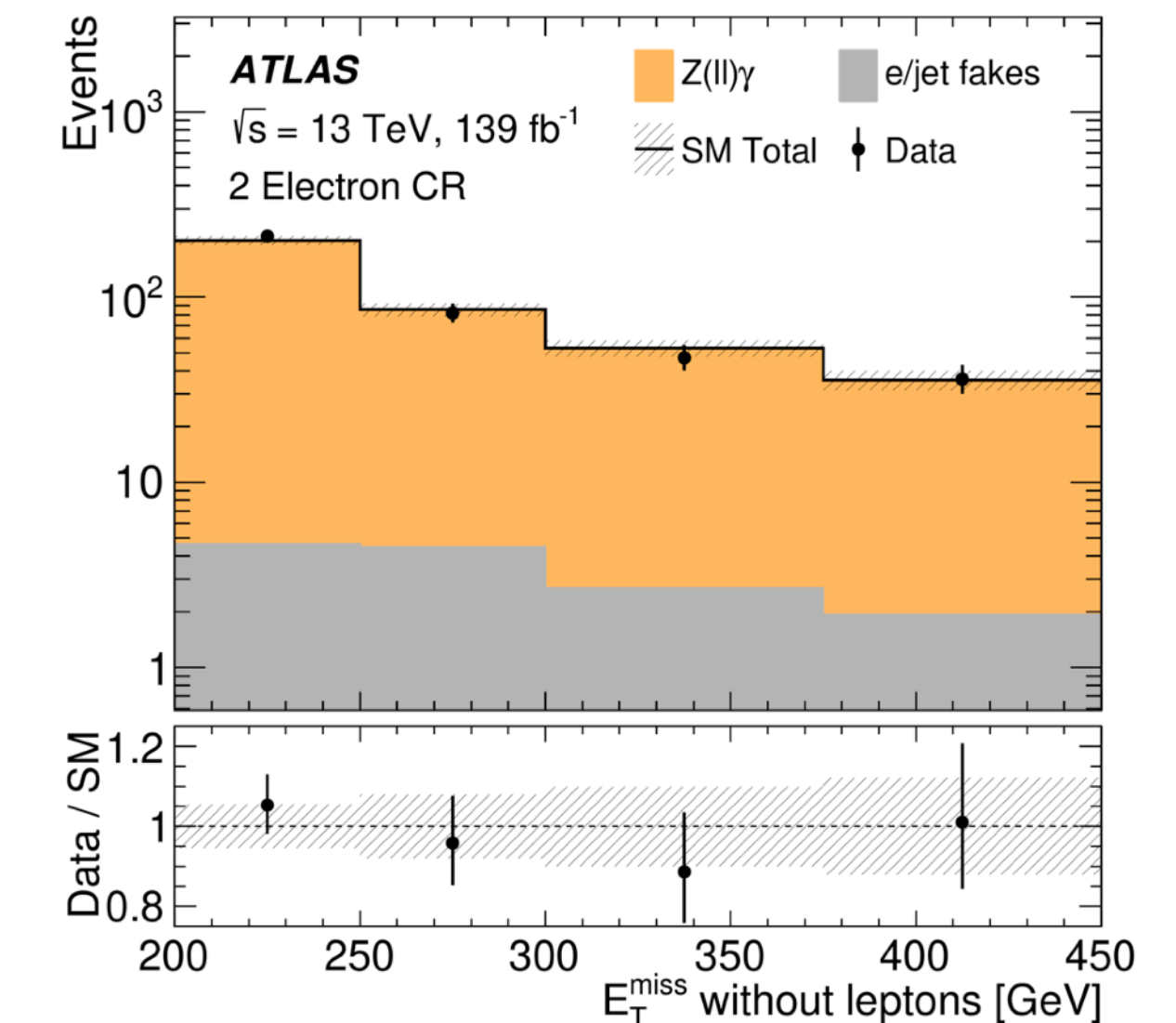
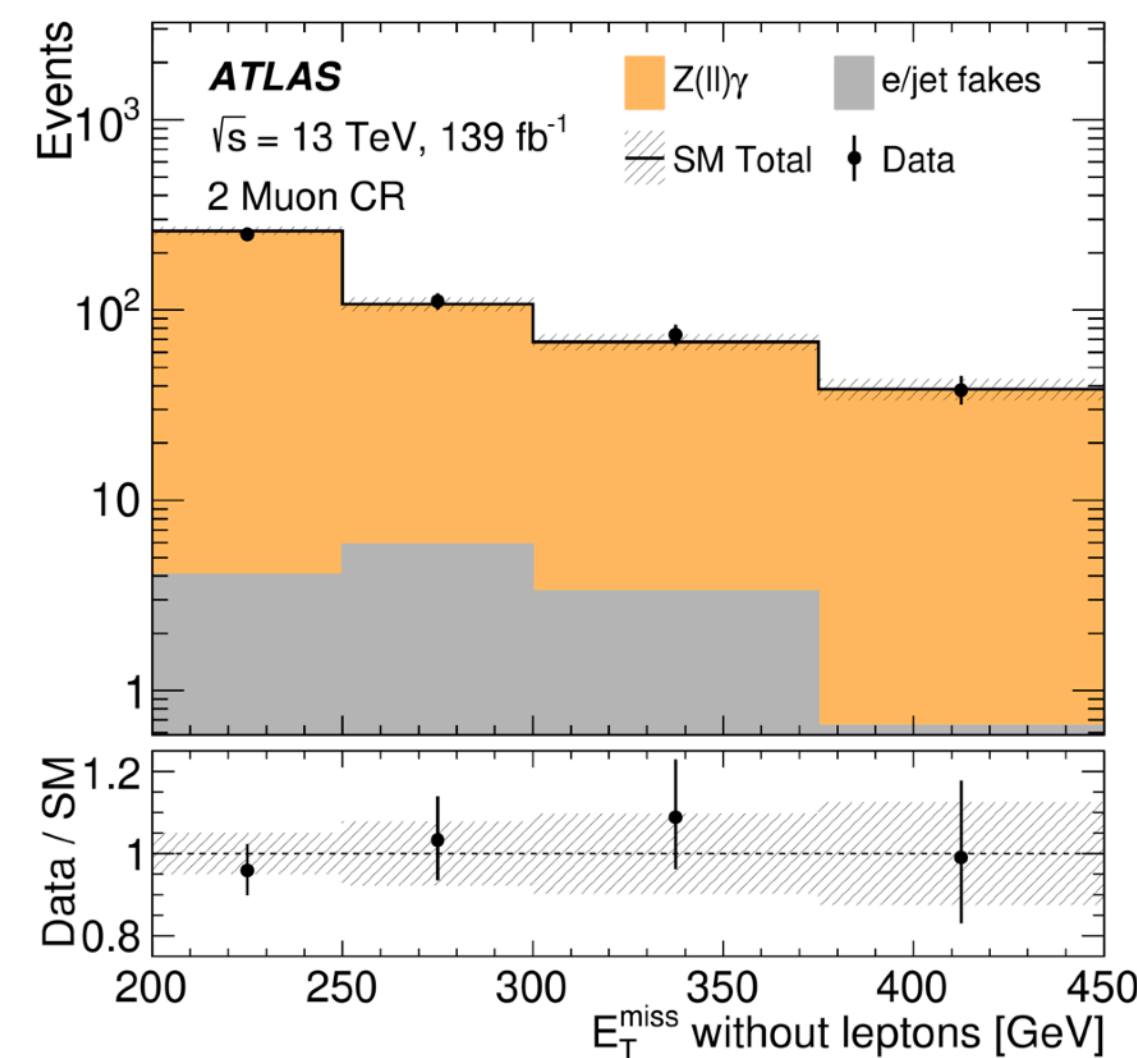
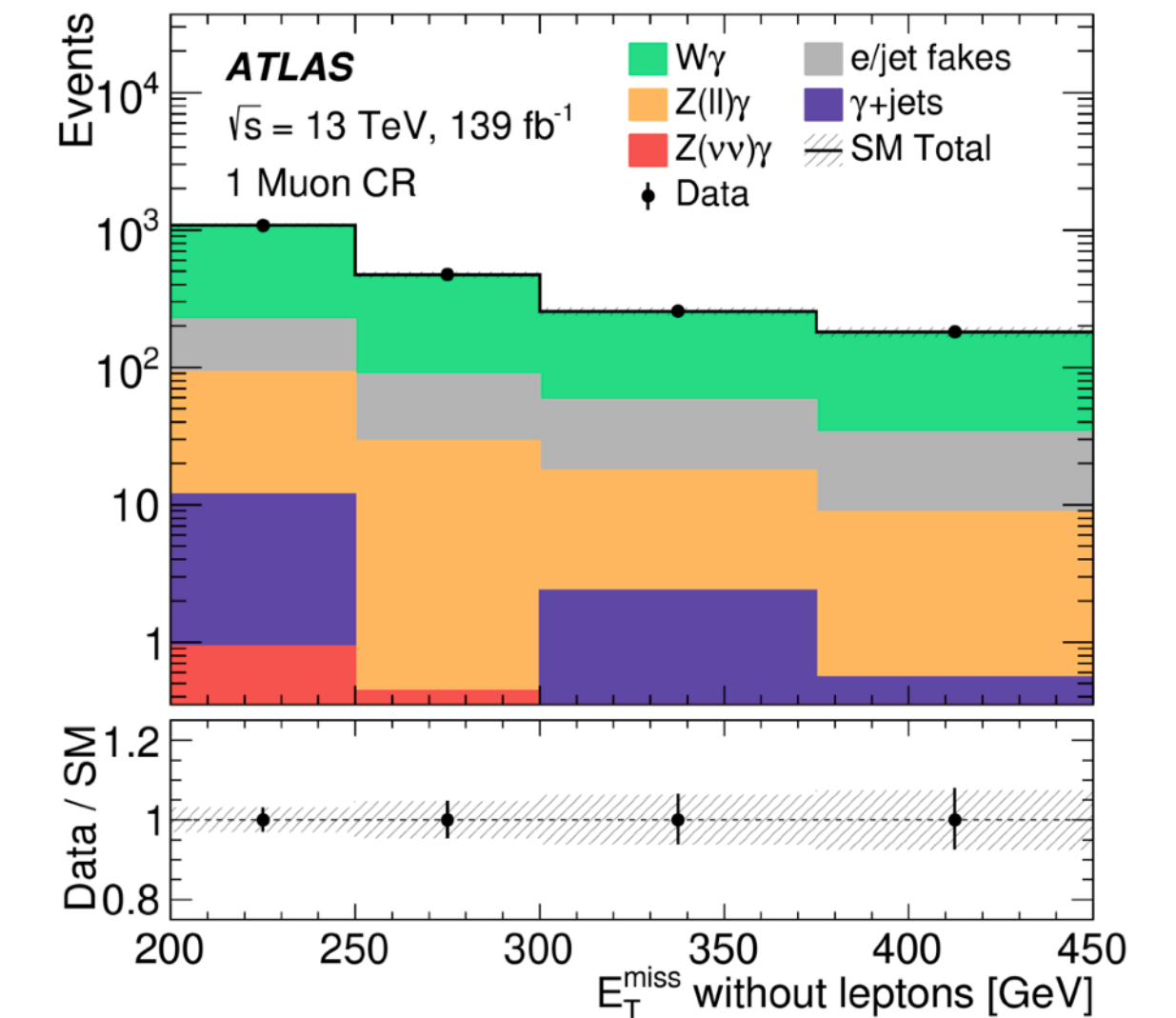
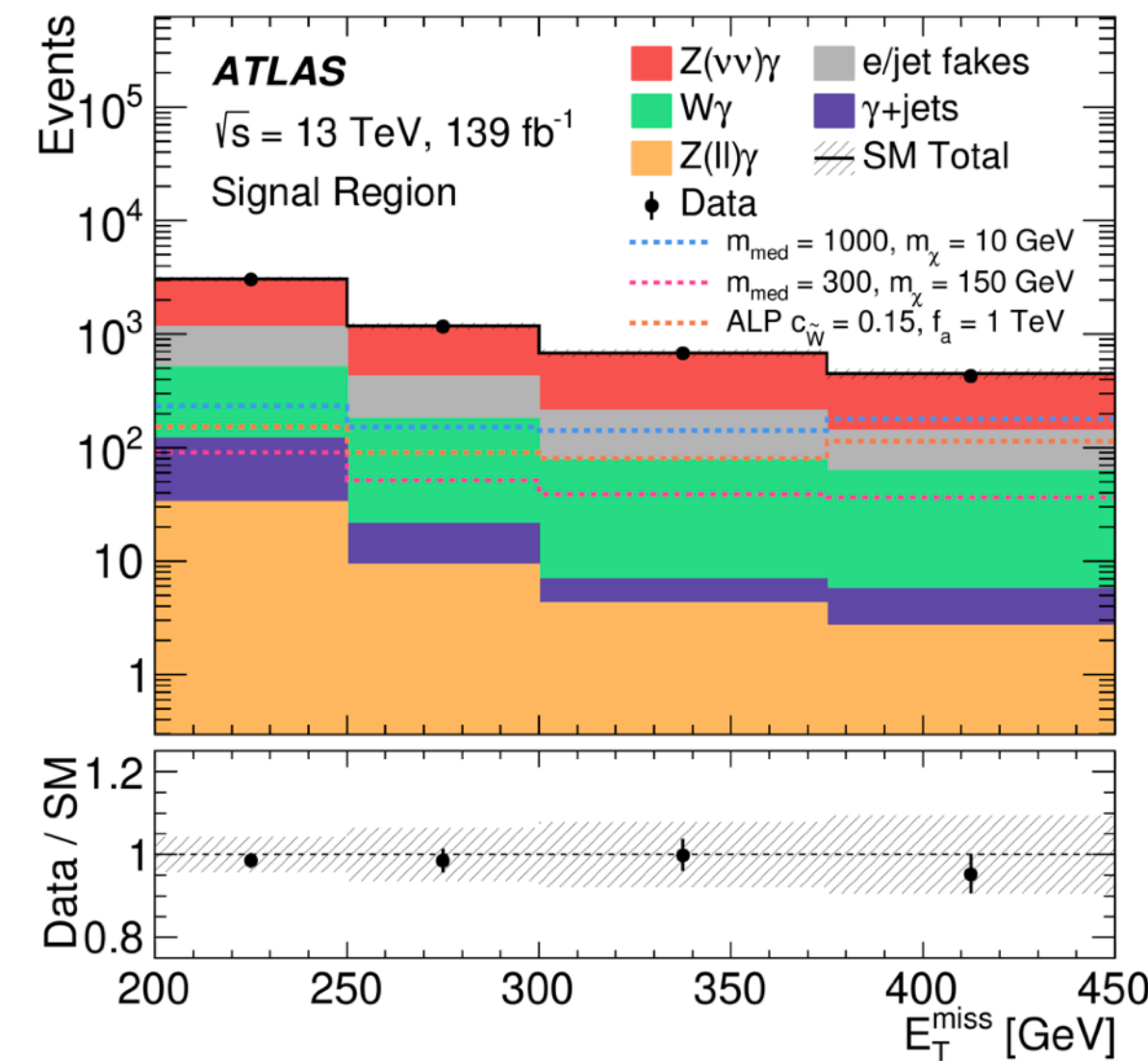
- Run 2 data: 139 fb^{-1}
- $\sqrt{s} = 13 \text{ TeV}$

Signature of an ALP produced w. γ

- $E_T^\gamma > 150 \text{ GeV}$
 - Both unconverted and converted photons
- $E_T^{\text{miss}} > 200 \text{ GeV}$ (mapped in inclusive bins)
- Events with leptons (e, μ, τ) are vetoed

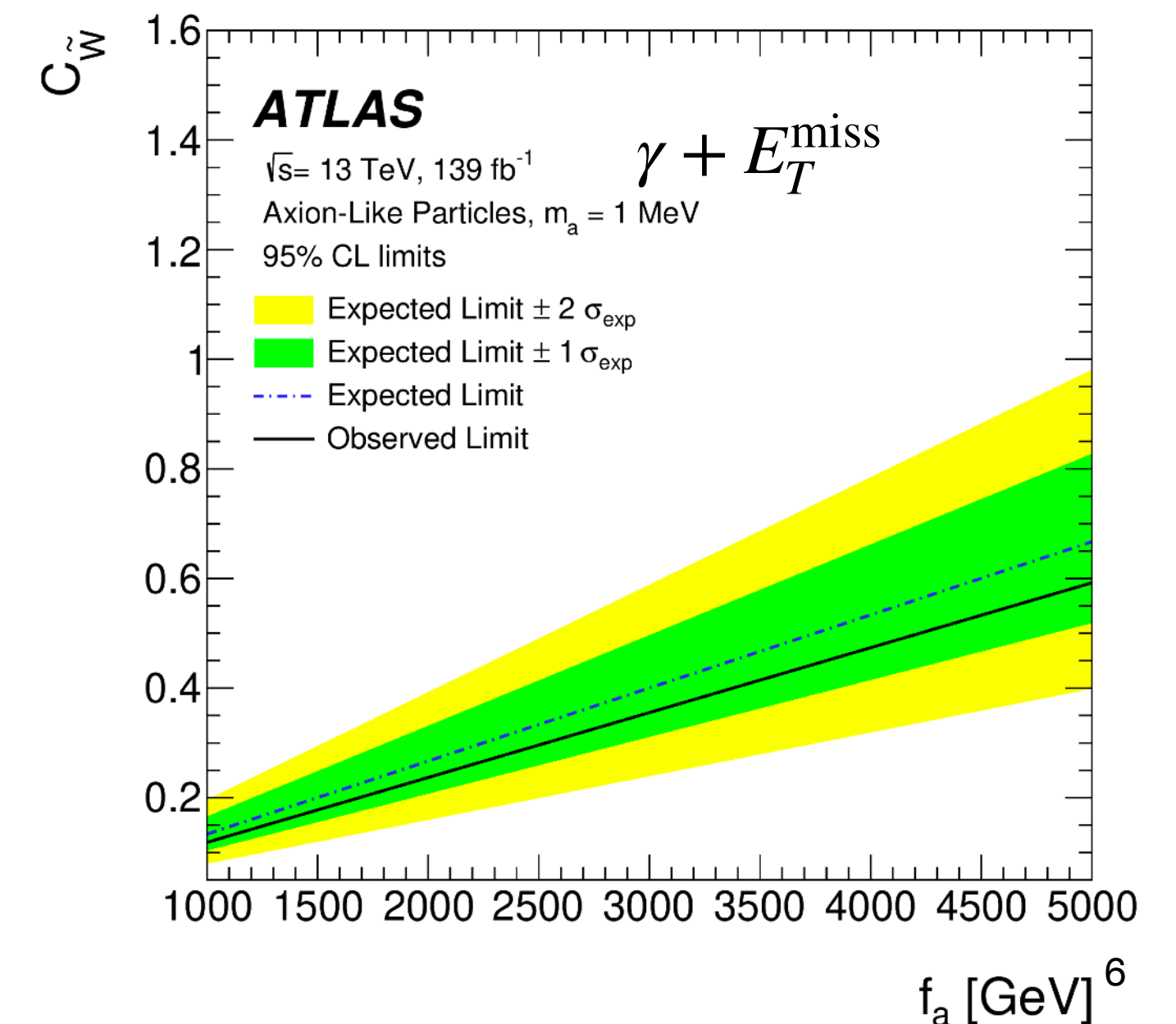
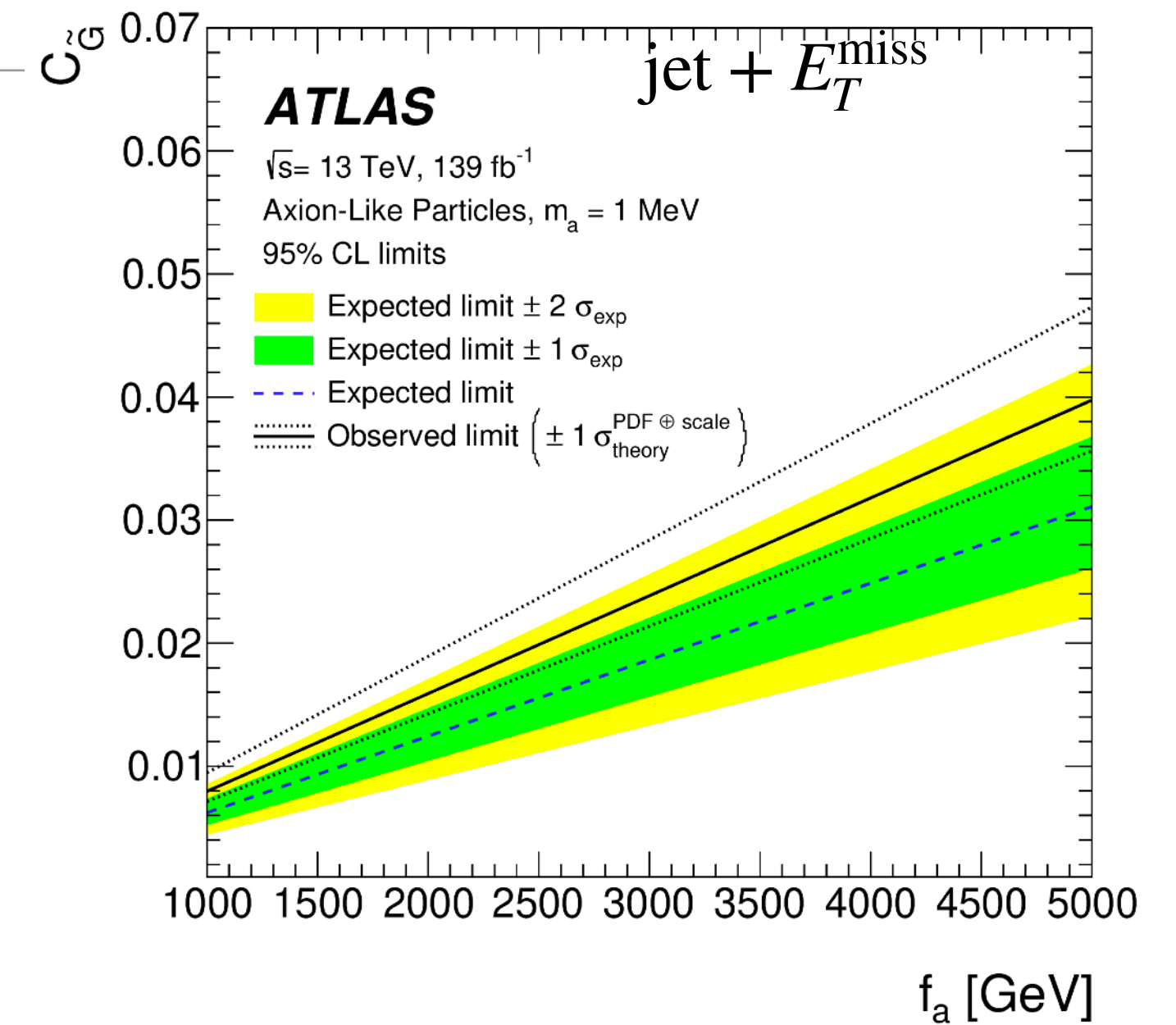
Backgrounds:

- Processes with isolated photons + E_T^{miss}
 - $Z(\rightarrow \nu\bar{\nu})\gamma$
- Processes with jets misidentified as photons:
 - $\gamma + \text{jets}$
 - $Z/W + \text{jets}$
- Processes with leptons
 - $W(\rightarrow \ell\nu)\gamma$
 - $Z(\rightarrow \ell\ell)\gamma$



ATLAS: jet + E_T^{miss} and $\gamma + E_T^{\text{miss}}$ [\[Phys. Rev. D 103 \(2021\) 112006\]](#) [\[JHEP 02 \(2021\) 226\]](#)

- Limits on $c - f_a$ plane for $m_a = 1$ MeV for both analyses
- Upper limits:
 - Simultaneous likelihood fit to control and signal regions and CL_s prescription
 - Fit performed in bins of E_T^{miss} for better sensitivity
 - Limit at $f_a = 1$ TeV, very small mass dependence:
 - $c_{\tilde{G}}/f_a < 8 \times 10^{-6}$ for $m_a \in [1 \text{ MeV}, 1 \text{ GeV}]$
 - $c_{\tilde{W}}/f_a < 1.2 \times 10^{-4}$ for $m_a \in [1 \text{ MeV}, 1 \text{ GeV}]$
 - f_a exclusion scales linearly with $c_{\tilde{G}}/c_{\tilde{W}}$ according to cross-section re-scaling
- ALP EFT invalid for $\sqrt{\hat{s}} > f_a$:
 - $\sqrt{\hat{s}}$ correlated to higher E_T^{miss} events
 - Suppressing weighting factor for events out of this bound: f_a^4/\hat{s}^2
 - $\gamma + E_T^{\text{miss}}$: negligible
 - jet + E_T^{miss} : yield reduction $\mathcal{O}(5\%)$ for $f_a = 2$ TeV
 - Negligible already at $f_a = 3$ TeV



CMS: non resonant $pp \rightarrow a^* \rightarrow ZH, ZV$ [CMS-B2G-20-013]

Dataset:

- 137 fb^{-1} of Run 2 data: 2016-2018
- $\sqrt{s} = 13 \text{ TeV}$

Signature:

- $Z \rightarrow \ell^+ \ell^-$
- Merged J or resolved jj di-jet

Search for ALP mediated production of

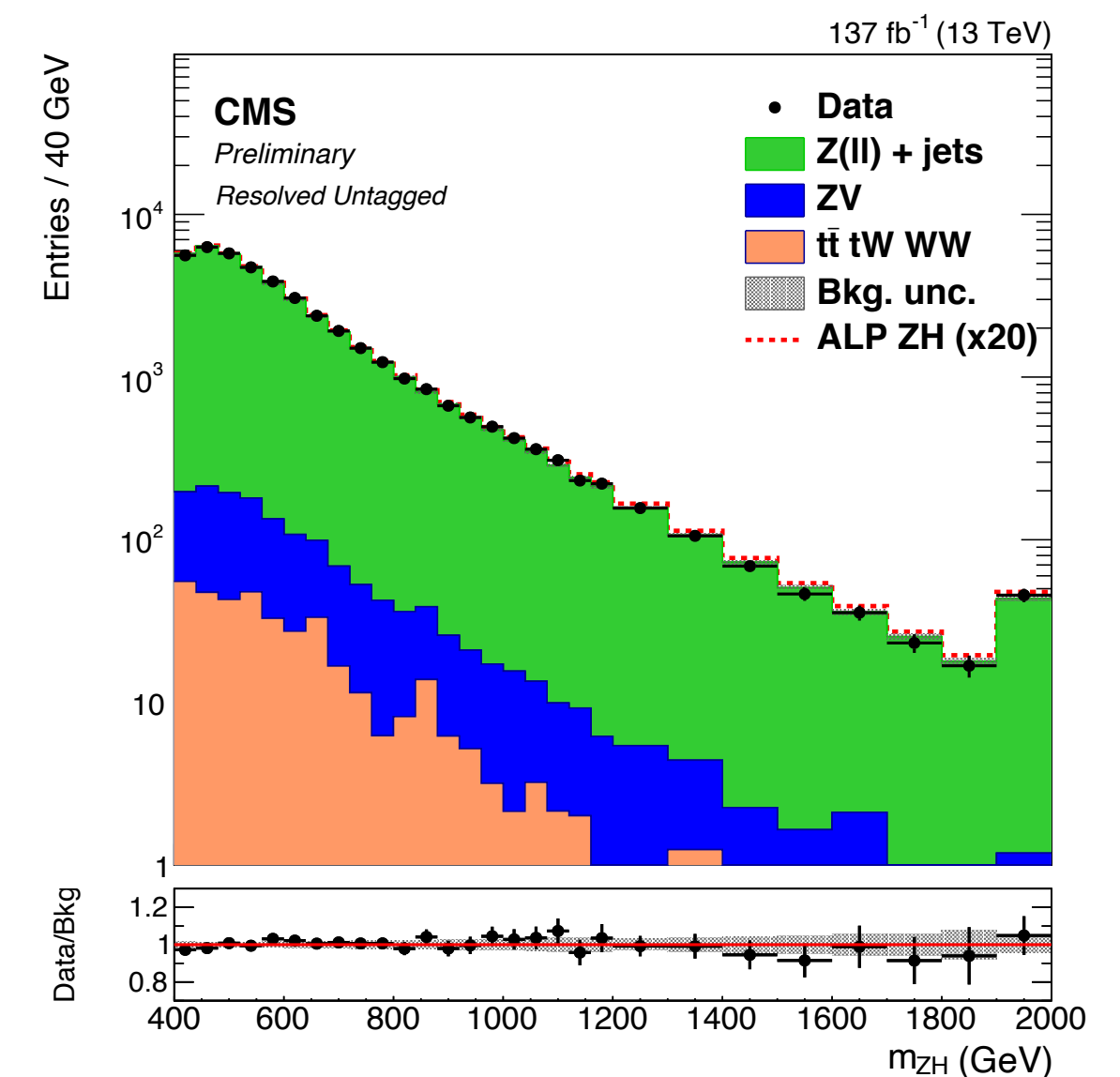
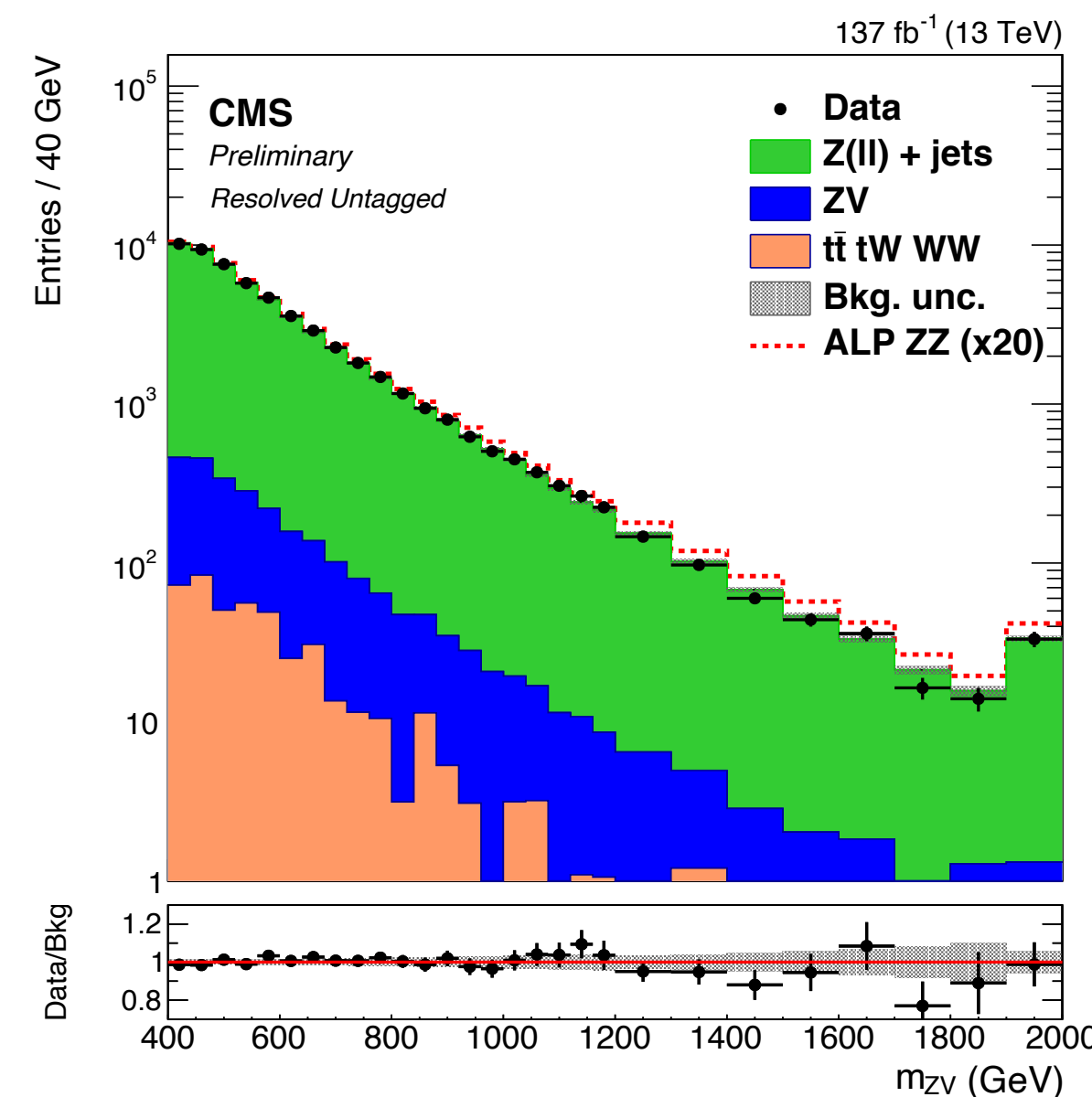
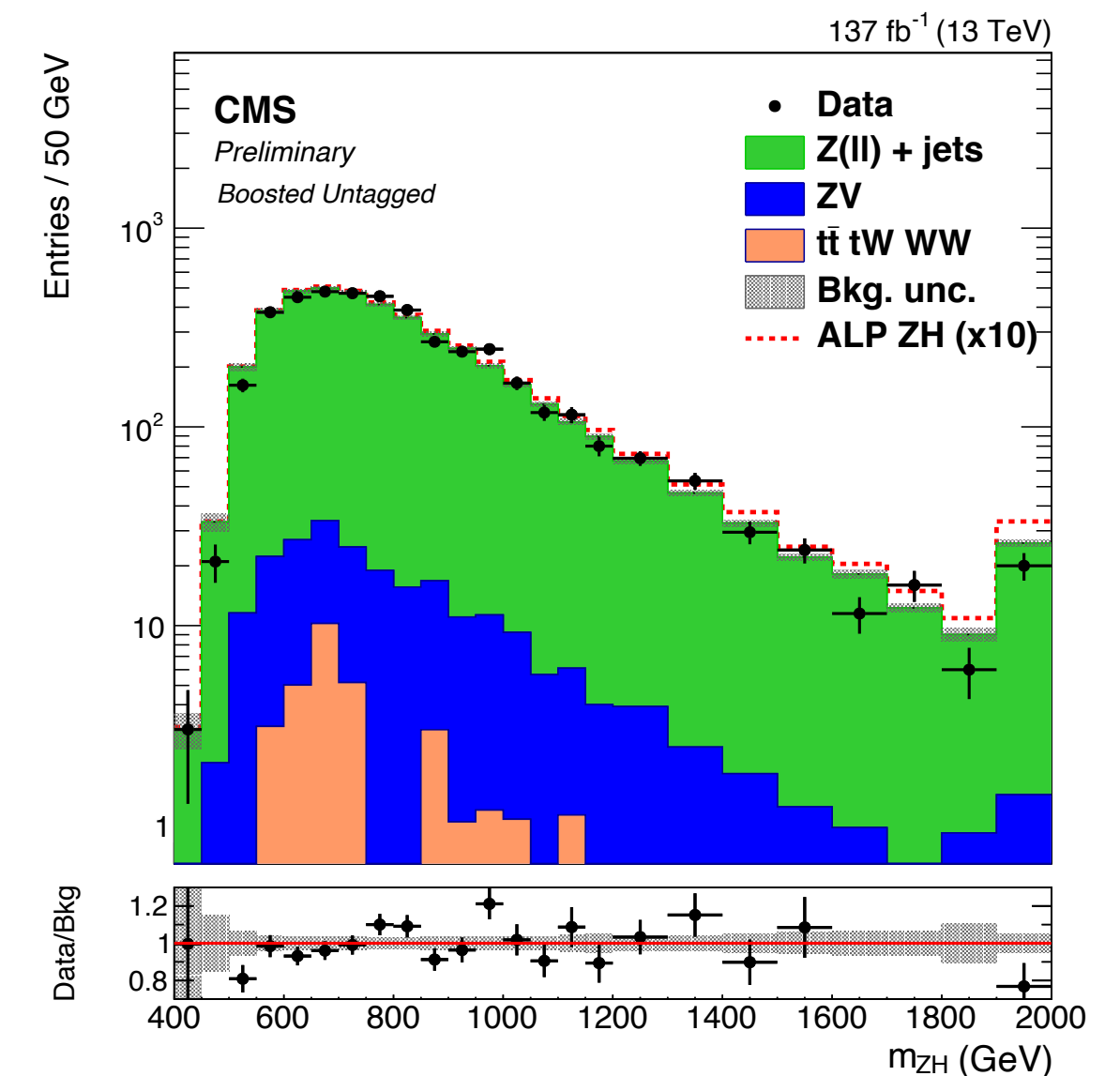
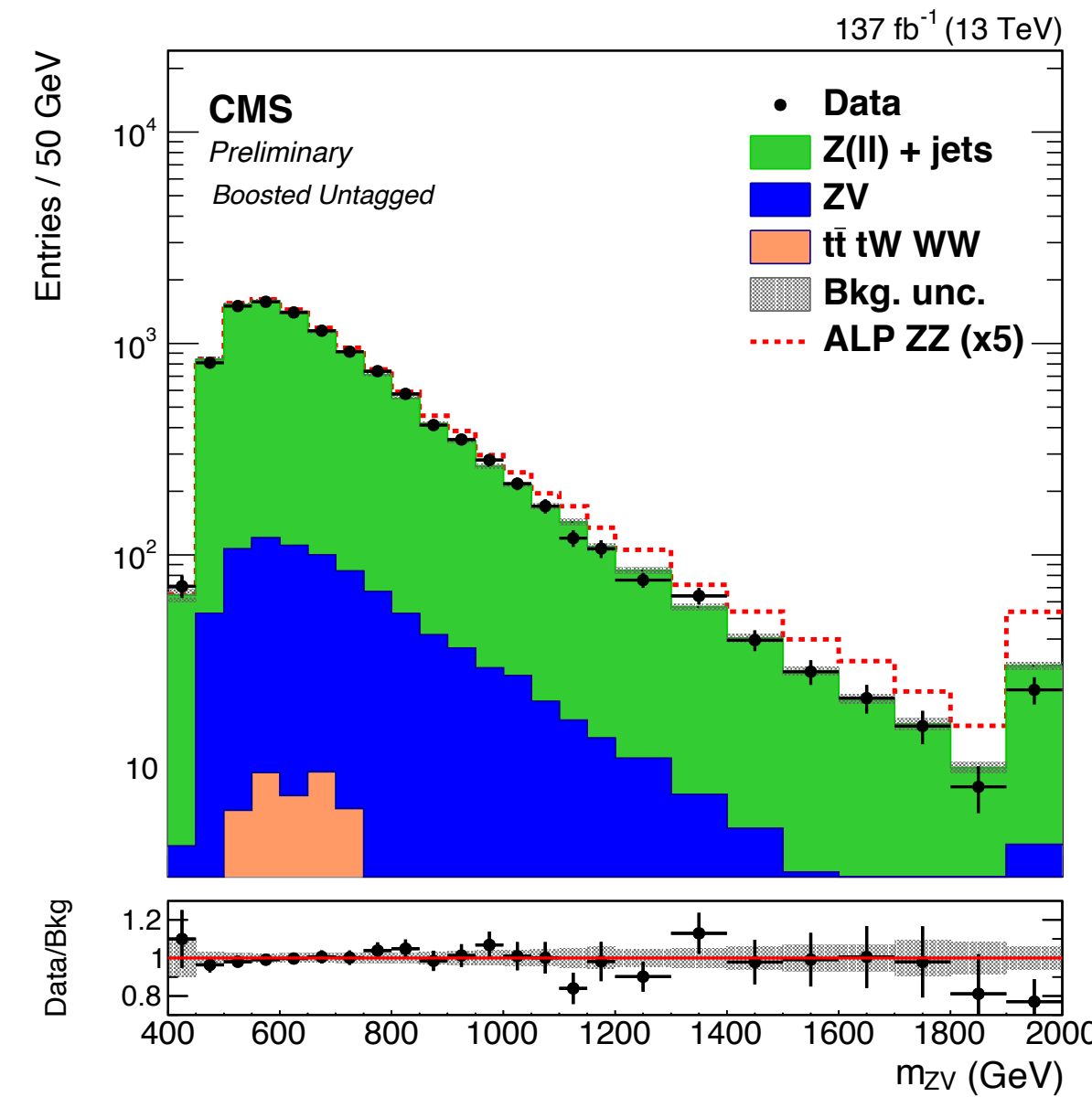
- **Z boson + Higgs (chiral model)**
- **Z boson + Z boson (linear model)**

Main background:

- $Z + \text{jets}$

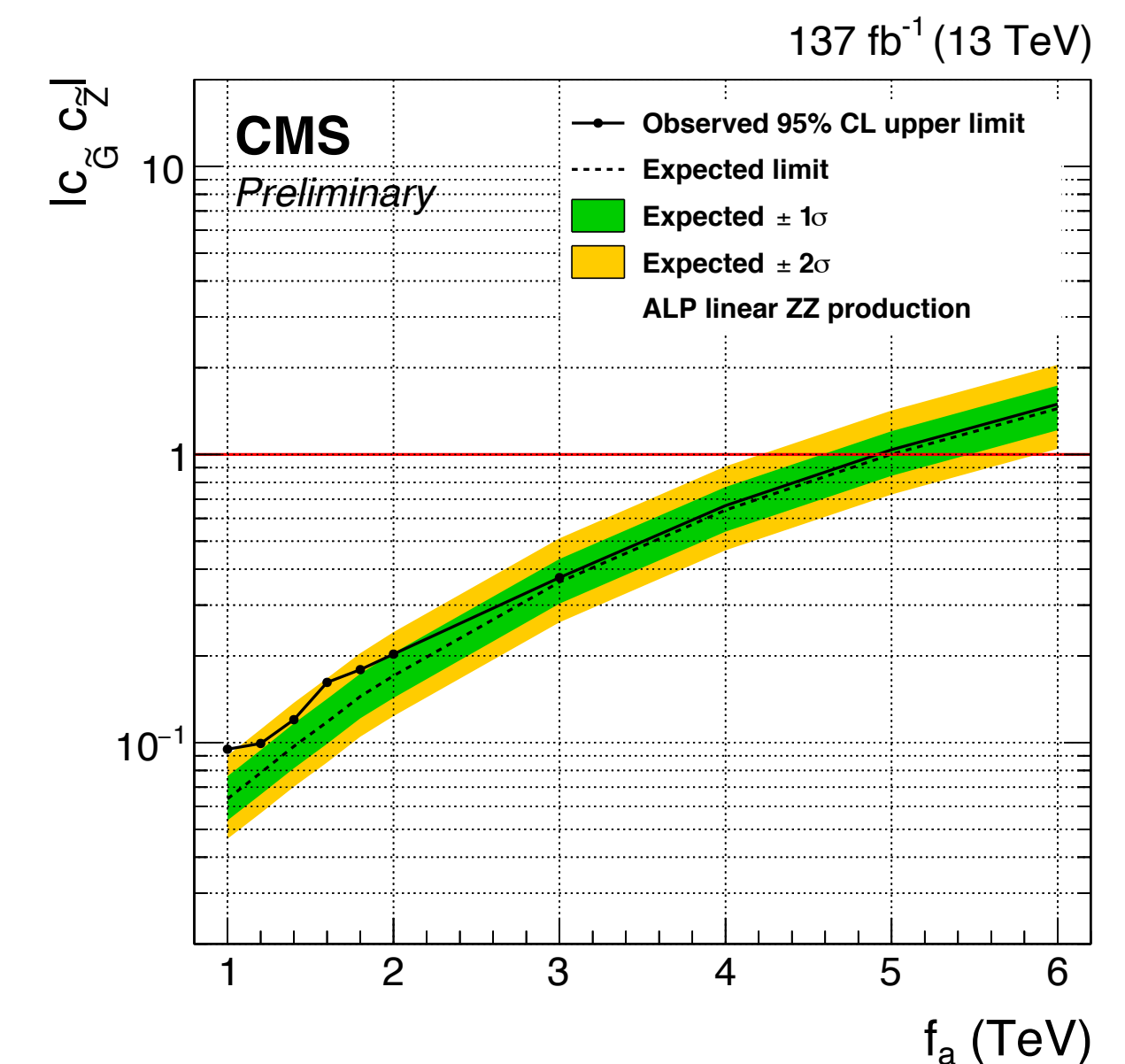
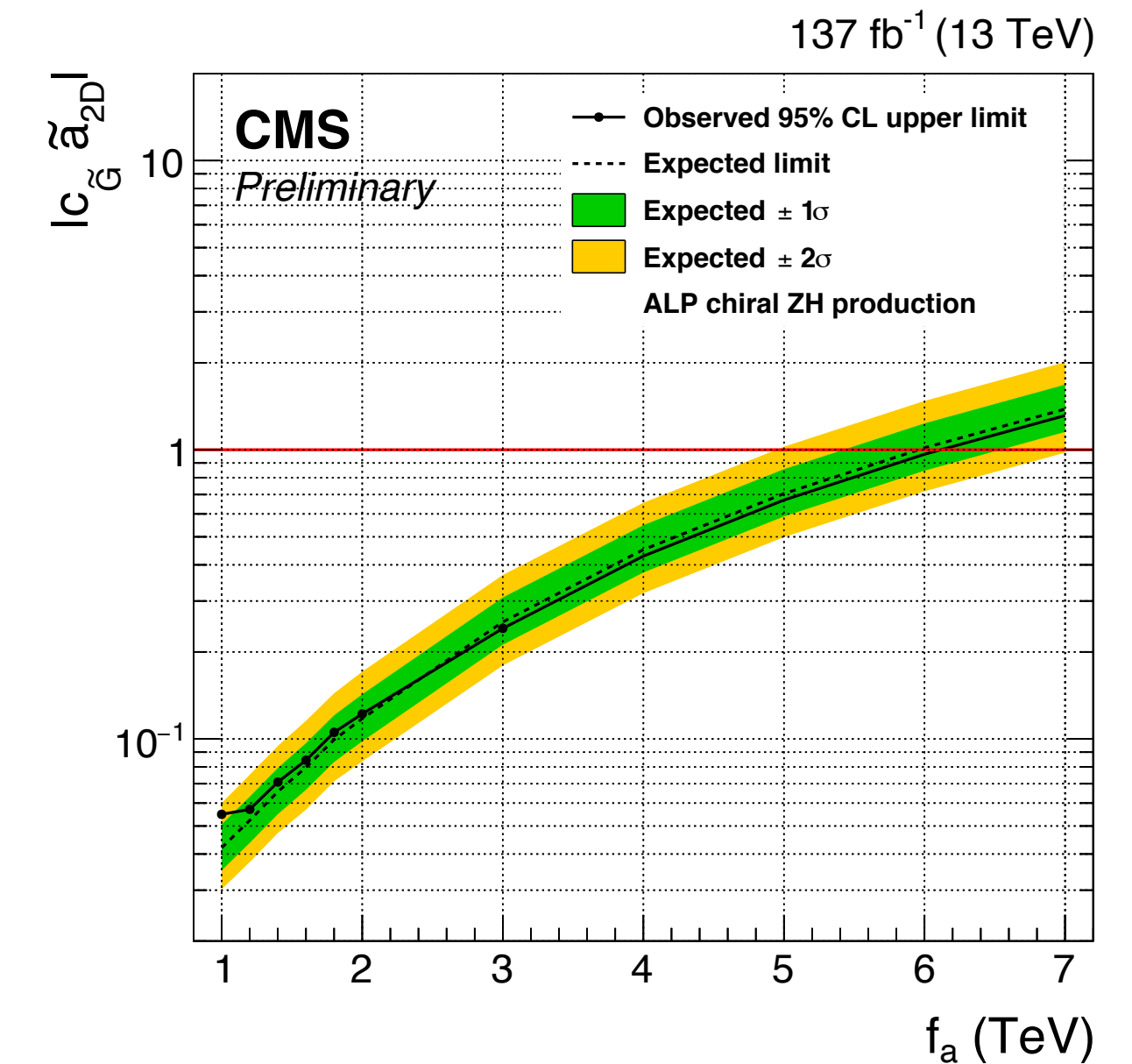
Details about the signal modes

[Phys.Rev.Lett. 124 (2020) 5, 051802]



CMS: non resonant $pp \rightarrow a^* \rightarrow ZH, ZV$ [CMS-B2G-20-013]

- Combined maximum likelihood fit to the mass distribution
- Exclusion region on the $c_i c_j - f_a$ plane for the chiral and linear models
 - 95 % CL limits obtained using CL_s method
 - $f_a > 3$ TeV excluded extrapolating $f_a = 3$ TeV point linearly
- $m_a < 100$ GeV: region where cross-section diverges by less than 10 %
- Limits on c_i/f_a :
 - $|c_{\tilde{G}} c_{\tilde{Z}}|/f_a^2 < 0.0415(0.0400) \text{ TeV}^{-2}$
 - $|c_{\tilde{G}} \tilde{a}_{2D}|/f_a^2 < 0.0269(0.0281) \text{ TeV}^{-2}$
- Energy dependance only valid for $m_{ZH,ZV} = \sqrt{\hat{s}} < f_a$
 - Same argumentation as in the ATLAS searches
- More ATLAS and CMS results for Higgs coupling interpretation:
 - ATLAS:
 - $H \rightarrow Za$ [Phys. Rev. Lett. 125 (2020) 221802]
 - $H \rightarrow aa \rightarrow 4b$ [Phys. Rev. D 102 (2020) 112006]
 - $H \rightarrow aa \rightarrow 2b2\mu$ [ATLAS-CONF-2021-009]
 - CMS
 - $H \rightarrow Za$ [Eur. Phys. J. C 81 (2021) 13]

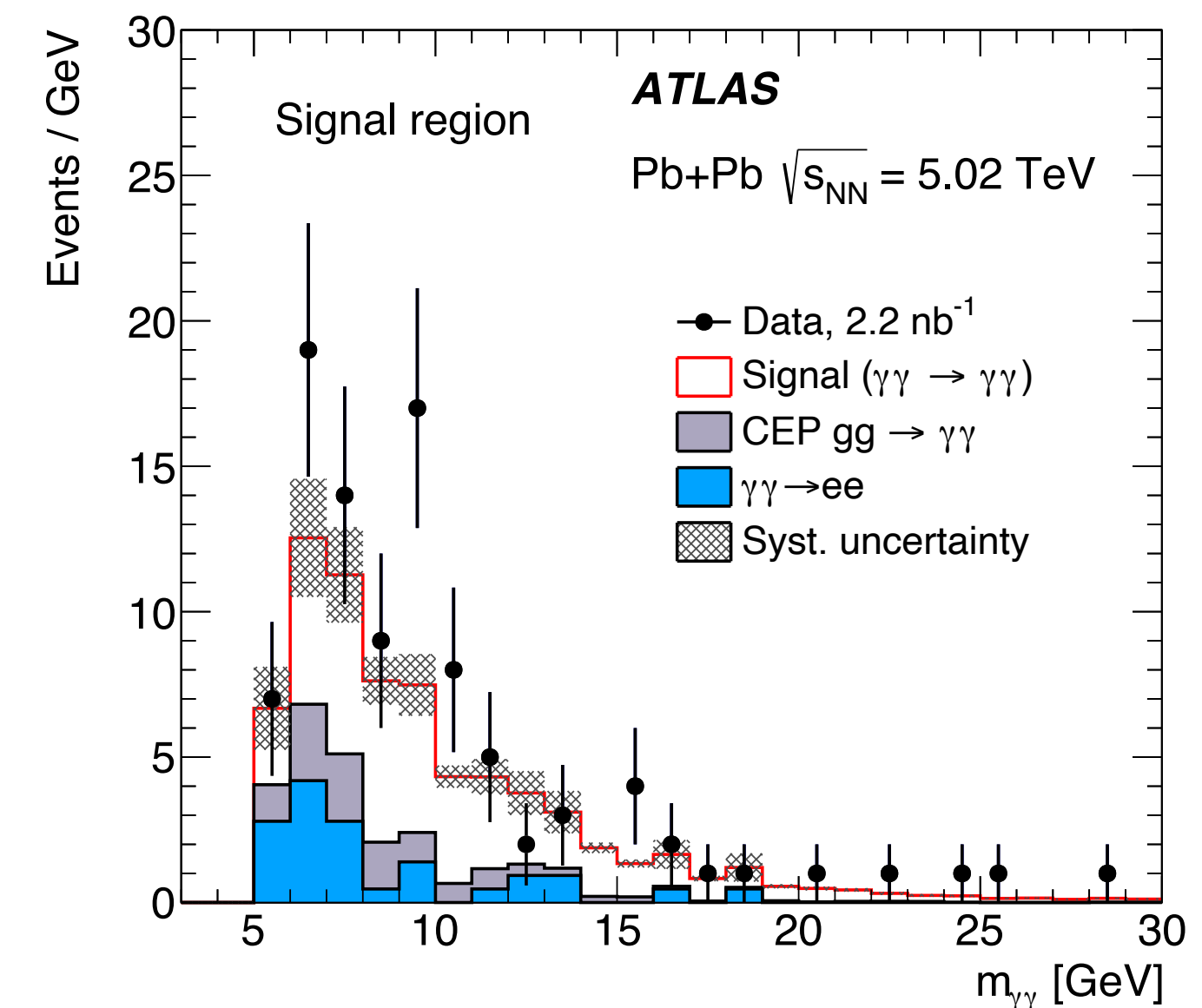
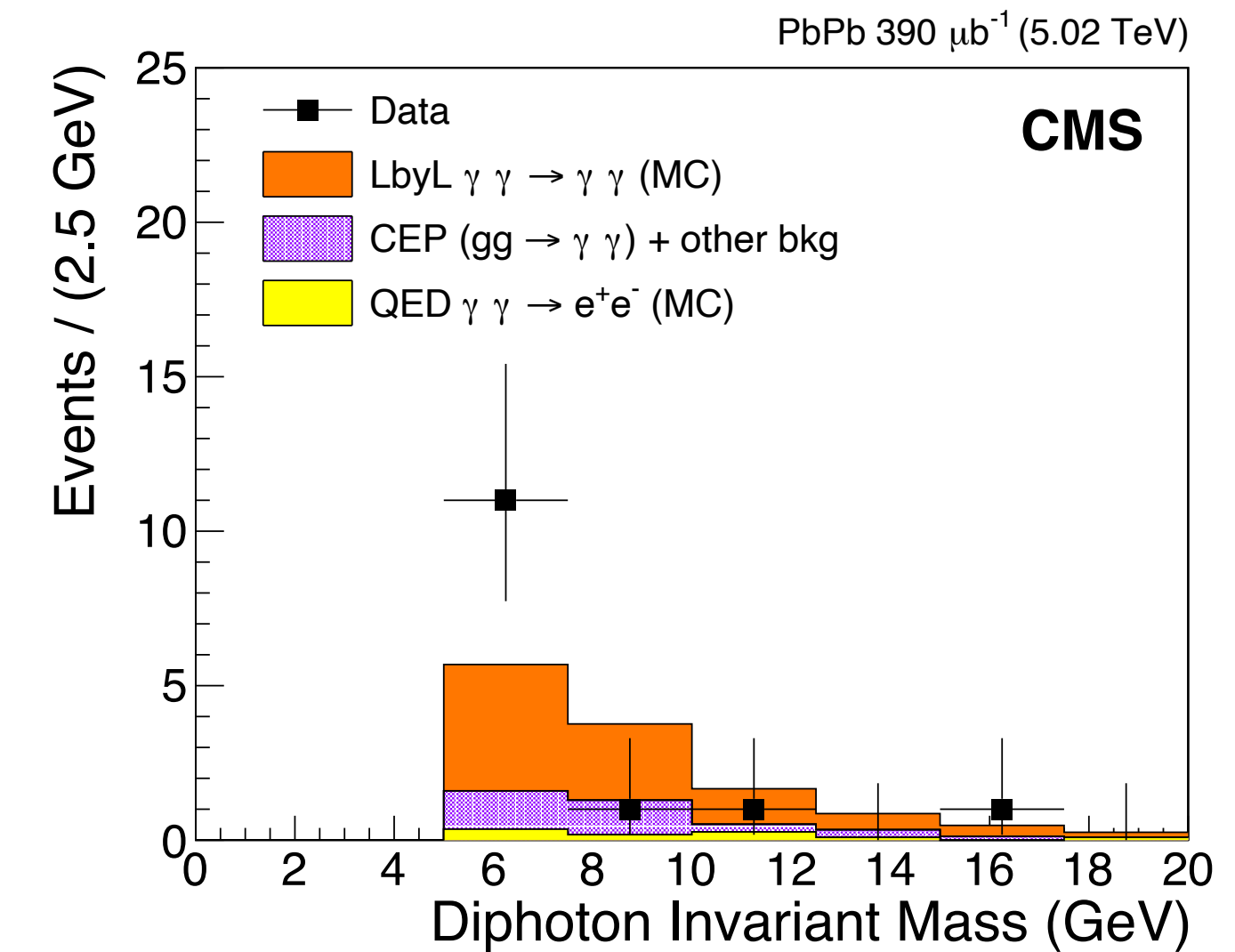


ATLAS and CMS: Searches in PbPb collisions

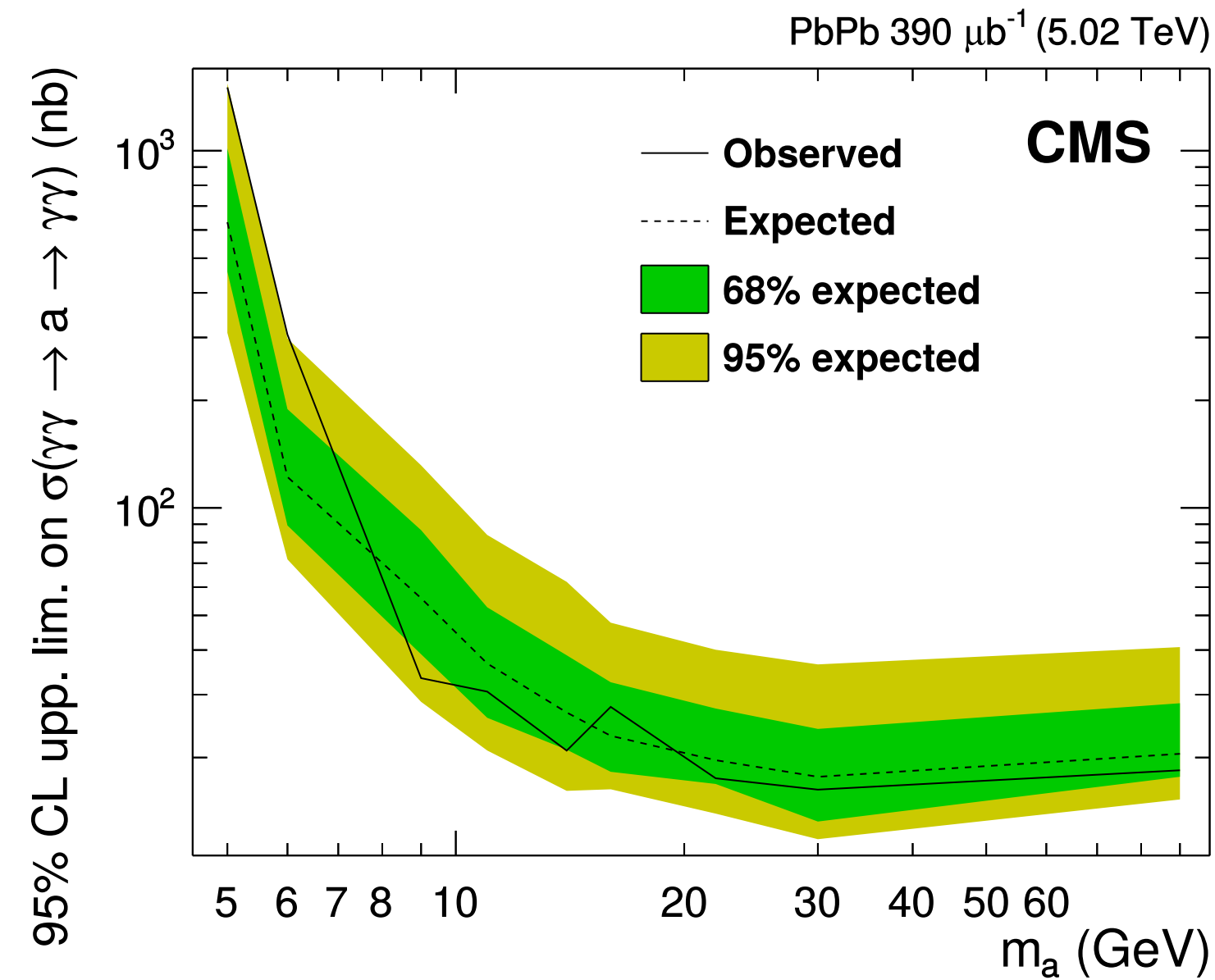
[JHEP03(2021)243]

[Phys.Lett.B 797 (2019) 134826]

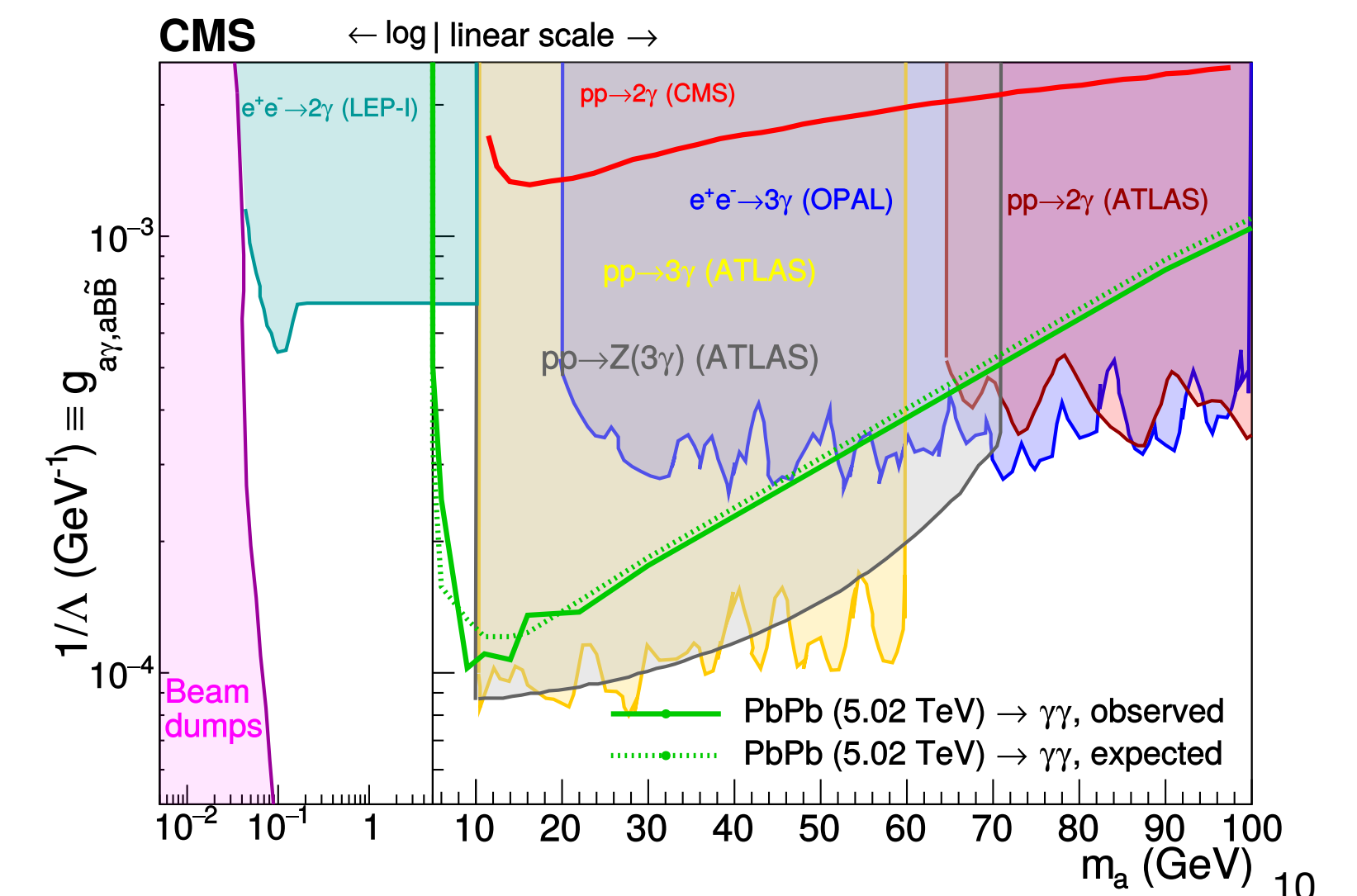
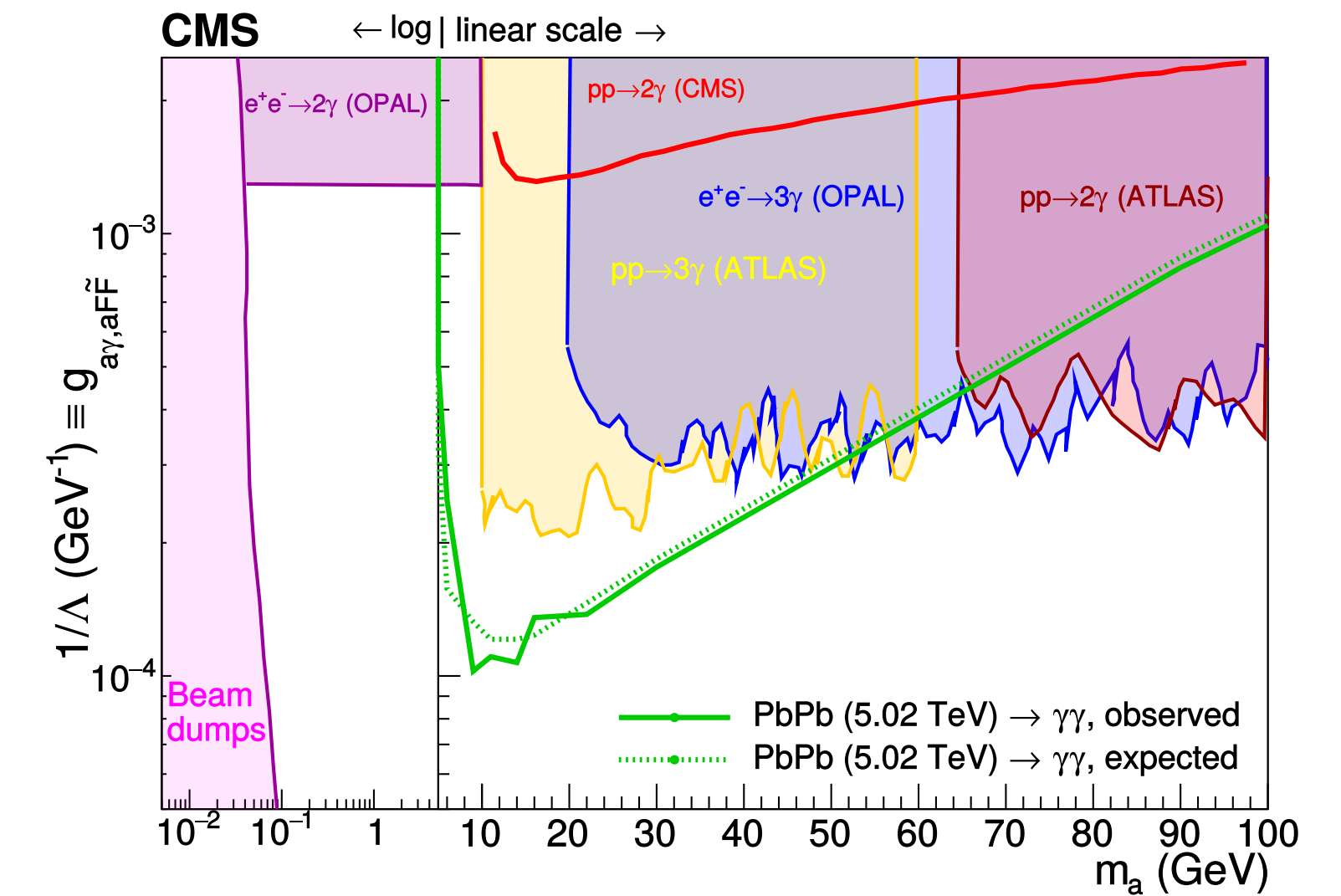
- Dataset of ultraperipheral **PbPb** collisions at $\sqrt{s} = 5.02$ TeV
 - CMS: $390 \mu\text{b}^{-1}$
 - ATLAS: 2.2 nb^{-1}
- Very similar selection of $a \rightarrow \gamma\gamma$ for both experiments
 - $E_T^\gamma > 2 \text{ GeV}$ (unconverted photons)
 - $|\eta| \lesssim 2.4$ (forward)
 - $m_{\gamma\gamma} > 5 \text{ GeV}$ (reduce e^+e^-)
 - $p_T^{\gamma\gamma} \lesssim 1 \text{ GeV}$ (ensure exclusive production)
 - Low general activity in the detector
- Backgrounds:
 - QED e^+e^- production
 - CEP $\gamma\gamma$



CMS: Searches in PbPb collisions [Phys.Lett.B 797 (2019) 134826]

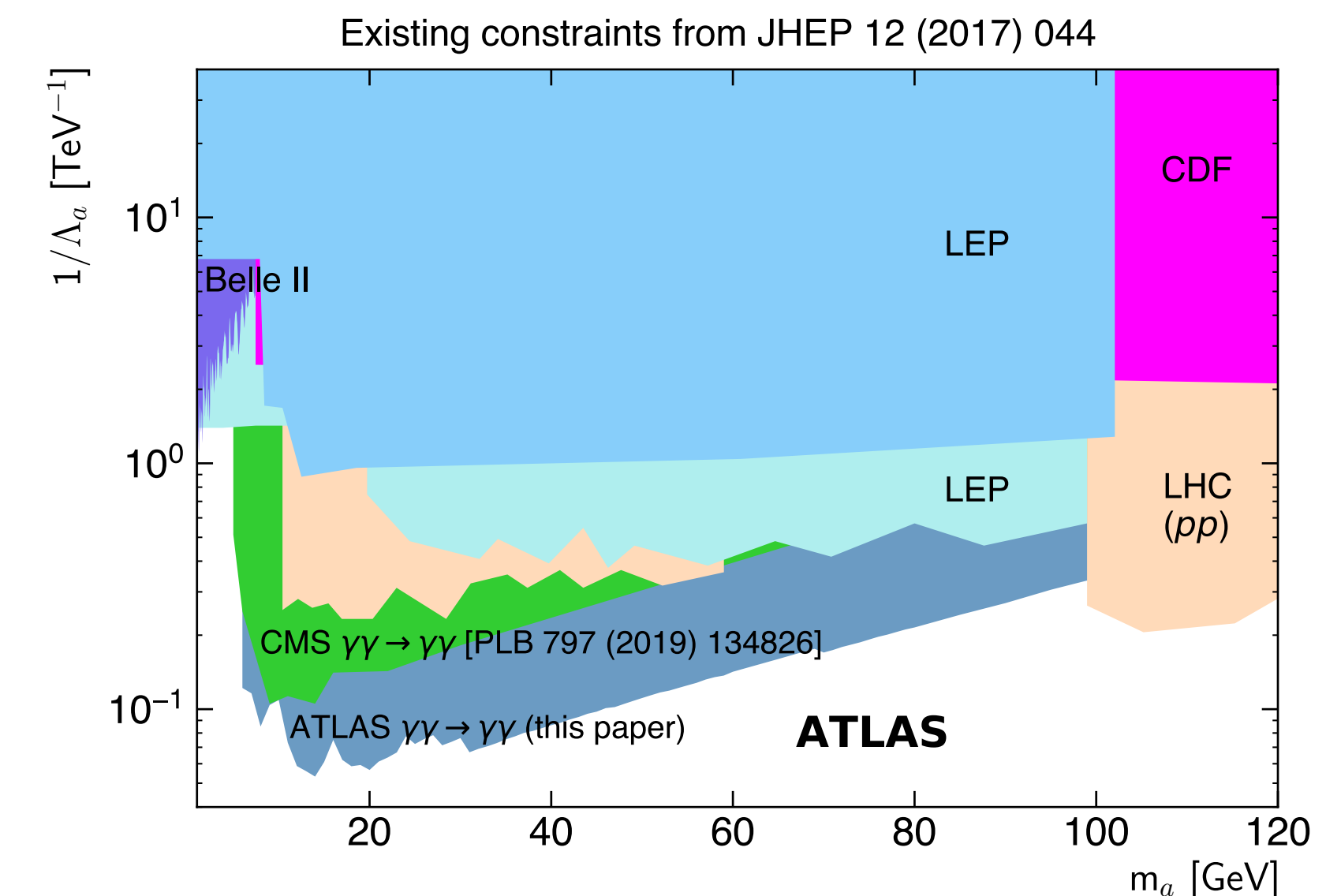
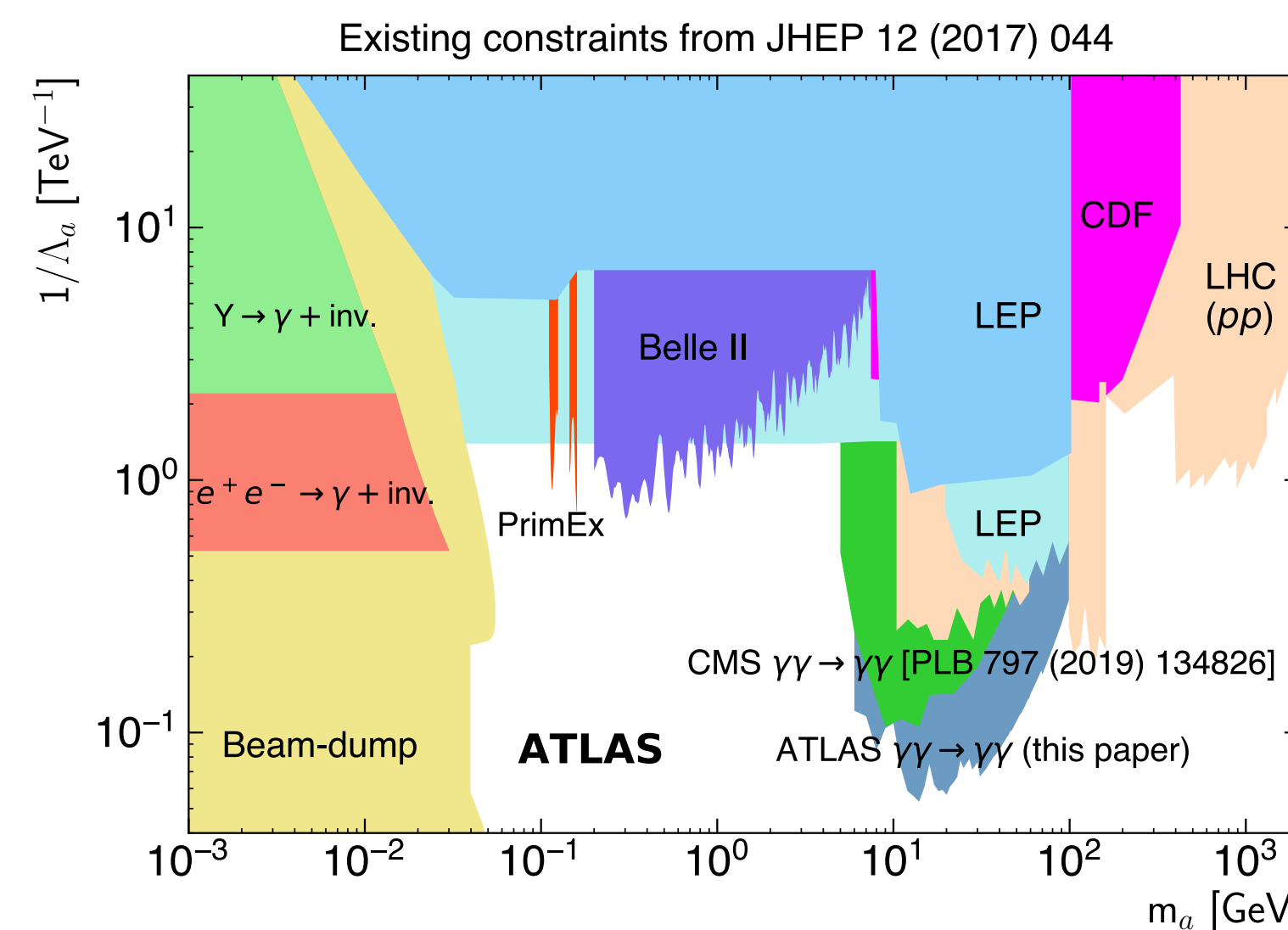
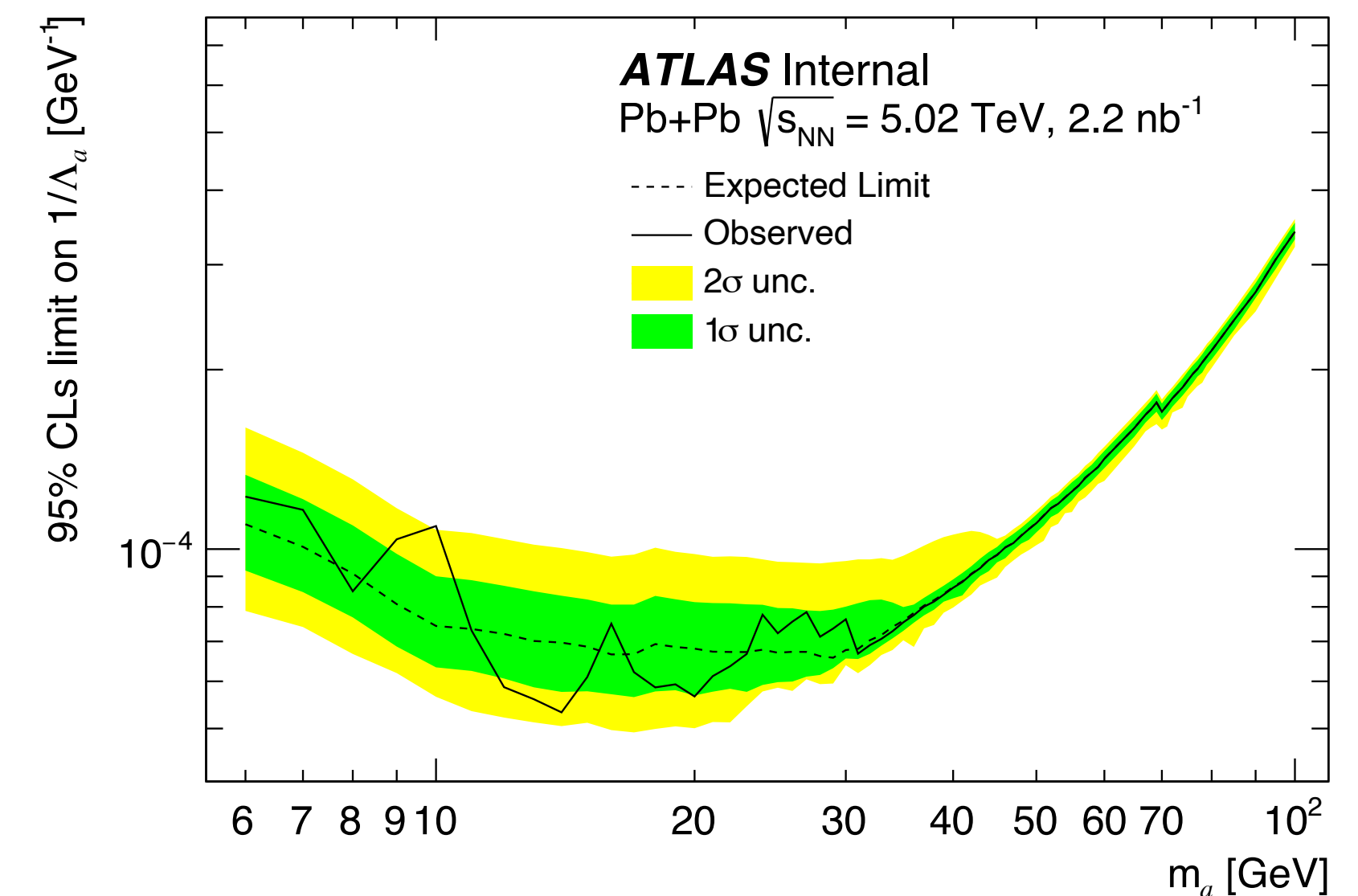
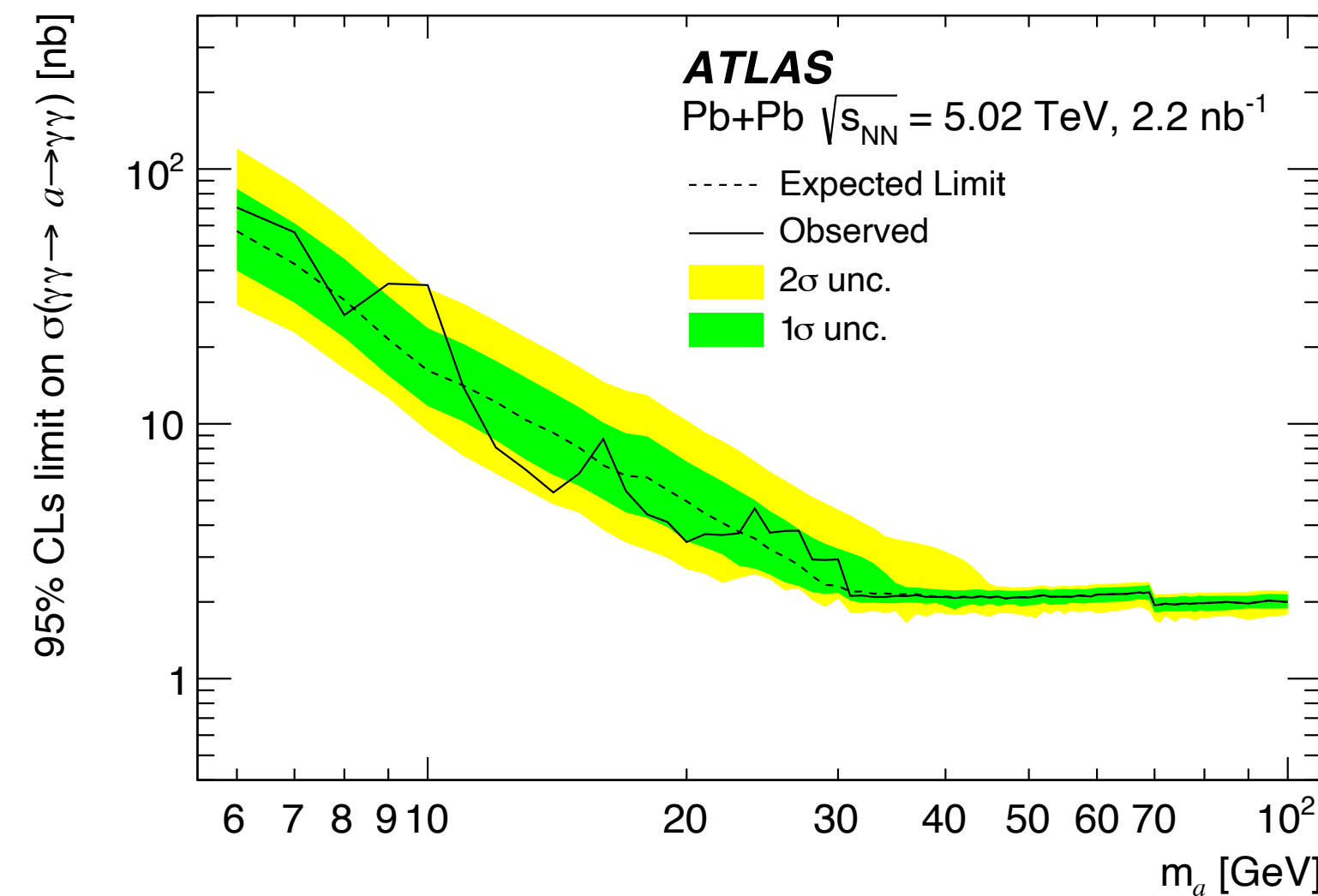


- Cross section upper limits:
 - CL_s prescription with profile likelihood ratio at **95 % CL**
- Cross section limits interpreted as limits on the ALP couplings:
 - ALPs only coupling to photons: $g_{a\gamma,aF\tilde{F}}$
 - ALPs coupling also to Z : $g_{a\gamma,aB\tilde{B}}$



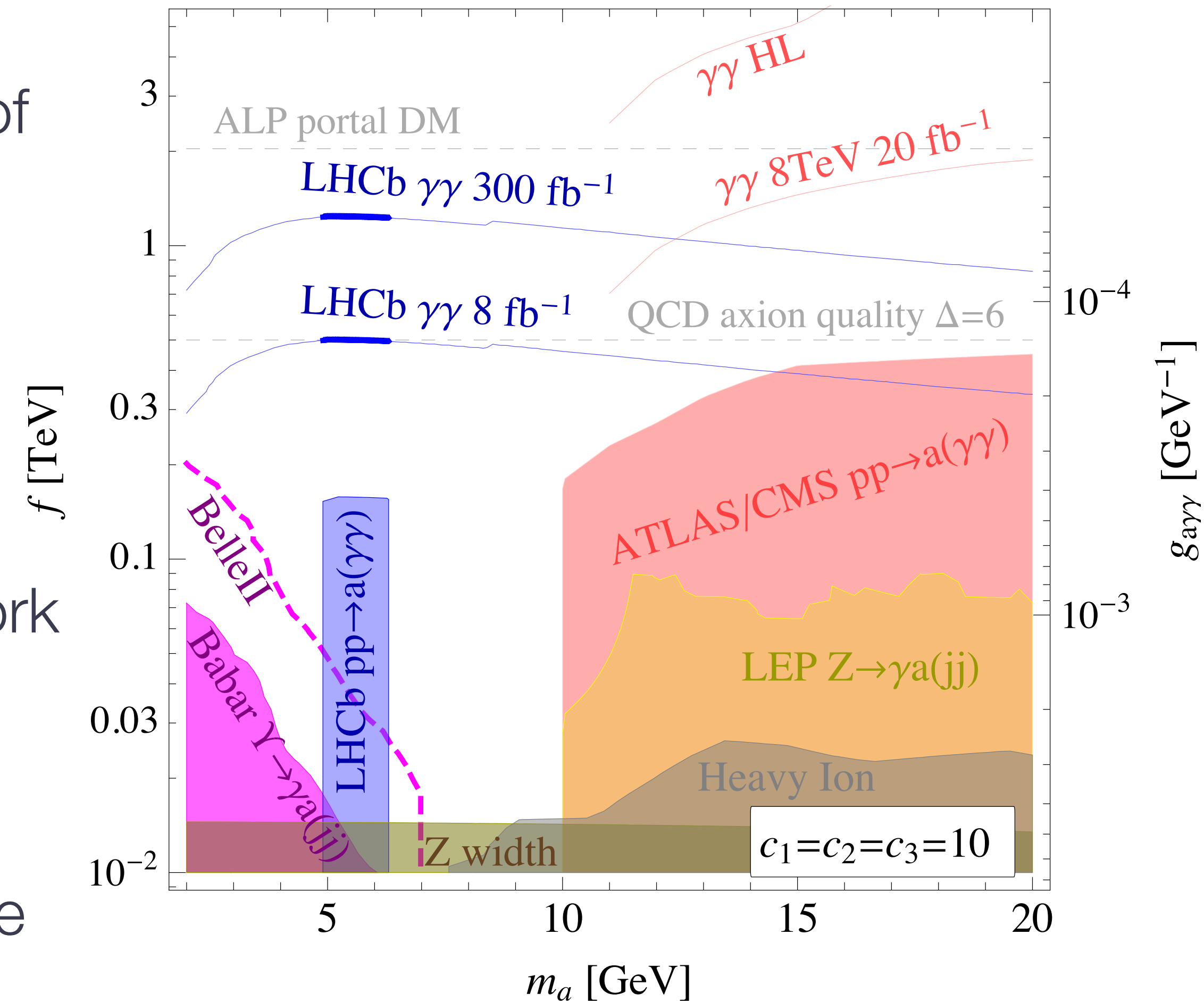
ATLAS: Searches in PbPb collisions [[JHEP03\(2021\)243](#)]

- Cross section upper limits:
 - CL_s prescription with profile likelihood ratio at **95 % CL**
- Cross section limits interpreted as limits on the ALP couplings:
 - ALPs only coupling to photons



LHCb [JHEP 01 (2019) 113]

- Light ALPs not reachable for ATLAS and CMS
- Current **best limits** in mass gap done with **80 pb⁻¹** of **public LHCb data**
 - Existing trigger for $B_s \rightarrow \gamma\gamma$. Analysis similar and could be done in parallel
 - Trigger mass range extended in 2018. Before that only sensitive to $m \sim m(B_s)$
 - Novel software trigger based on MLP neural network [**SciPost Phys. 7, 062 (2019)**]
- Experimental analysis ongoing:
 - Currently relying only on unconverted photons
 - Potential to include photon conversions: improve sensitivity.



LHCb [JHEP 01 (2019) 113]

I. ATLAS:

- Isolated photon pair production at $\sqrt{s} = 7 \text{ TeV}$ [JHEP 01, 086 (2013)]
- Cross section of isolated photon pair production at $\sqrt{s} = 8 \text{ TeV}$ [Phys. Rev. D95, 112005 (2017)]

II. CMS:

- Differential cross section of isolated photon pair production at $\sqrt{s} = 7 \text{ TeV}$ [Eur. Phys. J. C74, 3129 (2014)]

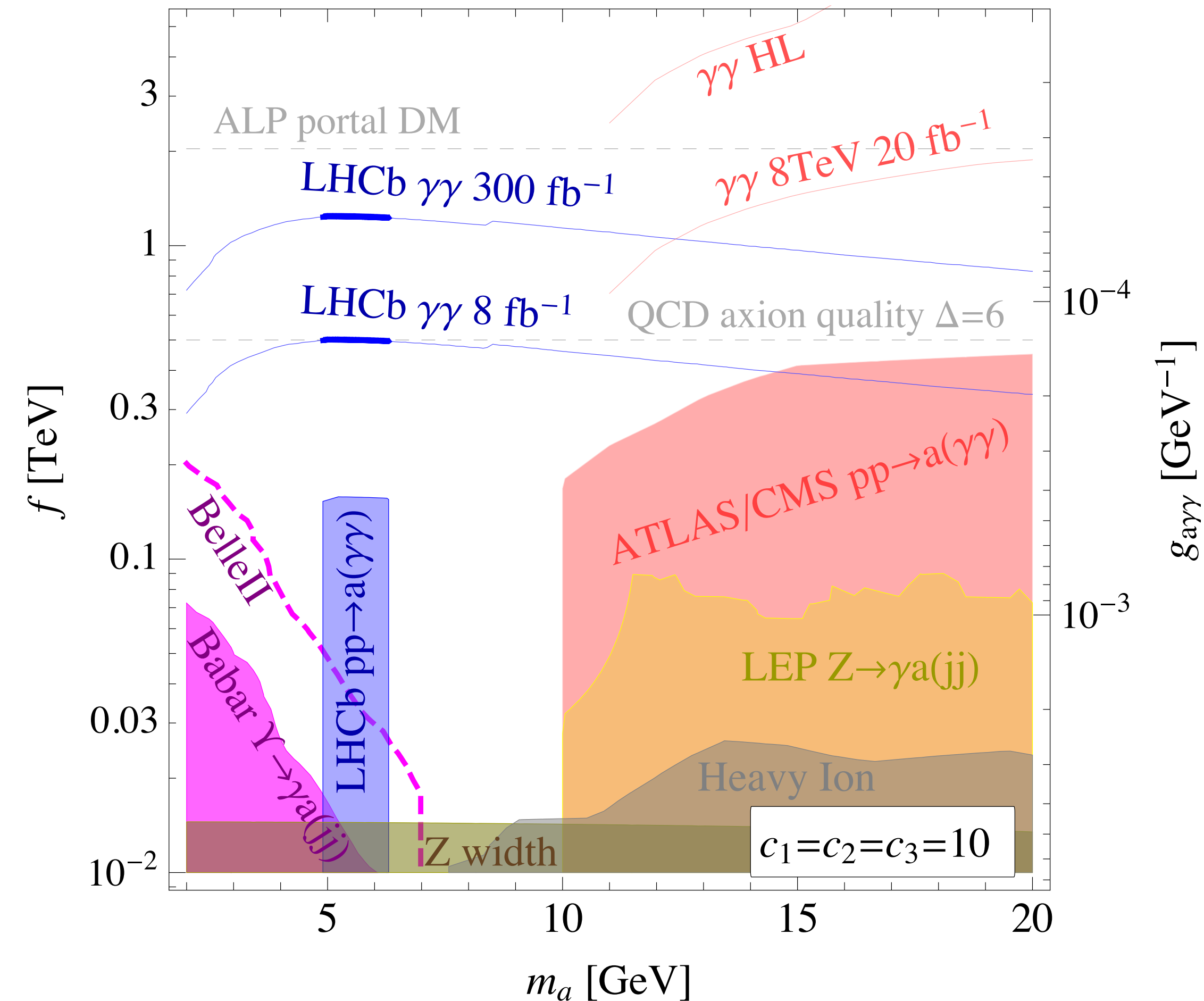
III. BaBar:

- Search for hadronic decays of Light Higgs Boson [Phys. Rev. Lett. 107, 221803 (2011)]
Using result $\text{BR}(\Upsilon_{2S,3S} \rightarrow \gamma a(jj)) < 10^{-4}, 10^{-6}$

IV. BelleII:

- Extrapolating results from the above reference assuming $\times 10$ better sensitivity: statistically dominated and 100 times more Υ_{3S}

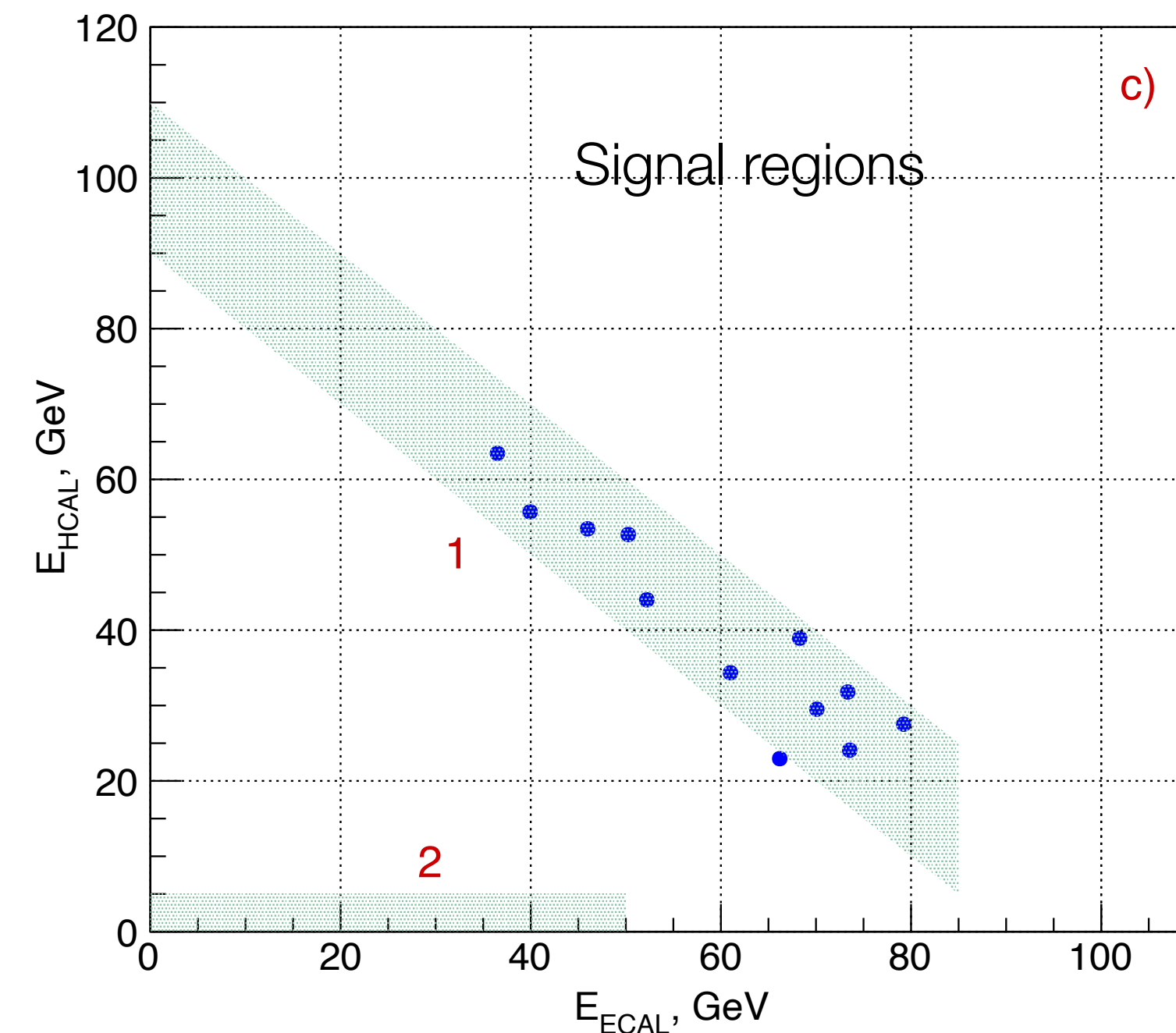
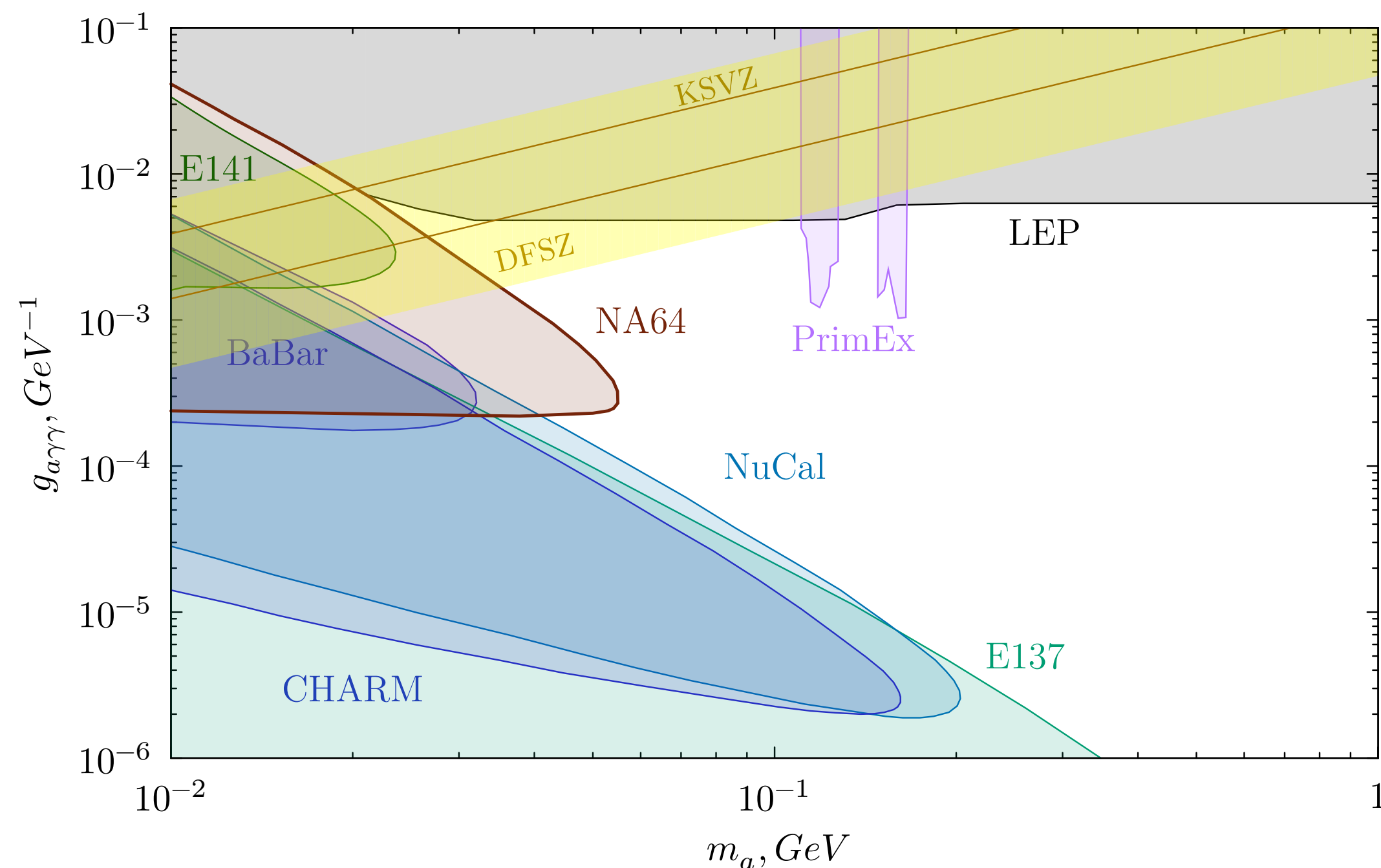
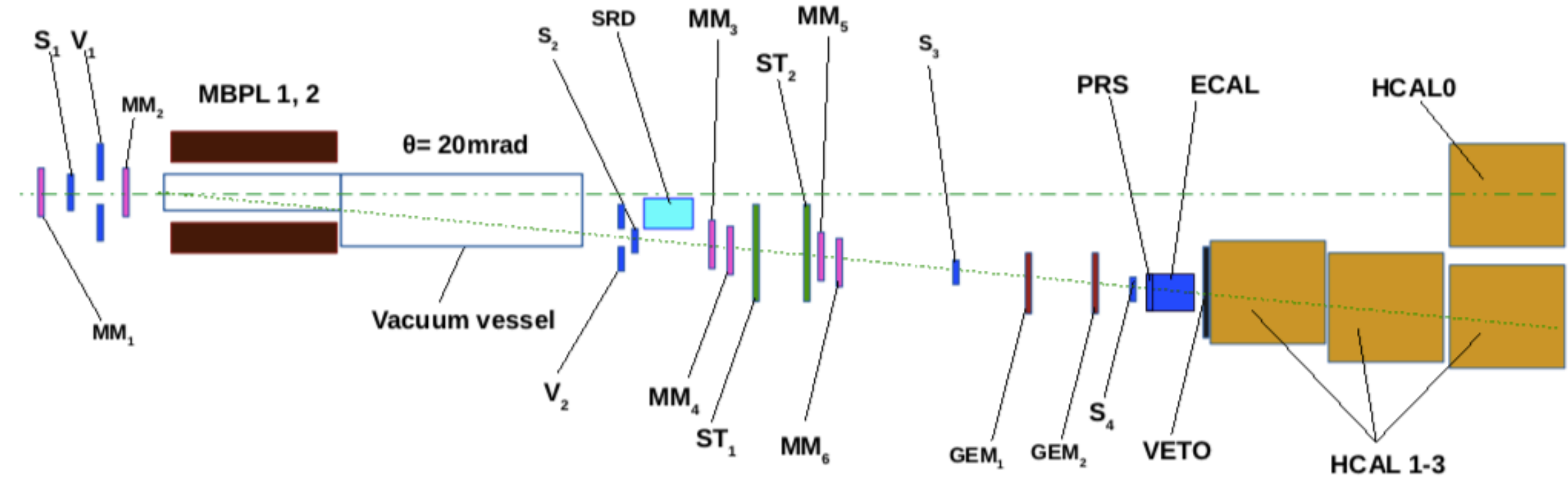
LHC sensitivities: assuming gluon fusion production



NA64: $e^-Z \rightarrow a \rightarrow \gamma\gamma$

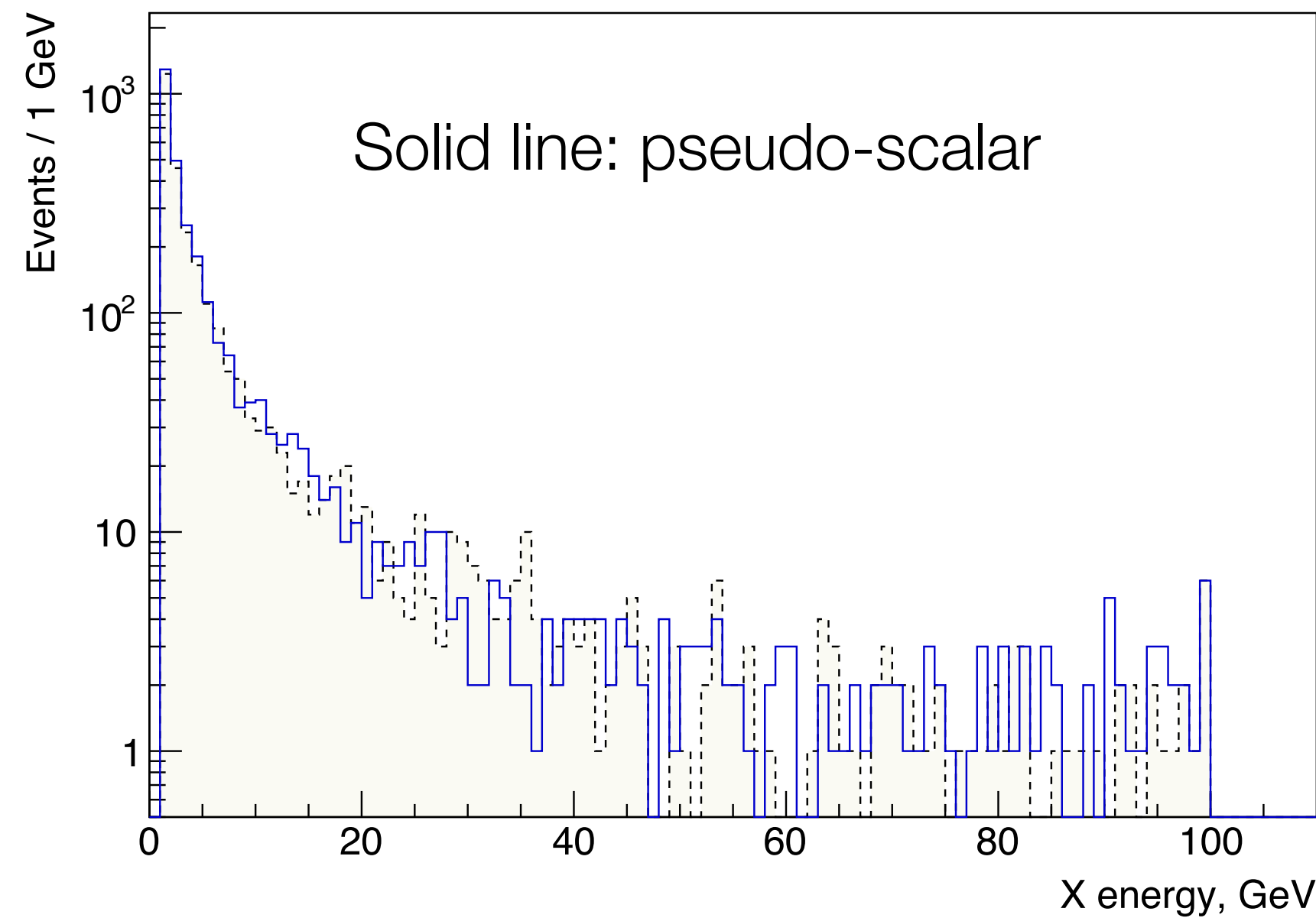
[Phys. Rev. Lett. 125, 081801]

- Setup:
 - 100 GeV e^- beam
 - Invisible mode setup:
 - Beam dumped into active PRS+ECAL target
- ALPs would be generated via Primakoff effect:
 - $e^-Z \rightarrow e^-Z\gamma$; $\gamma Z \rightarrow aZ$; $a \rightarrow \gamma\gamma$
- Two signatures:
 - $\gamma\gamma$ signal reconstructed in the two last HCAL modules
 - first module used to veto background activity
 - ALP decays downstream: missing energy event

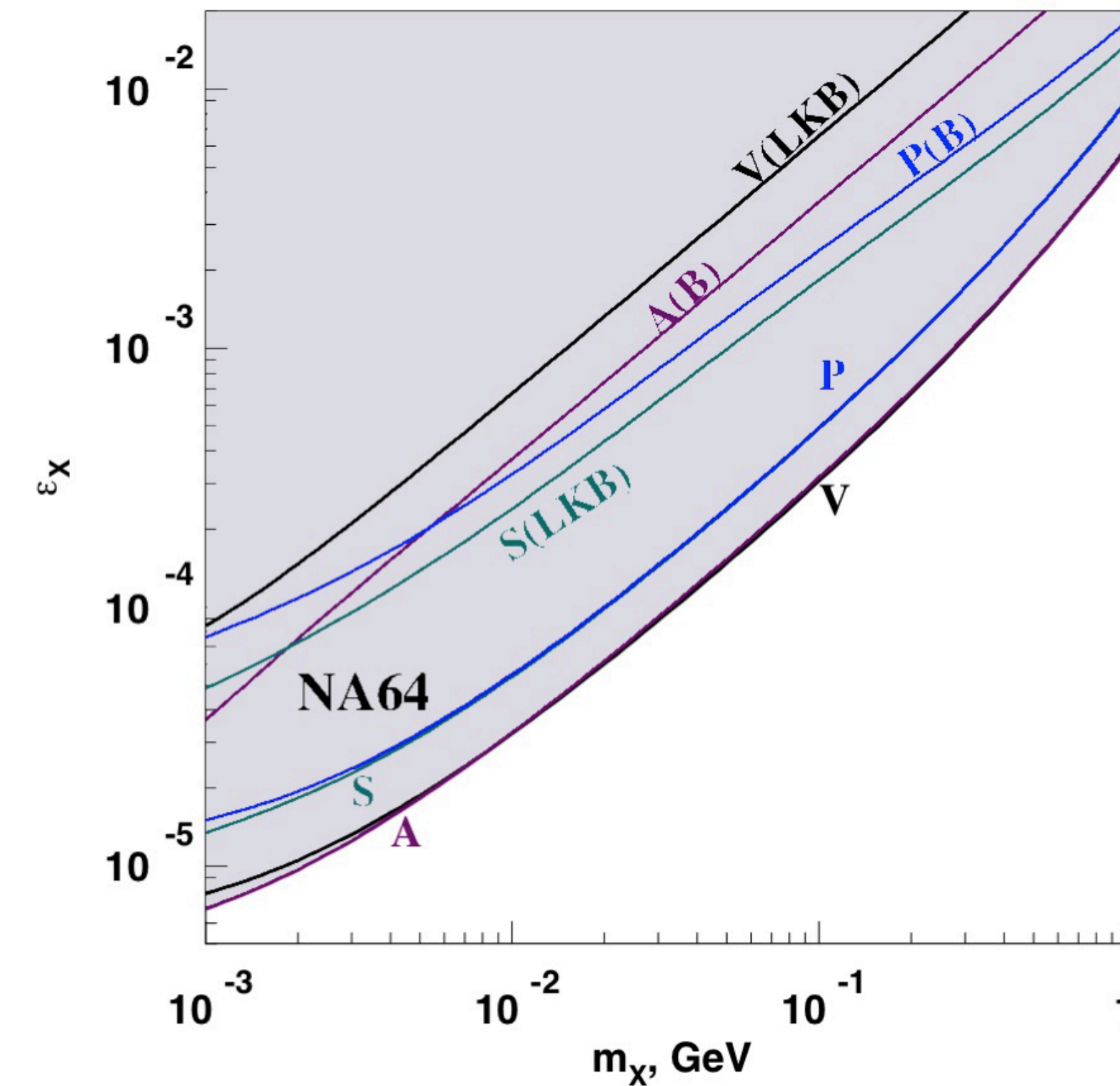


NA64: $e^-Z \rightarrow X \rightarrow \text{invisible}$ [[Phys. Rev. Lett. 126, 211802](#)]

- Generic X boson search:
 - in particular a pseudo-scalar
- Boson produced via Primakoff effect:
 - $e^-Z \rightarrow e^-ZX$; $X \rightarrow \text{invisible}$



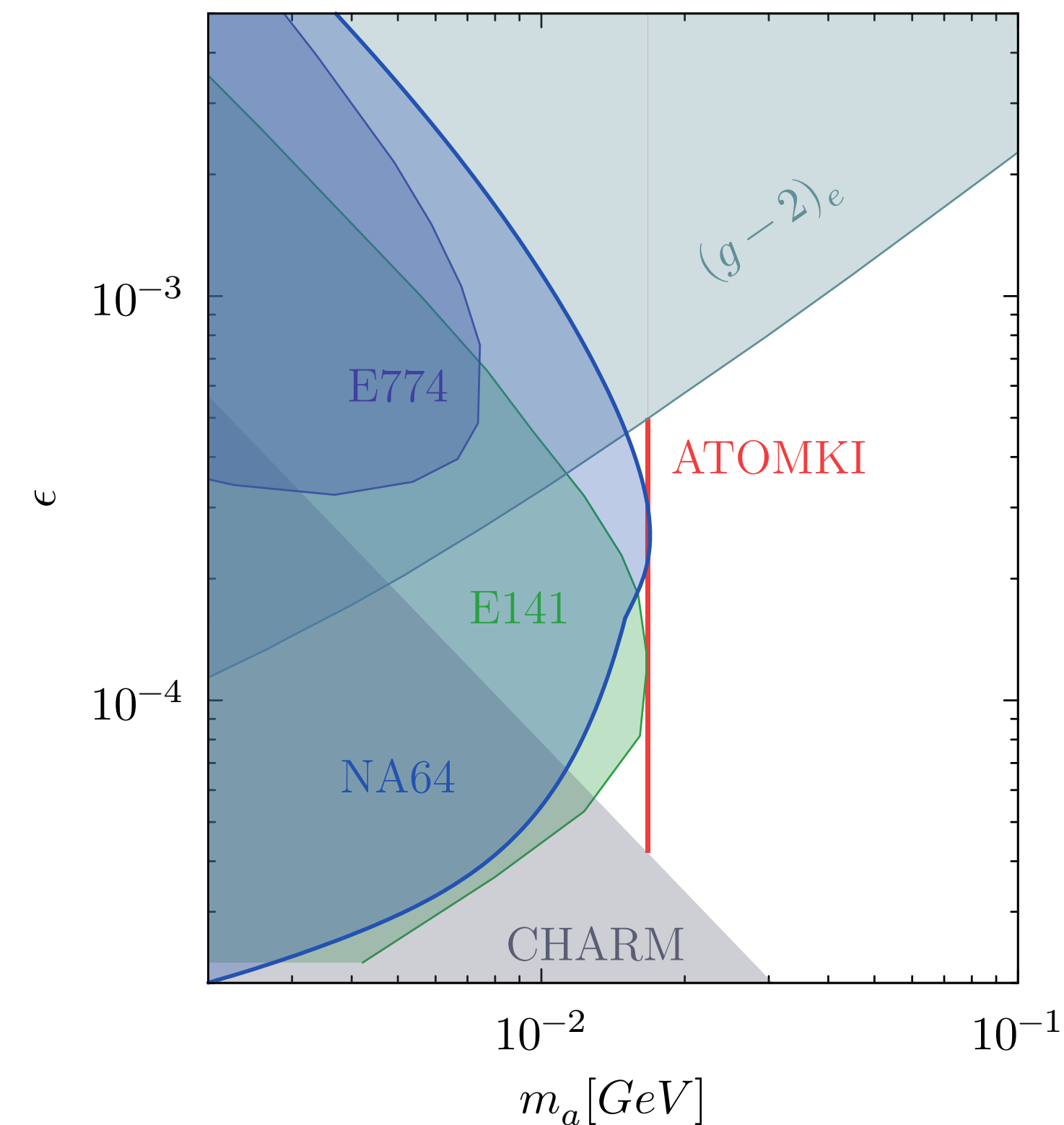
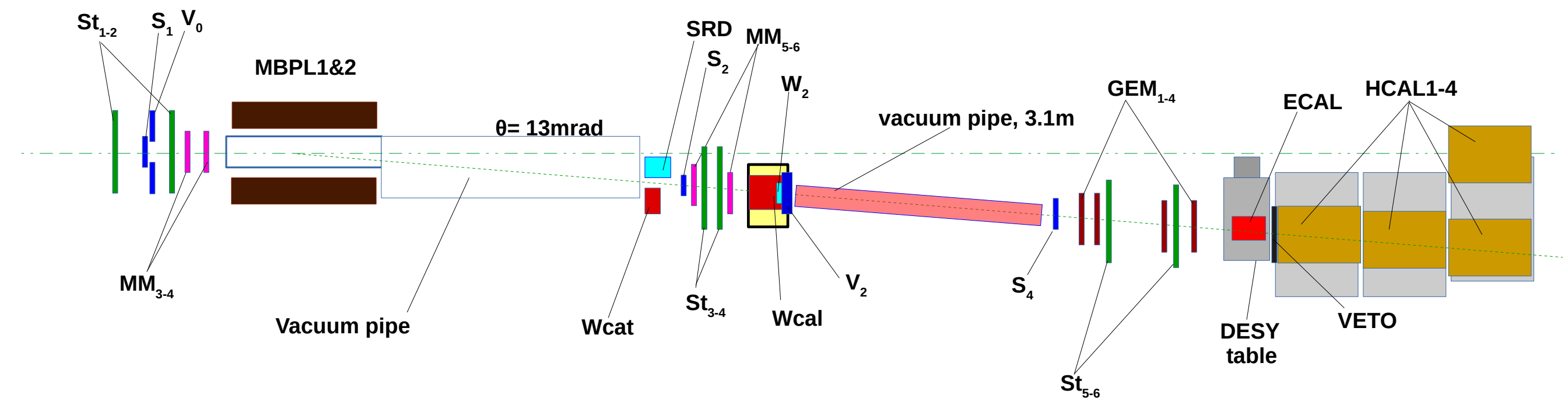
- Missing energy spectrum of the pseudo-scalar



- $m_X - \epsilon_X$ exclusion regions
 - Compared to LKB and Berkley results

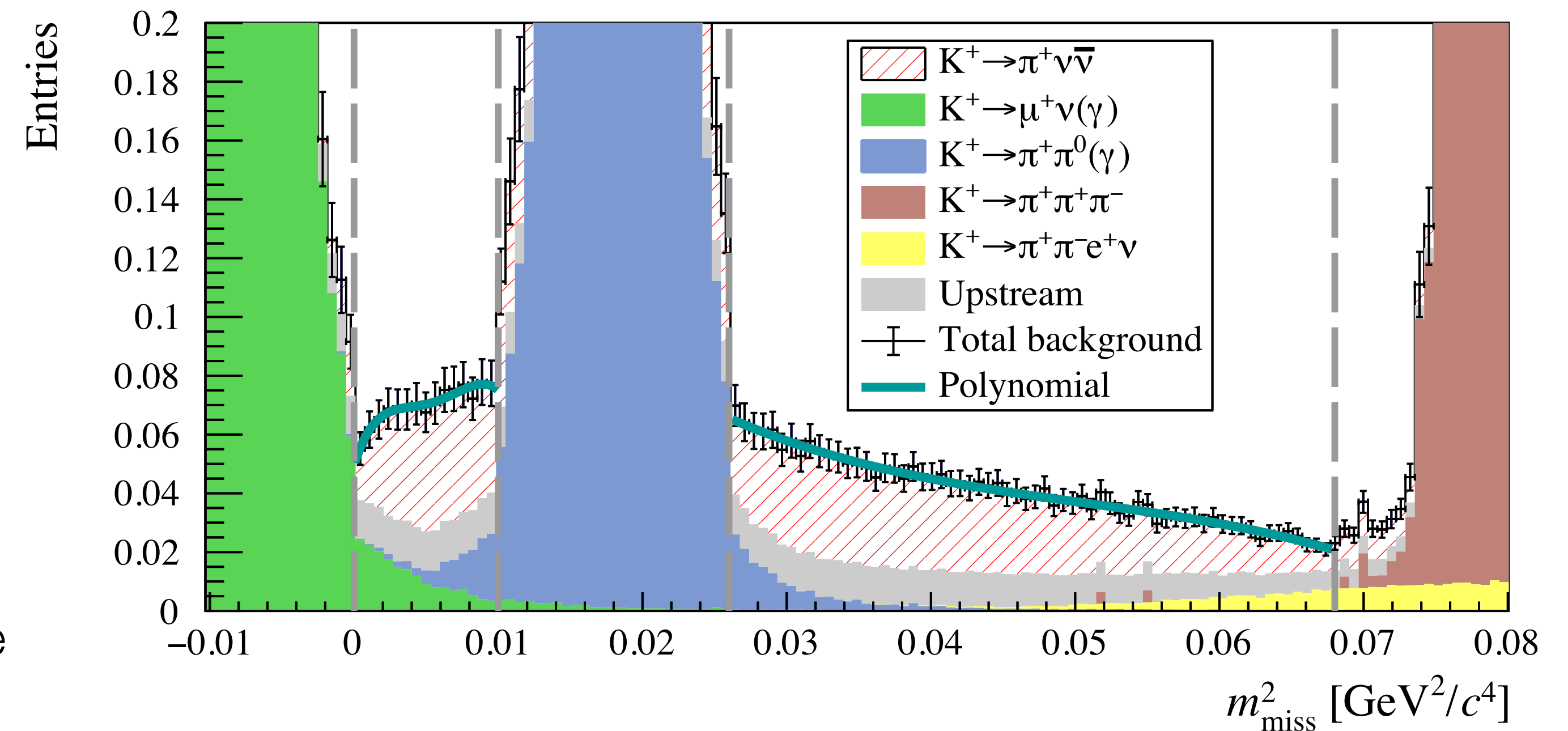
NA64: $e^- Z \rightarrow a \rightarrow e^+ e^-$ [\[2104.13342\]](#)

- e^- electron beam with energies:
 - 100 GeV for 2017 data
 - 150 GeV for 2018 data
- Visible mode setup:
 - Addition of active target **WCAL**
- For smaller couplings also invisible setup used
 - Life time long enough to decay in **HCAL2** volume
- Signature:
 - $e^+ e^-$ pair
 - Detected as double EM shower
 - WCAL** active target shower
 - ECAL** shower
- Exclusion region:
 - $m_a \in [1, 17.1]$ MeV
 - ATOMKI anomaly located at 16.7 MeV
 - More statistics needed to cover this effect



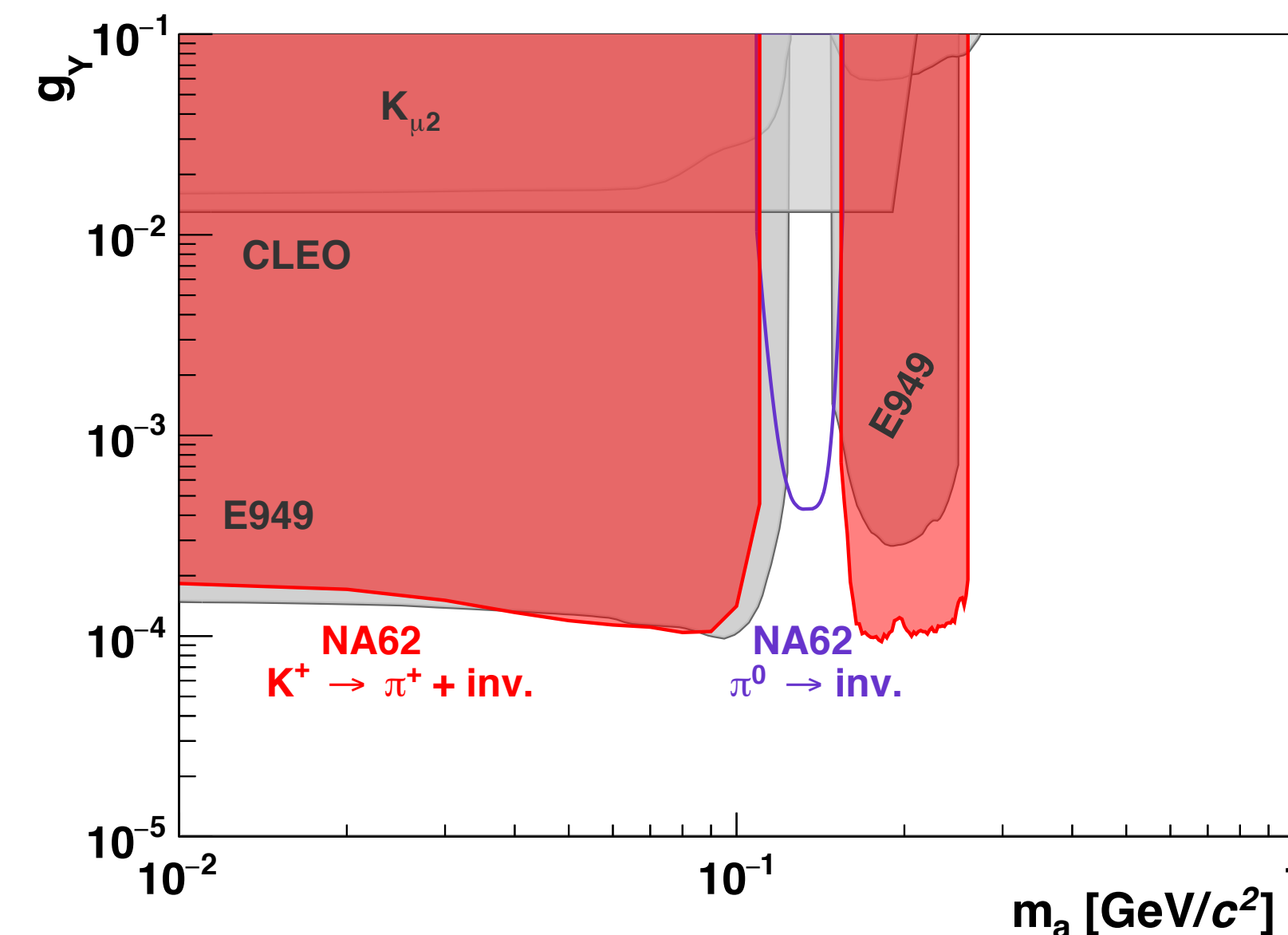
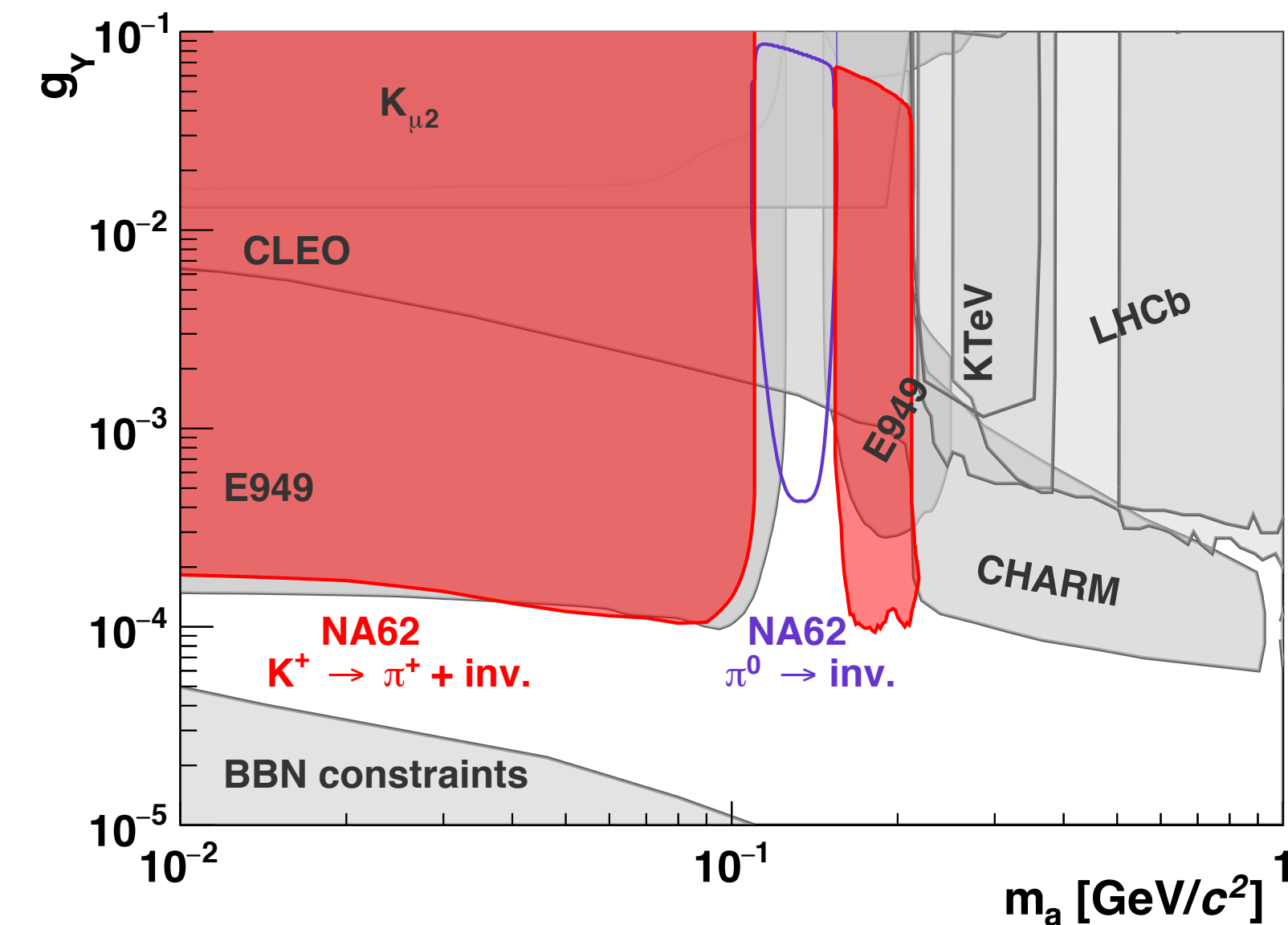
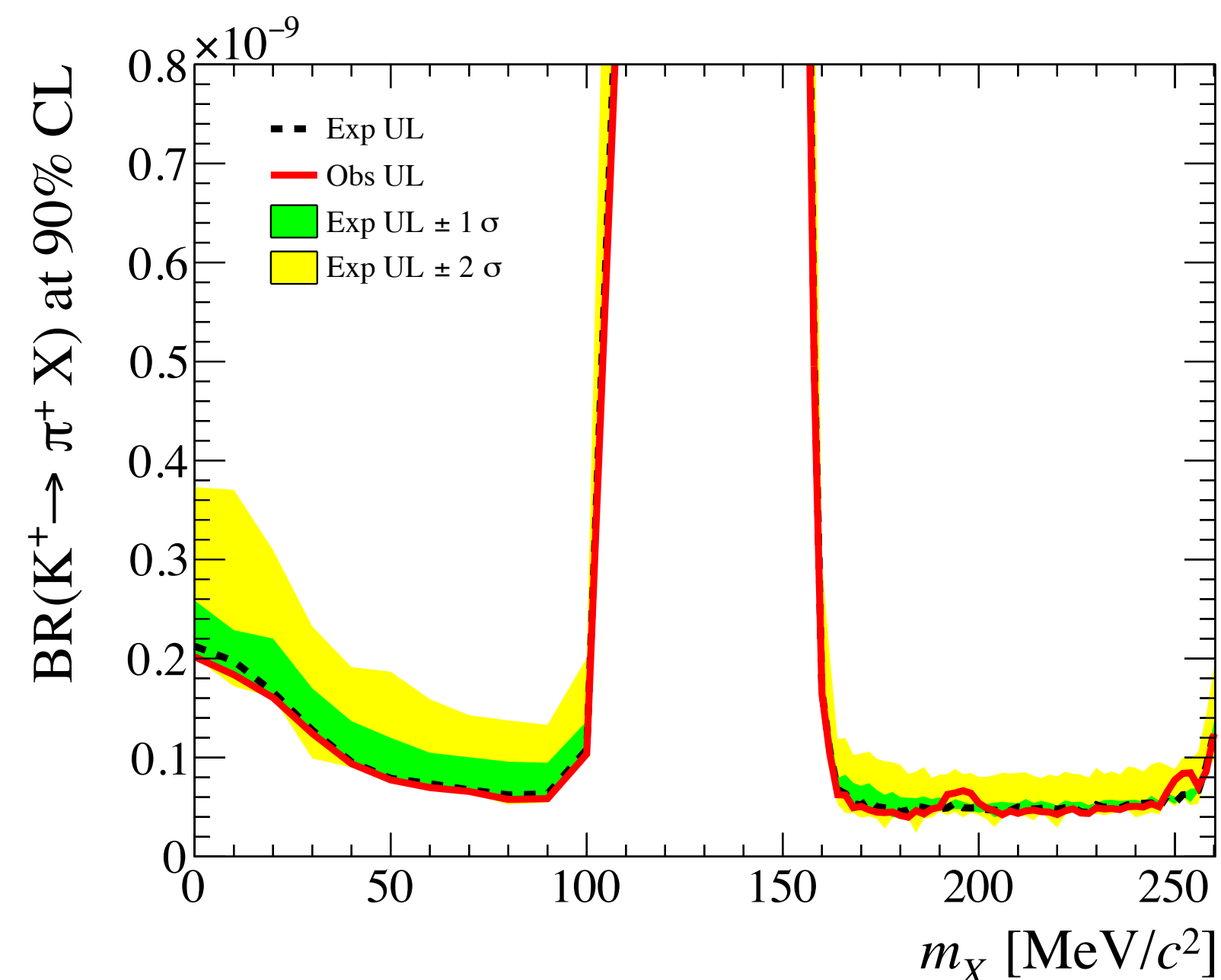
NA62: $K^+ \rightarrow \pi^+ a$, $a \rightarrow \text{invisible}$ [[JHEP 03 \(2021\) 058](#)]

- Search for the $K^+ \rightarrow \pi^+ X$ decay
 - X interpreted as an ALP
 - X decays invisible to the detector
- Backgrounds:
 - $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ (using search based on this channel)
 - $K^+ \rightarrow \pi^+ \pi^0$
 - $K^+ \rightarrow \mu^+ \nu_\mu$
 - $K^+ \rightarrow \pi^+ \pi^+ \pi^-$
- Selection:
 - $m_a \in [0, 110] \cup [160, 250]$ MeV explored
 - $m_{\text{miss}}^2 = (P_K - P_\pi)^2$ used as discriminating variable



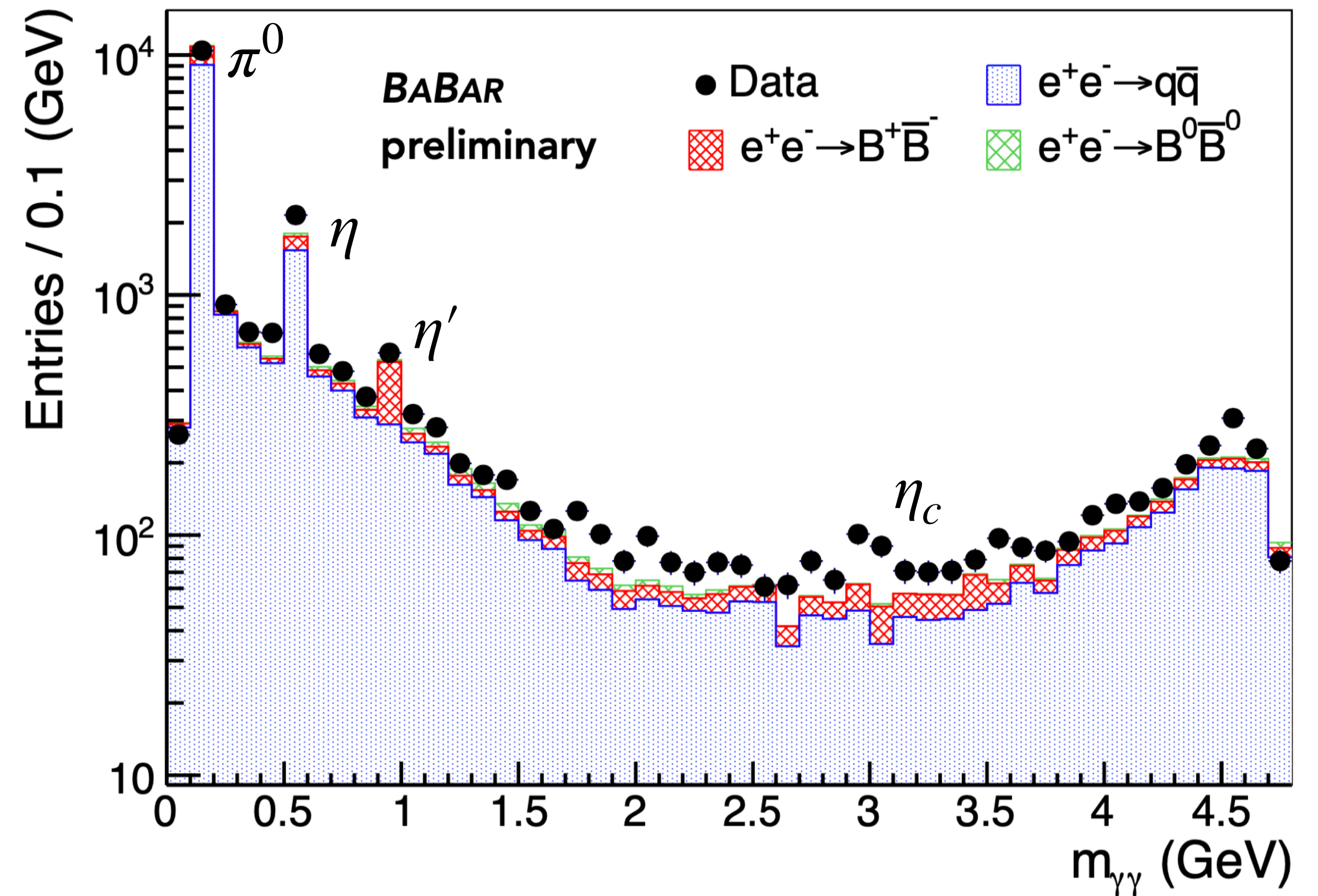
NA62: $K^+ \rightarrow \pi^+ a$, $a \rightarrow$ invisible [JHEP 03 (2021) 058]

- Frequentist hypothesis test with m_{miss}^2 as observable
 - Unbinned profile likelihood ratio test statistic
 - Two compatible events found at $m_X = 196, 252$ MeV
- Upper limits on $\text{BR}(K^+ \rightarrow \pi^+ X)$ using CL_s method at 90 % CL
 - Two category limits on the coupling to ALPs derived from those
 - ALPs decaying to visible particles
 - ALPs decaying invisibly



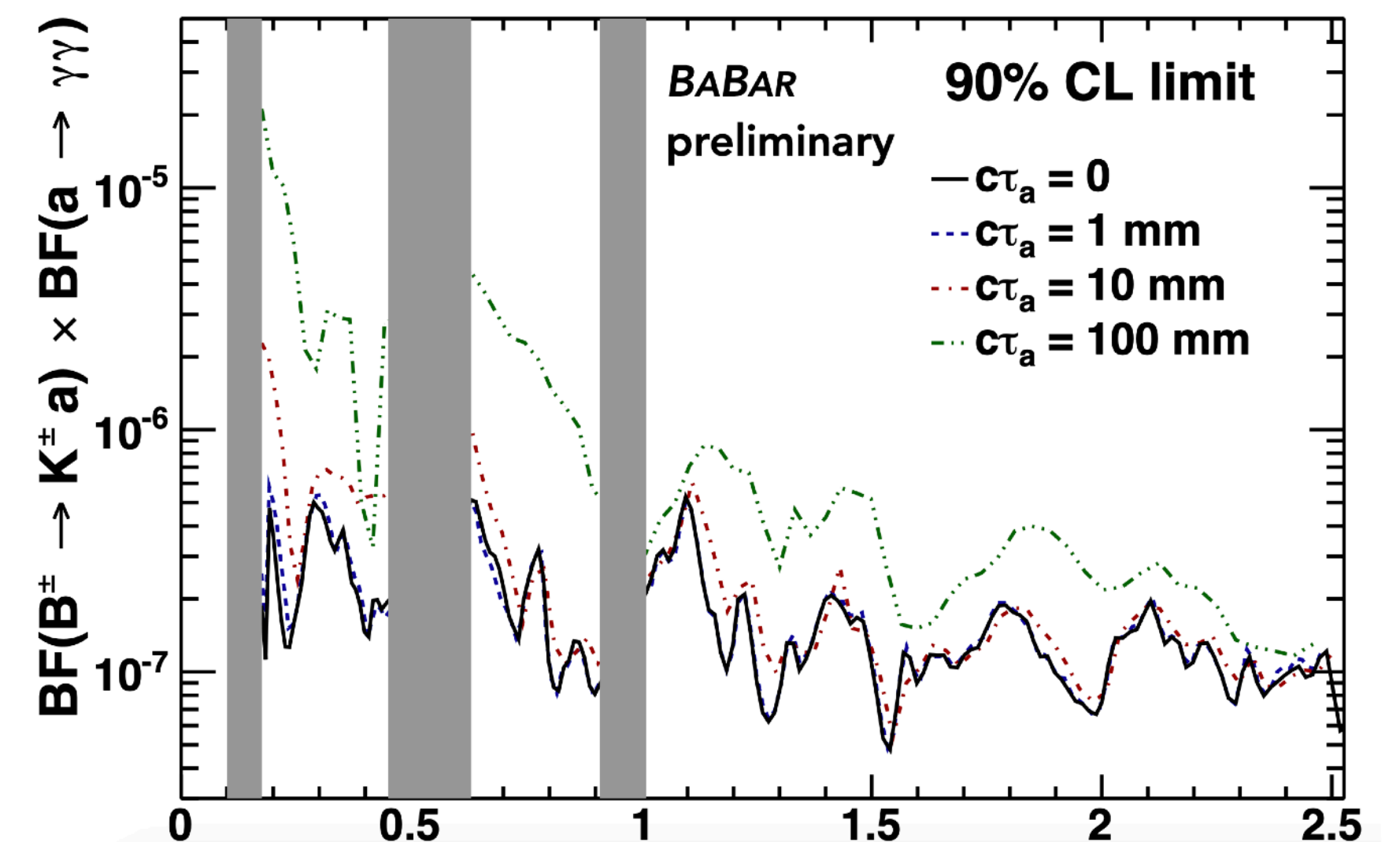
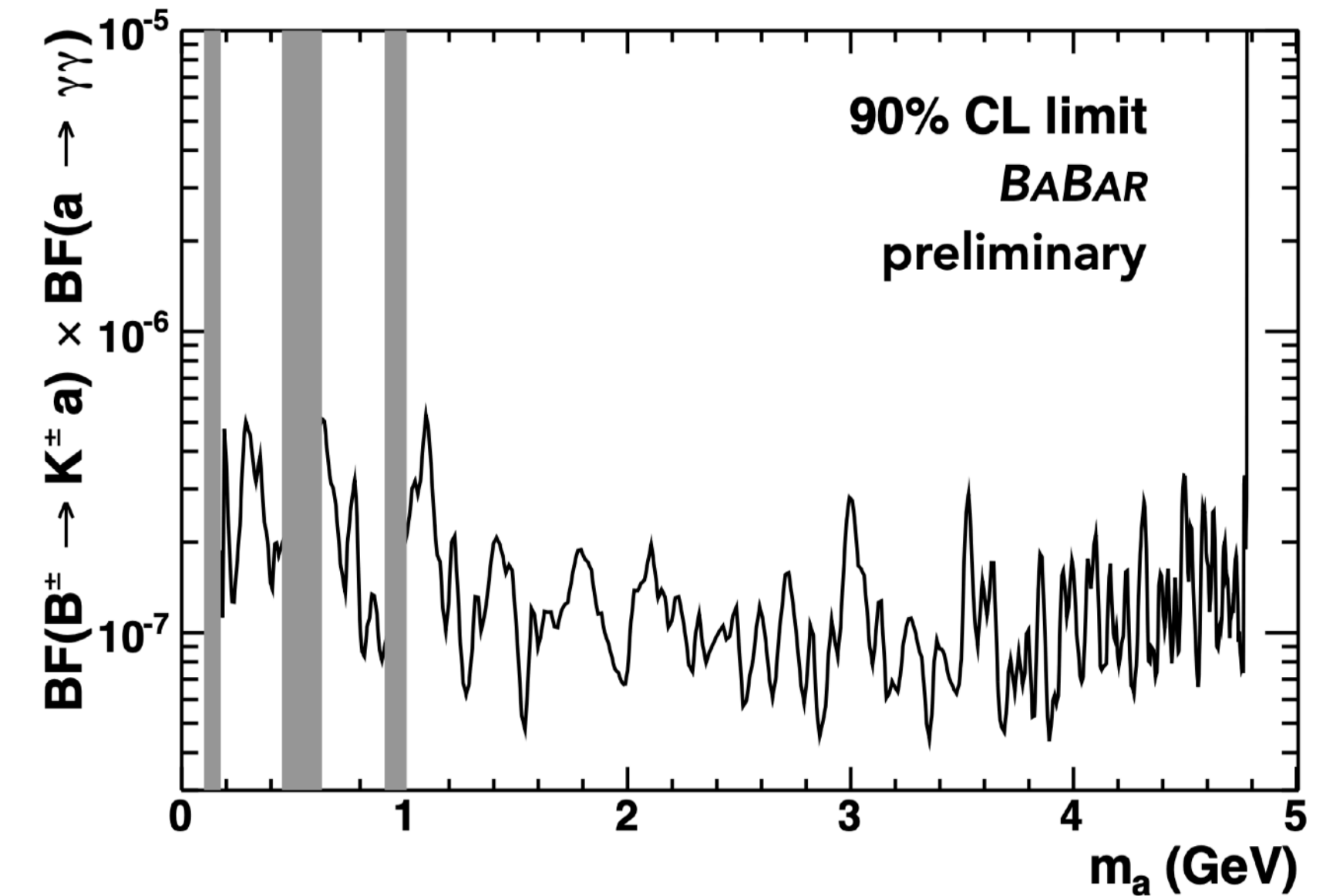
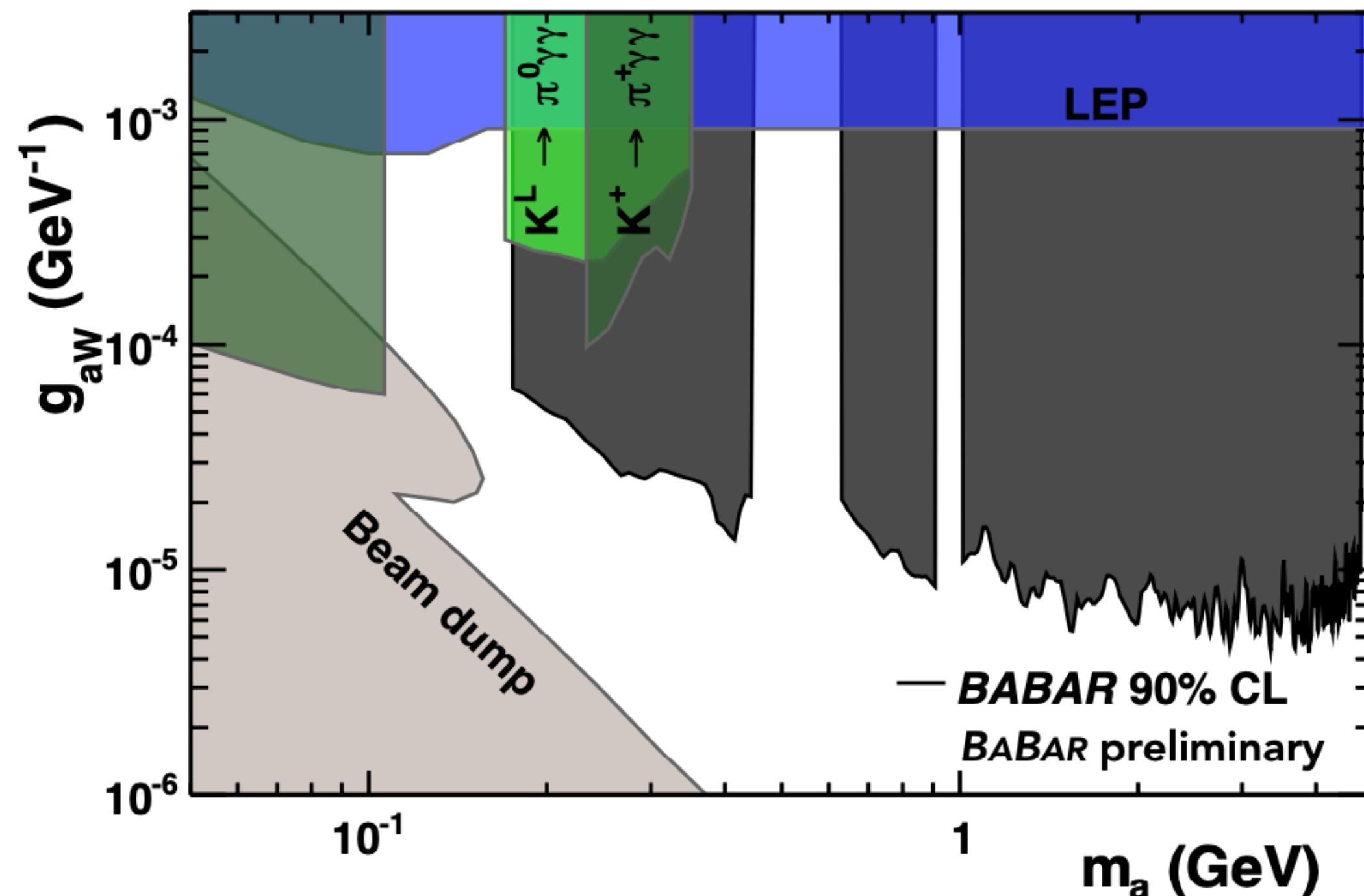
BaBar [Brian Shuve talk at ICHEP]

- Search for ALPs in $B^\pm \rightarrow K^\pm a$, $a \rightarrow \gamma\gamma$ channel
 - Bump hunt for a narrow peak
- Final results waiting:
 - Blind analysis using only 8 % of total data
- Prompt search for
 - $m_a \in [0.1, 4.78]$ GeV
- Displaced search for:
 - $m_a < 2.5$ GeV
 - $c\tau_a = 1, 10, 100$ mm
- Main backgrounds:
 - $e^+e^- \rightarrow q\bar{q}$ ($q = u, d, s, c$)
 - $e^+e^- \rightarrow B\bar{B}$
 - Peaking resonances: π^0 , η , η'



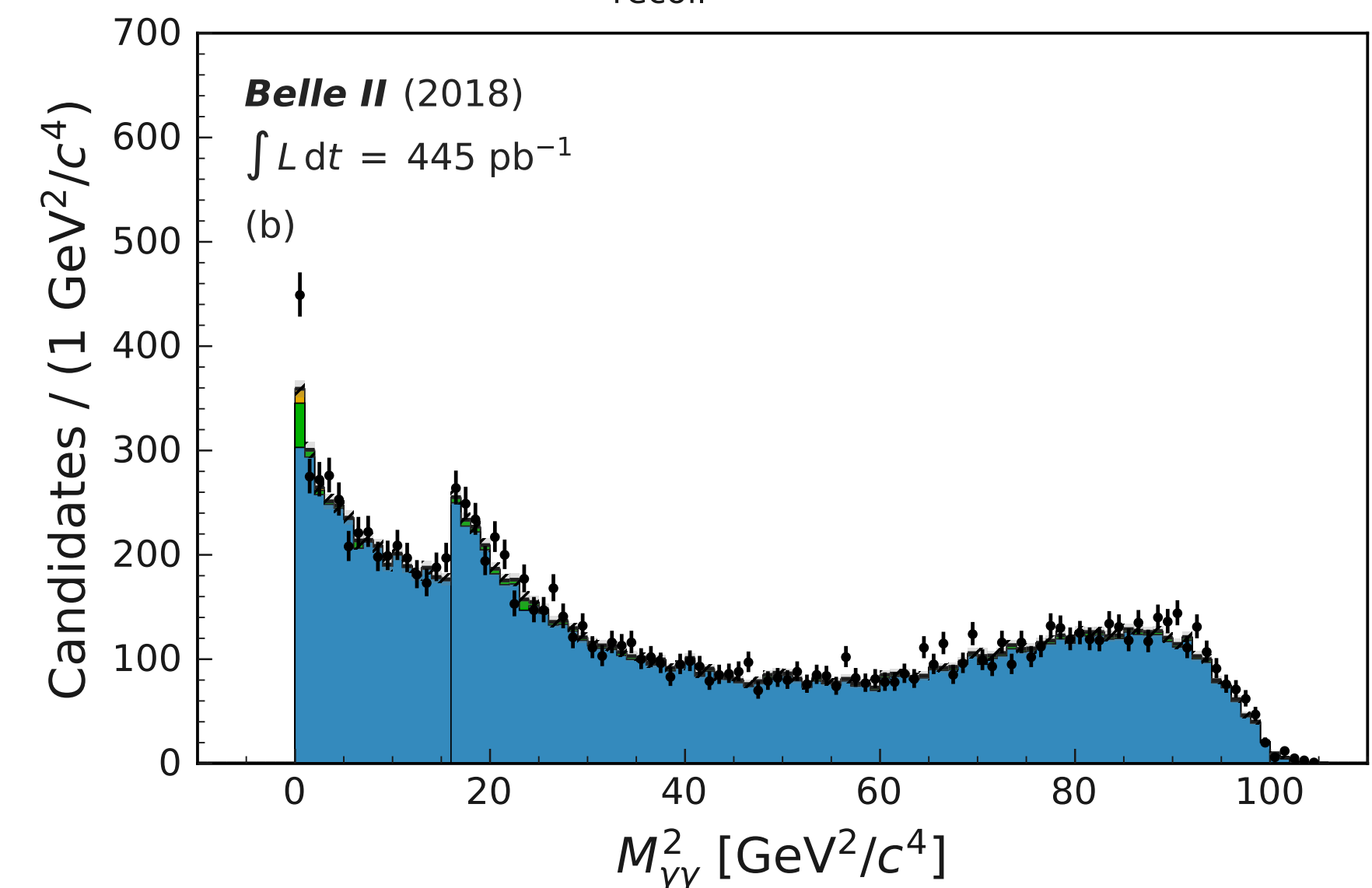
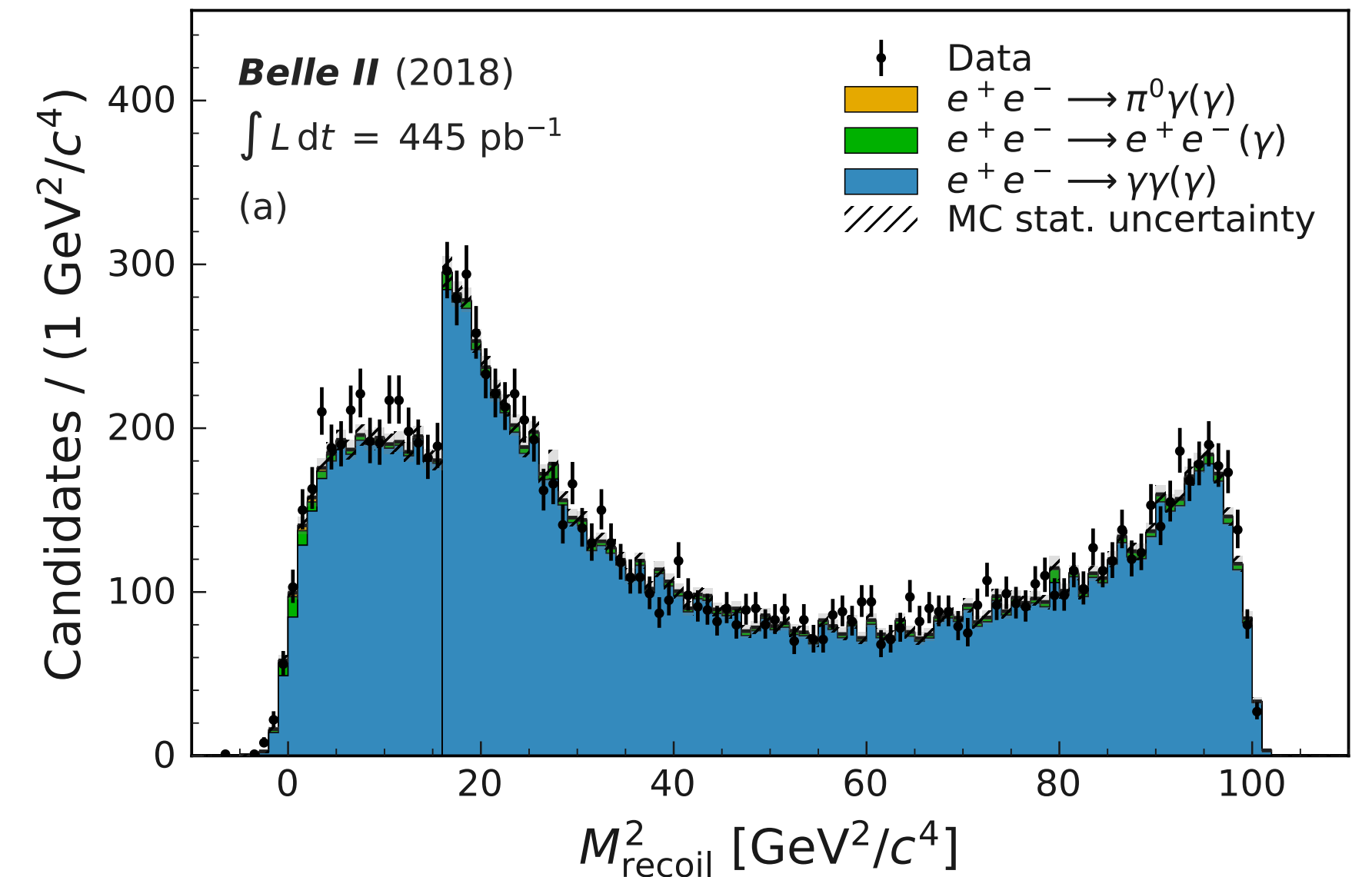
BaBar [Brian Shuve talk at ICHEP]

- Unbinned maximum likelihood fit to $m_{\gamma\gamma}$
- 90 % CL limits placed for prompt and long lived ALPs on $\text{BF}(B^\pm \rightarrow K^\pm a, a \rightarrow \gamma\gamma)$
- $\text{BF}(\tau)$ used to set limits on coupling to vector boson W
 - Improving current bounds by many orders of magnitude !
- Signature to also be covered by Belle II in the near future as reported in EPS conference !



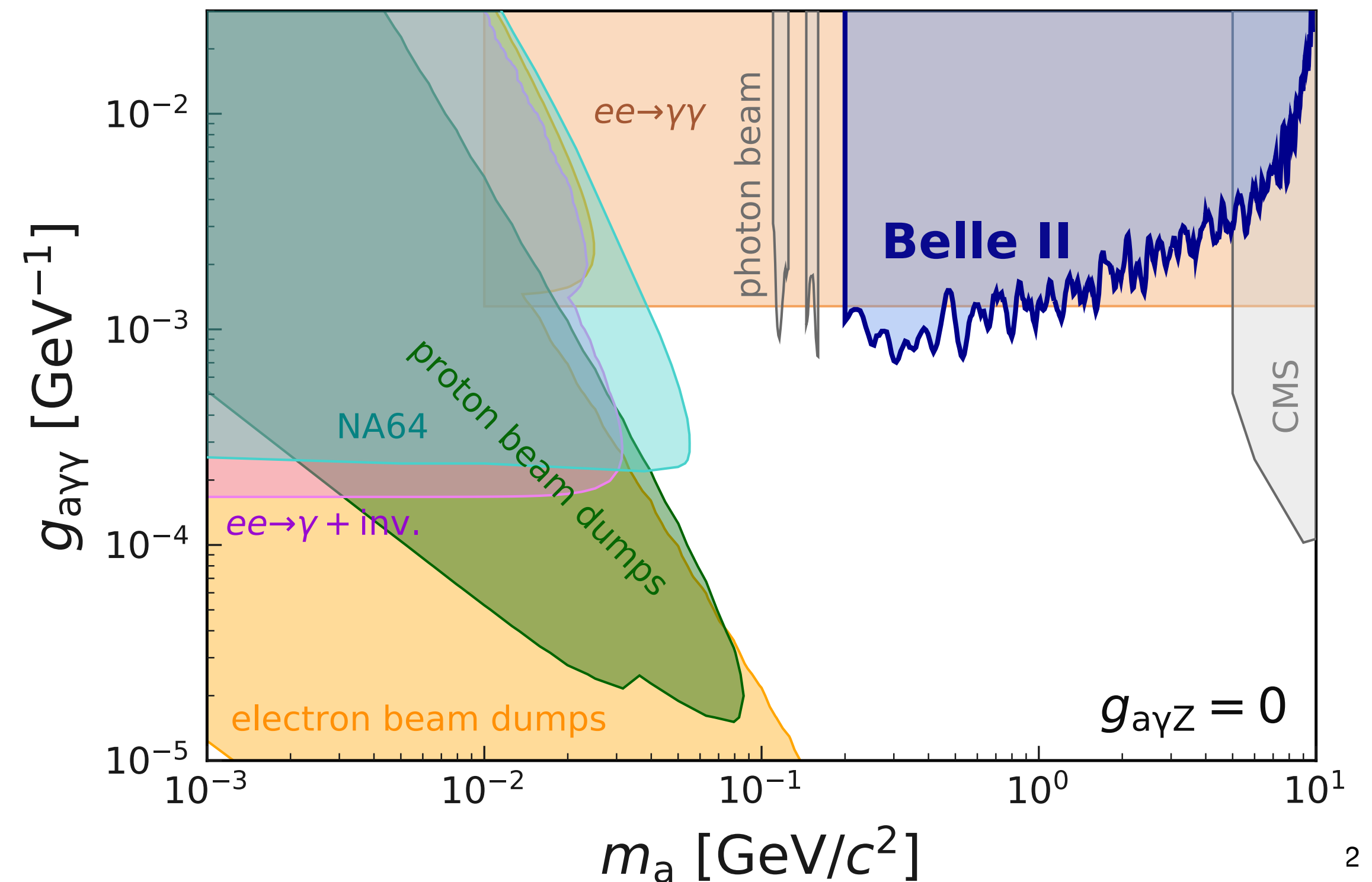
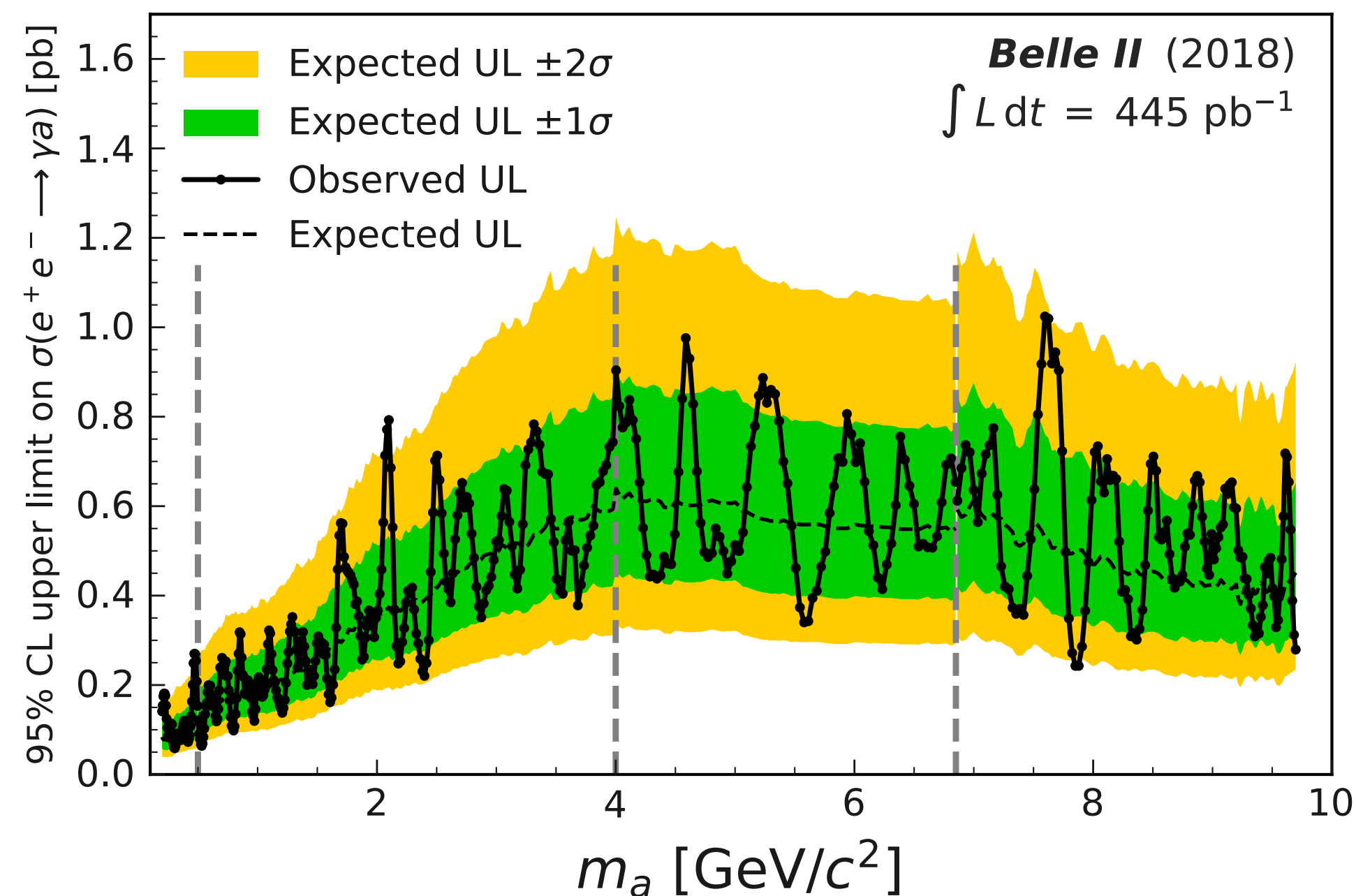
Belle II: $e^+e^- \rightarrow \gamma a, a \rightarrow \gamma\gamma$ [Phys. Rev. Lett. 125, 161806]

- Dataset: 445 pb^{-1} from 2018 pilot run
- Search for ALPs produced together with a γ
- Signature:
 - 3 isolated photons
- Selection:
 - $0.88\sqrt{s} \leq M_{\gamma\gamma} \leq 1.03\sqrt{s}$
- Backgrounds:
 - $e^+e^- \rightarrow \gamma\gamma\gamma$
 - $e^+e^- \rightarrow e^+e^-\gamma$
- Binned extended maximum likelihood fits:
 - $m_a \in [0.2, 6.85] \text{ GeV}$ to the $M_{\gamma\gamma}^2$ distribution
 - $m_a \in [6.85, 9.7] \text{ GeV}$ to the M_{recoil}^2 distribution



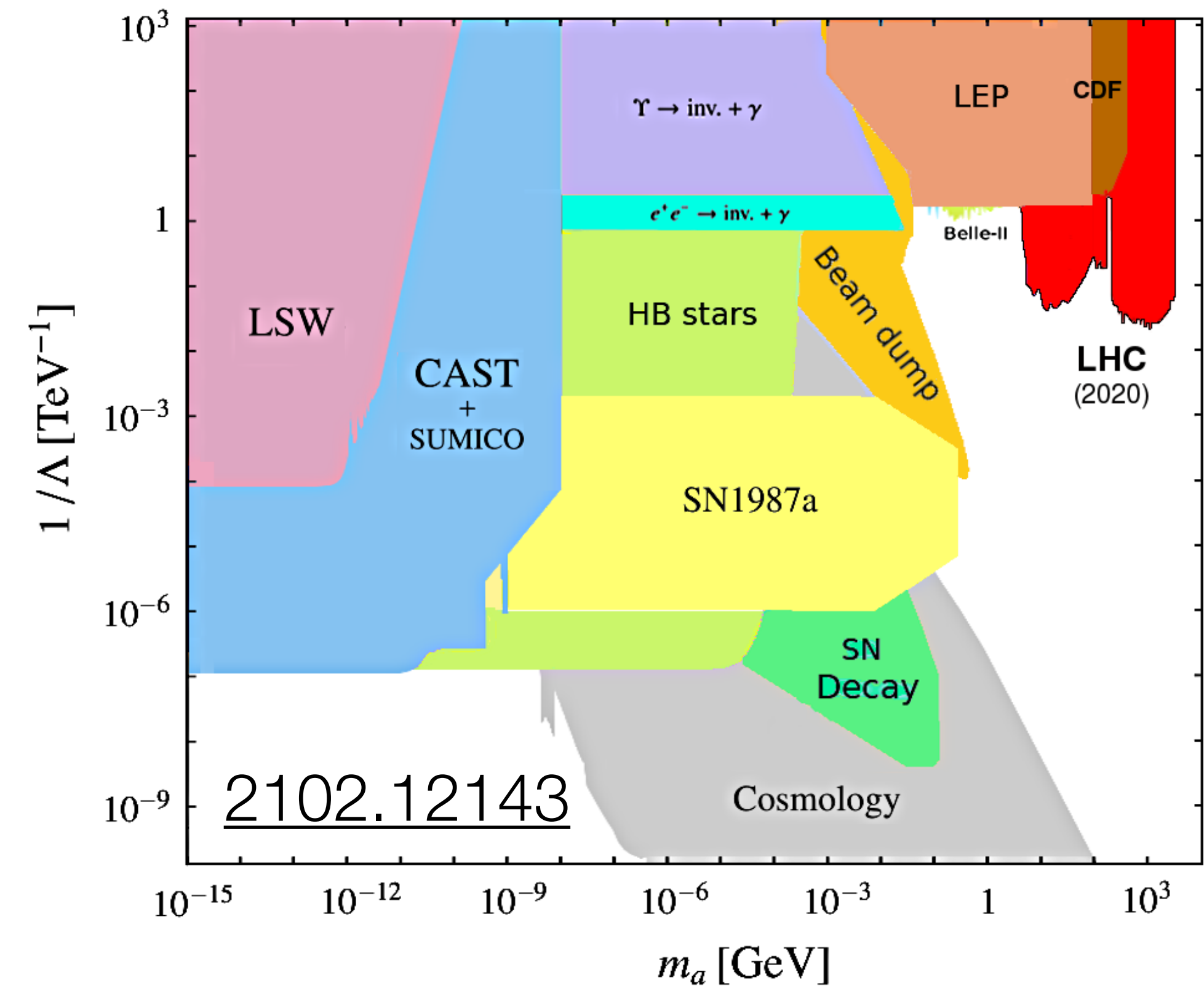
Belle II: $e^+e^- \rightarrow \gamma a, a \rightarrow \gamma\gamma$ [Phys. Rev. Lett. 125, 161806]

- Binned extended maximum likelihood fits over the mass range
 - $m_a \in [0.2, 6.85]$ GeV to the $M_{\gamma\gamma}^2$ distribution
 - $m_a \in [6.85, 9.7]$ GeV to the M_{recoil}^2 distribution
- 95 % CL upper limits on the signal cross section translated into $g_{a\gamma\gamma}$



Take home

- ALPs are pseudo Nambu-Goldstone bosons associated to Spontaneous Symmetry Breaking
- ALPs couple to different sectors of the SM
- Different signatures probing various couplings covered in this talk:
 - Gluons, photons, Vector gauge bosons, Higgs...
- Bright future ahead with new prospects coming from different experiments



BACKUP

Prospects for long-lived particle searches at Belle II (Torben Ferber)

B → Ka Torben Feber @ EPS

- Search for ALPs that predominantly couple to electroweak gauge bosons
- Dominant decay for $m_a \ll m_W$ into photons:

$$\Gamma(a \rightarrow \gamma\gamma) = \frac{g_{aW}^2 \sin^4 \theta_W M_a^3}{64\pi}$$

- Light ALPs naturally long-lived, but decay in general model-dependent

