

# Thermalization and Bose-Einstein condensation in ultracold atoms

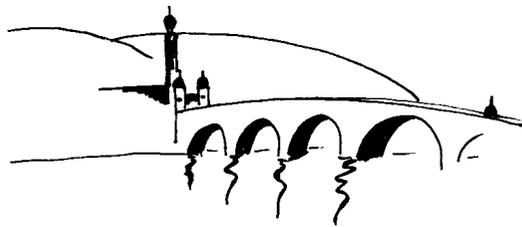
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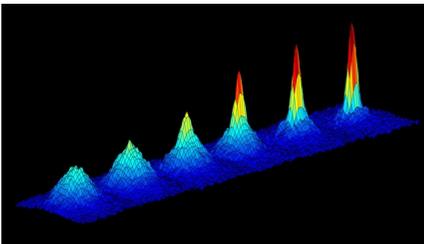
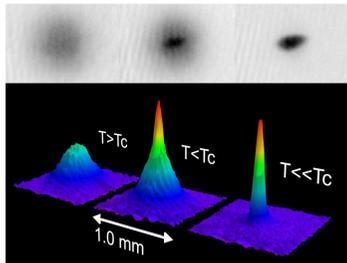
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# Topics

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# 1. Introduction: Cold quantum gases and BEC formation



Time evolution of a  $^{87}\text{Rb}$  condensate

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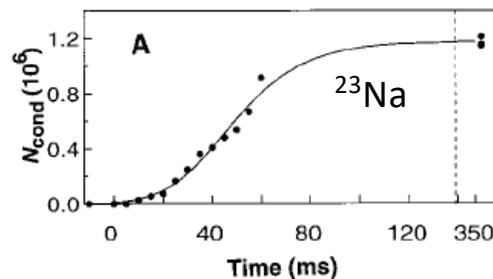
- The **thermal cloud** from which the Bose-Einstein condensate emerges equilibrates subsequent to evaporative cooling
- The time-dependent approach to the equilibrium value of the condensate fraction can be measured, and will be accounted for in a nonequilibrium-statistical model
- The equilibrium condensate fraction depends on the initial temperature  $T_i$ , the final temperature  $T_f$ , and the initial chemical potential  $\mu_i$

The **critical temperature** is

$$T_c = \frac{2\pi}{m} \left( \frac{n_c}{\zeta(\frac{3}{2})} \right)^{2/3}$$

$n_c$  = critical number density

- 1924 Bose, Einstein
- 1995 BEC:  $^{87}\text{Rb}$  (NIST Boulder),  $^{23}\text{Na}$  (MIT)
- 1998 Time dependence of BEC formation (MIT)



H.-J. Miesner et al., Science A 499, 1005 (1998)

## 2. An analytical model for thermalization

The N-body density operator obeys the many-body equation

$$i \frac{\partial \hat{\rho}_N(t)}{\partial t} = [\hat{H}_{\text{HF}}(t), \hat{\rho}_N(t)] + i\hat{K}_N(t)$$

with the Hartree-Fock mean-field part  $H_{\text{HF}}(t)$ , and the collision term  $K_N(t)$ , which **causes the system to thermalize** due to two-body collisions. For cold atoms, the trap provides an external potential.

Reducing to the one-body level, the diagonal elements of the ensemble-averaged one-body density operator become

$$(\bar{\rho}_1(t))_{\alpha,\alpha} = n(\epsilon_\alpha, t) \equiv n_\alpha(\epsilon, t)$$

with the single-particle occupation numbers  $n_\alpha^+$  for bosons,  $n_\alpha^-$  for fermions

The collision term can be written in form of a quantum Boltzmann equation

## 2.1 Derivation of the nonlinear diffusion equation

Quantum Boltzmann collision term for bosons/ fermions, ergodic approximation

$$\frac{\partial n_1^\pm}{\partial t} = \sum_{\epsilon_2, \epsilon_3, \epsilon_4}^{\infty} \langle V_{1234}^2 \rangle G(\epsilon_1 + \epsilon_2, \epsilon_3 + \epsilon_4) \times$$

$$[(1 \pm n_1)(1 \pm n_2) n_3 n_4 - (1 \pm n_3)(1 \pm n_4) n_1 n_2]$$

Here: elastic collision kernel

$\langle V_{1234}^2 \rangle$  second moment of the interaction

$G(\epsilon_1 + \epsilon_2, \epsilon_3 + \epsilon_4)$  energy-conserving function

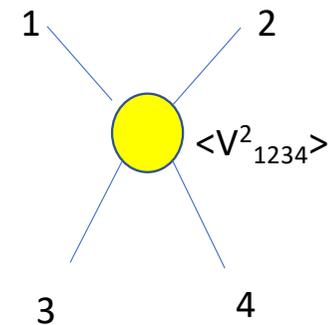
$\rightarrow \pi \delta(\epsilon_1 + \epsilon_2 - \epsilon_3 - \epsilon_4)$  in infinite systems

$n_j^\pm \equiv n^\pm(\epsilon_j, t)$  occupation number:  $n^+$  bosons,  $n^-$  fermions

The Bose-Einstein/ Fermi-Dirac distributions are stationary solutions

$$n_{\text{eq}}^\pm(\epsilon) = \frac{1}{e^{(\epsilon - \mu^\pm)/T} \mp 1}$$

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Write the collision term in form of a Master equation (ME) with gain- and loss term

$$\frac{\partial n_1^\pm}{\partial t} = (1 \pm n_1) \sum_{\epsilon_4} W_{4 \rightarrow 1}^\pm n_4 - n_1 \sum_{\epsilon_4} W_{1 \rightarrow 4}^\pm (1 \pm n_4)$$

with the transition probability ( $W_{1 \rightarrow 4}$  accordingly)

$$W_{4 \rightarrow 1}^\pm(\epsilon_1, \epsilon_4, t) = \sum_{\epsilon_2, \epsilon_3} \langle V_{1234}^2 \rangle G(\epsilon_1 + \epsilon_2, \epsilon_3 + \epsilon_4) (1 \pm n_2) n_3$$

Introduce the density of states  $g_j = g(\epsilon_j)$ ; omit  $\pm$

$$W_{4 \rightarrow 1} = W_{41} g_1, W_{1 \rightarrow 4} = W_{14} g_4$$

$$W_{14} = W_{41} = W \left[ \frac{1}{2}(\epsilon_4 + \epsilon_1), |\epsilon_4 - \epsilon_1| \right]$$

$W$  is peaked at  $\epsilon_1 = \epsilon_4$ . Obtain an approximation to the ME through a Taylor expansion of  $n_4$  and  $g_4 n_4$  around  $\epsilon_1 = \epsilon_4$  to second order.

Introduce transport coefficients via moments of the transition probability ( $x=\epsilon_4-\epsilon_1$ )

$$D^\pm(\epsilon_1, t) = \frac{1}{2} g_1 \int_0^\infty W^\pm(\epsilon_1, x) x^2 dx; \quad v^\pm(\epsilon_1, t) = g_1^{-1} \frac{d}{d\epsilon_1} (g_1 D^\pm)$$

and arrive at the nonlinear partial differential equation for the distribution of the occupation numbers  $n^\pm \equiv n^\pm(\epsilon, t) \equiv n^\pm(\epsilon_1, t) \equiv n$

$$\frac{\partial n^\pm}{\partial t} = -\frac{\partial}{\partial \epsilon} \left[ v n (1 \pm n) + n \frac{\partial D}{\partial \epsilon} \right] + \frac{\partial^2}{\partial \epsilon^2} [D n].$$

Nonlinear diffusion equation

Dissipative effects are expressed through the drift term  $v(\epsilon, t)$ , diffusive effects through the diffusion term  $D(\epsilon, t)$ .

In the limit of constant transport coefficients, the nonlinear diffusion equation for the occupation-number distribution of **bosons**/ **fermions** becomes

$$\frac{\partial n^\pm}{\partial t} = -v \frac{\partial}{\partial \epsilon} [n (1 \pm n)] + D \frac{\partial^2 n}{\partial \epsilon^2}$$

The Bose-Einstein/Fermi-Dirac distributions  $n_{\text{eq}}^{\pm}(\varepsilon)$  are stationary solutions of this equation for constant  $D$ ,  $v$  with the equilibrium temperature (fluctuation-dissipation relation)

$$T = -D/v \text{ with } v < 0$$

Thermalization of cold atoms: Through elastic collisions, the nonlinear evolution pushes a certain fraction of particles from the thermal cloud into the Bose-Einstein condensate. The equilibration time depends on both transport coefficients,  $\tau_{\text{eq}}(D,v) \propto D/v^2$

The nonlinear boson diffusion equation (NBDE) properly accounts for the thermalization of bosonic atoms provided the boundary condition  $n(\varepsilon = \mu < 0) \rightarrow \infty$  at the singularity is introduced.

## 2.2 Exact solution of the nonlinear diffusion equation

For constant transport coefficients, the solution of the nonlinear diffusion equation for bosons/fermions can be written as the logarithmic derivative

$$n(\epsilon, t) = \pm T \partial_{\epsilon} \ln \mathcal{Z}(\epsilon, t) \mp \frac{1}{2} = \pm \frac{T}{\mathcal{Z}} \partial_{\epsilon} \mathcal{Z} \mp \frac{1}{2}$$

of the time-dependent partition function  $\mathcal{Z}(\epsilon, t)$

$$\mathcal{Z}(\epsilon, t) = \int_{-\infty}^{+\infty} G(\epsilon, x, t) F(x) dx,$$

which is an integral over Green's function  $G(\epsilon, x, t)$  of the linear diffusion equation

$$\left[ \frac{\partial}{\partial t} - D \frac{\partial^2}{\partial \epsilon^2} \right] G(\epsilon, x, t) = \delta(\epsilon - x) \delta(t)$$

and an exponential function that contains the initial conditions; for bosons

$$F(x) = \exp \left[ -\frac{1}{2D} (vx + 2v A_i(x)) \right].$$

Here,  $A_i(x) = \int n_i(y) dy$  is the indefinite integral over the initial distribution  $n_i$ .  
(The integration constant drops out when taking the logarithmic derivative of the partition function.)

For a solution without boundary conditions, Green's function  $G_{\text{free}}(\epsilon, x; t)$  is a single Gaussian

$$G_{\text{free}}(\epsilon, x, t) = \frac{1}{\sqrt{4\pi Dt}} \exp \left[ -\frac{(\epsilon - x)^2}{4Dt} \right]$$

Now, include **boundary conditions** for bosons at the singularity  $\epsilon = \mu$ , with  $\mu_i < 0$  for elastic collisions as determined from particle-number conservation ( $\mu = 0$  for inelastic collisions). This requires a new Green's function that equals zero at  $\epsilon = \mu$

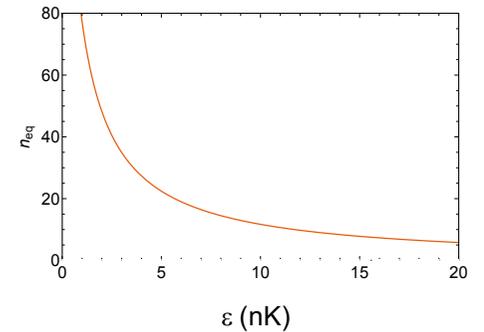
$$G_b(\epsilon, x, t) = G_{\text{free}}(\epsilon - \mu, x, t) - G_{\text{free}}(\epsilon - \mu, -x, t),$$

and the time-dependent partition function becomes

$$\mathcal{Z}_b(\epsilon, t) = \int_0^{+\infty} G_b(\epsilon, x, t) F(x + \mu) dx$$

Then we have  $\mathcal{Z}_b(\mu, t) = 0$  and  $\lim_{\epsilon \downarrow \mu} n(\epsilon, t) = \infty \forall t$  as needed. Moreover, the energy range is restricted to  $\epsilon \geq \mu$ .

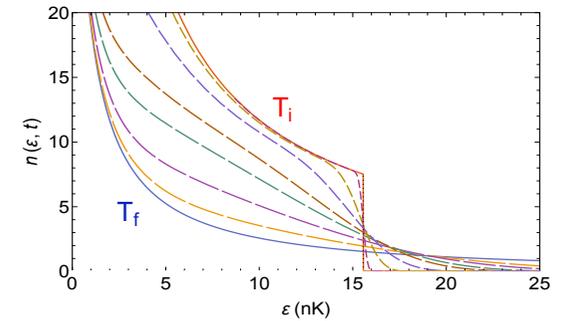
To conserve particle number during the time evolution for **elastic scatterings**, a time-varying chemical potential  $\mu(t)$  is introduced. Once  $\mu(t)$  reaches zero in the overoccupied case, **condensate formation** starts. (Particle number is not conserved in the **inelastic case** with  $\mu = 0$ .)



$T_i = 130$  nK,  
 $\mu_i = -0.67$  nK

GW, EPL 129, 40006 (2020)

## Exact solution of the NBDE for a quenched initial distribution



Time-dependent partition function

$$\mathcal{Z} = \sqrt{4Dt} \exp\left(-\frac{\mu}{2T_f}\right) \sum_{k=0}^{\infty} \binom{T_i}{k} (-1)^k \left( e^{\alpha_k^2 Dt} \left[ e^{\alpha_k(\varepsilon-\mu)} \Lambda_1^k(\varepsilon, t) - e^{\alpha_k(\mu-\varepsilon)} \Lambda_2^k(\varepsilon, t) \right] + \exp\left(\frac{(\mu-\varepsilon)k}{T_i}\right) \exp\left(\frac{Dt}{4T_f^2}\right) \left[ \exp\left(\frac{\varepsilon-\mu}{2T_f}\right) \Lambda_3(\varepsilon, t) - \exp\left(\frac{\mu-\varepsilon}{2T_f}\right) \Lambda_4(\varepsilon, t) \right] \right)$$

$$\alpha_k \equiv \frac{1}{2T_f} - \frac{k}{T_i}$$

$$\Lambda_1^k(\varepsilon, t) \equiv \operatorname{erf}\left(\frac{\varepsilon - \mu + 2Dt\alpha_k}{\sqrt{4Dt}}\right) - \operatorname{erf}\left(\frac{\varepsilon - \varepsilon_i + 2Dt\alpha_k}{\sqrt{4Dt}}\right)$$

$$\Lambda_3(\varepsilon, t) \equiv \operatorname{erfc}\left(\frac{\varepsilon_i - \varepsilon + w}{\sqrt{4Dt}}\right)$$

$$\Lambda_2^k(\varepsilon, t) \equiv \operatorname{erf}\left(\frac{\mu - \varepsilon + 2Dt\alpha_k}{\sqrt{4Dt}}\right) - \operatorname{erf}\left(\frac{2\mu - \varepsilon - \varepsilon_i + 2Dt\alpha_k}{\sqrt{4Dt}}\right)$$

$$\Lambda_4(\varepsilon, t) \equiv \operatorname{erfc}\left(\frac{\varepsilon - 2\mu + \varepsilon_i + w}{\sqrt{4Dt}}\right)$$

Energy-derivative of the partition function

$$\frac{\partial}{\partial \varepsilon} \mathcal{Z} = \sqrt{4Dt} \exp\left(-\frac{\mu}{2T_f}\right) \sum_{k=0}^{\infty} \binom{T_i}{k} (-1)^k \left( \alpha_k e^{\alpha_k^2 Dt} \left[ e^{\alpha_k(\varepsilon-\mu)} \Lambda_1^k(\varepsilon, t) + e^{\alpha_k(\mu-\varepsilon)} \Lambda_2^k(\varepsilon, t) \right] + \exp\left(\frac{(\mu-\varepsilon)k}{T_i} + \frac{Dt}{4T_f^2}\right) \frac{1}{2T_f} \left[ \exp\left(\frac{\varepsilon-\mu}{2T_f}\right) \Lambda_3(\varepsilon, t) + \exp\left(\frac{\mu-\varepsilon}{2T_f}\right) \Lambda_4(\varepsilon, t) \right] \right)$$

Single-particle distribution function for bosonic atoms

$$n(\varepsilon, t) = T_f (\partial \mathcal{Z}(\varepsilon, t) / \partial \varepsilon) / \mathcal{Z}(\varepsilon, t) - 1/2$$

$$\mathcal{Z}(\varepsilon, t) = \sqrt{4Dt} \exp\left(-\frac{\mu}{2T_f}\right) \sum_{k=0}^{\infty} \binom{T_i}{k} (-1)^k \times f_k^{T_i, T_f}(\varepsilon, t)$$

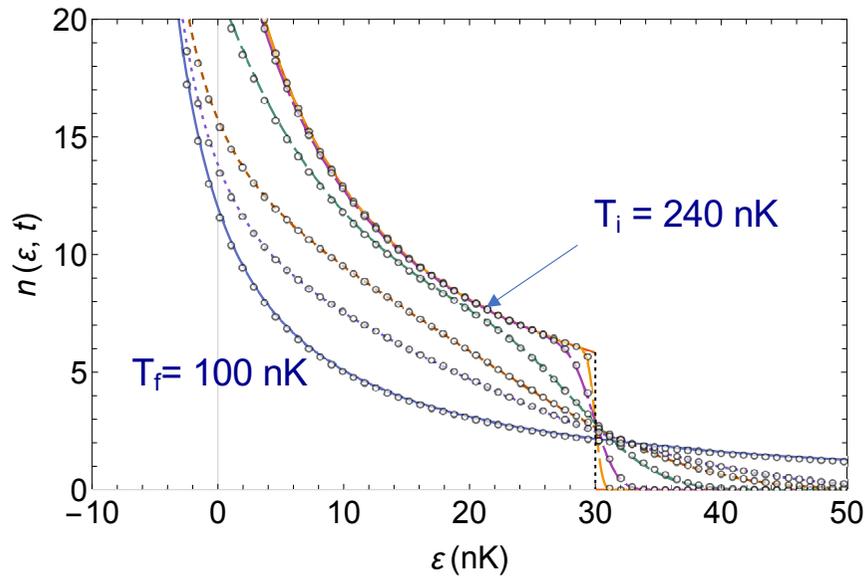
The series terminates for integer  $T_i/T_f$

### 3. Application to ultracold atoms and BEC formation

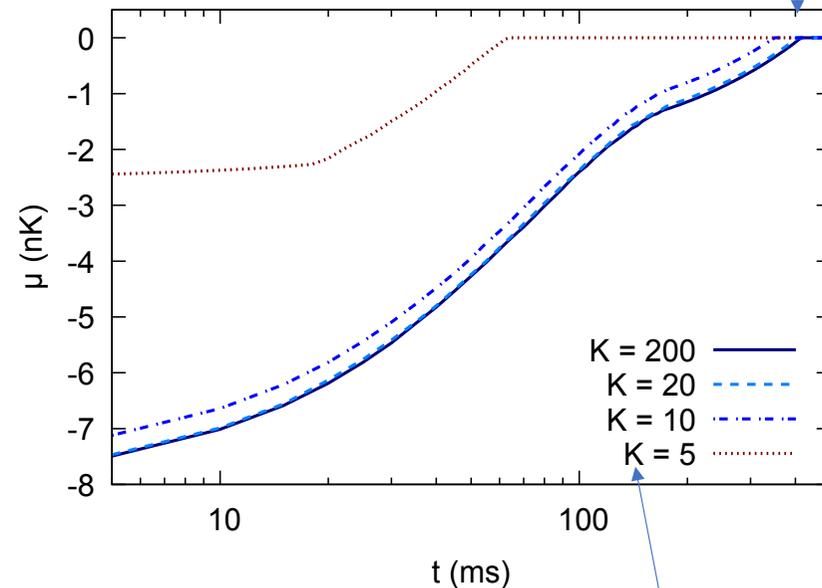
#### 3.1 Thermalization via elastic scattering

The nonlinear diffusion model is applied to the **thermalization of bosonic ultracold atoms, and Bose-Einstein condensate formation** subsequent to evaporative cooling

Example: Evaporative cooling of atoms produces a highly nonequilibrium state at an initial temperature of  $T_i = 240$  nK and  $\mu_i = -8$  nK, which thermalizes to attain a lower temperature  $T_f = 100$  nK according to the NBDE time evolution. The parameter  $\mu(t)$  approaches zero at the initiation time  $\tau_{ini}$ , when condensate formation starts



--- analytical solutions of the NBDE  
 o numerical results using Matlab



Max. expansion coeff.

## 3.2 Time-dependent condensate formation in Na-23

The nonlinear diffusion model accounts for the **time-dependent Bose-Einstein condensate formation** when particle-number conservation is considered in the NBDE

Example: Evaporative cooling of Na-23 atoms, producing a nonequilibrium state at an initial temperature of  $T_i = 876$  nK as in the historical MIT experiment Science 279, 1005 (1998). Time-dependent condensate formation with  $T_f = 750$  nK is compared with our model calculations:

A. Simon and G. Wolschin

Physica A 573 (2021) 125930

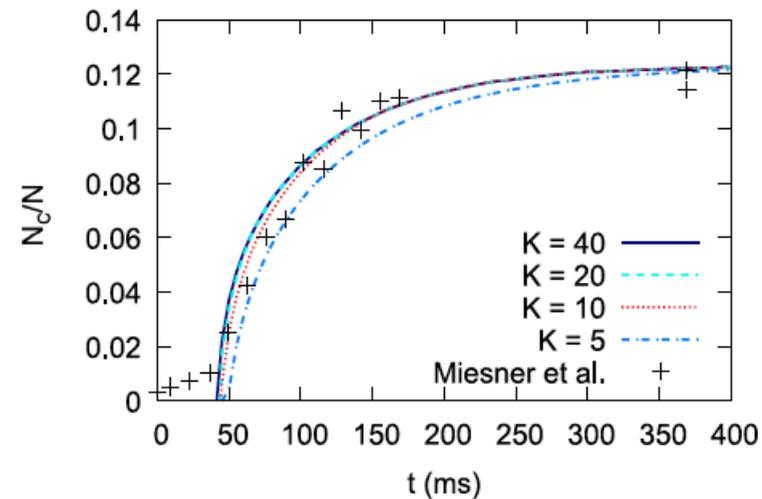


Fig. 7. Condensate fraction  $N_c(t)/N$  in an equilibrating Bose gas of  $^{23}\text{Na}$  subsequent to fast evaporative cooling in a single step from  $T_i = 876$  nK to  $T_f = 750$  nK as calculated from the analytical solution of the NBDE Eq. (13) with  $k_{\text{max}} \equiv K = 5, 10, 20, 40$  in the series expansion of the exact solution, cutoff energy  $\epsilon_i = 2190$  nK,  $\mu_i = -8$  nK, and the density of states for a free Bose gas. The transport coefficients are  $D = 3750$  (nK) $^2$  ms $^{-1}$ ,  $v = -5$  nKms $^{-1}$ . The MIT data for the condensate fraction (crosses, no error bars) are from Ref. [8].

# Time-dependent condensate formation in Rb-87

## Growth of Bose-Einstein Condensates from Thermal Vapor

M. Köhl,<sup>1,2,3,\*</sup> M. J. Davis,<sup>4,5</sup> C. W. Gardiner,<sup>6</sup> T. W. Hänsch,<sup>1,2</sup> and T. Esslinger<sup>1,2,3</sup>

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(Received 29 June 2001; revised manuscript received 10 December 2001; published 8 February 2002)

We report on a quantitative study of the growth process of <sup>87</sup>Rb Bose-Einstein condensates. By continuous evaporative cooling we directly control the thermal cloud from which the condensate grows. We compare the experimental data with the results of a theoretical model based on quantum kinetic theory. We find quantitative agreement with theory for the situation of strong cooling, whereas in the weak cooling regime a distinctly different behavior is found in the experiment.

Continuous evaporative cooling resulting in BEC formation: Excellent time resolution.

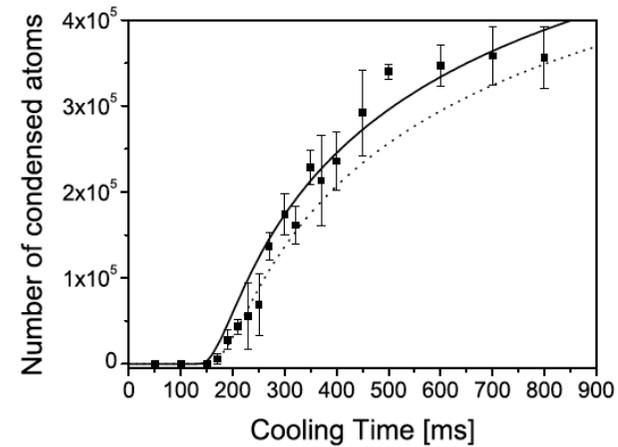
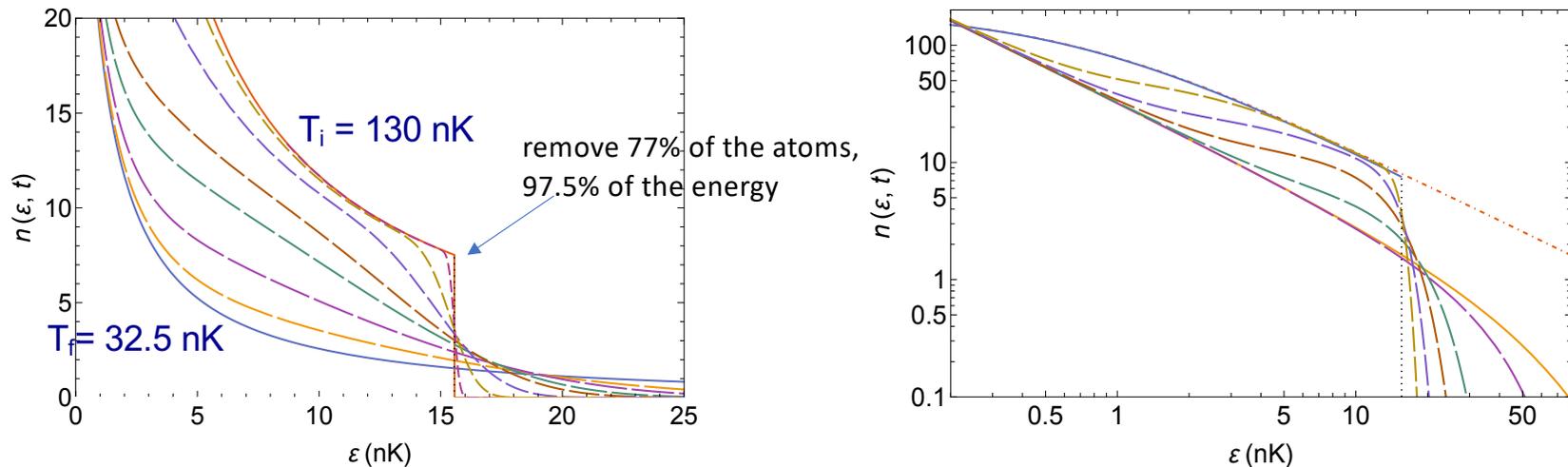


FIG. 1. Growth curve of a Bose-Einstein condensate for an evaporation parameter  $\eta = 1.4$ . The lines are the results of the numerical simulation of the growth for the starting conditions  $N_i = 4.4 \times 10^6$  and  $T_i = 610$  nK (solid) and  $N_i = 4.2 \times 10^6$  and  $T_i = 610$  nK (dotted). Every data point is averaged over three identical repetitions of the experiment with statistical errors shown by the bars.

### 3.3 Thermalization and condensate formation in K-39: Deep quench

The nonlinear diffusion model is best suited to account for the **thermalization of bosonic ultracold atoms, and Bose-Einstein condensate formation in case of a deep quench** (instead of gradual evaporative cooling)

Example: Deep quench in K-39 atoms, producing a highly nonequilibrium state at an initial temperature of  $T_i = 130$  nK as in the Cambridge experiment *Nature Phys.* 17, 457 (2021). Time-dependent condensate formation is measured for various scattering lengths, and compared to our model calculations:

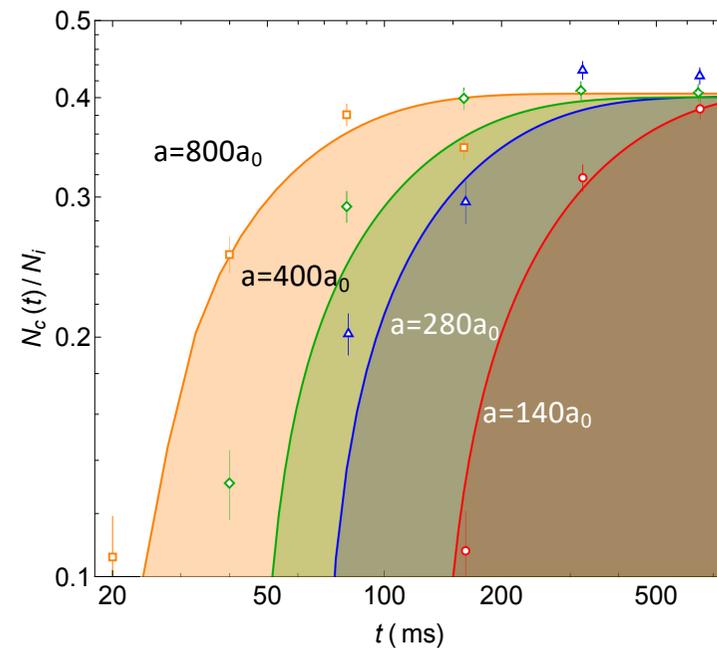
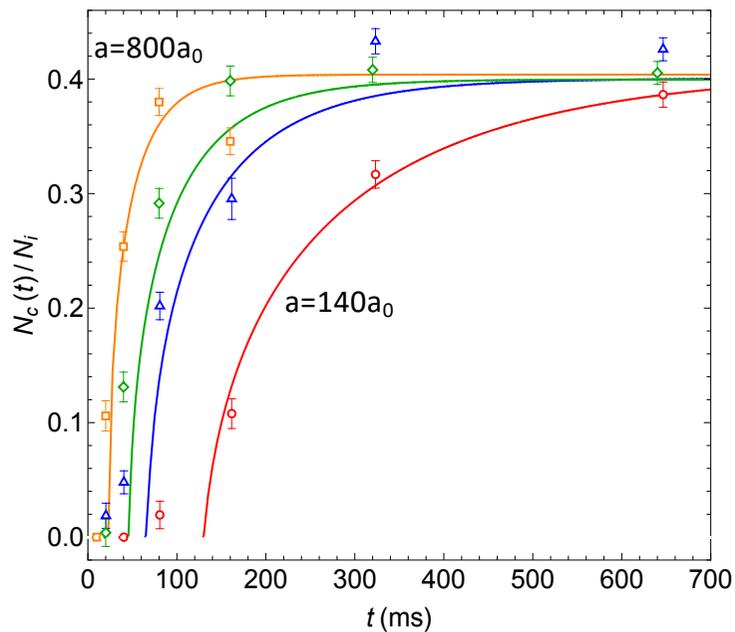


A. Kabelac and GW, *Eur.Phys.J.* D76, 178 (2022)

## Time-dependent condensate formation in K-39 vapour at various interaction energies

The nonlinear diffusion model can be applied to the time-dependent Bose-Einstein condensate formation. Here, particle number is conserved following the deep quench:

$$N_i = N_{th}(t) + N_c(t) = \int n(\varepsilon, t) g(\varepsilon) d\varepsilon + N_c(t) \Rightarrow \text{Condensate fraction} \equiv N_c(t)/N_i = 1 - N_{th}(t)/N_i$$



$a_0 = \text{Bohr radius} \approx 0.0529 \text{ nm}$

$a = \text{s-wave scattering length}$

<sup>39</sup>K Data from J.A.P. Glidden et al., Nature Phys. 17, 457-461 (2021).

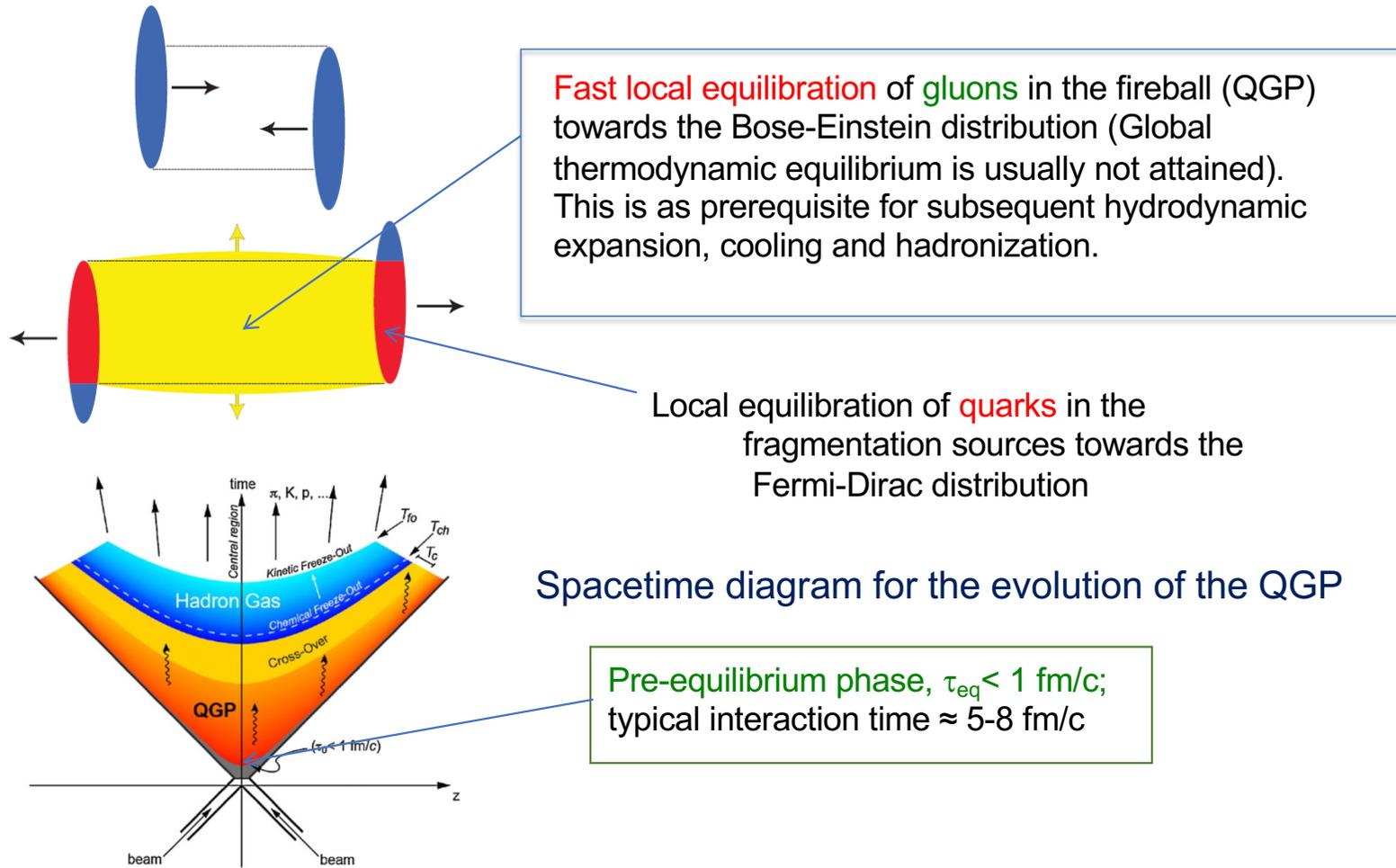
GW, EPL 140, 40002 (2022)

**Table 1** Transport coefficients, initiation and equilibration times for BEC formation in  $^{39}\text{K}$

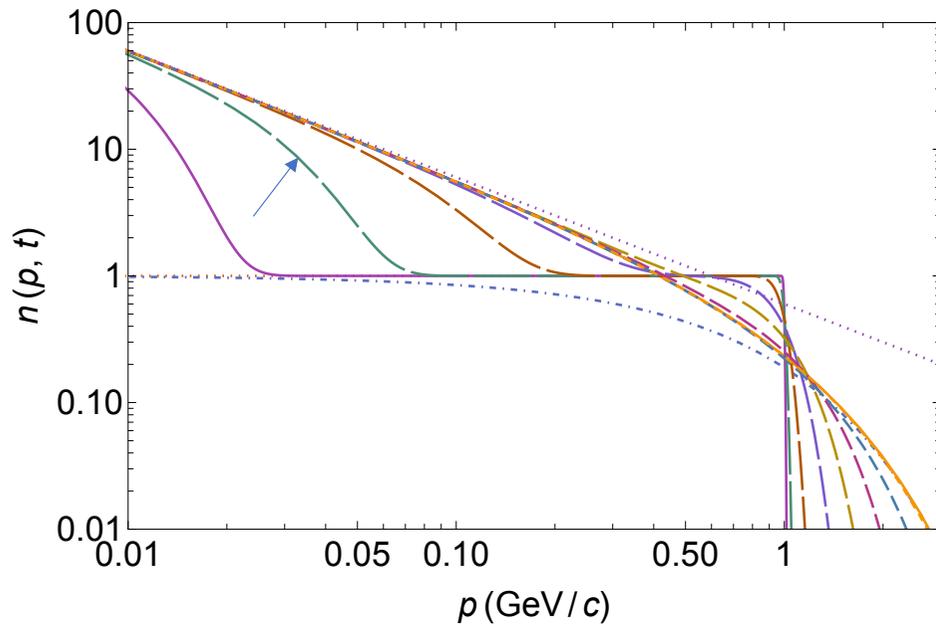
$$(T_i = 130 \text{ nK}, T_f = -D/v = 32.5 \text{ nK})$$

$a (a_\infty)$	$D (\text{nK}^2/\text{ms})$	$v (\text{nK}/\text{ms})$	$\tau_{\text{ini}} (\text{ms})$	$\tau_{\text{eq}} (\text{ms})$
140	0.08	-0.00246	130	600
280	0.16	-0.00492	65	300
400	0.229	-0.00705	46	210
800	0.457	-0.01406	23	105

#### 4. Epilog: Thermalization in the initial stages of relativistic heavy-ion collisions



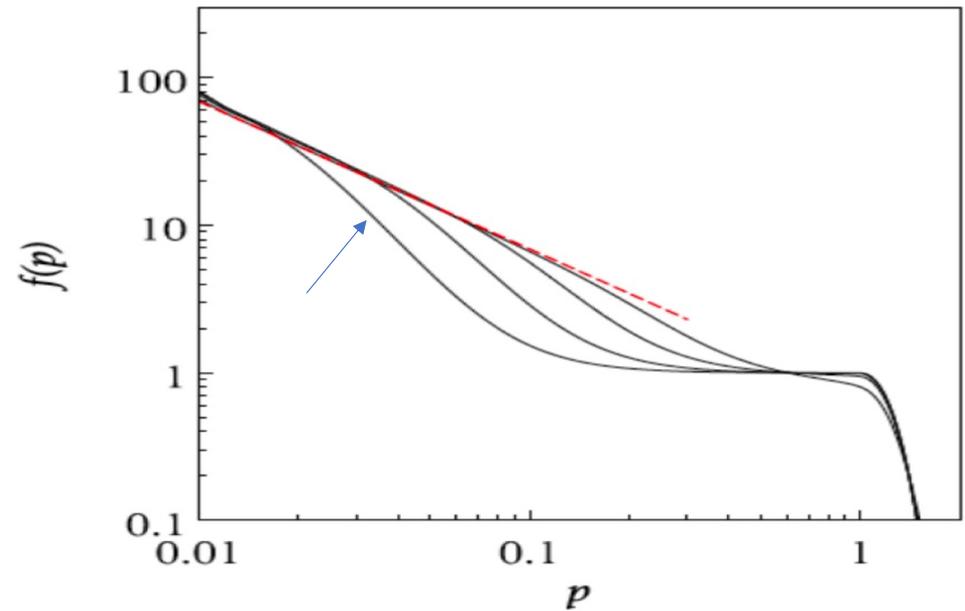
Comparison with a numerical thermalization model for  $\mu=0$ ,  $n_i^0=1$   
(NBDE solutions for inelastic collisions vs. Blaizot et al.)



NBDE solutions: Time-dependent single-particle occupation-number distribution functions  $n(p,t)$  at  $t = 2 \times 10^{-5}$ ,  $2 \times 10^{-4}$ ,  $2 \times 10^{-3}$ , 0.01, 0.04, 0.12, 0.4 and 2 fm/c (decreasing dash lengths).

$n_i^0=1.0$ ;  $T = 600$  MeV (solid curve, BE-distribution)  
 $D = 1.2$  GeV<sup>2</sup>/fm,  $v = -2$  GeV/fm

G. Wolschin, Physica A 597, 127299 (2022)



Numerical QCD-based calculations of the **soft (IR) modes only** at early times  $t \approx 2 \times 10^{-4}$ ,  $8 \times 10^{-4}$ ,  $3 \times 10^{-3}$ , 0.01 fm/c (with  $n_i^0=1.0$ ,  $T=690$  MeV) from:  
J.-P. Blaizot, J. Liao, Y. Mehtar-Tani, NPA 961, 37 (2017):

This numerical model in the small-angle approximation agrees reasonably well with the analytical NBDE-approach in the IR

## 5. Summary and Conclusion

- From the quantum Boltzmann collision term, a nonlinear partial differential equation for the time-dependent occupation-number distribution in a finite Fermi/ Bose system is derived
- The nonlinear boson diffusion equation (NBDE) is solved analytically including the boundary conditions at the singularity
- The solution accounts for the thermalization of ultracold atoms and time-dependent Bose-Einstein condensate formation such as in K-39
- ❑ The model can also be applied to the thermalization of quarks and gluons in the initial stages of relativistic heavy-ion collisions, and other nonequilibrium processes in physics.

Thank you for your attention !

