

# Antineutrinos in the SNO+ water phase

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LIP seminar, April 2023



<https://snoplus.ca/>



<https://lip.pt/>



# Outline

## Introduction: historical context

- discovery of the neutrino and oscillations
- large neutrino detectors and SNO+
- SNO+ physics and reactor antineutrinos

## I - Calibration for antineutrino measurements

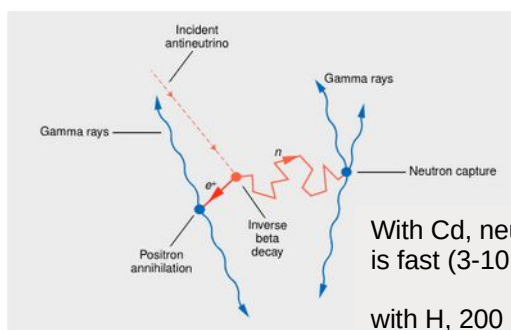
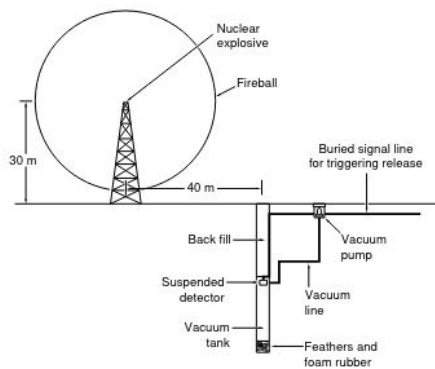
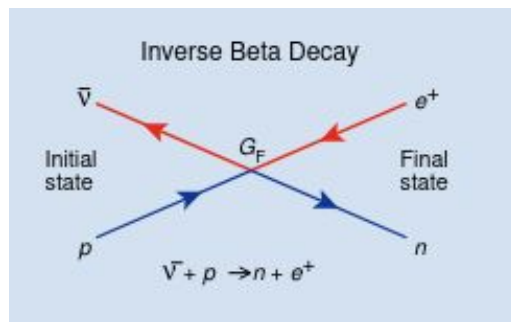
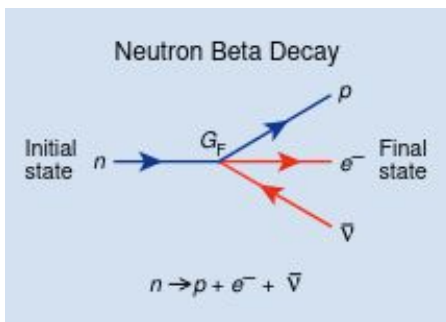
- the AmBe calibration source
- highest neutron capture efficiency
- neutron-proton capture cross-section

## II - Seeing reactor antineutrinos in pure water

- the signal and main backgrounds
- 3.5 sigma combined evidence
- putting it back into context

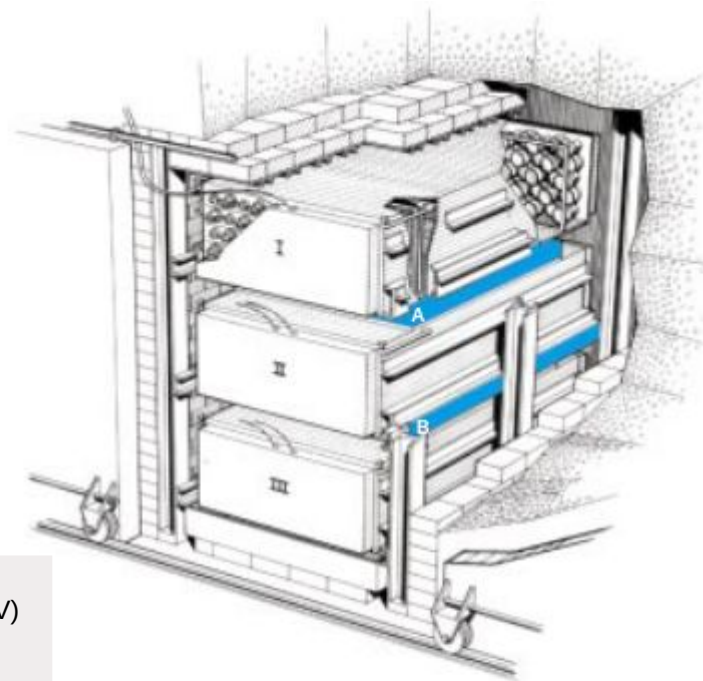
# the neutrino discovery

25 years from the desperate remedy to the inverse beta decay



With Cd, neutron capture signal is fast (3-10  $\mu$ s) and high (9 MeV)

with H, 200  $\mu$ s and 2 MeV



A,B: 200 liters Water with Cadmium

I, II, III: 1400 liters of liquid scintillator, overseen by 110 PMTs

1 event / hour (5 times less with reactor off; signal / background ~4)

Why not just water? Why not just scintillator?

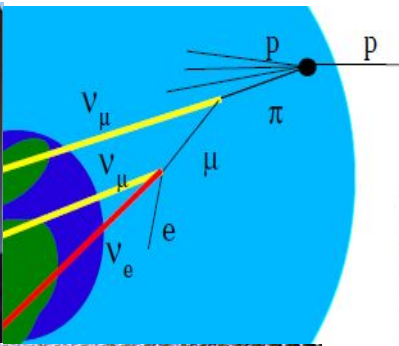
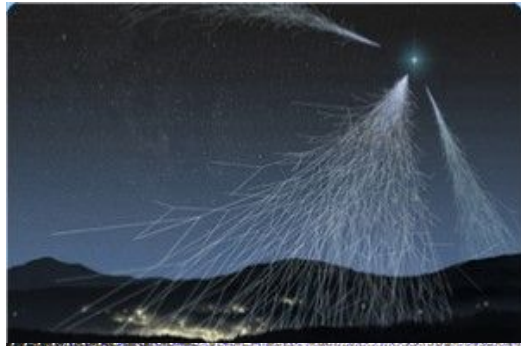
Coincidence and anti-coincidence are the key ingredients

**The Reines-Cowan Experiments: detecting the Poltergeist**

LANL Report, LA-UR-97-2534-02

# neutrino oscillations

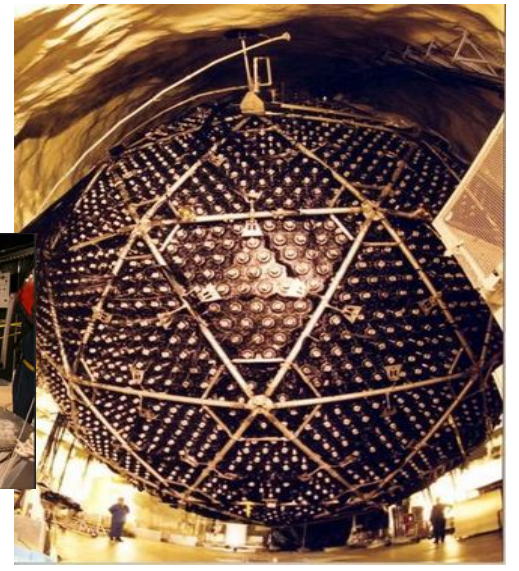
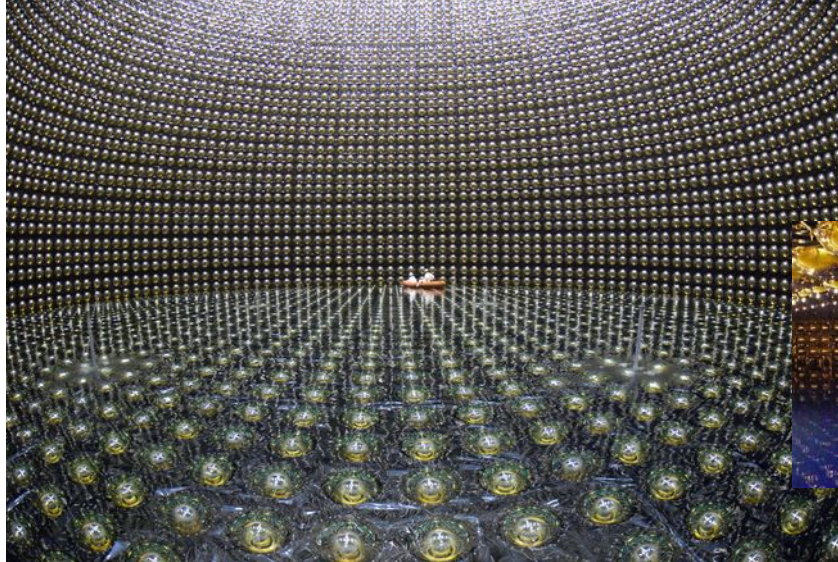
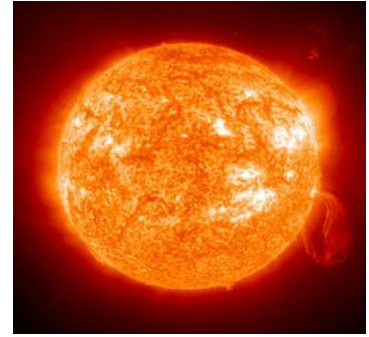
from natural sources to human made neutrinos and back



underground labs for  
shielding cosmic rays

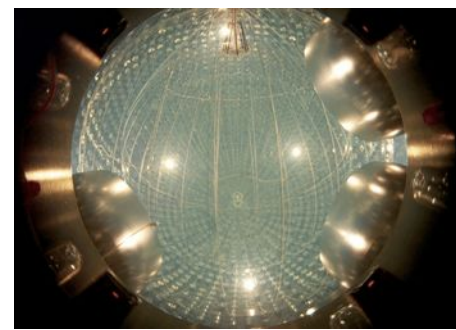
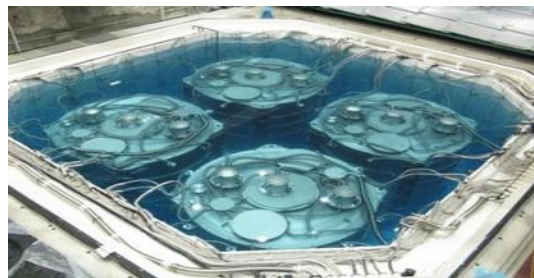
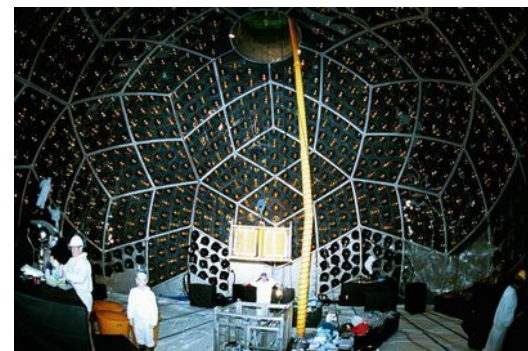
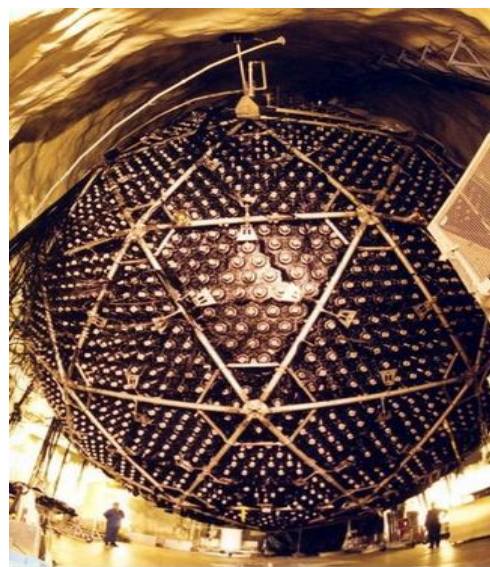
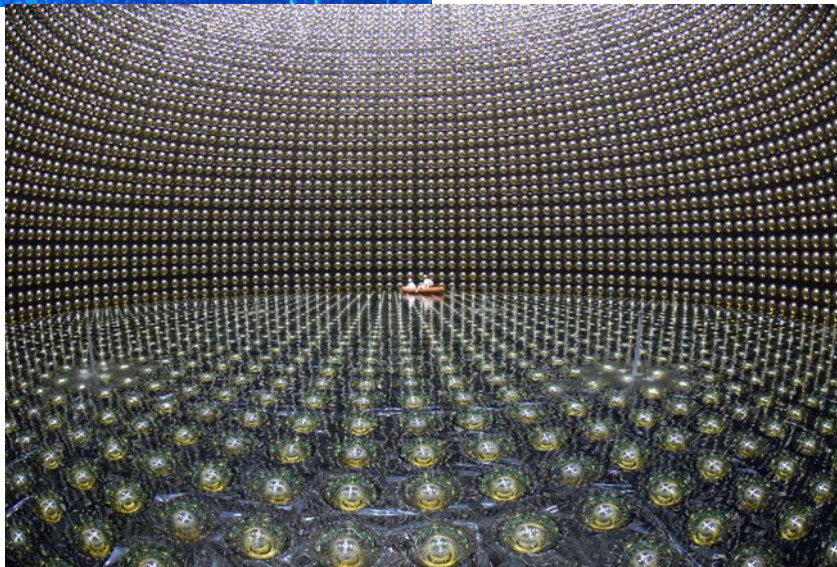
very large (kton)  
detectors, overviewed  
by thousands of PMTs

very pretty sites :-)



# neutrino oscillations

from natural sources to human made neutrinos and back



# neutrino oscillations

from natural sources to human made neutrinos and back

SuperKamiokande  
(GeV) atmospheric neutrinos

[muon (anti)neutrino disappearance]

K2K / T2K  
(GeV) beam of muon (anti)neutrinos

SNO  
(MeV) solar neutrinos

[electron neutrinos  
change to others]

NC in heavy water!]

KamLAND  
(MeV) reactor  
antineutrinos (150 km)

[compatible with solar]

IceCube

[more water]

Daya Bay  
(MeV) reactor  
antineutrinos (~1 km)

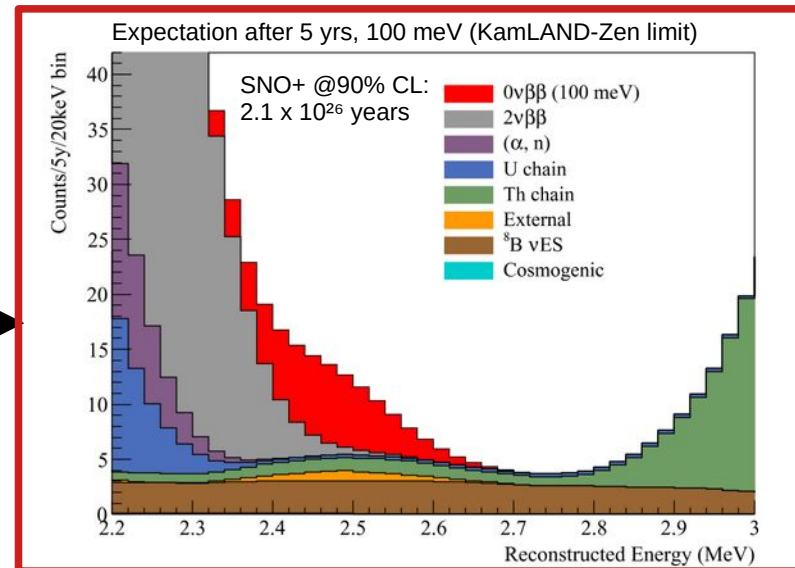
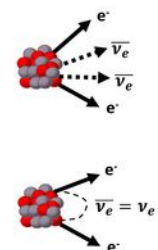
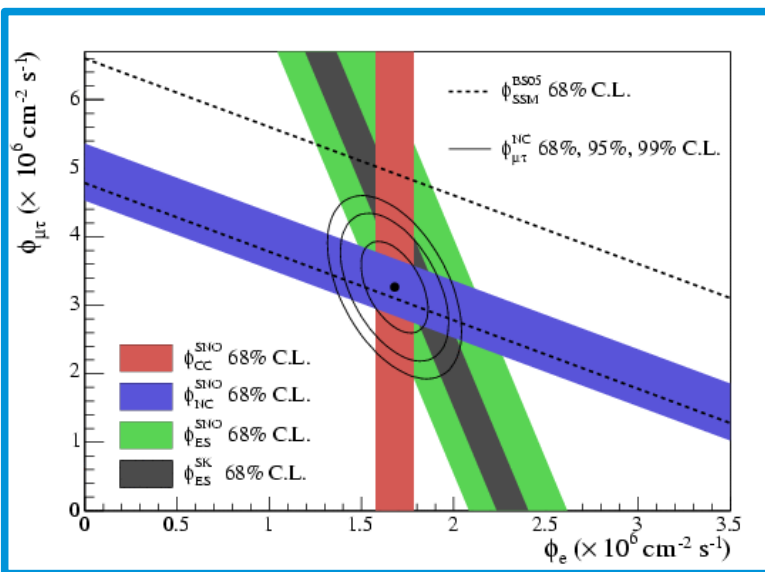
[last mixing angle]

Borexino

[solar electron nu  
+ geo antinu]

# neutrino physics in SNO(+)

from neutrino mass to neutrino mass mechanisms



SNO  
1kt D2O

Water Phase  
905 t H2O

May 2017  
June 2019

Partial Fill  
H2O + LAB

March 2020  
Octob 2020

Scint Phase  
780 t LAB

May 2022  
ongoing

Tellurium Phase  
780 t LAB  
1.3 kg  $^{130}\text{Te}$

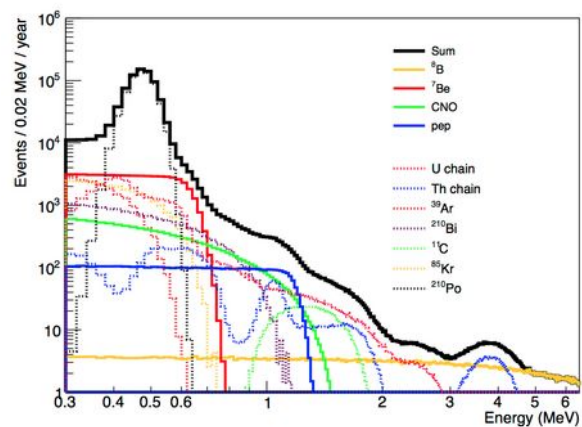
SNO++ ?  
More Tellurium?  
Other isotopes?

# oscillations in SNO+

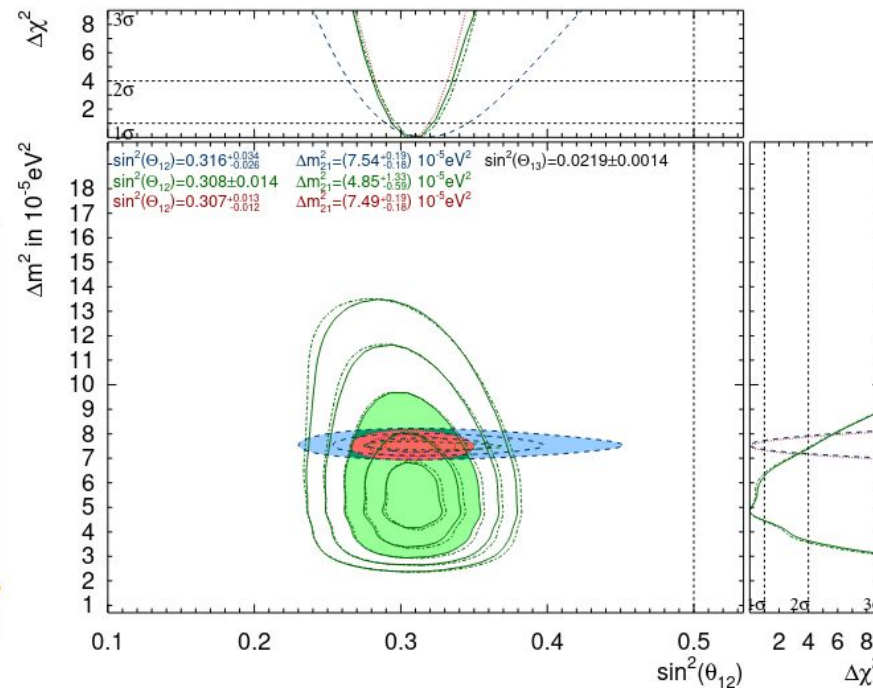
solar and reactor neutrinos in the same detector



solar neutrinos in SNO+



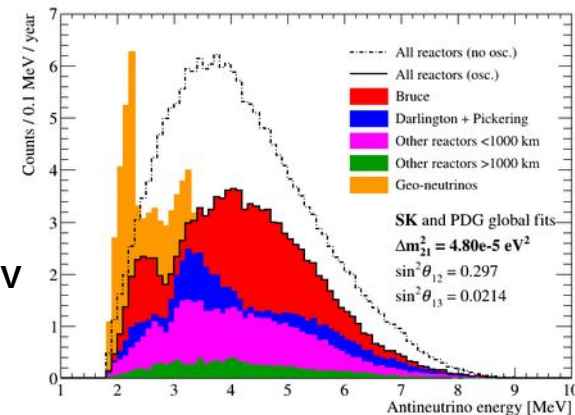
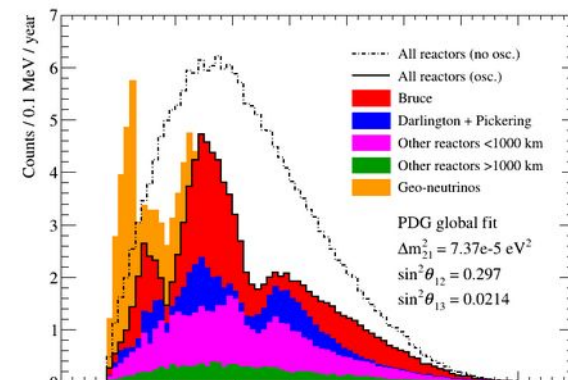
(note backgrounds,  
no direction,  
no coincidence tagging)



Solar Neutrino Measurements in SuperKamiokande IV

Phys.Rev.D.94.052010 (2016)

reactor neutrinos in SNO+





# reactors around SNOLab

very clear oscillation pattern from 240 km and 350 km

Bruce at 240 km, Darlington+Pickering at 350 km in Canada (60% of flux)

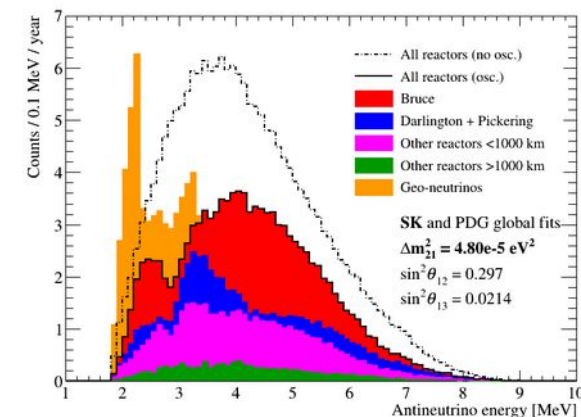
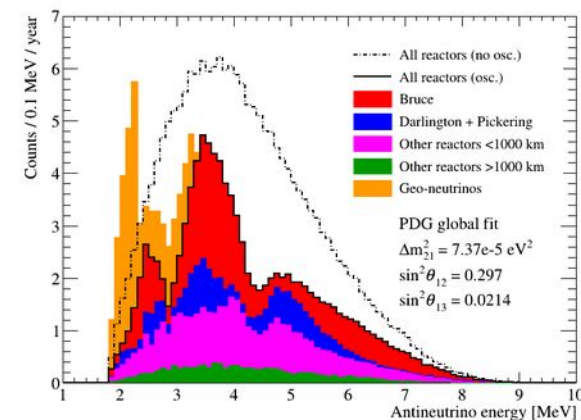
CANDU (PHWR) reactors, with constant refuel, variations < 1% thermal power modeled from hourly electrical power data

+ 100 (P/BWR) cores in the USA, with average baseline 620 km thermal power from IAEA monthly average data (40% of flux)

	U-235	Pu-239	U-238	Pu-241
PHWR	0.52	0.42	0.05	0.01
P/BWR	0.57	0.39	0.08	0.06

Known biases corrected by scaling prediction, +-3% syst. unc.  
(in water analysis the survival probability uncertainty is +- 4%)

$$P_{ee} = 1 - \sin^2 2\theta \cdot \sin^2 (1.27 \Delta m^2 L/E)$$



# the SNO+ detector



2070 m underground  
@ SNOLAB, Canada

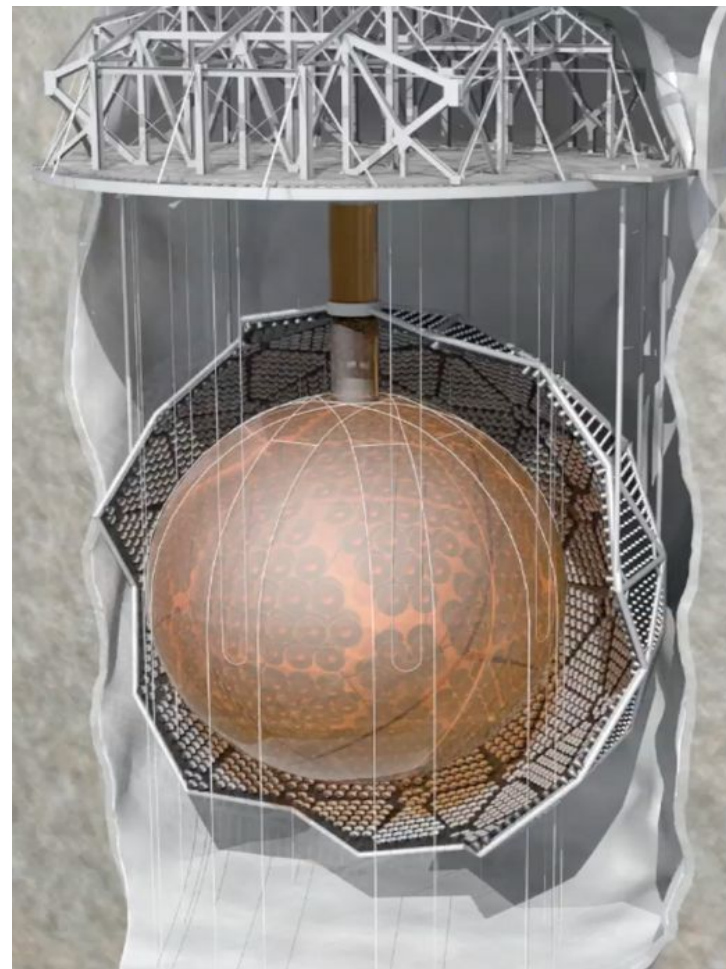
~ 3 muons / hour  
(veto following 20 s)

active medium up to 6.0 m  
(5.5 cm thick acrylic vessel)

> 9000 PMTs @ 8.5 m  
(50 % optical coverage)

ultra-pure water for shielding  
(+ 91 OWL PMTs)

access from the top deck  
to the “neck” and outer water



scintillator lighter than heavy water  
(1000 ton to 780 ton + 4 ton Te-nat):  
new hold down rope systems

scintillator will have much higher rates:  
electronic & DAQ upgrade

in addition to water purification plant,  
need also scintillator plants underground

cover gas-system was redesigned  
higher purity calibration sources/systems

new simulation tool RAT, based on Geant4  
and GLG4sim (from LS experiments)

+ an array of photographic cameras

# the SNO+ detector

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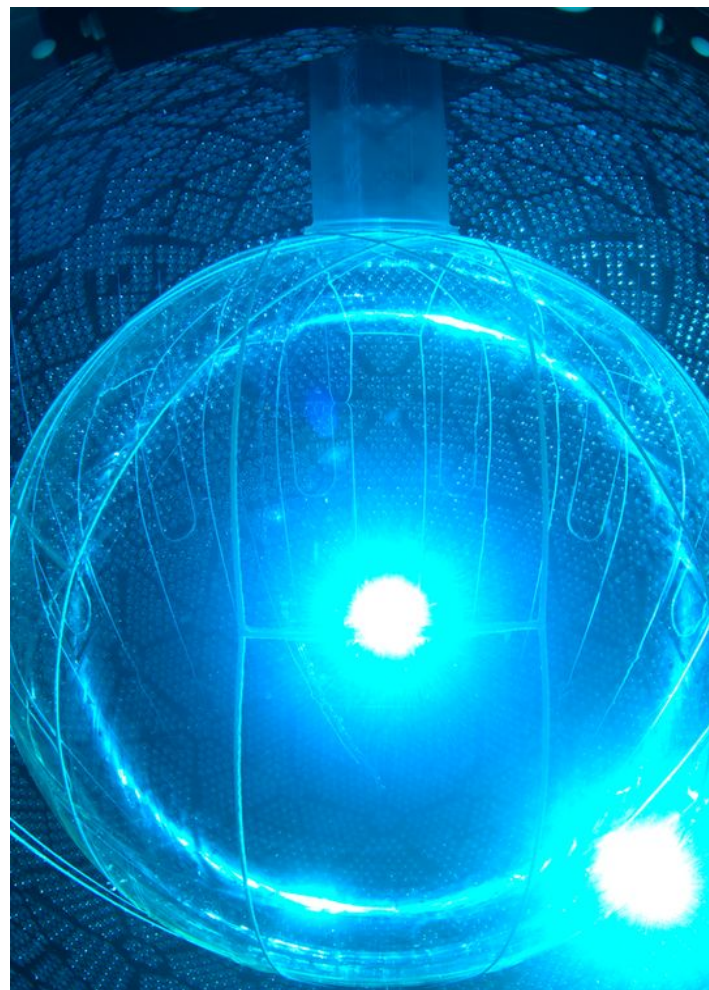
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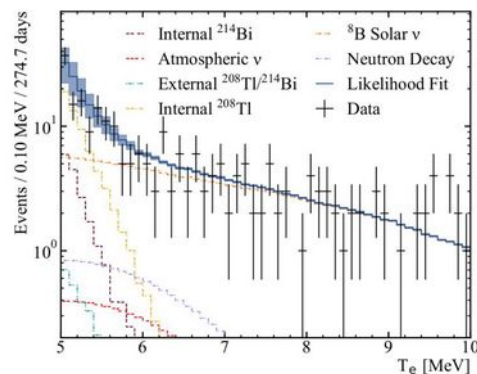
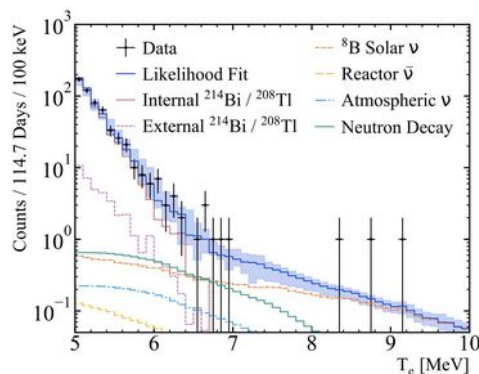
cover gas-system was redesigned  
higher purity calibration sources/systems

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# the SNO+ water phase

first physics results

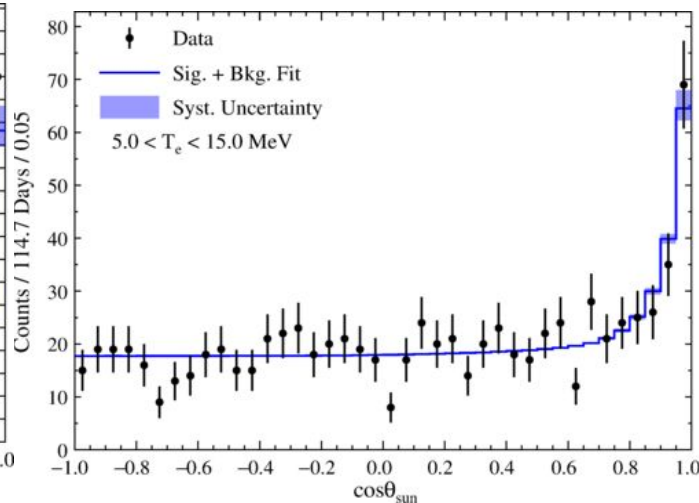
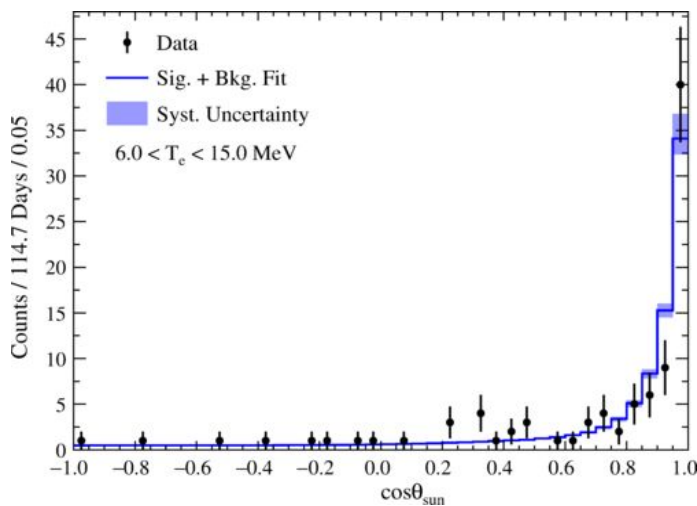


**Search for invisible modes of nucleon decay  
in water with the SNO+ detector**

PRD 99, 032008 (2019) + PRD 105, 112012 (2022)

$\tau(n,p,pp,np \rightarrow \text{inv}) > 10^{28/29}$  years

(factor 3 improvement on previous results)



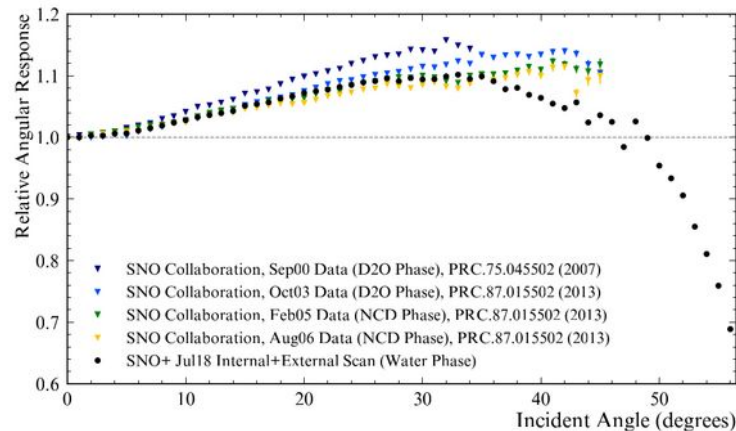
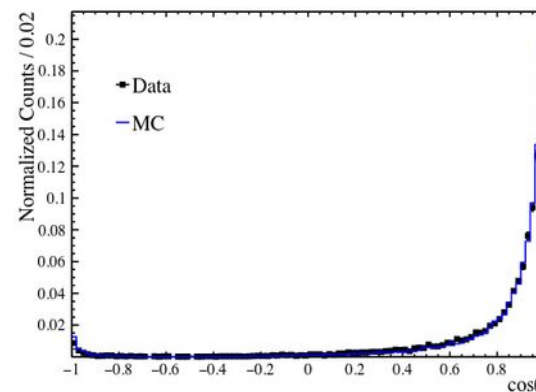
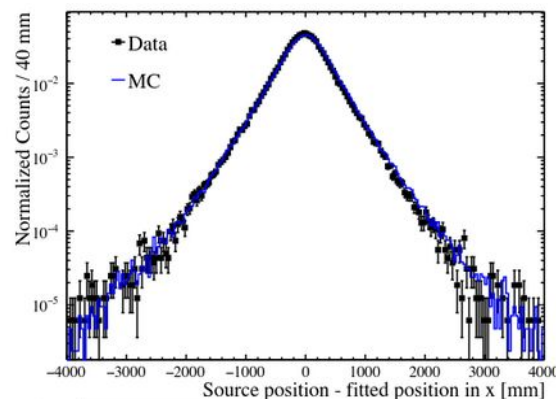
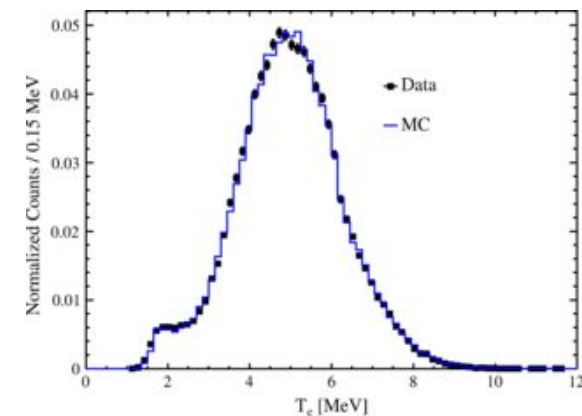
**Measurement of the  $^8\text{B}$  solar neutrino flux  
in SNO+ with very low backgrounds**

PRD 99, 012012 (2019)

For reference, SNO LETA & SK IV go down to 3.5 MeV  
the main limitation is from radioactive backgrounds

# the SNO+ water phase

calibration for the water Cherenkov detector (and beyond)



Laserball

- isotropic light, many wavelenghts

N16

- 6.1 MeV gamma (tagged by a beta)

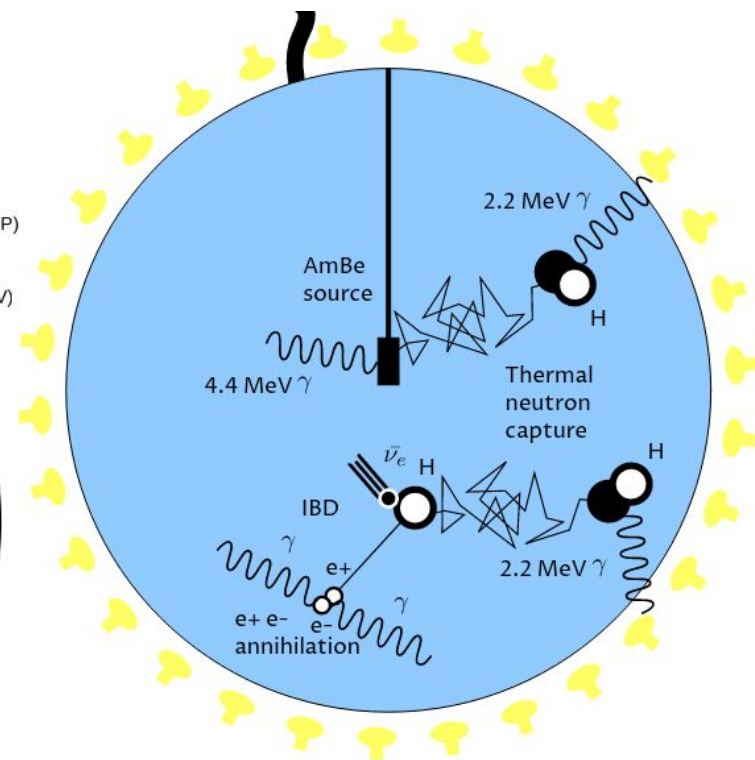
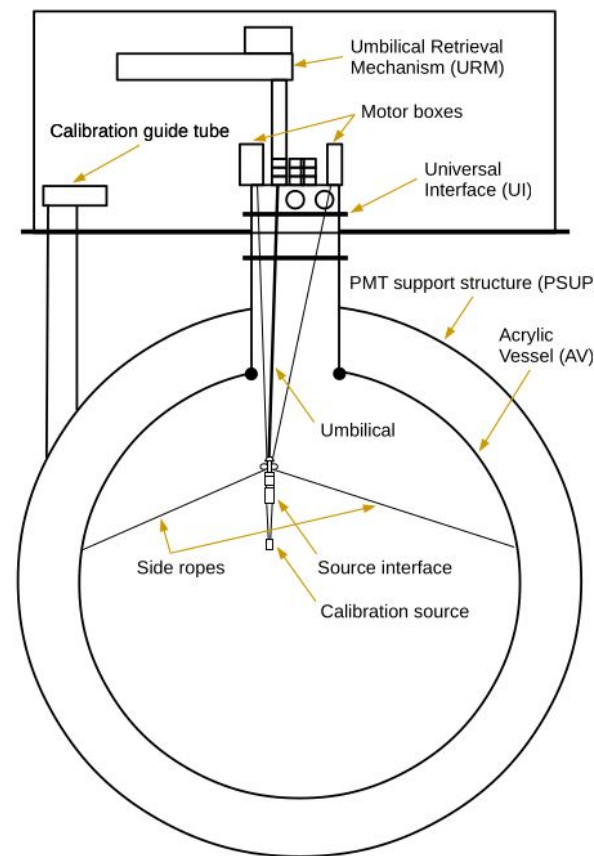
AmBe

- 4.4 MeV and 2.2 MeV gammas  
(it is our *antineutrino* calibration source)

**Optical calibration of the SNO+ detector in the water phase with deployed sources**  
JINST 16, P10021 (2021)

# the calibration campaign

how to use our *antineutrino* / AmBe source



$^{241}\text{Am}$  (alpha decays with  $T_{1/2} = 432$  years)

$^9\text{Be}$  ( $\alpha, n$ )  $^{12}\text{C}^*$  with an efficiency of  $O(10^{-4})$

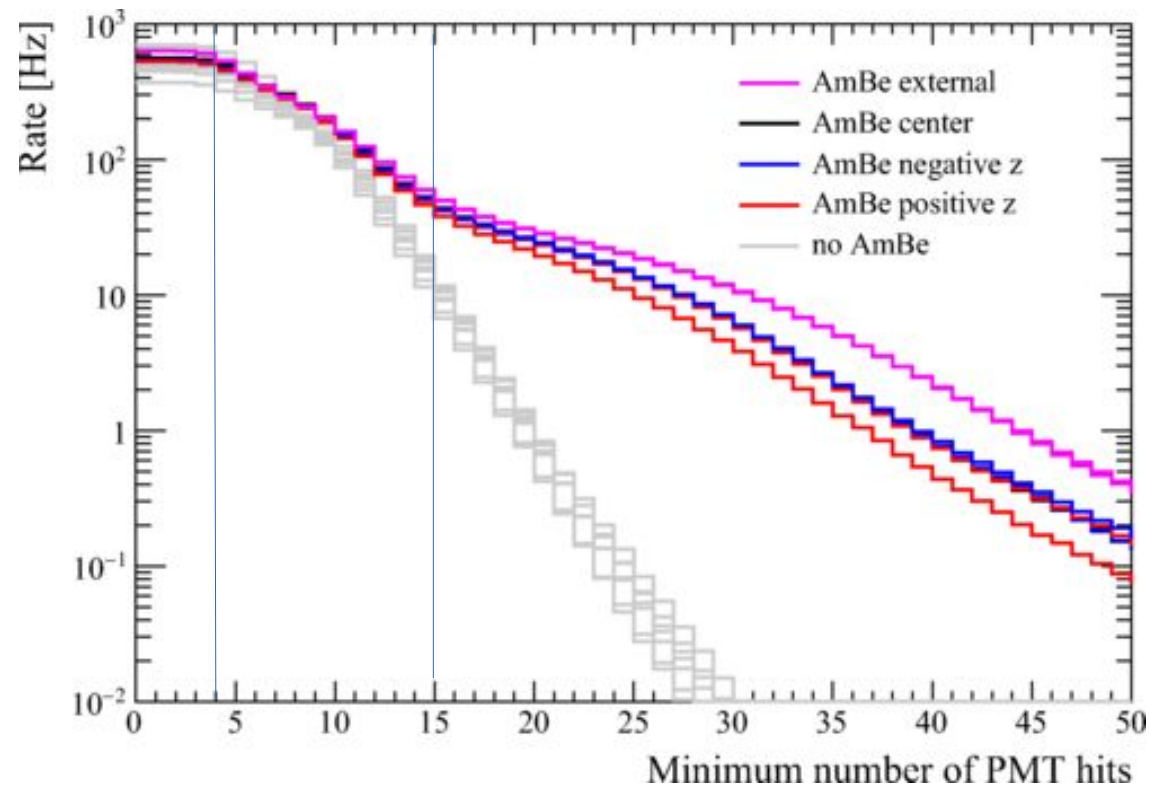
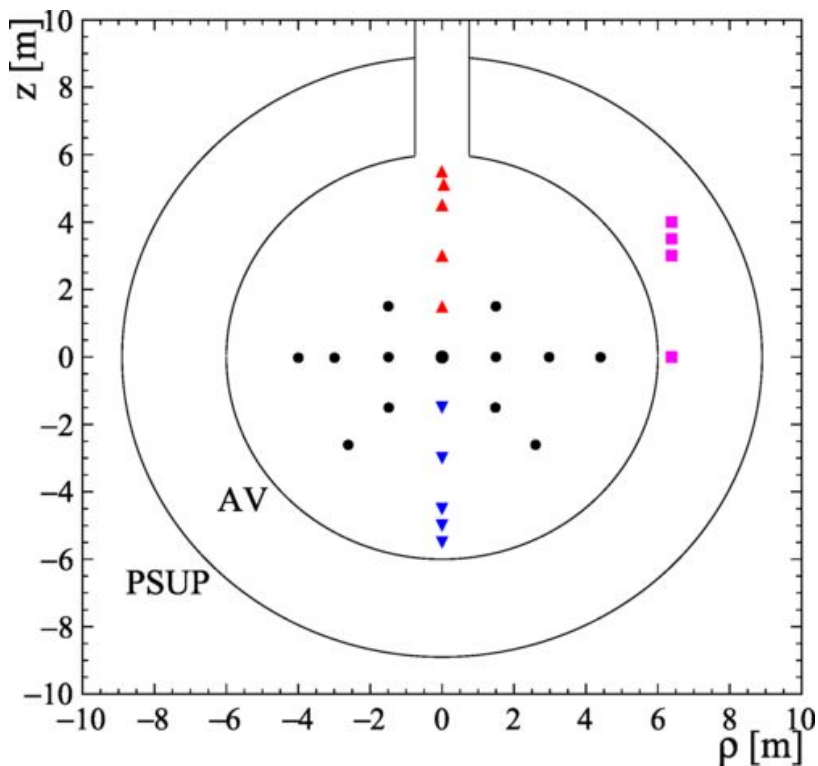
$^9\text{Be}$  ( $\alpha, n$ )  $^{12}\text{C}^* \rightarrow ^{12}\text{C} + 4.4 \text{ MeV } \gamma$

$n + p \rightarrow \text{D}^* \rightarrow \text{D} + 2.2 \text{ MeV } \gamma$

(BR~40%,  $^{12}\text{C}$  in ground state, no tag)

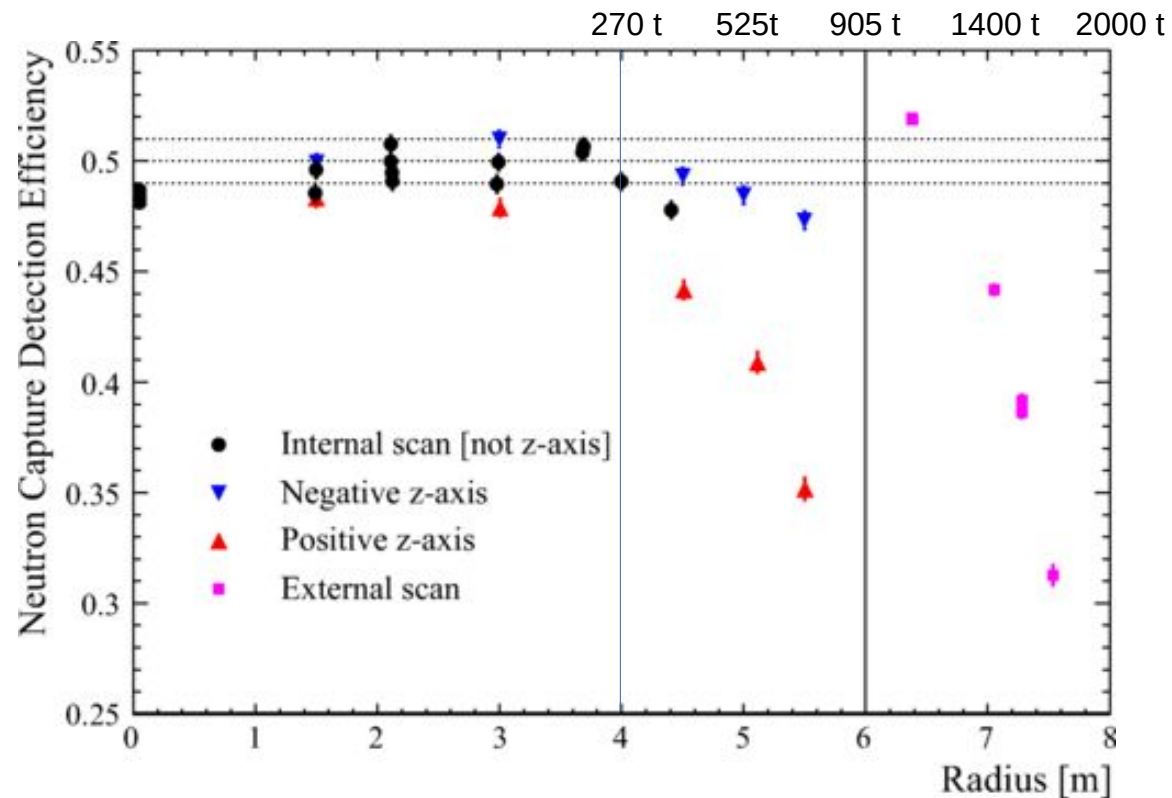
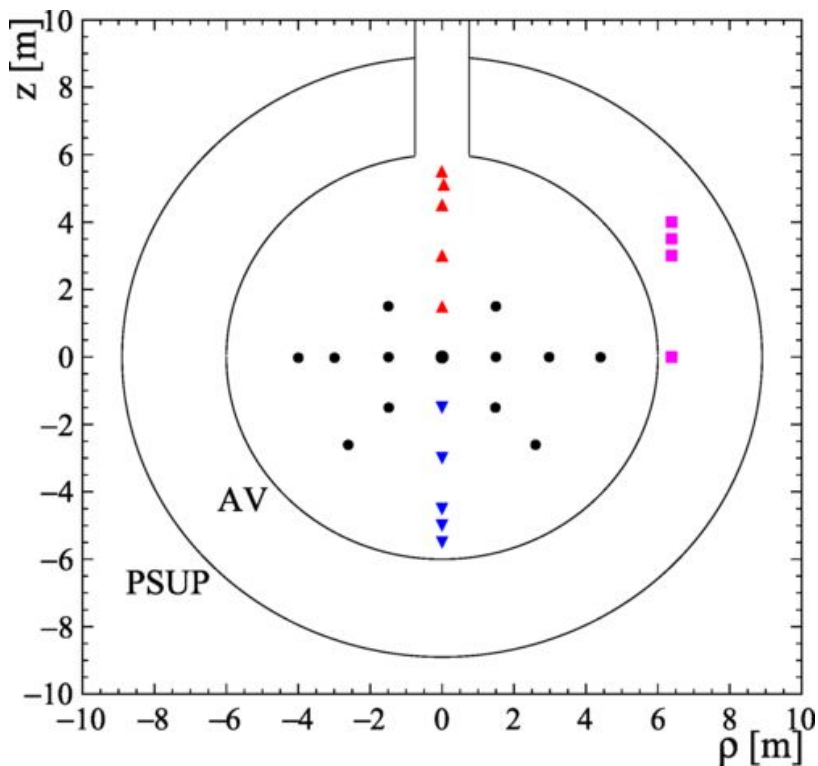
# neutron capture in pure water

calibration campaigns of January 2018 and June 2018



# neutron capture in pure water

trigger efficiency close to 50% in the central water volume

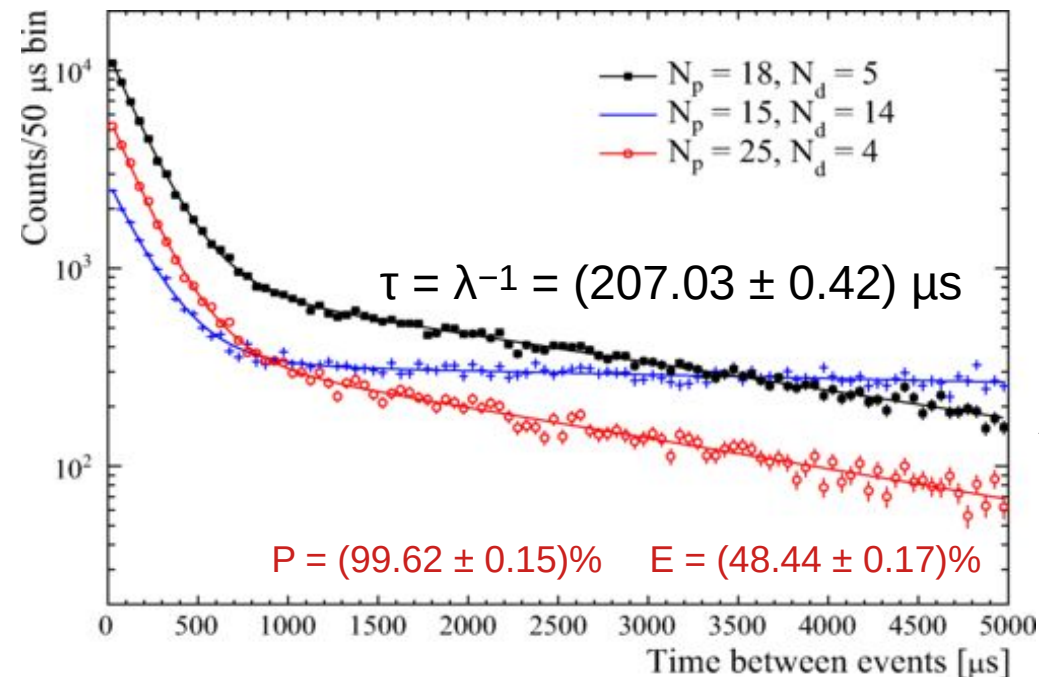


can also use a much larger water volume



# coincidence analyses

extracting source signals from background



$R_p$ , rate of single events, with  $N_{\text{hits}} > N_p$   
 $R_d$ , rate of single events, with  $N_{\text{hits}} > N_d$

$P_p$ , purity of prompt signal (4.4 MeV  $\gamma$ )  
 $E_d$ , efficiency for delayed signal (2.2 MeV  $\gamma$ )

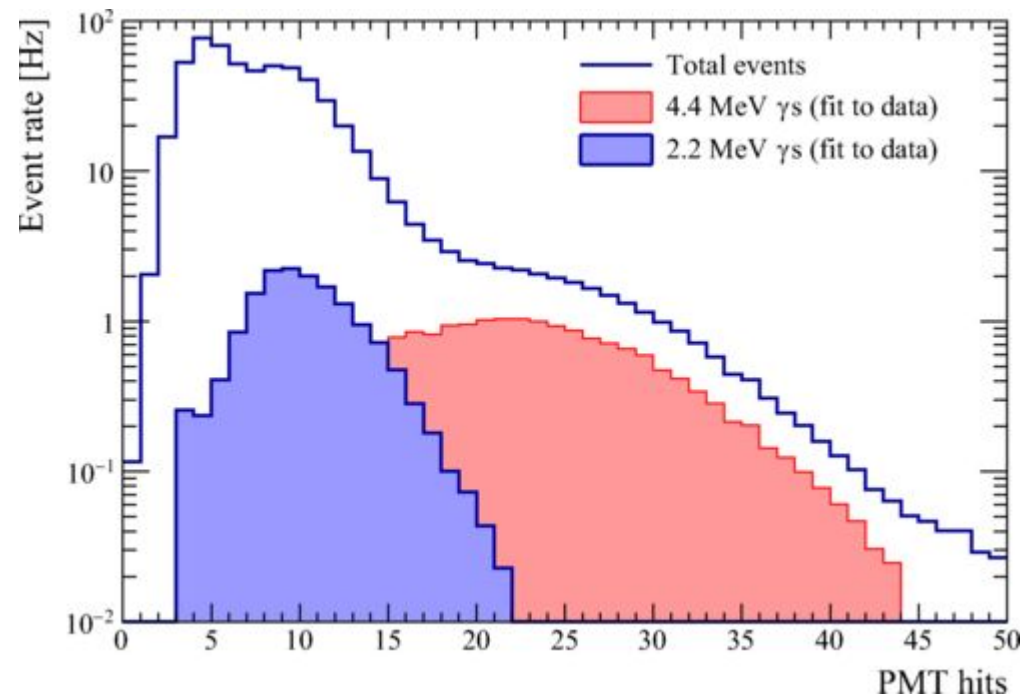
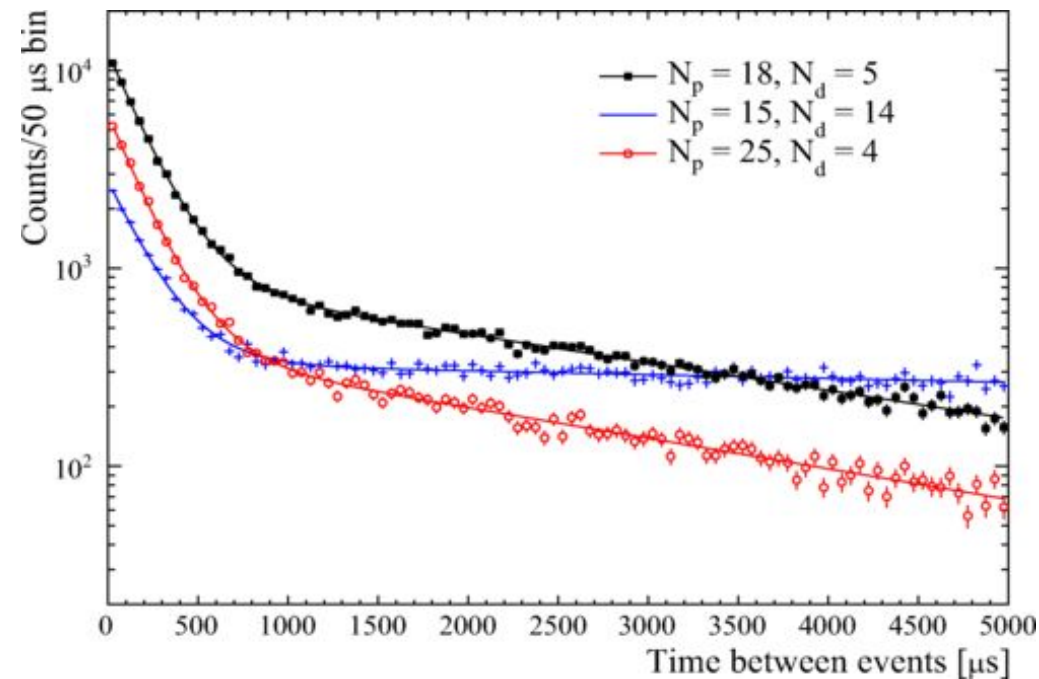
$R_p P E$ : coincident events from the source  
 $(1-P) + P(1-E) = (1-P E)$ : random coincidence

$\lambda + R_d$ : for both true and random coincidences  
 neutron capture time constant  $\tau = 1 / \lambda$

$$\frac{dN}{dt} = T \cdot R_p [PE \cdot (\lambda + R_d) \exp(-(\lambda + R_d)t) + (1 - PE) \cdot R_d \exp(-R_d t)]$$

# coincidence analyses

extracting source signals from background



$$\frac{dN}{dt} = T \cdot R_p [PE \cdot (\lambda + R_d) \exp(-(\lambda + R_d)t) + (1 - PE) \cdot R_d \exp(-R_d t)]$$

# n-p capture cross-section

a new precise measurement

	Efficiency [%]	$\tau$ [ $\mu\text{s}$ ]
Fit result	$48.44 \pm 0.17$	$207.03 \pm 0.42$
Source encapsulation	$0.43 \pm 0.20$	$-2.86^{+0.70}_{-0.54}$
Rate fluctuation	$0.21 \pm 0.29$	$-1.78^{+0.23}_{-0.25}$
Final result	$49.08 \pm 0.39$	$202.35^{+0.87}_{-0.76}$

$202.6 \pm 3.7 \mu\text{s}$  by SuperK  
 $203.7 \pm 2.8 \mu\text{s}$  by SuperK

almost 50% trigger efficiency!  
 efficiency evaluated at detector center  
 reasonably flat up to  $R < 4$  m  
 also usable in the external water

$$\sigma_{H,t} = \frac{1}{\tau v_{n,t} n_H} \quad v_{n,t} = 2200 \text{ m/s}, E_k = 0.02530 \text{ eV}$$

$$N_H = 0.6680 \times 10^{29} / \text{m}^3$$

$$\sigma_{H,t} = 336.3^{+1.2}_{-1.5} \text{ mb}$$

Compatible to older measurements:

$334.2 \pm 0.5$  mb, Nucl. Phys. 74. 497 (1965)

$332.6 \pm 0.7$  mb, Phys. Rev. C 15, 1636 (1977)

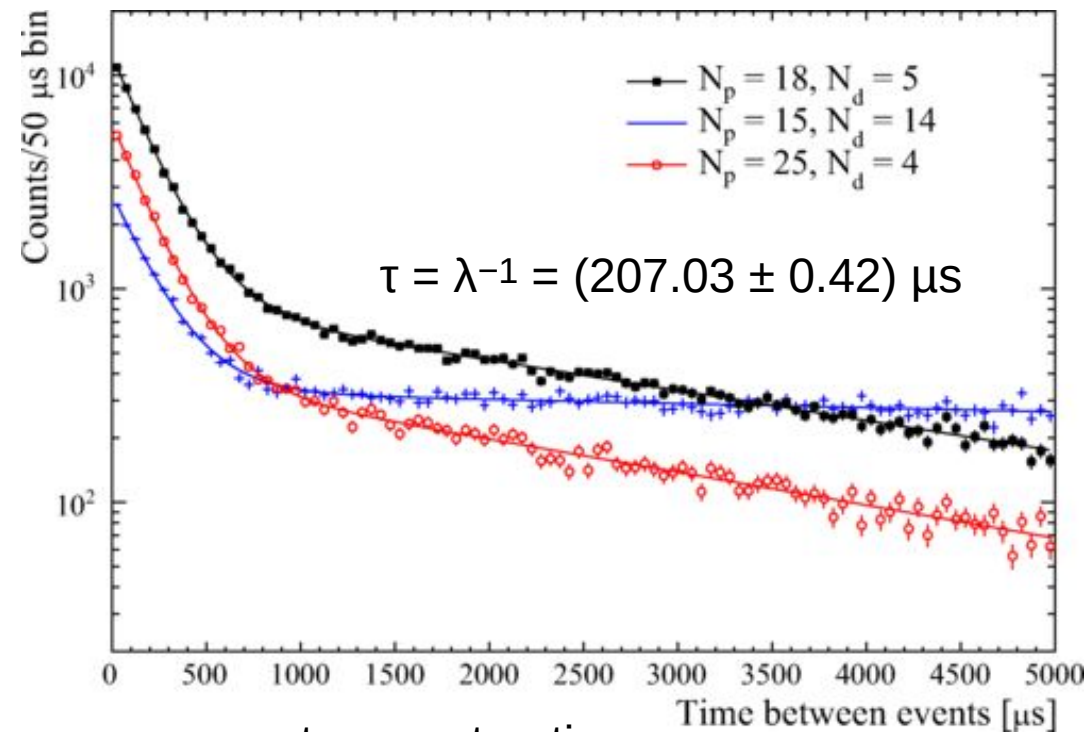
Shoot strong neutron pulses into water and measure decay neutron spectra and temperature systematics negligible (ie, presented uncertainty is only statistical)

Measurement of neutron-proton capture in the SNO+ water phase Phys. Rev. C 102, 014002 (2020)

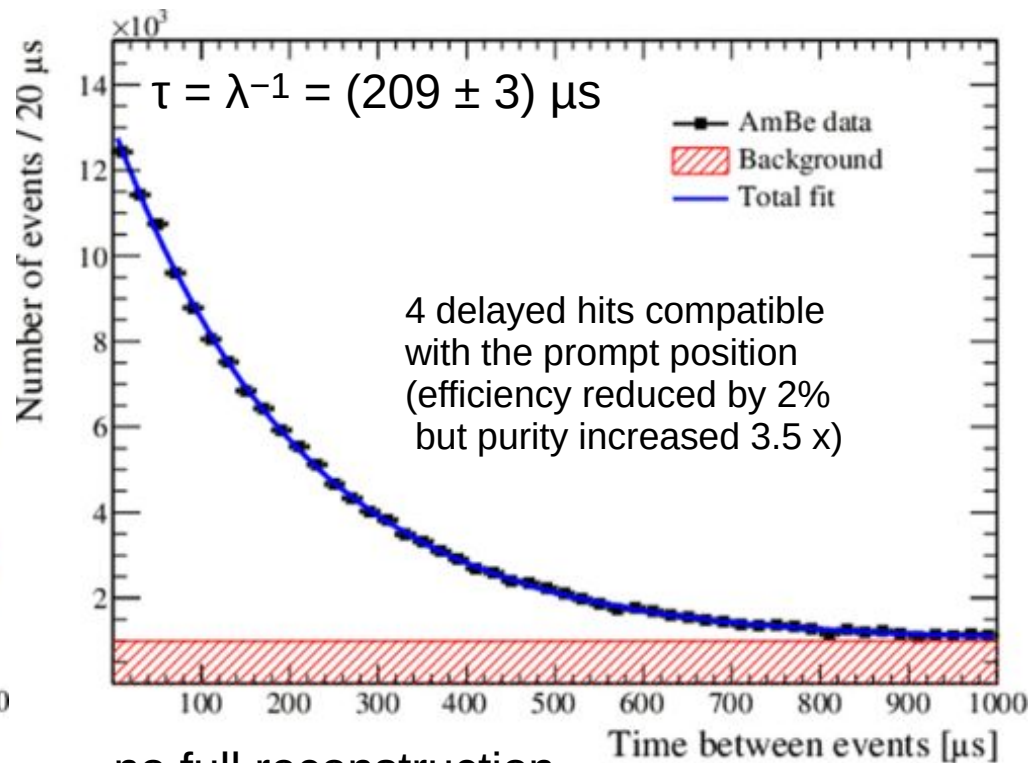
Evidence of Antineutrinos from Distant Reactors Using Pure Water at SNO+ Physical Review Letters (130) 091801, 2023

# coincidence tagging

coincidences in time, but also in space



no event reconstruction,  
just trigger level info



no full reconstruction,  
base of SuperKamiokande delayed trigger

# SK-IV dedicated trigger

coincidences in time, but also in space

60 kHz to read out all PMTs, search for coincidences with 200 ns  
Super High Energy ( $\sim 7.5$  MeV), use all subsequent hits out to 500  $\mu$ s

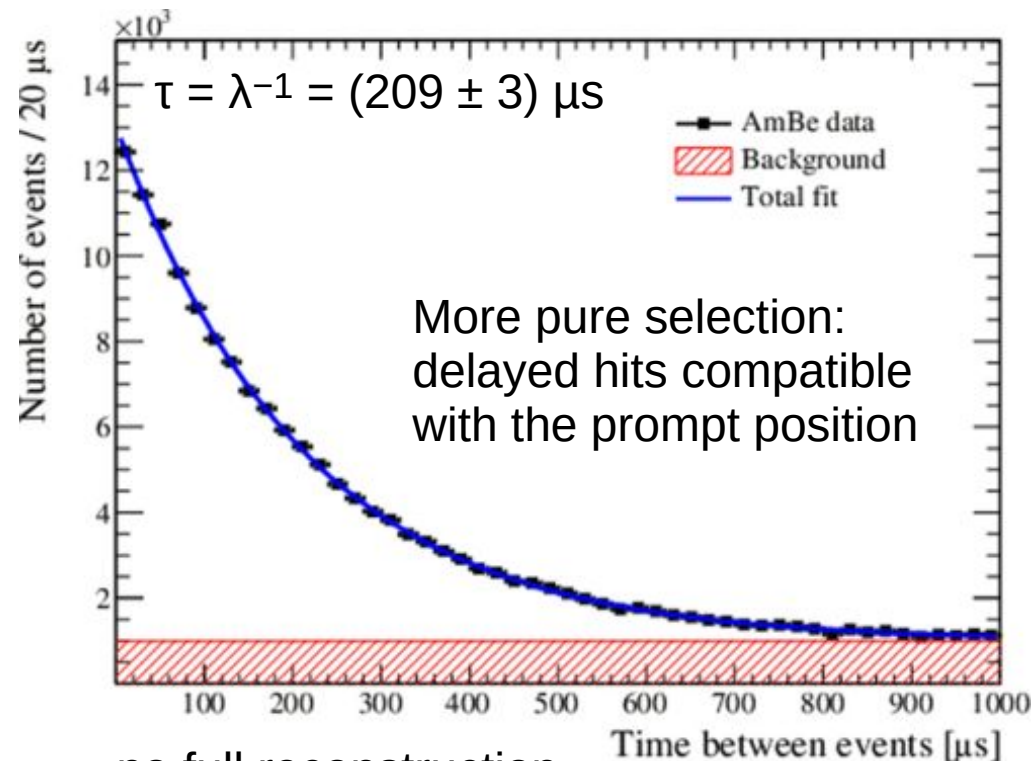
Reconstruct the large signal position, and check for the compatibility  
Add more variables to purify the sample, efficiency from 30% to 20%  
(machine learning applied in the latest SK-IV analyses)

## Supernova Relic Neutrino Search with Neutron Tagging at Super-Kamiokande-IV

Astroparticle Physics 60 (2015) 41

Cuts	Bkg Prob. (%)	Efficiency(%)
$N_{10} > 7$	100	$30.19 \pm 0.04$
$N_{10} - N_{\text{cluster}} > 5$	$25.48 \pm 0.04$	$28.27 \pm 0.04$
$N_{10} - N_{\text{back}} > 6$	$21.13 \pm 0.04$	$26.78 \pm 0.04$
$N_{10} - N_{\text{low}} > 4$	$4.14 \pm 0.02$	$19.11 \pm 0.04$
Likelihood ratio $> 0.35$	$1.06 \pm 0.01$	$17.74 \pm 0.04$

but Super High Energy ( $\sim 7.5$  MeV) cuts AmBe and the reactor signal



no full reconstruction,  
base of SuperKamiokande delayed trigger

# the antineutrino search

two statistical methods for very rare signals

keep low energy events only if within 1000 us from well reconstructed prompt with  $N_{\text{hits}} > 15$

Initial selection	Efficiency (%)	IBDs	Accidentals	
None	NA	160.4	NA	→ simulated up to 8.5 m, 160.4 +/- 8 (syst)
Trigger	32.9	52.8	NA	
Instrumentals [25]	95.7	50.5	NA	
$N_{\text{hits}}$	56.9	28.7	NA	→ also some positrons below threshold
Valid reconstruction	87.2	25.1	NA	
$\Delta t$	90.7	22.7	6.03e6	→ start with 14% IBD for full data analyses
FoMs, ITR, $\beta_{14}$	88.8	20.2	1.86e6	
Fiducial volume	50.0	10.1	5.86e5	→ cut out the alpha-neutron interactions
$E$	82.1	8.3	2.61e5	
$\Delta r$	94.7	7.8	1.11e4	→ very similar in the two analyses
LR selection	44.7	3.5	0.7	→ need a 10 000 reduction factor

for events that triggered the detector and satisfied the FV, E, and  $N_{\text{hits}}$  criteria, initial IBD selection efficiency was about 60%. Accidentals were 60 / day!

# two blind analyses

and independent statistical methods for very rare signals

test accidentals in  
 $\Delta t$  in 0.5 – 1.0 ms

avoid ( $\alpha, n$ ) bkg from the AV

avoid atmospheric neutrinos

1. Likelihood Ratio for  
IBD-like vs random bkg

$E$  vs.  $\beta_{14}$

$\|r\|$  vs u.r

$\Delta t$  and  $\Delta r$

	LR		BDT	
	Prompt	Delayed	Prompt	Delayed
Nhits	$\geq 15$	NA	$\geq 15$	$\leq 25$
$\Delta t$ [ $\mu s$ ]	(3, 500)		(3, 500)	
ITR	$> 0.5$	NA	$> 0.5$	NA
$\beta_{14}$	(-0.6, 1.6)	NA	(-0.6, 1.6)	NA
$\ r\ $ (internal) [m]	$< 5.7$	$< 5.7$	$< 5.6$	NA
$\ r\ $ (external) [m]	(6.3, 7.5)	(6.1, 7.6)	(6.4, 7.3)	NA
$z$ (external) [m]	(-5.0, 5.0)		(-5.0, 5.0)	
$E$ [MeV]	(2.5, 9.0)	$< 4.0$	(2.5, 9.5)	NA
$\Delta r$ [m]	$< 3.0$		NA	
Nhits <sub>p,12</sub>	NA	NA	NA	$> 6$

	LR	BDT
Reactor IBD	$3.5 \pm 0.7$	$4.8 \pm 1.4$
Accidentals	$0.7 \pm 0.1$	$1.4 \pm 0.1$
( $\alpha, n$ )	$0.7 \pm 0.7$	$0.9 \pm 0.7$
Atm. $\nu$ NC	$0.4 \pm 0.3$	$< 0.6$
Sum	$5.3 \pm 1.0$	$7.7 \pm 1.7$
Observation	9	10

2. Boosted Decision Trees

a) a good prompt positron

b) a compatible neutron

$\Delta t$  and  $\Delta r$  not used directly

$E$ ,  $\beta_{14}$ ,  $\|r\|$ , u.r,  $z$  +

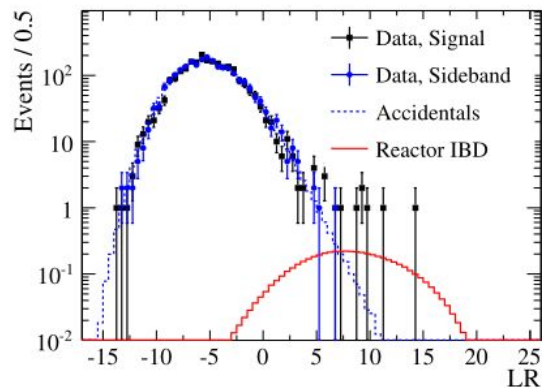
$N_{p,12}$  and  $N_{p,12}/N_{\text{hits}}$  +

$T_{\text{rms}}$ ,  $N_{\text{cluster}}$ ,  $\phi_{\text{rms}}$ ,  $\theta_{\text{mean}}$ ,  $\theta_{\text{rms}}$

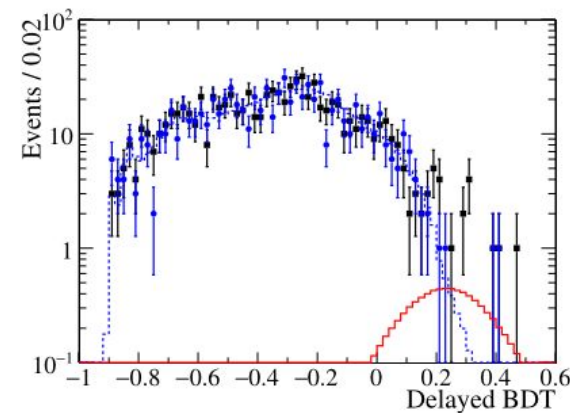
(borrowed from SuperKamiokande)

# the 3.5 sigma evidence

and independent statistical methods for very rare signals



	LR	BDT
Reactor IBD	$3.5 \pm 0.7$	$4.8 \pm 1.4$
Accidentals	$0.7 \pm 0.1$	$1.4 \pm 0.1$
$(\alpha, n)$	$0.7 \pm 0.7$	$0.9 \pm 0.7$
Atm. $\nu$ NC	$0.4 \pm 0.3$	$< 0.6$
Sum	$5.3 \pm 1.0$	$7.7 \pm 1.7$
Observation	9	10



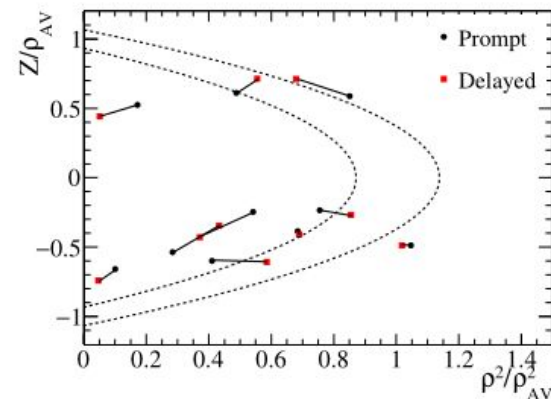
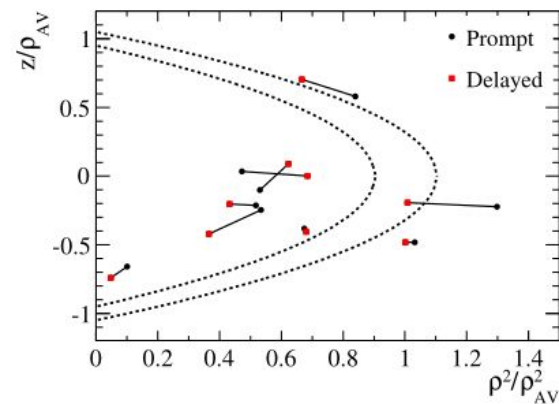
Obs-Sum  $+3.7 \pm 3.2$   $+2.3 \pm 3.5$

Exp sensitivity  $1.7 \sigma$   $2.1 \sigma$

Obs sensitivity  $3.0 \sigma$   $2.9 \sigma$

Total of 14 events, with only 5 common to both  
(47% in common from sim / calib, also for bkg)  
expected  $3.2 \pm 1.0$  distinct background events

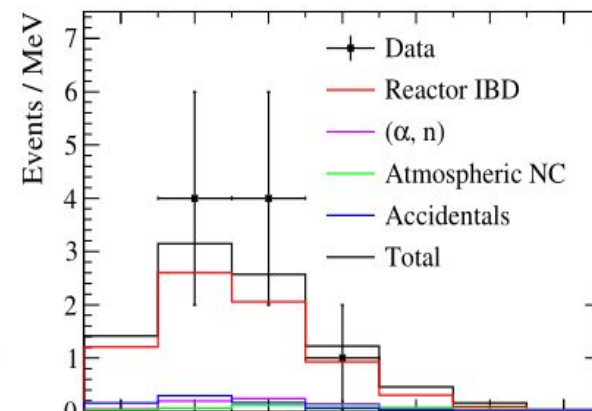
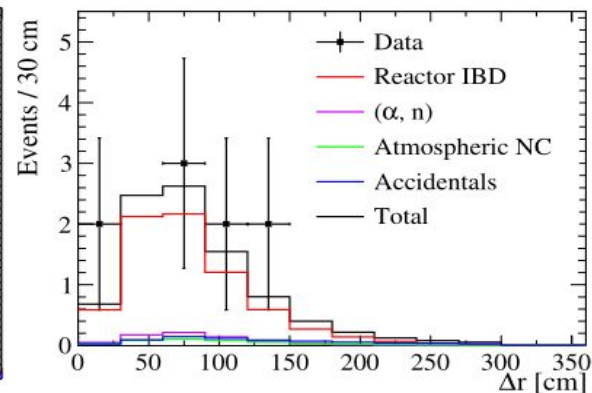
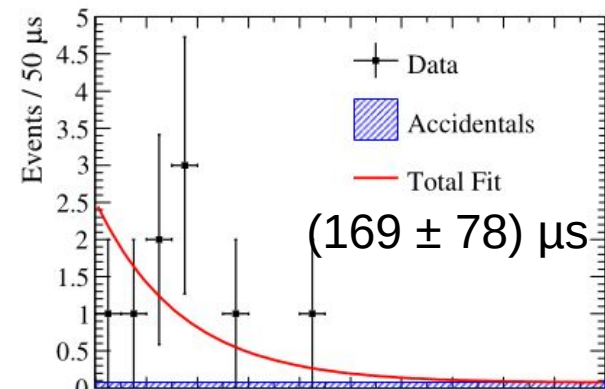
Combination gives  $3.5 \sigma$  from upward fluctuation



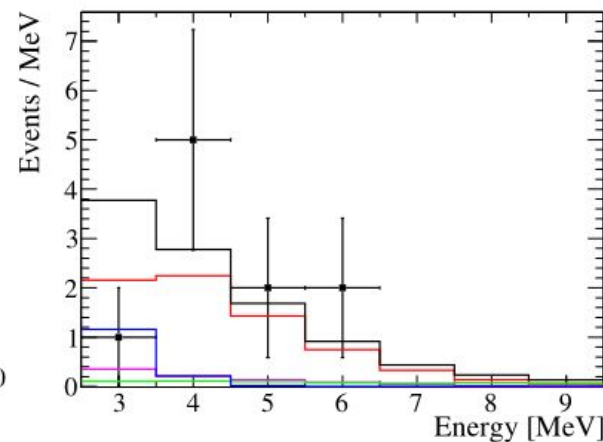
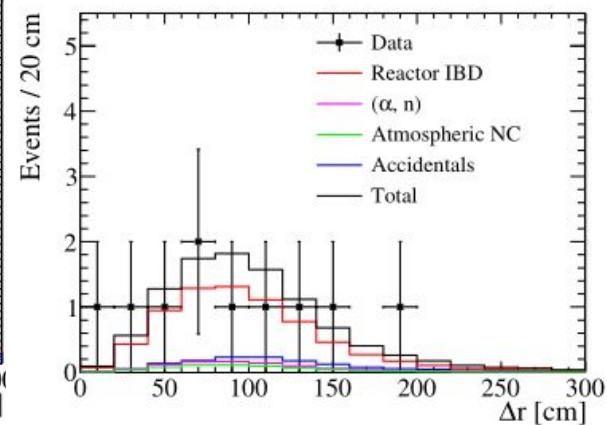
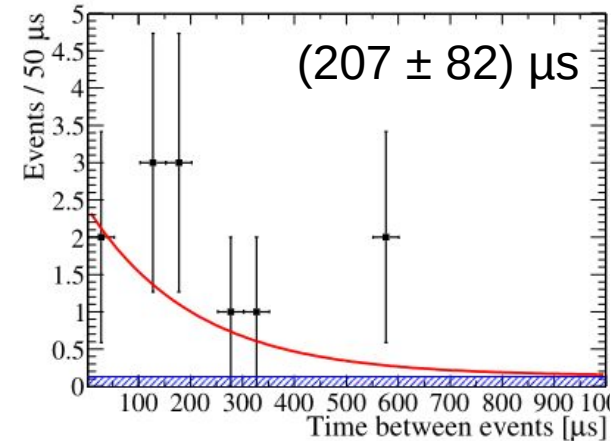


# antineutrino candidates

and independent statistical methods for very rare signals



LR



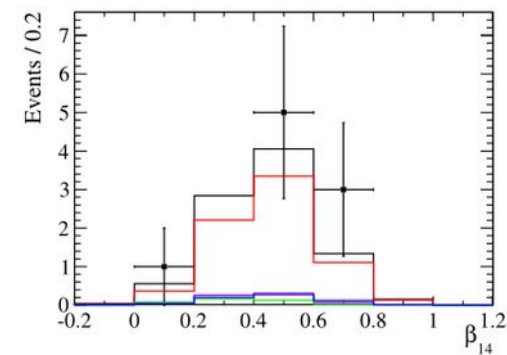
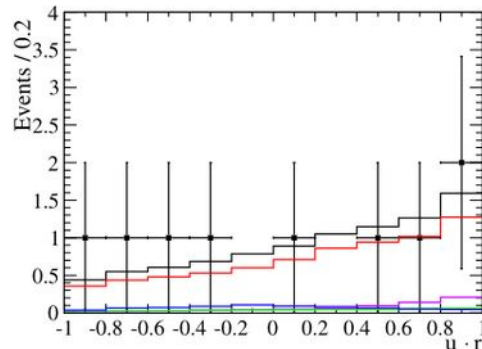
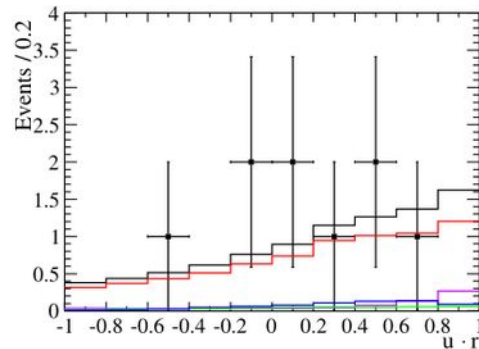
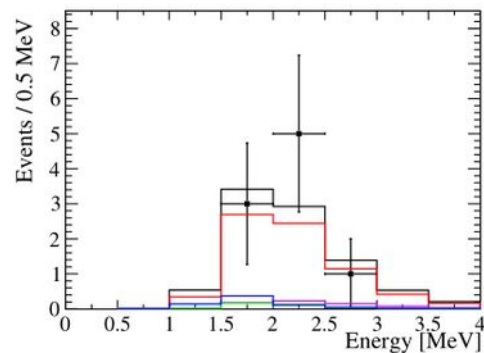
BDT

# antineutrino candidates

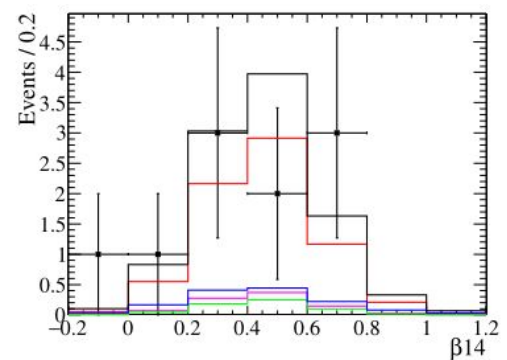
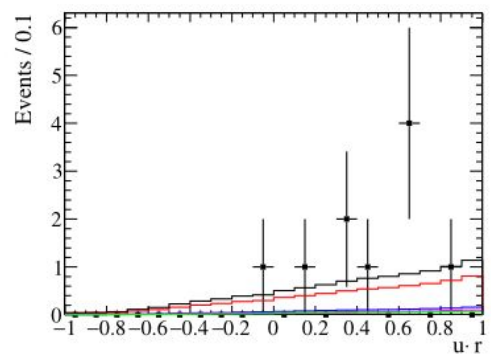
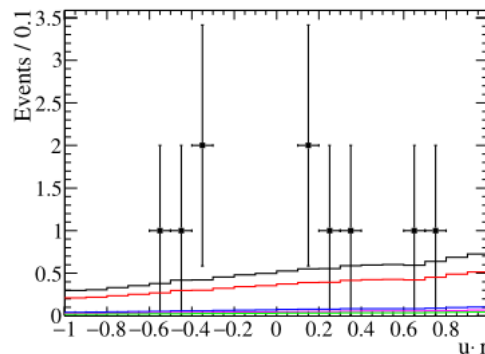
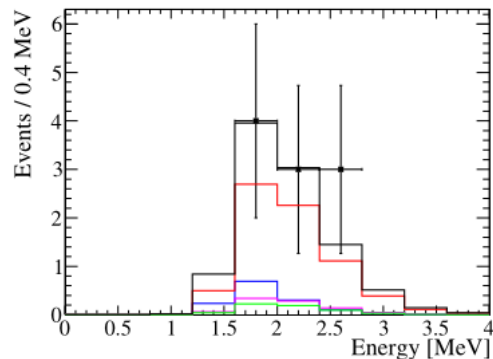
and independent statistical methods for very rare signals



LR



BDT



# two blind analyses

and independent statistical methods for very rare signals

test accidentals in  
 $\Delta t$  sideband to 1 ms

avoid ( $\alpha, n$ ) bkg from the AV  
and measure in sideband

avoid atmospheric neutrinos  
(also tested in sidebands)

1. Likelihood Ratio for  
IBD-like vs random bkg

$E$  vs.  $\beta_{14}$

$\|r\|$  vs u.r

$\Delta t$  and  $\Delta r$

	LR		BDT	
	Prompt	Delayed	Prompt	Delayed
Nhits	$\geq 15$	NA	$\geq 15$	$\leq 25$
$\Delta t$ [ $\mu\text{s}$ ]	(3, 500)		(3, 500)	
ITR	$> 0.5$	NA	$> 0.5$	NA
$\beta_{14}$	(-0.6, 1.6)		(-0.6, 1.6)	
$\ r\ $ (internal) [m]	$< 5.7$		$< 5.6$	
$\ r\ $ (external) [m]	(6.3, 7.5)	(6.1, 7.6)	(6.4, 7.3)	NA
$z$ (external) [m]	(-5.0, 5.0)		(-5.0, 5.0)	
$E$ [MeV]	(2.5, 9.0)	$< 4.0$	(2.5, 9.5)	NA
$\Delta r$ [m]	$< 3.0$		NA	
$N_{\text{hits}_{p,12}}$	NA	NA	NA	$> 6$

	LR	BDT
Reactor IBD	$3.5 \pm 0.7$	$4.8 \pm 1.4$
Accidentals	$0.7 \pm 0.1$	$1.4 \pm 0.1$
( $\alpha, n$ )	$0.7 \pm 0.7$	$0.9 \pm 0.7$
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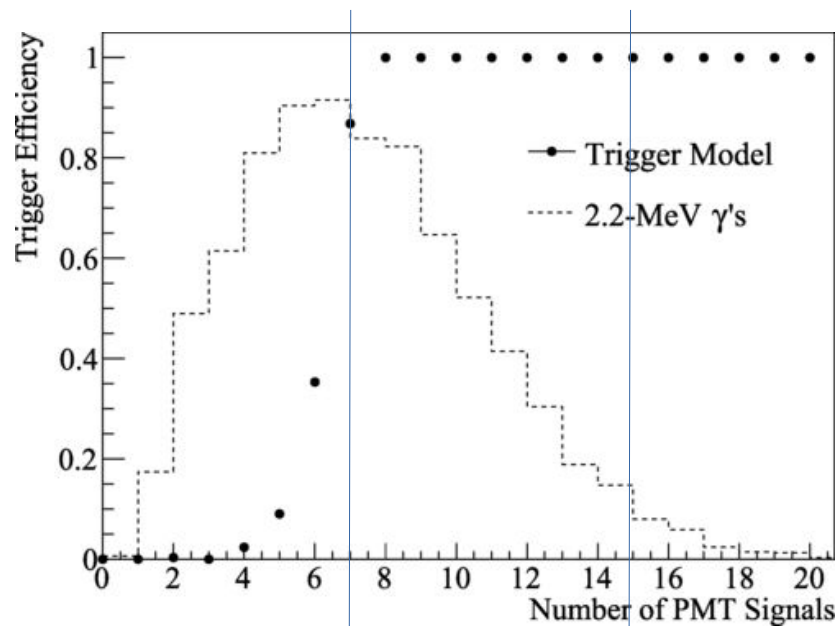
$N_{p,12}$  and  $N_{p,12}/N_{\text{hits}}$  +

$T_{\text{rms}}$ ,  $N_{\text{cluster}}$ ,  $\phi_{\text{rms}}$ ,  $\theta_{\text{mean}}$ ,  $\theta_{\text{rms}}$

(borrowed from SuperKamiokande)

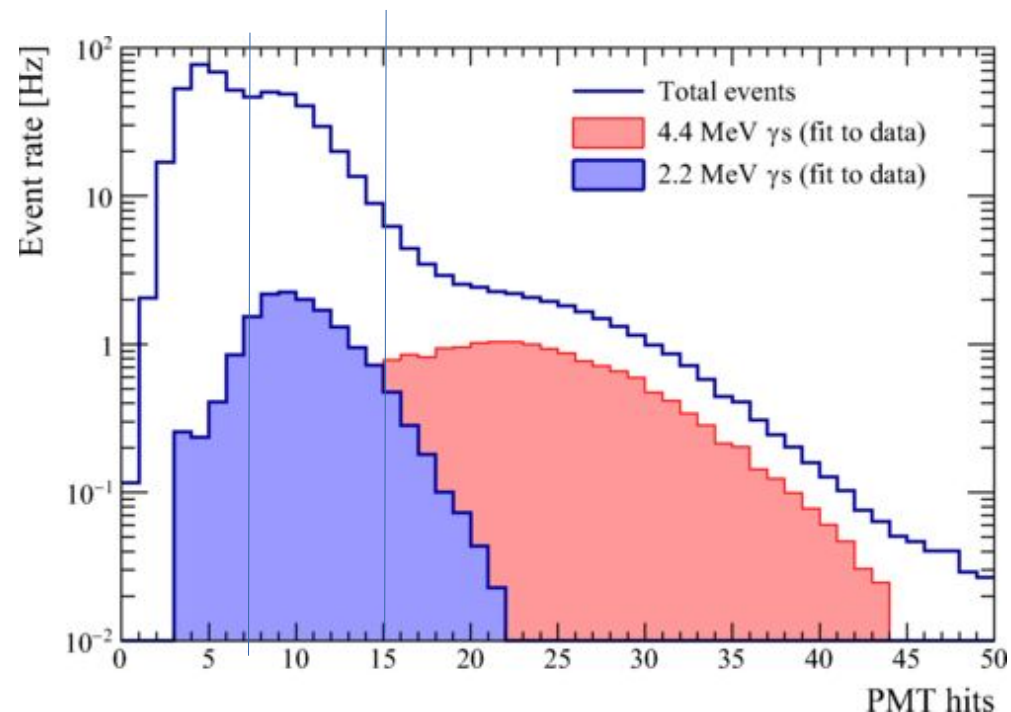
# the SNO+ trigger model

for efficiency at the lowest energy thresholds



7.0 pulses (89 ns width sum over PMTs)  
 ~ 1.4 MeV electron at the center of the detector

both SNO LETA & SK-IV set triggers at 3.5 MeV,  
 mostly to avoid large radioactive background rates



One of the main uncertainties in antineutrino search:  
 simulation efficiency on the full detector volume corrected  
 by  $0.85 \pm 0.16$  (LR) and  $0.89 \pm 0.23$  (BDT)

# the ( $\alpha, n$ ) background

another first time measurement in water

$^{18}\text{O}(\alpha, n)^{21}\text{Ne}$  in the water,  
 $^{21}\text{Ne}^*$  (2.8 MeV  $\gamma$ )

$^{13}\text{C}(\alpha, n)^{16}\text{O}$  in the AV,  
 $^{16}\text{O}^*$  (6.1 MeV  $\gamma$ )

most  $\alpha$  from  $^{210}\text{Po}$  in the AV  
then leaching into the water

expectation from radio-assays  
and ex-situ measurements

	LR	BDT
Reactor IBD	$3.5 \pm 0.7$	$4.8 \pm 1.4$
Accidentals	$0.7 \pm 0.1$	$1.4 \pm 0.1$
( $\alpha, n$ )	$0.7 \pm 0.7$	$0.9 \pm 0.7$
Atm. $\nu$ NC	$0.4 \pm 0.3$	$<0.6$
Sum	$5.3 \pm 1.0$	$7.7 \pm 1.7$
Observation	9	10
AV region signal	17	25
background	$2.6 \pm 0.2$	$7.1 \pm 0.5$

same analyses except for FV  
190 days of more pure data +  
141 days of less pure data

Higher than predicted: smaller leaching from AV to water?  
Scaled the predicted rate from the AV (6.1 MeV  $\gamma$ ) by 4,  
added a 400% uncertainty for the water (2.5 MeV  $\gamma$ )!



# antineutrinos in pure water

how useful can this be?

Nice end-to-end test of SNO+, namely for the trigger model, the  $(\alpha, n)$  background, ...

- ~ 50 % efficiency for triggering neutron capture in water at the center of the detector
- ~ 33 % efficiency for triggering on reactor antineutrinos in water in the full volume
- ~ unfortunately also revealed 4 x more  $(\alpha, n)$  than expected

SK-IV has similar efficiency but following high energy event (relic SuperNova neutrinos)!

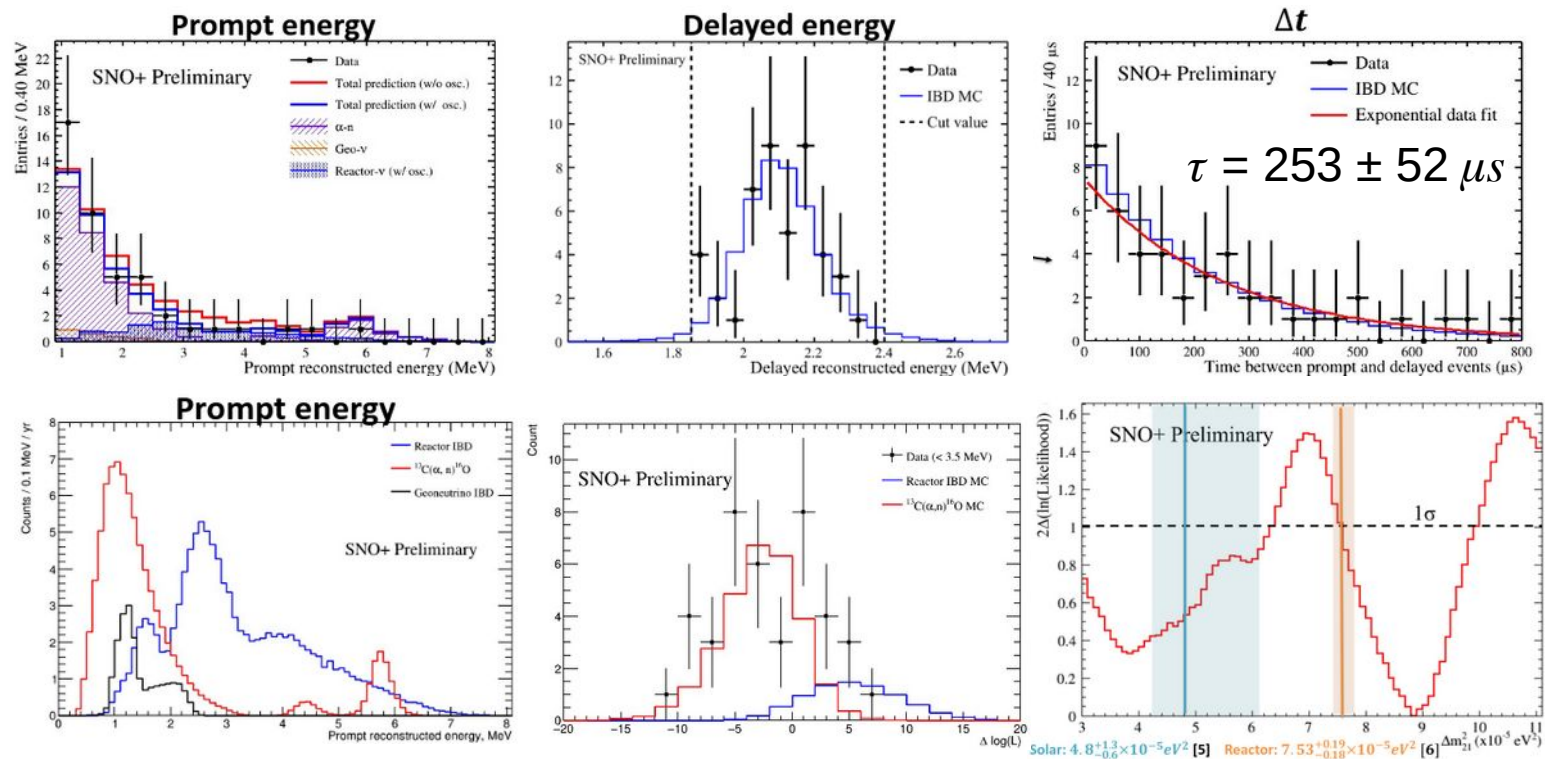
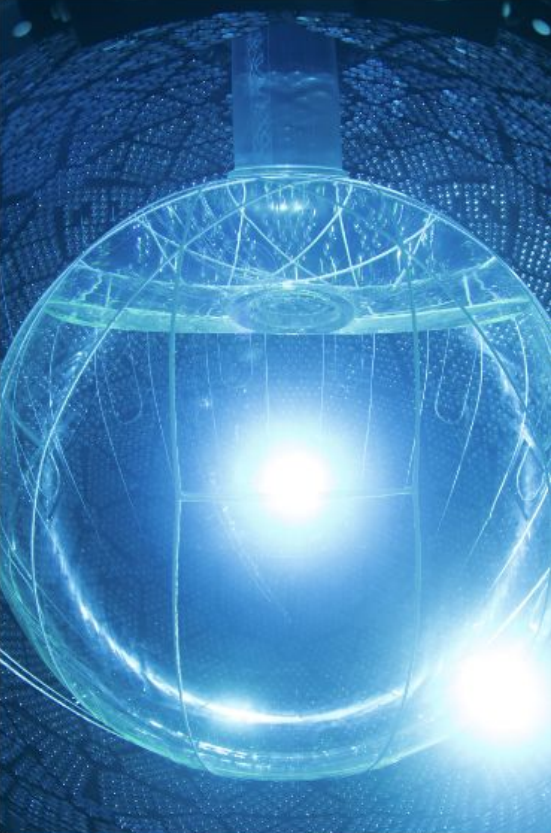
SK-Gd increases the efficiency with 90 % (50 %) with 0.1% (0.01%) concentration of Gd (9 MeV g)  
But needed to refurbish the SK tank to avoid Gd leaks to environment  
Same would be true with Cd, maybe less with Cl?

Pure water is much easier to use than other materials. Maybe we could have many more neutrino detectors?

A network for reactor antineutrinos, SN and pre-SN antineutrinos, maybe even the very low energy geo-neutrinos?

# antineutrinos in partial fill

45 events for 44.9 expected, 9.4 from reactor and 2.2 geos



results from  
Neutrino 2022

discrimination of ( $\alpha$ ,n) background

--> measuring oscillations



# outlook

SNO+ observed for the first time reactor antineutrinos in water !

An end to end test of the detector for the water phase and beyond

SNO+ is now measuring the reactor anti-neutrino spectrum in scintillator

reactor antineutrinos have high sensitivity to “solar” oscillation parameters  
any new measurement of geoneutrinos will help to constrain Earth models

Observation of low energy antineutrinos in a pure water Cherenkov detector  
is a technical achievement that may open the way for future projects



# SNO+ Collaboration



LIP @ SNO+ supported by  
PTDC/FIS-PAR/2679/2021

