

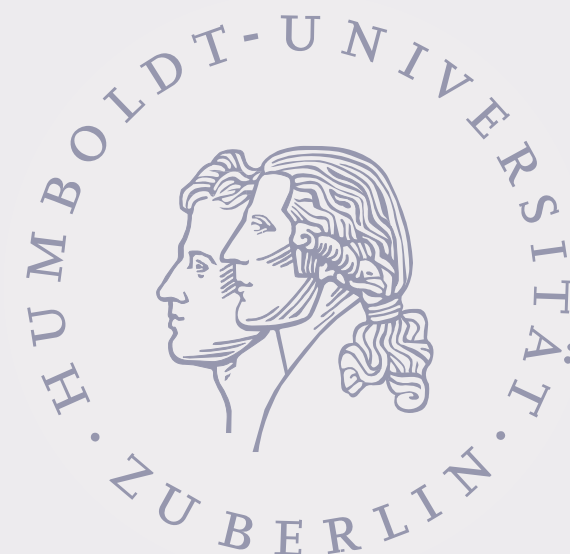
# Machine learning augmented probes

OF LIGHT YUKAWA AND TRILINEAR COUPLINGS  
FROM HIGGS PAIR PRODUCTION  
PANIC -2021 05.09.2021

Lina Alasfar - Institut für Physik  
Humboldt-Universität zu Berlin

✉ [lina.alasfar@hu-berlin.de](mailto:lina.alasfar@hu-berlin.de)

🐦 @AlasfarLina



In collaboration with

Ramona Gröber -Università di Padova

Christophe Grojean - DESY & HU Berlin

Ayan Paul - DESY & HU Berlin

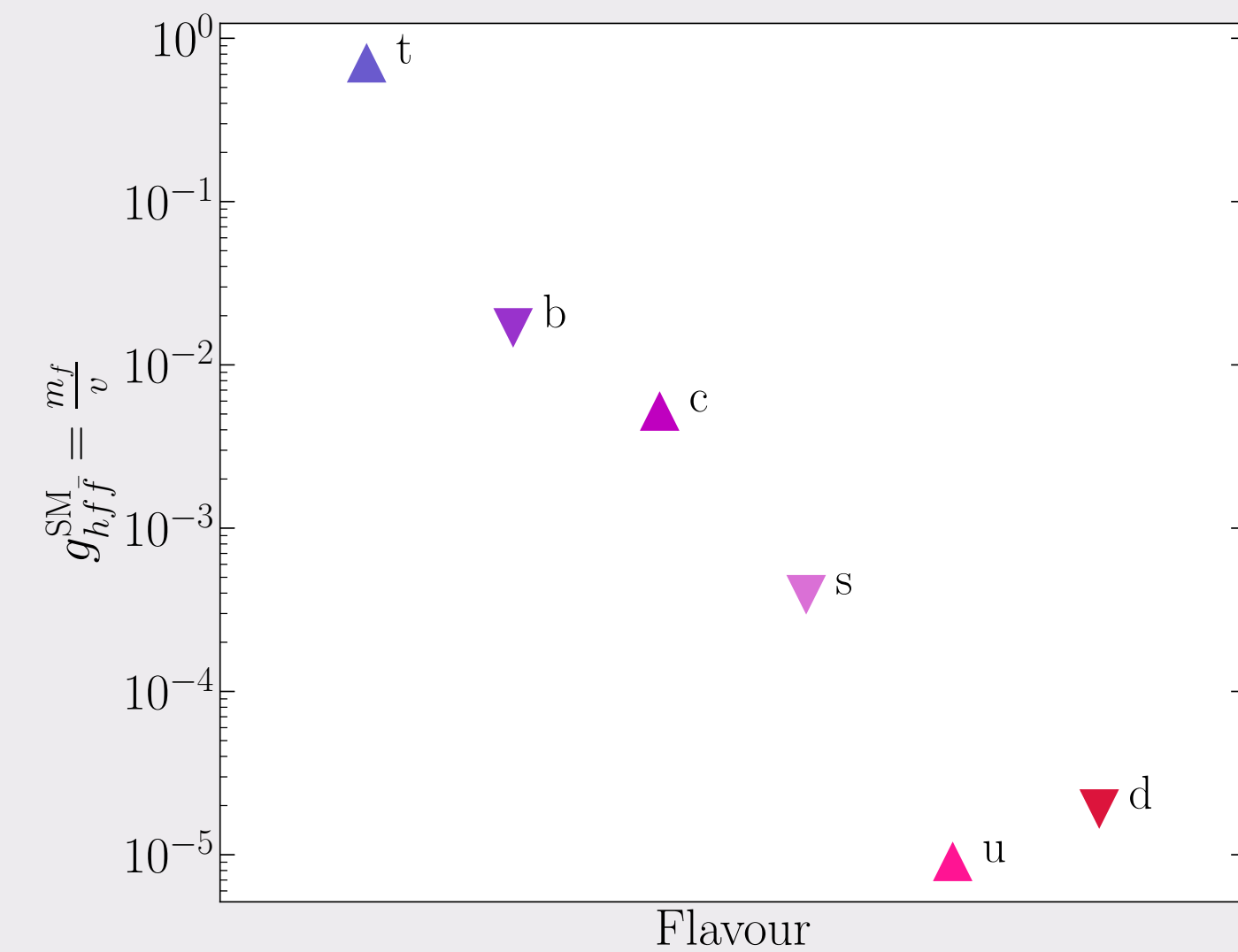
Zhuoni Qian- Shandong University & DESY

# Introduction

- Flavour physics is getting a lot of attention lately, e.g.  $b \rightarrow s\ell\ell$  anomalies.
- Fermions interact with the Higgs, in the SM via Yukawa interaction, with the Lagrangian:

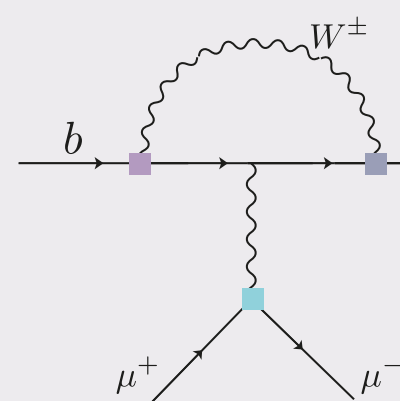
$$-\mathcal{L} = y^u Q \tilde{\phi} u + y^d Q \phi d + y^e L \phi e + \text{h.c.},$$

- The Yukawa matrices, e.g. for the quark sector  $(y^u, y^d)$  are the *spurions* breaking flavour symmetry  $U(3)_Q \otimes U(3)_d \otimes U(3)_u \longrightarrow U(1)_B$ . Making them the source of flavour.
- There is no current explanation for the hierarchy amongst quark-generations predicted by the SM.
- Also, there are no direct measurements of 1st and 2nd generation quarks coupling with the Higgs. (Though it is a bit better for leptons [CMS-HIG-19-006](#))



## Why so hierarchical ?

In the SM, the Yukawa couplings are essentially free parameters, only fixed by observations. We observe a huge hierarchy between the generations' Yukawa couplings, this is known as the old flavour puzzle.



We are famous now !

Things are getting better, particularly for charm ,  
with ATLAS announcing 1st direct constraint

# Why looking for HH ?

- Higgs Pair production provides a direct probe to measuring Higgs self-interaction, namely

$$\kappa_\lambda = \frac{g_{hhh}}{g_{hhh}^{\text{SM}}}.$$

- Current bounds on this interactions are dominated by unitarity L. Di Luzio et al (2017).
- It is one of the most sensitive probes for light Yukawa coupling, particularly in models with resonant new scalar production D. Egana-Ugrinovic et al. (2021).

Tax payers should keep paying for the LHC to keep running :) And scientists and engineers „on the ground“ need to keep it working :)

3000 – 6000 fb<sup>-1</sup>

- One of the main objectives of the High-Luminosity (HL)-LHC.
- There are over **10400** publications on the topic of Higgs !  
Over 60% of them came up since the LHC first launched !
- The theoretical calculations for HH has been carried out up to 3 loops (QCD) M.Grazzini et al (2018), here is a [complete list](#)
- There is a large experimental effort to optimise the search for HH in the next LHC runs CERN-LPCC-2018-04\_

Experimentalists, need to optimise the selection of HH events for as many channels as possible

$$BR \sim 0.34 - 0.016 \quad \epsilon \sim 4\% - 10\%$$

$$\mathcal{N} = \boxed{\mathcal{L}} \times \boxed{\sigma(pp \rightarrow hh)} \times \boxed{BR \times \epsilon_{exp}}$$

~ 36 fb

Theoreticians need to understand the systematic uncertainties as well as work on simulations

# State of the art

- There are many proposed processes for probing light quark Yukawa coupling

- ▶ Higgs + jet, and kinematics [Brivio, Isidori, Goertz \(2015\)](#), [Soreq, Xing Zhu, Zupan \(2016\)](#) ,[Bishara et al \(2018\)](#)

- ▶ Rare Higgs decays (decay to mesons) [Bodwin et al \(2013\)](#), [Kagan et al \(2014\)](#) and [Konig, Neubert. \(2015\)](#)

- ▶ HW production charge asymmetry [Yu \(2017\)](#)

- ▶ Higgs + photon [Aguilar-Saavedra, Cano, No. \(2020\)](#).

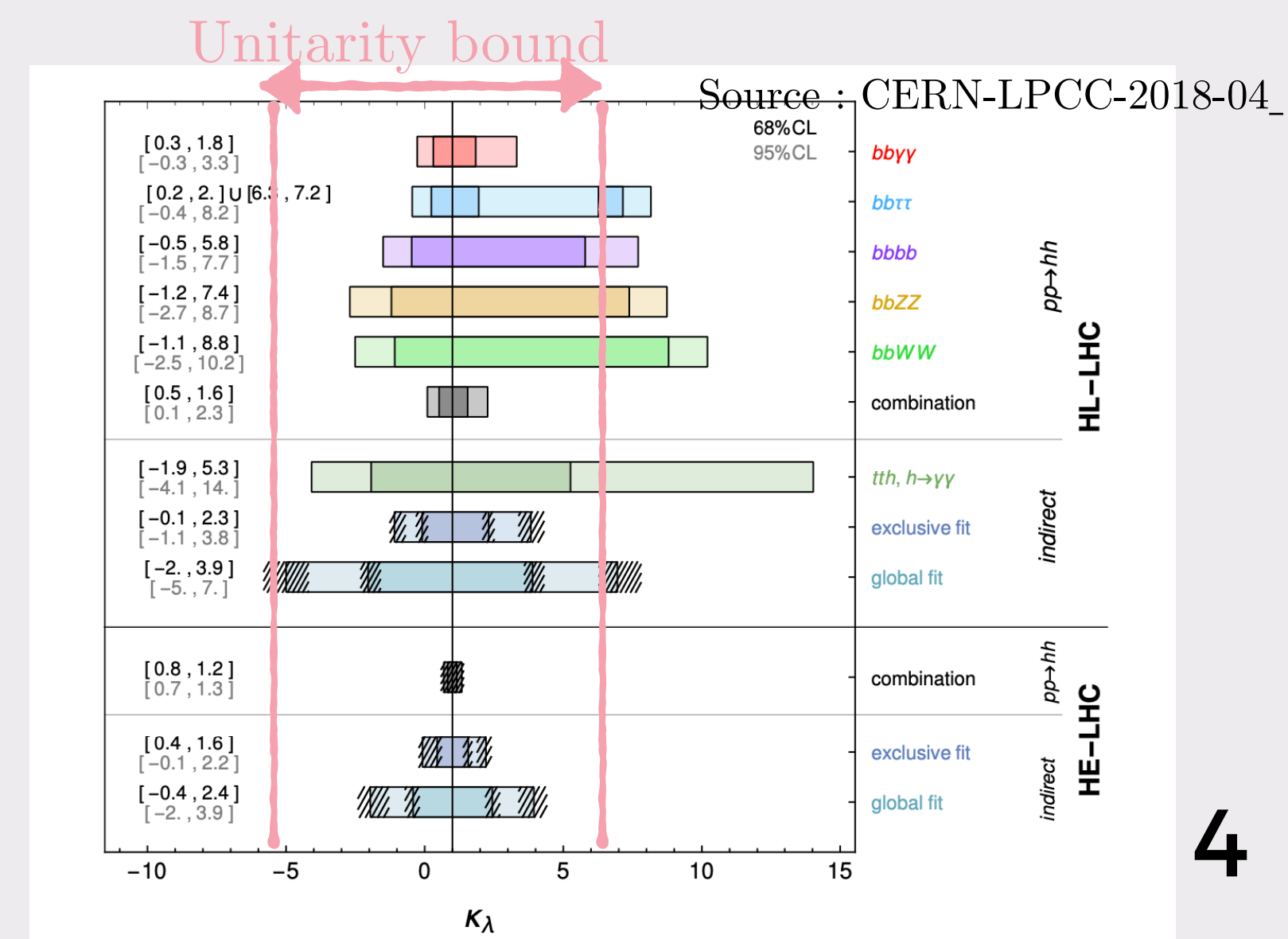
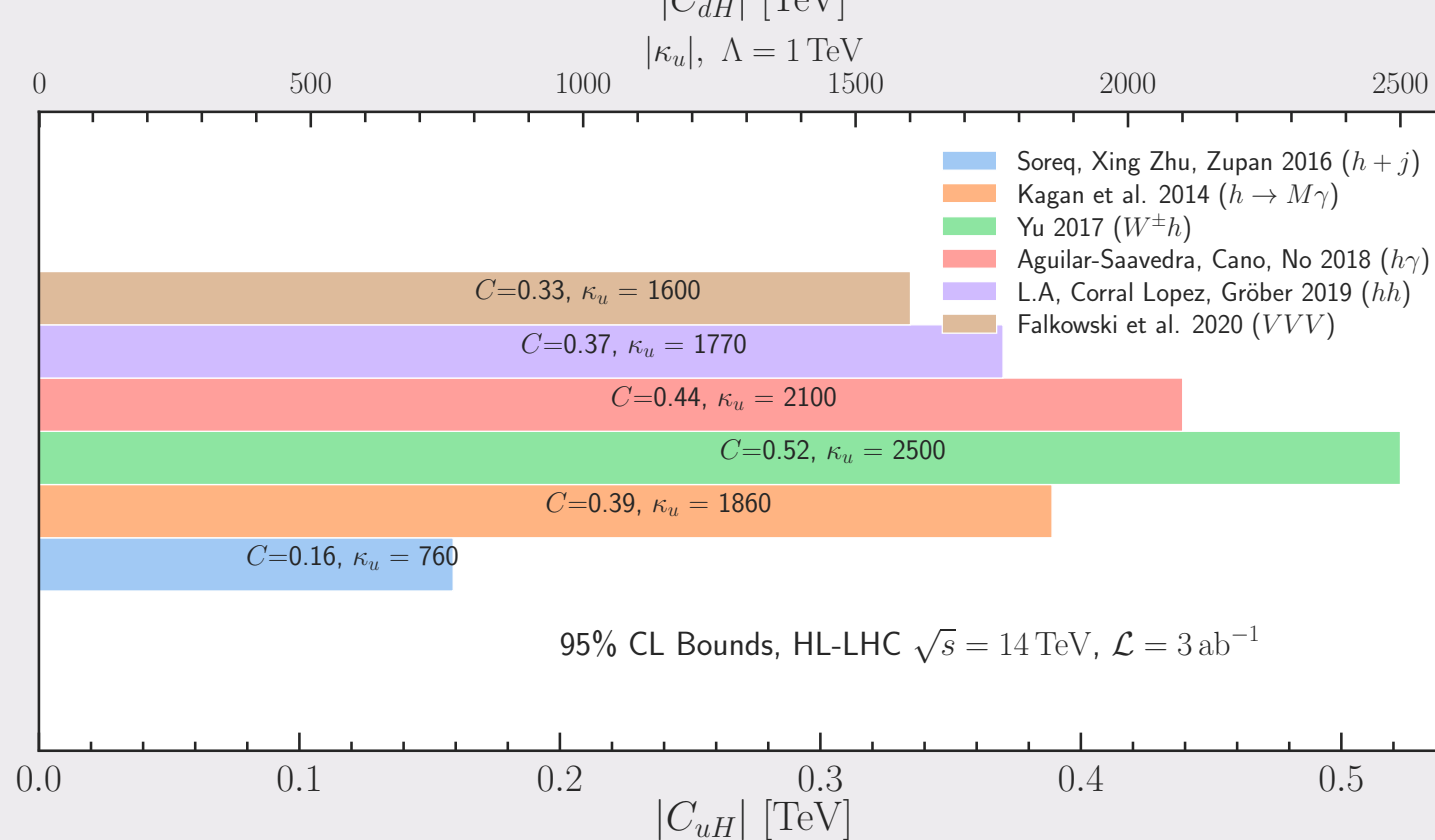
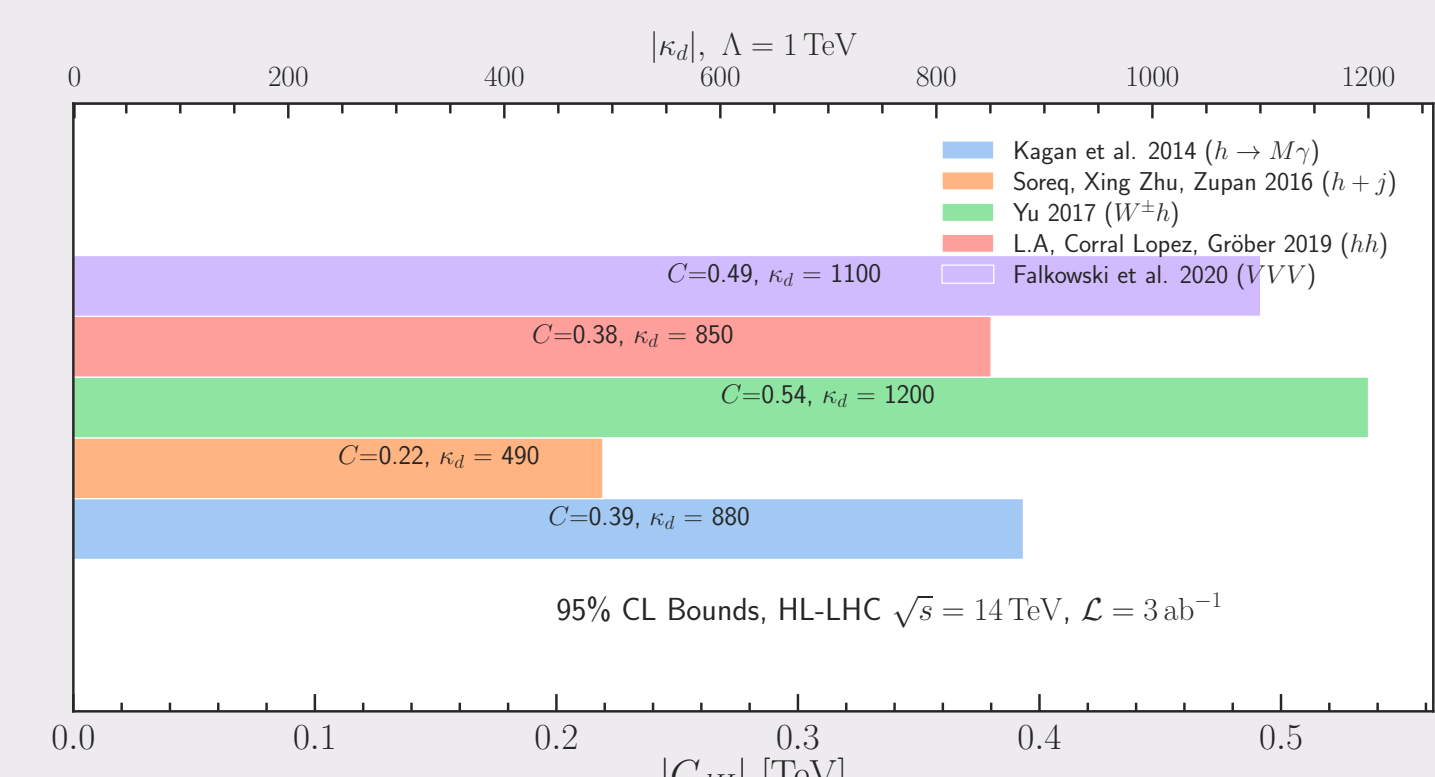
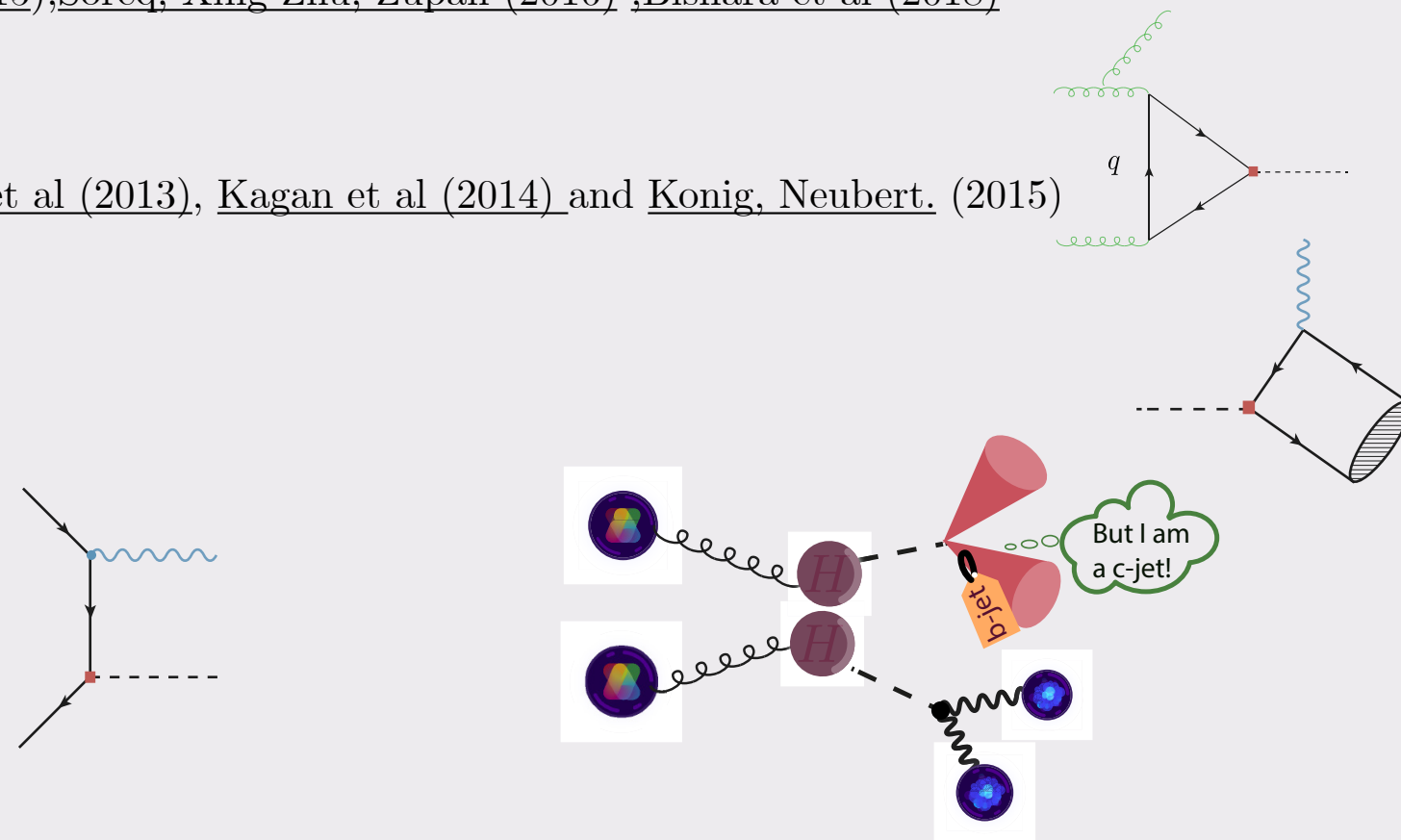
- ▶ Tri-boson production [Falkowski et al. \(2020\)](#)

- ▶ b-mistagging from (VH, VBF) [Perez, et al. \(2015 and 2016\)](#) [Kim & Park \(2015\)](#)

- ▶ Higgs pair - or more- production [M Bauer, M Carena, A Carmona\(2018\)](#) [LA, Corral Lopez, Gröber. \(2019\)](#) [Egana-Ugrinovic, Hollimer, Meade.\(2021\)](#)

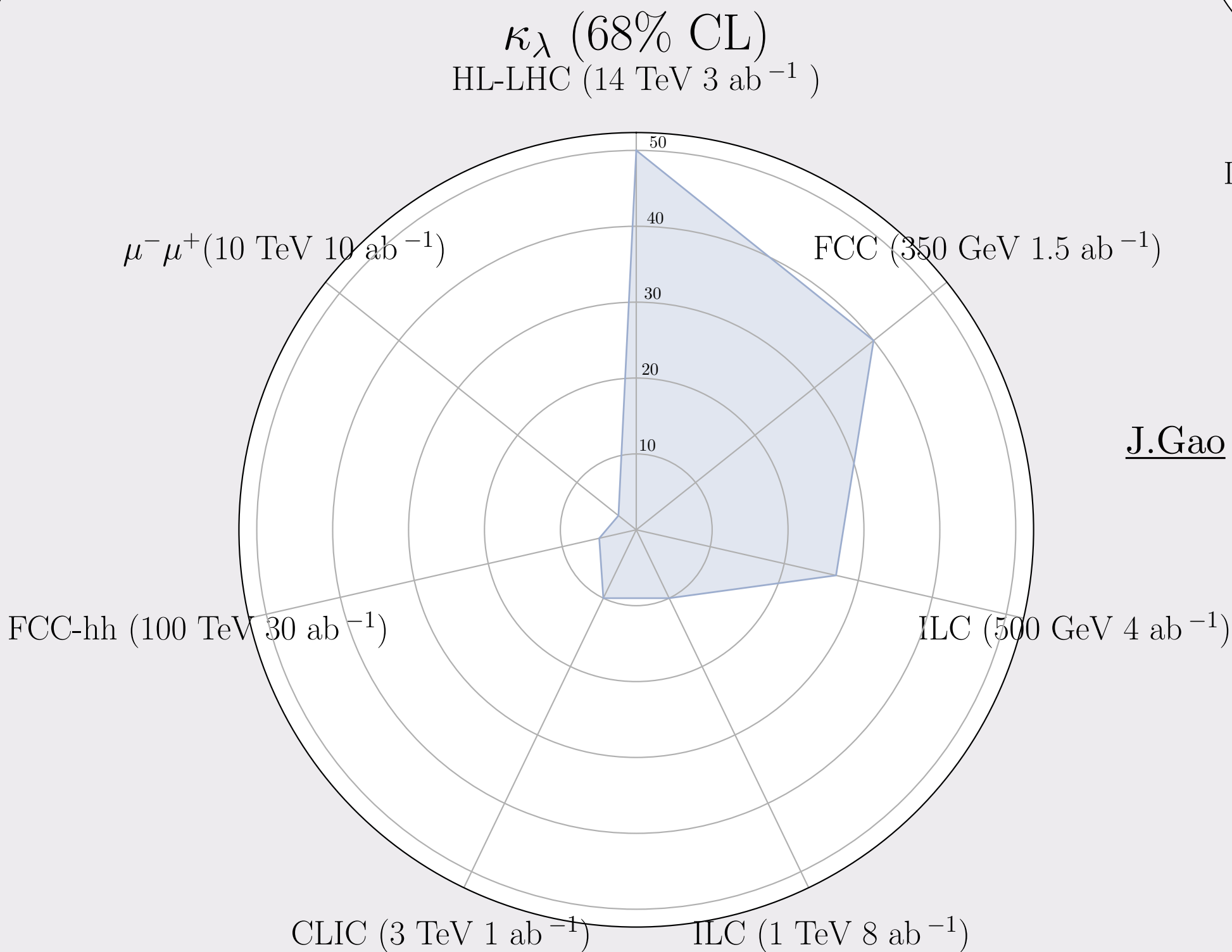
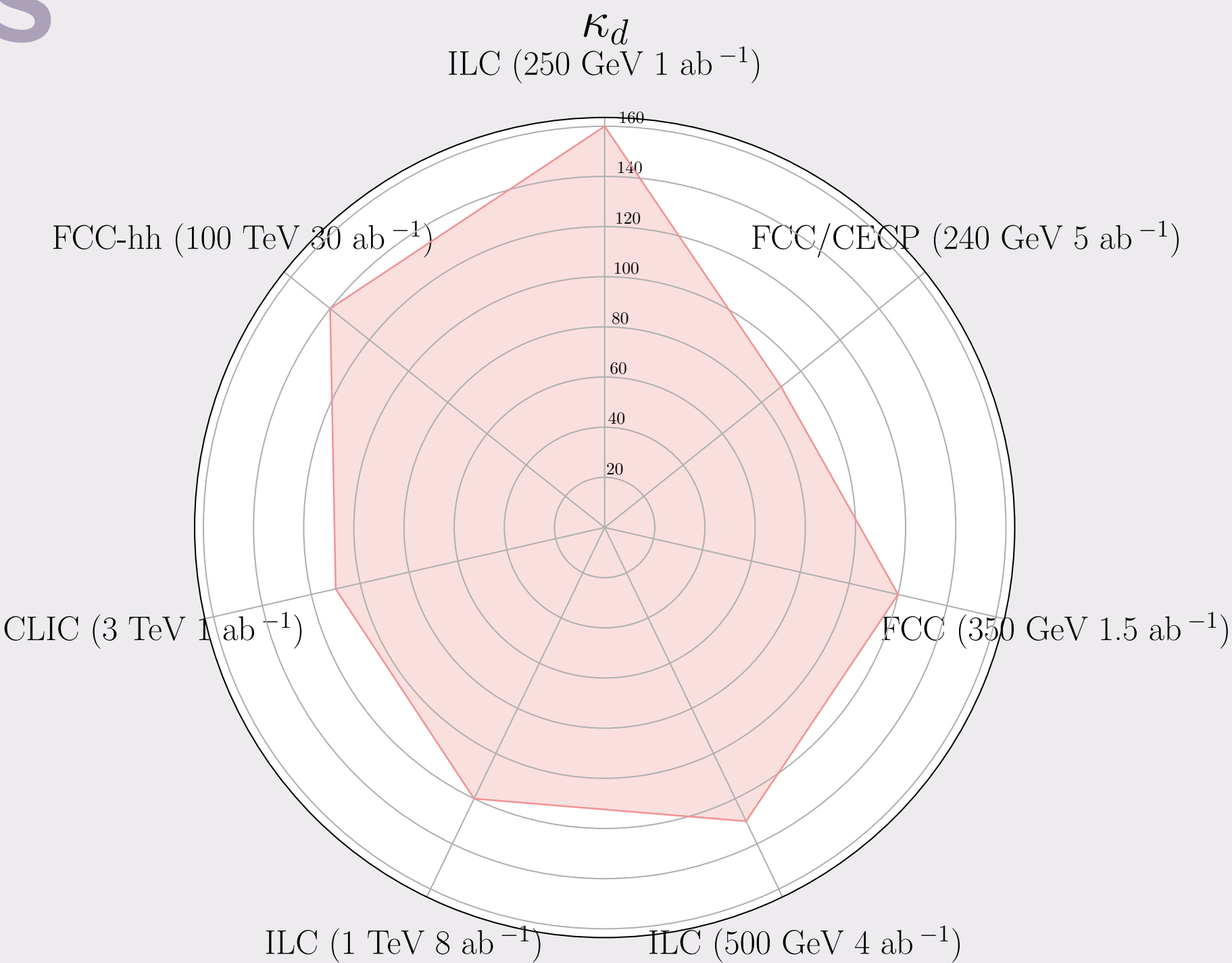
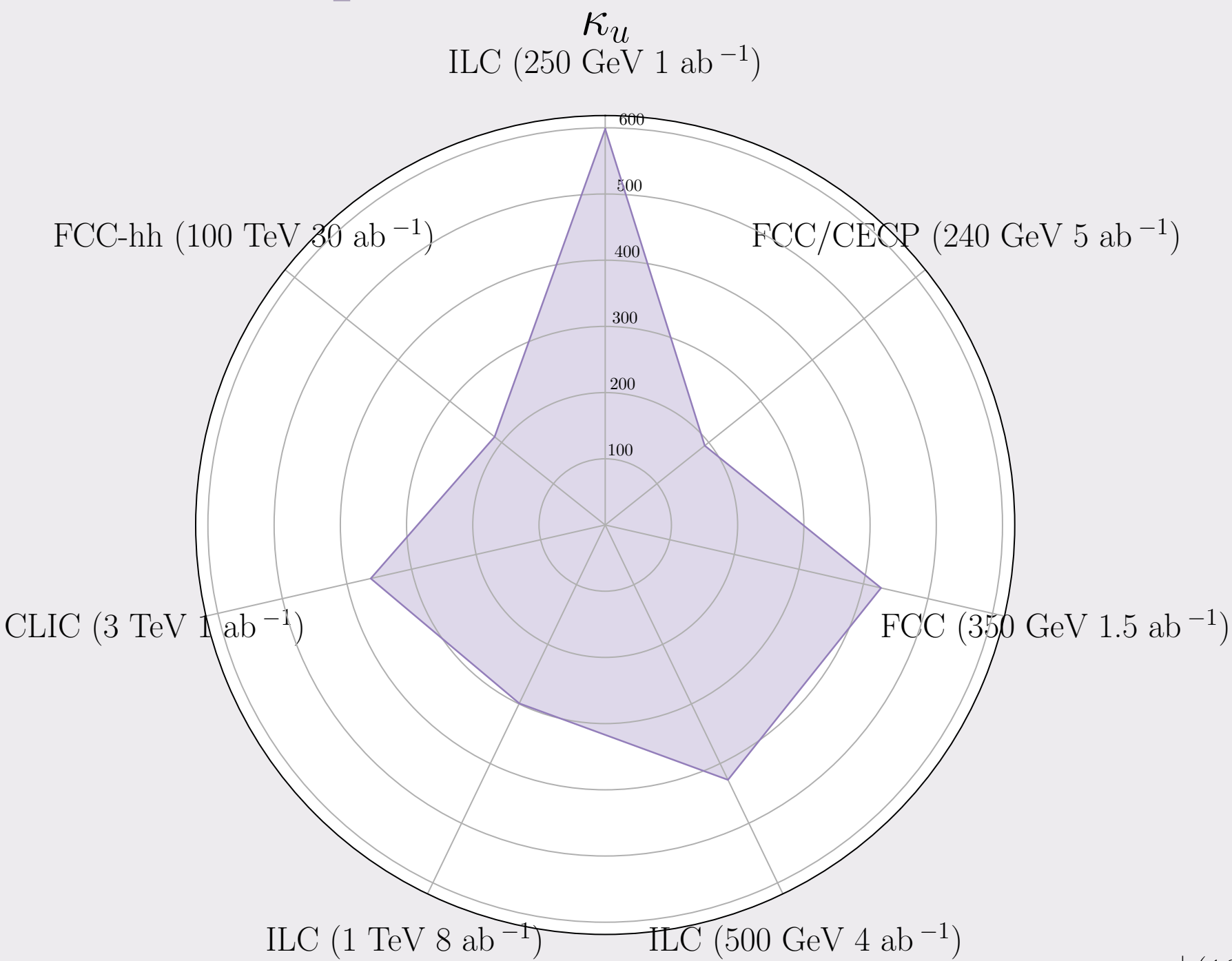
- The use of machine-learning for the analysis of HH has been proposed.

It involved the use of (D)NN and BDT [cf. ATL-PHYS-PUB-2018-053](#) , [Han Kim et al. \(2019\)](#), [Tannenwald et al \(2020\)](#) and others..





# Prospects for future colliders



J.Gao (2018), S. Di Vita et al (2018) J.de Blas et al. (2020)

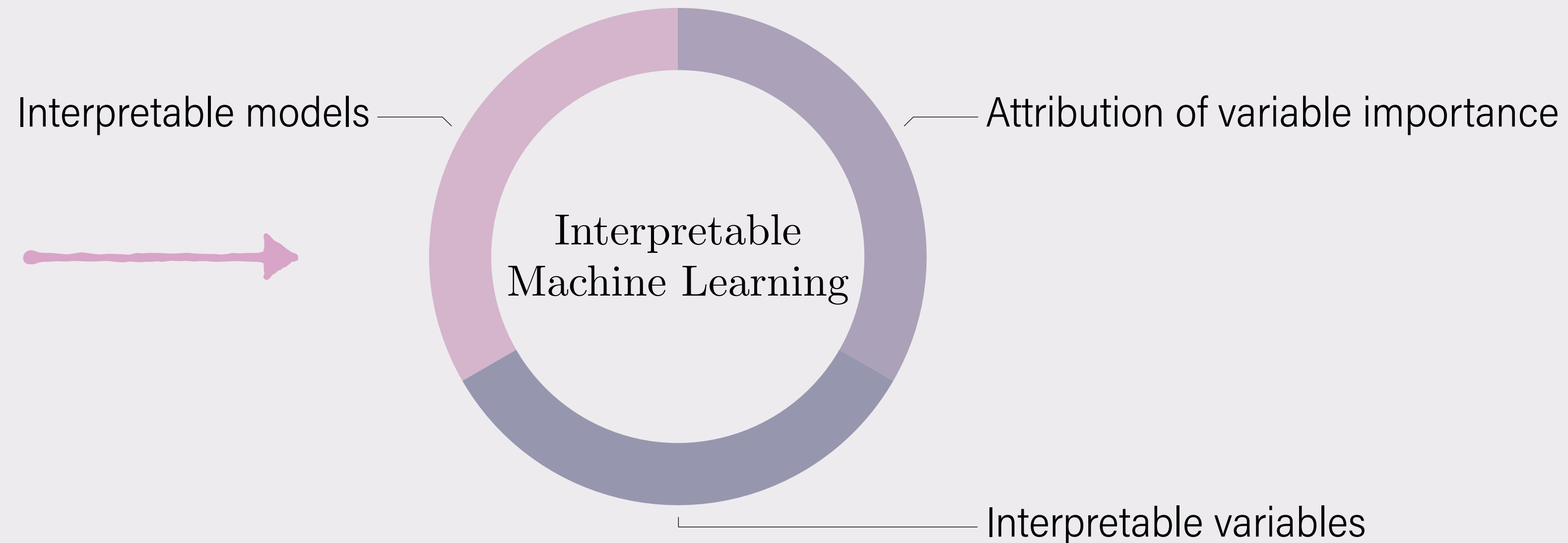
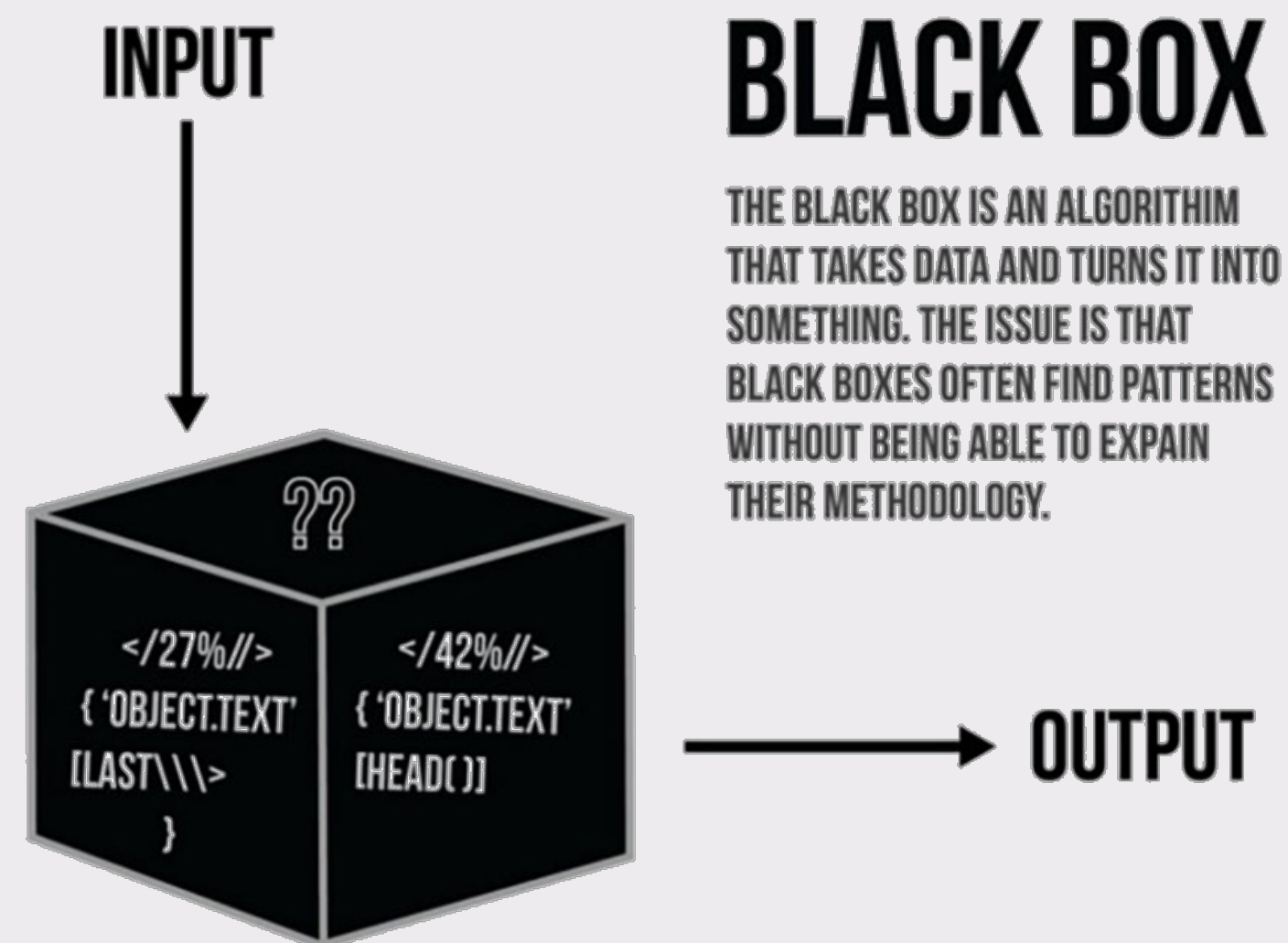




# Interpretable machine learning



# What is „Interpretable“ ML ? (Provided by Ayan Paul)

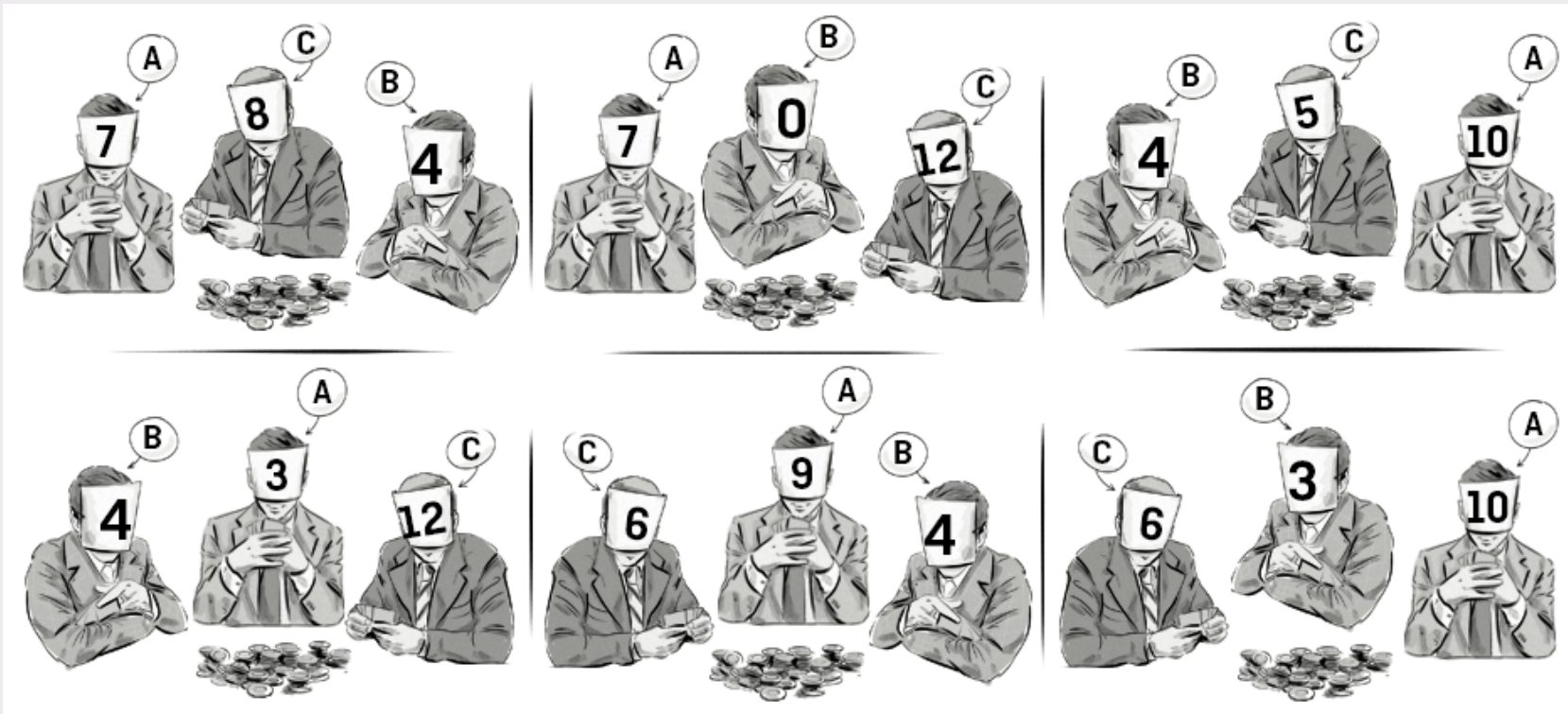


# Cooperative games and Shapley values (Provided by Ayan Paul)

The value of each player and each combination of players



The value of the player in each game



A

7.7

$$(7+7+10+3+9+10) / 6 =$$

B

3.2

$$(4+0+4+4+4+3) / 6 =$$

Marginalise the values



C

8.1

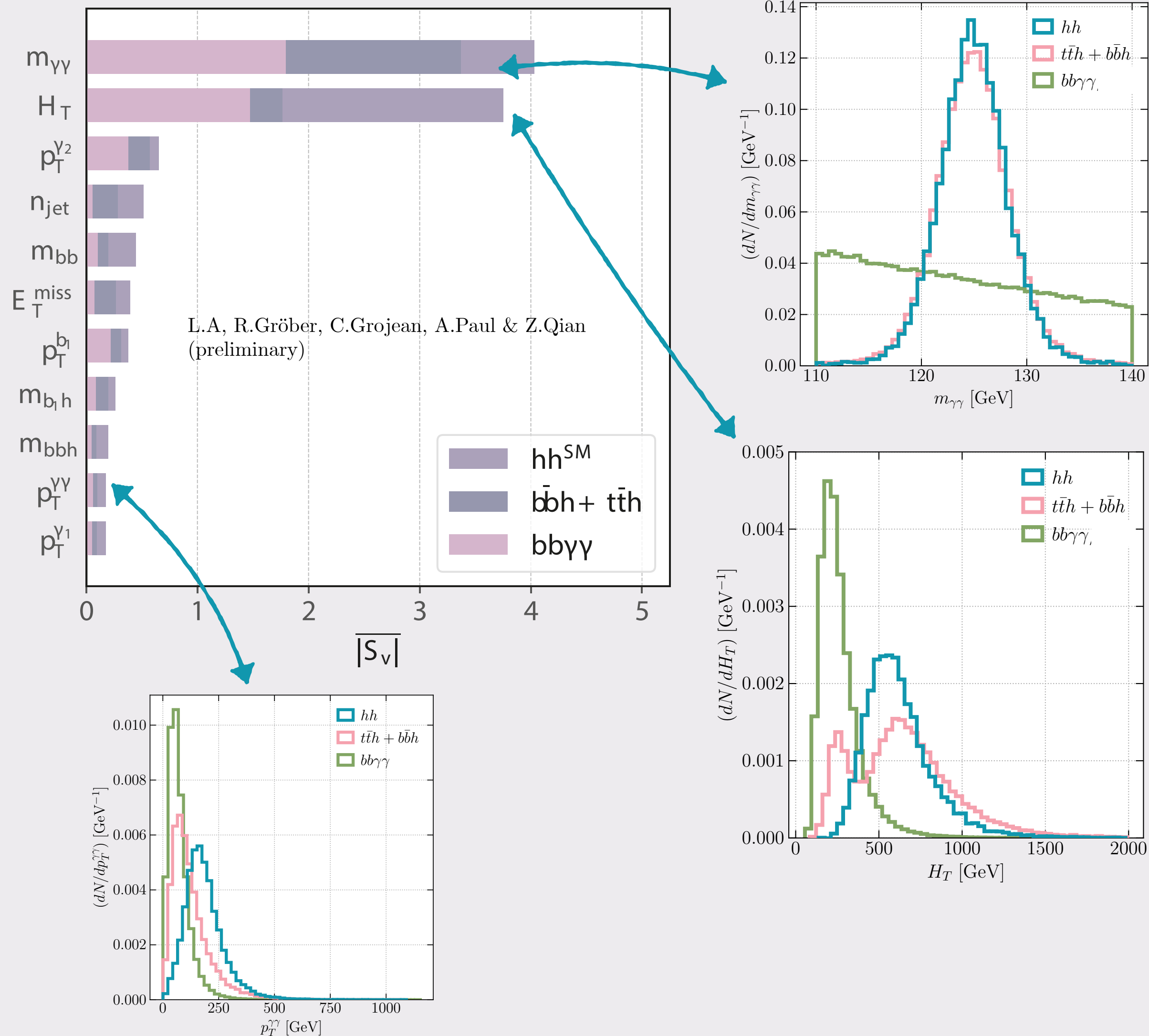
$$(8+12+5+12+6+6) / 6 =$$



The most important player



# The analysis I



- For Higgs pair production, we have chosen the final state

$$pp \rightarrow hh \rightarrow b\bar{b}\gamma\gamma \quad (\sigma \cdot BR = 0.975 \text{ fb})$$

Then we have the following (main) backgrounds:

- \*  $pp \rightarrow b\bar{b}\gamma\gamma$ ,  $\sigma \cdot BR = 18.9 \text{ fb}$
- \*  $pp \rightarrow t\bar{t}h \rightarrow b\bar{b}W^+W^-\gamma\gamma$ ,  $\sigma \cdot BR = 1.39 \text{ fb}$
- \*  $pp \rightarrow b\bar{b}h \rightarrow b\bar{b}\gamma\gamma$ ,  $\sigma \cdot BR = 1.37 \text{ fb}$

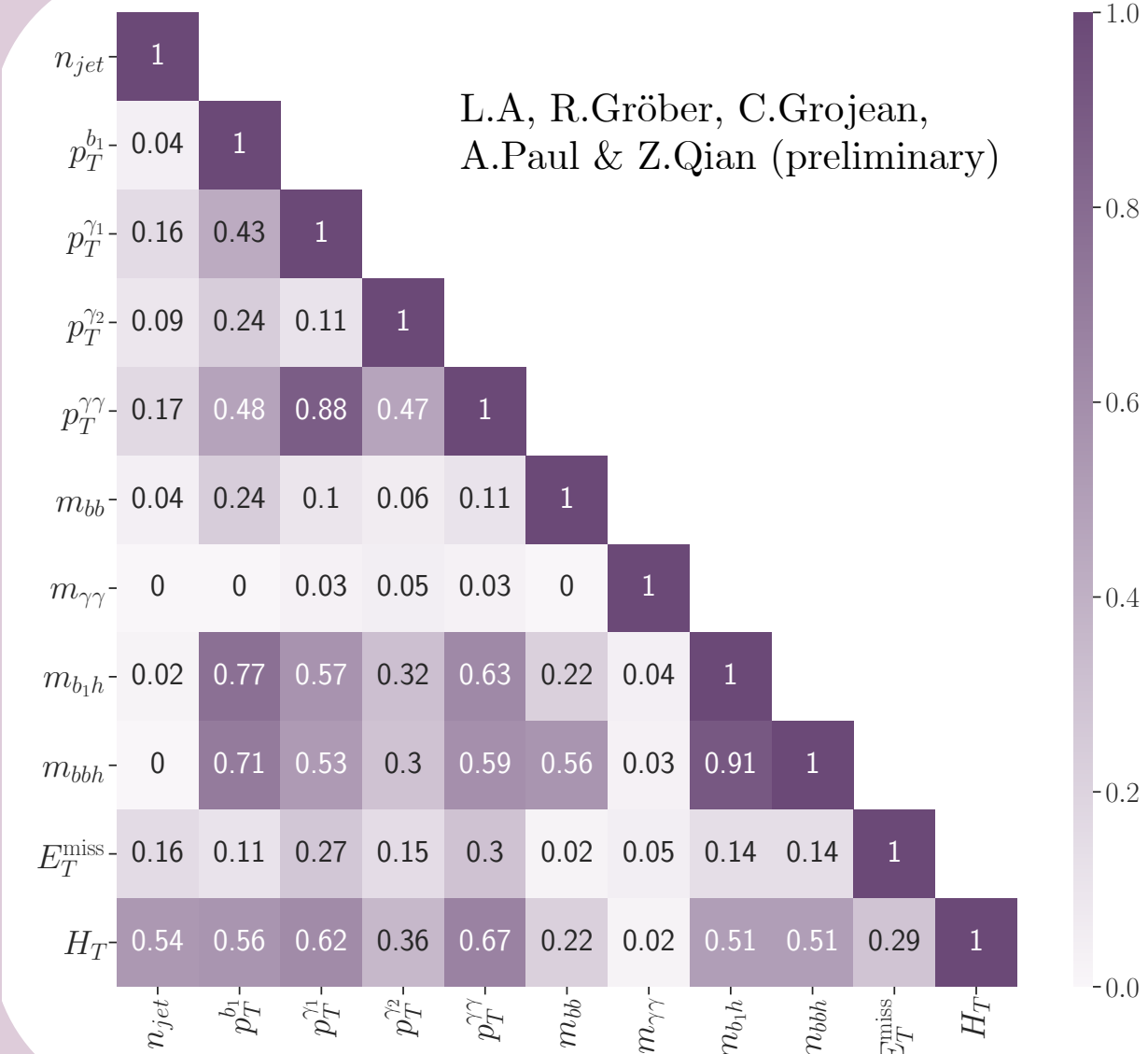
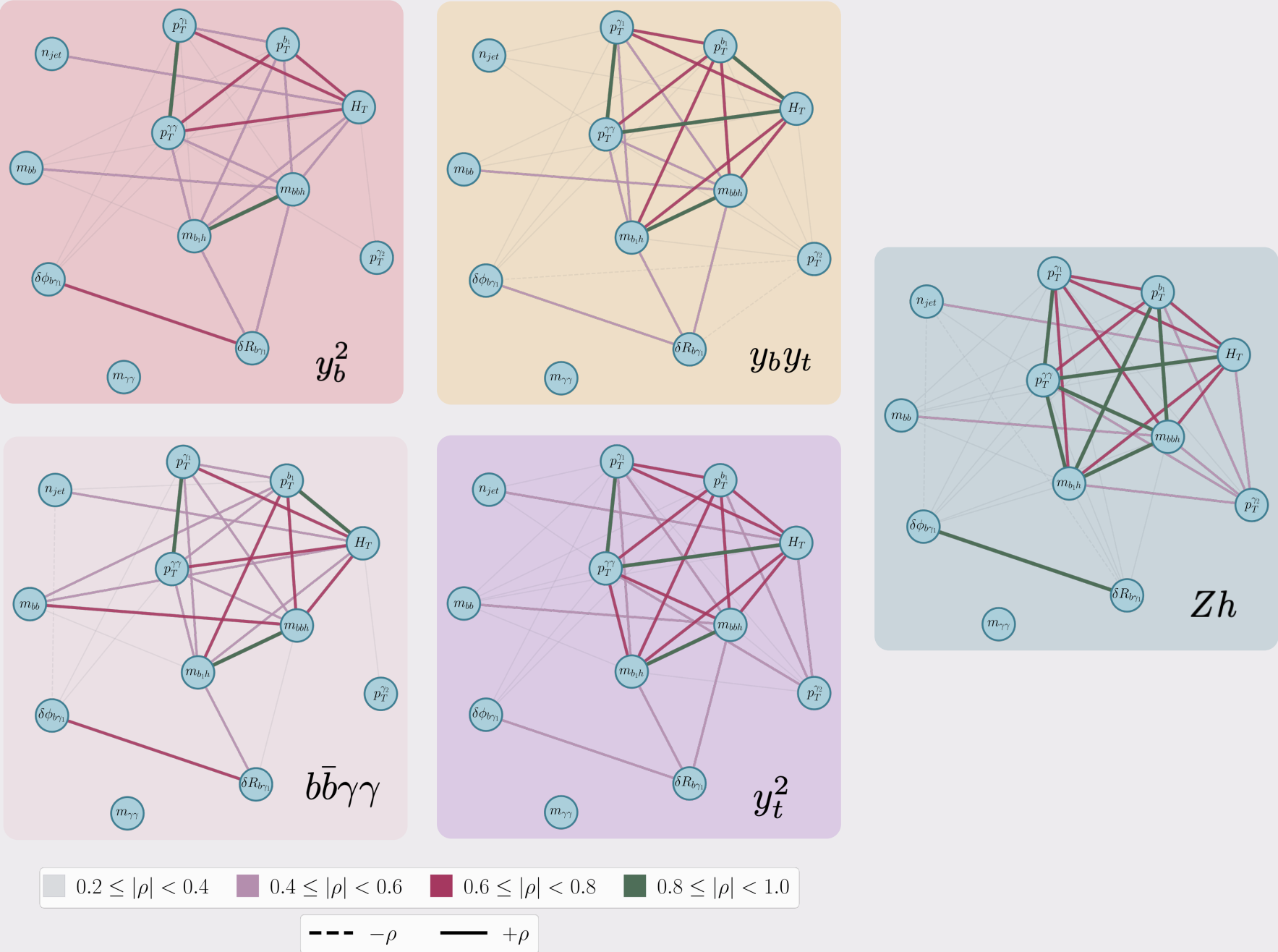
- We have selected the following list of observables similar to [C. Grojean et al \(2020\)](#):

$$p_T^{b_1} p_T^{b_2}, p_T^{\gamma_1}, p_T^{\gamma_2}, \eta_{b_{j1}}, \eta_{b_{j2}}, \eta_{\gamma_1}, \eta_{\gamma_2}$$

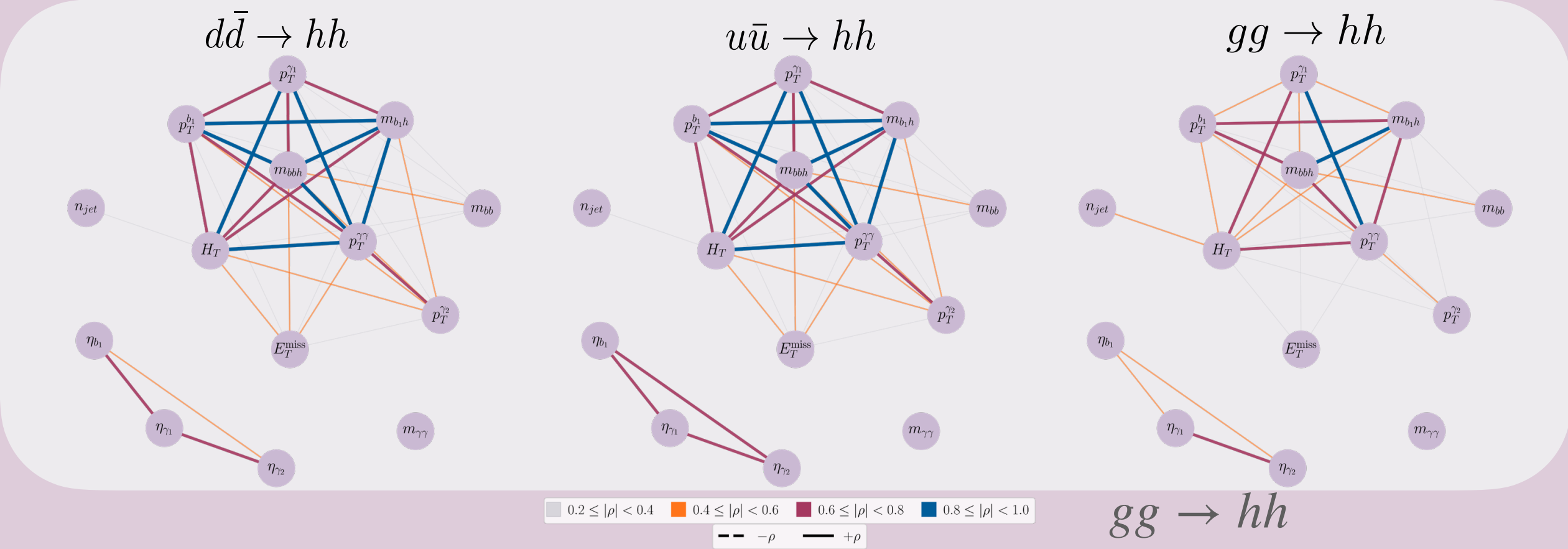
$$n_{bjet}, n_{jet}, \Delta R_{min}^{b\gamma}, \Delta\phi_{min}^{bb}, m_{\gamma\gamma}, m_{bb}, m_{b_1h}, m_{b\bar{b}h}, H_T.$$

# Cooperation in Physics

- Variables “cooperate” to bring the outcome
- Outcome can be a measurable quantity or a probability of being of a certain kind
- This covers both regression and classification

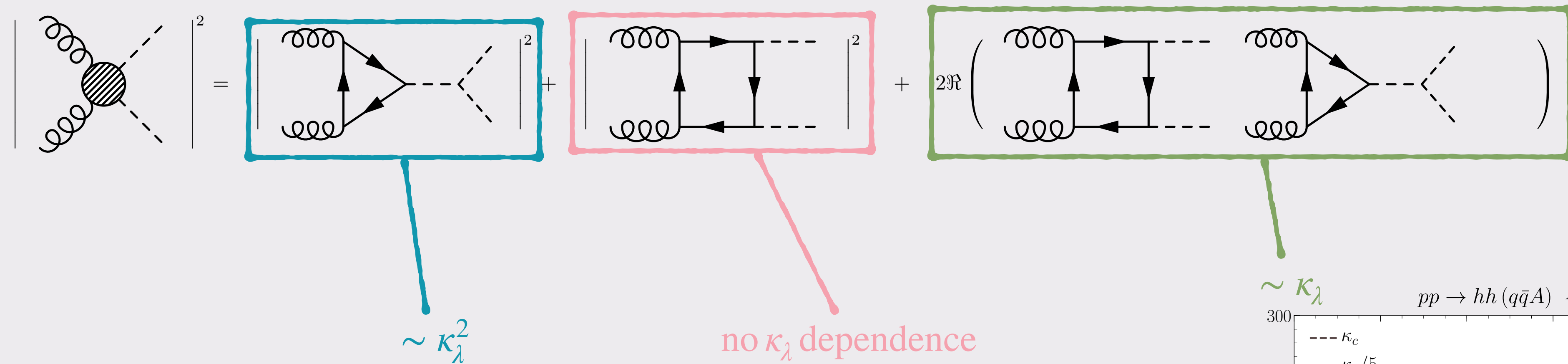


multivariate inherits correlations!



# The analysis II

- We have generated separate MC for the Higgs pair signal components:



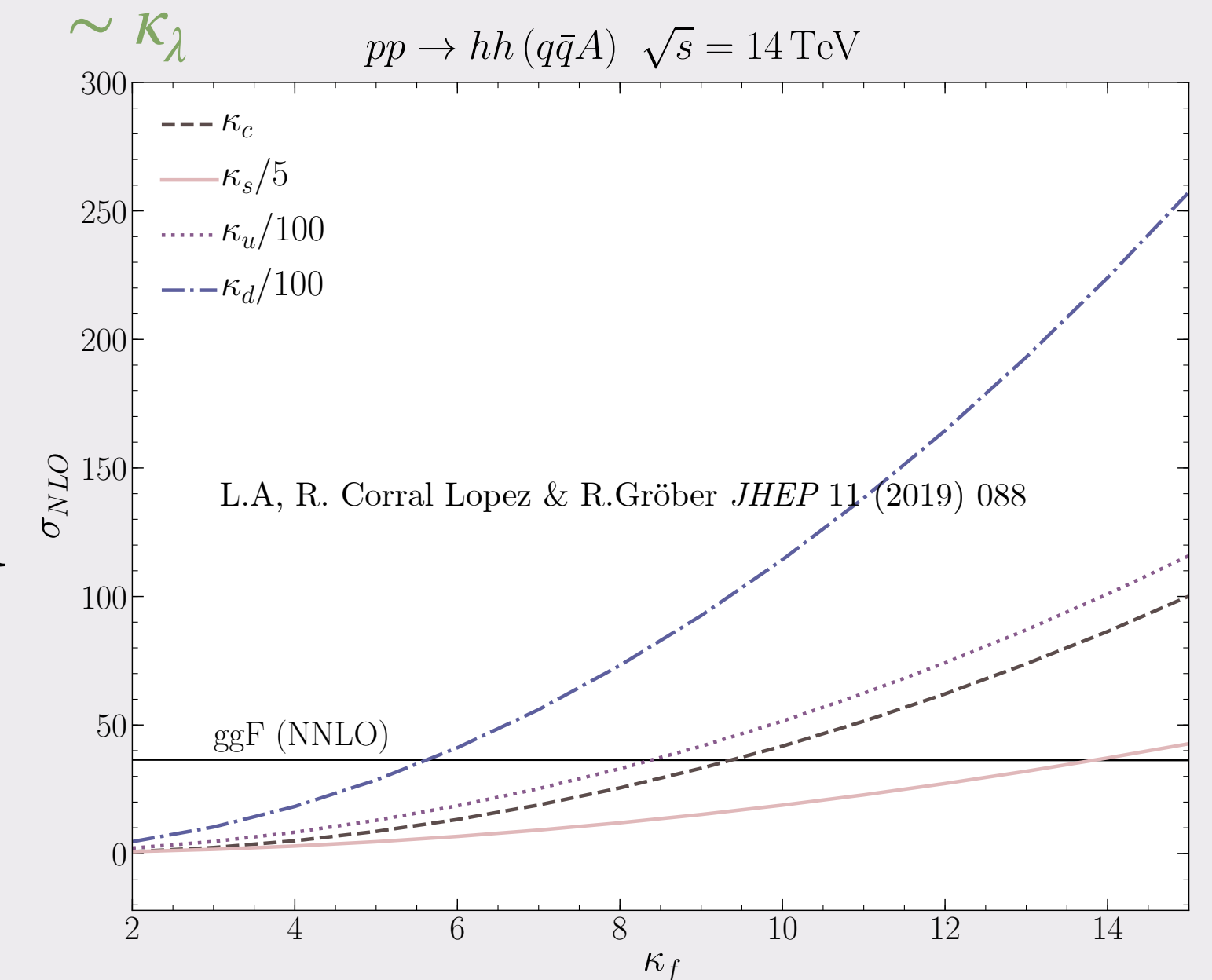
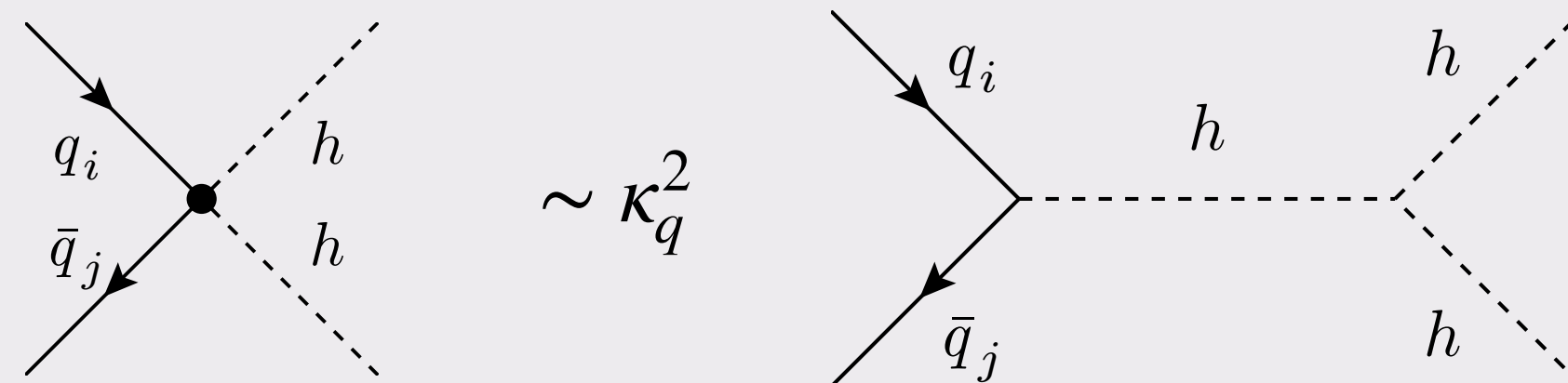
- Moreover, with enhanced Yukawa the quark-antiquark annihilation becomes dominant, while the gluon-fusion is pretty much unaffected

❖ We adopt this notation

$$\kappa_q := \frac{g_{hq\bar{q}}}{g_{hq\bar{q}}^{\text{SM}}}$$

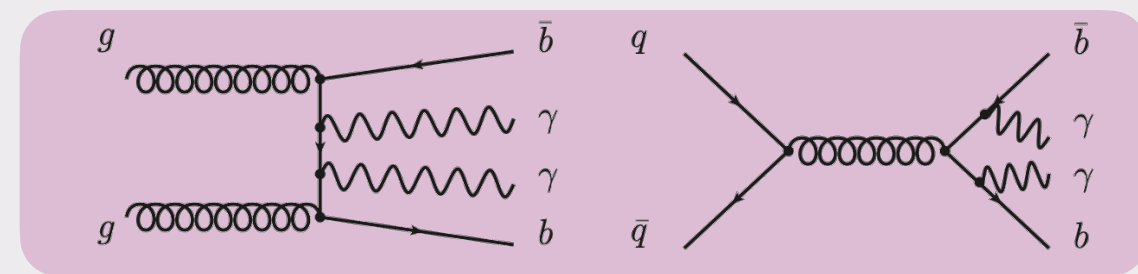
Though, we work in SMEFT, so we also have

$$g_{hhq_i\bar{q}_i} = -\frac{3}{2} \frac{1 - \kappa_q}{v} g_{hq_i\bar{q}_i}^{\text{SM}},$$

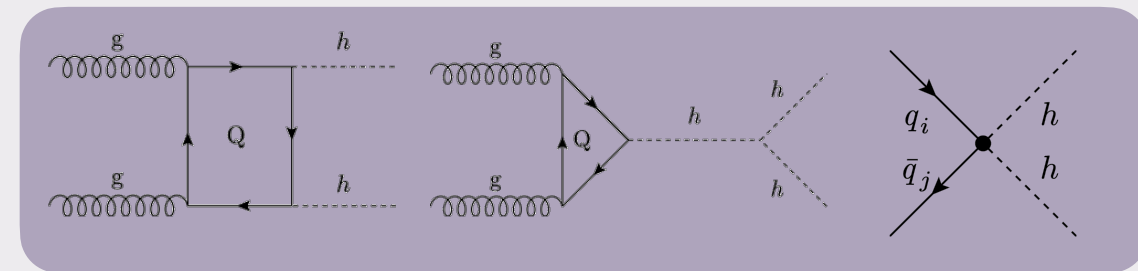


# Analysis summery (Provided by Ayan Paul)

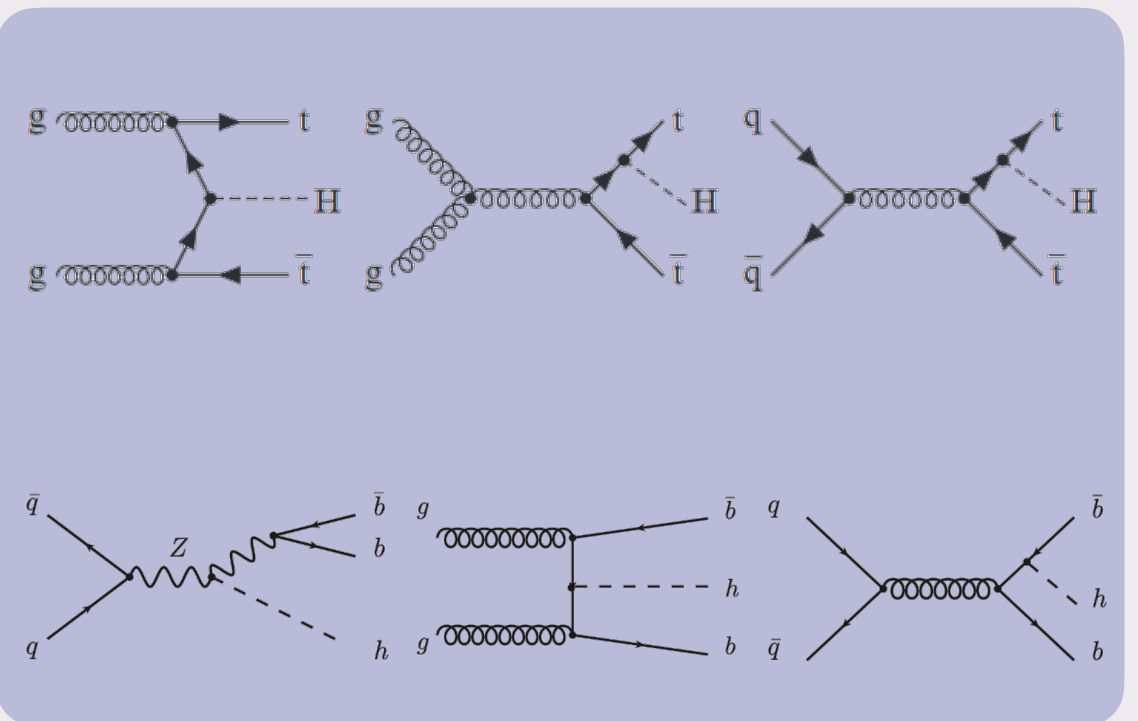
## Interpretable Variables



QCD-QED  
Backgrounds



$hh$  signal



$b\bar{b}h + t\bar{t}h$   
backgrounds

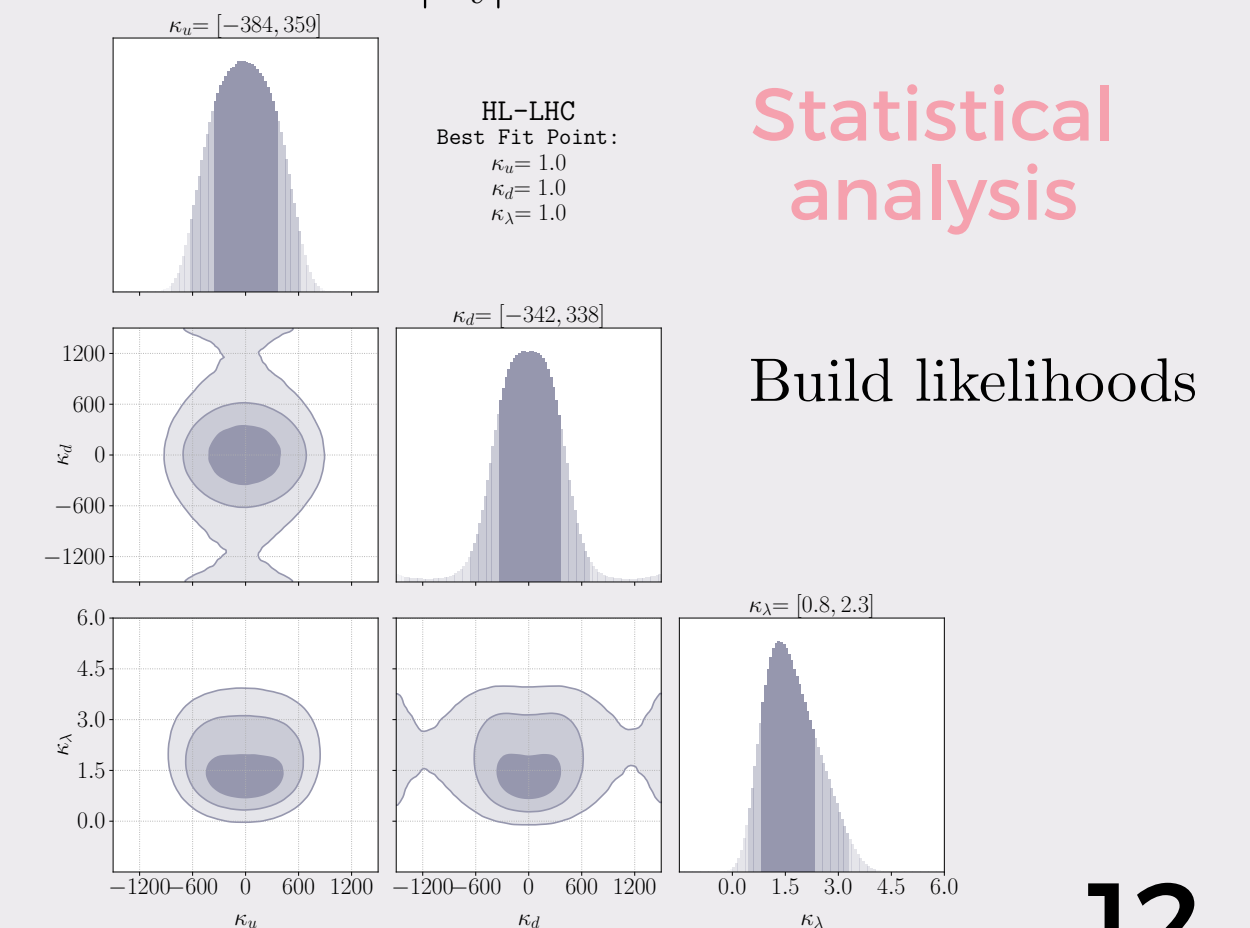
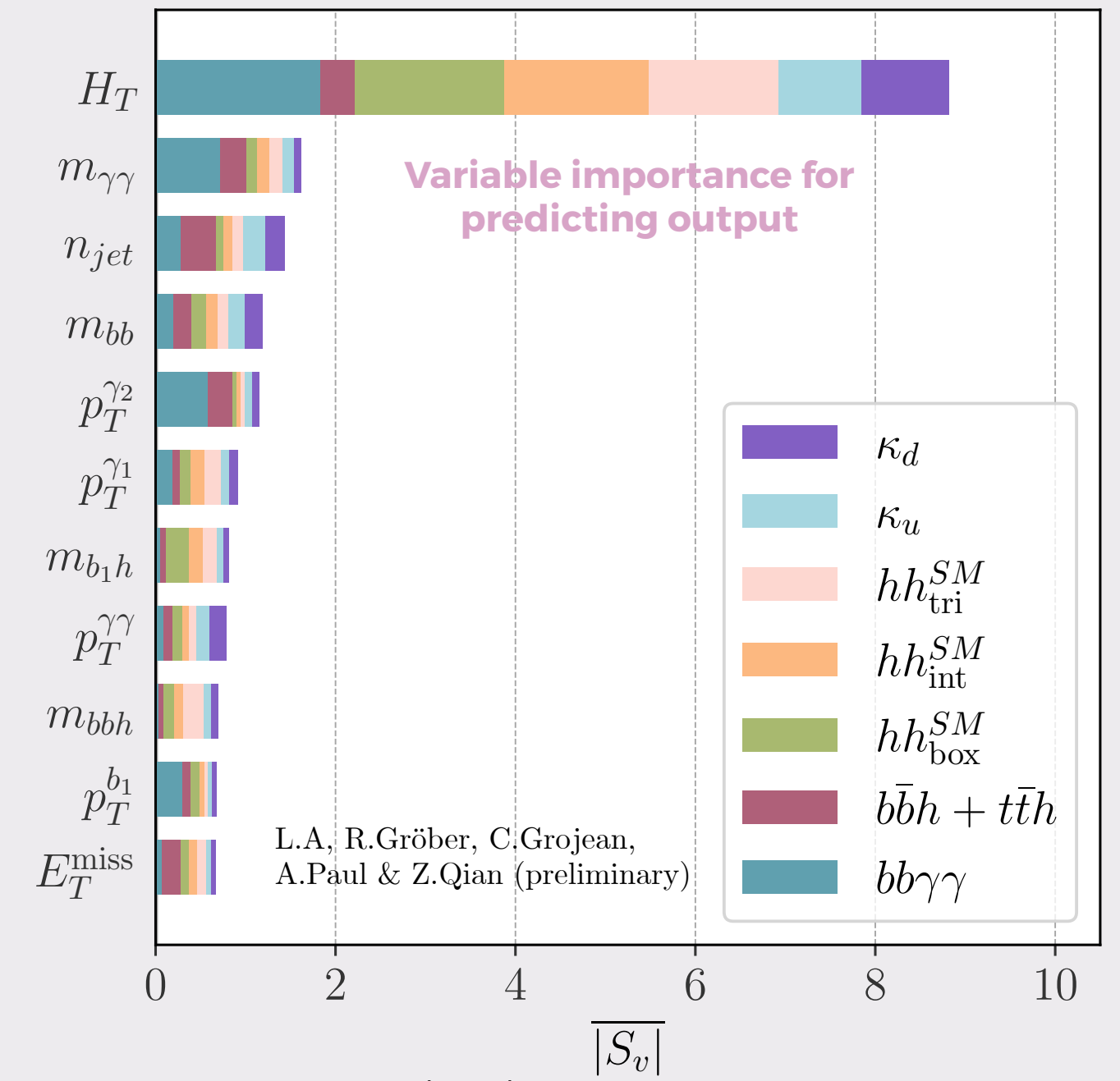
Generate Monte Carlo  
events, with Parton shower  
and fast detector effects

Construct high-level  
kinematic observables  
(features), with basic cuts

Shapley values  
+  
Physics Insights

Boosted Decision Trees  
+  
Signal Classification

Interpretable  
models



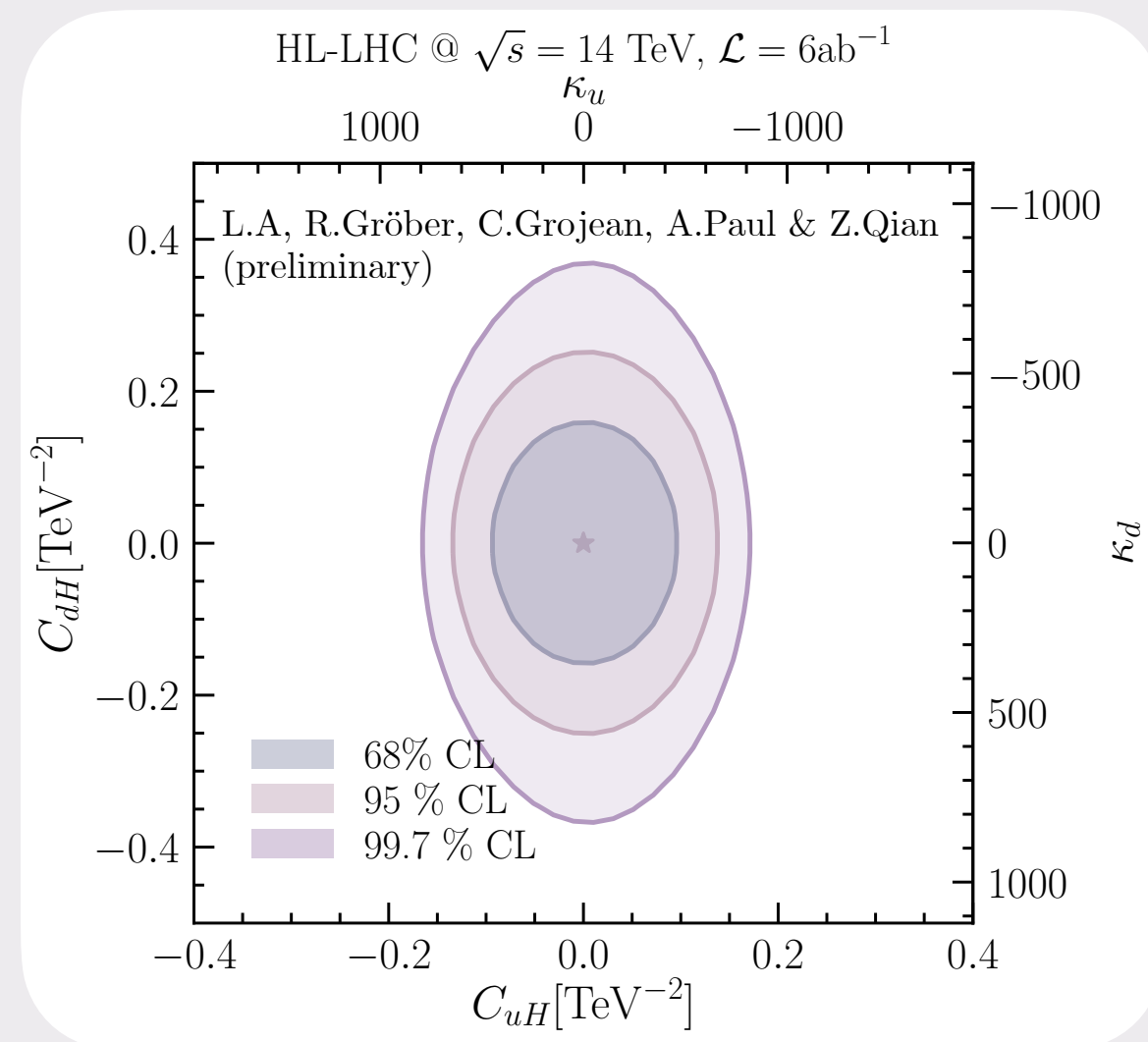
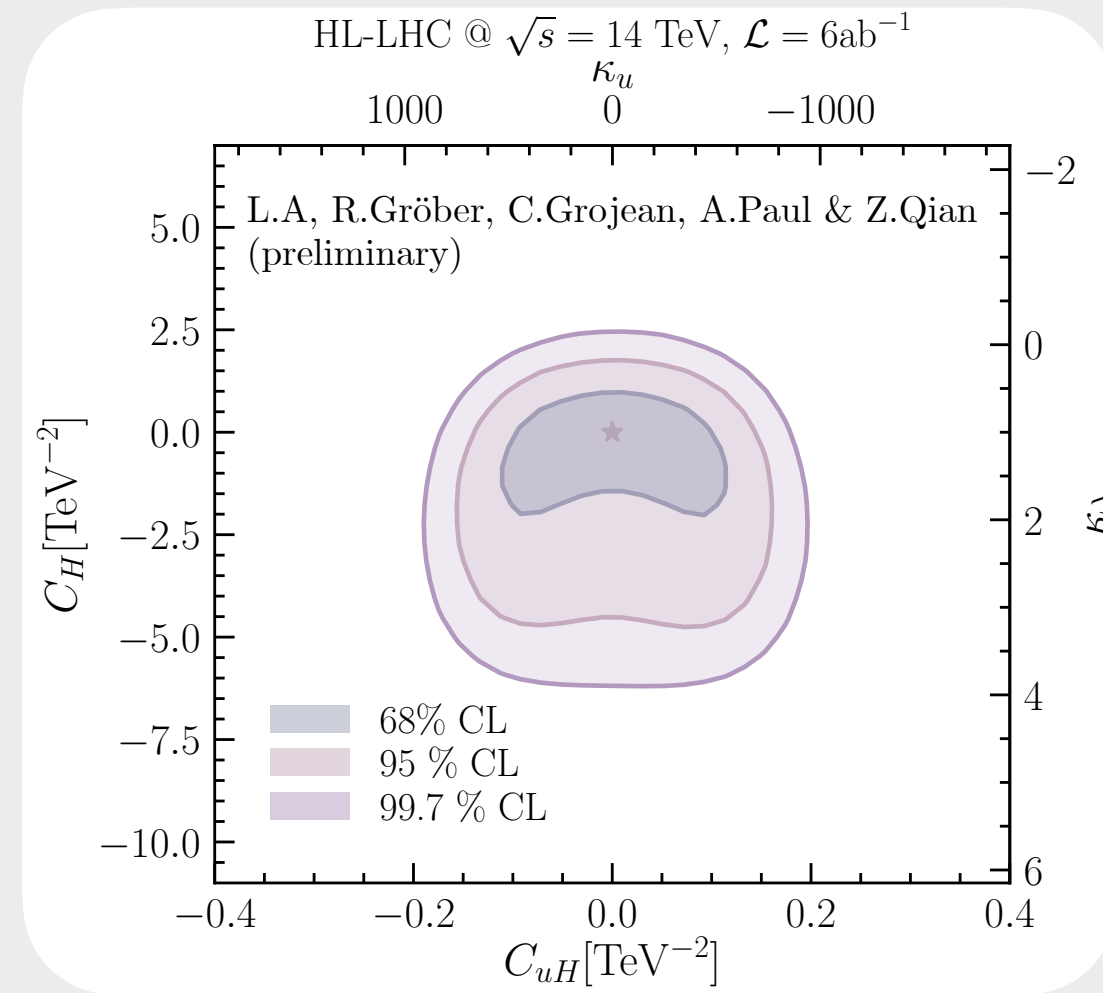
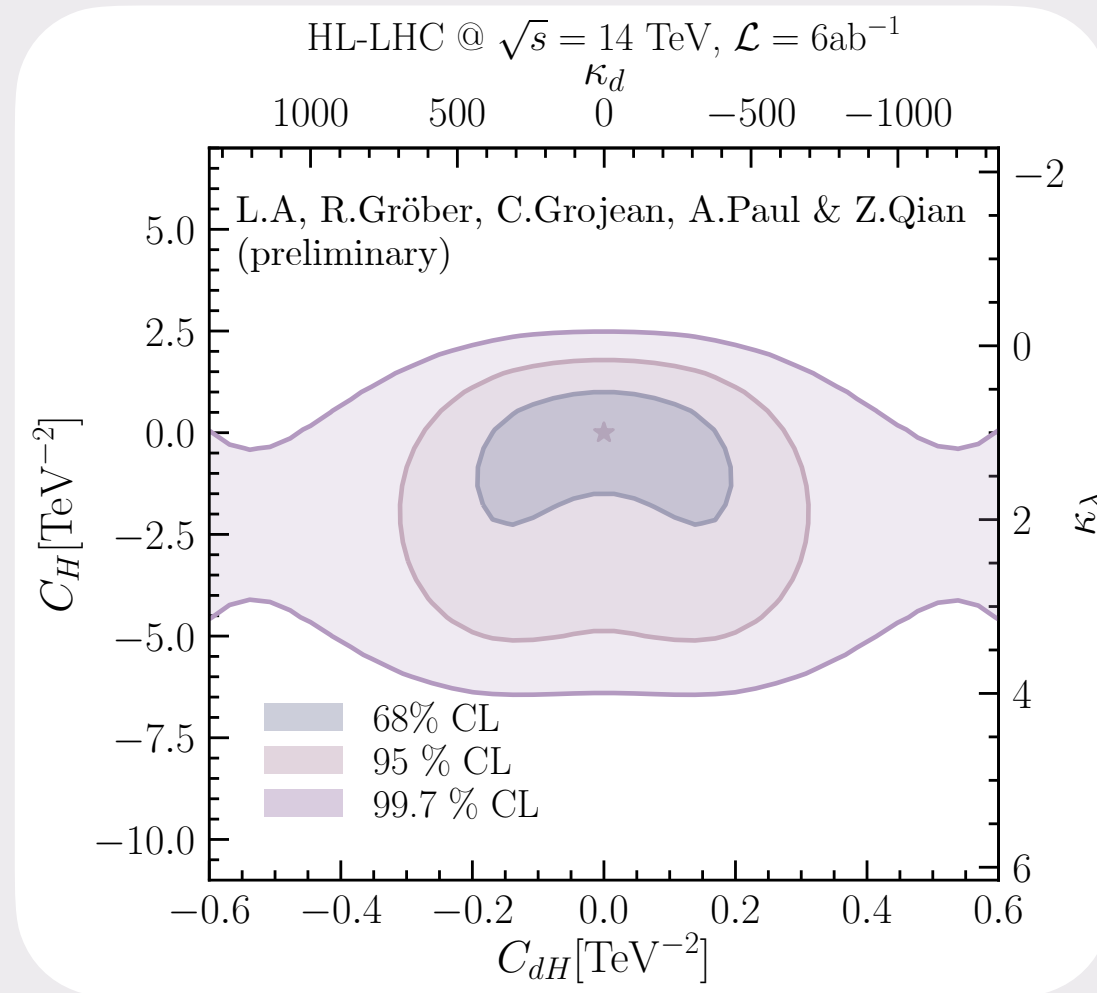




# Results



# Likelihood fits



- From the BDT output it is possible to construct the test-statistic G.Cowan et al (2010)

$$q_\mu = -2 \ln(\lambda(\mu)) \mu > \hat{\mu}$$

$$\lambda(\mu) = \frac{L(\mu, (\hat{\theta}))}{L(\hat{\mu}, \hat{\theta})}$$

- We could also write the test-statistic in terms of the SMEFT Wilson-coefficients

$$\Delta \mathcal{L}_y = \frac{H^\dagger H}{\Lambda^2} \left( c_{ij}^u \bar{Q}_L^i \tilde{H} u_R^j + c_{ij}^d \bar{Q}_L^i H d_R^j + h.c. \right),$$

$$\frac{C_{qH}}{\Lambda^2} = \frac{\sqrt{2} m_q}{v^3} (1 - \kappa_q)$$

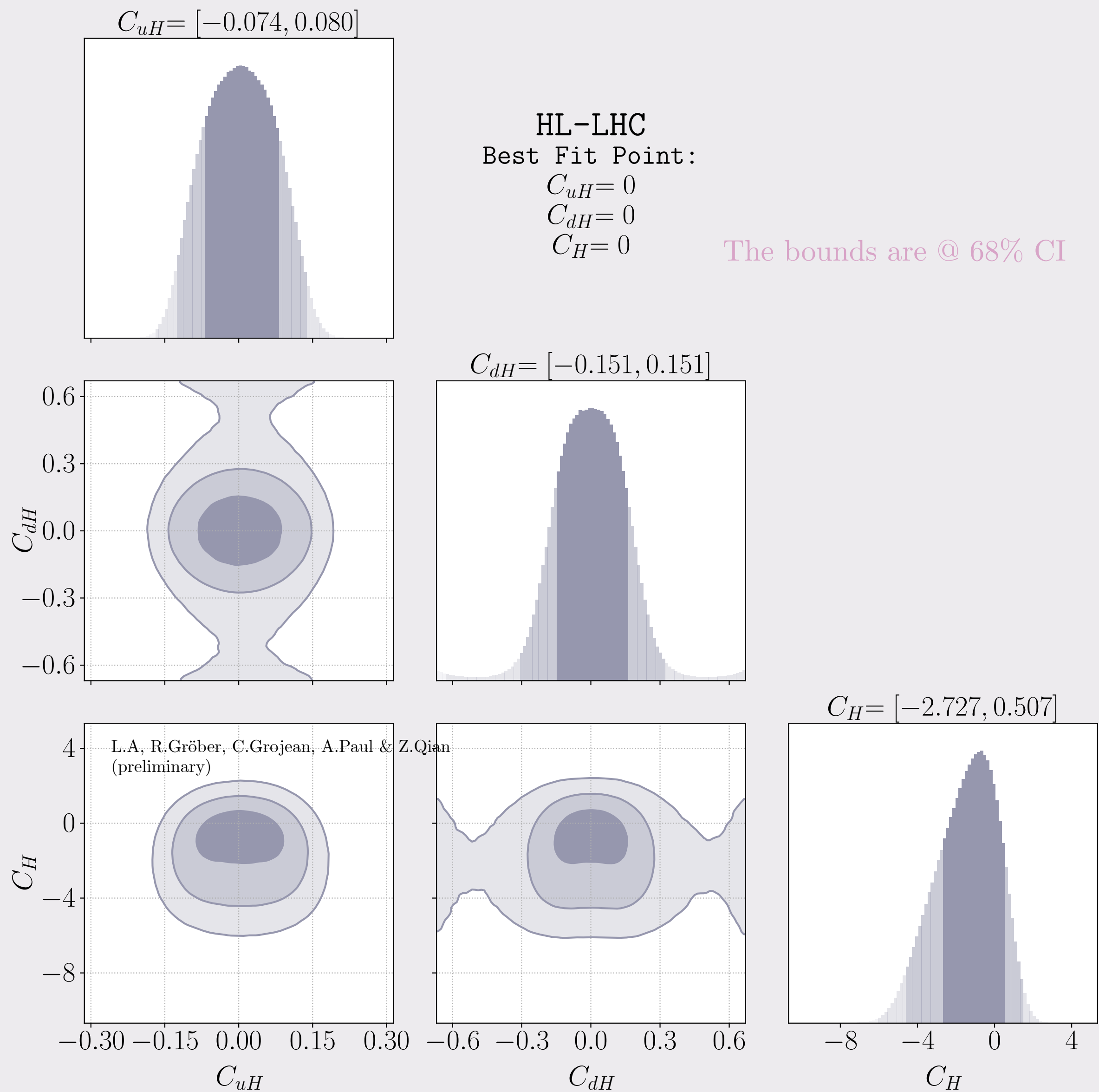
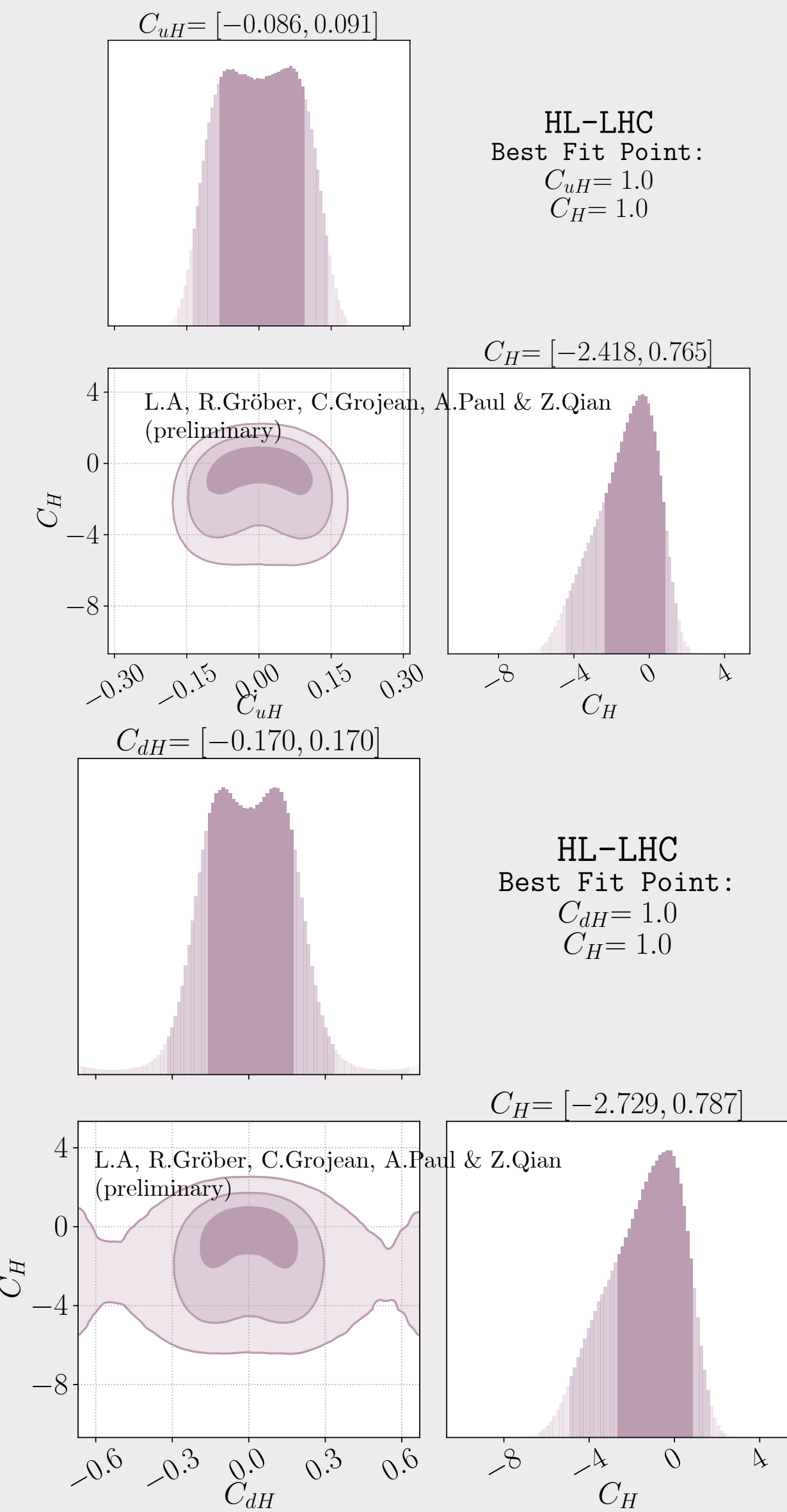
$$c_{ii}^q := C_{qH}^{ii}$$

$$\mathcal{L}_{\text{SMEFT}} = C_{H,\square} (H^\dagger H) \square (H^\dagger H) + C_{HD} |(H^\dagger D_\mu H)|^2 + C_H (H^\dagger H)^3$$

- We have not included systematics here, i.e. (stats. only)

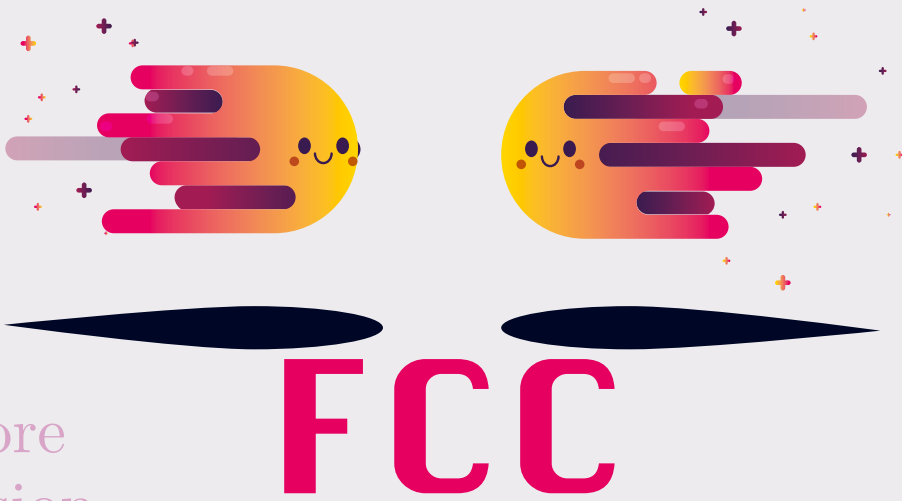
- The fit was also done via a Bayesian method, and both results agreed.

# Bounds Extraction (HL-LHC)



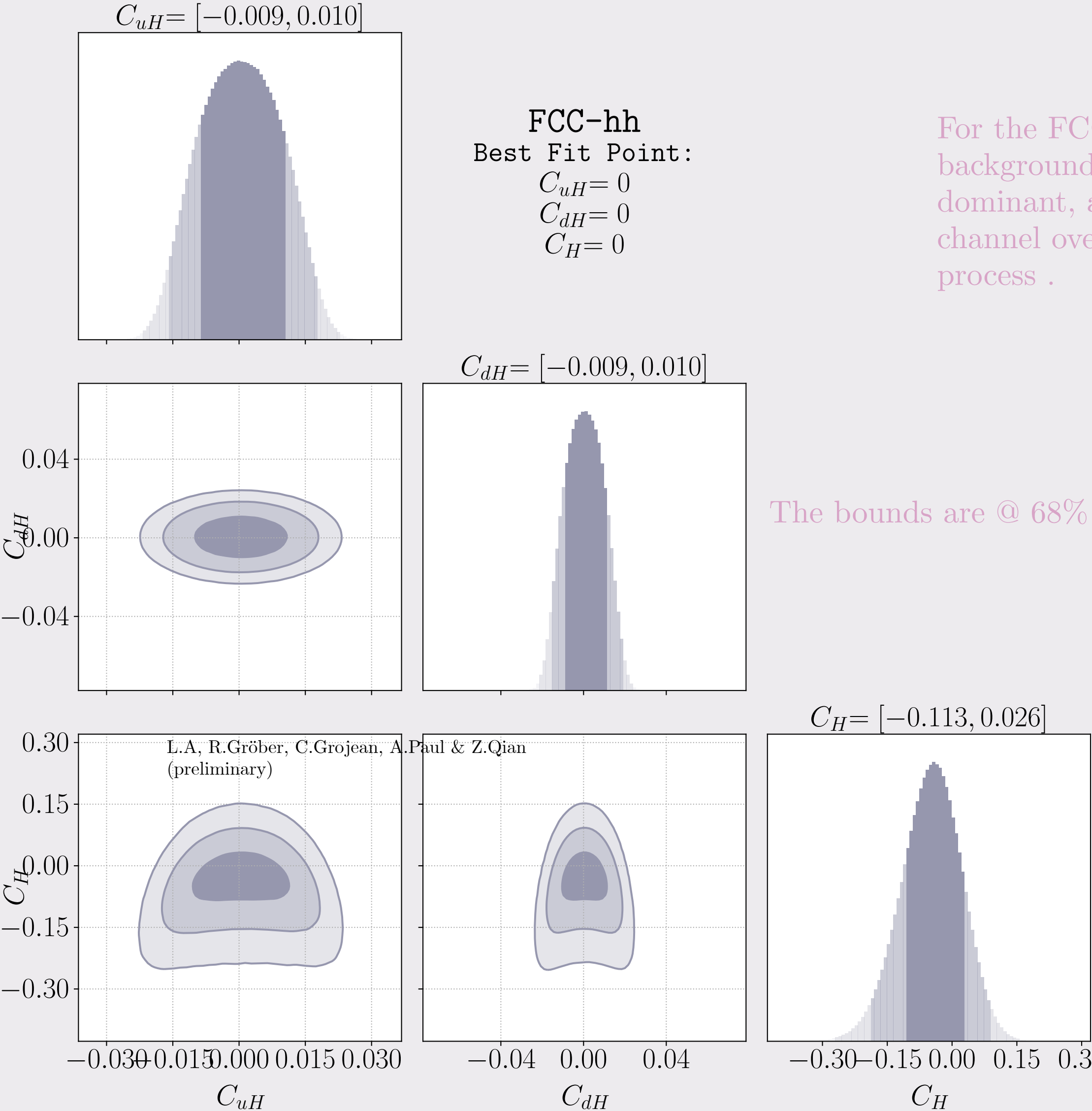
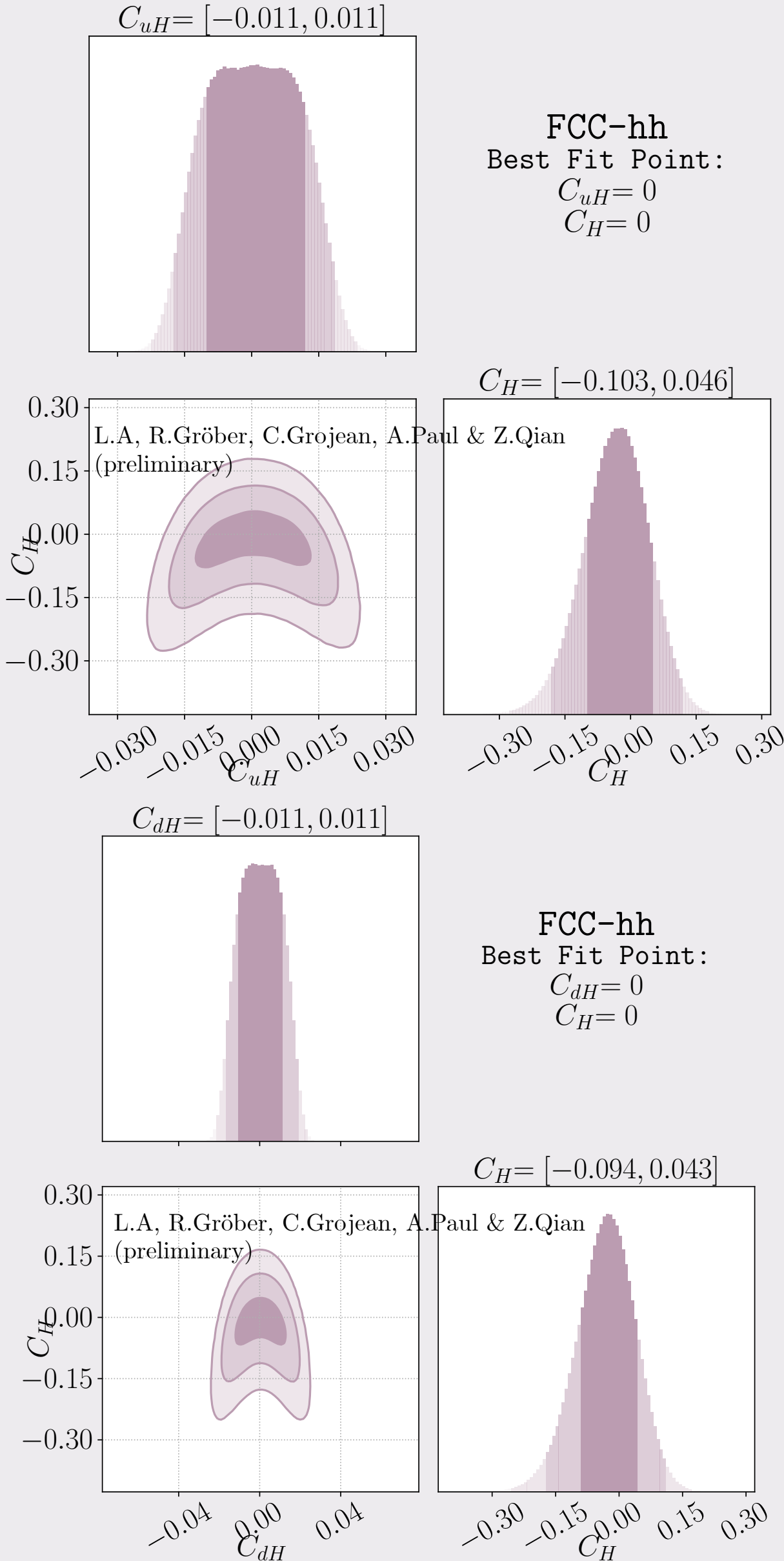
# Bounds Extraction outlook

$\sqrt{s} = 100 \text{ TeV}, \mathcal{L} = 30 \text{ ab}^{-1}$



For the FCC, the backgrounds become more dominant, and gluon fusion channel overwhelms the process .

The bounds are @ 68% CL

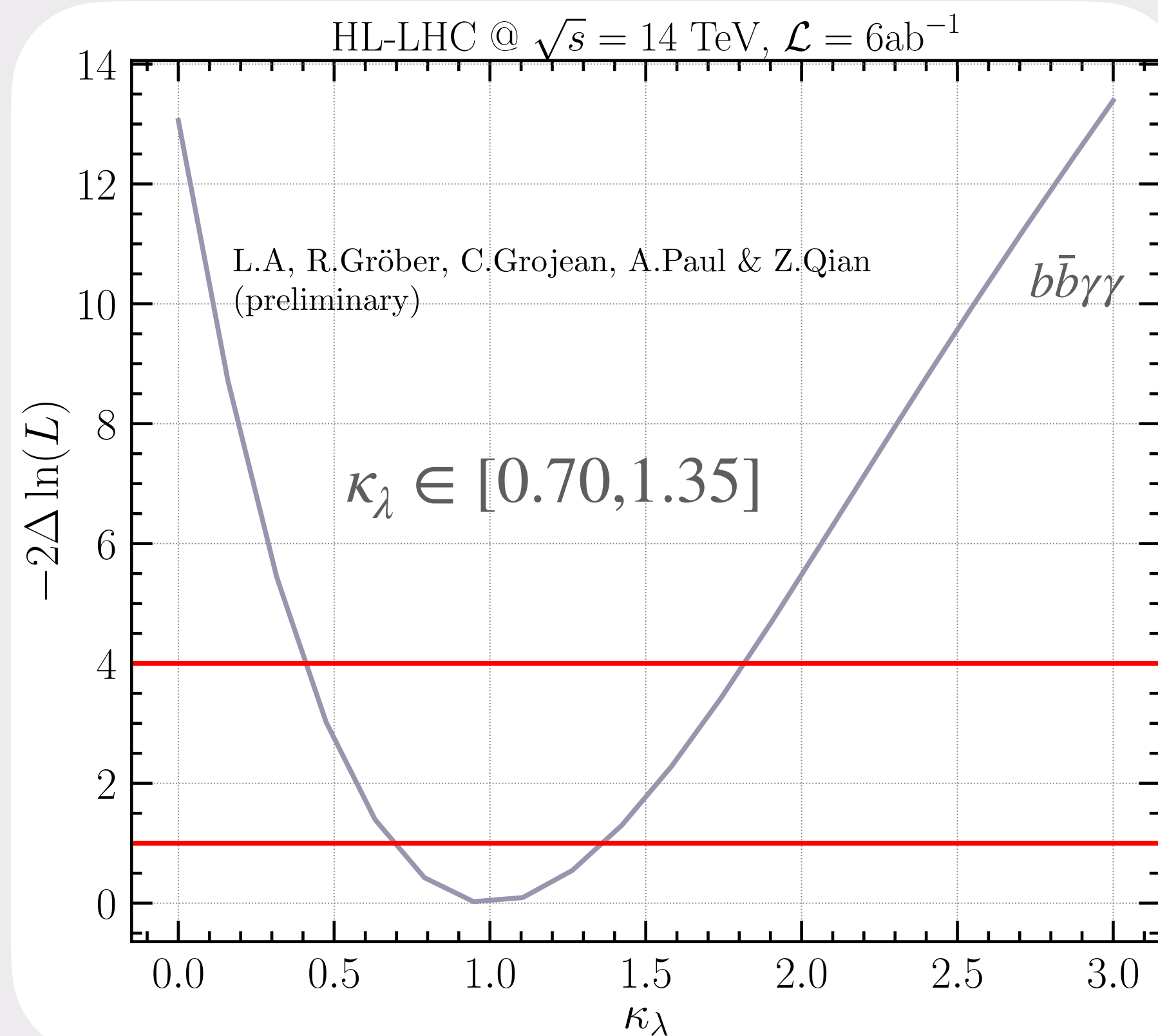




# Bounds on $\kappa_\lambda$ alone

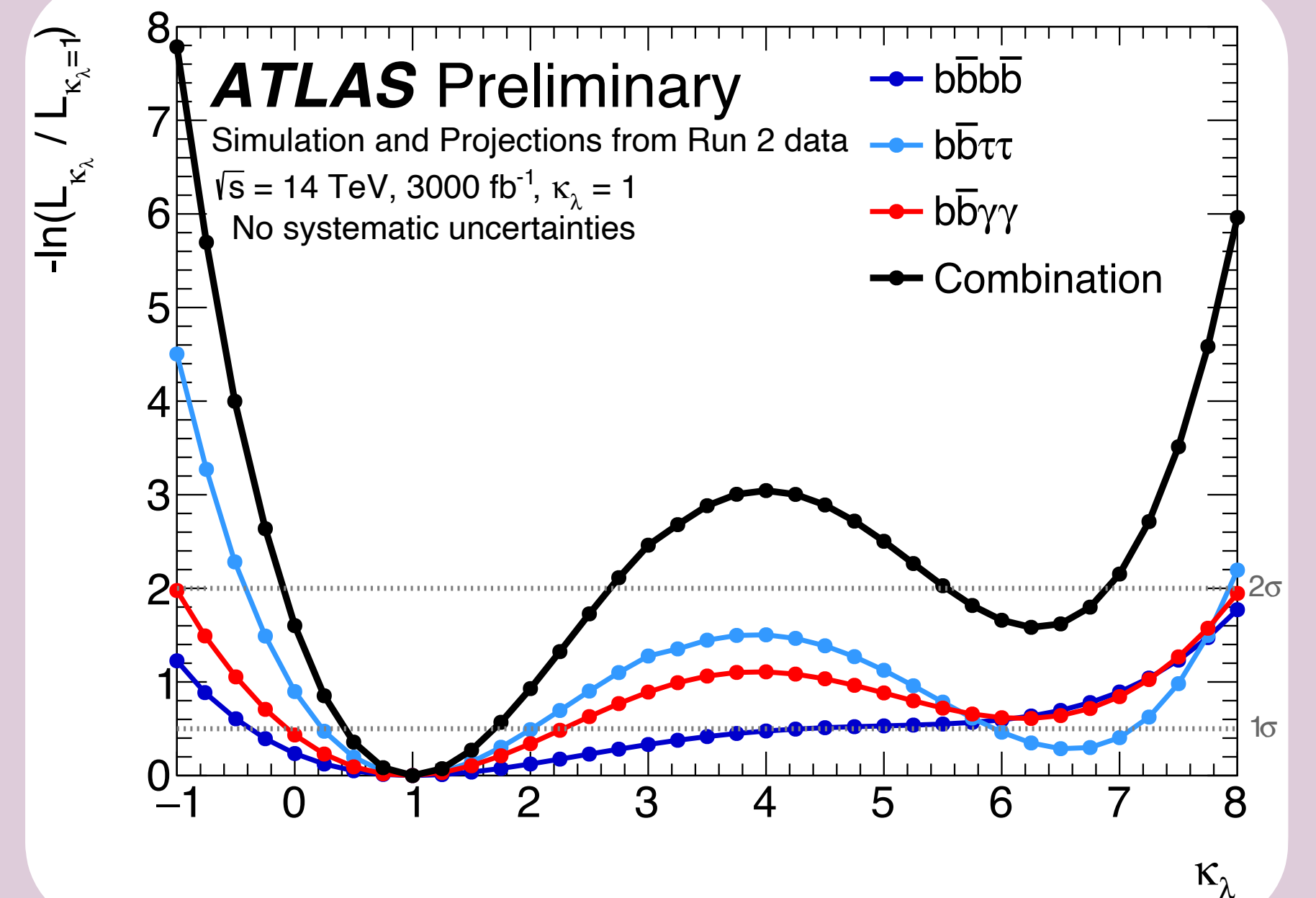
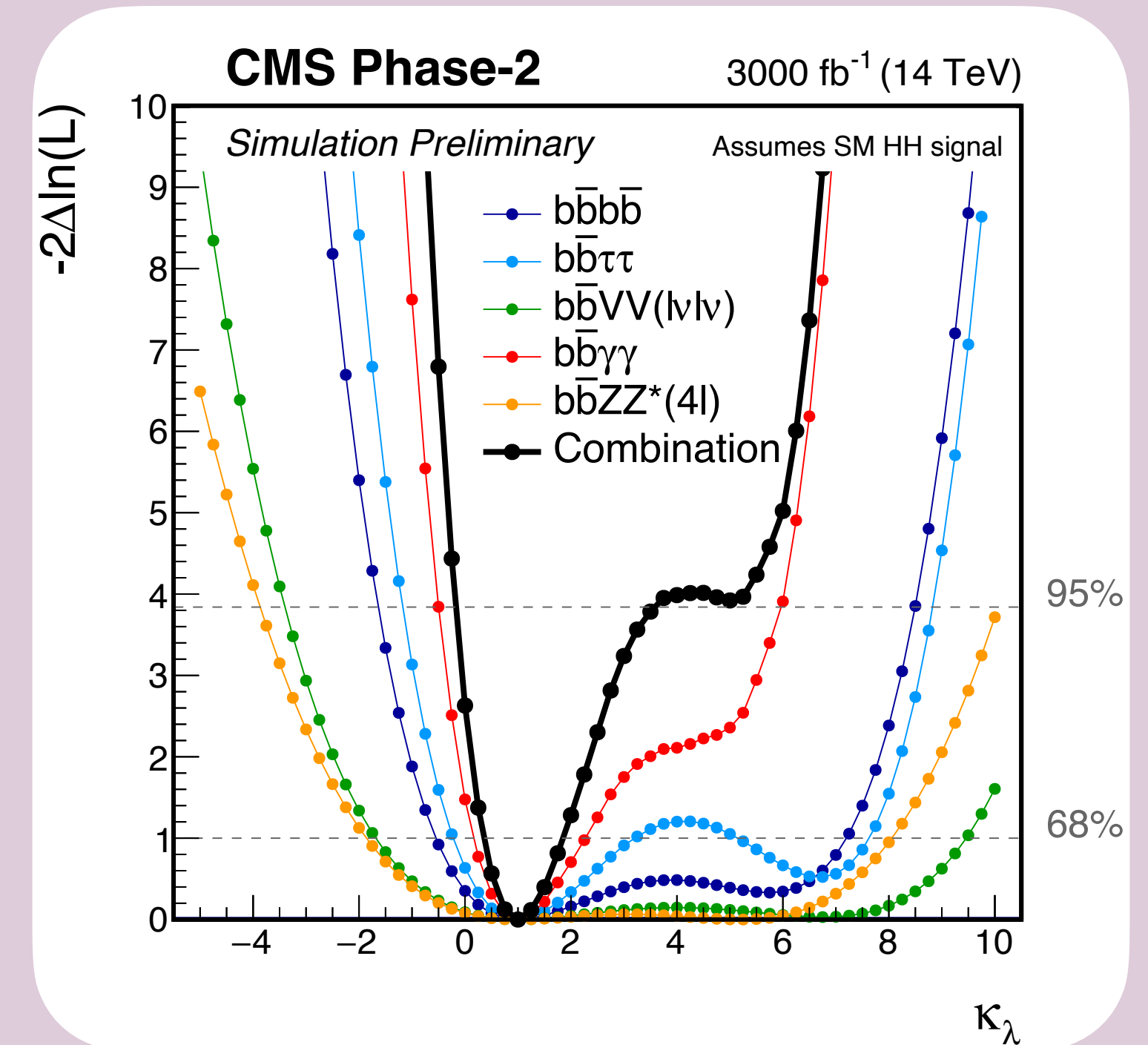
★ In our analysis, we were able to achieve competitive sensitivity to the results coming from ATLAS and CMS.

The bounds are @ 68% CL



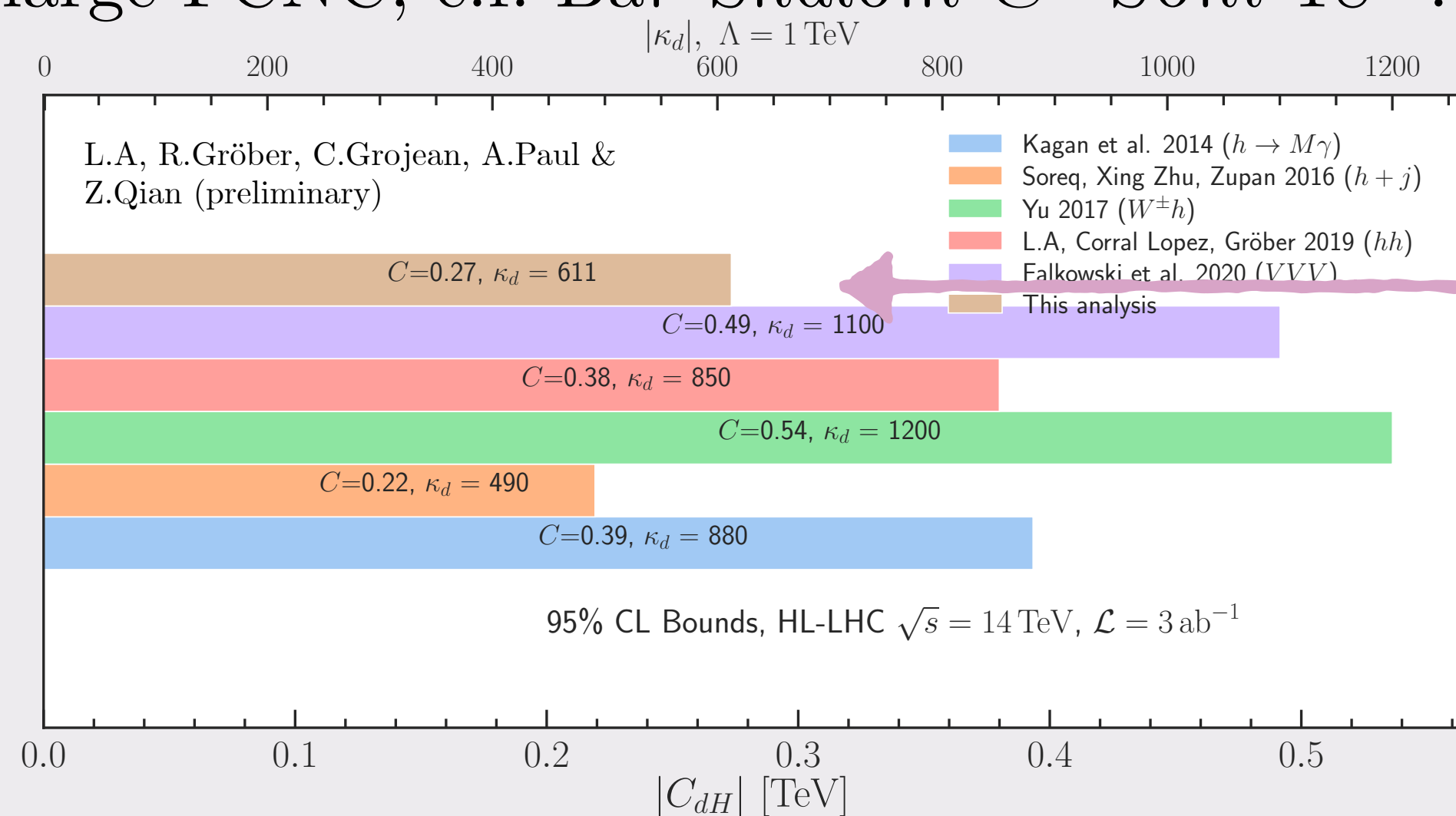
ATLAS & CMS  
average for  $b\bar{b}\gamma\gamma$   
rescaled for  $\mathcal{L} = 6\text{ab}^{-1}$

$$\kappa_\lambda \in [0.88, 1.41]$$

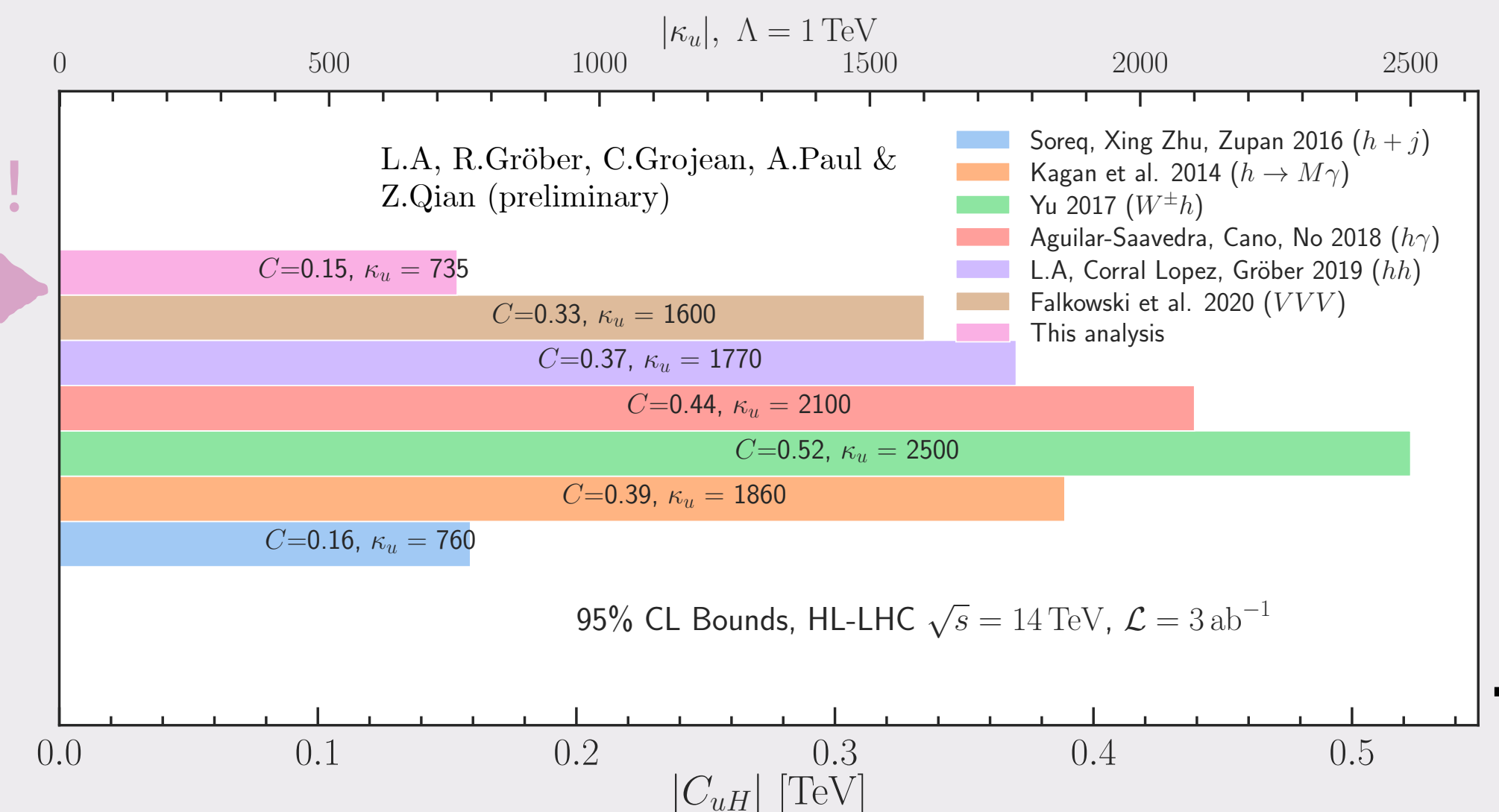


# Conclusion

- It was possible to distinguish the signal for  $\kappa_\lambda, \kappa_u$  &  $\kappa_d$  in our ML-based analysis.
- The expected bounds on **up Yukawa** coupling modifications from this analysis are the *strongest model-independent* bounds from a *single process*, and *2nd best for down type*.
- The expected bound on  $\kappa_\lambda$  from HH has been improved, with plenty of room for improvement.
- When considering HH process, it is important not to ignore the correlation between  $\kappa_\lambda$  and light Yukawa coupling modification. Moreover, both are weakly constrained.
- Models with aligned flavour violation (AFV) allow for large modifications to light Yukawa without having large FCNC, c.f. Bar-Shalom & Soni 18'.



New bounds !





**Thank you !**



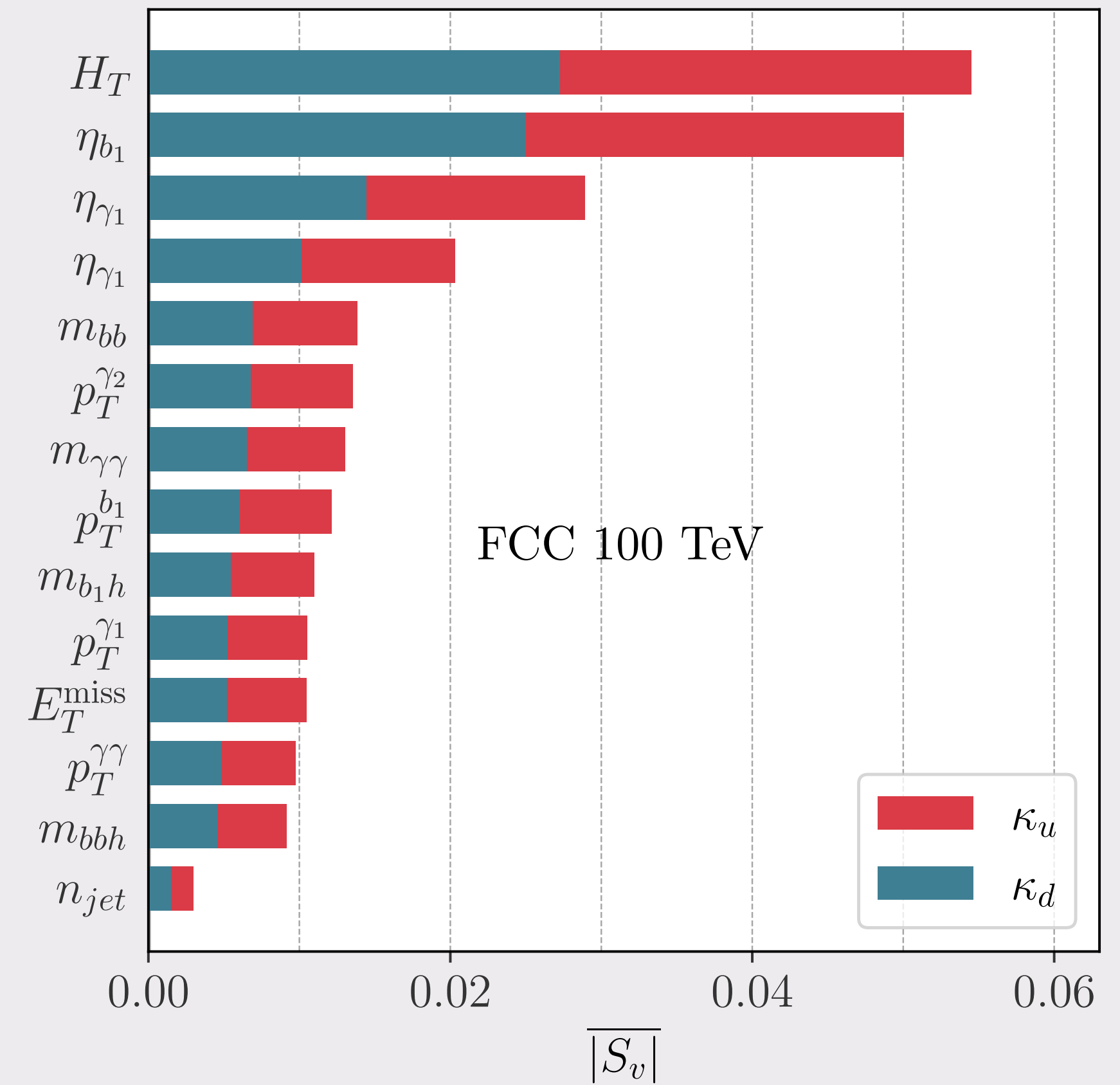
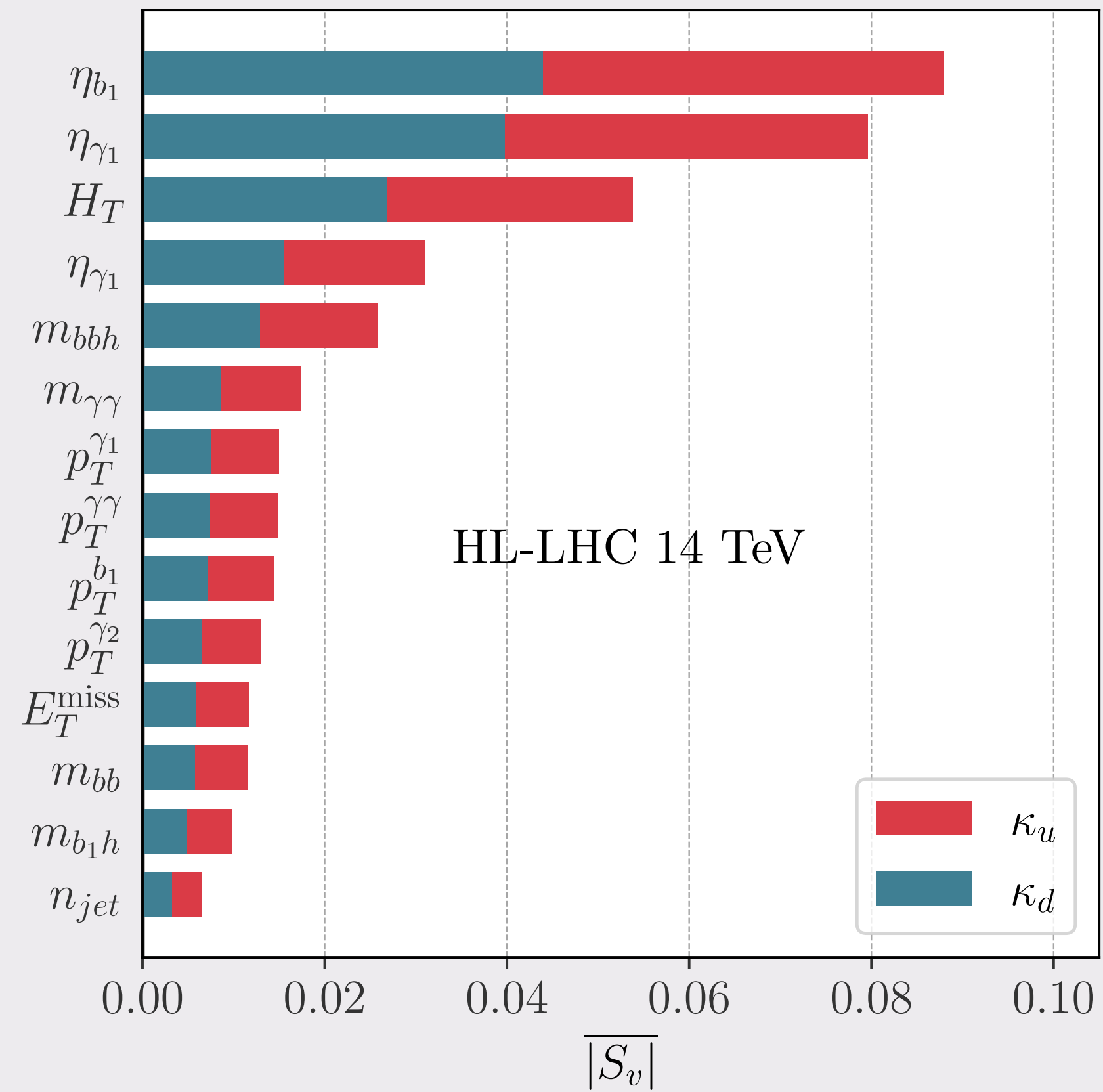


# Backup



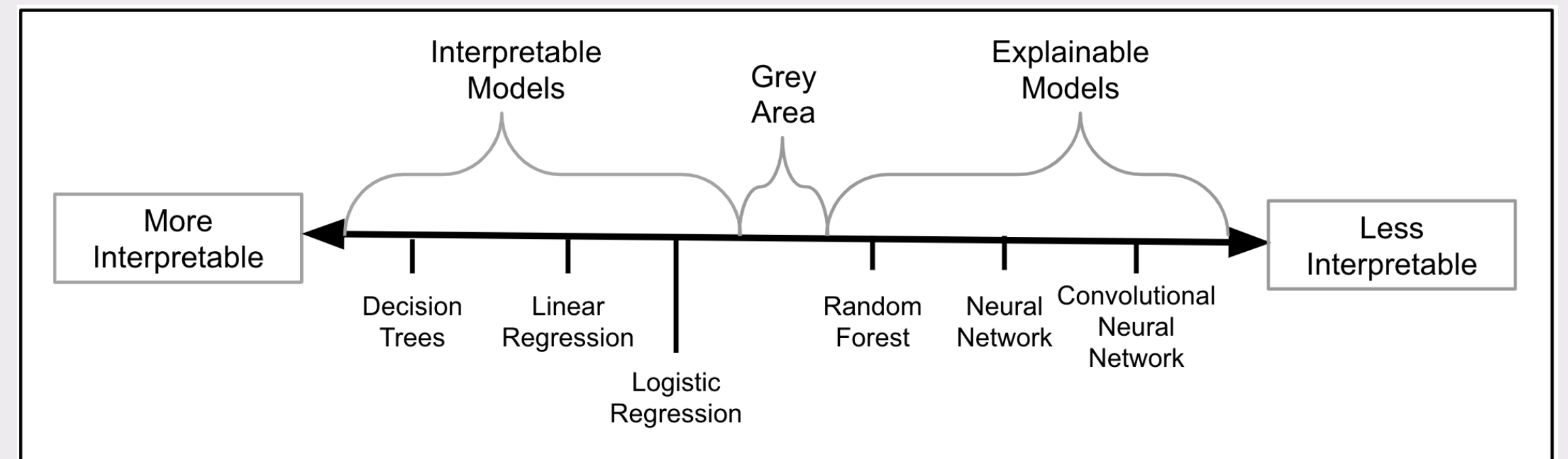
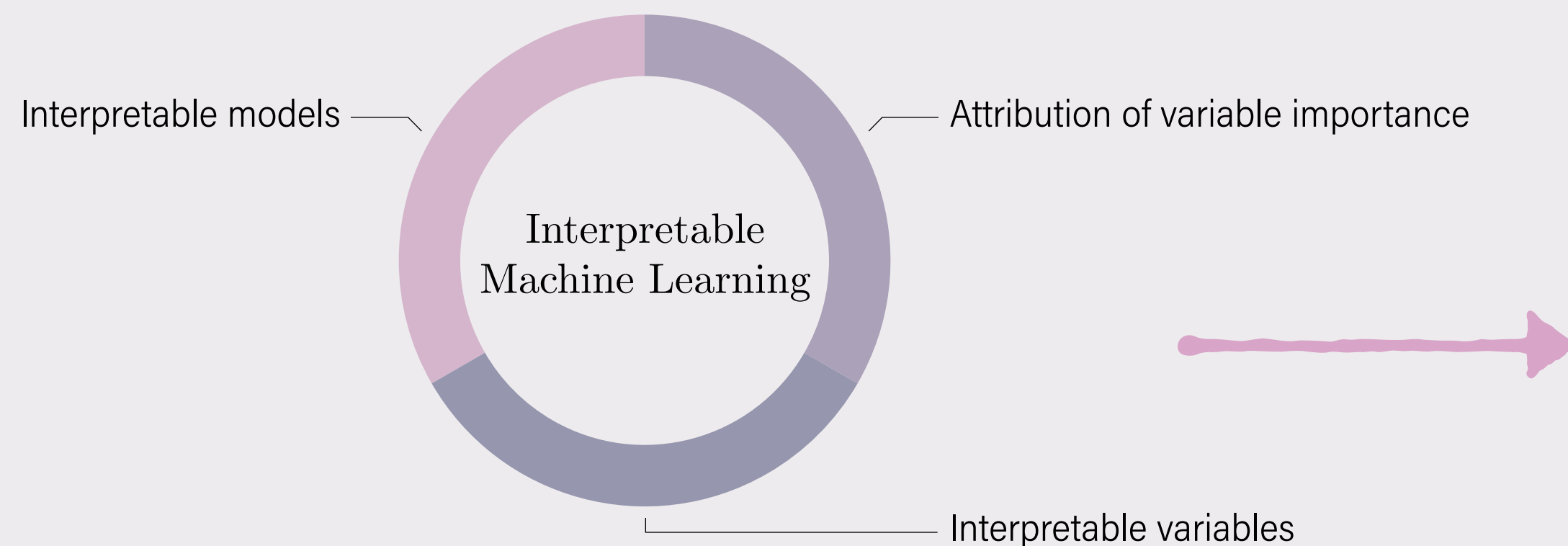


# Disentangling $\kappa_u$ & $\kappa_d$



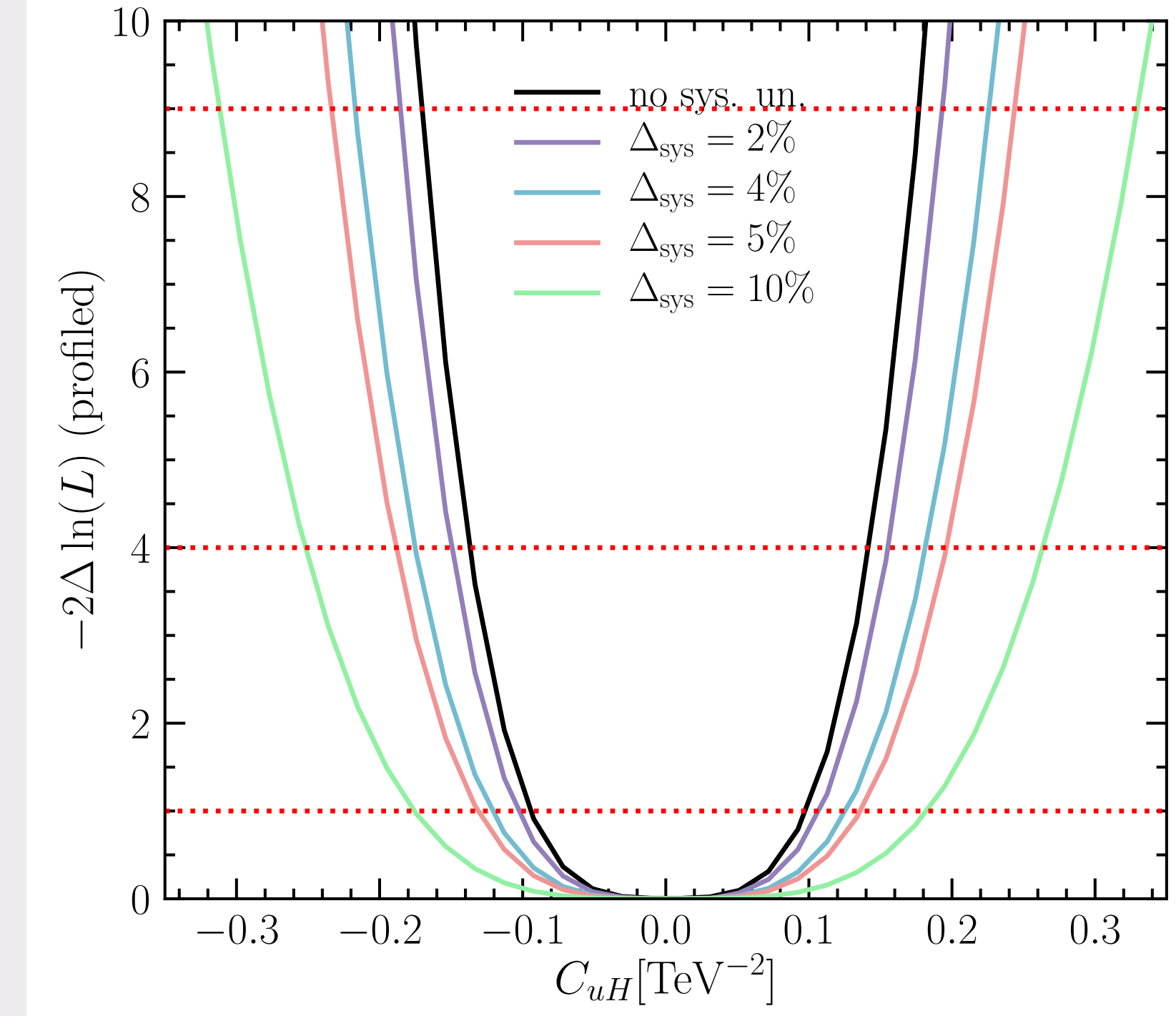
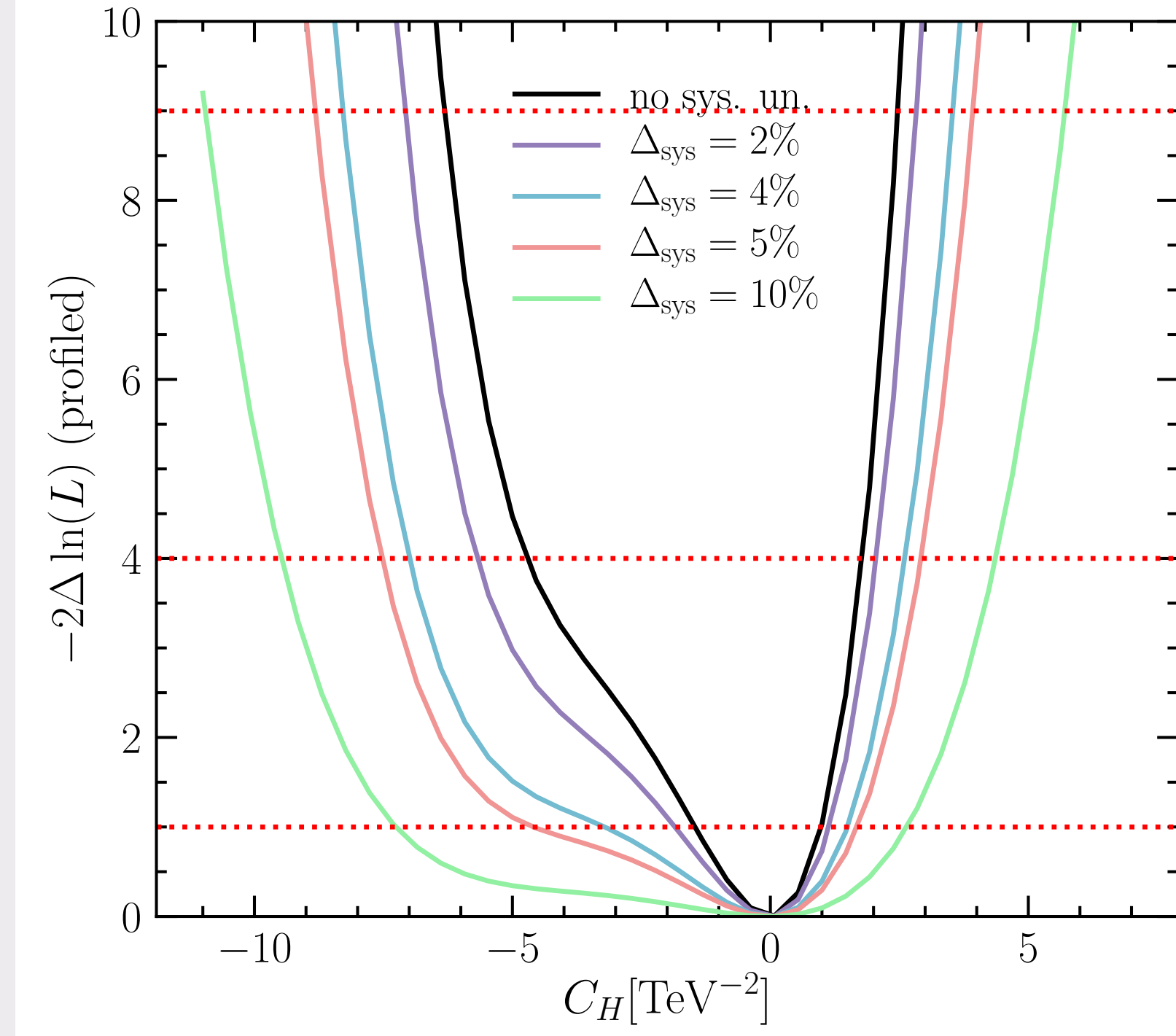
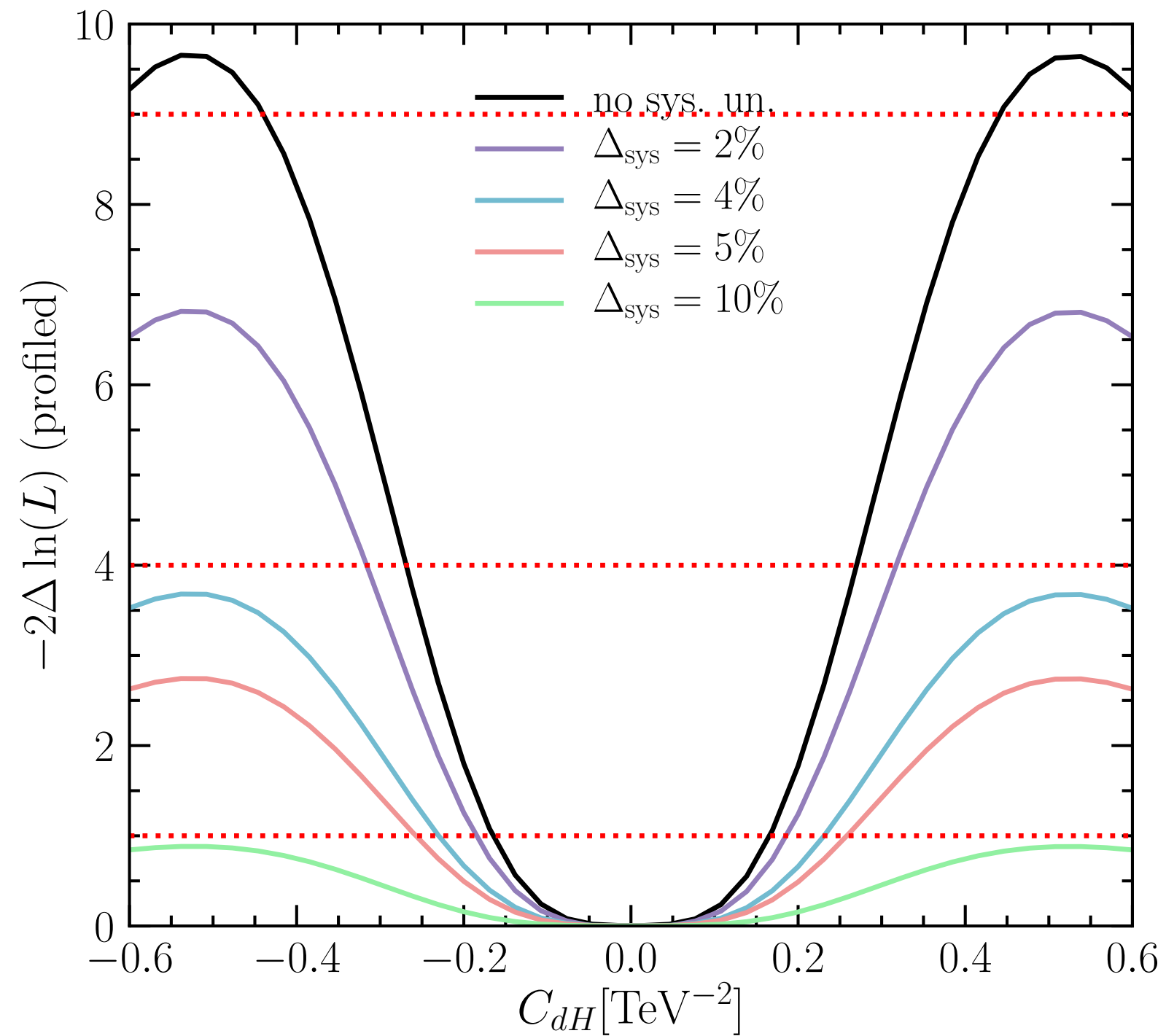
# interpretable vs explainable ML

- Explainable models are not fully interpretable – proliferation of parameters can be a problem
- An interpretable model should be able to understandably map the input to the output
- Interpretability is important since an ML model should make the right decision for the right reasons.





# Effects of systematic uncertainties



# Aligned Flavour Violation (AFV)

- Recall that the CKM matrix  $V = \mathcal{U}_u^T \mathcal{U}_d^*$  is the only matrix in the SM that transformed non-trivially under  $U(1)_R^5$ , leaving only one phase that correspond to CPV.
- We add new flavour spurions  $k_u, k_d$  that transform like the SM Yukawa matrices  $y^u, y^d$ .
- Aligned flavour violation *only* requires that the new spurions to transform trivially under  $U(1)_R^6$ , thus aligning FCNC with the CKM matrix (  $V$  is the only flavour spurion that breaks  $U(1)_R^6$  ).

- Now we can write  $k_u, k_d$  -in the mass basis- as

$$k_u = \mathcal{U}_U \left( K_0^u + K_1^u V^* K_2^u V^T K_3^u + \mathcal{O}(V^4) \right) \mathcal{U}_{\bar{U}}^\dagger$$

$$(k_d)^\dagger = \mathcal{U}_D \left( K_0^d + K_1^d V^T K_2^d V^* K_3^d + \mathcal{O}(V^4) \right) \mathcal{U}_{\bar{D}}^\dagger$$

$K_i^q$  are called Alignment expansion coefficients.

Diagonal  $3 \times 3$  complex matrices, invariant under flavour

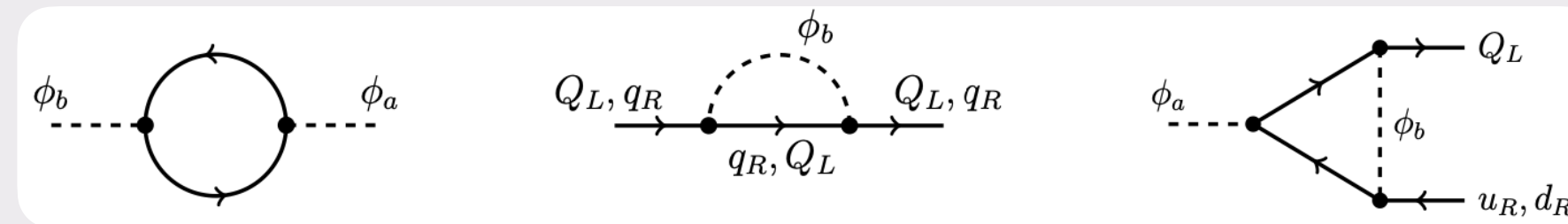
The construction of  $k_u, k_d$  is by construction „invariant“ under the bi-unitary transformations by  $U(1)_R^6$ , just like  $y_u, y_d$

The bar notation correspond to a different matrix



# Features and problems of (AFV)

- ✓ AFV allows for flavourful new physics (including EFT's), while satisfying the flavour constraints. The simplest case is to just take the zeroth-order term in the FA expansion (i.e.  $[y^q, k_q] = 0$ ) Nir & Seiberg 93'; Leurer, Nir, Seiberg 94' and Peuelas & Pich 17'.
- ✓ All linear combinations, and tensor products of the flavour aligned NP spurions are also flavour aligned.
- ✓ All radiative corrections only caused RGE running of the Alignment expansion coefficients elements, hence AFV is radiatively stable !



- ✗ There is typically no obvious symmetry that „predicts“ AFV, hence it requires significant fine-tuning . Nir & Seiberg 93'; Leurer, Nir, Seiberg 94'; Branco, Grimus, and L. Lavoura 96' ;and Antaramian, Hall& Rasin 92'

# UV models with AFV

## ❖ Multi-Higgs Doublets

Peñuelas & Pich 17'

- Consider  $\phi_a$  scalar doublets, where only  $\phi_1$  acquires a vev. The most general Yukawa takes the form

$$-\mathcal{L} = \sum_a \bar{Q}_L \left[ \Gamma_a \phi_a d_R + \Delta_a \tilde{\phi}_a u_R \right] + h.c.$$

- Flavour alignment manifests in the conditions

$$\Gamma_a = e^{-i\theta_a \xi_a^d} \Gamma_1 \quad \Delta_a = e^{i\theta_a \xi_a^u} \Delta_1$$

$$\xi_1 = 1 \quad \xi_{a \neq 1} \in \mathbb{C}$$

- Consistent with flavour bounds, but it is hard to get large Yukawa enhancement.

## ❖ Vector-like quarks

Bar-Shalom & Soni 18'

- The Yukawa-like interaction and mixing between the SM quarks and the VLQ (Doublet  $Q$  and singlets  $\mathcal{U}, \mathcal{D}$ ) are given by

$$-\mathcal{L} = \lambda_{QU} \bar{Q}_L \tilde{\phi} U_R + \lambda_{QD} \bar{Q}_L \phi D_R + h.c.$$

$$-\mathcal{L} = \lambda_{Uq} \bar{Q}_L \tilde{\phi} \mathcal{U}_R + \lambda_{Dq} \bar{Q}_L \phi \mathcal{D}_R + \lambda_{Qu} \bar{\mathcal{Q}}_L \tilde{\phi} u_R + \lambda_{Qd} \bar{\mathcal{Q}}_L \phi d_R + h.c.$$

$$\hat{Y}_d, \hat{Y}_u, \hat{\lambda}_{QD} \in \begin{pmatrix} \times & 0 & 0 \\ 0 & \times & 0 \\ 0 & 0 & \times \end{pmatrix}$$

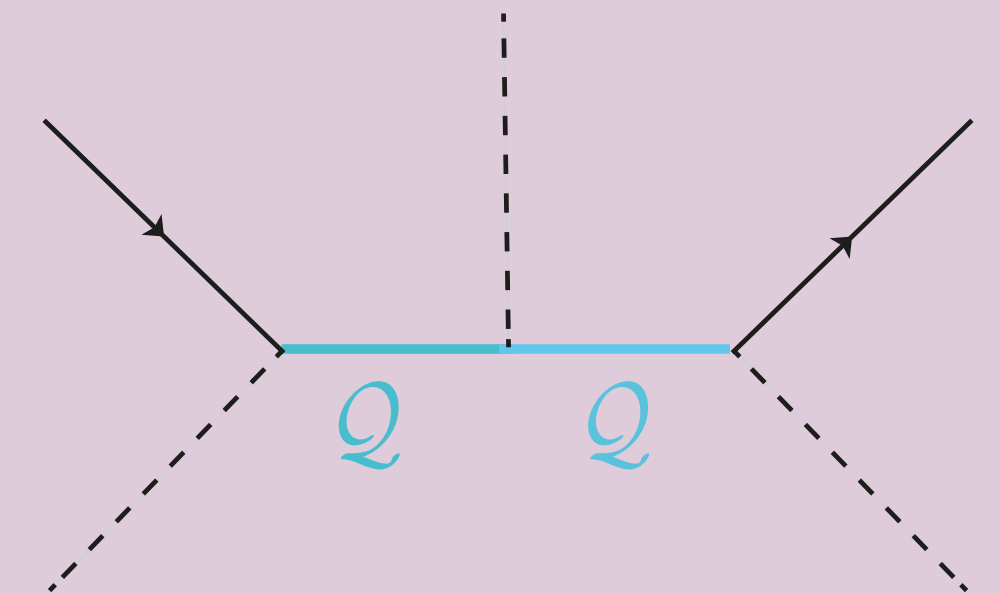
$$\hat{\lambda}_{QU}, \hat{\lambda}_{Uq} \in \begin{pmatrix} \times & 0 & \times \\ 0 & \times & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\hat{\lambda}_{Qd}, \hat{\lambda}_{Qu}, \hat{\lambda}_{Dq} \in \begin{pmatrix} \times & 0 & 0 \\ 0 & \times & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\hat{f}_{dH}, \hat{f}_{uH} \in \begin{pmatrix} \times & 0 & 0 \\ 0 & \times & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

- Flavour alignment is achieved by constructing the mixing and VLQ Yukawa interaction matrices to satisfy certain discrete symmetries.  $\mathbb{Z}_3$

- Requires fine-tuning, but not worse than the flavour one already existing in the SM.



- few TeV VLQ (1-3 TeV), generates significant enhancement to light Yukawa.



# Spontaneous flavour violation (SFV).

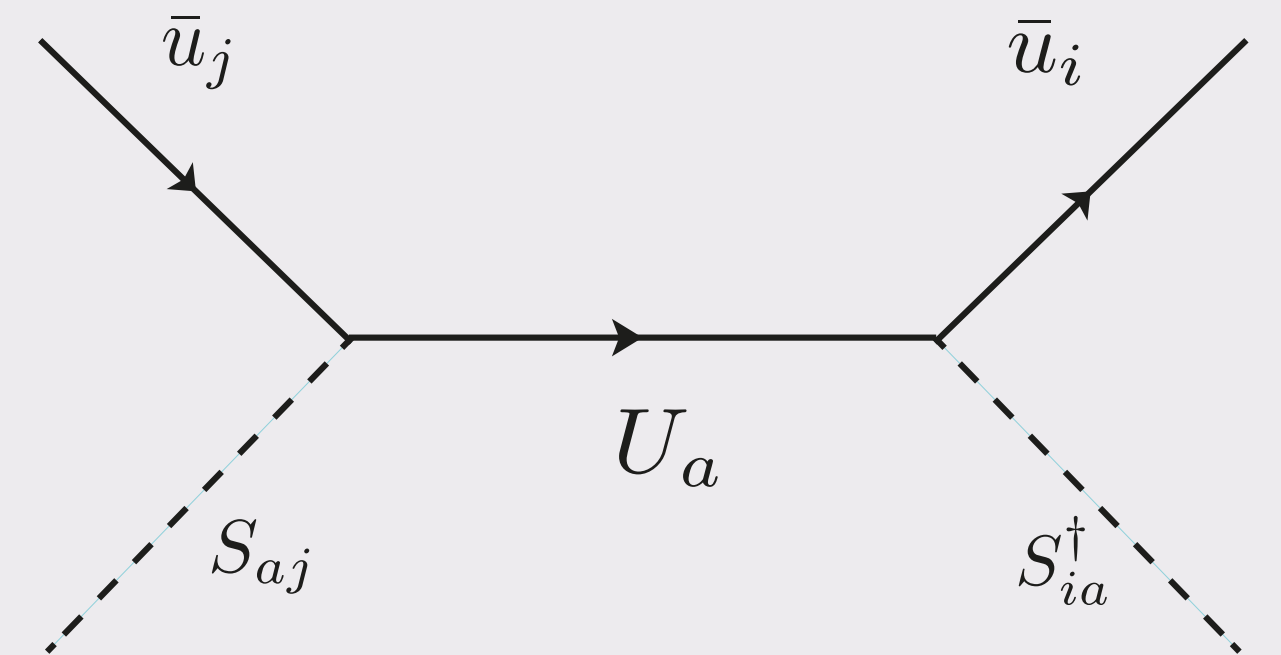
Egana-Ugrinovic Homiller & Meade 18'

- SFV provides a UV completion for a subset of AFV models which requires ( almost) no fine-tuning.
- SFV is realised if  $\Lambda_{NP}$  FCNC are introduced only via wave function renormalisation of RH quarks.
- The only interactions breaking  $U^3(1)_f \otimes CP$  symmetry are CKM, and wave function renormalisation. (They are the only spurions breaking flavour and CP)

$$\begin{aligned} \mathcal{L} \supset & iZ_{ij}^u \bar{u}_i^\dagger \bar{\sigma}^\mu D_\mu u_j + i\bar{d}_i^\dagger \bar{\sigma}^\mu D_\mu d_i + i\bar{Q}_i^\dagger \bar{\sigma}^\mu D_\mu Q_i \\ & - [y_{ij}^u \bar{Q}_i H u_j - y_{ij}^d \bar{Q}_i \tilde{H} d_j + \text{h.c.}] + \mathcal{L}_{\text{BSM}} \end{aligned}$$

- The UV completion would compose of new scalars  $S_{iA}$  and VLQ's  $U_A$ ,

$$\begin{aligned} \mathcal{L} \supset & M_{AB} U_A \bar{U}_B + \xi S_{iA} \bar{u}_i U_A \\ & - [y_{ij}^u \bar{Q}_i H u_j - y_{ij}^d \bar{Q}_i \tilde{H} d_j + \text{h.c.}] + \mathcal{L}_{\text{BSM}} \end{aligned}$$



# Features and problems of (SFV)

- ✓ Almost a natural schema for AFV .
- ✓ Not stable under RGE, however, FCNC are still suppressed.
- ✓ Provides FCNC suppression beyond CKM, which allows for more relaxed flavour bounds.
- ✗ Either up-type or down-type can have non-universal flavour alignment but not both

Not „so“ natural ..

This UV completion is not free from some tuning, like the inclusion of a discrete  $\mathbb{Z}_2$  symmetry to forbid the VLQ from coupling to the SM d.o.f



# Summery of flavourful models

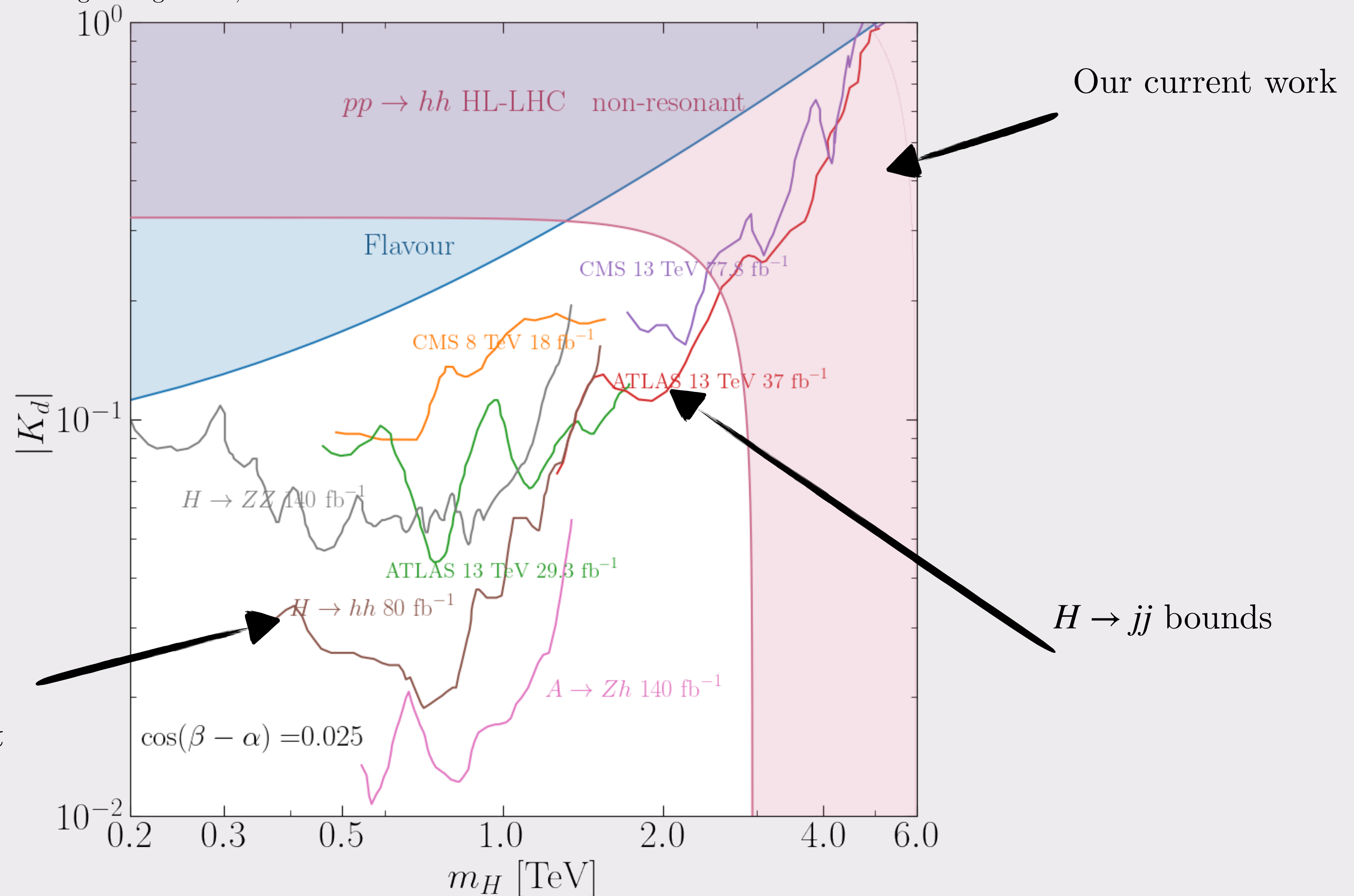
- This table contains a summery for the schema that flavourful models might have. Mainly theories with one or more extra Higgs doublets.

Schema \ Yukawa structure	Up-type	Down-type
<b>MFV</b>	Polynomial of SM Yukawa	Polynomial of SM Yukawa
<b>General flavour conserving (AFV)</b>	Non-universally aligned	Non-universally aligned
<b>Natural flavour conserving</b>	Real proportional	Real proportional
<b>Aligned 2HDM</b>	Complex proportional	Complex promotional
<b>Up-type SFV</b>	Real proportional	Non-universally aligned
<b>Down-type SFV</b>	Non-universally aligned	Real proportional

Table is taken from Egana-Ugrinovic, Homiller & Meade 19'

# Potential bounds on a 2HDM with SFV

- Higgs pair production offers one of most sensitive probes for flavourful models, we take here 2HDM as an example . à la Egana-Ugrinovic, Homiller & Meade 21'



## Triviality bounds

This plot is not complete, since 2HDM contain the term  $\lambda_6 H_1^\dagger H_2 H_1^\dagger H_1$  it modifies the Higgs trilinear self-interaction. The running of this coupling after resummation will contain a Landau pole somewhere in this plot

Resonant hh production  
(Egana-Ugrinovic, Homiller &  
Meade 21')