# Neutrino physics

9<sup>th</sup> mini-school on Particle and Astroparticle Physics

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LABORATÓRIO DE INSTRUMENTAÇÃO E FÍSICA EXPERIMENTAL DE PARTÍCULAS partículas e tecnologia Cristóvão Vilela c.vilela@cern.ch

# The Standard Model

- Neutrinos are *special*:
  - Electrically neutral fermions.
    - Only weak interactions.
  - Tiny (but non-zero!) masses.
- Neutrinos play important roles in our understanding of nature:
  - Matter-antimatter (a)symmetry?
  - Why three generations of matter?
  - What is the nature of mass?



# Neutrino pre-history

- A radioactive puzzle: the continuous spectrum of "beta-rays".
  - Transition between two stable isotopes via the emission of a beta ray.
  - Radiation energy should be **equal to the difference** of the isotope **masses**.

At the present stage of atomic theory, however, we may say that we have **no argument**, either empirical or theoretical, **for upholding the energy principle** in the case of  $\beta$ -ray disintegrations.



#### A desperate remedy (1930)

Physikalisches Institut der Eidg. Technischen Hochschule Zurich

Zürich, 4. Des. 1930 Oloriastrasse

Liebe Radioaktive Damen und Herren;

Wie der Ueberbringer dieser Zeilen, den ich huldvollst ansuhören bitte, Ihnen des näheren auseinandersetzen wird, bin ich angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie des kontinuierlichen beta-Spektrums auf einen versweifelten Ausweg verfallen um den "Wechselsats" (1) der Statistik und den Energiesats su retten. Mämlich die Möglichkeit, es könnten elektrisch neutrale Teilchen, die ich Neutronen nennen will, in den Kernen existieren. welche den Spin 1/2 haben und das Ausschliessungsprinzip befolgen und won Lichtquanten musserden noch dadurch unterscheiden, dass sie micht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen maste von dersalben Grossenordnung wie die Elektronenwasse sein und fedenfalls nicht grösser als 0,01 Protonenmasse .- Das kontinuierliche the Spektrum wäre dann verständlich unter der Annahme, dass beim beta-Zerfall mit dem blektron jeweils noch ein Neutron emittiert Marde derart, dass die Summe der Energien von Neutron und klektron konstant ist.



# A desperate remedy (1930)

Dear Radioactive Ladies and Gentlemen,

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and Li<sup>6</sup> nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons, which have spin 1/2 and obey the exclusion principle and which further differ from light quanta in that they do not travel with the velocity of light. The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant...



•••

Unfortunately, I cannot appear in Tubingen personally since I am indispensable here in Zurich because of a ball on the night of 6/7 December. With my best regards to you, and also to Mr Back.

Your humble servant,

W. Pauli

# The discovery of neutrinos

- Experiment proposed by F. Reines and C. Cowan in 1951.
- Need a large flux of (anti)neutrinos:
  - Get as close as possible to a nuclear explosive detonation.
- Detector needs to be protected from the shockwave:
  - Dig a whole.
  - Create a vacuum.
  - **Drop the detector** in the vacuum when the nuclear explosive is detonated.
- This idea didn't materialise...



# The discovery of neutrinos (1956)

- Following the initial proposal, Reines and Cowan changed their strategy.
- Place detector very close to **nuclear reactor**: intense antineutrino flux.
- Large target tanks filled with water and *cadmium* chloride.
- Antineutrinos interact via inverse beta decay:  $\overline{\nu} + \mathbf{p} \rightarrow \mathbf{e}^+ + \mathbf{n}$ .
  - $e^+$  annihilates to produce pair of  $\gamma$
  - Capture of **n** on Cd produces *delayed*  $\gamma$ .
- Measured cross-section: ~10<sup>-44</sup>cm<sup>2</sup>.
  - $\circ$  Proton-proton collisions at LHC: ~10<sup>-25</sup> cm<sup>2</sup>
  - Probability of 1 MeV v interacting traversing the earth:  $10^{-11}$



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# The disc

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- Place detector very c intense antineutrino f
- Large target tanks fill chloride.
- Antineutrinos interac  $\overline{\nu}$  + p  $\rightarrow$  e<sup>+</sup> + n.
  - e<sup>+</sup> annihilates to  $\bigcirc$
- - Proton-proton c Ο
  - Probability of 1  $\bigcirc$ the earth:  $10^{-11}$

RADIO SCHWEIZ AG. RADIOGRAMM - RADIOGRAMME 1956) IN1844 FM E2J116 WH CHICAGOILL 56 14 1310 00253 E-hallen - Rocu "VIA RADIOSUISSE" Ratindari - Transmit NEWYORK Brieffelegramm 15. VI. 58 LT Per Post PROFESSOR W PAULI NACHLASS PROF. W. PAULI ZURICH UNIVERSITY ZURICH NACHLASS PROF. W. PAUL WE ARE HAPPY TO INFORM YOU THAT WE HAVE DEFINITELY INVERSE BETA DECA NEUTRINOS FROM FISSION FRAGMENTS BY OF PROTONS OBSERVED CROSS SECTION AGREES WELL WITH EXPECTED SIX FOUR SQUARE CENTIMETERS TIMES TEN TO NINUS FORTY FREDERICK REINES AND CLYDE COWN No. 20 4828 1 128 1/14 BOX 1663 LOS ALAMOS NEW MEXICO Frederick REINES and dyde COWAN · Capture of n on Box 1663, LOS ALAHOS, New Merico Thanks for menage. Everyting comes to Measured cross-sect him who know how to vait.

Paul.



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#### Where do neutrinos come from?



#### Neutrino interactions





#### Neutrino interactions



- Charged current:
  - Charged leptons of the **same generation** as the neutrino are produced.
  - This allows for the identification of the neutrino flavour by identifying the charged lepton generation.

Neutral current:

• **Does not allow** for the identification of neutrino flavour.



# How many neutrinos?

- In the early 90s, the large electron-positron collider (**LEP**) at CERN made very precise measurements of the **Z-boson width**.
- The width is related to the particle lifetime by the uncertainty principle.
  - The **more decay final states**, the faster the decay, the **wider the resonance**.
  - **Each neutrino flavour** corresponds to **one** decay final state.
- Number of neutrinos:  $2.9840 \pm 0.0082$ .
  - Three fermion generations!
- Smallprint: only counts "light" neutrinos (m << m<sub>z</sub>) that couple to the Z boson.





#### Neutrino mass

- What is the mass of a neutrino?
  - We don't know... yet!
- However, we know neutrinos must be **six orders of magnitude** less massive than the electron.
- This huge difference suggests the origin of neutrino mass is qualitatively different from the other fermion masses.
- Can be seen as an indication of new physics **Beyond the Standard Model.**





#### How to measure neutrino mass?

- Let's go back to energy conservation in beta decay.
  - Before the decay, the total energy is the mass of the initial isotope.
    - E = m<sub>i</sub>
  - After the decay, the total energy is the mass of the initial isotope plus the sum of the electron and neutrino energies.

$$\blacksquare \quad E = m_f + E_e + E_f$$

 $^{3}H = T$ The maximum electron energy corresponds Ο e To the emission of a neutrino "at rest": 1.5 1e-11  $m_{\beta} = 0 eV$  $E_{a} < m_{i} - m_{f} - m_{g}$ <sup>3</sup>He  $m_{\beta} = 2 \text{ eV}$ 1.5 1/N dN/dE (eV - 1) 0.5 1.0 It is possible to infer the neutrino 0.5 mass from the beta decay electron 0.0 18572 18574 spectrum! ristoph Wiesinger EPS-HEP 2023 0.0 10000 15000 20000 5000 15 energy (eV)

#### The KATRIN experiment

![](_page_15_Figure_1.jpeg)

#### KATRIN's spectrometer arriving "home".

Mestalie

addr-fa

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**Stastralle** 

![](_page_17_Figure_0.jpeg)

#### Mass states and flavour states

$$\rangle = c_1 | \rangle + c_2 | \rangle + c_3 | \rangle$$

Each flavour state corresponds to a superposition of mass states.

![](_page_18_Figure_3.jpeg)

Each mass state corresponds to a superposition of flavour states.

#### Neutrino oscillations

![](_page_19_Figure_1.jpeg)

- The mixture of mass states **evolves** with the passage of **time**, as neutrinos traverse **space**.
- After travelling a distance *L*, neutrinos may be observed in a **different flavour**!
- The probability to observe a neutrino in a different flavour state depends on the ratio of *L* to the neutrino energy: *L/E*

#### **Discovery of neutrino oscillations**

- Super-Kamiokande experiment (1998, Japan)
  - Measurement neutrinos produced in the atmosphere.
  - "Downward-going" neutrinos:
    - Propagate through part of the atmosphere before reaching the detector: **short** *L*.
  - "Upward-going" neutrinos:
    - Propagate through the Earth: long L.
  - Only "upward-going" neutrinos change flavour!

![](_page_20_Figure_8.jpeg)

![](_page_20_Picture_9.jpeg)

![](_page_20_Figure_10.jpeg)

## Matter and antimatter

- Where has all the **antimatter** gone?!
- The ratio of matter particles to photons in the universe suggests a slight excess of matter over antimatter.
  - Everything we see around us is that "slight excess".
- To transform matter into antimatter:
  - Charge-parity conjugation (CP)
- There is CP-symmetry violation in the interactions of quarks But it is too small to explain the "slight excess".
- Neutrino oscillations are the last opportunity to find large CP violation in the Standard Model.

$$\circ \mathbf{P}\left(
u_{\mu} 
ightarrow 
u_{e}
ight) = \mathbf{P}\left(ar{
u}_{\mu} 
ightarrow ar{
u}_{e}
ight)?$$

• This is one of the main goals of the next generation Of neutrino experiments!

![](_page_21_Figure_10.jpeg)

Flip Tanedo, Symmetry Magazine

![](_page_22_Picture_0.jpeg)

![](_page_22_Figure_1.jpeg)

# DUNE's very large detectors

- Under construction 1.5 km underground in a South Dakota mine.
  - Very close to Ray Davis' experiment.
- Up to four large detectors, each with 17 kton of liquid argon.

![](_page_23_Figure_4.jpeg)

![](_page_24_Picture_0.jpeg)

![](_page_24_Picture_1.jpeg)

#### ProtoDUNE at CERN

Hire

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#### ProtoDUNE at CERN

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# How to tell a neutrino from an antineutrino?

- Experiment tells us:
  - "Left-handed" neutrinos produce particles.
  - "Right-handed" neutrinos produce antiparticles.
- Majorana's hypothesis:
  - Neutrinos and antineutrinos are the same particle in a different helicity state.

![](_page_27_Figure_6.jpeg)

# Neutrinoless double-beta decay

- Two simultaneous beta-decays in a single process.
  - Extremely rare.
- Half-life around 10<sup>21</sup> years!
  - Much longer than the age of the universe.
  - Experimentally observed in about 10 isotopes.
- Conservation of energy: the **sum** of the electrons' energies has a continuous spectrum, like beta-decay.
- What if neutrinos are their own antiparticles (Majorana)?

![](_page_28_Figure_8.jpeg)

![](_page_28_Picture_9.jpeg)

Maria Goeppert-Mayer

#### Neutrinoless double-beta decay

- Only if neutrinos are their own antiparticles, a similar process may exist: double-beta decay without neutrino emission.
- In this case, the **sum** of the electrons' energies is **always the same**.
- This is the only experimentally accessible process that can tell us if neutrinos are Dirac or Majorana particles!

![](_page_29_Figure_4.jpeg)

![](_page_29_Figure_5.jpeg)

#### The SNO+ experiment

- Reuses part of the SNO experiment's infrastructure.
- Contains a large acrilic sphere with 790 tons of liquid scintillator.
- Double-beta decay isotope <sup>130</sup>Te will be dissolved in the liquid.
- The goal is to find (or exclude) a **tiny excess** of decays with the summed electron energy at the expected value.

![](_page_30_Figure_5.jpeg)

![](_page_30_Figure_6.jpeg)

![](_page_30_Picture_7.jpeg)

# Summary

- Neutrinos interact only through the weak interaction.
  - Very small cross-sections.
    - Challenging experiments!
  - May be responsible for the observed asymmetry between matter and antimatter in the Universe.
- The discovery of neutrino oscillations implies:
  - Non-zero neutrino masses
  - A new source of **CP violation** in the Standard Model.
- Neutrinos may be their own antiparticles.
  - Search for Majorana neutrinos with neutrinoless double-beta decay experiments.

![](_page_31_Picture_10.jpeg)

![](_page_31_Picture_11.jpeg)

![](_page_31_Picture_12.jpeg)

![](_page_32_Picture_0.jpeg)

#### What does the cosmos say about neutrinos?

- Neutrinos are the second most abundant (Standard Model) particle in the universe.
  - $\circ \quad \text{Dark matter} \rightarrow \text{not in the Standard Model!}$
- The presence of neutrinos affects the evolution of the universe.
- We can infer neutrino properties by observing the cosmos.

![](_page_33_Figure_5.jpeg)

- Number of neutrinos: 2.99 ± 0.34
- Neutrino mass: < 0.5 eV

1e-29

 In agreement with laboratory experiments!

But how do we know neutrinos are **not massless**?!

#### The Standard Solar Model

• Precise prediction of the number of electron neutrinos produced in the Sun.

![](_page_34_Figure_2.jpeg)

# The solar neutrino puzzle

![](_page_35_Picture_1.jpeg)

- In the late 1960's, Ray Davis and John Bachall proposed an experiment to try to detect these solar neutrinos.
- The measured flux of **electron** neutrinos was about <sup>1</sup>/<sub>3</sub> of the Standard Solar Model expectation...

![](_page_35_Picture_4.jpeg)
# The solar puzzle solution

- Electron neutrinos produced in the sun **change to different flavours** before they reach the Earth!
- SNO experiment (2001, Canada):
  - Measure total neutrino flux in agreement with the Standard Solar Model – neutral current.
  - Measure the electron neutrino flux in agreement with
     Ray Davis corrente carregada.

 $\Rightarrow$  Neutrinos oscillate and therefore are massive!





### Neutrino oscillations





# Pontecorvo-Maki-Nakagawa-Sakata

- Three-flavour neutrino mixing is described by the PMNS matrix.
  - Includes complex phase that **violates CP symmetry** if different from zero or pi.



# Neutrino mass ordering

- To **first order**, neutrino oscillation experiments are not sensitive to the sign of the squared-mass differences...
  - Currently, we do not know if  $m_1 < m_3$  (**normal ordering**) or if  $m_3 < m_1$  (**inverted ordering**).
- However, at high energies, coherent interactions with matter have an effect on electron (anti)neutrinos, but not on the other flavours!







# Lepton vs quark mixing



 $\begin{array}{c} \mathsf{CKM} & - & & \\ 10^{-6} & 10^{-5} & 10^{-4} & 10^{-3} & 10^{-2} & 10^{-1} & 10^{0} \\ & & & & & \\ J^{CP} \end{array}$ 

- Much more mixing in lepton sector.
- **CP violation** can be up to three orders of magnitude larger!

# Liquid-argon time-projection chamber

Advanced detector technology to meet DUNE's high-precision requirements.





Hire

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#### ProtoDUNE no CERN

THE REAL PROPERTY.

### **DUNE near detectors**



- Liquid and gaseous argon TPCs.
- Moveable!
  - "PRISM" effect allows for precise measurements of neutrino interactions.







#### Neutrino oscillations with DUNE







### The see-saw mechanism

- Two **"active"** neutrino states (per generation) are sufficient to explain all known neutrino interactions.
- We can **speculate** the existence of two additional states.
  - In analogy to the four states necessary to describe the charged fermions.
  - These additional **"sterile"** states **do not interact** with the Standard Model.
  - However, the masses of the sterile and active neutrinos mix.
    - Large sterile neutrino masses would result in the very small active neutrino masses we observe.
    - Requires neutrinos to be Majorana particles!



# Putting it all together

- No observation of neutrinoless double-beta decay so far...
  - But we have upper limits on the decay rate.
- Connection between neutrinoless double-beta decay rates and neutrino mass depends on:
  - Neutrino **mixing** parameters.
  - Neutrino mass ordering.
- It may be that neutrinos are Majorana particles and neutrinoless double-beta decay rate is zero.
  - In this case we will never know the answer!
- If the neutrino mass ordering is **inverted**, we may know the answer *soon* (few of decades?).



# Neutrino mixing and oscillations

#### The Standard Solar Model



# Solar neutrino puzzle

• In the late 1960s Ray Davis and John Bahcall set up an experiment to try to detect these solar neutrinos.

 $u_{
m e} + ~^{37}{
m Cl} \longrightarrow ~^{37}{
m Ar} + {
m e}^-$ 

- They detected the neutrinos, but not as many as they expected.
- This was soon confirmed by a number of other experiments.
  - Kamiokande, SAGE, GALLEX.



#### Problem with the Standard Solar Model?



# Neutrino mixing

- Neutrinos:
  - **Evolve** in (space-)time, obeying the Schrödinger equation (and relativistic counterparts).
  - Interact weakly with the other Standard Model fermions.
- The basis for describing the time-evolution of neutrinos and their weak interactions need not be the same.
  - Mass vs Weak interaction eigenstates.
  - Consequence: neutrino oscillations!



Credit: SLAC neutrino group; "Celebrating the neutrino", Los Alamos Science, 1997.

#### Neutrino oscillations



# The solar neutrino solution

- Two experiments provided confirmation of Ray Davis' observations: **Super-Kamiokande** and **SNO**.
- SNO measured total neutrino flux and electron neutrino flux independently.
- Confirmation that **total neutrino flux** from Standard Solar Model is **correct**.
- Electron neutrinos really are disappearing!











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TON VICE

# The DUNE far detectors

- 1.5 km underground in South Dakota.
- Four LArTPC modules with **17 kton** of liquid argon each.



**Research Facility** 

### Neutrino mass and double-beta decay

# Neutrino mass

- There are **six orders of magnitude** between the **electron** mass and the **upper limit** on **neutrino** mass.
- The Higgs mechanism explains **how** particles get mass but it **doesn't predict their masses**.
- The **very large difference** between the masses of neutrinos and that of other particles hints at a **different mechanism** for neutrino mass.
- The mass of the charged fermions is described by **Dirac mass** terms.
- Because they are neutral, neutrinos may be described by **Majorana mass** terms.



# Dirac or Majorana?

- To turn a particle into an antiparticle:
  - Flip its charge (C)
  - Flip its direction (P), resulting in opposite helicity
    - Helicity: "alignment" between momentum and spin.
- But what does it mean to "flip the charge" of a neutrino?!
- Neutrinos can have a more economic representation
  than the other fermions.
   Majorana
  - Instead of four Dirac states, we only need two states
     Majorana states.
  - The only difference between Majorana neutrinos and antineutrinos is the direction of their spin!
  - $\rightarrow$  Neutrinos may be their own antiparticles!



Credit: "Celebrating the neutrino", Los Alamos Science, 1997.

# Double-beta decay

- Second order weak interaction process.
  - Extremely rare.
- Typical half-life is around 10<sup>21</sup> years!
  - Much, much longer than the age of the Universe!
  - Process observed for 12 nuclei.
- Sum of the electrons' energies has similar distribution to beta-decay spectrum.





Maria Goeppert-Mayer

# Neutrinoless double-beta decay

- Only if neutrinos are their own antiparticles:
  - Neutrinoless double-beta decay!
- No neutrinos in the final state.
  - $\circ$  Electron energies add up to  $Q_{\beta\beta}$
- This is the only experimental method to search for Majorana neutrinos.
- Decay rate depends on neutrino mass.
  - Bonus: neutrino mass measurement.





### SNO+

- Large acrylic sphere filled with **790 tons** of **liquid scintillator**.
  - Scintillator emits light when traversed by charged particles.
  - About 9300 photomultiplier tubes detect the scintillation photons.
- 2 km underground in SNOLAB, Canada.
- **Double-beta decay isotope** <sup>130</sup>Te will be diluted in the scintillator.
  - Extremely challenging process.





LIP is a founding member of the experiment.





### SNO+

- Main physics program is the **search for neutrinoless double-beta decay**.
  - $\circ$  In 5 years, will be able to exclude decay half-lives shorter than  $2\,x\,10^{26}\,years$ .
  - Corresponds to neutrino mass of **37 to 89 meV.**
- Will also measure:
  - Solar neutrinos
    - Oscillations, solar metallicity
  - Geoneutrinos.
  - Reactor antineutrinos.





### SNO+ News

# Antineutrinos from nuclear reactors detected by water

LIP-ECO/SNO+ | 01 Março, 2023

"The SNO+ collaboration has captured the signal of antineutrinos from nuclear reactors using a water-filled neutrino detector, a first for such a device. The result was selected as Editor's Suggestion in PRL. LIP researcher Sofia Andringa co-coordinates the SNO+ group that performed the analysis."

- Data collected with detector filled with pure water.
  - Before scintillator loading.
- First detection of reactor antineutrinos with **pure water** experiment!

Data analysis led by LIP.



#### Neutrinos at the LHC
## SND@LHC experiment



#### Observation of collider neutrinos at the LHC



# First observation of collider neutrinos by SND@LHC and FASER

LIP-ECO/CERN/N.Leonardo | 23 Março, 2023

"The new LHC experiments SND@LHC and FASER reported the firstever observation of neutrinos produced in a particle collider. The results were announced at the Rencontres de Moriond taking place this week in La Thuile, Valle d'Aosta, Italy. "



Data analysis led by LIP.



#### The muon neutrino (1962)



A muon produced in a neutrino reaction gives rise to discharges observed in the spark chamber.



Based on a drawing in Scientific American, March 1963.





## The tau neutrino (2000)

- Discovered by the DONUT experiment at Fermilab's Tevatron.
  - Proton collider with 800 GeV per beam.
- Identify the tau by its short lifetime.
  - Short track with a "kink" (secondary vertex).
- Need micrometric precision:
  - Nuclear emulsion detector.



• Observed 9  $v_r$  events!

#### **Detecting a Tau Neutrino**



#### Neutrino mixing

- Neutrinos:
  - **Evolve** in (space-)time, obeying the Schrödinger equation (and relativistic counterparts).
  - Interact weakly with the other Standard Model fermions.
- The basis for describing the time-evolution of neutrinos and their weak interactions need not be the same.
  - In fact, they are not the same for quarks, which explained CP violation observations and predicted a 3<sup>rd</sup> generation of particles. Nobel prize for Kobayashi and Maskawa of C**KM**.
- Let's start with two neutrinos:

$$\begin{pmatrix} \nu_{\alpha} \\ \nu_{\beta} \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_{1} \\ \nu_{2} \end{pmatrix} \begin{pmatrix} \nu_{1} \\ \nu_{2} \end{pmatrix} = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_{\alpha} \\ \nu_{\beta} \end{pmatrix}$$
$$\begin{pmatrix} \left| \nu_{1}(x,t) > \\ \nu_{2}(x,t) > \right\rangle = \begin{pmatrix} e^{-i\phi_{1}} & 0 \\ 0 & e^{-i\phi_{2}} \end{pmatrix} \begin{pmatrix} \left| \nu_{1}(0,0) > \\ \left| \nu_{2}(0,0) > \right\rangle \\ = \begin{pmatrix} e^{-i\phi_{1}} & 0 \\ 0 & e^{-i\phi_{2}} \end{pmatrix} \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \left| \nu_{\alpha}(0,0) > \\ \left| \nu_{\beta}(0,0) > \right\rangle \end{pmatrix}$$
$$P(\nu_{\alpha} \to \nu_{\beta}) = \sin^{2}(2\theta)\sin^{2}(\frac{\phi_{2} - \phi_{1}}{2})$$

#### Neutrino oscillations

$$P(\nu_{\alpha} \to \nu_{\beta}) = \sin^2(2\theta)\sin^2(\frac{\phi_2 - \phi_1}{2})$$

• The time evolution is determined by the energy of the state. If we assume\* the two superimposed mass states are produced with the same energy, we get:

$$\phi_2 - \phi_1 = \left(\frac{m_1^2}{2E_1} - \frac{m_2^2}{2E_2}\right)L = \frac{\Delta m^2 L}{2E}$$

$$P(\nu_e \rightarrow \nu_\mu) = sin^2(2\theta)sin^2(1.27\Delta m^2 \frac{L}{E_\nu})$$
Oscillation amplitude Oscillation frequency

\* Assumption not necessary if more detailed wavepacket formulation is used.

#### Neutrino oscillations



#### Consequences of neutrino oscillations

#### • Neutrinos have mass!

- This was not foreseen in the original formulation of the Standard Model.
- We observe two mass-squared splittings, so there are at least two non-zero neutrino mass states.
- Neutrino mass provides an opportunity to discover new physics Beyond the Standard Model.
- Neutrino flavours **mix**!
  - The **lepton flavour is not conserved**, as assumed in the original Standard Model.
  - Neutrino mixing is much larger than in the quark sector.
  - Neutrino mixing provides an opportunity for large "CP violation".

#### • Open questions:

- How large is the CP violation in neutrino oscillations?
  - Could this explain the observed asymmetry between matter and antimatter in the Universe?
    - "Leptogenesis".
- Does the neutrino mixing pattern hint at potential symmetries underlying the three generations?
- What is the order of the neutrino masses?
- What is the nature of neutrino mass?







- Neutrino interactions with nuclei up to a **few hundred MeV** are "quasi-elastic".
  - Nucleon does not "break up"



- Above around 1 GeV the energy transferred by the neutrino to the nucleon is enough to "excite" it into the delta-resonance ( $m_A = 1323 \text{ MeV/c}^2$ ).
  - The delta baryon decays instantly to a pion and a nucleon.



- Above a few tens of GeV, neutrinos "see" the quark structure of the nucleon.
- Energy transferred to the nucleon breaks it up into a collection of hadrons.
- This process is called Deep Inelastic Scattering.



- At very low momentum transfers, neutrinos can interact coherently with the nucleus.
- No nucleon ejection.



- All these interaction modes can also occur in **neutral current** interactions.
  - Neutrino, instead of charged lepton in the final state.



neutral

- Finally, neutrinos can interact **elastically with electrons**.
- Both charged and neutral currents contribute to the process!







- Neutrino detection experiments often rely on neutrino interactions with nuclei.
- In practice, these interactions are very difficult to model.
  - Large theoretical effort to produce reliable neutrino interaction models.
  - Large experimental effort to measure neutrino-nucleus cross sections.



#### **Atmospheric neutrinos**

- Large flux of neutrinos **produced** in the atmosphere.
- Naïvely expect 2:1 ratio of muon to electron neutrinos.
- Super-Kamiokande:
  - Water Cherenkov experiment (excellent directionality) to compare flux of neutrinos which have travelled a different distances through the earth.
  - Following a hint from previous generation experiment designed to search for proton decay.
    - Atmospheric neutrinos are a background to proton decay searches.







#### Neutrino oscillation discovery

- Super-Kamiokande announced its discovery of neutrino oscillations in 1998.
- Muon to electron neutrino ratio changes as a function of distance traveled by the neutrino.
  - Inferred from the angles of the neutrino interaction final-state particles.
- At oscillation maximum, most muon neutrinos oscillate into tau neutrinos.





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#### A deeper look at beta-decay

• Mass of nuclei described by the semi-empirical mass formula

$$M(A, Z) = Z m_p + (A - Z)m_n - E_B$$

$$E_B = a_V A - a_s A^{\frac{2}{3}} - a_c \frac{Z^2}{A^{\frac{1}{3}}} - a_A \frac{(A - 2Z)^2}{A} - \delta(A, Z), \quad with \quad \delta(A, Z) = \begin{cases} -a_p / A^{\frac{1}{2}} & even \ Z & and \ A \\ 0 & odd \ A \\ + a_p A^{\frac{1}{2}} & odd \ Z & even \ A \end{cases}$$



#### Allowed and forbidden decays

- Beta-decays change Z by one unit.
  - Allowed if  $M(A, Z \pm 1) < M(A, Z)$ 
    - a, b, d, f, h and i



## Allowed and forbidden decays

- Beta-decays change Z by one unit.
  - Allowed if  $M(A, Z \pm 1) < M(A, Z)$ 
    - a, b, d, f, h and i
- A very special situation occurs when:
  - $\circ \qquad \mathsf{M}(\mathsf{A},\mathsf{Z}{\pm}1) > \mathsf{M}(\mathsf{A},\mathsf{Z})$
  - $\circ$  AND M(A, Z±2) > M(A,Z)
  - Beta-decay is forbidden.
  - But double-beta decay is allowed!

■ c, g



#### How to produce a neutrino beam

- 1. Accelerate protons and aim them at a target.
- 2. Focus the resulting pions using magnetic "horns".
  - Toroidal field can be reversed to focus positive of negative pions for neutrino or antineutrino beam.
- 3. Allow pions to decay to muon neutrinos and muons in empty (or He filled) volume.
- 4. Leave enough material to absorb muons upstream of the neutrino detectors.



#### Neutrino beamline







#### Current long baseline experiments









#### T2K and NOvA Far detectors' data

- Muons neutrinos disappear!
  - They oscillate to tau neutrinos.
- Mixing angle 23 is close to maximal.
- No difference between neutrino and antineutrino disappearance.



v-beam

+ FD Data

Bkg.

Best-fit Pred. 1-σ syst.

range

#### T2K and NOvA Far detectors' data



0.2



- Electron neutrinos appear!
- To early to tell if neutrinos and antineutrinos appear with the same

0.3

0.2

0.1

But we can still draw interesting conclusions from the comparison with the reactor experiments...



v-beam

Beam

bkg.

30

Events

Lepton momentum [MeV/c]

30

00

200 400 600 800 1000 1200

#### Where do we stand?

- Independently, both experiments prefer the **normal mass ordering**, but quite **different** values of the **CP-violating phase**.
- When taken together, the preference flips to the **inverted mass ordering** and **consistent CP-violating phase**.
- We're going to need a bigger experiment...
  - $\rightarrow$  DUNE, scheduled to start at the end of the decade.



#### Let's go back to beta-decay

• Beta-decay provides an opportunity to try to measure neutrino masses.



• Beta-decays can only occur when the total mass of the decay products is smaller than the mass of the initial nucleus.

• 
$$E_{max} = Q = M(A,Z) - M(A,Z \pm 1) - m_{e} - m_{v}$$

#### Direct m, measurements



#### The KATRIN experiment



#### The KATRIN experiment





#### Mass states and flavour states



Each flavour state corresponds to a superposition of mass states.

$$\Box \implies = c'_{1} | + c'_{2} | c'_{3} |$$

Each mass state corresponds to a superposition of flavour states.
## Neutrino oscillations



- The mixture of mass states **evolves** with the passage of **time**, as neutrinos traverse **space**.
- After travelling a distance *L*, neutrinos may be observed in a **different flavour**!
- The probability to observe a neutrino in a different flavour state depends on the ratio of *L* to the neutrino energy: *L/E*