

Implications of gauge symmetry for precision Higgs physics

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LIP-Lisboa, May 18th 2017

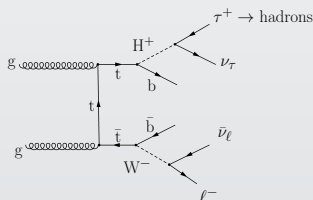
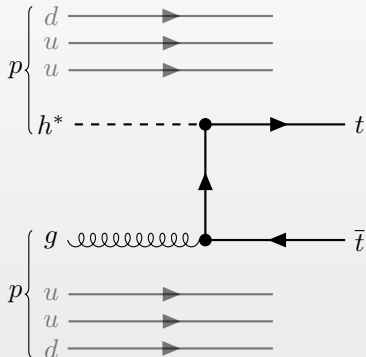
1601.02006 with Axel Maas

1605.01512

proposal

(also 1607.05860

1701.02881)



(2009-2011)
 H^+
searches
in $t\bar{t}$ decays
with
CMS-LIP

Deviations from the Standard Model

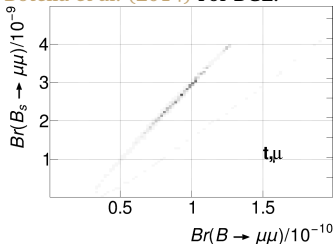
(CMS 2016) “Limits are set on **scalar resonances** produced through gluon-gluon fusion, and on Randall-Sundrum **gravitons**. A modest excess of events compatible with a narrow resonance with a mass of about 750 GeV is observed.”

⇒ **>500** Models of New Physics: parametrizations of deviations from SM.

Always a region of parameters where models compatible with SM.

Alternatives? Yes, within the SM

Botella et al. (2014) For BGL:



Summary

- **No** spontaneous breakdown of gauge symmetry
- Higgs field in the **structure** of leptons and hadrons
- **Shortcomings** of Mean-field approximation to the **Higgs PDFs**
- Higgs PDFs from **lattice simulations**
- Higgs PDFs from **experimental data**

After discussion:

- New Physics searches + Higgs PDFs studies

Spontaneous breakdown of global symmetry

Expectation value $\langle \phi \rangle_{J,N}$

ϕ : observable

J : parameter affected by transformation g

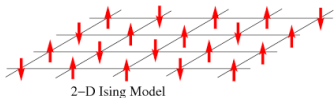
Finite size N :

$$\lim_{J \rightarrow 0} \langle (\phi - g(\phi)) \rangle_{J,N} = 0$$

Spontaneous symmetry breaking when:

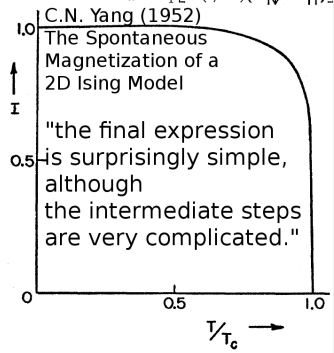
$$\lim_{J \rightarrow 0} \left\{ \lim_{N \rightarrow \infty} \langle (\phi - g(\phi)) \rangle_{J,N} \right\} \neq 0$$

Limits do not commute



$$I = \left[\frac{1+x^2}{(1-x^2)^2} (1-6x^2+x^4)^{\frac{1}{2}} \right]^{\frac{1}{2}}$$

$$x = \exp[-(1/kT)\{V_{\uparrow\downarrow} - V_{\uparrow\uparrow}\}]$$



Spontaneous breakdown of gauge symmetry?

Local gauge transformation affects

small region near each space-time point: ~~$\lim_{N \rightarrow \infty}$~~

Mainstream view:

Anderson (1958) “longitudinal and transverse excitations are different in the superconductor... this difference allows a gauge-invariant explanation of the Meissner effect.”

Nambu (1960) “The Meissner effect calculation is thus rendered strictly gauge invariant, but essentially keeping the BCS result unaltered for transverse fields.”

Higgs (1966) “our result suggests that it must be possible to rewrite the theory in a form in which only gauge-invariant variables appear.”

Elitzur (1975) “a spontaneous breaking of local symmetry for a symmetrical gauge theory without gauge fixing is **impossible**.”

't Hooft (1980) “the words *spontaneous breakdown* are formally **not correct** for local gauge theories. The vacuum *never* breaks local **gauge invariance**. The neutral intermediate vector boson is the “meson”

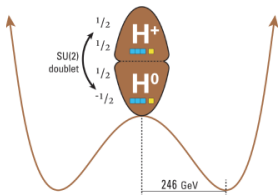
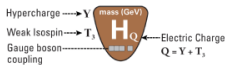
$$\phi^\dagger D_\mu \phi = i \frac{gv^2}{4} W_\mu^3 + \text{total derivative} + \text{higher orders}$$

The W_μ^\pm are obtained from the “baryons” $\epsilon_{ij} \phi^i D_\mu \phi^j$, and the Higgs particle can also be obtained from $\phi^\dagger \phi$.”

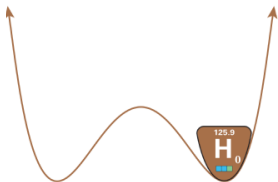
Englert (2014) “strictly speaking there is **no spontaneous symmetry breaking of a local symmetry**.”

One uses perturbation theory to select at zero coupling a scalar field configuration from global SSB; but this preferred choice is only a **convenient** one.”

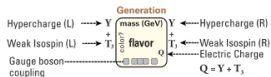
Spin 0 (Higgs Boson)



Unbroken Symmetry
Broken Symmetry



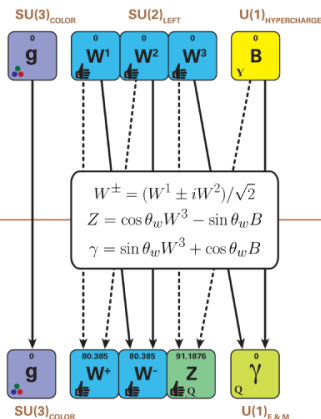
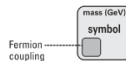
Spin 1/2 (Fermions)



	1 st	2 nd	3 rd	
	$1/6$	$1/6$	$1/6$	$2/3$
Left handed SU(2) doublet	u	c	t	Quarks
	$1/2$	$1/2$	$1/2$	
	$1/6$	$1/6$	$1/6$	$-1/3$
	d	s	b	
	$-1/2$	$-1/2$	$-1/2$	
Left handed SU(2) doublet	ν_e	ν_μ	ν_τ	Leptons
	$1/2$	$1/2$	$1/2$	
	$-1/2$	$-1/2$	$-1/2$	-1
	e	μ	τ	
	$-1/2$	$-1/2$	$-1/2$	

	1 st	2 nd	3 rd
	0.0023	1.275	173.07
u	$2/3$	c	t
	$2/3$	$2/3$	$2/3$
d	$-1/3$	s	b
	$-1/3$	$-1/3$	$-1/3$
ν_e	0	ν_μ	ν_τ
	0	0	0
e	-1	μ	τ
	-1	-1	-1

Spin 1 (Gauge Bosons)



Mean-field approximation \Rightarrow Broken gauge symmetry.

Mean-field approximation

Kadanoff (2009) “the concept of **mean field** forms the basis of much of modern condensed matter physics and also of **particle physics**.”

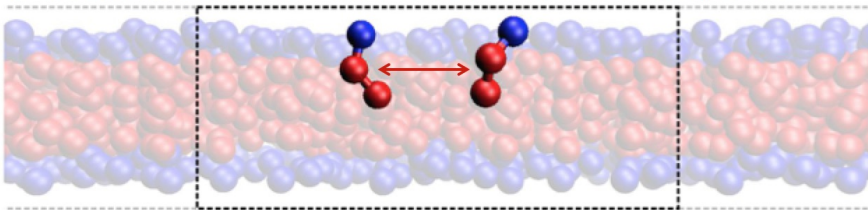
sometimes an infinite statistical system has a phase transition, and that transition involves a **discontinuous jump** in a quantity we call the order parameter.

But we have given **no indication** of how big the jump might be, nor of how the system might produce it. **Mean field** theory provides a partial, and partially **imprecise**, answer to that question.”

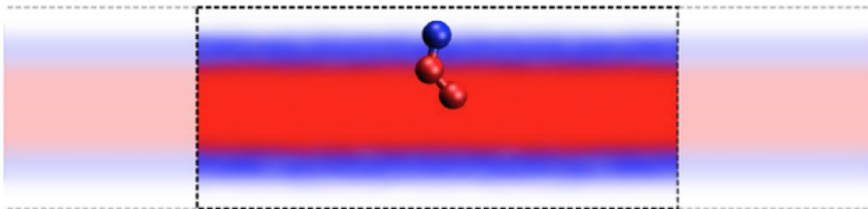
Mean-field approximation

Every pair of molecules interact at a close distance.

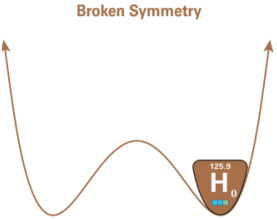
To take into account all these interactions is computationally expensive



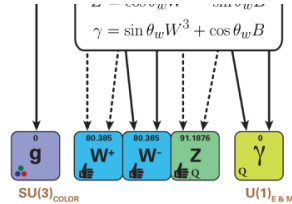
Mean-field approach: every molecule interacts only with the average distribution of other molecules



Strocchi (2013) “If the potential has a non-trivial minimum $\phi = \bar{\phi}$, one can consider a semiclassical approximation based on the expansion $\phi = \bar{\phi} + \varphi$, treating $\bar{\phi}$ as a classical constant field and φ as small.”



1 st	2 nd	3 rd
0.0023 u $\frac{2}{3}$	1.275 c $\frac{2}{3}$	173.07 t $\frac{2}{3}$
0.0048 d $-\frac{1}{3}$	0.095 s $-\frac{1}{3}$	4.18 b $-\frac{1}{3}$
m_e M_1 V_e 0	m_s M_2 V_μ 0	m_b M_3 V_τ 0
0.000511 e -1	0.105658 μ -1	1.77682 τ -1



Strocchi (2013) “Thus, the expansion can be seen as an expansion around a (symmetry breaking) **mean field** ansatz, and it is very important that a **renormalized perturbation theory** based on it exists and yields a non vanishing symmetry breaking order parameter $\langle \phi \rangle \neq 0$ at all orders. This is the standard (perturbative) analysis of the Higgs mechanism.”

Structure of leptons

$SU(2)_L$ gauge doublets:

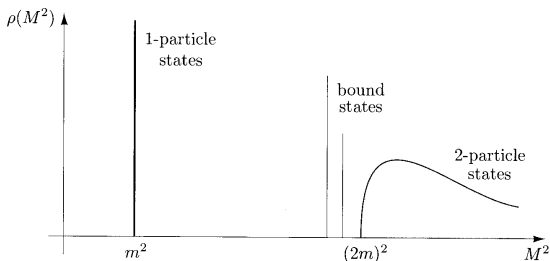
ϕ : Higgs field

$\Psi_{e\nu}$: electron and neutrino

$\mathcal{O}^{\nu e} = \phi^\dagger \Psi_{e\nu}$ gauge-invariant

Propagator:

$U(1)_Y$ gauge is abelian, neglected here



$$\begin{aligned} \langle \mathcal{O}^{\nu e}(x) \bar{\mathcal{O}}^{\nu e}(y) \rangle &= v^2 \langle e\bar{e} \rangle + \\ &+ v \langle (\varphi^*(x) + \varphi(y)) e\bar{e} \rangle + \langle \varphi^* \varphi e\bar{e} \rangle \end{aligned}$$

$\phi_0 = v + \varphi$ (neutral Higgs component in the unitary gauge)

(’t Hooft (1980); Frohlich et al. (1981)) Mean-field \sim 1-particle state
(Maas and Mufti (2015)) 2-particle states seen on lattice simulations

Higgs PDFs from Mean-Field

PDFs (parton density functions): composition of gauge-invariant bound states in terms of elementary fields (in fixed gauge)

Mean field approximation: physical electron $\Rightarrow ve$
(at the minimum of the Higgs potential $\phi_0 = v$)

\Rightarrow Trivial Higgs PDFs are a good approximation

Support from: -Experimental data;

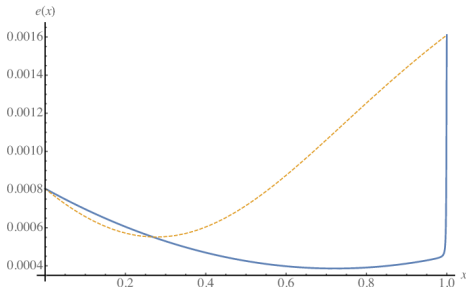
-Theory Elitzur (1975); De Angelis et al. (1978); Osterwalder and Seiler (1978); Frohlich et al. (1981);

-Lattice simulations Fradkin and Shenker (1979); Caudy and Greensite (2008); Bonati et al. (2010); Wurtz and Lewis (2013); Maas (2013); Maas and Mufti (2014, 2015).

Electron structure???

Relevant for:

- AC Stark Shift [Kobe \(1983\)](#).



[Miller \(2014\)](#) free electron distribution function (shaded)

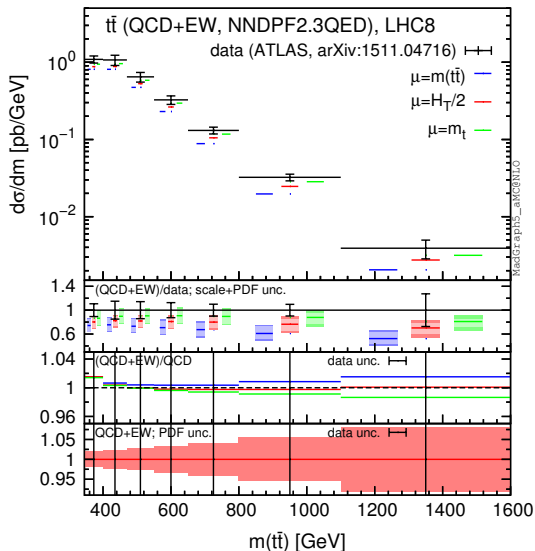
- initial-state radiation at LEP

([Schael et al. \(2006\)](#); [Yennie et al. \(1961\)](#); [Kuraev and Fadin \(1985\)](#); [Skrzypek and Jadach \(1991\)](#); [Abdallah et al. \(2014\)](#); [Slominski and Szwed \(2001\)](#));

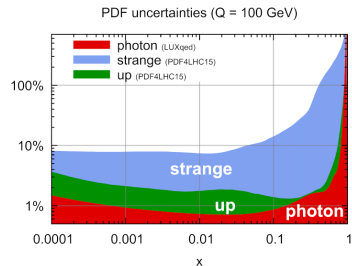
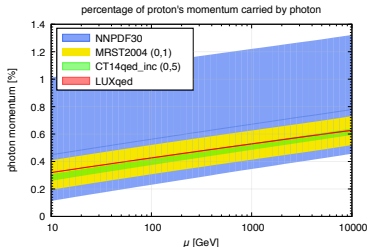
The contribution from pure QED to the electron structure functions is calculated using perturbation theory.

Example: photon PDF in the proton

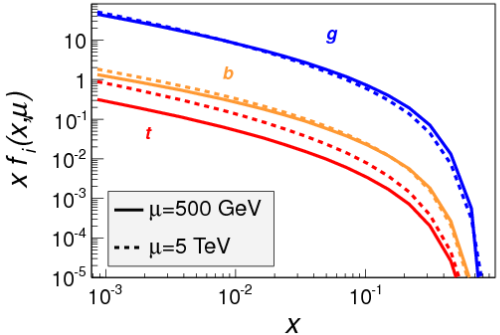
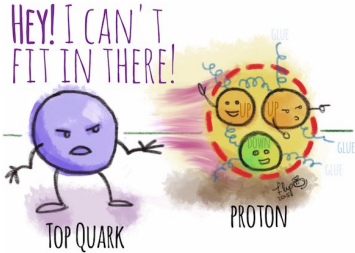
Pagani et al. (2016)



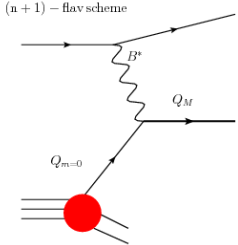
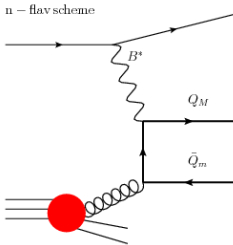
LUXqed.web.cern.ch

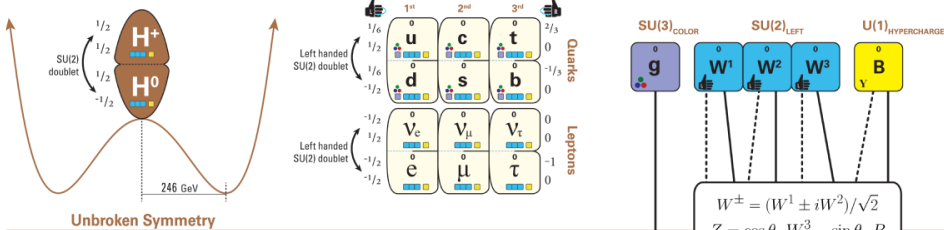


top quark PDF in the proton



Han et al. (2015)
 Maltoni et al. (2012)
 “simpler and allow the resummation of possibly large initial state logarithms”



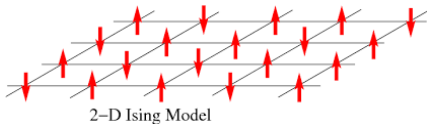
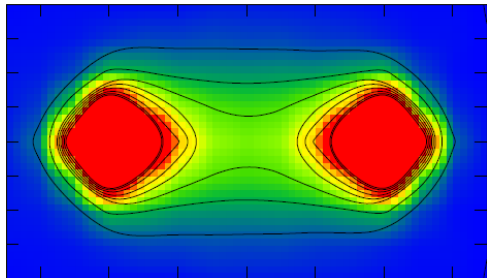


How does an experimentalist estimate the systematic uncertainty of a **mean field** approximation?

Using an **alternative** approximation

(Analogy in QCD: **Lund string** model vs. **Cluster** model)

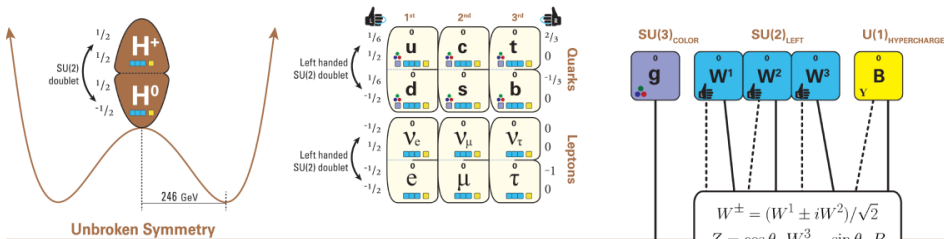
Cardoso et al. (2010) quark-antiquark



Lattice simulations: non-perturbative approximation

Gattringer and Lang (2010) “Replacing **space-time** by a Euclidean **lattice** has proven to be an efficient approach which allows for both **theoretical** understanding and **computational** analysis. Lattice QCD has become a **standard tool** in elementary particle physics.”

Monte-Carlo simulations, distribution of events is function of the Lagrangian



Strocchi (2013) “mean field expansions may yield misleading results about the occurrence of symmetry breaking and the energy spectrum.

the Euclidean functional integral approach [Lattice simulations] gives symmetric correlation functions and in particular $\langle \phi \rangle = 0$.

This means that the mean field ansatz is incompatible with the non-perturbative quantum effects and the approximation leading to the quadratic Lagrangian is not correct.”

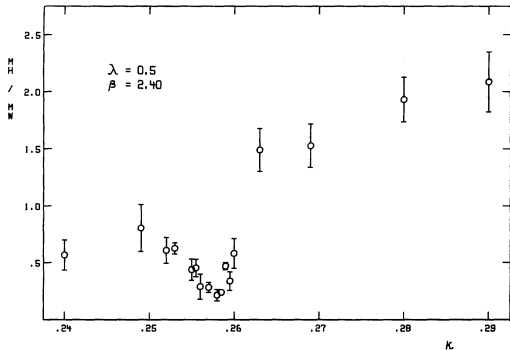
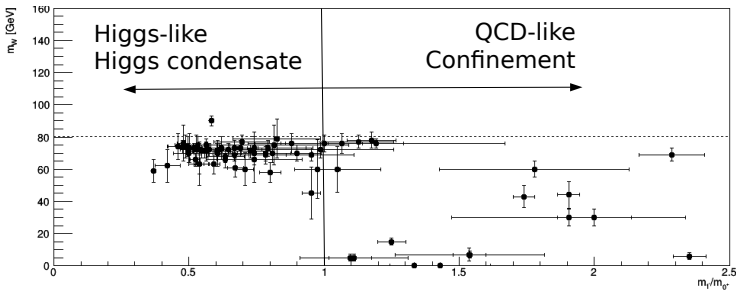


Fig. 3. Ratios m_H/m_W of the masses shown in fig. 2c.

when $m_H/m_W < 1$:
 lattice \neq perturbation theory
 Not a weak \rightarrow strong
 coupling transition
 ($m_H/m_W \propto \sqrt{\lambda}$)



Evertz et al.
 (1986); Langguth
 and Montvay
 (1985); Maas and
 Mufti (2014)

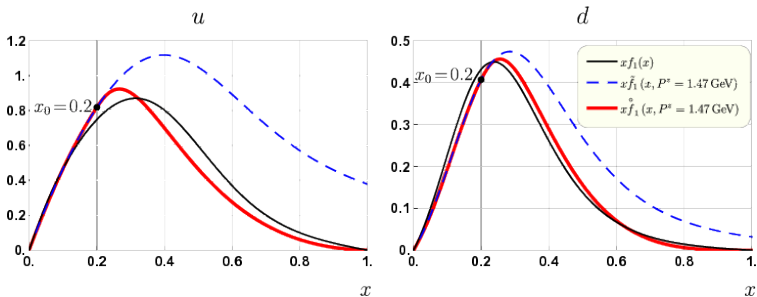
+ shortcomings of Mean-field approximation

- mean-field approximations in nuclear and solid-state physics can be improved in **many** ways, fake breaking of symmetries common (Grasso et al. (2016); Egidio (2016));
- in a grand-unified theory at weak coupling the spectrum in the lattice \neq mean-field Maas and Torek (2016);
- in a theory with abelian Higgs mechanism mean field fails Tada and Koma (2016);
- trivial PDFs require + assumptions (e.g. on the gravity sector) to sidestep a non-perturbative gauge dependence (Maas and Mufti (2014); Ilderton et al. (2010); Faddeev (2008));
- phase diagram is different for different gauge-fixings Caudy and Greensite (2008).

PDFs from Lattice simulations

PDFs calculable (in principle) \neq model of new physics

Ji (2013) “studying a large momentum hadron on lattice is computationally still challenging, but at least this could be achieved when computational power continues improving.”



Bacchetta et al. (2017) “In the future, the method can be used to produce PDFs entirely based on lattice QCD results.”

Higgs PDFs from Lattice simulations

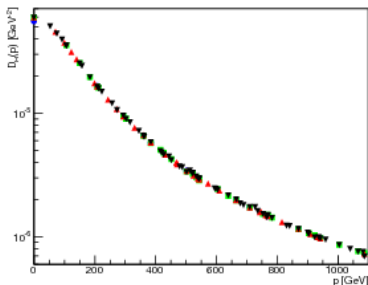
Today, **indications** from the lattice.

Sufficient, if **combined** with experimental data.

Experimental constraints also allow **faster** lattice simulations

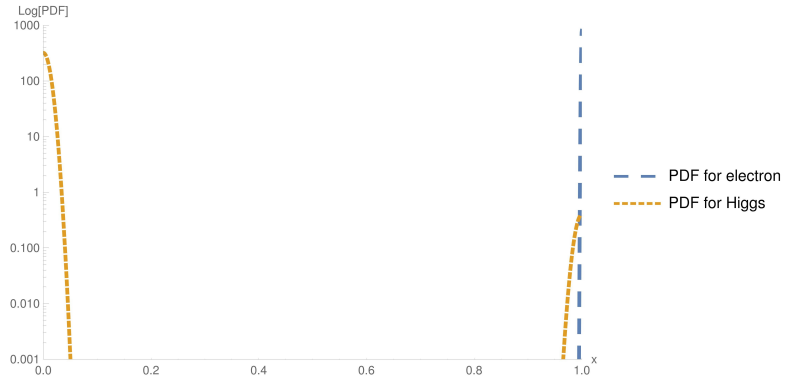
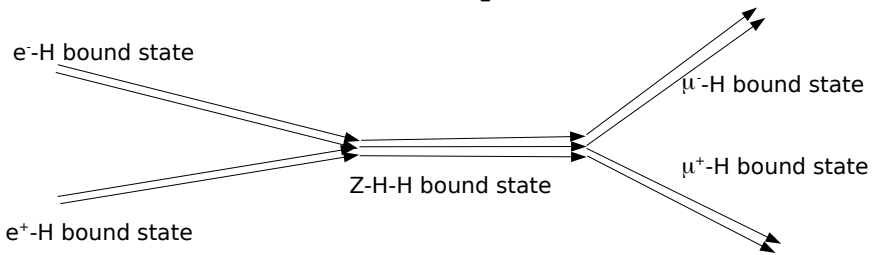
Maas and Mufti (2014)

Higgs propagator HLD with physical ratio



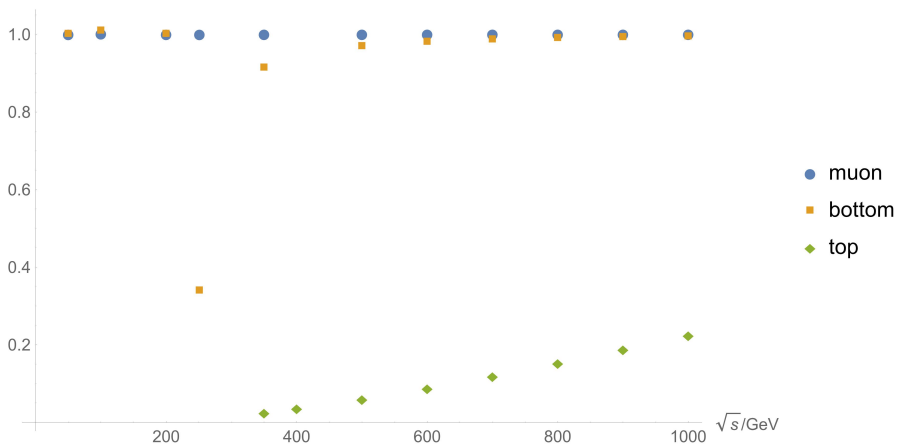
Alternatives: symmetry conserving mean-field (Grasso et al. (2016)), etc.

Egger et al. (2017) Proof of concept (CEPC/ILC)



Egger et al. (2017) Proof of concept (CEPC/ILC)

$d\sigma_{\text{full}}/d\sigma_{\text{perturbative}}$



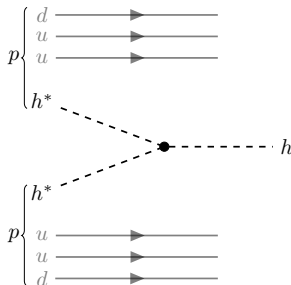
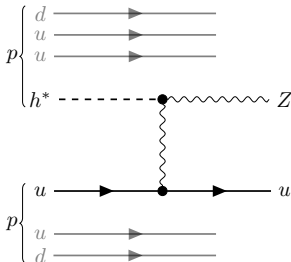
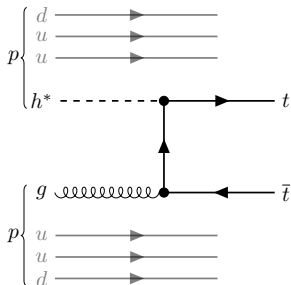
(plot at zero rapidity)

Higgs PDFs can be constrained at colliders.

Below $2M_H$ threshold: off-shell suppression

Higgs PDFs from LHC

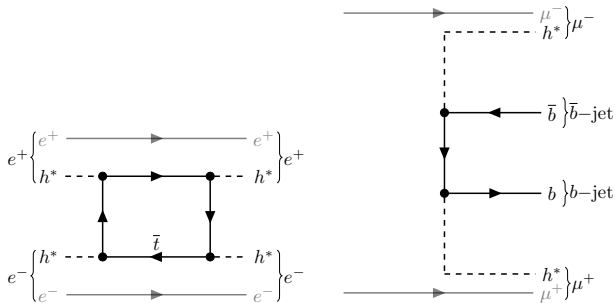
h^* : valence higgs boson (on-shell or off-shell)



- constraining the photon and gluon PDF using $t\bar{t}$ events [Pagani et al. \(2016\)](#); [Czakon et al. \(2016\)](#)
- constraining the underlying event using anomalous Z production [Aad et al. \(2014\)](#); [Mucibello \(2012\)](#)
- anomalous on-shell Higgs production due to an anomalous trilinear coupling

[Degrassi et al. \(2016\)](#); [Englert and Spannowsky \(2014\)](#); [Logan \(2015\)](#)

Higgs PDFs from LEP



- Anomalous Bhabha scattering at LEP [Bourilkov \(1999\)](#); [Alcaraz et al. \(2006\)](#); [Schael et al. \(2013\)](#)

- Fragmentation: Searches for the Higgs boson at LEP in the $HZ \rightarrow \mu^+\mu^-b\bar{b}$ final state

[Dittmaier and Schumacher \(2013\)](#)

Summary

- **No** spontaneous breakdown of gauge symmetry
- Higgs field in the **structure** of leptons and hadrons
- **Shortcomings** of Mean-field approximation to the **Higgs PDFs**
- Higgs PDFs from **lattice simulations**
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After discussion:

- New Physics searches + Higgs PDFs studies

New Physics+ Higgs PDFs

“Model independent” analysis \Rightarrow Mean-field approximation independent?

Maybe not.

Extension of the software for PDFs from QCD, to Higgs PDFs (goal)

Adapt Hera PDF studies to the LHC Higgs PDF studies

New Physics+ Higgs PDFs

Many theories are motivated by problems of the perturbative approach to the Standard Model.

Problem may be in the mean-field approximation, not in the Standard Model.

Example (Supersymmetry): The (gauge-invariant) bound state masses are independent of the renormalization \Rightarrow not affected as the gauge-dependent elementary fields by hierarchy problem [Frohlich et al. \(1981\)](#); [Maas \(2013\)](#)

Example (Flavour puzzle): In principle, the families (e, μ, τ) can be excited bound states of $e - H$. Impossible to know soon [Egger et al. \(2017\)](#).

Software

Decouple systematic uncertainties from the experimental results is possible Cranmer et al. (2015); Brehmer et al. (2016); Boudjema et al. (2013); Kraml et al. (2012).

After decoupling theoretical uncertainties, an experimental result is a numerical function (whose arguments are the parameters affected by the theoretical uncertainties).

Functional Package Management GNU's Guix and Guile Courtès (2013); Courtès and Wurmus (2015), would allow to publish, access and combine (many) experimental results and manage their dependencies.

Obrigado

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“The federal government recommended flossing in 1979-2015.



In 2016 the government acknowledged the effectiveness of flossing had never been researched, as required.” (Associated Press)



(Feynman 1973)

All the people are doing the same ritual brush, brush, brush—for no good reason? Think about it.

Prelude

Spontaneous symmetry breaking (SSB) \rightarrow disjoint phases in a system
(local interactions, e.g. the Ising model or gauge theories)

Expectation value $\omega_{J,N} : \mathcal{A} \rightarrow \mathbb{R}$ (positive linear functional)

J : intensity of external source breaking a group of symmetries G .

\mathcal{A} : set of observables.

Finite size N : continuous expectation values

$$\begin{cases} \omega_{J,N}(A - g(A)) = 0 & \text{if } J = 0 \\ \lim_{J \rightarrow 0} \omega_{J,N}(A - g(A)) = 0 \end{cases}$$

any observable $A \in \mathcal{A}$ and any transformation $g \in G$.

Definition 1. Spontaneous symmetry breaking when:

$$\lim_{J \rightarrow 0} \left\{ \lim_{N \rightarrow \infty} \omega_{J,N}(A - g(A)) \right\} \neq 0$$

for some $A \in \mathcal{A}$ and some $g \in G$.

Limit of a convergent sequence of continuous functions is not necessarily continuous.

Other definitions in statistical mechanics are not based on explicit symmetry breaking.

SSB possible for a global symmetry in a system with infinite size.

Elitzur (1975) “a spontaneous breaking of local symmetry for a symmetrical gauge theory without gauge fixing is impossible.”

local gauge transformation affects
only a small sized system near each space-time point.

Outline

Higgs potential in multi-Higgs-doublet models

Confinement

Gauge-invariant operators in 2HDM (no $U(1)_Y$)

Majorana construction

Observable states of 2HDM

Spontaneous symmetry breaking in 2HDMs

The FMS mechanism

$Spin(4)$ symmetric 2HDM for the lattice

1 Higgs potential

Holomorphic functions $\frac{\partial f(z, z^*)}{\partial z^*} = 0$

central objects of study in complex analysis

The Higgs potential is **not** an holomorphic function

$$\frac{\partial V(\phi_j, \phi_j^*)}{\partial \phi^*} \neq 0.$$

No advantage in the Higgs field being a complex vector space

$$V(\phi_j, \phi_j^*) = V(\text{Re}(\phi_j), \text{Im}(\phi_j))$$

Complex irreducible representations of $G \times H$ are a direct product of complex irreducible representations of G and of H .

Not the case for real irreducible representations.

([wiki/Representation_theory_of_finite_groups](#), arXiv:1309.5280)

Standard Higgs field (4 real components) $V(\phi, \phi^*) = V(\phi^\dagger \phi)$

$SO(4) \simeq (SU(2)_R \times SU(2)_L)/Z_2$ (generators τ^j and σ^j)

$SU(2)_L$ gauge symmetry

Global symmetry $SO(4)/SU(2)_L \simeq SO(3)$ (E.g. $\phi^\dagger D_\mu \tau^j \phi$)

N-Higgs-doublets (4N real components):

- different global symmetry $G/SU(2)_L$
- charged scalars;
- mixing between neutral scalar particles;
- Spontaneous/explicit global symmetry violation in the Higgs potential;
- Rich flavour phenomenology (e.g. meson decays, oscillations)

Definition 2. (Electroweak symmetry breaking)

After perturbative gauge-fixing,
the Higgs vev minimizes the Higgs potential.

The symmetries broken by the Higgs vev
are the spontaneously broken symmetries.

Perturbation theory can only deal with small perturbations of the Higgs field \rightarrow non-null Higgs vev.

Challenge: spontaneous breaking of global symmetries
in the presence of the Higgs mechanism

- Find an absolute minimum of the potential $\phi = \frac{v}{\sqrt{2}}\phi_0$;
- Projector P_0 on the $SU(2)_L$ -orbit of ϕ_0 such that $P_0\phi_0 = \phi_0$;
- Modify the Higgs potential $W = V + \epsilon U$,
 $\epsilon > 0$ is arbitrarily small and $U = -v^2\phi^\dagger P_0\phi + (\phi^\dagger\phi)^2$;
- The absolute minima of $W = V + \epsilon U$ is the $SU(2)_L$ -orbit of ϕ_0 ;

The perturbation theory then implies that in the limit $\epsilon \rightarrow 0$, there are finite vevs breaking the global symmetries \Rightarrow SSB by Def. 1

Evaluating vevs of $SU(2)_L$ -invariant observables,
 we make no assumptions about SSB of gauge symmetry.

Classical minimization: no limit on the order of the potential \Rightarrow effective field theory, no assumptions on the ultra-violet completion (appropriate for experimental data [Eichhorn et al. \(2015\)](#))

Consistency: Let $p(\phi)$ be a G_f -invariant polynomial in the Higgs field ϕ .

If any G_f -invariant Higgs potential is necessarily G -invariant, the observable $p(\phi)$ must also be invariant under G , since $p(\phi)$ can appear in a G_f -invariant Higgs potential.

If G_f is a classical \times finite group \Rightarrow No spontaneous symmetry breaking of G/G_f since all G_f -invariant observables are also G -invariant.

Example: Global symmetry $G/SU(2)_L$ for one-Higgs-doublet cannot be explicitly broken \Rightarrow no spontaneous symmetry breaking of $G/SU(2)_L$

More examples with CP [Branco and Ivanov \(2016\)](#)

2 Confinement

Options for Electroweak Theory:

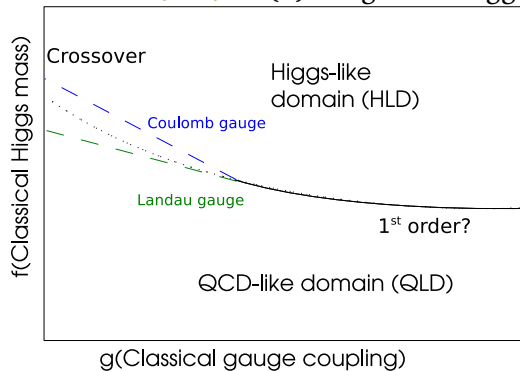
1) Define the theory with gauge fixing (standard in perturbation theory), **Gribov (1978); Singer (1978)** non-perturbative ambiguity, the local non-abelian gauge-fixing condition is insufficient

2) gauge-invariant gauge charge, e.g. dressed elementary operators (photons are neutral), non-abelian (global) gauge charges cannot be (locally) gauge-invariant **Haag (1992)**.

3) **Fröhlich, Morchio, and Strocchi (1981)**: FMS mechanism inspired in the confinement mechanism, effectively matches gauge fixing + perturbation theory under some assumptions

4) Technicolor 5) ? (next) ...

Maas and Mufti (2015) SU(2) Yang-Mills-Higgs on the lattice phase diagram



$\frac{1}{g} \propto$ gauge coupling
 $f \propto m_h^2 v^2$ dashed lines: break global subgroup remaining after incomplete gauge-fixing.

Osterwalder and Seiler (1978)

Fradkin and Shenker (1979)

Caudy and Greensite (2008)

Seiler (2015)

Bonati et al. (2010) “hints that the above transitions are not related to confinement”

Englert (2005) “Electric-magnetic dualities suggest that, at some fundamental level, confinement is a condensation of magnetic monopoles and constitutes the magnetic dual of the BEH mechanism”

However Englert does not cite FMS mechanism

3 Gauge-invariant operators in 2HDM (no $U(1)_Y$)

$SU(2)_L$ Higgs doublets ϕ_1, ϕ_2

gauge field W_μ^j with $j, k, l = 1, 2, 3$

Higgs Potential $V(\phi_1, \phi_2)$,

coupling constant g ,

$$\mathcal{L} \equiv ((D^\mu \phi_1)^\dagger (D_\mu \phi_1) + ((D^\mu \phi_2)^\dagger (D_\mu \phi_2) - V(\phi_1, \phi_2) - \frac{1}{4} W_{\mu\nu}^j W^{j\mu\nu}$$

$$D_\mu \equiv \partial_\mu + ig W_\mu^j \frac{\sigma^j}{2}$$

$$W_{\mu\nu}^j \equiv -\frac{i}{g} \text{tr}([D_\mu, D_\nu] \sigma^j) = \partial_\mu W_\nu^j - \partial_\nu W_\mu^j - g \epsilon^{jkl} W_\mu^k W_\nu^l$$

Levi-Civita ϵ^{jkl} , Pauli matrices in gauge space σ^j

Karassiov (1992) (+ general Wineman and Pipkin (1964))

Any polynomial of ϕ_1, ϕ_2 which is gauge invariant is a polynomial on

$$\phi_{1a}^* \phi_1^a, \phi_{2a}^* \phi_2^a, \phi_{2a}^* \phi_1^a; \epsilon_{ab} \phi_1^a \phi_2^b; \epsilon_{ab} \phi_1^{a*} \phi_2^{b*}$$

$\phi_{jb}^* \equiv (\phi_j^b)^*$ $a, b = 1, 2$ are gauge indices.

Also parallel transport $U(x, y, C)$ from y to x along line C .

for infinitesimal line elements

$$U(x, y, C) \approx (1 + D_\mu(x) dl_1^\mu)(1 + D_\nu(x) dl_2^\nu) \dots (1 + D_\alpha(x) dl_n^\alpha)$$

dl_1, dl_2, \dots, dl_n (n finite) are infinitesimal Lorentz vectors forming C by concatenation.

Set of primitive (algebraically ind.) gauge-invariant operators for 2HDM:

- $tr(U(x, x, C'))$ Giles (1981)
- $\phi_j^\dagger(x)U(x, y, C)\phi_k(y)$
- $\phi_j^\dagger(x)U(x, y, C)\bar{\phi}_k(y)$
- $\bar{\phi}_j^\dagger(x)U(x, y, C)\phi_k(y)$
- $\bar{\phi}_j^\dagger(x)U(x, y, C)\bar{\phi}_k(y)$

$$\bar{\phi}_j^a(x) \equiv \epsilon^{ab}\phi_{jb}^*(x),$$

indices $j, k = 1, 2$ are Higgs flavor indices,

4 Majorana construction

Shirokov (2015): A^a, B^a are $2^n \times 2^n$ complex unitary matrices

$$A^a A^b + A^b A^a = 2g^{ab}1$$

$$B^a B^b + B^b B^a = 2g^{ab}1$$

$a \in \{1, \dots, 2n\}$, $n < 4$, $g \equiv \text{diag}(-1, \dots, +1, \dots)$ (n entries -1 and $n+1$)

Generalized Pauli's theorem:

1. $B^a = SA^a S^{-1}$. S is unitary and unique up to a phase;
2. there is a basis where all A^a are real;
3. Clifford algebra generated by A^a is isomorphic to the algebra of $2^n \times 2^n$ matrices.

Majorana spinors: 2^n complex vectors u satisfying $\Theta u = u$.

Θ : anti-linear involution commuting with A^a , unique up to a phase.

$n = 3$: 8-dimensional Majorana spinor ϕ (Pilaftsis (2012)).

Generators of $SU(2)_L$: $i\sigma^j \equiv \epsilon^{jkl} A_k A_l$ ($j, k, l = 1, 2, 3$)

$\Sigma_j \equiv A^{j+3}$ ($j = 1, 2, 3$), $\Sigma_4 \equiv A^1 A^2 A^3$ and $\Sigma_5 \equiv \Sigma_1 \Sigma_2 \Sigma_3 \Sigma_4 = -A^7$.

$1, \Sigma_a$ ($a, b = 1, \dots, 5$): basis of hermitian matrices conserved by $SU(2)_L$.
 Σ_a anti-commute with each other.

$[\Sigma_a, \Sigma_b]$: basis of skew-hermitian matrices conserved by $SU(2)_L$,
generators of $Spin(5)$ (double cover of $SO(5)$).

Rewrite set of primitive gauge-invariant operators:

- $\phi^\dagger(x)U(x, y, C)\phi(y)$ (singlet under $SO(5)$);
- $\phi^\dagger(x)U(x, y, C)\Sigma_a\phi(y)$ (**5** representation of $SO(5)$);
- $\phi^\dagger(x)U(x, y, C)[\Sigma_a, \Sigma_b]\phi(y)$ (**10** representation of $SO(5)$);

5 Observable states of 2HDM

Higgs potential (basis-invariant formalism O'Neil (2009)):

$$V(\phi) = \mu_a \phi^\dagger \Sigma_a \phi + \frac{1}{2} \lambda_{ab} (\phi^\dagger \Sigma_a \phi) (\phi^\dagger \Sigma_b \phi)$$

parameters of the potential \Rightarrow background fields (spurions)

Ivanov (2006); Botella et al. (2013)

μ_0, λ_{00} singlets,

μ_a, λ_{0a} are 5-dim representations of $SO(5)$

λ_{ab} is a tensor of $SO(5)$

Lagrangian invariant under gauge $SU(2)_L$ and background $Spin(5)$.

Let $V(\phi = \frac{v}{\sqrt{2}}\phi_0)$ be absolute minimum, ($v \equiv \text{vev}$, $\phi_0^\dagger\phi_0 = 1$).

by reparametrization $\Sigma_5\phi_0 = \phi_0$ ($Spin(5) \rightarrow Spin(4)$)

$H_1 \equiv \frac{1+\Sigma_5}{2}\phi$ $H_2 \equiv \Sigma_4\frac{1-\Sigma_5}{2}\phi$, at the minimum $H_2 = 0$.

isomorphism $Spin(4) \simeq (SU(2)_{R1} \times SU(2)_{R2})$

$SU(2)_{R1}$ generators $\Sigma_j\Sigma_4(1 + \Sigma_5)/2$

$SU(2)_{R2}$ generators $\Sigma_j\Sigma_4(1 - \Sigma_5)/2$

after (suitable) gauge fixing, constant $\frac{v}{\sqrt{2}}\phi_0$ minimizing the potential
 $i\sigma_j\phi_0 = \Sigma_4\Sigma_j\phi_0$ ($j = 1, 2, 3$),

ϕ^0 conserves $SO(3) \times Spin(3) \simeq (SU(2)_{R1} \times SU(2)_{R2})/Z_2$,

generators $(\Sigma_4\Sigma_j(1 + \Sigma_5)/2 - i\sigma_j)$ and $\Sigma_4\Sigma_j(1 - \Sigma_5)$, respectively.

ϕ_0 fixes a system of gauge coordinates.

4 projections $\phi_0\phi_0^\dagger$ and $-\Sigma_4\Sigma_j\phi_0\phi_0^\dagger\Sigma_4\Sigma_j$ (fixed $j = 1, 2, 3$)
sum to 1 and decompose the 4 dim real spinor space of $SU(2)_L$

subspace $\propto \phi_0$: $\phi_0^\dagger H_1, \phi_0^\dagger H_2$.

subspace $\propto \Sigma_4\Sigma_j\phi_0$: would-be Goldstone bosons $\phi_0^\dagger\Sigma_4\Sigma_j H_1$ and $\phi_0^\dagger\Sigma_4\Sigma_j H_2$.

3 projections for the triplet of $SU(2)_L$,

subspace $\propto (\phi_0 \otimes \Sigma_4\Sigma_j\phi_0 - \Sigma_4\Sigma_j\phi_0 \otimes \phi_0)$: $\phi_0^\dagger D_\mu \Sigma_4\Sigma_j\phi_0 = \frac{g}{2}W_\mu^j$.

$$\text{expand } \sqrt{2}\phi = v\phi_0 + \varphi$$

Assuming the fluctuations φ small in average compared to v

$J(SU(2)_{R1})$	$J(SU(2)_{R2})$	Operator	Expansion
0	0	$H_1^\dagger H_1$	$\frac{v^2}{2} + v\phi_0^\dagger\varphi$
1/2	1/2	$H_1^\dagger \Sigma_a \Sigma_4 H_2$	$\frac{v}{2}\phi_0^\dagger \Sigma_a \varphi$
1	0	$H_1^\dagger D_\mu \Sigma_j \Sigma_4 H_1$	$\frac{gv^2}{4} W_\mu^j$

($j = 1, 2, 3$ and $a = 1, 2, 3, 4$, first terms in expansion only)

other primitive invariants involving ≤ 1 covariant derivative expand to ≥ 2 elementary fields at leading order, since the vev contribution to H_2 is null.

Possible to use further covariant derivatives, but cannot expand to 1 elementary field, as there are none with other Lorentz quantum numbers.

6 Spontaneous symmetry breaking in 2HDMs

If absolute minimum not unique (up to gauge transformations), fixing $\Sigma_5 \phi_0 = \phi_0$ is in conflict with a global symmetry.

If the symmetry is spontaneously broken, then such a would-be global symmetry of the model is explicitly broken by an infinitesimal parameter.

It may occur in 2HDMs (lattice [Lewis and Woloshyn \(2010\)](#)) depending on the Higgs potential.

Finite lattice, no spontaneous symmetry breaking:
estimate the results for the infinite-volume limit and then extrapolate the estimates to $J \rightarrow 0$

[Lewis and Woloshyn \(2010\)](#): Done for continuous symmetry breaking in a 2HDM.

7 The FMS mechanism

Frohlich, Morchio, and Strocchi (1981) (group-theory) correspondence:

$$H_1 \Leftrightarrow \frac{v}{\sqrt{2}} \phi_0 \text{ (fixes gauge coordinate system)}$$

gauge – invariant states \Leftrightarrow elementary gauge – dependent fields

one-to-one (set of primitive states), except for would-be Goldstone bosons

$\phi_0^\dagger \Sigma_4 \Sigma_j H_1$ disappear from the spectrum,

$\Sigma_4 \Sigma_j$ is skew-adjoint so $H_1^\dagger \Sigma_4 \Sigma_j H_1 = 0$.

Complete expansion:

$$2H_1^\dagger H_1 = v^2 + 2v\phi_0^\dagger\phi + \phi^\dagger\phi$$

$v\phi_0^\dagger\phi$, $\phi^\dagger\phi$ same quantum numbers, to distinguish:

- approximately by the energy spectrum
- or in perturbation theory.

recall **KLN theorem** **sum all** initial and final states (incl. soft photons) with same quantum number in a energy window \Rightarrow **infrared finite**.

Assuming $\varphi^\dagger\varphi \approx$ scattering state, energy spectrum $\gtrsim 2m_H \neq m_H$.

In perturbation theory, for asymptotic state the mass is on-shell m_H , so contribution from $\varphi^\dagger\varphi$ negligible.

For intermediate states, since the (gauge-invariant) Lagrangian is the same no deviations expected.

Calculating the spectrum and testing the FMS mechanism in the lattice is an extension of [Maas \(2013\)](#); [Wurtz and Lewis \(2013\)](#); [Maas and Mufti \(2014, 2015\)](#)

We must still account for precision electroweak observables

In any case, [Frohlich et al. \(1981\)](#)

“standard perturbation expansion cannot be asymptotic to gauge-dependent correlation functions.”

8 $Spin(4)$ symmetric 2HDM for the lattice

$$V(\phi) = \mu_0 \phi^\dagger \phi + \mu_5 \phi^\dagger \Sigma_5 \phi \\ + \frac{1}{2} \lambda_{00} (\phi^\dagger \phi)^2 + \lambda_{05} (\phi^\dagger \phi) (\phi^\dagger \Sigma_5 \phi) + \frac{1}{2} \lambda_{55} (\phi^\dagger \Sigma_5 \phi)^2$$

To avoid breaking the $Spin(4)$ group, $\pm \Sigma_5 \phi_0 = \phi_0$. For $\lambda_{05} = 0$:

1. (“control sample”) $\mu_5 > 0$, $\lambda_{55} = 0$, realistic [Bhupal Dev and Pilaftsis \(2014\)](#) Maximally-Symmetric 2HDM.
2. $\mu_5 \rightarrow 0$ with $\mu_5 > 0$ and $\lambda_{55} \neq 0$, spontaneous symmetry breaking of the discrete Z_4 .
3. $\mu_5 \rightarrow 0$ with $\mu_5 > 0$ and $\lambda_{55} = 0$, spontaneous symmetry breaking of the continuous $Spin(5) \rightarrow Spin(4)$. 4 massless Goldstone bosons.

$$\langle H_1^\dagger(y)H_1(y)H_1^\dagger(x)H_1(x) \rangle \text{ and } \langle H_2^\dagger(y)H_2(y)H_2^\dagger(x)H_2(x) \rangle$$

After gauge fixing, we can expand them as:

$$\begin{aligned} \langle H_1^\dagger(y)H_1(y)H_1^\dagger(x)H_1(x) \rangle &\approx \frac{v^4}{4} + \frac{v^2}{2} \langle \varphi^\dagger(y)\phi_0\phi_0^\dagger\varphi(x) \rangle + \dots \\ \langle H_2^\dagger(y)H_2(y)H_2^\dagger(x)H_2(x) \rangle &= \langle \varphi_2^\dagger(y)\varphi_2(y)\varphi_2^\dagger(x)\varphi_2(x) \rangle \end{aligned}$$

where $\varphi_2 \equiv \phi_0^\dagger \Sigma_4 \varphi$.

Neglecting interactions, energy spectrum $\gtrsim m_h$ and $\gtrsim 2m_H$.

$\mu_5 \rightarrow 0$, check if Z_4 symmetry is recovered.

If $\mu_5 = 0$ by definition the correlations are Z_4 symmetric.

9 Summary

Assuming gauge symmetry breaking or using only complex representations of groups is not enough to study the phenomenology of multi-Higgs-doublet models

For multi-Higgs-doublets, the FMS mechanism justifies that the spectrum is well described by the gauge-dependent elementary states.

If not, the physical states would, as in QCD, require non-perturbative methods, even at weak coupling.

The assumptions:

the field fluctuations around the vacuum are small in average
and there is spontaneous symmetry breaking of the global symmetry when
the gauge orbit minimizing the Higgs potential is not unique.

To confirm the FMS mechanism and assumptions requires non-perturbative
calculations, next step.

(Addition of photons and fermions in 1601.02006)

Next?

Classical electrodynamics: gauge-invariant local states

Quantum $U(1)$ gauge: either
gauge-invariant non-local states or gauge-dependent local states

Quantum $SU(2)$ gauge: gauge-invariant local states (Higgs mechanism)

Quantum $SU(3)$ gauge: gauge-invariant local states (confinement)

To me, we need to look for gauge-invariant local states in $U(1)$.

Implies probabilities instead of amplitudes [Weinberg \(2014\)](#), in-in formalism

And to work with phaseless (real) operators [Pedro \(2013\)](#)

In the mean time, gauge-dependent local states in $U(1)$

option 1) ok for abelian Higgs mechanism
(Ginzburg-Landau Superconductivity),

the Higgs mechanism is based in the fact:

breaking local gauge symmetries \neq global symmetries

the Goldstone theorem does not apply

the Nambu-Goldstone bosons may be absent.

Englert (2014) “The vacuum is no more degenerate and strictly speaking there is no spontaneous symmetry breaking of a local symmetry.[...]”

The disappearance of the NG boson is thus an immediate consequence of local symmetry. The above argument (Englert, 2005) was formalized much later (Elitzur, 1975)”

10 Introducing Photons

$U(1)_Y$ gauge symmetry with generator $\Sigma_1 \Sigma_2$:

background symmetry: $(U(1)_Y \times Spin(3)) \rtimes Z_4$

custodial $Spin(3)$ generators $\Sigma_3 \Sigma_4, \Sigma_3 \Sigma_5, \Sigma_4 \Sigma_5$

Z_4 generated by the charge reversal transformation $\phi \rightarrow \Sigma_2 \Sigma_3 \phi$.

The $U(1)_Y \times Spin(3)$ is a normal subgroup.

Any transformation is the product of:

element of $U(1)_Y \times Spin(3)$ and element of Z_4 .

Parity and charge reversal are conserved separately in the absence of fermions

under charge reversal $B_\mu \rightarrow -B_\mu$.

Neutral vacuum condition: ϕ_0 aligned along linear combination of $\Sigma_{3,4,5}$.

11 Introducing Fermions

Quark field Q_L , $\Sigma_1 \Sigma_2 Q_L = iQ_L$, $\Sigma_5 Q_L = Q_L$ and transforming under $SU(2)_L$ as ϕ .

Most general Yukawa couplings with the quarks:

$$-\mathcal{L}_{Y_Q} = \overline{Q_L} \Gamma_d \phi d_R + \overline{Q_L} \Sigma_3 \Sigma_1 \Gamma_u \phi u_R + \text{h.c.}$$
$$\Gamma_w \equiv \Gamma_{w0} + \Gamma_{w1} \Sigma_3 \Sigma_4 + \Gamma_{w2} \Sigma_4 \Sigma_5 + \Gamma_{w3} \Sigma_5 \Sigma_3$$

Γ_{wa} self-conjugate and acting as real scalars on ϕ

$w = u, d$ and $a = 0, 1, 2, 3$.

The custodial $Spin(3)$ group acts on ϕ and Γ_w^\dagger in the same way, the product $\Gamma_w \phi$ is $Spin(3)$ invariant.

By reparametrization of Γ_w , $\Sigma_5\phi_0 = \phi_0$. In this basis

$$\begin{aligned}
 H_1 &\equiv \frac{1-i\Sigma_1\Sigma_2}{2} \frac{1+\Sigma_5}{2} \phi, \\
 H_2 &\equiv \Sigma_4\Sigma_5 \frac{1-i\Sigma_1\Sigma_2}{2} \frac{1-\Sigma_5}{2} \phi, \\
 \tilde{H}_j &\equiv \Sigma_3\Sigma_1 H_j^*.
 \end{aligned}$$

$$\begin{aligned}
 -\frac{v}{\sqrt{2}}\mathcal{L}_{YQ} &= \overline{Q}_L H_1 M_d d_R + \overline{Q}_L H_2 N_d^0 d_R \\
 &\quad + \overline{Q}_L \tilde{H}_1 M_u u_R + \overline{Q}_L \tilde{H}_2 N_u^0 u_R + \text{h.c.},
 \end{aligned}$$

where $M_w \equiv \Gamma_{w0} + i\Gamma_{w1}$, $N_w^0 \equiv \Gamma_{w3} + i\Gamma_{w4}$.

Majorana masses in seesaw I (ν MSM) gauge singlets \Rightarrow nonperturbative \checkmark

- $H_1^\dagger iD_\mu \Sigma_1 \Sigma_3 H_1$ (W_μ^+) $H_1^\dagger Q$ (d_L)
 - $\cos \theta_W H_1^\dagger iD_\mu H_1 - \sin \theta_W \frac{gv^2}{4} B_\mu$ (Z_μ) $\tilde{H}_1^\dagger Q$ (u_L)
 - $\mathcal{A}_\mu \equiv \sin \theta_W H_1^\dagger iD_\mu H_1 + \cos \theta_W \frac{gv^2}{4} B_\mu$ (A_μ) $H_1^\dagger L$ (e_L)
 - $H_1^\dagger H_1$ (h) $\tilde{H}_1^\dagger L$ (ν_L)
 - $H_1^\dagger \Sigma_4 H_2$ (R)
 - $H_1^\dagger \Sigma_3 H_2$ (I)
 - $H_1^\dagger \Sigma_1 H_2$ (H^+)
- $$\Sigma_5 \phi_0 = \phi_0$$
- $$H_1 \equiv \frac{1-i\Sigma_1 \Sigma_2}{2} \frac{1+\Sigma_5}{2} \phi$$
- $$H_2 \equiv \Sigma_4 \Sigma_5 \frac{1-i\Sigma_1 \Sigma_2}{2} \frac{1-\Sigma_5}{2} \phi$$

Yang (1952) “It is the purpose of the present paper to calculate the spontaneous magnetization (i.e. , the intensity of magnetization at zero external field) of a two-dimensional Ising model of a ferromagnet.”

Spontaneous as particular case of Explicit symmetry breaking.

Other *equivalent* (for the Ising model) definitions:

“The spontaneous magnetization I per atom is exactly the usual long-range order parameter s which may be defined as the average of the absolute value of the total spin of the lattice divided by the number of atoms.

That I is equal to s is easily seen-from the fact that the introduction of a vanishingly weak positive magnetic field merely cuts out all states of the lattice for which the total spin is negative.”

't Hooft (1980) “the words “spontaneous breakdown” are formally not correct for local gauge theories. The vacuum *never* breaks local gauge invariance because it itself is gauge invariant.

The neutral intermediate vector boson is the “meson”

$$\phi^\dagger D_\mu \phi = i \frac{gv^2}{4} W_\mu^3 + \text{total derivative} + \text{higher orders}$$

The W_μ^\pm are obtained from the “baryons” $\epsilon_{ij} \phi^i D_\mu \phi^j$, and the Higgs particle can also be obtained from $\phi^\dagger \phi$.

Is there no fundamental difference then between a theory with spontaneous breakdown and a theory with confinement? Sometimes there is. In the above example the Higgs was a faithful representation of $SU(2)$. This is why the above procedure worked.”

Fröhlich et al. (1981) “the relevant feature is the structure of the residual group.”
(defined by the minimizing orbit of the Higgs potential)

Implications for one Higgs doublet from lattice sim.:

Maas and Mufti (2015) $m_h < m_W \Rightarrow$ non-perturbative effects rule

Gies and Sondenheimer (2015) (top-bottom-Higgs system)

non-perturbative effects affect (in)stability of the Higgs potential

...and a big unknown

mostly unexplored, due to technology and mathematical limitations

Careful, non-perturbative effects for small couplings are common

e.g. Hydrogen energy levels

despite that for larger couplings, larger non-perturbative effects expected

Background symmetries

background field or **spurion**:

- fixed when minimizing the action
- non-trivial representation of background symmetries

when calculating observables, **spurions** \Rightarrow **numerical values**.

observables invariant under group of background symmetries

Ivanov (2006) reparametrization

Haber and Surujon (2012) basis transformation that do not change the Lagrangian's functional form or spurion analysis

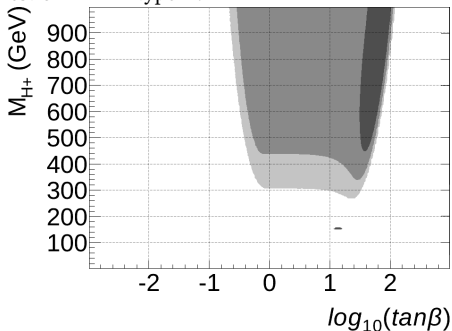
Botella et al. (2013) weak-basis transformations

Georgi (2009) spurions as source fields

11.1 A contribution for a systematic search for FCNCs

BGL analysis code: cftp.ist.utl.pt/~leonardo

Test on 2HDM type II:



Giac and Ginac (C++ algebra systems)
available (also Flavour Kit, etc.);

Using LLVM the code generation of
GiNaC can be improved;

Library containing many known
formulas for decays important for
FCNC; (contribution)

Library making global fits, from models,
from formulas, from experimental data
(contribution)

Library containing the experimental
data distributions.

(CERN-based ROOSTATS-like package
for FCNCs?)

Beyond the Standard Model

Branco and Emmanuel-Costa (2014) the simplest scheme to break spontaneously:

$$SU(3)_C \times SU(2)_L \times U(1)_Y \rightarrow SU(3)_C \times U(1)_{em}$$

⇒ One Higgs Doublet

Ellis (2013) QCD, ElectroWeak, Flavour ⇒ Experiments ✓

ν masses and mixing, baryon asymmetry,
dark matter, CMB fluctuations

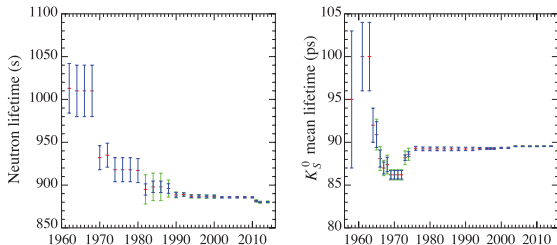
⇒ New Physics

Altarelli (2014) ν MSM(3 ν_R , Seesaw I) + inflaton field ⇒ Experiments ✓

gravity; cosmological const. (dark energy); hierarchy; strong CP;
arbitrariness; meta-stability; non-perturbative definition;
accidental suppression of FCNCs, EDMs, p^+ decay

Contributions from Social Sciences

PDG (2014)



Wanke (2013) “significant jumps, pointing either to a common systematic shift or to the effect of *biased analyses*.”

Borrelli (2013) presented at CERN “As far as theorists are concerned, the role of *personal skills* seems to be a major factor in the choice of models to work on.”

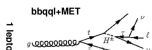
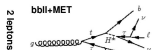
Kahnemann(2002)

Nobel in Economics Lecture

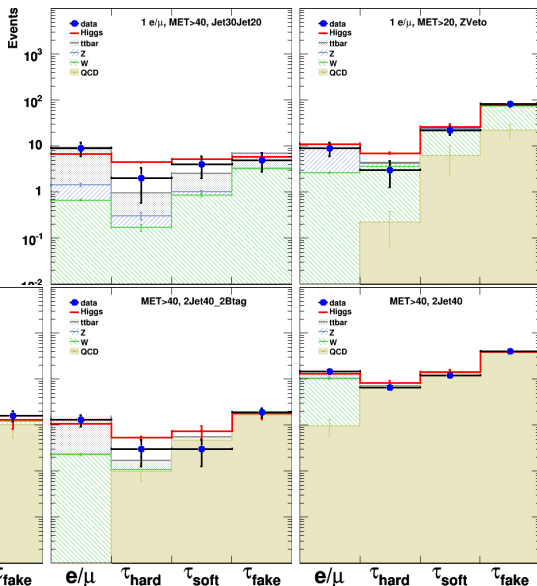
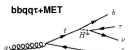
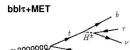
“people rely on a limited number of heuristic principles which reduce the complex tasks of assessing probabilities and predicting values to *simpler judgmental operations*. In general, these heuristics are quite useful, but sometimes they lead to severe and systematic errors.”

Correlations are important in data analysis

Leptonic τ decays



Hadronic τ decays



to attribute
to new
physics a
deviation
in data

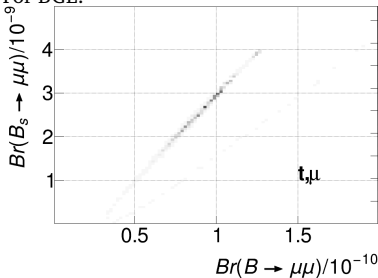
Top-down and Bottom-up approaches

Buras and Girschbach (2014)

models (e.g. BGL) vs. effective field theory (e.g. MFV)

- correlations between observables
low/high energy, all flavours, hadronic/leptonic
- less sensitive to free parameters
- patterns of flavour violation
- may differ from the SM and MFV

For BGL:



Extend the scalar sector to study Higgs mechanism and SM problems.
But **constrain** FCNCs, Flavour and CP violation pattern accounted by SM.