Strange Quark Polarisation Puzzle-Unfinished Quest

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LIP

outline:

- (long) introduction
- strange quark polarisation puzzle
- analysis of HERMES data
- COMPASS data and comparison with HERMES





Stern-Gerlarch Experiment (1922)



- observed: spread of the beam on 2 levels!
- classical: continuous spread of the beam
- quantum: split on 1,3,5...2L+1 levels; BTW no split expected (L=0)
- Uhlenbeck, Goudsmit (1925) introduced spin concept, as a quantum degree of freedom of particles

Quark Parton Model

- quark parton model describes:
 - masses
 - charges
 - anomalous magnetic moments $(\vec{\mu} = \frac{e_i}{2m_i} s \vec{pin})$

	mag.mom. QPM	mag.mom. mes.
р	+2.79	+2.793
n	-1.86	-1.913
Λ	-0.61	-0.614



- spin ???
- in QPM: $S_p = 1/2\Delta\Sigma \rightarrow$ quarks build proton spin!

The Idea of Experiment

• interaction of polarised muons (electrons) with nucleon



- because of angular momentum conservation only quarks with a spin opposite to the spin of the photon can interact with it
- spin effects are small, precise method of extraction is needed like, asymmetry measurements



From the Idea to the Experiment

We need:

- polarised photon source \rightarrow beam
- polarised nucleons \rightarrow polarised target
- info about interactions \rightarrow spectrometer
- details shown on an example of the COMPASS experiment

COMPASS @ CERN



The Beam



- SPS in cycles accelerates protons to energy 450 GeV
- protons are extracted on to a beryllium target \rightarrow secondary particles are produced e.g. π, K
- π and K are not stable \rightarrow decay on e.g. μ
- a hadron absorber stops most of the hadrons, while μ pass it
- sets of magnets focus and select μ beam of a given momentum
- the μ beam intensity: $4 \cdot 10^7/s$
- conversion efficiency: $1 \ \mu$ for 10^5 protons
- muons are good sources of virtual photons...

Polarisation of the Beam

- consider decay of $\pi \to \mu \nu$
- due to CP violation \rightarrow full neutrino polarisation
- conservation of angular momentum \rightarrow decay muon is also polarised.
- muon momentum and its polarisation
 - $-\,$ parent π momentum 172 GeV
 - $\approx 172 \text{ GeV}: P_{\mu} = -1.0$
 - $160 \text{ GeV}: P_{\mu} = -0.8$
 - $130 \text{ GeV}: P_{\mu} = 0.0$
 - $-98 \text{ GeV}: P_{\mu} = 1.0$



The Target Polarisation

- atom in the strong magnetic field and low temperature...
- the energy levels are separated depending upon relative orientation of a particle spin and magnetic field direction \rightarrow spontaneous polarisation of particles
- example of polarisation for T=50mk and B=2.5T
 - electron 99.8%
 - proton 1%
 - deuteron 0.5%
- one cannot polarise nucleons using this method...
- $\mu_p \ll \mu_e$, thus low magnetic moment of proton
- instead a method of dynamic nuclear polarisation is used...

The Target Polarisation cont.

- idea of DNP: simultaneous flip of electron and proton spin
- sill strong magnetic field and low temperature is needed!
- energy supplied by micro-waves ($\omega_e \approx 70 GHz, \omega_p \approx 105 MHz$)
- electron relaxes in $\approx 1 \mu s$ to the ground state
- protons due to their large mass and so low magnetic moment do not change their orientation





- COLLABORATION
 - about 210 physicists
 - 27 institutes
- DETECTOR
 - 60 m length
 - -2 (3) magnets
 - $-\,$ about 350 detector planes

- POLARISED TARGET
 - ⁶LiD (*NH*₃) target
 - 2-3 cells (120 cm total length)
 - $-\pm 50\%$ (90%) polarization
 - $-\,$ polarisation reversal every 8h-24h $\,$
- POLARISED BEAM
 - $\begin{array}{cccc} \mbox{ positive muons at } 160/(200) \\ \mbox{ GeV/c (2011)} \end{array}$
 - $-\,$ polarisation –80 %
- FEATURES
 - acceptance: 70 (180) mrad (2006)
 - track reconstruction: p > 0.5GeV/c
 - identification h, e, μ : ECAL, HCAL and muon filters
 - identification: π , K, p (RICH) above 2, 9, 18 GeV/c respectively

Studied Processes



- Deep Inelastic Scattering- (DIS)
- incoming and outgoing muon fourmomenta are measured
- the target mass is known
- the final state X is not looked at
- the cleanest measurement



- Semi-Inclusive Deep Inelastic Scattering (SIDIS)
- the difference w.r.t. DIS: the final state is look at
- additional complication arise: what is probability that a quark of type q fragments into a hadron type h?
- a new non perturbative object needed -Fragmentation Functions (FF)

Kinematic Variables

Q^2 :

- four-momentum transfer from lepton to nucleon
- $Q^2 = -m_{\gamma^*}^2; Q^2 \in (0,\infty) \text{ GeV}^2$
- Q^2 is a photon resolution
- $Q^2 \approx 1 \text{GeV}^2 \rightarrow \delta r \approx 1 \text{ fm}$
- DIS: $Q^2 > 1 \text{ GeV}^2$ the perturbative region

Bjorken x:

in the frame of the infinite proton momentum
x is a fraction of the proton momentum carried
by the quark (parton)

hadron z

- the energy ratio of the hadron to the virtual photon
- variable used in SIDIS



The Measurement and the Physics

$$\frac{N^{\uparrow\downarrow} - N^{\uparrow\uparrow}}{N^{\uparrow\downarrow} + N^{\uparrow\uparrow}} = A_{raw}$$

- $A_1 = \frac{A_{raw}}{fDP_bP_T}$
 - $-\,$ f- dilution factor fraction of polarisable material in the target
 - P_b, P_T beam and target polarisations
 - D depolarisation factor (polarisation transfer $\mu \to \gamma^*)$
 - fDP_bP_T of the order of 0.05 0.10 in COMPASS
- $g_1 = A_1 \cdot F_1$
 - $F_1(x)$ is unpolarised structure function $F_1(x) = 1/2 \sum_i e_i^2 q_i(x)$
 - $-g_1(x)$ is the number density of quarks polarised parallel-anti-parallel to the proton spin, $g1(x)=1/2\sum_i\Delta e_i^2q_i(x)$
- $\Gamma_1 = \int_0^1 g_1(x) dx$ the first moment of g_1

Short Story of Spin Measurements

- first asymmetry measurement in SLAC, USA since 1975, made by Vernon Hughes.
- results with large uncertainties were agreeing with expectations
- unexpected results of EMC (1987) starts the so-called "spin crisis": quarks carry only $10\% \pm 15\%$ of the proton spin
 - **Phys. Lett. B206**(1988),364; cited 1659 times
 - Nucl. Phys. B328(1989),1; cited 1422 times
- second generation of experiments to confirm EMC results, at CERN and US (early-mid of 90')
- third generation of experiments trying to solve spin puzzle COMPASS @ CERN, HERMES @ DESY, experiments at US in RHIC and JLab laboratories
- fourth generation is in plans...



EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH

CERN-EP/87-230 December 23rd, 1987

A MEASUREMENT OF THE SPIN ASYMMETRY AND DETERMINATION OF THE STRUCTURE FUNCTION 8, IN DEEP INELASTIC MUON-PROTON SCATTERING

The European Muon Collaboration

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(Submitted to Physics Letters)

ABSTRACT

The spin asymmetry in deep inelastic scattering of longitudinally polarised muons by longitudinally polarised protons has been measured over a large x range (.01 < x < 0.7). The spin dependent structure function $g_1(x)$ for the proton has been determined and its integral over x found to be 0.114 ± 0.012 ± 0.026, in disagreement with the Ellis-Jaffe sum rule. Assuming the validity of the Bjorken sum rule, this result implies a significant negative value for the integral of g_1 for the neutron. These values for the integrals of g_1 lead to the conclusion that the total quark spin constitutes a rather small fraction of the spin of the nucleon.

For footnotes see next page.



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For footnotes see next page.

Modern Results



Modern Results cont.

- reminder: it was expected that quarks, $\Delta\Sigma$, carry spin of the proton,
- $S_p = 1/2 = 1/2\Delta\Sigma$
- current experiments: $\Delta \Sigma = 0.30 \pm 0.01 \pm 0.02 \ (\bar{MS} \text{ scheme})$
- the spin crisis: what builds up the spin of the proton?

•
$$S_p = 1/2 = 1/2\Delta\Sigma + \Delta G + L_{q,g}$$
, where:

- $-\Delta G$ gluon contribution
- $-L_{q,g}$ angular momentum contribution of quark and gluons
- one of the COMPASS main goal was to measure $\Delta G/G$

$\Delta G/G$

- subject of Celso and Luis PHD theses
- published: **PLB 718** (2013) 922 and **PRD 87** (2013) 052018
- NLO analysis for charm events
- $\Delta G/G$ is small
- the latest results from RHIC shows that it might be indeed positive



• however, the spin crisis is not the main topic of the seminar...

Quark Polarisation, $\Delta \Sigma$...

- $\Delta \Sigma = 0.30 \pm 0.01 \pm 0.02 = \Delta U + \Delta D + \Delta S$
- the question one may ask is what are various quark flavours contribution to the nucleon spin?
- information from:
 - inclusive asymmetries
 - * $\Gamma_1^p \sim 4\Delta U + \Delta D + \Delta S$
 - semi-inclusive asymmetries
 - sum rules
- example of sum rules form SU(3) symmetry:

$$-a_3 = \Delta U - \Delta D \sim g_A/g_V$$
 - from neutron decay

 $-a_8 = \Delta U + \Delta D - 2\Delta S = 0.585 \pm 0.025$ - from hyperon decays

$$-a_0 = \Delta U + \Delta D + \Delta S = \Delta \Sigma = 0.30 \pm 0.02 \pm 0.01$$

- observe that $(a_0 a_8)/3 = \Delta S = -0.09$
- negative polarisation of strange quarks in the nucleon is expected!!!

Test of the Bjorken Sum Rule

- $g_1^{NS}(x,Q^2) = g_1^p(x,Q^2) g_1^n(x,Q^2) = 2(g_1^p(x,Q^2) g_1^d(x,Q^2))$
- $g_1^{NS}(x,Q^2)$ is interesting because its Q^2 dependence decouples from the singlet and gluon densities
- $\int_0^1 g_1^{NS}(x,Q^2) = \Gamma_1^{NS} = \frac{1}{6} \frac{g_A}{g_V} C_1^{NS}(Q^2),$ where $C_1^{NS}(Q^2) \approx 1$ has been calculated in pQCD up to $\alpha_s^3(Q^2)$
- $\frac{g_A}{g_V}$ can be obtained from neutron beta decay: $\frac{g_A}{g_V} = 1.2694 \pm 0.0028$



Semi-Inclusive Asymmetries and Flavour Separation

- semi-inclusive asymmetries were measured on both p and d targets
- COMPASS for the first time measured Kaons asymmetries on p target
- in the LO approximation $A_1^h(x, Q^2, z) = \frac{\sum_q e_q^2 \Delta q(x, Q^2) D_q(z, Q^2)}{\sum_q e_q^2 q(x, Q^2) D_q(z, Q^2)}$
- *D* is a fragmentation Function (FF)
- with 10 asymmetries $(A_{1p,d}^{incl}, A_{1p,d}^{\pi\pm}, A_{1p,d}^{K\pm})$ and 5 unknown parameters $(\Delta u, \Delta d, \Delta \bar{u}, \Delta \bar{d}, \Delta s)$ a flavor separation is possible



LO Flavour Separation - ΔS from HERMES

- **PLB 666** (2008) 446
- curve from LSS group **PRD** 73 034023
- clear disagreement of data with global fit is visible
- $\int_{0.02}^{0.60} \Delta S(x) dx = 0.038 \pm 0.019 \pm 0.027$



LO Flavour Separation - COMPASS

- COMPASS results: **PLB 693** (2010) 227
- curves: DSSV NLO parametrisation **PRL 101** (2008) 072001; **PRD 80** (2009) 034030, fit includes HERMES data
- good agreement between COMPASS data and DSSV parametrisation



"Strange Quark Polarisation Puzzle"

- $\int_0^1 \Delta s(x) + \Delta \bar{s}(x) dx = \Delta S$ is negative from inclusive asymmetries $\Delta S = -0.09 \pm 0.01 \pm 0.02$
- surprisingly, SIDIS analysis do not confirm this observation.
- HERMES: $\int_{0.02}^{0.6} \Delta S(x) = +0.038 \pm 0.019 \pm 0.027$
- to accommodate the above discrepancy, in the DSS fit the s(x) changes sign. So that it is positive for high x and negative for low x
- however, LSS groups claim that the value of $\Delta s(x) + \Delta \bar{s}(x)$ from the inclusive analysis is negative in the whole x range!
 - this is even true when π asymmetries are included in the fit
 - only Kaon asymmetries poses a problem!
 - LSS group has changed **FF** set from DSS to HKNS
 - with HKNS FF set ΔS from kaons asymmetries is also negative

Fragmentation Functions

- non-perturbative object must be measured in the experiment
- in LO describe probability density that a quark of type q fragments into a hadron type h D^h_q
- D_q^h depends only upon z and weakly (DGLAP type) upon Q^2
- universal object can be used/measured in e^+e^- , ep or pp reactions
- they are not well know in the kaon sector
- there is only one truly wold data parametrisation of FF DSS
- however, it doesn't agree with recent SIDIS measurements....

Fragmentation Functions and ΔS

- the importance of FF for ΔS is not a new idea (COMPASS!)
- in the strange sector we have access to 3 FF
 - $D_{str}: \bar{s} \to K^+$ and c.c.
 - $D_{fav}: u \to K^+$ and c.c.
 - $D_{unf}: \bar{u}, d, \bar{d} \to K^+ \text{ and c.c.}$
- the key variable from point of view of ΔS is the ratio $\int D_{str}(z) dz / \int D_{fav}(dz)$

Measurement of Fragmentation Functions

- FF can be studied in many processes in e^+e^- , ep or pp
- different processes are sensitive to different FFs
 - $-e^+e^-$ singlet distribution (cannot tell if K^+ comes from q or \bar{q})
 - -pp high p_T events sensitive to gluons
 - ep sensitive to flavour separated FF
- the easiest way access FFs is via measurements hadron multiplicities
 - in SIDIS hadron multiplicity: $\frac{\text{number of produced hadrons}}{\text{number of DIS events}}$
 - various kinematic factors cancels in the above ratio

$$- M^{p,K^{+}}(x,Q^{2},z) = \frac{4uD_{fav} + (4\bar{u}+d+d)D_{unf} + (s+\bar{s})D_{str}}{4u+4\bar{u}+d+\bar{d}+s+\bar{s}}$$

- $D_i(Q^2, z)$ and $q(x, Q^2) = u, \overline{u}...$
- In SIDIS multiplicities studies for different x, *i.e.* various relative contribution of q_i

Kaon Multiplicity Sum and S(x)

- notation and assumptions:
 - deuteron target!!!

$$- Q(x) = u(x) + \bar{u}(x) + d(x) + \bar{d}(x),$$

$$- S(x) = s(x) + \bar{s}(x)$$

$$- D_Q^K = 4D_{fav} + 6D_{unf}$$

$$- D_S^K = 2D_{str}$$

- kk - some kinematic factor

•
$$\frac{d^2 N^K(x)}{dx dQ^2} = kk(x, Q^2) \left[Q(x) \int D_Q^K(z) dz + S(x) \int D_S^K(z) dz \right]$$

•
$$\frac{d^2 N^{DIS}(x)}{dx dQ^2} = kk(x, Q^2)[5Q(x) + 2S(x)]$$

• dividing the two equations by each other, and neglecting 2S(x) one gets:

•
$$5 \frac{dN^{K}(x)}{dN^{DIS}(x)} = \int D_{Q}^{K}(z)dz + S(x)/Q(x) \int D_{S}^{K}(z)$$

- $\frac{dN^{K}(x)}{dN^{DIS}(x)}$ - sum of kaon multiplicities

Kaon Multiplicity Sum and S(x) cont.

- $5\frac{dN^{K}(x)}{dN^{DIS}(x)} = \int D_{Q}^{K}(z)dz + S(x)/Q(x)\int D_{S}^{K}(z)$
- at high x one can neglect $S(x)/Q(x) \int D_S^K(z) dz!$
- at high $x: 5\frac{dN^{K}(x)}{dN^{DIS}(x)} = \int D_{Q}^{K}(z)dz$
- FFs are x independent! one can extract $\int D_S(z)dz$ using data at low x and knowledge of $\int D_Q^K(z)dz$ at high x
- one expects flat $\frac{dN^{K}(x)}{dN^{DIS}(x)}$ at low x and an increase of it for lower x due to strange quarks contribution
- HERMES results from **PLB 666** (2008) 446

HERMES Results

- after the extraction of $S(x) \int D_S^K(z) dz$ HERMES tried to evaluate D_S^K
 - extraction failed large $\chi^2/nd\!f$
 - decided that the culprit is S(x)
- finally HERMES extracted S(x) assuming $\int D_S^K(z) dz$ from DSS
- the obtained results were rather surprising:
 - the value of S(x) at low x was found to be similar to $\bar{u} + \bar{d}$, contrary to most of PDF sets
 - the shape of extracted S(x) was very different from $\bar{u}+\bar{d}$
- BTW. ATLAS and CMS data also prefers non-suppressed strange sea (large errors)

My Point of View...

- IMHO the HERMES analysis was oversimplified
- when unexpected behaviour is observed on the sum of K multiplicities one should verify that *e.g.* the multiplicity difference is well under control
- unfortunately charged separated multiplicities were not published at the time...
- In the seminar I will show my analysis of preliminary HERMES data shown (DIS2011)
- work summarised in hep-ex 1208.5427
- HERMES data finally published two weeks ago, **PRD 87** (2013) 074029, no big difference w.r.t. preliminary data presented here...

The Kaon Multiplicity Difference: $K^+ - K^-$

- reasons why the kaon multiplicity difference is important:
 - the contribution from strange quarks CANCELS in the difference
 - the gluon contribution cancels too \rightarrow easier evolution in NLO
 - many experimental systematic errors cancel in the multiplicity difference
 - one have easy access to certain combination of FF, namely $D_{fav} D_{unf}$
 - $\frac{dN_{diff}^{K}}{dN^{DIS}} = \frac{4(u_v + d_v)}{5Q + 2S} (D_{fav} D_{unf}) \text{deuteron target!}$

The Multiplicity Difference vs Multiplicity Sum

- to claim that the features observed by HERMES in the multiplicity sum are related to S(x), one has to show that the multiplicity difference is well under control
- observe that in the multiplicity difference there is no contribution from strange quarks
- suppose that $(D_{fav} D_{unf})(x_{low}) > (D_{fav} D_{unf})(x_{high})$

- D_{fav} increases - D_Q^K increases at low x! Less space for S(x)!

- D_{unf} increases D_Q^K decreases at low x more space for S(x), however D_{unf} is rather small, cannot decrease too much
- $5\frac{dN^{K}(x)}{dN^{DIS}(x)} = \int D_{Q}^{K}(z)dz + S(x)/Q(x)\int D_{S}^{K}(z)$
- $D_Q^K = 4D_{fav} + 6D_{unf}$

HERMES Preliminary Results

• $D_{fav} - D_{unf}$ is clearly not well under control!

HERMES Preliminary Results cont.

• fit $D_{fav} - D_{unf}$ by the same functional form as used in HERMES to extract $S(x) \int D_{str}$ and S(x) namely: $x^{\alpha}e - (x/\beta)(1-x) + const!$

Only Coincidence?

I doubt...

LO FF Fit...

- using HERMES preliminary results one can extract FF
- 1st try no x dependence of FF...
- for comparison in the last column FF from DSS fit are given.

	using MSTW08L	using CTEQ6L	DSS
D_{fav}	0.100 ± 0.003	0.096 ± 0.003	0.091
D_{unf}	0.017 ± 0.002	0.018 ± 0.002	0.012
D_{str}	0.45 ± 0.09	0.50 ± 0.09	0.62
χ^2/ndf	75.4/15	57.1/15	_

- the obtained results are not so different from DSS fit
- χ^2/ndf are bad data cannot be described in such a method
- this is the same conclusion as in the HERMES paper!

LO FF Fit cont.

- at this stage of analysis HERMES decided that the culprit is wrong S(x) distribution!
- HERE: I assume that the problem in D_{fav}

	using MSTW08L	using CTEQ6L
D_{fav}	0.093 ± 0.003	0.092 ± 0.003
D_{unf}	0.027 ± 0.002	0.027 ± 0.002
D_{str}	-0.48 ± 0.15	-0.25 ± 0.15
lpha	-0.57 ± 0.04	-0.59 ± 0.06
eta	0.039 ± 0.004	0.033 ± 0.005
χ^2/ndf	9.7/13	8.7/13

• large, by a factor 7-8 , improvement of χ^2/ndf

Impact of D_{fav} x **Dependence on** D_{str}

- large change of D_{str} as expected!
 - NOW: -0.48 ± 0.15 or -0.25 ± 0.15 ; previously $\approx +0.50$
 - assuming $D_{unf} Q^2$ dependence as in DSS, the value of D_{str} is increased by about 0.2-0.25
 - the overlap with physically allowed region is largely increased
 - however, large unphysical value of D_{str} may suggest that there is a problem in the HERMES preliminary multiplicities
- simultaneous fit of D_{fav} and D_{unf} decreased $\chi^2/ndf \approx 5.6/11$, but increases D_{str} uncertainty to about 0.8 useless...
- Data where the multiplicity difference is not understood, hardly can be a reliable source of information about strange quarks!

The HERMES Way...

- what about HERMES way of doing analysis...
 - one can describe multiplicity sum assuming peculiar distribution of S(x)
 - however, since S(x) do not contribute to multiplicity difference the peculiar shapes observed there are not affected!
 - to describe them one has to assume another peculiar distribution of D_{fav} and D_{unf}
 - moreover, a fine tuning of D_{fav} and D_{unf} parameters is needed so that, the peculiarities observed in the multiplicity difference do not bias S(x) extracted from the multiplicity sum!
- The D_{fav} change simultaneously explains observed features in both multiplicity sum and difference! It is much simpler solution than the above!
- even simpler solution is a bug in the HERMES the multiplicities why $D_{fav} D_{unf}$ could have so strong x or Q^2 dependence?

COMPASS Multiplicities...

- COMPASS is on the way to extract h, π, K multiplicities
- some preliminary results are available

The Kaon Multiplicity Sum from COMPASS

• strong x dependence of the $M^{K^++K^-}$ is not observed

• COMPASS analysis prefers low values of D_{str}

• low values of $D_{str} \rightarrow \text{strange quark polarisation solved}...$

COMPASS vs HERMES - the Multiplicity Sum

- clear discrepancy seen between HEREMS and COMPASS for x > 0.1
- BTW. here HERMES newly published data are presented!

COMPASS vs HERMES the Multiplicity Difference

• weak Q^2 dependence of $D_{fav} - D_{unf}$ expected from DSS

– approx 2% dependence for $Q^2 \in (1 - 30)$ GeV² and $z \in (0.2 - 0.3)$

- older COMPASS preliminary data (2012)
- HERMES preliminary one
- error where scaled to obtain $\chi^2/ndf = 1 \leftarrow$ systematic cancellation in the multiplicity difference
- COMPASS D_{F-U} values are flat, contrary to the HERMES ones...

Summary

- the strange quark polarisation is expected to be negative for all values of x
- such a behaviour is not observed while analysing kaon asymmetries
- however, lower than anticipated in DSS fit values of D_{str}/D_{fav} FF can explain the puzzle
- problems seen on the experimental side
- preliminary COMPASS results on kaons multiplicities DO NOT agree with HERMES results
- IMHO: HERMES results due to certain peculiarities might not be a reliable source of information about strange quarks