

# Axions & Superfluidity in Weyl Semimetals

From Anomalies in Fundamental QFT to  
Low Energy EFT, Axion Excitations &  
Macroscopic Superfluidity in WSMs

E. Mottola, Univ. of New Mexico

w. A. Sadofyev & A. Stergiou, Phys. Rev. B **109**, 134512 (2024)

w. A. Sadofyev, Nucl. Phys. B **966**, 115385 (2021)

w. M. Giannotti, Phys. Rev. D **79**, 045014 (2009)

# Outline

- **Axial Anomaly in QED<sub>4</sub>**
  - Triangle Amplitude & Massless Effective Scalar
  - Relativistic Ideal Hydrodynamics
- **Bosonization in 3 +1 Dimensions**
  - Quantization & Anomalous Commutators
  - Dimensional Reduction to 1+1 in Magnetic Fields
- **Superfluidity from the Anomaly**
  - New Extension of Nambu-Goldstone-like Theorem
  - Electromagnetic Interactions & Higgs-like Mass
- **A general low-energy EFT for WSMs**
  - Describes Fermion Self-Interactions & usual SSB
  - Same Goldstone CDW Mode predicted by Anomaly
- **Prospects for Experimental Detection**

# Axions in QCD

Axions—a pseudoscalar  $0^-$  particle was postulated by Weinberg and Wilczek to solve the strong CP problem of QCD—to promote the fixed phase angle  $\theta$  of QCD to a dynamical field by SSB of a new global (Peccei-Quinn) symmetry in order to explain naturally why  $\theta \ll 1$  and EDM of neutron is  $\sim 0$

$$S_{\text{axion}} = -\frac{1}{2} \int d^4x (\partial_\mu a \partial^\mu a + m_a^2 a^2) + \int d^4x \frac{a}{f_a} \mathbf{E} \cdot \mathbf{B}$$

Axions have also attracted attention as a possible dark matter candidate for 25% of the energy content of the Universe

But despite going on 5 decades of extensive searches over many orders of magnitude in coupling strength and mass, no QCD axion has been found

# Axial Anomaly in QED<sub>4</sub>

Dirac Fermion Lagrangian

$$\mathcal{L}_f = \bar{\psi} \gamma^\mu (i \overleftrightarrow{\partial}_\mu + e V_\mu + A_\mu^5 \gamma^5) \psi - m \bar{\psi} \psi$$

U(1) Vector Gauge Invariance

$$\psi \rightarrow e^{i\alpha} \psi, \quad \bar{\psi} \rightarrow \bar{\psi} e^{-i\alpha}, \quad e V_\mu \rightarrow e V_\mu + \partial_\mu \alpha$$

Conserved Noether Current  $\partial_\mu J^\mu = 0$ ,  $J^\mu = e \bar{\psi} \gamma^\mu \psi$

**Apparent** U(1)<sup>ch</sup> Chiral Invariance (if **m=0**)

$$\psi \rightarrow e^{i\beta \gamma^5} \psi, \quad \bar{\psi} \rightarrow \bar{\psi} e^{i\beta \gamma^5}, \quad A_\mu^5 \rightarrow A_\mu^5 + \partial_\mu \beta$$

But Corresponding Current is **not** conserved (**Anomalous**)

$$J_5^\mu = e \bar{\psi} \gamma^\mu \gamma^5 \psi, \quad \partial_\mu J_5^\mu|_{m=0} = \frac{e^2}{16\pi^2} \epsilon^{\alpha\beta\mu\nu} F_{\alpha\beta} F_{\mu\nu} = \frac{2\alpha}{\pi} \mathbf{E} \cdot \mathbf{B} \neq 0$$



# Axial Anomaly Essentials

$$\Gamma_5^{\mu\alpha\beta}(p, q) = ie^2 \int d^4x \int d^4y e^{ip \cdot x + iq \cdot y} \langle \mathcal{T} J_5^\mu(0) J^\alpha(x) J^\beta(y) \rangle \Big|_{A=0}$$

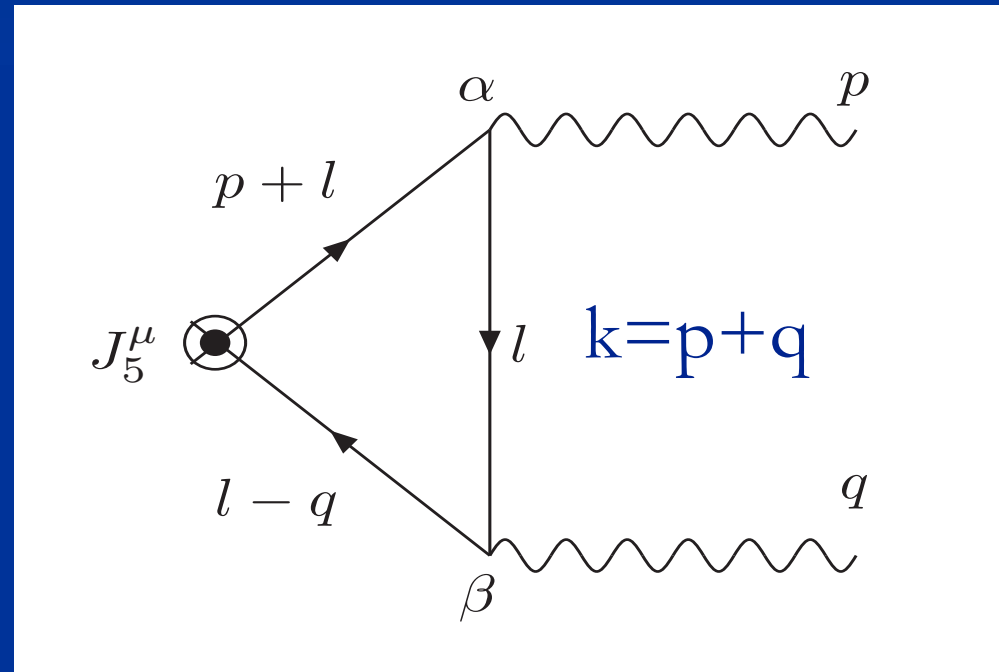
Triangle Diagram linearly UV divergent **naively**

Lorentz invariance of Vacuum  
**forbids** any linear divergence

+ Vector Current Conservation &  
**P/T** fixes **finite**  $\Gamma_5$  completely

**Impossible** to also require  
Axial Current Conservation

A well-defined UV **finite** result



$$k_\mu \Gamma_5^{\mu\alpha\beta}(p, q) = \mathcal{A} \epsilon^{\alpha\beta\rho\sigma} p_\rho q_\sigma, \quad \mathcal{A}|_{m=0} = \frac{e^2}{2\pi^2} \neq 0$$

# Massless/Gapless Anomaly Pole

Longitudinal part has a massless pole

$$\Gamma_5^{\mu\alpha\beta}(p, q) = \frac{k^\mu}{k^2} \mathcal{A} \epsilon^{\alpha\beta\rho\sigma} p_\rho q_\sigma + \Gamma_{5\perp}^{\mu\alpha\beta}(p, q)$$

Kramers-Kronig Relation to Imaginary Parts

$$\mathcal{A}(k^2; p^2, q^2; m^2) = \int_0^\infty ds \rho_1(s) - m^2 \int_0^\infty \frac{ds}{k^2 + s} \rho_m(s)$$

Spectral fn. obeys a UV **finite** Sum Rule

$$\int_0^\infty ds \rho_1(s; p^2, q^2; m^2) = \frac{e^2}{2\pi^2} = \mathcal{A}(k^2; p^2, q^2) \Big|_{m=0}$$

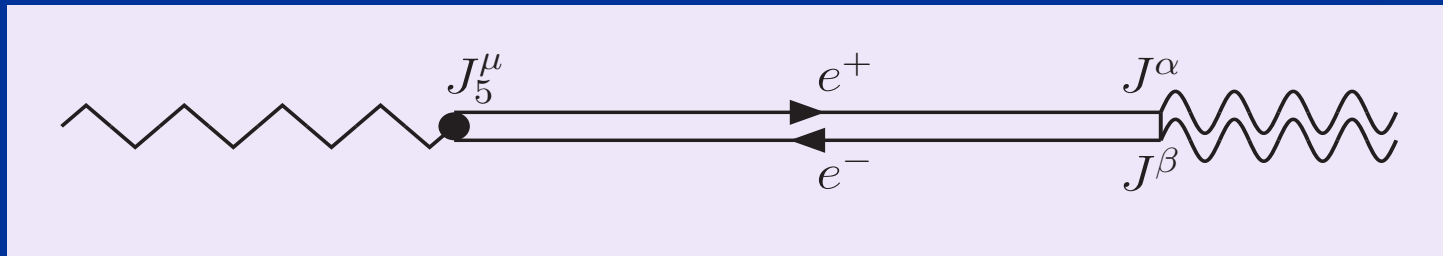
Sum Rule Residue of Pole independent of  $k^2, p^2, q^2, m^2$

# A Gapless 'Goldstone' Mode from Anomaly

$\rho_1$  and Chirality Violating Transition of 2-particle virtual  $e^+ e^-$  pair state

$$\sum_{e^+, e^-} \langle 0 | J_5^\mu | e^+ e^- \rangle \langle e^+ e^- | J^\alpha J^\beta | 0 \rangle \neq 0$$

do not vanish because of degeneracy with soft infrared photons  
Dirac fermions do not become a decoupled pair of Weyl fermions  
in the presence of electromagnetism **even at  $m=0$**



An effective massless scalar ( $0^-$ ) degree of freedom  
from a correlated massless  $e^+ e^-$  pair with opposite  
helicities moving co-linearly at  $v=c$

**A relativistic Cooper pair & Gapless Collective Mode  
from the Axial Anomaly**

# Anomaly Bosonic Effective Action

The anomaly/longitudinal part of  $\Gamma_5^{\mu\alpha\beta}$  is protected against higher order corrections by Adler-Bardeen Thm. & remains at all scales

Non-local 1PI Effective Action (note massless propagator)

$$\mathcal{S}_{1\text{PI}} = \int d^4x \int d^4y A_\mu^5(x) \partial_x^\mu \square_{xy}^{-1} \mathcal{A}_4(y)$$

Variation w.r.t.  $A_\mu^5$  produces the axial anomaly

$$\partial_\mu J_5^\mu = \mathcal{A}_4 \equiv \frac{\alpha}{2\pi} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

Local Effective Action

$$\mathcal{S}_{\text{anom}} = \int d^4x \left[ (\partial_\mu \eta + A_\mu^5) J_5^\mu + \eta \mathcal{A}_4 \right]$$

Variation w.r.t.  $\eta$  reproduces the axial anomaly

Fluid variation w.r.t.  $J_5^\mu$  produces the constraint  $\partial_\mu \eta + A_\mu^5 = 0$

Solved by  $\eta = -\square^{-1} \partial^\mu A_\mu^5$ , substituted into  $\mathcal{S}_{\text{anom}}$  reproduces  $\mathcal{S}_{1\text{PI}}$

$\mathcal{S}_{\text{anom}}$  is a hydrodynamic action &  $\eta$  is a Clebsch potential

# Weyl Nodes at Finite Chiral Density

$$\mathcal{S}_{\text{Fluid}} = \int d^4x \left[ (\partial_\lambda \eta + A_\lambda^5) J_5^\lambda + \eta \mathcal{A}_4 - \varepsilon(n_5) \right] = \int d^4x P$$

Chiral Density  $n_5^2 = -J_5^\lambda J_{5\lambda} > 0$

Chiral Chemical Potential  $\frac{d\varepsilon}{dn_5} = \mu_5 = \bar{A}_0^5$  in equilibrium rest frame

Variation w.r.t.  $J_5^\mu$  now gives

$$\partial_\lambda \eta + A_\lambda^5 - \left( \frac{d\varepsilon}{dn_5} \right) \left( \frac{dn_5}{dJ_5^\lambda} \right) = 0$$

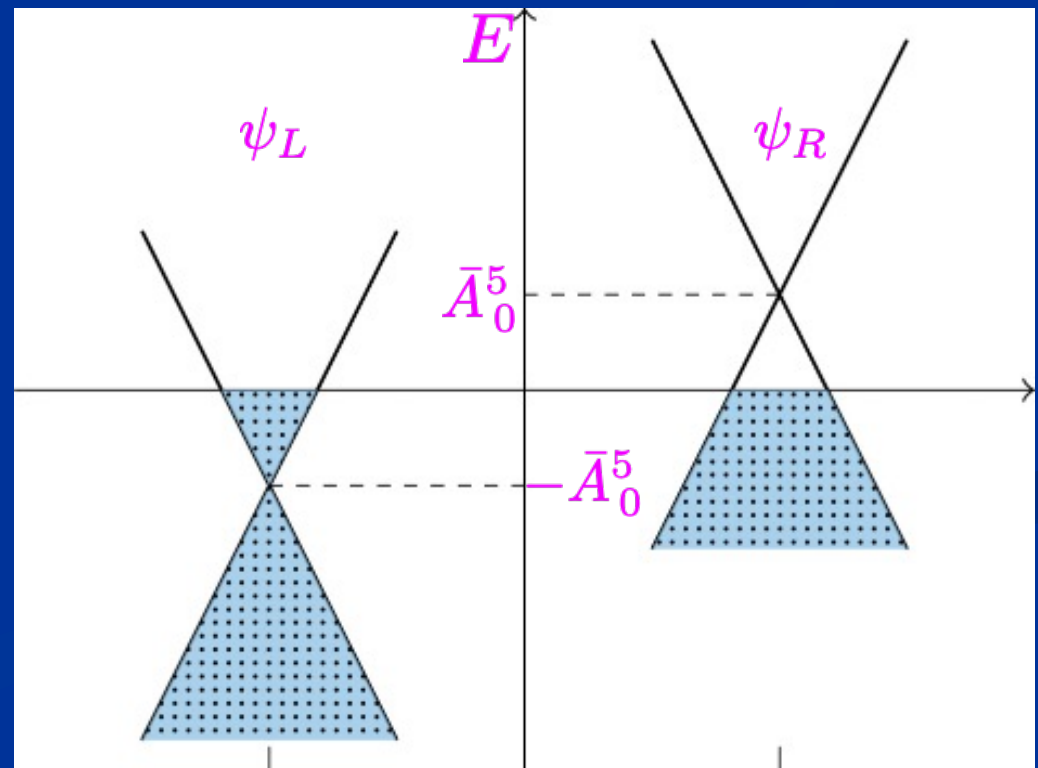
$$J_5^\lambda = -\frac{n_5}{\mu_5} (\partial^\lambda \eta + \bar{A}^{5\lambda})$$

Perturbations from Equilibrium

$$\delta\mu_5 = \delta\dot{\eta}$$

$$\delta J_5^0 = \delta n_5 = \frac{dn_5}{d\mu_5} \delta\dot{\eta}$$

$$\delta J_5^i = -\frac{n_5}{\mu_5} \nabla^i \delta\eta$$



# Chiral Density Waves (Axions) on the Fermi-Dirac Sea

$$\partial_\lambda(\delta J_5^\lambda) = \frac{dn_5}{d\mu_5} \left( \frac{\partial^2}{\partial t^2} - v_s^2 \nabla^2 \right) \delta\eta = \mathcal{A}_4$$

Propagating Axion  
from Anomaly

Sound Speed  $v_s^2 = \frac{dP}{d\varepsilon} = \frac{n_5 d\mu_5}{\mu_5 dn_5} = \frac{n_5}{\mu_5} \left( \frac{dn_5}{d\mu_5} \right)^{-1}$

Irrotational & Dissipationless (at  $T=0$ , no impurities)

Pressure Wave = Superfluid First Sound

Simple Example: Free Massless Dirac Fermions in 4D

$$n_5 = 2 \int \frac{d^3 \mathbf{p}}{(2\pi)^3} \Big|_{|\mathbf{p}| \leq \mu_5} = \frac{\mu_5^3}{3\pi^2} \quad P(\mu_5) = \mu_5 n_5 - \varepsilon = \frac{\mu_5^4}{12\pi^2} = \frac{\varepsilon}{3}$$

$$\varepsilon(n_5) = 2 \int \frac{d^3 \mathbf{p}}{(2\pi)^3} |\mathbf{p}| \Big|_{|\mathbf{p}| \leq \mu_5} = \frac{\mu_5^4}{4\pi^2} = \frac{3}{4} (3\pi^2)^{\frac{1}{3}} n_5^{\frac{4}{3}} \quad v_s^2|_{\text{free}} = \frac{1}{3} c^2 \rightarrow \frac{1}{3} v_F^2$$



# Dimensional Reduction in B Field

Uniform Constant **B** field  $\mathbf{B} = F_{23}|_{q=0} \hat{\mathbf{x}}$

Induced E/M current of fermions in bosonic form

$$J^\lambda = \frac{\delta S_{\text{anom}}}{\delta A_\lambda} = \frac{\alpha}{2\pi} \frac{\delta}{\delta A_\lambda} \int d^4x \eta F^{\mu\nu} \tilde{F}_{\mu\nu} = \frac{2\alpha}{\pi} \tilde{F}^{\lambda\nu} \partial_\nu \eta$$

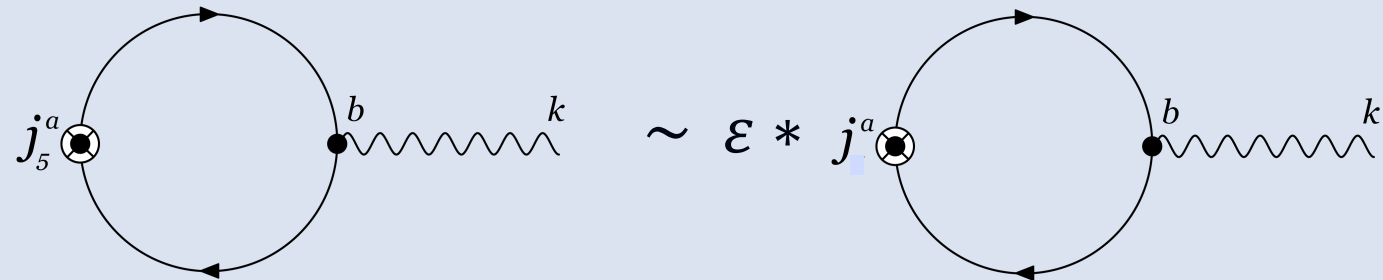
$$J^0 = \rho = -\frac{2\alpha}{\pi} \mathbf{B} \cdot \nabla \eta \quad \mathbf{J} = \frac{2\alpha}{\pi} \mathbf{B} \dot{\eta}$$

Transverse part of  $\Gamma_5^{\lambda\alpha\beta}$  vanishes & anomalous part becomes exact

$$\begin{aligned} \lim_{q \rightarrow 0} \Gamma_5^{\lambda\alpha\beta}(k-q, q) A_\beta(q) \Big|_{\mathbf{k}_\perp=0} &= \frac{2i\alpha}{\pi k^2} (k^2 \delta^\alpha_\nu - k^\alpha k_\nu) \tilde{F}^{\lambda\nu} \\ &= \begin{cases} -2i\alpha B \Pi_2^{ac}(k) \epsilon_c^\ell & \text{if } \lambda = \ell = 0,1 \text{ and } \alpha = a = 0,1 \\ 0 & \text{otherwise} \end{cases} \end{aligned}$$

4D Triangle Reduces to  $1/k^2$  pole of 2D Schwinger Model

# 2D Anomaly in Schwinger Model



Simply related to 2D Vacuum Polarization

$$\Pi_2^{ab}(k) = \frac{1}{\pi k^2} (k^a k^b - g^{ab} k^2)$$

$$j_5^a = \frac{1}{\pi} \frac{\partial^a}{\square} E$$

# Dimensional Reduction in B Field

4D Anomaly in Constant **B** field becomes 2D anomaly

$$\mathcal{A}_4|_B = \frac{e^2}{2\pi^2} \mathbf{E} \cdot \mathbf{B} = \frac{eB}{2\pi} \mathcal{A}_2, \quad \mathcal{A}_2 = \frac{eE}{\pi} \text{ of electrons in LLL}$$

2D Fluid Effective Action

$$S_{\text{anom},B} \propto \frac{eB}{2\pi} \int d^2x [(\partial^a \eta + \tilde{A}^a) \tilde{j}_a + \eta \mathcal{A}_2 - \varepsilon_2(\tilde{n})] = \frac{eB}{2\pi} S_{\text{2D Fluid}}$$

$$\varepsilon(n_5) = \frac{eB}{2\pi} \varepsilon_2(\tilde{n}), \quad \varepsilon_2(\tilde{n}) = \frac{\pi}{2} \tilde{n}^2 = p_2 = \frac{\tilde{\mu}^2}{2\pi}$$

Variation w.r.t.  $\tilde{j}^a$  gives

$$\tilde{j}^a = -\frac{\tilde{n}}{\tilde{\mu}} (\partial^a \eta + \tilde{A}^a) = -\frac{1}{\pi} \partial^a \eta \quad \text{Bosonization}$$

Variation w.r.t.  $\eta$  now gives the 2D axial anomaly of electrons in LLL

$$\partial_a \tilde{j}^a = -\frac{1}{\pi} \partial_a \partial^a \eta = \mathcal{A}_2 = \frac{eE}{\pi}$$

# Equivalent to 2D Schwinger Model

Induced  $\mathbf{E}$  field in  $\mathbf{B}$  direction by Gauss Law

$$\nabla \cdot \mathbf{E} = \rho = -\frac{2\alpha}{\pi} \mathbf{B} \cdot \nabla \eta \implies e\mathbf{E} = -\frac{2\alpha}{\pi} e\mathbf{B} \eta$$

$$\text{so } (\partial_t^2 - \partial_x^2 + M^2) \eta = 0, \quad M^2 = 2\alpha \left( \frac{eB}{\pi} \right)$$

$\eta$  is the Schwinger boson, now massive from the E/M interaction

And the 2D Fluid Effective Action is the Action of massless QED<sub>2</sub>

$$\begin{aligned} (\partial^a \eta) \tilde{j}_a - \varepsilon(\tilde{n}) &= -\frac{1}{2\pi} \partial_a \eta \partial^a \eta \\ -\frac{1}{e_2^2} F_{ab} F^{ab} + \eta \mathcal{A}_2 &= -\frac{1}{2\pi} M^2 \eta^2, \quad M^2 = \frac{e_2^2}{\pi} \end{aligned}$$

$$S_{\text{2D Fluid}} = -\frac{1}{2\pi} \int d^2x \left( \partial_a \eta \partial^a \eta + M^2 \eta^2 \right) = S_{\text{Schw}}$$

Remarkable Identity of Superfluid EFT and Microscopic QFT

# Fermion Self-Interactions in 4D EFT

Often done by NJL Model to describe SSB

$$\mathcal{L}_{\text{NJL}} = \frac{1}{4} G [(\bar{\psi}\psi)^2 - (\bar{\psi}\gamma^5\psi)^2]$$

Replace by Yukawa interaction

$$\mathcal{L}_Y = -g\bar{\psi}\Phi\psi = -g\bar{\psi}(\phi_1 + i\phi_2\gamma^5)\psi$$

Together with a  $U(1) \otimes U^{\text{ch}}(1)$  chiral invariant potential

$$V = \frac{\kappa}{2} (\phi_1^2 + \phi_2^2) + \frac{\lambda}{4} (\phi_1^2 + \phi_2^2)^2$$

Allows usual SSB if  $\kappa < 0$ , as well as **ASB** anomaly symmetry breaking

And kinetic term invariant under  $\Phi \rightarrow e^{-2i\beta\gamma^5} \Phi$ ,  $A_\mu^5 \rightarrow A_\mu^5 + \partial_\mu\beta$

$$\mathcal{L}_{\text{kin}} = -\frac{1}{2} (\partial_\mu\phi_1 - 2A_\mu^5\phi_2)^2 - \frac{1}{2} (\partial_\mu\phi_2 + 2A_\mu^5\phi_1)^2$$

All **IR** Relevant Terms in a Renormalizable EFT

# SSB with Chiral Chemical Potential

Polar Representation  $\Phi = \sigma \exp(2i\zeta\gamma^5)$

Chiral Chemical Potential  $\mu_5^2 = -(\partial^\mu\zeta + A^{5\mu})(\partial_\mu\zeta + A^{5\mu})$

Effective Potential  $V_{\text{eff}}(\sigma, \mu_5) = -2\mu_5^2\sigma^2 + V(\sigma)$

SSB  $\bar{\sigma} = \sqrt{\frac{4\mu_5^2 - \kappa}{\lambda}} \neq 0, \quad \text{if} \quad 4\mu_5^2 > \kappa$

Note: Non-zero  $\mu_5$  favors SSB solution

Scalar Contribution to Axial Current

$$J_5^\lambda[\Phi] = 4\phi_2 \overleftrightarrow{\partial}^\lambda \phi_1 - 4A^{5\lambda}(\phi_1^2 + \phi_2^2) = -4\sigma^2(\partial^\lambda\zeta + A^{5\lambda})$$

Chiral Density in SSB equilibrium state in terms of scalar vev

$$\frac{n_5}{\mu_5} = \frac{1}{\mu_5} \sqrt{-J_5^\lambda J_{5\lambda}} = 4\bar{\sigma}^2 \quad \varepsilon - \mu_5 n_5 = P = \frac{1}{4}\lambda\bar{\sigma}^4$$



# Goldstone Sound Mode

Perturbations from Equilibrium:  $\sigma = \bar{\sigma} + \delta\sigma$ ,  $\zeta = \bar{\zeta} + \delta\zeta = \delta\zeta$

Quadratic Action:

$$S_{\Phi}^{(2)} = -\frac{1}{2} \int d^4x \left[ \delta\sigma (-\square + M_{\sigma}^2) \delta\sigma - 4\bar{\sigma}^2 \delta\zeta \square \delta\zeta - 16\mu_5 \bar{\sigma} \delta\sigma \delta\dot{\zeta} \right]$$

2 x 2 matrix in Fourier Space:

$$\begin{pmatrix} -\omega^2 + \mathbf{k}^2 + M_{\sigma}^2 & 4i\omega\mu_5 \\ -4i\omega\mu_5 & -\omega^2 + \mathbf{k}^2 \end{pmatrix}, \quad M_{\sigma}^2 = V_{\text{eff}}''(\bar{\sigma}) = 2\lambda\bar{\sigma}^2$$

Gapless Sound Mode:

$$\omega^2 = v_s^2 \mathbf{k}^2 + \mathcal{O}\left(\frac{k^4}{M_{\sigma}^2}\right), \quad v_s^2 = \frac{\lambda\bar{\sigma}^2}{2\kappa + 3\lambda\bar{\sigma}^2}$$

Chiral Current:  $\delta J_5^0 = \frac{n_5}{\mu_5} \frac{1}{v_s^2} \delta\dot{\zeta} = \frac{dn_5}{d\mu_5} \delta\dot{\zeta} \quad \delta \mathbf{J}_5 = -\frac{\bar{n}_5}{\bar{\mu}_5} \nabla(\delta\zeta)$

# Gapless Sound Mode = Chiral Anomaly

$$\partial_\mu(\delta J_5^\mu) = \frac{dn_5}{d\mu_5} \left( \frac{\partial^2}{\partial t^2} - v_s^2 \nabla^2 \right) \delta\zeta = \frac{2\alpha}{\pi} \delta(\mathbf{E} \cdot \mathbf{B})$$

**Identical** (up to prefactor) as Gapless Mode  $\delta\zeta \Leftrightarrow \delta\eta$

found previously for free fermions and **no explicit SSB**

**Equivalence** between standard (classical) SSB

& **Quantum Anomaly Symmetry Brealing (ASB)**

**A new variation of Goldstone theorem**

Chiral Anomaly is completely unaffected by fermion self-interactions (even strong ones) & onset of SSB due to Adler-Bardeen Thm. and 't Hooft Anomaly matching

# Can Axion be Observed in WSMs?

One possibility: For a WSM in a strong  $\mathbf{B}$  field, a time dependent  $\delta\zeta \Leftrightarrow \delta\eta$  generates a time dependent electric current. This current can be excited by a time dependent  $\mathbf{E}$  parallel to  $\mathbf{B}$  through the anomaly source.

As the frequency  $\omega$  of the  $\mathbf{E}$  source is varied it can sweep through the resonance expected from the axion pole denominator

$$\mathbf{J}_\omega \propto \delta\eta_\omega \propto \left(-\omega^2 + v_s^2 \mathbf{k}^2 + \Gamma^2\right)^{-1} E_\omega B$$

Estimates indicate this may be feasible

Axion Electrodynamics in the Laboratory

# Summary

- QED Chiral Anomaly contains a Massless  $0^-$  Collective Mode due to Cooper Pairing of Massless Electrons
- Non-Dissipative Irrotational Macroscopic Superfluid derived from First Principles QFT of the Chiral Anomaly
- Partial Bosonization in  $3+1$  closely related to  $1+1$  in Magnetic Fields, Lowest Landau Level
- Quantum Chiral Anomaly itself is responsible for a Goldstone Axionic Mode in WSMs without classical SSB  
 $\Rightarrow$  Anomaly Symmetry Breaking (ASB)

WSM Laboratory for the QCD Axion