

08-09-2021

PANIC 2021

Status of single top measurements

Víctor Rodríguez Bouza (on behalf of the ATLAS and CMS collaborations)

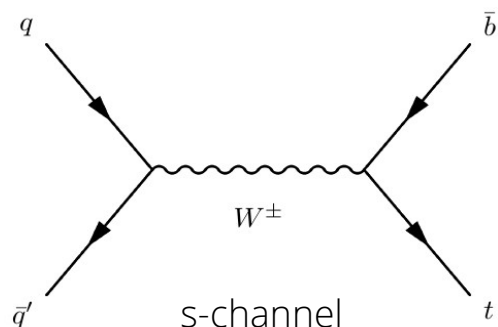
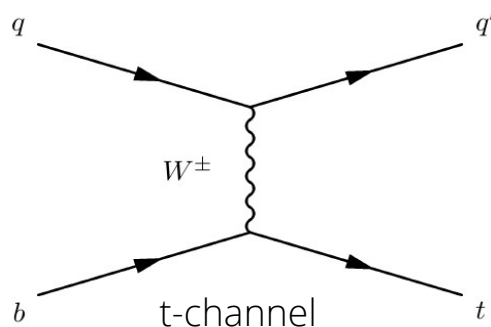


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Introduction: top and single top physics

- The top quark is the most massive particle in the SM.
 - Highest Yukawa coupling to the Higgs boson.
 - Due to its large mass, decays almost always before hadronising.
- It has large interest at LHC physics due to:
 - Multiple links with BSM proposals (e.g. SUSY extensions such as stops).
 - Large presence of its production processes (above all pair production) due to their large cross section.
- Top quark production occurs in two different ways: top pair (QCD) and **single top** (EW):
 - Allow to probe and measure V_{tb} .
 - Top quark is polarised, because of the tWb vertex.

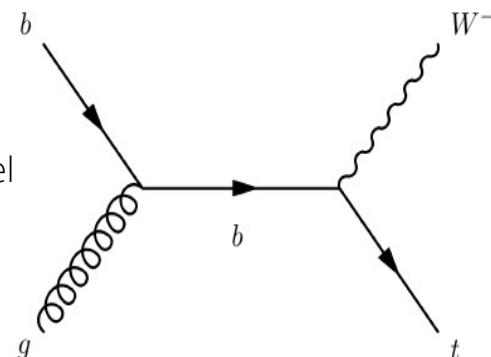
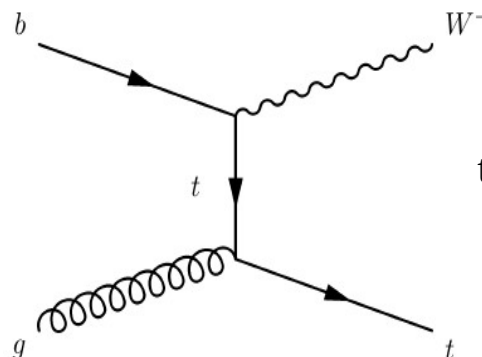


(13 TeV)
 $\sigma_{s\text{ ch.}} = 10.32_{-0.24}^{+0.29}(\text{scale}) \pm 0.27(\text{PDF}, \alpha_S) \text{ pb}$

(13 TeV)
 $\sigma_{t\text{ ch.}} = 217.0_{-4.6}^{+6.6}(\text{scale}) \pm 6.2(\text{PDF}, \alpha_S) \text{ pb}$

- M. Aliev et al., Comput.Phys.Commun.182:1034-1046,2011
- P. Kant et al., Comput.Phys.Commun. 191 (2015) 74-89
- N. Kidonakis, arXiv:1506.04072

(13 TeV)
 $\sigma_{tW} = 71.7 \pm 1.8(\text{scale}) \pm 3.4(\text{PDF}, \alpha_S) \text{ pb}$



Polarisation measurement and bounds on the tWb dipole operator

13 TeV, 139 fb⁻¹, t-channel

ATLAS-CONF-2021-027

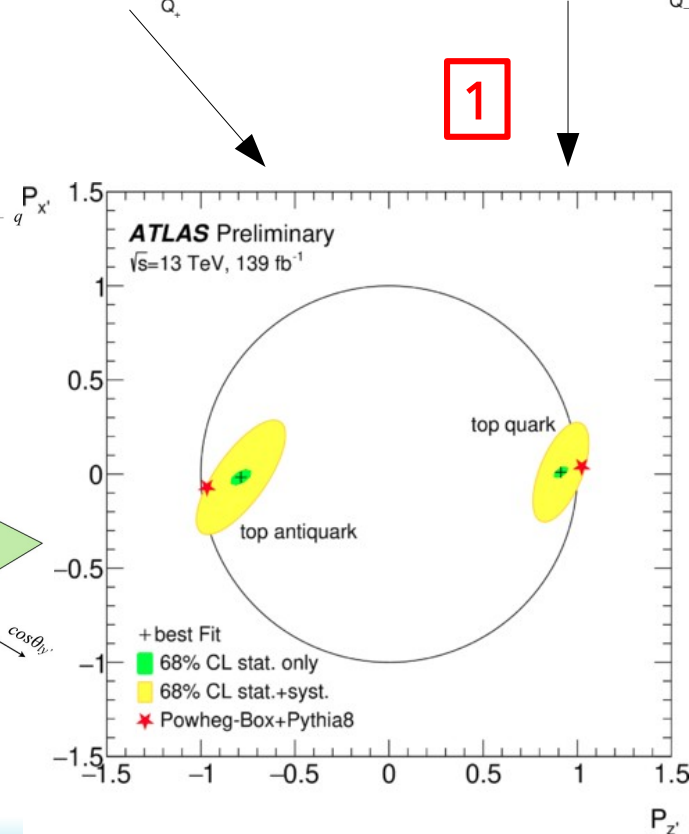
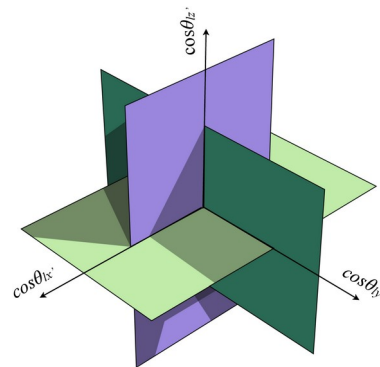
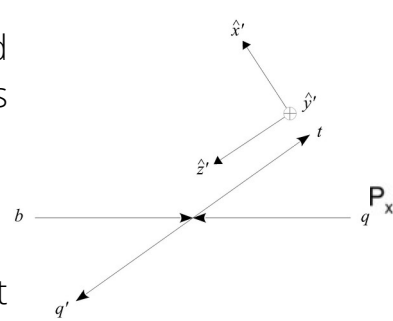
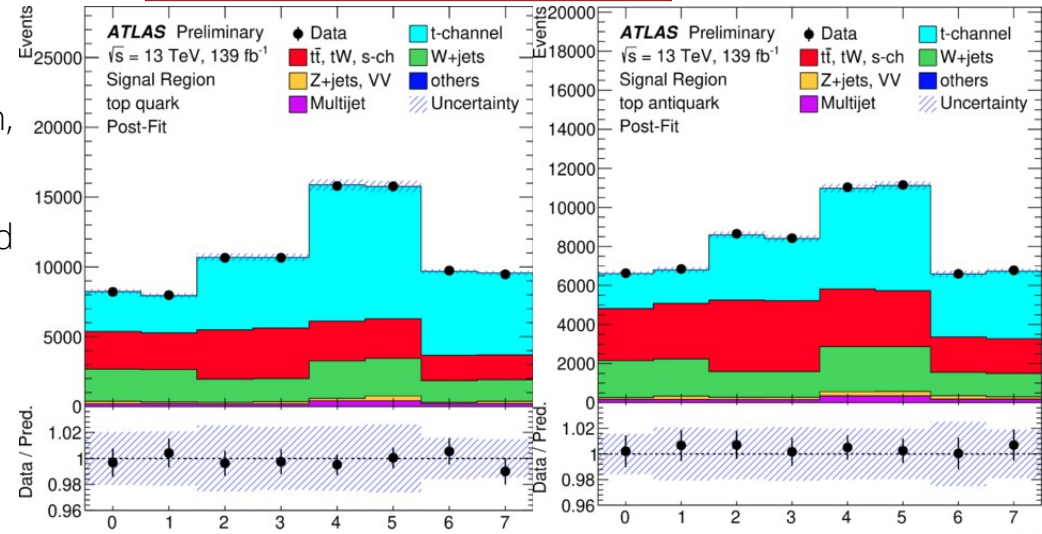


Event selection:

- One single central, isolated and energetic (>30 GeV) lepton, either muon or electron.
- Looser leptons (in terms of p_T and other ID criteria) are vetoed to reduce contribution from backgrounds.
- Exactly two energetic jets, of which one must be b-tagged.
- Significant p_T^{miss} (> 35 GeV).
- Cuts to avoid multijet background on the m_T(l, p_T^{miss}) and p_T(l).
- Further S/B enhancement on the pseudorapidity and invariant mass of the top quark and other systems, as well as the scalar sum of the p_T of all final-state objects.

First aim: polarisation vector of single top/antitop quarks.

- The direction of the charged lepton in the top quark rest frame is obtained, and with it, the values of cos θ_{l*}.
- With it, a fit over 16 signal region bins depending on the value in the octant vector for both quark (Q₊) and antiquark (Q₋), and 4 control region bins (for ttbar and W+jets) is done.
- **Protos** (LO generator) is used to obtain the projection of the joint PDF of the fully differential angular decay distribution onto the Q variable.
- Result compatible with the prediction at NLO from Powheg+Pythia8.



Polarisation measurement and bounds on the tWb dipole operator

13 TeV, 139 fb⁻¹, t-channel

ATLAS-CONF-2021-027

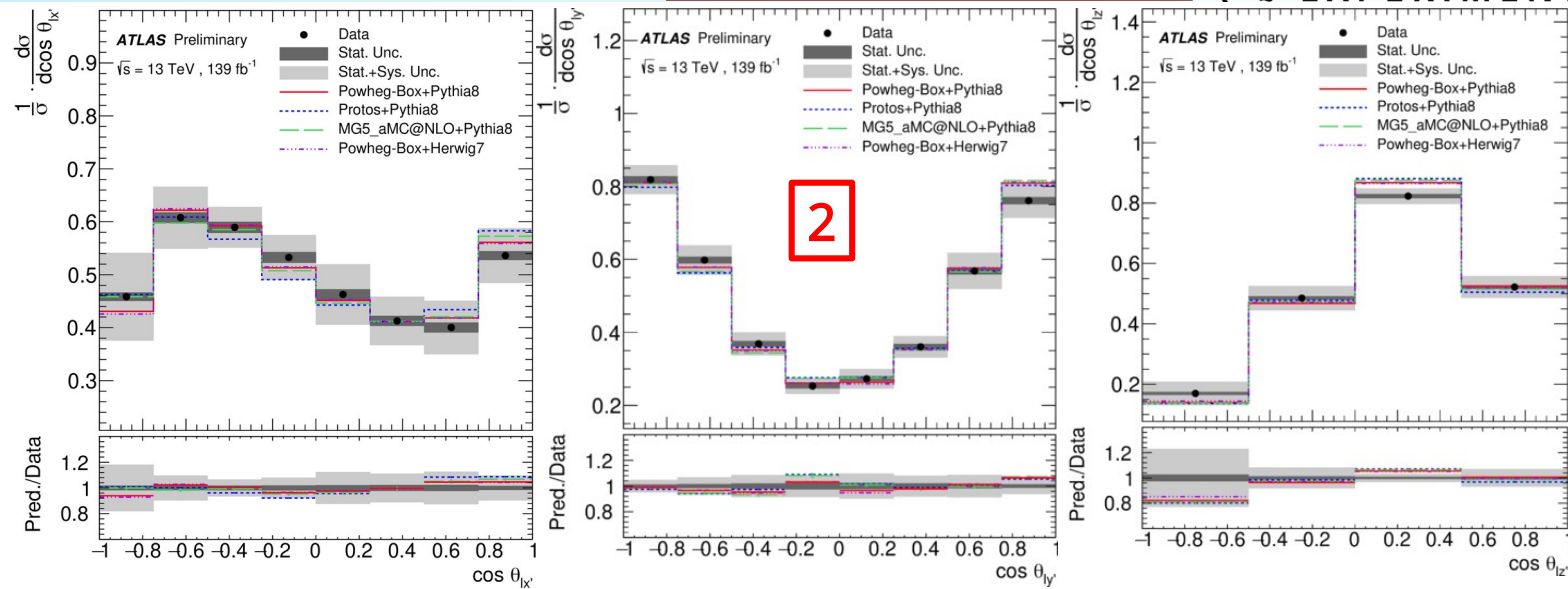


• **Second aim:** differential cross-section of angular observables

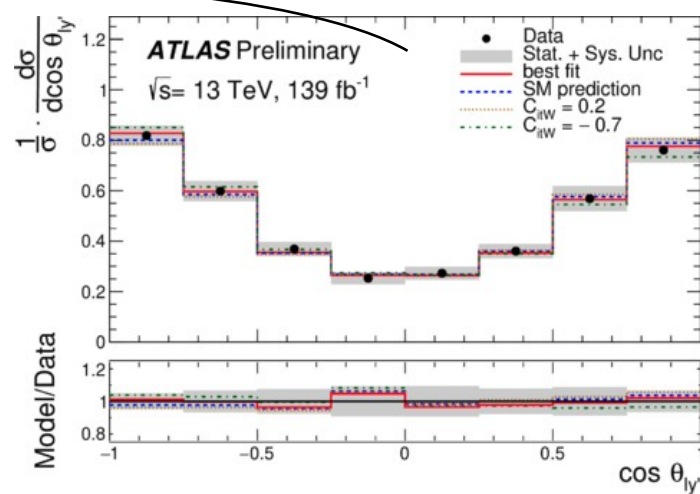
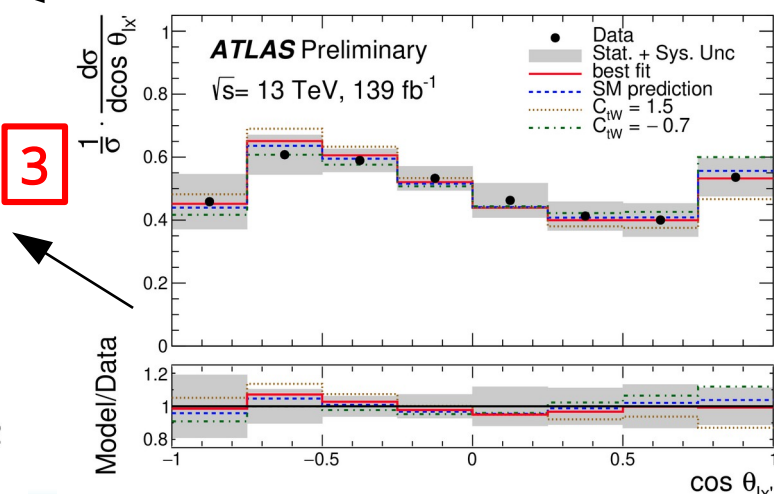
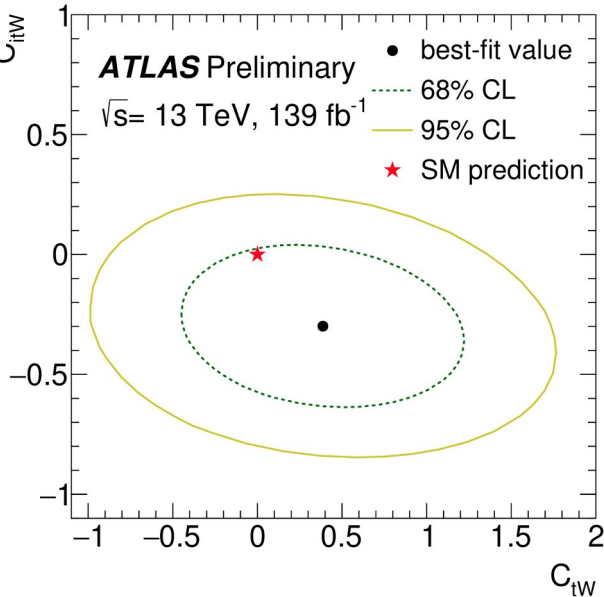
- D'Agostini's iterative Bayesian method is implemented using **RooUnfold**.

- Binning is optimised to get a stable unfolding. Bias introduced is checked to be negligible.

- Result in agreement with predictions at NLO & LO QCD from generators interfaced with Pythia8 and Herwig7.



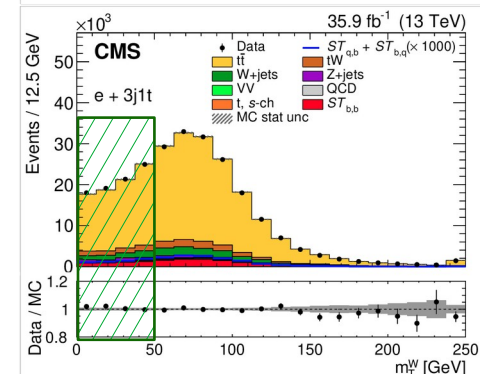
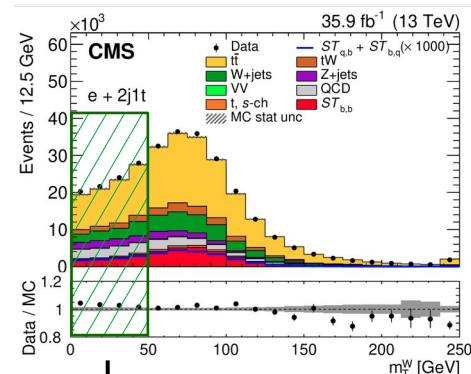
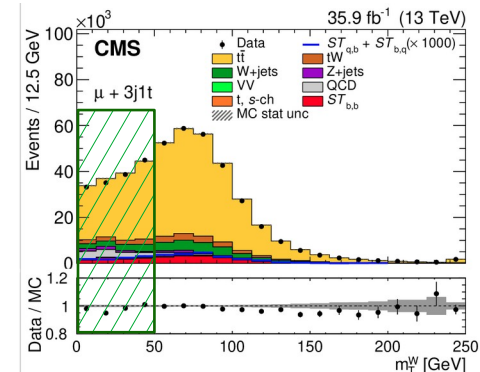
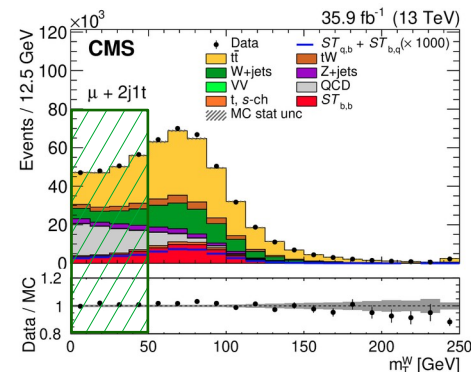
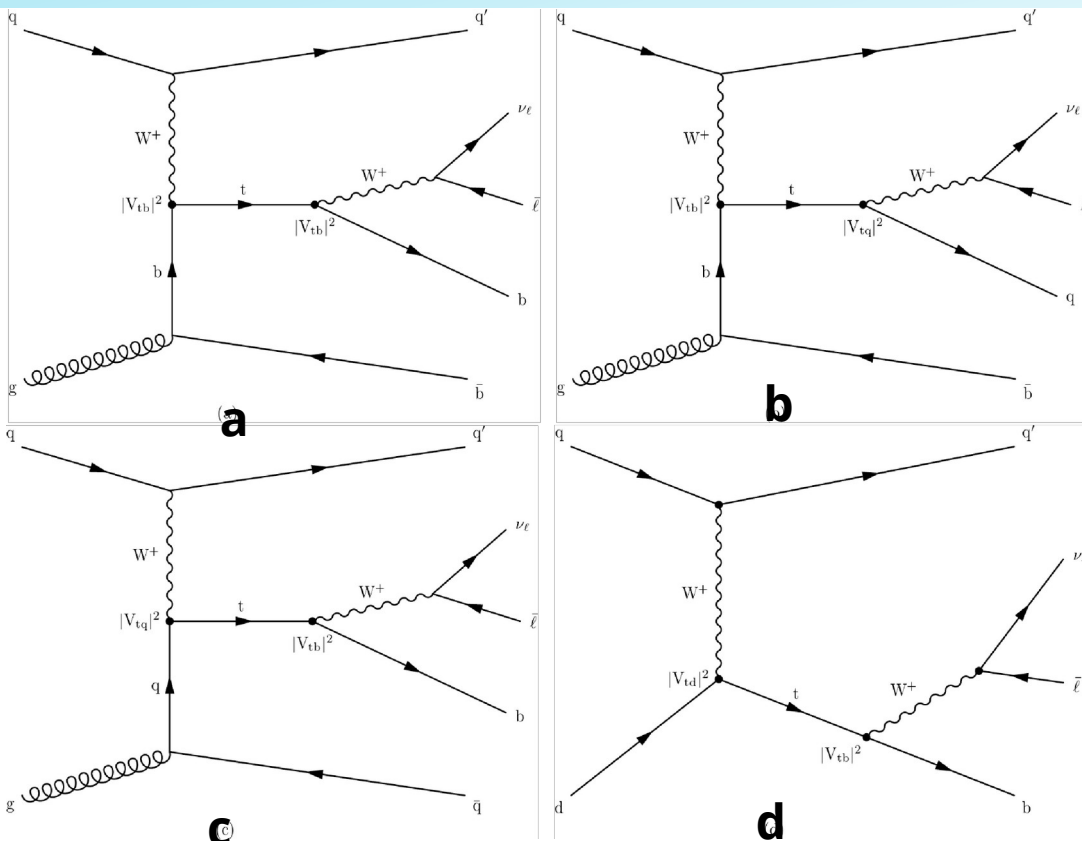
- **Third aim:** establish bounds on O_{tW} coefficients C_{tW} (real part) and C_{itW} (imaginary part).
 - An EFT expression depending on both coefficients is used to do a fit over the $\cos \theta_{lx}$ and $\cos \theta_{ly}$ distributions.
 - Results are in good agreement with the SM prediction.



Measurement of CKM matrix elements

13 TeV, 35.9 fb⁻¹, t-channel

Phys. Lett. B 808 (2020) 135609



Event selection:

- One single central, isolated and energetic (>30 GeV) lepton, either muon or electron.
- At least two jets: either low-p_T ones (>20 GeV), or high-p_T jets (> 40 GeV).
- m_T(W)>50 GeV.
- Additional cut is imposed in the 3j2t region to enhance S/B ratio.

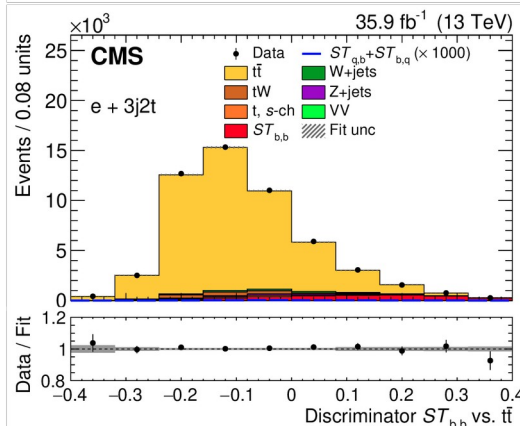
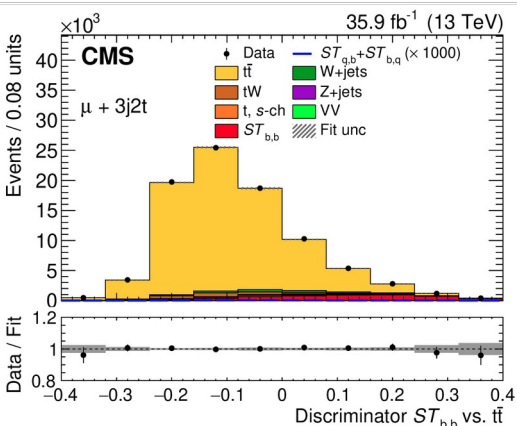
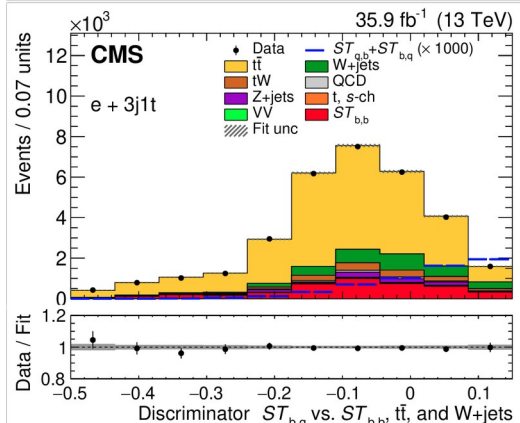
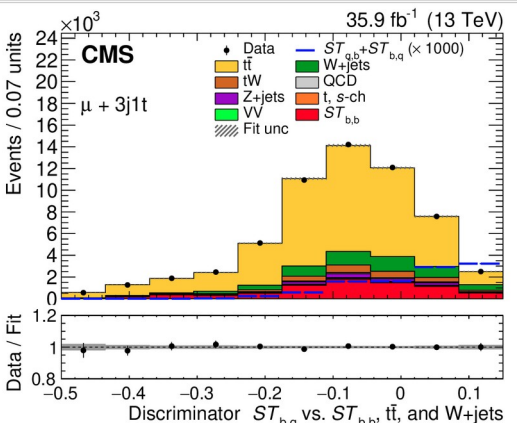
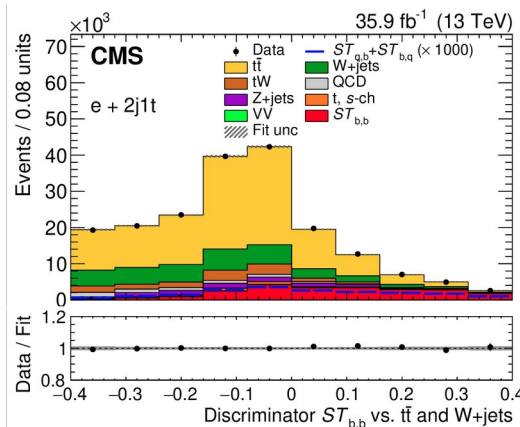
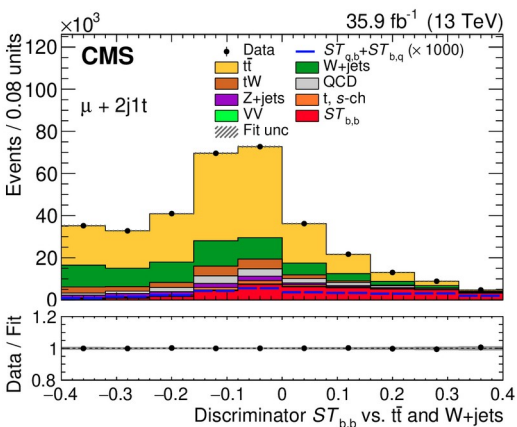
Category	Enriched in	Cross section × branching fraction	Feynman diagram
2j1t	ST _{b,b}	$\sigma_{t\text{-ch},b} \mathcal{B}(t \rightarrow Wb)$	1a
3j1t	ST _{b,q'}} ST _{q,b}	$\sigma_{t\text{-ch},b} \mathcal{B}(t \rightarrow Wq), \sigma_{t\text{-ch},q} \mathcal{B}(t \rightarrow Wb)$	1b, 1c, 1d
3j2t	ST _{b,b}	$\sigma_{t\text{-ch},b} \mathcal{B}(t \rightarrow Wb)$	1a

- **Strategy:** perform a maximum-likelihood fit on the 2j1t, 3j1t and 3j2t regions.
 - The signals are the t-ch. decay modes featuring different V_{tx} in their Feynman diagrams: ST_{b,b'}, ST_{b,q} and St_{q,b'}.
- MVAs (BDT) are trained for each region, and its discriminant is used for the ML fit.
 - Previously, two ML fit are done to extract the QCD/multijet background normalisations in the 2j1t and 3j1t regions.

Measurement of CKM matrix elements

13 TeV, 35.9 fb⁻¹, t-channel

Phys. Lett. B 808 (2020) 135609



- The results for the signal strengths of the $ST_{b,b}$ and the $ST_{q,b}+ST_{b,q}$ are:

$$\mu_b = 0.99 \pm 0.03 \text{ (stat+prof)} \pm 0.12 \text{ (nonprof)}$$

$$\mu_{sd} < 87 \text{ at 95\% confidence level (CL),}$$

- Through approximations and neglecting small contributions, the results can be interpreted in different contexts:

- **SM case** (imposing unitarity; 95% CL):

$$|V_{tb}| > 0.970$$

$$|V_{td}|^2 + |V_{ts}|^2 < 0.057.$$

- **BSM case 1** (the top quark decays as in the SM, but the CKM matrix is modified):

$$|V_{tb}| = 0.988 \pm 0.027 \text{ (stat+prof)} \pm 0.043 \text{ (nonprof)}$$

$$|V_{td}|^2 + |V_{ts}|^2 = 0.06 \pm 0.05 \text{ (stat+prof)} \begin{matrix} +0.04 \\ -0.03 \end{matrix} \text{ (nonprof).}$$

- **BSM case 2** (the top quark decays in new unknown ways):

$$|V_{tb}| = 0.988 \pm 0.011 \text{ (stat+prof)} \pm 0.021 \text{ (nonprof)}$$

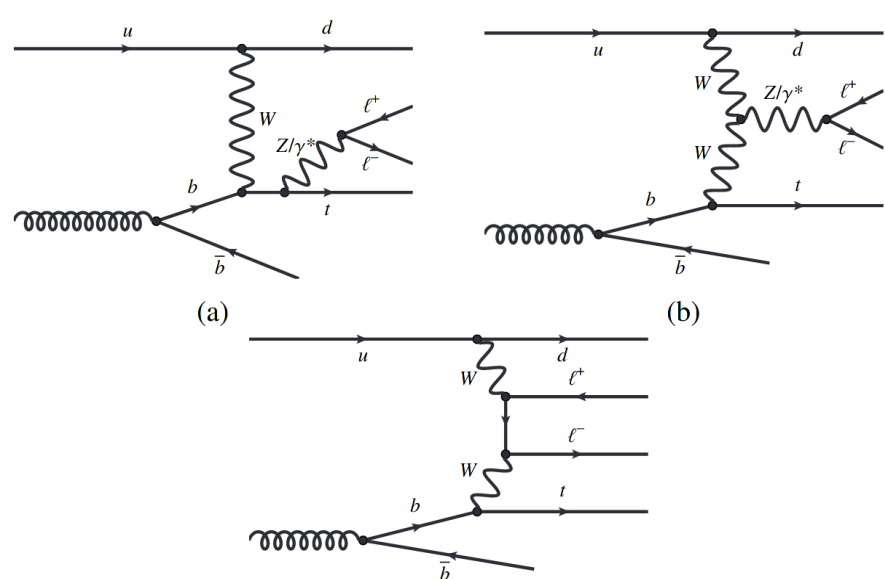
$$|V_{td}|^2 + |V_{ts}|^2 = 0.06 \pm 0.05 \text{ (stat+prof)} \pm 0.04 \text{ (nonprof)}$$

$$\frac{\Gamma_t^{\text{obs}}}{\Gamma_t} = 0.99 \pm 0.42 \text{ (stat+prof)} \pm 0.03 \text{ (nonprof).}$$

Inclusive measurement of tZq production

13 TeV, 139 fb⁻¹, tZq process

JHEP 07 (2020) 124



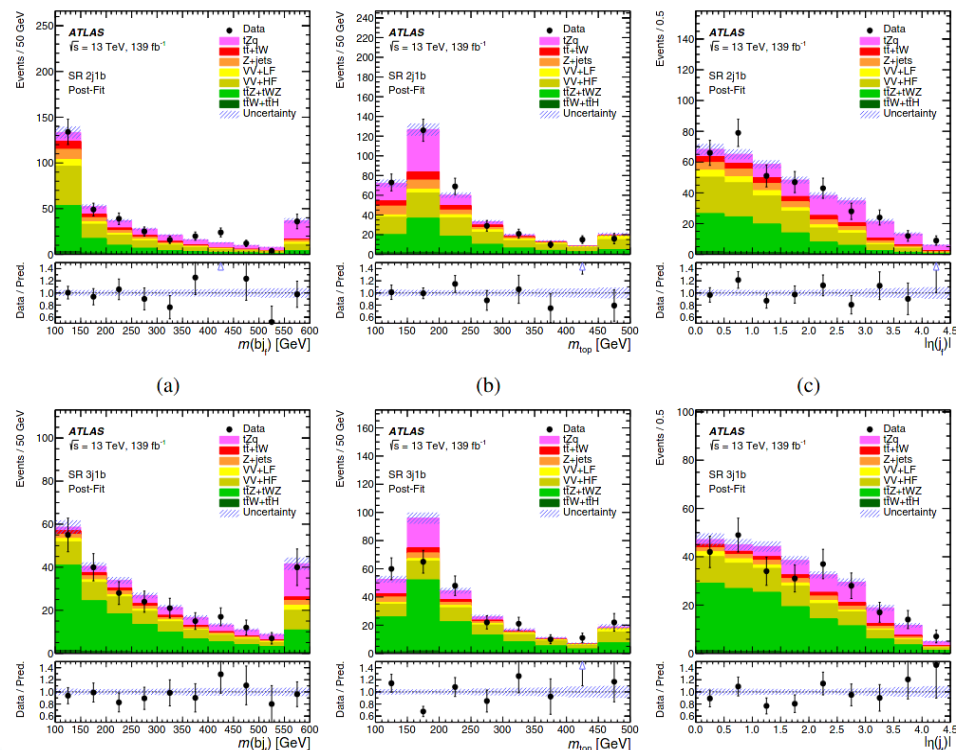
Common selections

Exactly 3 leptons (e or μ) with $|\eta| < 2.5$
 $p_T(\ell_1) > 28$ GeV, $p_T(\ell_2) > 20$ GeV, $p_T(\ell_3) > 20$ GeV
 $p_T(\text{jet}) > 35$ GeV

SR 2j1b	CR diboson 2j0b	CR $t\bar{t}$ 2j1b	CR $t\bar{t}Z$ 3j2b
≥ 1 OSSF pair $ m_{\ell\ell} - m_Z < 10$ GeV 2 jets, $ \eta < 4.5$ 1 b -jet, $ \eta < 2.5$	≥ 1 OSSF pair $ m_{\ell\ell} - m_Z < 10$ GeV 2 jets, $ \eta < 4.5$ 0 b -jets	≥ 1 OSDF pair No OSSF pair 2 jets, $ \eta < 4.5$ 1 b -jet, $ \eta < 2.5$	≥ 1 OSSF pair $ m_{\ell\ell} - m_Z < 10$ GeV 3 jets, $ \eta < 4.5$ 2 b -jets, $ \eta < 2.5$
SR 3j1b	CR diboson 3j0b	CR $t\bar{t}$ 3j1b	CR $t\bar{t}Z$ 4j2b
≥ 1 OSSF pair $ m_{\ell\ell} - m_Z < 10$ GeV 3 jets, $ \eta < 4.5$ 1 b -jet, $ \eta < 2.5$	≥ 1 OSSF pair $ m_{\ell\ell} - m_Z < 10$ GeV 3 jets, $ \eta < 4.5$ 0 b -jets	≥ 1 OSDF pair No OSSF pair 3 jets, $ \eta < 4.5$ 1 b -jet, $ \eta < 2.5$	≥ 1 OSSF pair $ m_{\ell\ell} - m_Z < 10$ GeV 4 jets, $ \eta < 4.5$ 2 b -jets, $ \eta < 2.5$

Strategy:

- Categorisation of events depending on the number of jets, and number of those b -tagged. Then, a maximum-likelihood fit is used to extract the signal.
- For the signal regions (2j1b, 3j1b), two neural networks are trained, and their discriminant are used in the fit.
- For the control regions various observables are used:
 - 2j0b, 3j0b $\rightarrow m_T(l, p_T^{\text{miss}})$.
 - 2j1b, 3j1b \rightarrow number of events (one bin).
 - 3j2b, 4j2b \rightarrow the SR's NN discriminant are used.



Inclusive measurement of tZq production

13 TeV, 139 fb⁻¹, tZq process

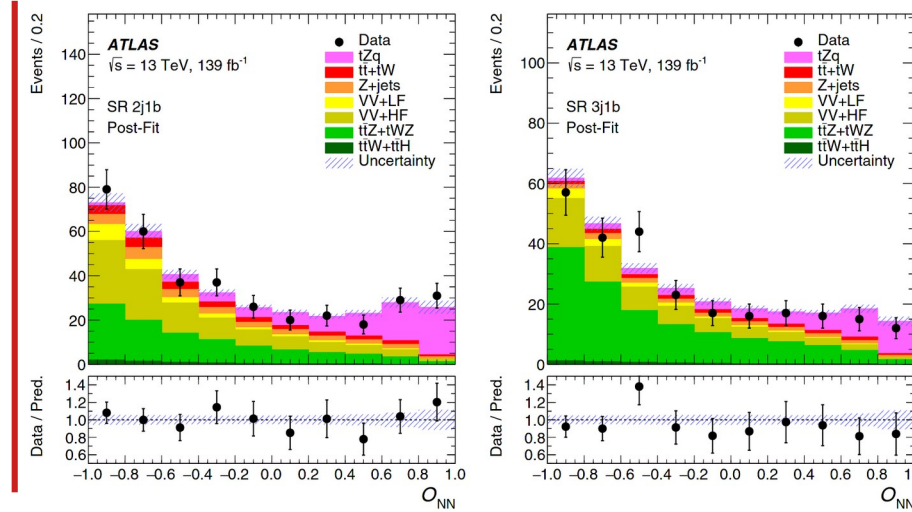
JHEP 07 (2020) 124



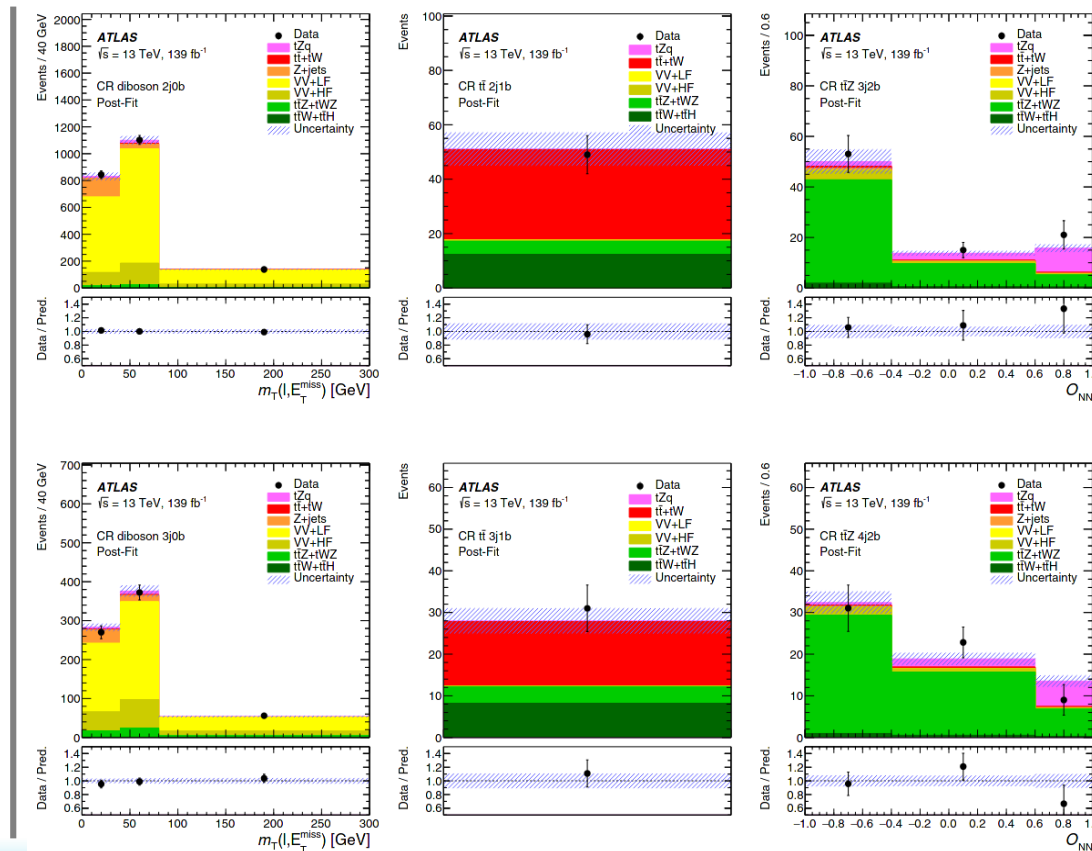
$$\sigma_{\text{obs.}} = 97 \pm 13(\text{stat.}) \pm 7(\text{syst.})\text{fb}$$

$$\sigma_{\text{pred.}} = 102^{+5}_{-2}\text{fb} \quad \text{JHEP 2014, 79 (2014)}$$

SR



CR



Uncertainty source	$\Delta\sigma/\sigma$ [%]
Prompt-lepton background modelling and normalisation	3.3
Jets and E_T^{miss} reconstruction and calibration	2.0
Lepton reconstruction and calibration	2.0
Luminosity	1.7
Non-prompt-lepton background modelling	1.6
Pile-up modelling	1.2
MC statistics	1.0
tZq modelling (QCD radiation)	0.8
tZq modelling (PDF)	0.7
Jet flavour tagging	0.4
Total systematic uncertainty	7.0
Data statistics	12.6
$t\bar{t} + tW$ and $Z + \text{jets}$ normalisation	2.1
Total statistical uncertainty	12.9

Inclusive and differential measurement of tZq production

13 TeV, 138 fb⁻¹, tZq channel

CMS-PAS-TOP-20-010



Event selection

- Three central and energetic leptons ($p_{T>} 25, 15, 10$ GeV), two of them should form an OSSF pair with invariant mass compatible with that of a Z boson.
- At least two energetic jets ($p_{T>} 25$ GeV), of which at least one should be b tagged.

- Non-prompt backgrounds are estimated through data-driven methods.

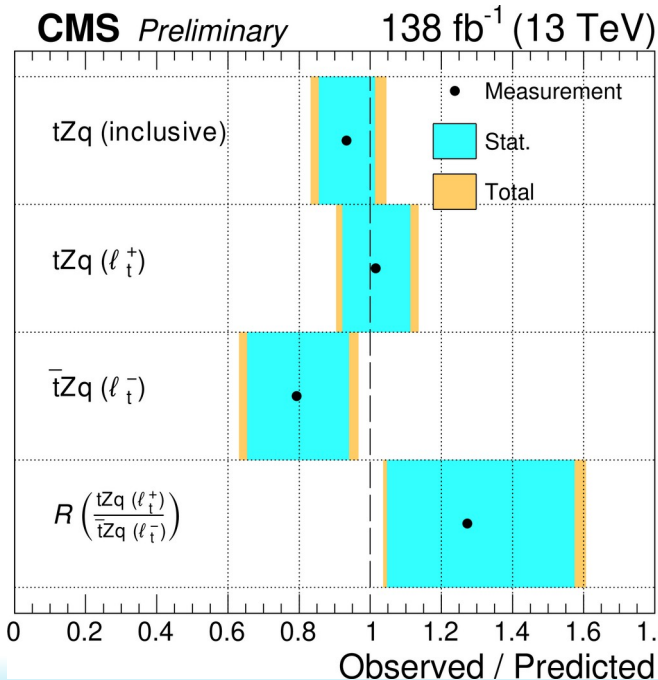
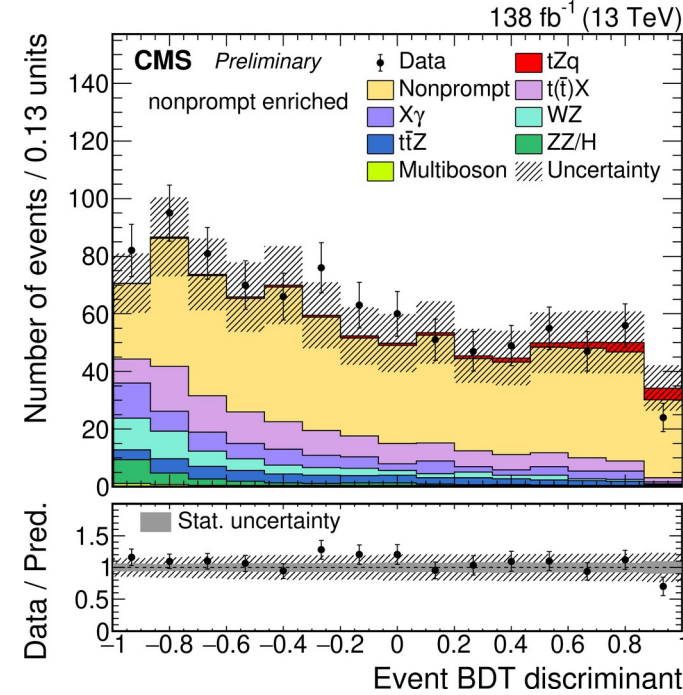
Inclusive measurement strategy

- An MVA output is used to do a maximum-likelihood fit and extract the signal.
- Control regions are defined to constrain the WZ and Zy backgrounds.
- The inclusive, as well as the separated contributions per charge of the lepton coming from the top of the tZq process and its ratio are extracted.

$$\sigma_{tZq(\ell_t^+)} = 62.2^{+5.9}_{-5.7} \text{ (stat)}^{+4.4}_{-3.7} \text{ (syst)} \text{ fb}$$

$$\sigma_{\bar{t}Zq(\ell_t^-)} = 26.1^{+4.8}_{-4.6} \text{ (stat)}^{+3.0}_{-2.8} \text{ (syst)} \text{ fb}$$

$$R = 2.37^{+0.56}_{-0.42} \text{ (stat)}^{+0.27}_{-0.13} \text{ (syst)} .$$



Inclusive and differential measurement of tZq production



13 TeV, 138 fb⁻¹, tZq channel

CMS-PAS-TOP-20-010

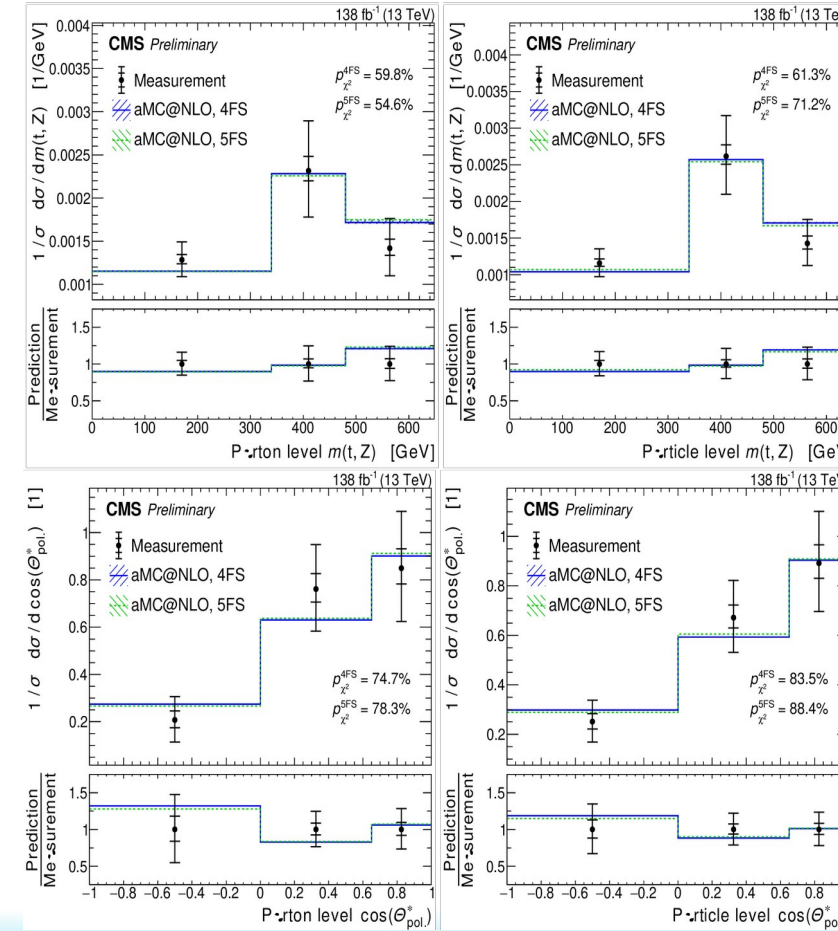
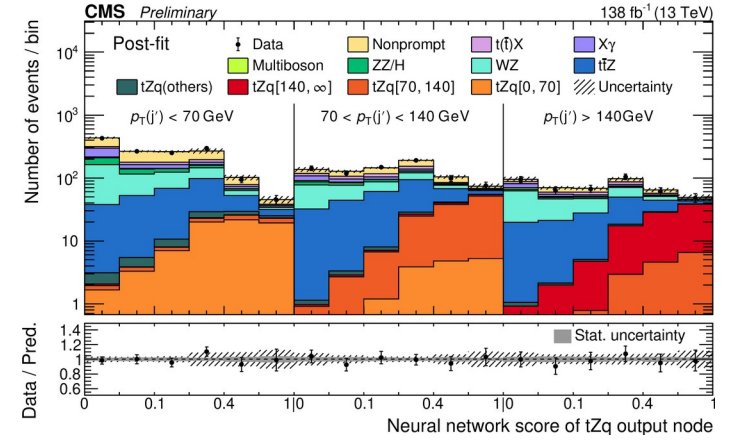
Differential measurement strategy

- An MVA output is used per bin of particle (or parton) level to do a maximum-likelihood fit and extract the signal and perform the unfolding in one step.
- Control regions are defined as in the inclusive measurement to constrain background normalisations.
- Results are later normalised to the fiducial cross section.
- From the differential distribution of the top quark polarisation angle, the top quark spin asymmetry is also measured.
- Results are in overall agreement with SM expectations.

$$\cos(\theta_{\text{pol}}^*) = \frac{\vec{p}(q^{*\star}) \cdot \vec{p}(l_t^*)}{|\vec{p}(q^{*\star})| |\vec{p}(l_t^*)|}$$

$$\frac{d\sigma}{d\cos(\theta_{\text{pol}}^*)} = \sigma_{\text{tZq}} \left(\frac{1}{2} + A_\ell \cos(\theta_{\text{pol}}^*) \right)$$

$$A_\ell = 0.58^{+0.15}_{-0.16} \text{ (stat)} \pm 0.06 \text{ (syst)}$$



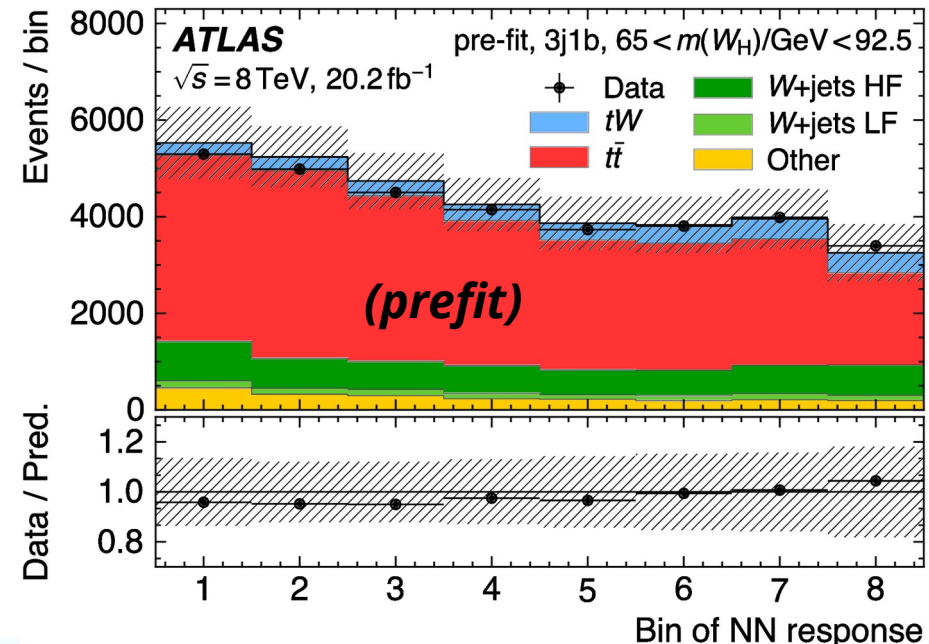
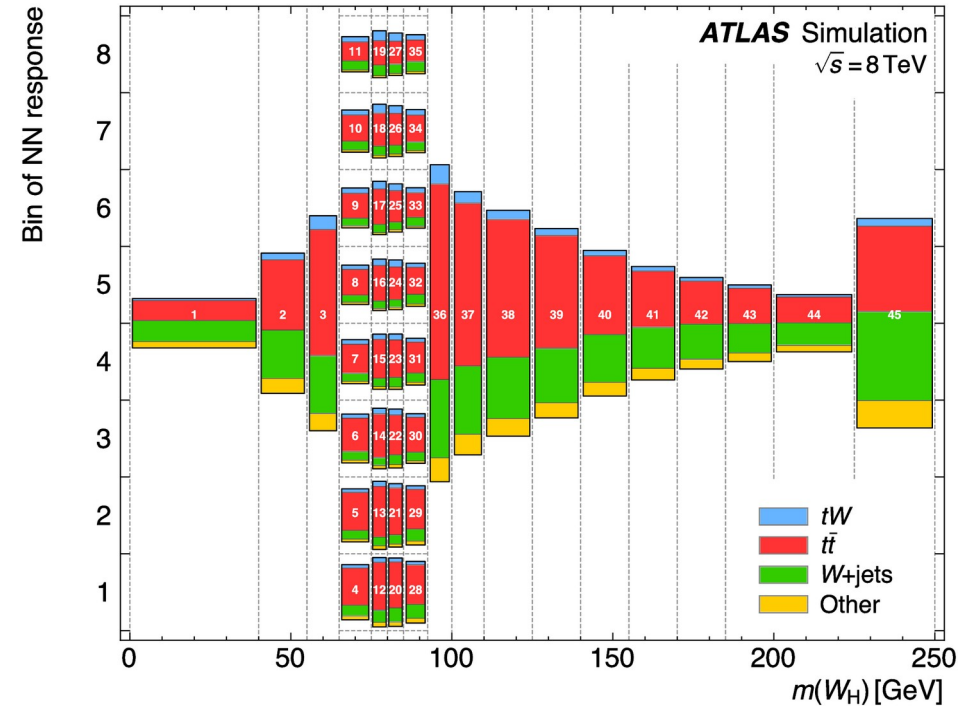
Measurement of $t\bar{W}$ production (semileptonic channel)

8 TeV, 20.2 fb⁻¹, $t\bar{W}$ channel

Eur. Phys. J. C 81 (2021) 720



- Event selection:
 - One energetic (> 30 GeV), isolated and central electron or muon.
 - Veto of less energetic leptons (> 25 GeV).
 - $p_T^{\text{miss}} > 30$ GeV.
 - $m_T(W_L) > 50$ GeV.
- Strategy:
 - Signal region: 3j1b.
 - A 2-dimensional discriminant is constructed with a neural network output in $65 \text{ GeV} < m(W_H) < 92.5 \text{ GeV}$ and with the remaining $m(W_H)$ variable.



Measurement of $t\bar{t}$ production (semileptonic channel)

8 TeV, 20.2 fb⁻¹, $t\bar{t}$ channel

Eur. Phys. J. C 81 (2021) 720



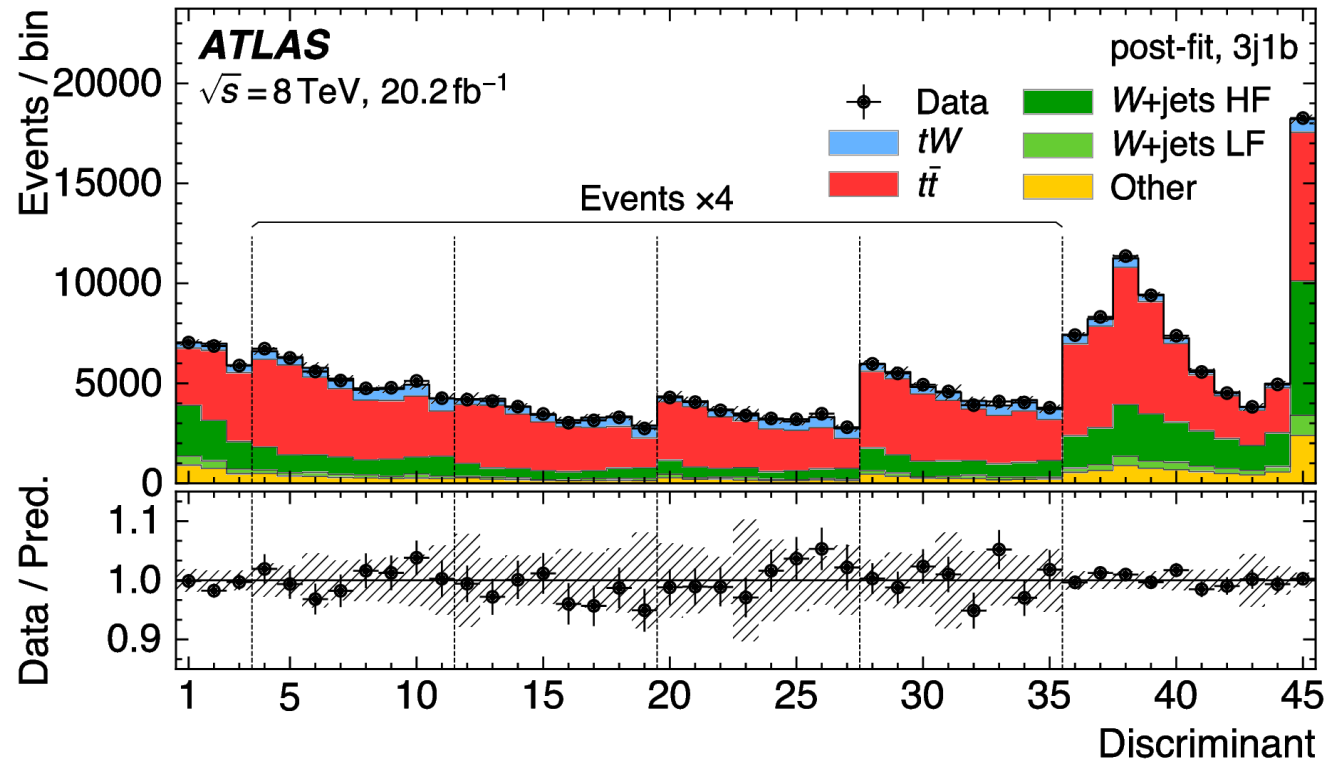
- Result is in good agreement with expectations at NLO+NNLL:

$$\sigma_{\text{obs.}} = 26 \pm 7 \text{ pb}$$

$$\sigma_{\text{pred.}} = 22.4 \pm 1.5 \text{ pb}$$

Phys. Rev. D 60, 113006

Source	Uncertainty [%]
Jet energy scale	10
b -tagging	8
Jet energy resolution	7
E_T^{miss} reconstruction	7
Lepton reconstruction	4
Luminosity	3
Jet vertex fraction	3
$t\bar{t}$ radiation	10
tW radiation	9
tW - $t\bar{t}$ interference	7
$t\bar{t}$ cross-section normalisation	6
Other background cross-section normalisations	5
tW and $t\bar{t}$ parton shower	4
tW and $t\bar{t}$ NLO matching	3
PDF	1
Model statistics	11
Data statistics	4
Total	27





- **Event selection:**
 - One energetic, isolated and central electron (> 30 GeV) or muon (> 26 GeV).
 - Veto of less energetic leptons (> 10 GeV muons, > 20 GeV electrons).
 - At least two and no more than four central and energetic jets should be in the events.
 - Exactly one of the jets must be b-tagged.
- **Strategy:**
 - Events are categorised into separate regions depending on the number of jets.
 - Signal region: events with three jets.
 - Control regions: events with two jets (W+jets background CR) and events with four jets (ttbar CR).
 - QCD/multijet background estimated from a dedicated region by inverting lepton isolation requirement.
 - W+jets background estimated by extrapolating to signal region the ratio with the QCD bkg. in the 2j0b region.
 - Two (for muon and for electron) MVA (BDTs) are trained in the signal region to discriminate.

Variable Description

Mass of the reconstructed Wboson decaying hadronically

Invariant mass of the b-tagged jet and sub-leading non b-tagged jet

Angular separation between the two non b-tagged jets

Angular separation between the reconstructed leptonic Wboson and leading non b-tagged jet

Transverse momentum of the selected lepton

Energy of the two non b-tagged jets system

Angular separation between the b-tagged jet and the selected lepton

Transverse momentum of the system made of the three jets, lepton and p_T^{miss}

Measurement of tW production (semileptonic channel)

13 TeV, 35.9 fb⁻¹, tW channel

Sub. to JHEP, arXiv:2109.01706

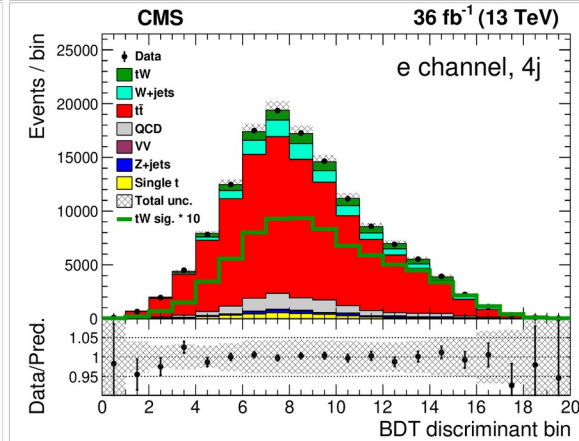
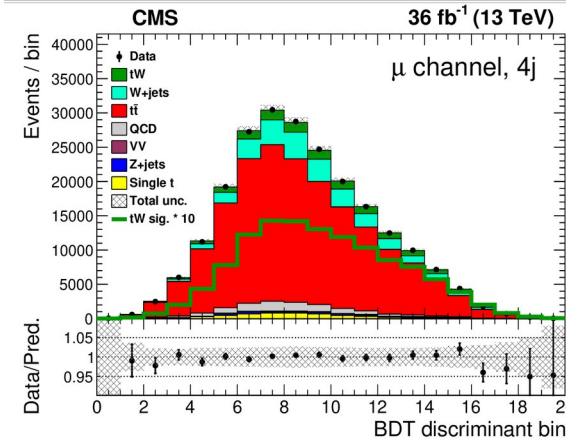
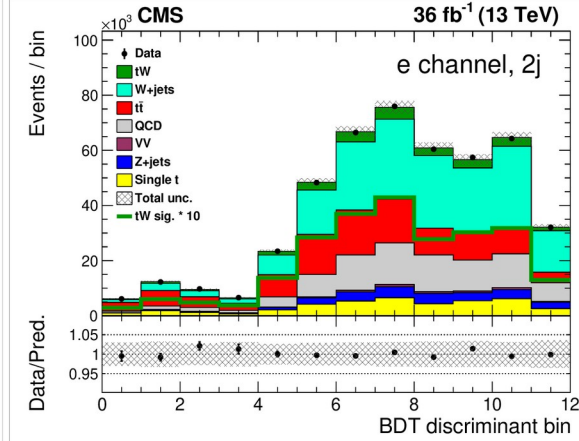
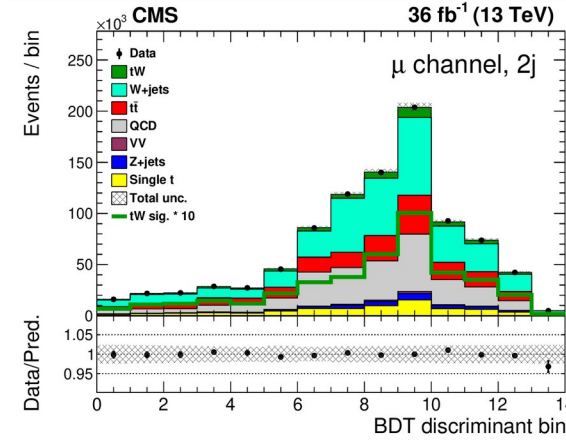
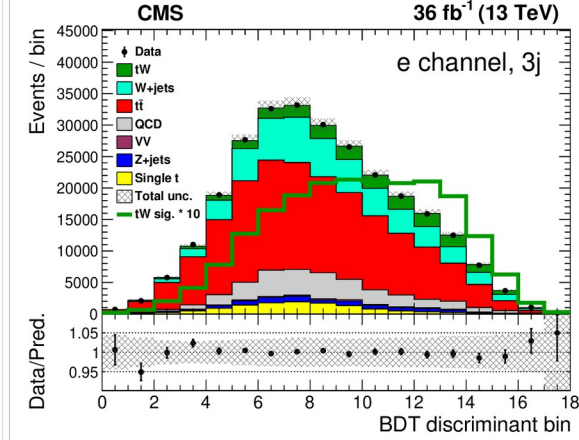
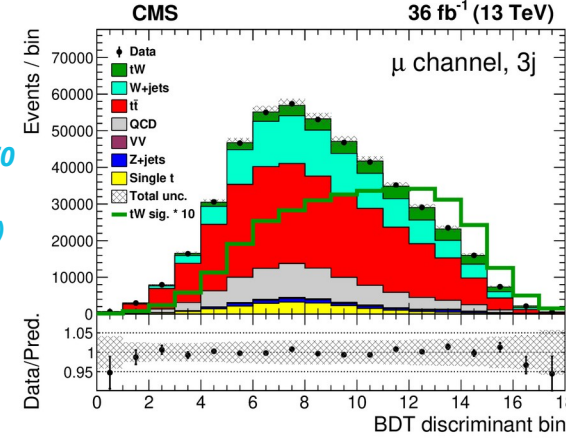


$$\sigma_{obs.} = 89 \pm 4(\text{stat.}) \pm 12(\text{syst.})\text{pb}$$

$$\text{NNLO (QCD)} \sigma_{\text{pred.}} = 71.7 \pm 1.8(\text{scale}) \pm 3.4(\text{PDF})\text{pb} \quad \text{PoS DIS2015 (2015) 170}$$

$$\text{N}^3\text{LO (QCD)} \sigma_{\text{pred.}} = 79.5^{+1.9}_{-1.8}(\text{scale})^{+2.0}_{-1.4}(\text{PDF})\text{pb} \quad \text{JHEP 2021, 278 (2021)}$$

Source	Relative uncertainty (%)
<i>Experimental</i>	
Jet energy scale	6
b tagging efficiency	4
Luminosity	3
Lepton energy scale	2
Trigger efficiency	1
Jet energy resolution	1
b tagging misidentification rate	<1
Unclustered energy	<1
Pileup	<1
<i>Normalization</i>	
QCD multijet normalization	7
W+jets normalization	6
Z+jets normalization	3
Single t normalization	1
t \bar{t} normalization	1
VV normalization	<1
<i>Theoretical</i>	
h_{damp}	4
Diagram removal/diagram subtraction	3
Underlying event tune	3
Colour reconnection model	1
Parton distribution function	1
Matrix element/parton shower matching	1
Final-state radiation	<1
Initial-state radiation	<1
Total systematic uncertainty	14
Statistical uncertainty	5
Total uncertainty	15



Summary

- The latest measurements from single top processes have been made in the **t** and **tW channels** as well as in the **tZq process**.
 - The t-channel has been used to measure the top quark polarisation vector, differential cross sections depending on angular observables and also to put bounds on the tWb operator.
 - This production mode has served to measure the top quark CKM elements in different contexts (both SM and BSM).
 - A measurement in the tZq process has been made, with a final inclusive cross section with a ~15% relative uncertainty by ATLAS...
 - ...and another one by CMS with ~12% relative uncertainty, that also measured differentially the process depending on various observables, and measured the top quark spin asymmetry, everything in overall agreement with SM expectations.
 - The tW channel in its semileptonic decay mode has been measured inclusively at 13 TeV and 8 TeV by CMS and ATLAS with 15% and 27% relative uncertainty respectively.

Thanks for your attention

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