# The Top quark

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Introduction
 Discovery of the Top quark
 Decay and production
 Cross section measurements



### Introduction

- Pre-discovery
- Motivation: theory and experiment
- Experimental results on top quark events
- First measurements

### 1974

### With the discovery of the $J/\Psi$ :

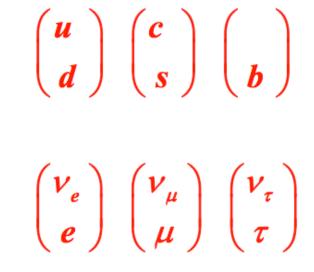
quarks

 $\begin{pmatrix} u \\ d \end{pmatrix} \begin{pmatrix} c \\ s \end{pmatrix}$ 

 $\begin{pmatrix} \boldsymbol{v}_{e} \\ \boldsymbol{\rho} \end{pmatrix} \begin{pmatrix} \boldsymbol{v}_{\mu} \\ \boldsymbol{\mu} \end{pmatrix}$ leptons

## 1975-1977

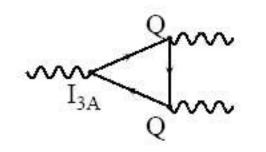
- Discovery of tau ( $\tau$ ) lepton at SLAC (1975): Mark-I expt. ( $v_{\tau}$  from the decay kinematics)
- Discovery of the Y (bb) at Fermilab (1977)



- b: non SM? iso-singlet? SM iso-doublet?
- 1984: DESY measurement of e<sup>+</sup>e<sup>-</sup>→b<sup>-</sup>b FB asymmetry: (22.5 ± 6.5)%
   cf. 25.2% SM iso-doublet, 0% iso-singlet
- If SM is correct there must be a iso-doublet partner, the top quark
- Mass? b/c/s 4.5/1.5/0.5: Mass=15 GeV?

## The theory: Why?

- The SM is not a "renormalizable" gauge theory in the absence of the top quark
- Renormalizability is a crucial feature, enabling the SM to be theoretically consistent and be usable as a tool to compute the rate of subnuclear processes between quarks, leptons, and gauge bosons
- Diagrams containing so-called "triangle anomalies" (right), cancel their contributions, thus avoid breaking the renormalizability of the SM, only if the sum of electric charges of all fermions circulating in the triangular loop is zero:



$$\Sigma Q = -1 + 3 \times [2/3 + (-1/3)] = 0$$

lepton electric charge quark (up/down) charge

### Searches at e<sup>+</sup>e<sup>-</sup> colliders

direct count of

number of quarks

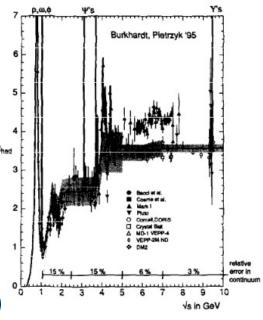


- Search for narrow resonance
- Look for increase in R=(# of hadron events)/(# of  $\mu\mu$  evts)

$$R \equiv \frac{\sigma(e^+e^- \to \text{hadrons})}{\sigma(e^+e^- \to \mu^+\mu^-)} = 3\sum_f Q_f^2$$

- Global event characteristics: look for spherical component

- Negative results. Set limits: Mt>23 GeV
- TRISTAN (Japan) built to study the top quark (early '80s)
  - Similar search technique:
  - Could reach ~30GeV: Mt>30 GeV
- SLC/LEP (SLAC)
  - Look for Z→tt
  - $-M_t$ >45 GeV
- Reached kinematic limit for direct searches at e<sup>+</sup>e<sup>-</sup> colliders



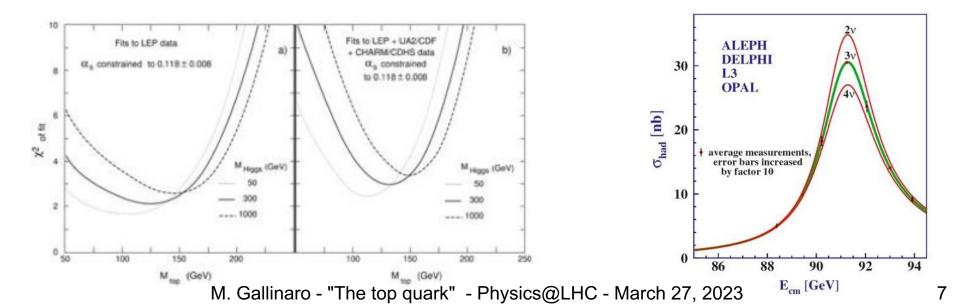
### Indirect searches from e<sup>+</sup>e<sup>-</sup> colliders

• In the SM, various EWK observables depend on the mass of the top quark



 Precision measurements of the EWK parameters, allow to measure virtual corrections with sufficient precision to put constraints on M<sub>top</sub>

- Prediction upper limit<200-220 GeV

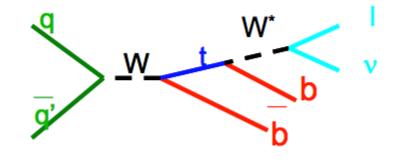


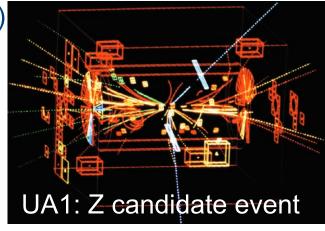
## Early searches at hadron colliders

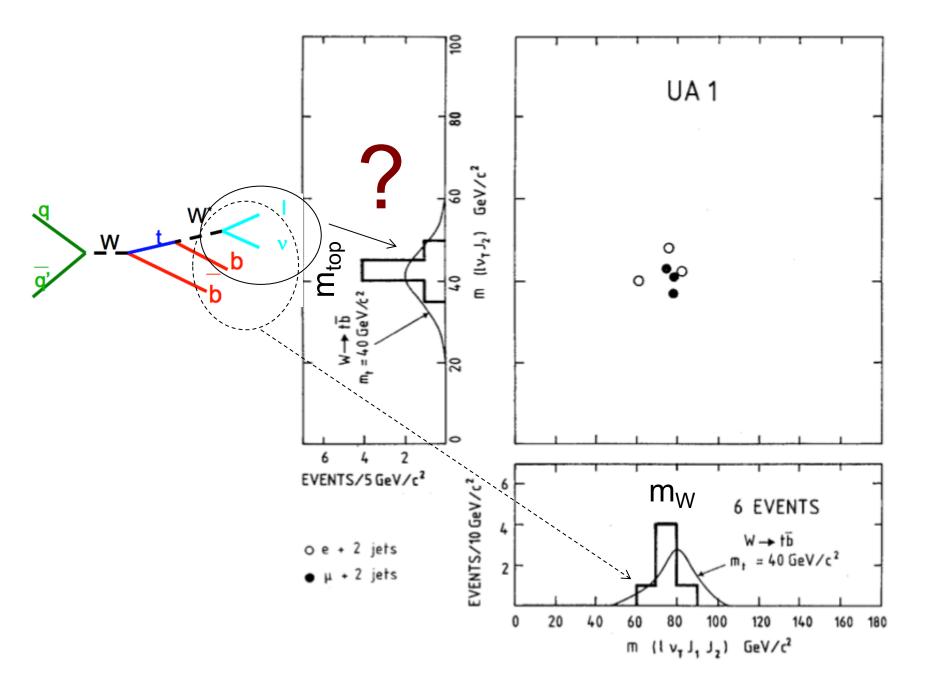
### CERN SppS ( $\sqrt{s}=540$ GeV) built to observe W,Z

- Access to much higher energies
- Large backgrounds, low event rates
- Difficult reconstruction: jets
- 1984: UA1
- W→tb→lvbb
- Isolated high-p<sub>T</sub> lepton
- 2 or 3 hadronic jets
- Observe 5 events (e+  $\geq$ 2 jets), 4 events ( $\mu$ +  $\geq$ 2 jets)
- Expected background: 0.2 events
  - Fake leptons dominate; bbar/ccbar negligible
- Result consistent with  $M_{top}$ =40±10 GeV
- Stop before claiming discovery...

 $\Rightarrow$ W+jet background was underestimated

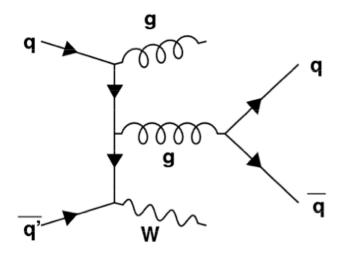






### Searches at hadron colliders

- 1988 UA1
- Larger data sample (x6, total of 600nb<sup>-1</sup>)
- Improved understanding of the backgrounds
- Fake leptons, W+jets, DY, J/Ψ, bbar/ccbar



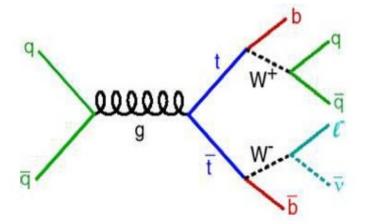
<u>channel</u>	observed	expected background
$\mu$ + $\geq$ 2 jets	10 events	$11.5 \pm 1.5$ events
$e + \ge 1$ jets	26 events	$23.4 \pm 2.8$ events
	$(+23 \text{ expected if } M_{top} = 40 \text{ GeV})$	

### $\Rightarrow$ conclude M<sub>top</sub>>44 GeV

### Fermilab joins the hunt

- 1988-89: at CERN, UA2 remains after the upgrades
- $\sqrt{1.8}$  TeV@Fermilab vs.  $\sqrt{0.63}$  TeV@CERN
- Much better reach for larger mass (only 75 GeV@UA2)
- At Tevatron, pair production dominates: tt→ Wb Wb

%	ev	μν	τν	<i>qq</i>
ev	1.2	2.5	2.5	14.8
μν		1.2	2.5	14.8
τν			1.2	14.8
<u>q</u>				44.4

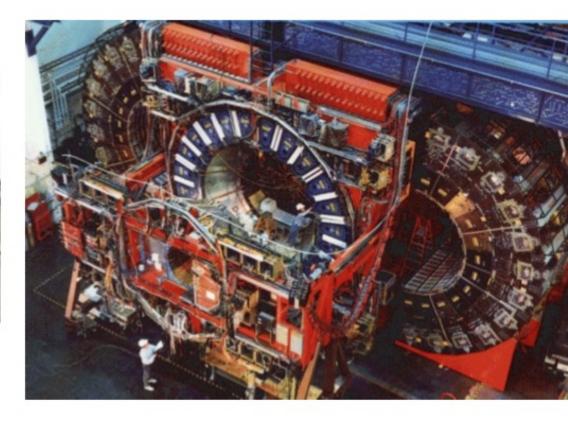


### Tevatron

### Proton-antiproton collision at 1.8-2.0 TeV



12 countries, 62 institutions 767 physicists



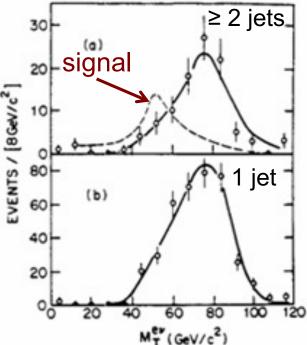
### Searches at CDF

#### eµ channel

- Event rate lower: 2xBR(W→ev)
- Background small (no W+jets, no DY)
- Dominant background is  $Z \rightarrow \tau \tau \rightarrow e \mu X$  (expect 1 evt)
- Observe 1 event (expect 7 evts for  $M_{top}$ =70 GeV)
- ev+ ≥2 jets
- Dominant background: W+jets
- Discriminant: ev transverse mass
  - Background: W on-shell
  - Signal: W off-shell for  $M_{top}$ =40-80 GeV

### ⇒M<sub>top</sub>>77 GeV

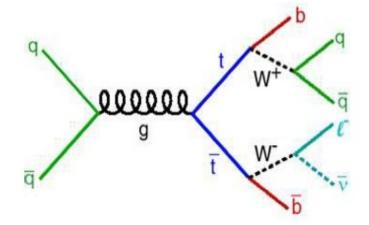
• UA2 uses similar technique: M<sub>top</sub>>69 GeV



# Change of strategy: M<sub>top</sub>>M<sub>b</sub>+M<sub>W</sub>

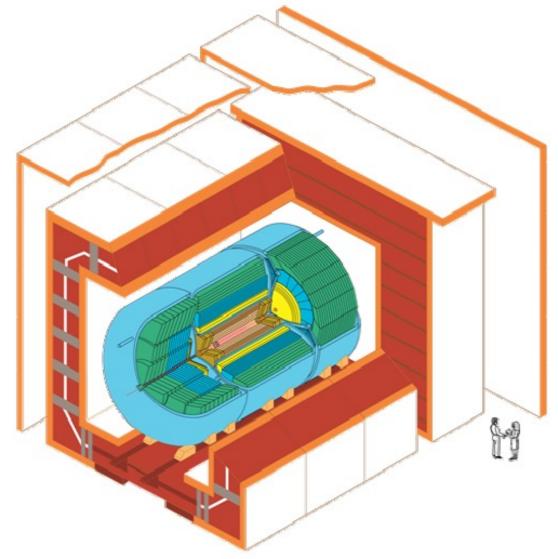
- Top quark decays to on-shell Ws: no  $M_T(I_V)$  discriminant
- Main differences:
  - -background: W+jets (largely quarks and gluons)
  - -signal: W+jets (2 jets are b-jets)
- CDF publication on 88-89 data:
  - Dilepton: include ee,  $\mu\mu$ ,  $e\mu$  (require missing ET, Z-veto)
  - Single lepton: require low  $p_T$  muon (semi-leptonic b-decays)

 $\Rightarrow$  M<sub>top</sub>>91 GeV





D0 joins the hunt



DØ Detector

### Searches at Tevatron: CDF and D0

### 1992-1995

- Tevatron with higher luminosity
- D0: excellent calorimetry, large solid angle and coverage
- CDF: precision vertex detector, good tracker, magnetic spectrometer
   Run 1A:
- D0: optimized search for  $M_{top}$ =100 GeV

–eμ+≥1jet+MET	1 evt	(1.1 bkg)
–ee+≥1jet+MET	1	(0.5)
–e+≥4jets+MET	1	(2.7)
– μ+≥4jets+MET	0	(1.6)

⇒M<sub>top</sub>>131 GeV@95%CL

### Detecting the top quark at CDF

<u>e + 4 jet event</u>

TWO jets tagged by SVX

fit top mass is 170 +- 10 GeV

 $e^+$ , Missing E<sub>t</sub>, jet #4 from top

40758 44414

24-September, 1992

- Strategy
  - dilepton: +2 jets
  - single lepton: b-tagging
    - 1) soft e/ $\mu$ : semi-leptonic b-decay
    - 2) secondary vertex



jets 1,2,3 from top ( 2&3 from W ) Jet #2 Jet #2 Jet #2 Jet #2 Jet #2 Jet #2 Jet #4 Je

New: CDF vertex detector (SVX) (40 μm impact parameter resolution) powerful discriminant against background

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iet #3

iet #1

et #2

Tevatron beam pipe

≃SVX tags

Secondary

Vertex

Ellipses

5 mm

fit neutrino

SEUDO-

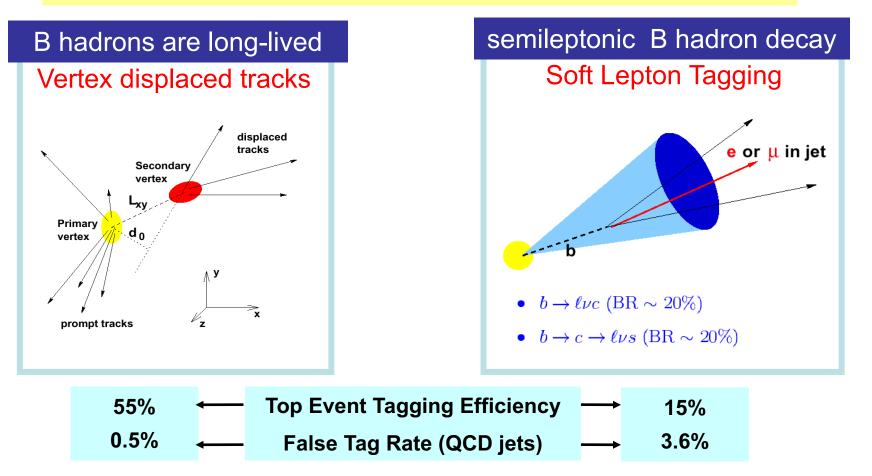
LEGO view

iet #4

ME1

# Tagging b-jets

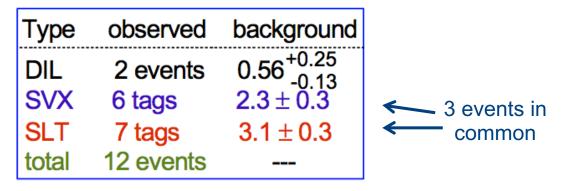
- Top events contain B hadrons
- Only 1-2% of dominant W+jets background contains heavy flavor



### 1993

Coll. Meeting, Aug. 1993:

- Status report from each group (dilepton, single lepton)
- Small, not significant excess in all channels



- In total, an excess of events
- Background fluctuation probability:  $2.8\sigma$
- Skepticism, additional studies, cross-checks
- Additional 8 months before making the results public

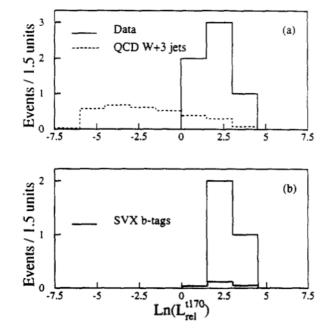
## Final steps: CDF and D0

#### CDF: counting experiment yields $2.8\sigma$

- Few checks: no major discrepancy
- Other checks consistent with presence of signal
- Mass distribution looked good

#### There were also other analyses at CDF

- Difference of jet  $E_T$  spectra for signal and bkg
- Separate two component for signal and bkg
- CDF chose not to use those for first publication
- Use "counting" experiment
- D0: added more data and re-optimized for heavy top (single and dilepton)
- Observed 7 events (expect 4-6 from bkg)
- No independent evidence



### First evidence (1994)

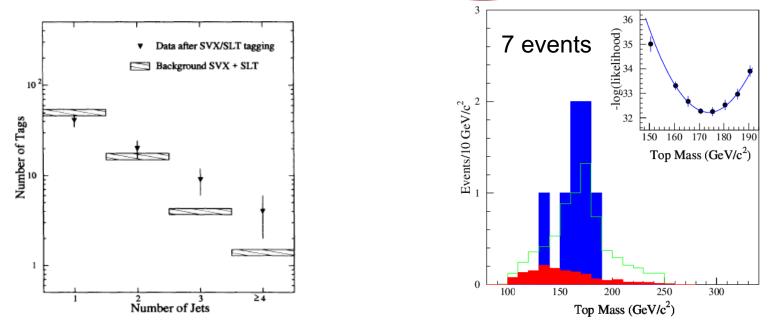
VOLUME 73, NUMBER 2

#### PHYSICAL REVIEW LETTERS

11 JULY 1994

#### Evidence for Top Quark Production in $\bar{p}p$ Collisions at $\sqrt{s} = 1.8$ TeV

We summarize a search for the top quark with the Collider Detector at Fermilab (CDF) in a sample of  $\bar{p}p$  collisions at  $\sqrt{s} = 1.8$  TeV with an integrated luminosity of 19.3 pb<sup>-1</sup>. We find 12 events consistent with either two W bosons, or a W boson and at least one b jet. The probability that the measured yield is consistent with the background is 0.26%. Though the statistics are too limited to establish firmly the existence of the top quark, a natural interpretation of the excess is that it is due to  $t\bar{t}$  production. Under this assumption, constrained fits to individual events yield a top quark mass of  $(174 \pm 10 - \frac{13}{2})$ GeV/ $c^2$ . The  $t\bar{t}$  production cross section is measured to be  $(3.9 - \frac{4}{4.8})$  pb



### First measurements

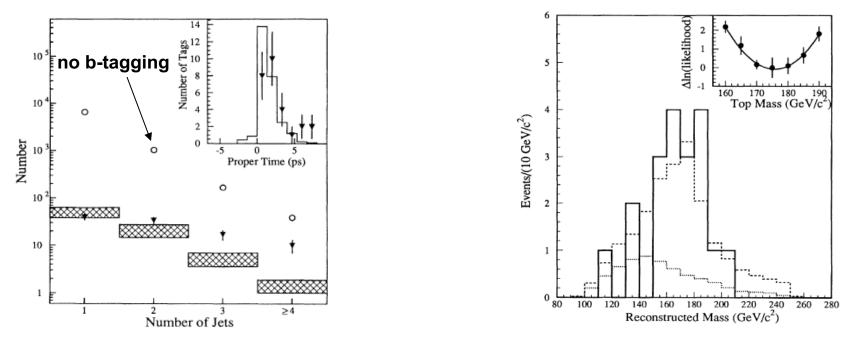
VOLUME 74, NUMBER 14

PHYSICAL REVIEW LETTERS

3 April 1995

#### Observation of Top Quark Production in $\overline{p}p$ Collisions with the Collider Detector at Fermilab

We establish the existence of the top quark using a 67 pb<sup>-1</sup> data sample of  $\overline{p}p$  collisions at  $\sqrt{s} = 1.8$  TeV collected with the Collider Detector at Fermilab (CDF). Employing techniques similar to those we previously published, we observe a signal consistent with  $t\bar{t}$  decay to  $WWb\bar{b}$ , but inconsistent with the background prediction by  $4.8\sigma$ . Additional evidence for the top quark is provided by a peak in the reconstructed mass distribution. We measure the top quark mass to be  $176 \pm 8(\text{stat}) \pm 10(\text{syst}) \text{ GeV}/c^2$ , and the  $t\bar{t}$  production cross section to be  $6.8^{+3.6}_{-2.4}$  pb



### Fermilab - March 2, 1995

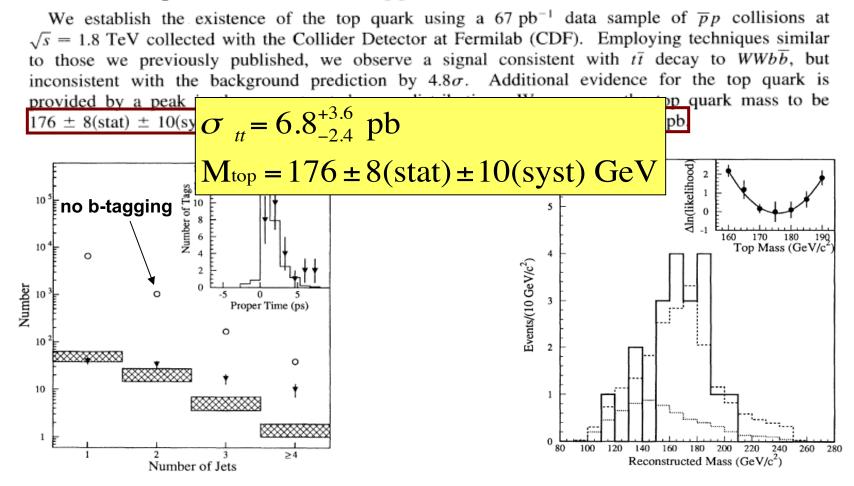
### First measurements

VOLUME 74, NUMBER 14

PHYSICAL REVIEW LETTERS

3 April 1995

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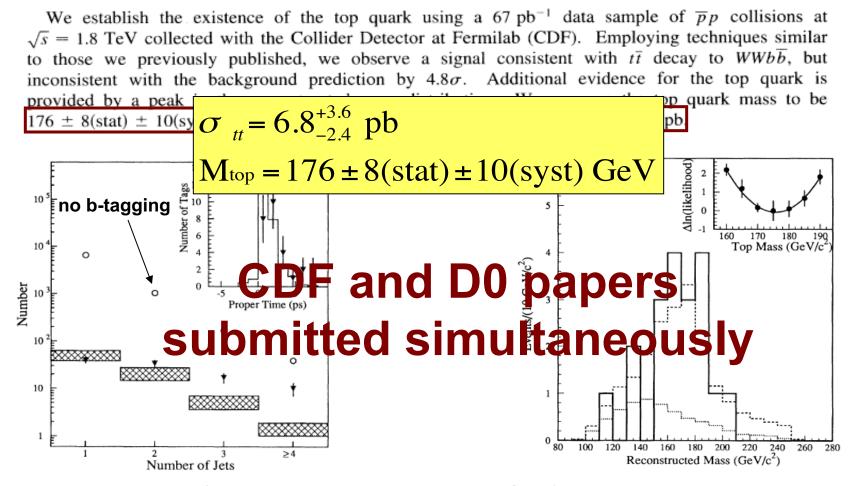
### First measurements

VOLUME 74, NUMBER 14

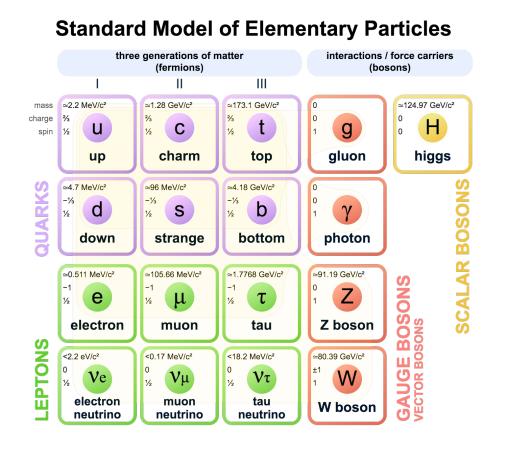
PHYSICAL REVIEW LETTERS

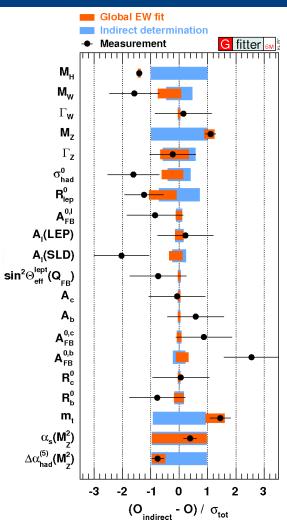
3 April 1995

#### Observation of Top Quark Production in $\overline{p}p$ Collisions with the Collider Detector at Fermilab



### SM confirmed by the data





Excellent agreement with all experimental results

### The Large Hadron Collider

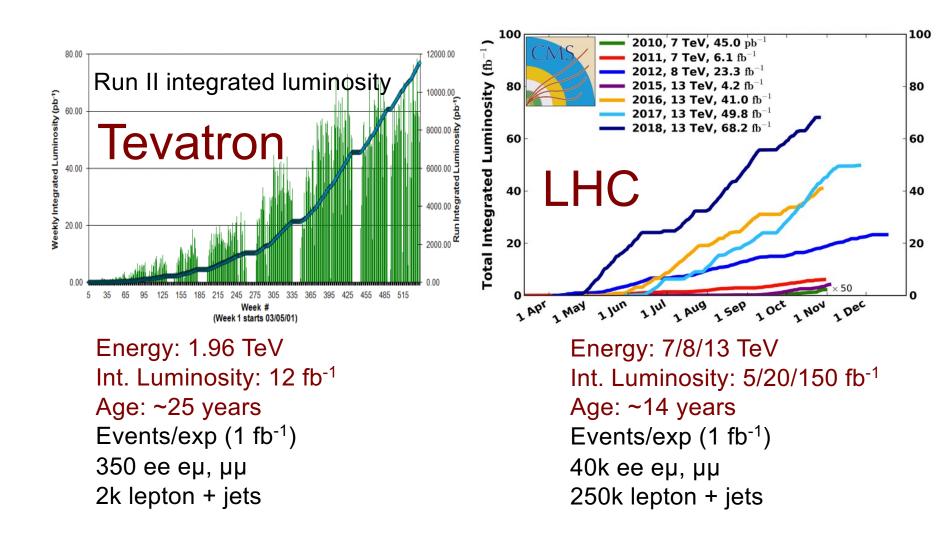
### Built to explore new energy frontiers

- -First colliding beams in 2009
- -started with "low" luminosity in 2010
- -~5 fb<sup>-1</sup>@7TeV delivered in 2011
- -~20 fb<sup>-1</sup>@8TeV in 2012
- ->150fb<sup>-1</sup>@13 TeV in 2015-2018
- ->~50fb<sup>-1</sup>@13.6 TeV in 2022-
- re-establish SM measurements
- access to new physics processes

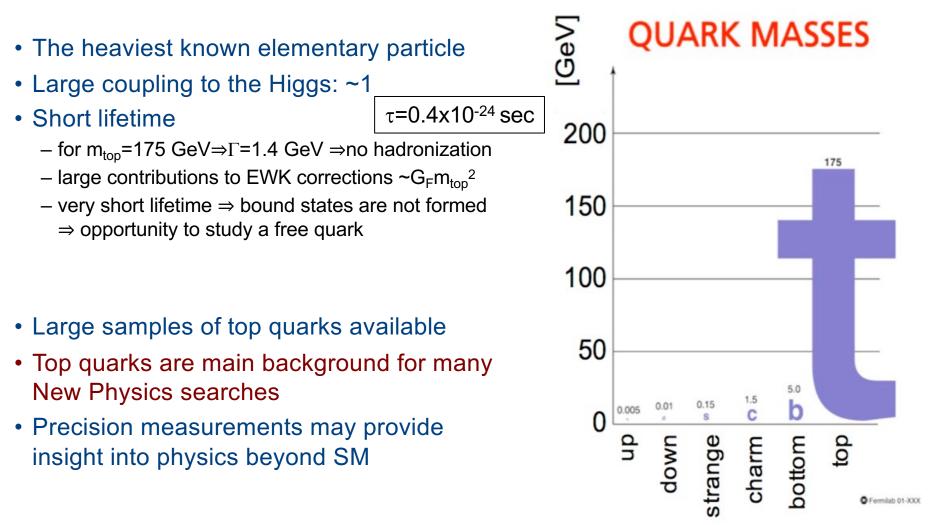


⇒ Top quarks give access to SM and BSM (?)

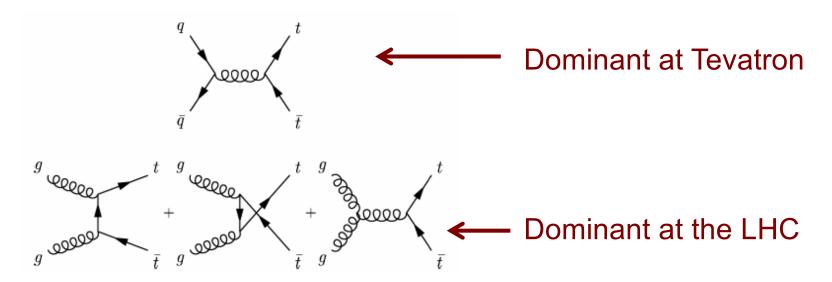
### Tevatron vs LHC



## The top quark



### How is the top quark produced?



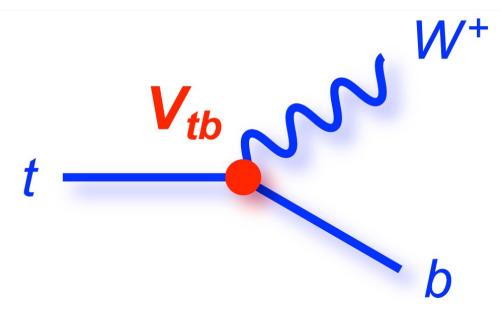
#### Predicted cross sections:

Collider	$\sigma_{ m tot}$ [pb]	scales [pb]	PDF [pb]
Tevatron	7.164	+0.110(1.5%) -0.200(2.8%)	+0.169(2.4%) -0.122(1.7%)
LHC 7 TeV	172.0	+4.4(2.6%) -5.8(3.4%)	+4.7(2.7%) -4.8(2.8%)
LHC 8 TeV	245.8	+6.2(2.5%) - $8.4(3.4\%)$	+6.2(2.5%) -6.4(2.6%)
LHC 14 TeV	953.6	+22.7(2.4%) -33.9(3.6%)	+16.2(1.7%) -17.8(1.9%)

	LHC	Tevatron
gg	~85%	~10%
qq	~15%	~90%

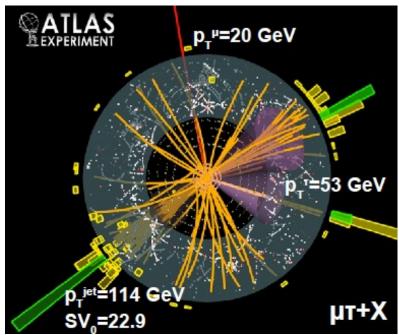
Czakon et al. PRL 110, 252004 (2013)

### How does a top quark decay?

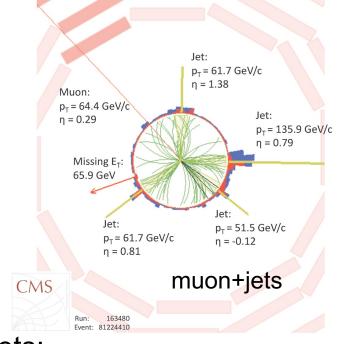


- almost always t $\rightarrow$ Wb (i.e. V<sub>tb</sub> $\sim$ 1)
- lifetime is short, and it decays before hadronizing
- the W is real:
  - − can decay W→I<sub>V</sub> (I=e,µ, $\tau$ ), BR~1/9 per lepton
  - can decay W→qq, BR~2/3

### Selection of top quark events



- Trigger:
  - single or double (isolated) lepton
- Leptons:
  - -e/μ, p<sub>T</sub>>20/30 GeV, |η|<2.5
  - Identification/reconstruction
  - Tracker/calorimeter isolation

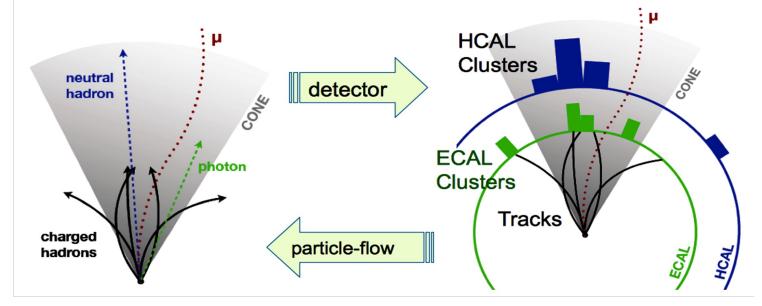


- Jets:
  - at least 2 jets,  $p_T$ >30 GeV,  $|\eta|$ <2.5
  - -anti-kT algorithm, with cone 0.4-0.5
  - -b-tagging is optional
- Missing transverse energy:
  - Typically require 30-40 GeV

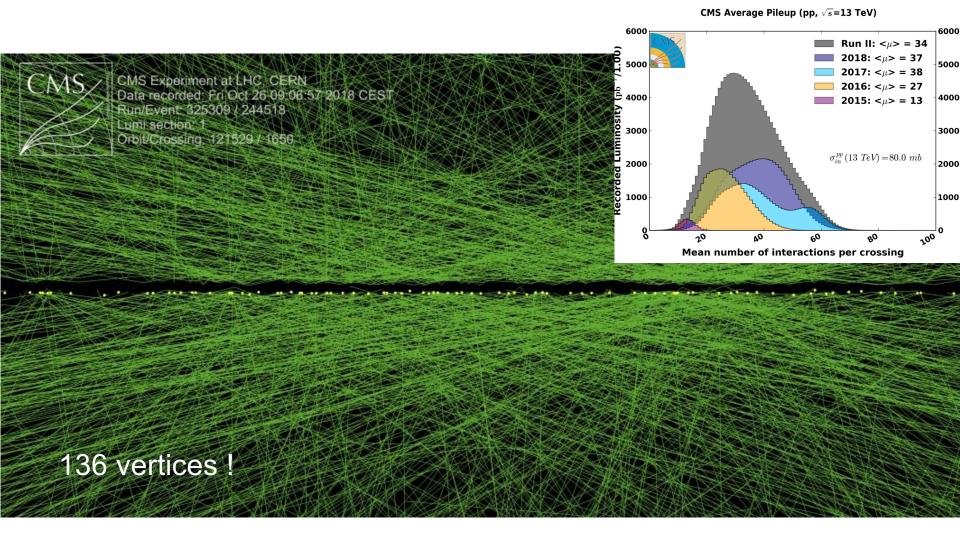
### Particle Flow event reconstruction

- Particle Flow (PF) combines information from all subdetectors to reconstruct particles produced in the collision
  - charged hadrons, neutral hadrons, photons, muons, electrons
  - use complementary info. from separate detectors to improve performance
  - tracks to improve calorimeter measurements
- From list of particles, can construct higher-level objects

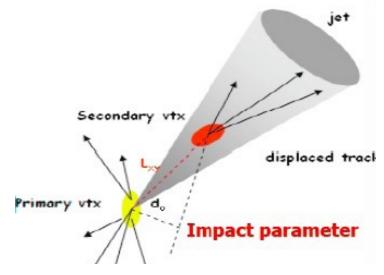
-Jets, b-jets, taus, isolated leptons and photons, MET, etc.

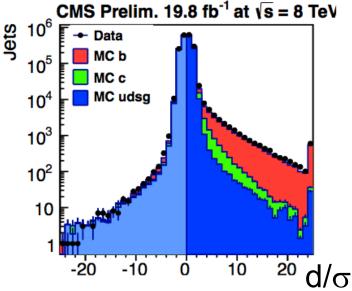


### ... in a challenging environment



## Challenge: b-tagging



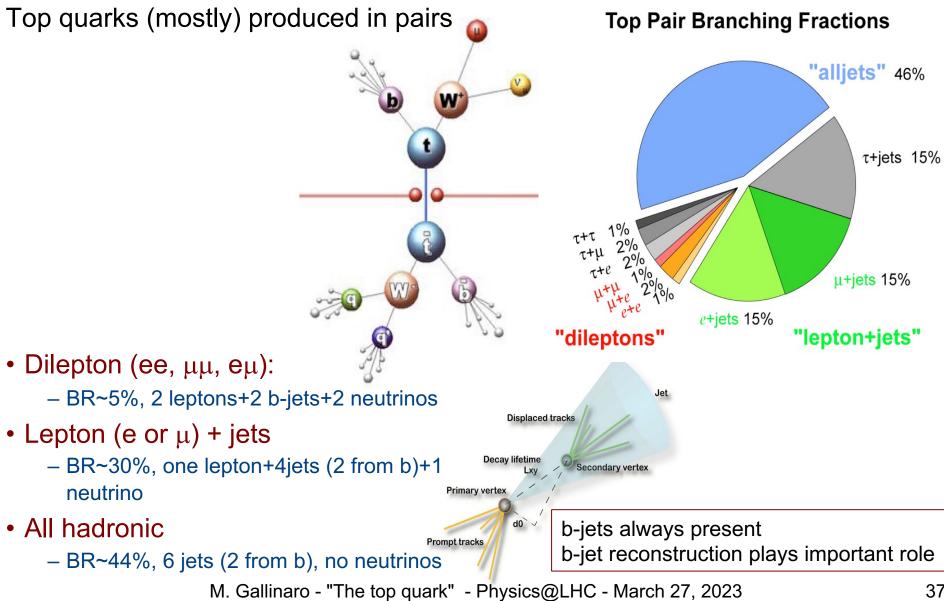


- •Lifetime: τ<sub>b</sub>~1-2 psec
- Reduction of background obtained by identifying jets from b-quarks
- Two methods:
  - Secondary vertex tagging
  - Semileptonic decays of b-hadrons in jets  $(b \rightarrow l v_l X)$

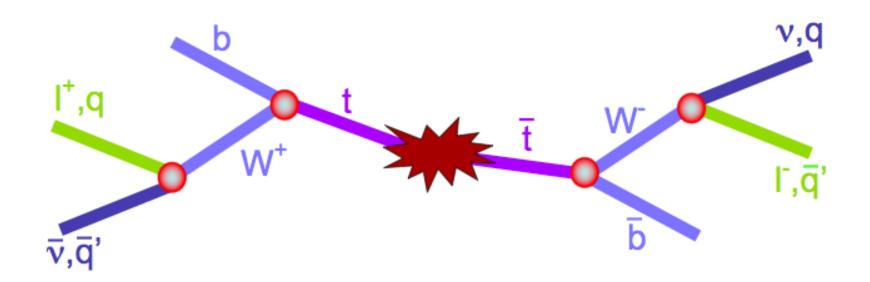


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# Top quark decays



# Interesting physics with Top quark



#### **PRODUCTION**

...

Cross section Resonances X→tt Fourth generation t' Spin-correlations New physics (SUSY) Flavour physics (FCNC)

#### **PROPERTIES**

Mass Kinematics Charge Lifetime and width W helicity Spin

#### DECAY

...

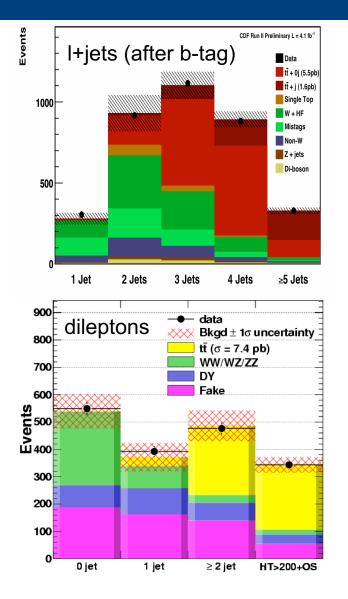
Branching ratios Charged Higgs (non-SM) Anomalous couplings Rare decays CKM matrix elements Calibration sample @LHC

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...

# Top quark events

- LHC@13TeV cross section ~100 times larger than Tevatron
- select ttbar events at LHC:
  - -understand/calibrate detector
  - -measure properties
- event selection includes SM control events
- ttbar final state is complex (ie not mass peak)
- Top quarks and new physics:
  - ttbar sample may contain new physics
  - look at jet multiplicity bins (since ttbar is background e.g. for SUSY), or other variables



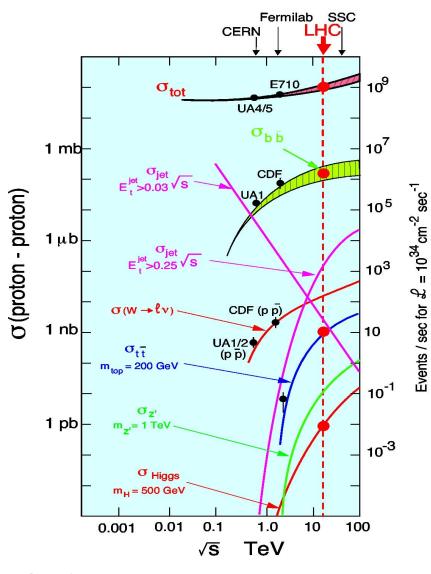
# Theory cross sections: TeV vs LHC

Collider	$\sigma_{ m tot}$ [pb]	scales [pb]	PDF [pb]
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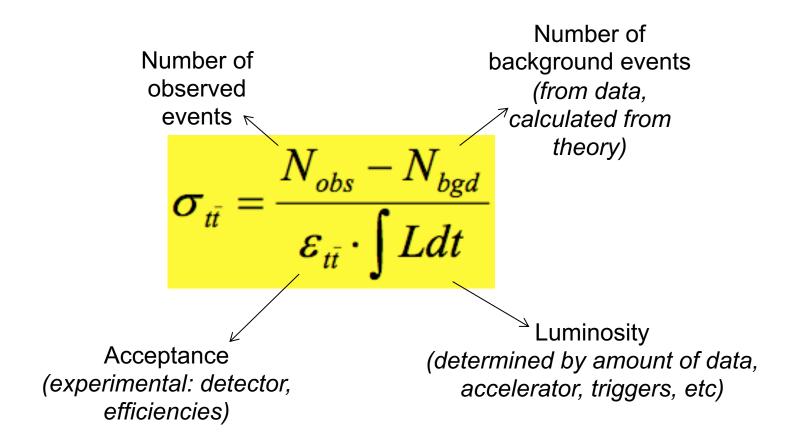
Including NNLO+NNLL approximations PRL 110, 252004 (2013) (M. Czakon et al.)

### Top cross section at 7/8 vs 13 TeV

- LHC collisions started at 7/8 TeV
- LHC design is at 14 TeV
- Top cross section drops faster than background processes at lower sqrt{s}
  - top  $\sigma$ (7TeV) = 172 pb
  - top  $\sigma$ (8TeV) = 246 pb
  - top σ(13TeV)= 832 pb
- Background is more "flat"

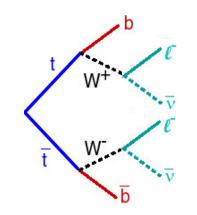


### **Cross section measurement**

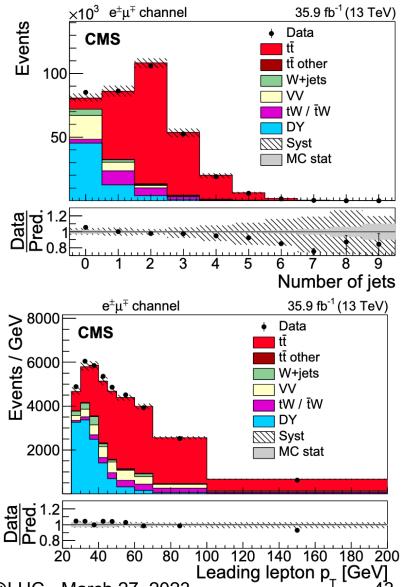


# **Dilepton channel**

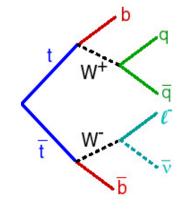
EPJC 79(2019)368



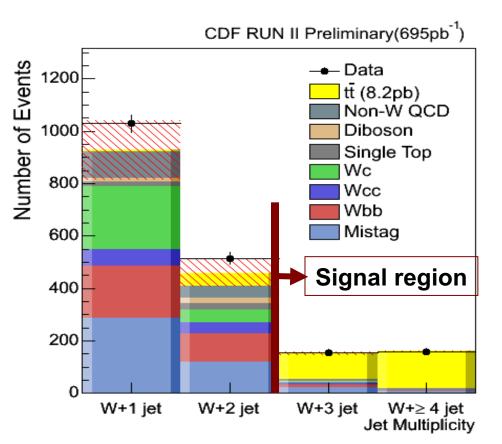
- Branching ratio (BR) ~5%
- Background: small
- Clean final state
  - two leptons + ≥2 jets + MET
  - kinematic variables
- Signal visible w/without b-tagging
- Main systematics: JES, lepton ID, (pileup, b-tag, signal modeling)



# Lepton + jets



- BR ~30%
- Background: moderate
- Selection:
  - one lepton + ≥3 jets + MET
  - may require b-tag

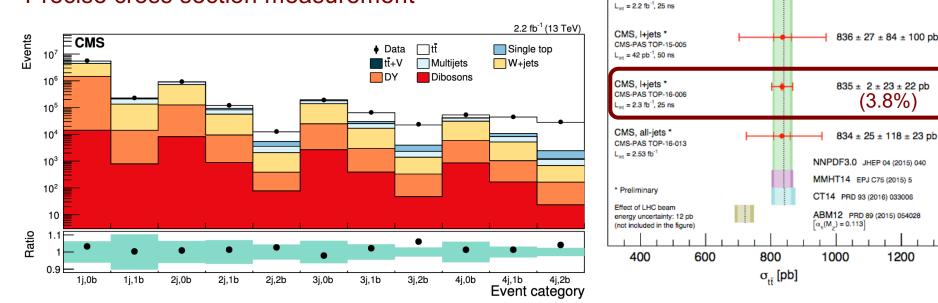


- Main backgrounds:
  - hadronic multi-jet, W+jets

# Cross section: multi-dimensional fit

#### JHEP 09(2017)051

- Lepton+jet final state
- Keep selection as inclusive as possible
- Categorize events according to (b-) jet multiplicity
  - high-purity vs background dominated
  - Constrain systematics (JES, ISR/FSR, modeling, etc)
- Combined fit of M<sub>lb</sub> to signal and backgrounds
- Precise cross section measurement



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1200

σ<sub>ut</sub> summary, √s = 13 TeV

total stat

σ, ± (stat) ± (syst) ± (lumi)

746 ± 58 ± 53 ± 36 pb

793 ± 8 ± 38 ± 21 pb

July 2016

**CMS Preliminary** 

scale uncertainty

CMS, dilepton eµ

L<sub>se</sub> = 43 pb<sup>-1</sup>, 50 ns

PRL 116 (2016) 052002

CMS, dilepton eu \*

CMS-PAS-TOP-16-005

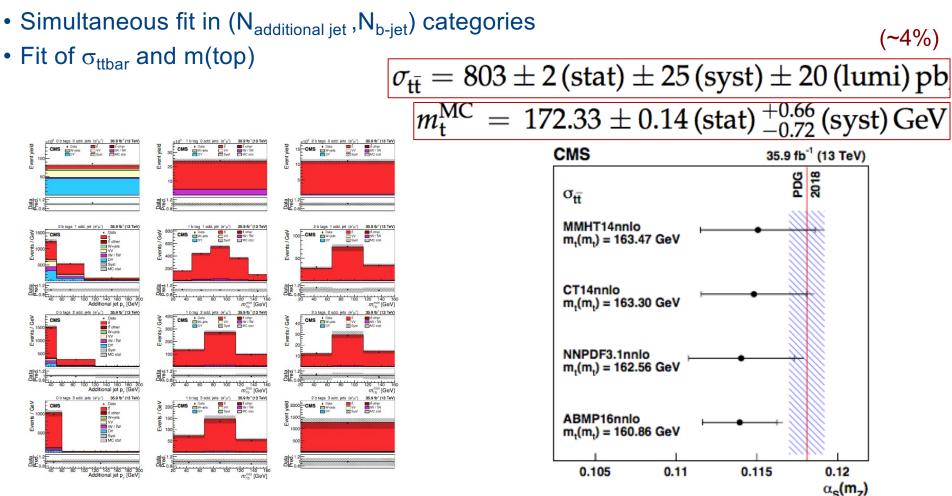
scale  $\oplus$  PDF  $\oplus \alpha_e$  uncertainty

NNLO+NNLL PRL 110 (2013) 252004

 $m_{top} = 172.5 \text{ GeV}, \ \alpha_s(M_{\star}) = 0.118 \pm 0.001$ 

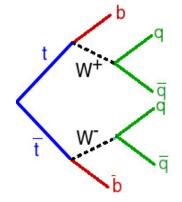
# Cross section: multi-dimensional fit

Dilepton final state



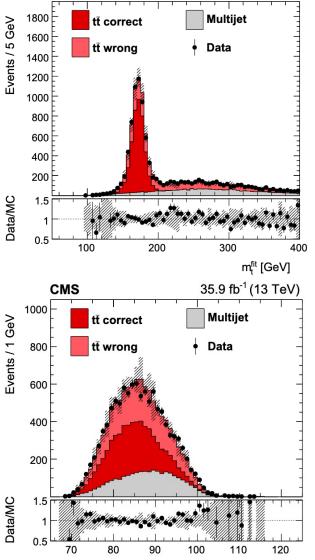
# All hadronic

#### EPJC 79(2019)313



- BR ~46%
- Background: large
- Selection:
  - ≥6 jets + kinematical selection
  - require 2 b-tags
- Main backgrounds:
  - hadronic multi-jet
  - same selection without b-tag

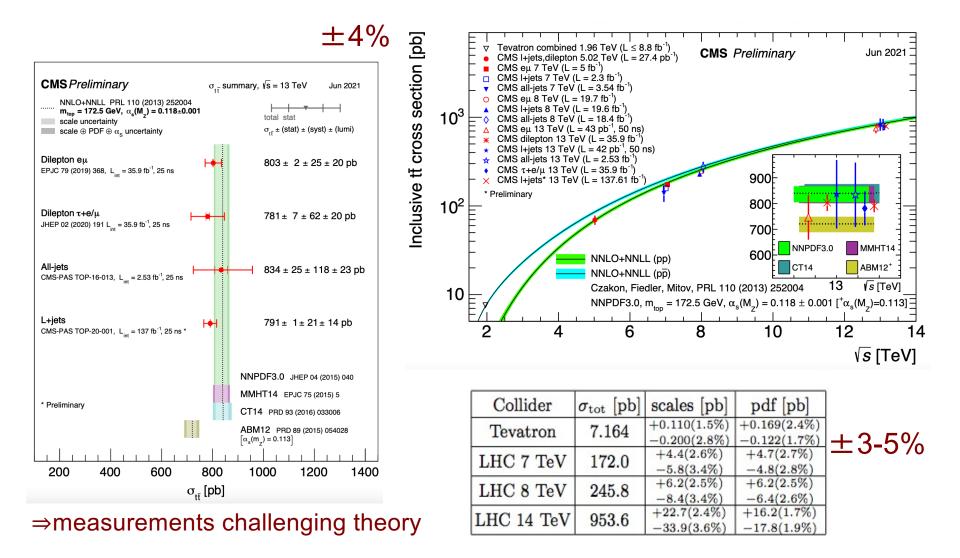
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CMS

35.9 fb<sup>-1</sup> (13 TeV)

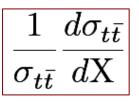
### **Cross sections**



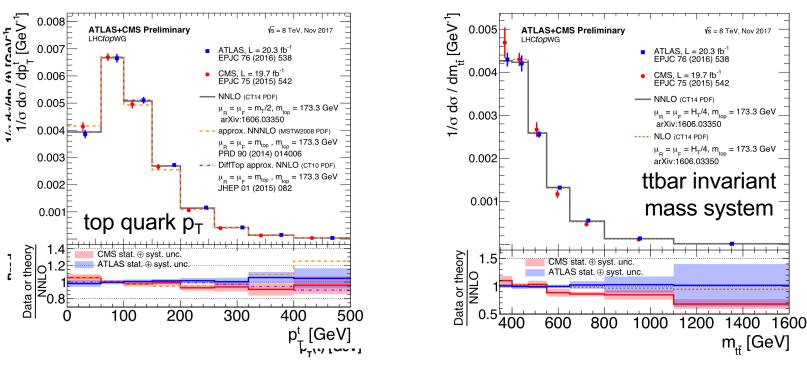
### **Differential cross section**

EPJC 73(2013) 2339, arXiv:1610.04191, TOP-20-001, TOP-20-006

- Measure differential cross section
  - Test perturbative QCD
  - Test BSM scenarios (Z' decays, etc)

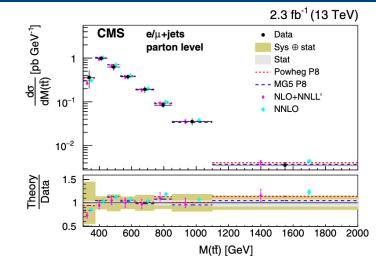


- Cross sections measured as a function of  $p_T$ ,  $\eta$ , invariant mass of the final state leptons, top quarks, ttbar system, etc.
- Good agreement with expectations

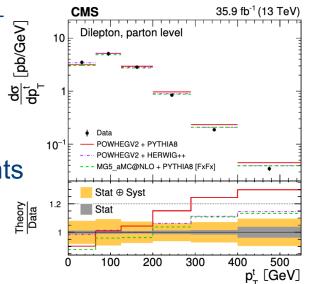


# Differential cross section (cont.)

PRD 95(2017)092001, JHEP 02(2019)149



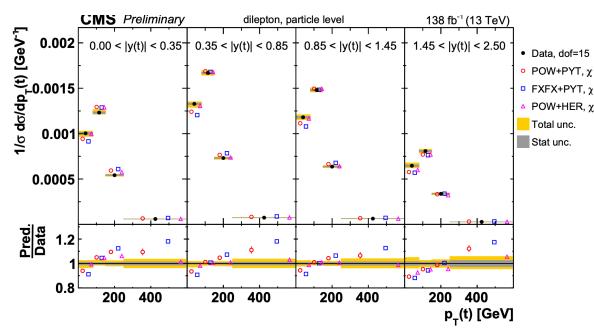
- Correct for detector effects and acceptances
- Softer top  $p_T$  (CMS), agreement in ATLAS at high  $p_T$ 
  - Due to momentum reshuffling, P.Nason, cern.ch/event/301787
  - FSR shower changes mass of final state partons. light partons can build sizeable mass, and t/tbar do not radiate
  - short term solution: consider difference as uncertainty
- Impact on ttH/SUSY/etc searches, tails of ttbar events
- Measure ttbar invariant mass
  - Rate/shape reproduced within uncertainties

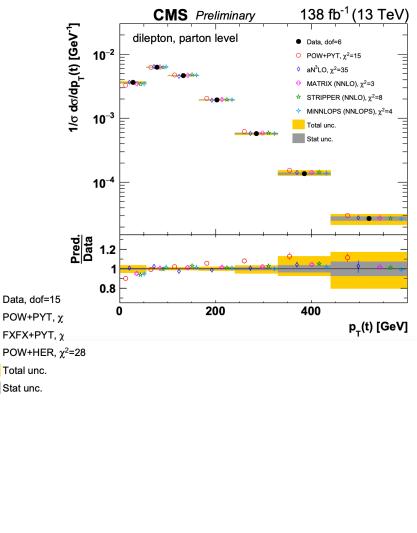


# Multi-differential cross section

#### TOP-20-006

- Multi-differential cross section as a function of top and ttbar kinematics, decay products and additional jets
- Sensitive to SM parameters  $m_t$  and  $\alpha_s$
- Total uncertainty reduced by a factor of ~2 wrt previous analyses





## Summary

- Introduction on top quark
- Basic concepts on production and decays
- Cross section measurements and relevance to BSM searches
- Next lecture: ``Top quarks as probe to New Physics"