

Nucleon spin structure functions in a covariant quark model

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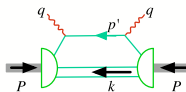
In collaboration with [Franz Gross](#) and [M.T.Peña](#)
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LIP seminar, Lisbon
November 8, 2012

- 1 Introduction
- 2 Spectator quark model
 - Formalism
 - Nucleon wave functions
- 3 Deep Inelastic Scattering
 - Analytical expressions
 - Fits to the data
- 4 Conclusions

Motivation and goals

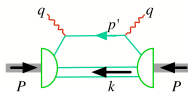
- Describe **Deep Inelastic Scattering (DIS)**



$$W^{\mu\nu} = -2\pi \left\{ \left(g^{\mu\nu} - \frac{q^\mu q^\nu}{q^2} \right) W_1 - \left(P^\mu - \frac{P \cdot q}{q^2} q^\mu \right) \left(P^\nu - \frac{P \cdot q}{q^2} q^\nu \right) \frac{W_2}{M^2} \right. \\ \left. + i\varepsilon^{\mu\nu\alpha\beta} \frac{q_\alpha S_\beta}{P \cdot q} (g_1 + g_2) - \frac{S \cdot q}{M(P \cdot q)} i\varepsilon^{\mu\nu\alpha\beta} \frac{q_\alpha P_\beta}{q \cdot P} g_2 \right\}$$

unpolarized PDF: W_1, W_2 ; **polarized PDF:** g_1, g_2

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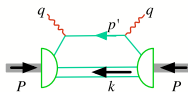
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Covariant spectator quark model: PRC 77,015202 (2008)

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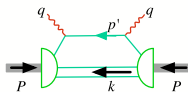


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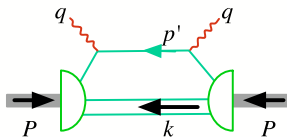
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- ... using a **constituent quark model (CQM)**
- **Covariant spectator quark model:** PRC 77,015202 (2008)
- Valence quarks with orbital angular momentum
- Interpret the effects of orbital angular momentum states

DIS in a quark model



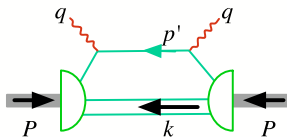
$$(J_{s_q, \lambda, \lambda_n})^\mu = -\bar{u}(p', s_q) j^\mu(q) (\Psi_N)_{\Lambda\lambda}(P, k)$$

Hadronic tensor:

$$W^{\mu\nu}(\lambda) = 3 \sum_{\Lambda, s_q} \iint_{p'k} (J_{s_q, \Lambda, \lambda}^\dagger)^\mu (J_{s_q, \Lambda, \lambda})^\nu$$

$$\iint_{p'k} \equiv \iint \frac{d^3 p'}{(2\pi)^3 2e_q} \frac{d^3 k}{(2\pi)^3 2E_s} (2\pi)^4 \delta^4(p' + k - q - P)$$

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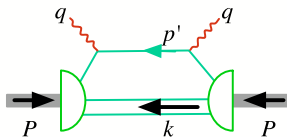
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Required:

DIS in a quark model



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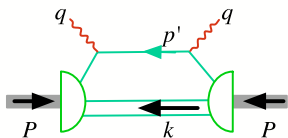
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Required:

- **Quark current:** $\frac{q\cancel{q}^\mu}{q^2}$: include interaction currents behind impulse [Z. Batiz, F. Gross, PRC 58, 2963 (1998)]

$$j^\mu(q) = j_q(+\infty) \left(\gamma^\mu - \frac{\cancel{q}q^\mu}{q^2} \right) + \mathcal{O} \left(\frac{i\sigma^{\mu\nu} q_\nu}{2M} \right)$$

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- Nucleon wave function $(\Psi_N)_{\Lambda\lambda}$

S-state (previous work): W_1, W_2

PRC 77,015202 (2008)

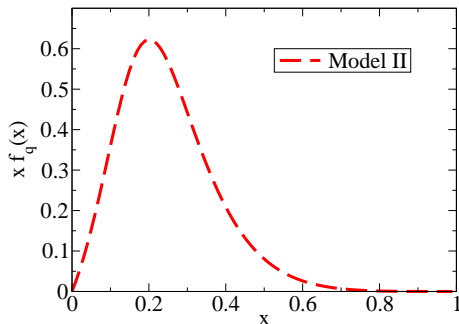
S-state approach

Qualitative description of DIS

Callen-Gross scaling $x = \frac{Q^2}{2M\nu}$

$$\nu W_2(x) = 2MxW_1(x)$$

$$= e_I^2 x f_q(x)$$



Quark distribution function (normalized to 1):

$$f_q(x) = \frac{\mathcal{N}}{4\pi} \int \frac{d^2 k_\perp}{(2\pi)^2 (1-x)} \psi_S^2(k_\perp; x).$$

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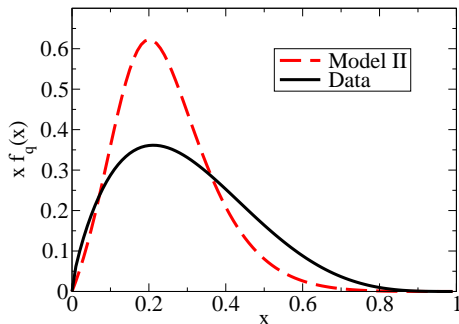
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Predicts $g_2 \equiv 0$, but

$$g_1(x) = \frac{5}{18} e_N f_q(x)$$

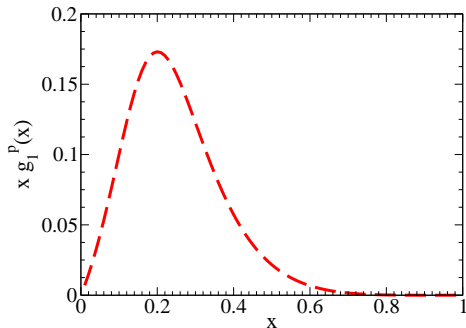
First moment (proton)

$$\Gamma_1 = \int dx g_1(x) = \frac{5}{18} = 0.28$$

$\gg \Gamma_1^{exp} = 0.17.$

Proton spin problem $\Sigma \approx 0.3 \ll 1$

$$\frac{1}{2} = \underbrace{\frac{1}{2}\Sigma}_{q \text{ spin}} + \underbrace{L_q}_{q \text{ OAM}} + \underbrace{L_q}_{\text{gluons}}$$



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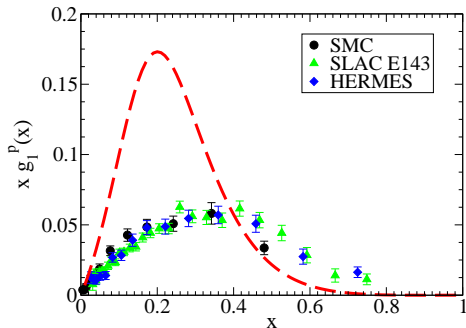
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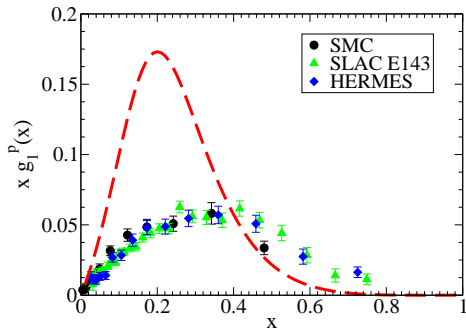
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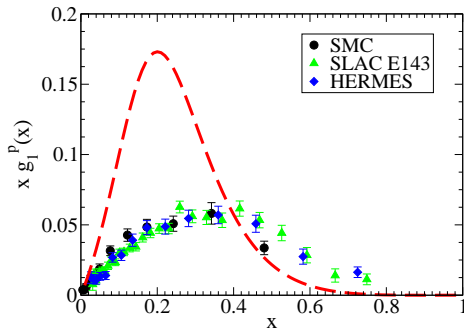
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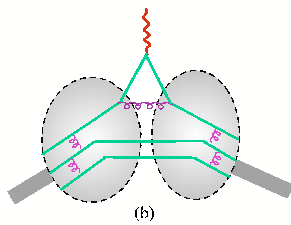
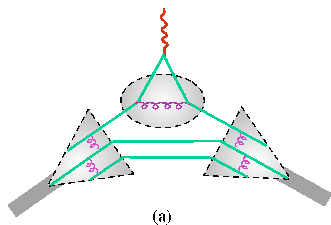
S-state quark are insufficient !!

What about $P, D \oplus \Psi_u^L \neq \Psi_d^L$?



$$\Psi_N = \sum_q [n_S \Psi_q^S + n_P \Psi_q^P + n_D \Psi_q^D]$$

Formalism (CSQM vs Light Front)



Covariant Spectator QM view

- Gluon interactions between $q\bar{q}$
⇒ **quark form factors**
- Quarks dressed by **gluons** and $q\bar{q}$ interactions
- **Massive quarks** with anomalous magnetic moments κ_u, κ_d
- **Covariant formalism** with **manifest rotational invariance**

Light Front view

- **Pointlike quarks**
- Baryon states as a sum of Fock states:
 $qqq, qqg, qqq(q\bar{q}), \dots$
- **Light quarks**
 $\kappa_u, \kappa_d = 0$
- **Does not handle rotational invariance**

Formalism (CSQM vs Light Front)

If **angular momentum** is the explanation for the **proton spin problem**, we need a **covariant formalism** with **manifest rotational invariance** and well defined **angular momentum states**

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Covariant Spectator Theory

Covariant Spectator Theory [©], Franz Gross *et al.*, applied to:

[See A. Stadler and F. Gross, FBS 49, 91 (2011)]

- NN scattering, deuteron and three-nucleon bound states
- Deuteron and triton electromagnetic form factors
- πN scattering and baryon resonances
- $q\bar{q}$ models of mesons

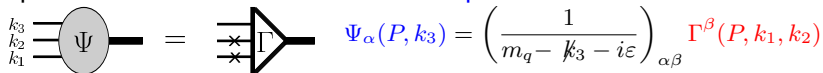
Covariant Spectator Quark Model (See arXiv:1008.0371 [hep-ph])

GR, F. Gross, M. T. Peña, K. Tsushima, ...

- Nucleon and Δ electromagnetic form factors
- Electromagnetic transition form factors $\gamma^* N \rightarrow N^*$
 $N^* = \Delta, N^*(1440), N^*(1535), \Delta(1600)$
- Octet baryon and decuplet baryon e. m. form factors:
physical regime, nuclear matter and **extension to lattice QCD**
- $\Delta(1232)$ mass distribution on Dalitz decay: $\Delta \rightarrow Ne^+e^-$

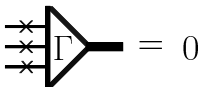
Spectator QM: Baryon wave functions

- Baryon: 3 constituent quark system
- **Covariant Spectator Theory**: wave function Ψ defined in terms of a 3-quark vertex Γ with 2 on-mass-shell quarks



$$\Psi_\alpha(P, k_3) = \left(\frac{1}{m_q - \not{k}_3 - i\varepsilon} \right)_{\alpha\beta} \Gamma^\beta(P, k_1, k_2)$$

- Confinement insures that vertex Γ vanishes when the 3 quarks are on-shell [Γ cancels the quark propagator singularity]



$$\Gamma = 0$$

Stadler, Gross and Frank PRC 56, 2396 (1998); Savkli and Gross PRC 63, 035208 (2001)

- Ψ **free** of singularities \Rightarrow modulate directly Ψ (instead of Γ)

Spectator QM: Baryon wave functions (2)

- Integrating over the on-mass-shell quark momenta:

$$k = k_1 + k_2, \quad r = \frac{1}{2}(k_1 - k_2);$$

reduce current integrals to the integration in \mathbf{k} and $s = (k_1 + k_2)^2$

F. Gross, GR and M. T. Peña: PRC 77, 015202 (2008); PRD 85, 093005 (2012)

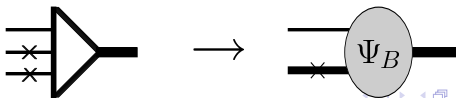
$$\int \frac{d^3 k_1}{2E_{k_1}} \int \frac{d^3 k_2}{2E_{k_2}} = \frac{\pi}{4} \int d\Omega_{\hat{r}} \int_{4m_q^2}^{+\infty} ds \sqrt{\frac{s - 4m_q^2}{s}} \int \frac{d^3 \mathbf{k}}{2E_{\mathbf{k}}}$$

with $E_{\mathbf{k}} = \sqrt{s + \mathbf{k}^2}$ as the energy of the diquark.

- Mean value theorem: average in diquark mass $\sqrt{s} \rightarrow m_D$

$$\int \frac{d^3 k_1}{2E_{k_1}} \int \frac{d^3 k_2}{2E_{k_2}} \rightarrow \int \frac{d^3 \mathbf{k}}{2\sqrt{m_D^2 + \mathbf{k}^2}}$$

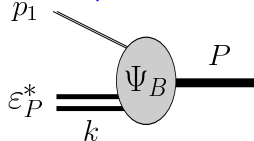
m_D =eff. mass; **covariant integration** in **diquark on-shell momentum**



Nucleon wave function: S-state

S-state in quark-diquark [PRC 77,015202 (2008)]: k = diquark momentum

$$\Psi_S(P, k) = \frac{1}{\sqrt{2}} [\Phi_I^0 \Phi_S^0 + \Phi_I^1 \Phi_S^1] \psi_S(P, k)$$



$\Phi_{I,S}^0$: anti-symmetric in the exchange of quark states (12) - M_A

$\Phi_{I,S}^1$: symmetric in the exchange of quark states (12) - M_S

$$\Phi_I^0 \rightarrow \phi^0,$$

$$\Phi_I^1 \rightarrow \phi^1$$

$$\Phi_S^0 \rightarrow u(P, \lambda),$$

$$\Phi_S^1 \rightarrow -(\varepsilon_{\Lambda P}^*)_{\alpha} U^{\alpha}(P, \lambda)$$

$\phi^{0,1}$ isospin operators acting in χ^I (nucleon isospin state)

ε_P^{α} diquark pol. vector: fixed-axis base [PRC 77, 015202 (2008)]

Vector spin 1/2 S-state $[1 \oplus \frac{1}{2} \rightarrow \frac{1}{2}]$: $U^{\alpha}(P, \lambda) = \frac{1}{\sqrt{3}} \gamma_5 (\gamma^{\alpha} - \frac{P^{\alpha}}{M}) u(P, \lambda)$

Nucleon wave function: P-state and D-states

S-state: quark-diquark [PRC 77, 015202 (2008)]: (review)

$$\Psi_S(P, k) = \frac{1}{\sqrt{2}} [\phi^0 U(P, \lambda) - \phi^1 (\varepsilon_\Lambda^*)_\alpha U^\alpha(P, \lambda)] \psi_S(P, k)$$

P-state: quark-diquark [PRD 77, 093005 (2012)]: $\tilde{k} = k - \frac{P \cdot k}{M^2} P$

$$\Psi_P(P, k) = \frac{1}{\sqrt{2}} \tilde{k} [\phi^0 U(P, \lambda) - \phi^1 (\varepsilon_\Lambda^*)_\alpha U^\alpha(P, \lambda)] \psi_P(P, k)$$

D-state: quark-diquark [PRD 77, 093005 (2012)]:

$$\Psi_D(P, k) \approx \frac{1}{\sqrt{2}} [\phi^0 \psi_{\Lambda\Lambda}^{Da} + \phi^1 \psi_{\Lambda\Lambda}^{Ds}] \phi_D(\mathbf{k}_1, \mathbf{k}_2)$$

Integration in \mathbf{r} : $\iint \frac{d^3\mathbf{k}_1}{(2\pi)^3} \frac{d^3\mathbf{k}_2}{(2\pi)^3} = \iint \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{d^3\mathbf{r}}{(2\pi)^3} \rightarrow \int \frac{d^3\mathbf{k}}{(2\pi)^3} \tilde{\phi}(\mathbf{k})$

Nucleon wave function: D-states (1)

D-state algebra (rest frame): $\mathbf{k} = \mathbf{k}_1 + \mathbf{k}_2$, $\mathbf{r} = \frac{1}{2}(\mathbf{k}_1 - \mathbf{k}_2)$
EPJA 36, 329 (2008); PRD 78, 114017 (2008)

$$U_m(\lambda) = \frac{1}{\sqrt{3}} \sigma_m | \frac{1}{2} \lambda \rangle \quad D^{\ell m}(\mathbf{k}) = \mathbf{k}^\ell \mathbf{k}^m + \frac{1}{3} \mathbf{k}^2 \delta_{\ell m}$$

D-state function

$$\Theta_{\Lambda\lambda}^D(\mathbf{k}_i) = \frac{3}{\sqrt{2}} (\varepsilon_\Lambda^*)_\ell D^{\ell m}(\mathbf{k}_i) U_m(\lambda)$$

$$\begin{aligned} \psi_{\Lambda\lambda}^{Da} &= \frac{1}{\sqrt{2}} [\Theta_{\Lambda\lambda}^D(\mathbf{k}_1) - \Theta_{\Lambda\lambda}^D(\mathbf{k}_2)] \phi_D(\mathbf{k}^2, \mathbf{r}^2) \\ &= \frac{3}{2} (\varepsilon_\Lambda^*)_\ell G^{\ell m}(\mathbf{k}, \mathbf{r}) U_m(\lambda) \phi_D(\mathbf{k}^2, \mathbf{r}^2) \end{aligned}$$

$$\psi_{\Lambda\lambda}^{Ds} \simeq [\cos \phi \Theta_{\Lambda\lambda}^D(\mathbf{k}) + \sin \phi \Theta_{\Lambda\lambda}^D(\mathbf{r})] \phi_D(\mathbf{k}^2, \mathbf{r}^2), \quad \cos \phi = \frac{1}{5}$$

Nucleon wave function: D-states (2)

$$G^{\ell m}(\mathbf{k}, \mathbf{r}) = \mathbf{k}^{\ell} \mathbf{r}^m + \mathbf{r}^{\ell} \mathbf{k}^m + \frac{2}{3}(\mathbf{k} \cdot \mathbf{r})\delta_{\ell m}$$

\mathbf{r}^{ℓ} : diquark with internal P-state; define spin-1 vector ζ_{ν}^{ℓ}

$\Theta_{\Lambda\lambda}^D(\mathbf{r})$: diquark with internal D-state; define spin-1 vector $\epsilon_{D\Lambda}^m$

$$\begin{aligned}\psi_{\Lambda\lambda(\nu)}^{Da} &\rightarrow \frac{3}{\sqrt{20}} \overbrace{(\epsilon_{\Lambda}^*)_{\ell} G^{\ell m}(\mathbf{k}, \zeta_{\nu}) U_m(\lambda)}^{\ell=1} \psi_D(P, k) \\ \psi_{\Lambda\lambda}^{Ds} &\rightarrow \frac{3\sqrt{2}}{\sqrt{5}} \overbrace{(\epsilon_{\Lambda})_{\ell} D^{\ell m}(\mathbf{k}) U_m(\lambda)}^{\ell=0} \psi_D(P, k) \\ &\quad + \frac{1}{\sqrt{5}} \overbrace{\mathbf{k}^2 (\epsilon_{D\Lambda}^*)_{\ell=0}^m U_m(\lambda)}^{\ell=0} \psi_D(P, k)\end{aligned}$$

Nucleon wave function: summary

$$\Psi_{\Lambda\lambda}^L(P, k) = \mathcal{O}_{\Lambda}^L u(P, \lambda)$$

Covariant notation

$$\tilde{k}^{\alpha} = k^{\alpha} - \frac{P \cdot k}{M^2} P^{\alpha}$$

$$\tilde{\gamma}^{\alpha} = \gamma^{\alpha} - \frac{P^{\alpha}}{M^2} \not{P}$$

$$\tilde{g}^{\alpha\beta} = g^{\alpha\beta} - \frac{P^{\alpha} P^{\beta}}{M^2}$$

$$D^{\alpha\beta}(P, k) = \tilde{k}^{\alpha} \tilde{k}^{\beta} - \frac{1}{3} \tilde{k}^2 \tilde{g}^{\alpha\beta}$$

$$G^{\alpha\beta}(\tilde{k}, \zeta_{\nu}) = \tilde{k}^{\alpha} \zeta_{\nu}^{\beta} + \zeta_{\nu}^{\alpha} \tilde{k}^{\beta} - \frac{2}{3} (\tilde{k} \cdot \zeta_{\nu}) \tilde{g}^{\alpha\beta}$$

Replacements:

$$\mathbf{k} \rightarrow -\tilde{\mathbf{k}}, \mathbf{k}^2 \rightarrow -\tilde{k}^2$$

$$\delta_{\ell m} \rightarrow \tilde{g}^{\alpha\beta}$$

- S-state

$$\mathcal{O}_{\Lambda}^{S,0} = \frac{1}{\sqrt{2}} \phi^0 \psi_S(P, k) \mathbb{1}$$

$$\mathcal{O}_{\Lambda}^{S,1} = \frac{1}{\sqrt{2}} \phi^1 \psi_S(P, k) (\varepsilon_{\Lambda}^*)_{\alpha} \gamma_5 \tilde{\gamma}^{\alpha}$$

- P-state

$$\mathcal{O}_{\Lambda}^{P,0} = \frac{1}{\sqrt{2}} \phi^0 \psi_P(P, k) \tilde{k}$$

$$\mathcal{O}_{\Lambda}^{P,1} = \frac{1}{\sqrt{2}} \phi^1 \psi_P(P, k) \tilde{k} (\varepsilon_{\Lambda}^*)_{\alpha} \gamma_5 \tilde{\gamma}^{\alpha}$$

- D-state

$$\mathcal{O}_{\Lambda}^{D,0} = \frac{\sqrt{3}}{\sqrt{2\sqrt{10}}} \phi^0 |\tilde{k}| \psi_D(P, k) (\varepsilon_{\Lambda}^*)_{\alpha} \overbrace{G^{\alpha\beta}(\tilde{k}, \zeta_{\nu})}^{\ell=1} \gamma_5 \tilde{\gamma}_{\beta}$$

$$\mathcal{O}_{\Lambda}^{D,1} = -\frac{1}{\sqrt{30}} \phi^1 \tilde{k}^2 \psi_D(P, k) \overbrace{(\varepsilon_{D\Lambda}^*)_{\alpha}}^{\ell=2} \gamma_5 \tilde{\gamma}^{\alpha}$$

$$\mathcal{O}_{\Lambda}^{D,2} = \sqrt{\frac{3}{5}} \phi^1 \psi_D(P, k) \overbrace{(\varepsilon_{\Lambda}^*)_{\alpha} D^{\alpha\beta}(P, k)}^{\ell=0} \gamma_5 \tilde{\gamma}_{\beta}$$

Nucleon wave function: summary (2)

- **Covariant** wave function consistent with **isospin** and **angular momentum**

$$\Psi_{\Lambda\lambda} = n_S \Psi_{\Lambda\lambda}^S + n_P \Psi_{\Lambda\lambda}^P + n_D \Psi_{\Lambda\lambda}^D$$

- L -states normalized

$$n_S^2 + n_P^2 + n_D^2 = 1$$

- We can also consider **Isospin breaking** $u \neq d$

- Radial wf $\psi_L(P, k)$?

- **Not** determined by a dynamical equation
- **Determined by DIS phenomenology**

- S -state

$$O_{\Lambda}^{S,0} = \frac{1}{\sqrt{2}} \phi^0 \psi_S(P, k) \mathbb{1}$$

$$O_{\Lambda}^{S,1} = \frac{1}{\sqrt{2}} \phi^1 \psi_S(P, k) (\varepsilon_{\Lambda}^*)_{\alpha} \gamma_5 \tilde{\gamma}^{\alpha}$$

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$$O_{\Lambda}^{P,0} = \frac{1}{\sqrt{2}} \phi^0 \psi_P(P, k) \tilde{k}$$

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- D -state

$$O_{\Lambda}^{D,0} = \frac{\sqrt{3}}{\sqrt{2\sqrt{10}}} \phi^0 |\tilde{k}| \psi_D(P, k) (\varepsilon_{\Lambda}^*)_{\alpha} \overbrace{G^{\alpha\beta}(\tilde{k}, \zeta_{\nu})}^{\ell=1} \gamma_5 \tilde{\gamma}_{\beta}$$

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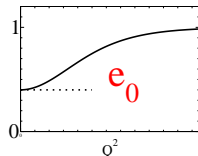
$$O_{\Lambda}^{D,2} = \sqrt{\frac{3}{5}} \phi^1 \psi_D(P, k) \overbrace{(\varepsilon_{\Lambda}^*)_{\alpha} D^{\alpha\beta}(P, k)}^{\ell=0} \gamma_5 \tilde{\gamma}_{\beta}$$

Normalization (1)

Wave function normalized by the nucleon charge ($Q^2 = 0$); $P = (M, 0, 0, 0)$

$$\begin{aligned} J^0 &= 3 \sum_{\Lambda} \int_k \bar{\Psi}_{\Lambda\lambda}(P, k) j_q(0) \gamma^0 \Psi_{\Lambda\lambda}(P, k) \\ &= \frac{1}{2} (1 + \tau_3) e_0 \underbrace{\int_k |\psi_N|^2}_1 \end{aligned}$$

$$\begin{aligned} j_q(+\infty) &= \left(\frac{1}{6} + \frac{1}{2}\tau_3\right) : & Q_u &= +\frac{2}{3}, & Q_d &= -\frac{1}{3} \\ j_q(0) &= e_0 \left(\frac{1}{6} + \frac{1}{2}\tau_3\right) : & Q_u &= +\frac{2}{3}e_0, & Q_d &= -\frac{1}{3}e_0 \end{aligned}$$



Wave functions normalization $Q^2 = 0$: (rest frame $\tilde{k}^2 = -\mathbf{k}^2$)

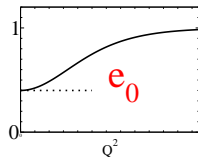
$$e_0 \int_k |\psi_S|^2 = e_0 \int_k (-\tilde{k}^2) |\psi_P|^2 = e_0 \int_k \tilde{k}^4 |\psi_D|^2 = 1$$

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Wave functions normalization $Q^2 = 0$: (rest frame $\tilde{k}^2 = -\mathbf{k}^2$) $u \neq d, e_0 \rightarrow e_q^0$

$$e_q^0 \int_k |\psi_q^S|^2 = e_q^0 \int_k (-\tilde{k}^2) |\psi_q^P|^2 = e_q^0 \int_k \tilde{k}^4 |\psi_q^D|^2 = 1$$

Breaking isospin symmetry

Write wave function in terms of u and d isospin states

ψ_L **dependent of the flavor of the quark 3**

Proton: $\chi^{1/2} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = u$; Neutron: $\chi^{-1/2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} = d$

Isospin-0 component:

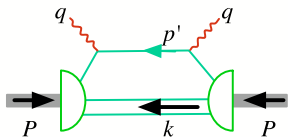
$$\Phi_I^0 \psi_L = \phi^0 \chi^I \psi_L = \frac{1}{\sqrt{2}}(ud - du) \begin{pmatrix} u \\ d \end{pmatrix} \psi_L \longrightarrow \phi^0 \chi^I \psi_{u(d)}^L$$

Isospin-1 component:

$$\begin{aligned} \Phi_I^1 \psi_L &= \underbrace{-\frac{1}{\sqrt{6}}(ud + du) \begin{pmatrix} u \\ d \end{pmatrix}}_{\ell=0} \psi_L + \underbrace{\sqrt{\frac{2}{3}} \begin{pmatrix} (uu)d \\ -(dd)u \end{pmatrix}}_{\ell=\mp 1} \psi_L \\ &\rightarrow (\phi_{\ell=0}^1) \chi^I \psi_{u(d)}^L + (\phi_{\ell=\mp 1}^1) \chi^I \psi_{d(u)}^L \end{aligned}$$

$\psi_L \rightarrow \psi_q^L$: different distributions for u and d

DIS in a quark model (2)



$$(J_{s_q, \lambda, \lambda_n})^\mu = -\bar{u}(p', s_q) j^\mu(q) \Psi_{\Lambda\lambda}(P, k)$$

Hadronic tensor: $\Psi_{\Lambda\lambda} = \mathcal{O}_\Lambda u(P, \lambda)$, S^μ spin operator

$$W^{\mu\nu} = 3 \sum_{\Lambda, s_q} \iint_{p'k} \frac{1}{2} \text{tr} \left\{ \underbrace{\mathcal{O}_\Lambda^\dagger j^\mu(q)}_{\text{quark } p} \underbrace{(m_q + \not{p}') j^\nu(q^2) \mathcal{O}_\Lambda}_{\text{quark } p} \underbrace{(M + \not{P})(1 + \gamma_5 \not{S})}_{\text{N spin-}S \text{ proj}} \right\}$$

Integration: $E_s = \sqrt{m_s^2 + \mathbf{k}^2}$, $z = \cos \theta = \frac{k_z}{|\mathbf{k}|}$,

$|\mathbf{k}| = M\kappa$, $E_s = ME_\kappa$

$$p'^2 = m_q^2$$

DIS condition

$$\begin{aligned} \iint_{p'k} &= \int \frac{d^4k}{(2\pi)^2} \delta_+(m_q^2 - p'^2) \delta_+(m_s^2 - k^2) = \int \frac{d^3\mathbf{k}}{(2\pi)^2 (2E_s)} \delta\left(\frac{Q^2}{Mx} [(1-x) - E_s + |\mathbf{k}|z]\right) \\ &= \frac{M^2 x}{Q^2} \int_0^{+\infty} \frac{\kappa d\kappa}{4\pi E_\kappa} \int_{-1}^1 dz \delta(z - z_0) = \frac{M^2 x}{Q^2} \int_{\kappa_{\min}}^{+\infty} \frac{\kappa d\kappa}{4\pi E_\kappa} = \frac{\pi x}{Q^2} \frac{M m_s}{16\pi^2} \int_\xi^{+\infty} d\chi \end{aligned}$$

$\psi_L(P, k)$ can be represented using the covariant variable

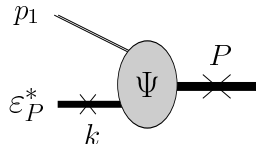
$$\chi = \frac{(M - m_s)^2 - (P - k)^2}{Mm_s} = 2 \frac{P \cdot k}{Mm_s} - 2$$

because $P^2 = M^2$ and $k^2 = m_s^2$.

Then, with $r = \frac{m_s}{M}$

$$\iint_{p'k} \psi^2(\chi) = \frac{\pi x}{Q^2} \frac{Mm_s}{16\pi^2} \int_{\xi}^{+\infty} d\chi \psi^2(\chi),$$

$$\xi = \frac{r}{1-x} + \frac{1-x}{r} - 2$$



DIS: wave functions and quark distribution functions

- Case $r = 1$: $M = m_s$

$$\xi = \frac{x^2}{(1-x)}$$

Wave functions will have only 1 singularity at $x = 0$

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- We can calculate f_q (S-state, Normalized to 1) [PRC 77,015202 \(2008\)](#)

$$f_q^S(x) = \frac{Mm_s}{16\pi^2} \int_{\xi}^{+\infty} d\chi [\psi_q^S(\chi)]^2$$

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$$\frac{df_q^S}{dx} = -\frac{x(2-x)}{(1-x)^2} \frac{Mm_s}{16\pi^2} [\psi_q^S(\xi)]^2$$

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- If $f_q^S \approx x^\alpha(1-x)^\gamma$, we should have

$$[\psi_q^S(\xi)]^2 \approx \frac{1}{\xi^{1-\alpha/2}(\beta+\xi)^{1+\alpha/2}} \approx x^{\alpha-2}(1-x)^{\gamma+1}$$

β dimensionless parameters (wf momentum scale in M units)

DIS: wave functions and normalization

$$f_q^S(x) = \frac{Mm_s}{16\pi^2} \int_{\xi}^{+\infty} d\chi [\psi_q^S(\chi)]^2$$

DIS normalization

$$\int_0^1 dx f_q^S(x) = 1,$$

WF normalization ($Q^2 = 0$)

$$e_q^0 \int_k |\psi_q^S(\chi)|^2 |_{Q^2=0} = e_q^0 \underbrace{\int_{-\infty}^1 dx f_q^S(x)}_N = 1$$

How to fix e_q^0 ?

$$e_q^0 = \frac{1}{N} = \frac{\int_0^1 dx f_q^S(x)}{\int_{-\infty}^0 dx f_q^S(x) + \int_0^1 dx f_q^S(x)} < 1$$

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$$\int_0^1 dx f_q^S(x) = 1,$$

$$e_q^0 \int_k |\psi_q^S(\chi)|^2 \Big|_{Q^2=0} = e_q^0 \underbrace{\int_{-\infty}^1 dx f_q^S(x)}_N = 1$$

How to fix e_q^0 ?

$$e_q^0 = \frac{1}{N} = \frac{\int_0^1 dx f_q^S(x)}{\int_{-\infty}^0 dx f_q^S(x) + \int_0^1 dx f_q^S(x)} < 1$$

- DIS define ψ_q^L for $0 < x < 1$
- f_q^S for $x < 0 \Rightarrow$ determine quark charge (e_q^0) at $Q^2 = 0$

DIS: gluon effects

Gluon contributions are not considered in this model

Only valence quarks (no sea quarks):

$$\int_0^1 dx f_u^S(x) = \int_0^1 dx f_d^S(x) = 1,$$

$$\frac{1}{3} \int_0^1 dx [2f_u^S(x) + f_d^S(x)] = 1 \quad \text{[proton charge]}$$

Proton momentum sum rule: N_g gluon contribution, $N_g \approx 0.5$

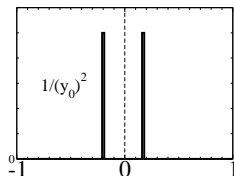
$$2 \int_0^1 dx x f_u^S(x) + \int_0^1 dx x f_d^S(x) + N_g = 1.$$

S -state approximation ($n_P = n_D = 0$) and $f_u = f_d \equiv f_q$:

$$\int_0^1 dx x f_q^S(x) = 0.167, \quad e_q^0 \int_{-\infty}^1 dx f_q^S(x) = 1$$

Quark distribution function given by Dirac function
(symmetry of ξ invariance in the
transformation $1 - x \rightarrow \frac{1}{1-x}$):

$$f_q^S(x) = \underbrace{\delta(1 - x - y_0)}_{\text{pole } x=1-y_0} + \underbrace{\delta\left(\frac{1}{1-x} - y_0\right)}_{\text{pole } x=\frac{y_0-1}{y_0} < 0}$$



Result:

$$\int_0^1 dx x f_q^S(x) = 1 - y_0, \quad e_q^0 \left(1 + \frac{1}{y_0^2}\right) = 1$$

$$\Rightarrow y_0 = 0.833, \quad e_q^0 = 0.41$$

Structure functions (1)

Use elementary structure functions $\int_{\chi} = \frac{Mm_s}{16\pi^2} \int_{\xi}^{+\infty} d\chi$

$$f_q^L(x) = \int_{\chi} k^{2L} [\psi_q^L(\chi)]^2 \quad L = 0, 1, 2 \quad (S, P, D)$$

$$g_q^L(x) = \int_{\chi} P_2(z_0) k^{2L} [\psi_q^L(\chi)]^2 \quad L = 1, 2 \quad (P, D)$$

$$d_q(x) = \int_{\chi} P_2(z_0) k^2 \psi_q^S(\chi) \psi_q^D(\chi) \quad (SD \text{ interference})$$

$$h_q^0(x) = \int_{\chi} z_0 k \psi_q^S(\chi) \psi_q^P(\chi) \quad (SP \text{ interference})$$

$$h_q^2(x) = \int_{\chi} z_0 k^3 \psi_q^P(\chi) \psi_q^D(\chi) \quad (PD \text{ interference})$$

$$h_q^1(x) = \int_{\chi} (1 - z_0^2) \frac{k^2}{4Mx} \psi_q^S(\chi) \psi_q^P(\chi) \quad (SP \text{ interference})$$

$$h_q^3(x) = \int_{\chi} (1 - z_0^2) \frac{k^4}{4Mx} \psi_q^P(\chi) \psi_q^D(\chi) \quad (PD \text{ interference})$$

Structure functions (2) [Using charge symmetry]

$f_u(x)$ = u -distribution in the proton [d -distribution in the neutron]

$f_d(x)$ = d -distribution in the proton [u -distribution in the neutron]

$g_i^u(x)$: u -contribution of g_i in the proton [d -contribution of g_i in the neutron]

$g_i^d(x)$: d -contribution of g_i in the proton [u -contribution of g_i in the neutron]

Proton:

$$f_p(x) = \sum_q e_q^2 f_q(x) \quad g_i^p(x) = \frac{1}{2} \sum_q e_q^2 g_i^q(x)$$

Neutron: $e_u \leftrightarrow e_d$ $f_q = n_S^2 f_q^S + n_P^2 f_q^P + n_D^2 f_q^D - 2n_S n_P h_q^0$

$$g_1^u = \frac{2}{3} f_u - n_D^2 f_u^D - \frac{8}{9} n_P^2 d_u + \frac{8}{9} n_P^2 g_u^P + \frac{29}{60} n_D^2 g_u^D - \frac{2}{9} a_{SD} d_u + \frac{2}{9} a_{PD} h_u^2$$

$$g_1^d = -\frac{1}{3} f_d + \frac{8}{15} n_D^2 g_d^D + \frac{4}{9} n_P^2 f_d^P - \frac{4}{9} n_P^2 g_d^P - \frac{8}{9} a_{SD} d_d + \frac{8}{9} a_{PD} h_d^2$$

$$g_2^u = -\frac{4}{3} n_P^2 g_u^P - \frac{29}{40} n_D^2 g_u^D + \frac{1}{3} a_{SD} d_u - \frac{4}{3} n_S n_P (h_u^1 - h_u^0) + \frac{2}{9} a_{PD} (h_u^3 - h_u^2)$$

$$g_2^d = +\frac{2}{3} n_P^2 g_d^P - \frac{4}{5} n_D^2 g_d^D + \frac{4}{3} a_{SD} d_d + \frac{2}{3} n_S n_P (h_d^1 - h_d^0) + \frac{2}{9} a_{PD} (h_d^3 - h_d^2)$$

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$$g_1^d = -\frac{1}{3}f_d + \frac{8}{15}n_D^2 g_d^D + \frac{4}{9}n_P^2 f_d^P - \frac{4}{9}n_P^2 g_d^P - \frac{8}{9}a_{SD}d_d + \frac{8}{9}a_{PD}h_d^2$$

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Functional form for the wave functions

Wave functions for $L = S, P, D$: $\theta \neq 0$ $\kappa^2 = \frac{1}{4}\chi(\chi + 4)$

$$\psi_q^S(\chi) = \frac{1}{N_q^S} \frac{1}{m_s} \frac{\beta \cos \theta + \chi \sin \theta}{\chi^{n_0} (\beta + \chi)^{n_1 - n_0}}$$

$$\psi_q^P(\chi) = \frac{1}{N_q^P} \frac{1}{M\kappa} \frac{1}{m_s} \frac{\beta \cos \theta + \chi \sin \theta}{\chi^{n_0} (\beta + \chi)^{n_1 - n_0}}$$

$$\psi_q^D(\chi) = \frac{1}{N_q^D} \frac{1}{(M\kappa)^2} \frac{1}{m_s} \frac{\beta \cos \theta + \chi \sin \theta}{\chi^{n_0} (\beta + \chi)^{n_1 - n_0}}$$

β, θ, n_0, n_1 depend of L and q

Normalization:

$$e_q^0 \int_{\chi} (-\tilde{k}^2)^L |\psi_q^L(\chi)|^2 = 1$$

Data:

SMS, SLAC, HERMES, Jlab and COMPASS

obtained for several regions of Q^2 (not only large Q^2)**Fits to the data:** $Q^2 = 1 \text{ GeV}^2$

- Unpolarized $\int_0^1 dx f_q^{\text{exp}}(x) = 1$

Martin, Roberts, Stirling and Thorne, PLB 531, 216 (2002)-(MRST02)

$$x f_u^{\text{exp}}(x) = \mathbf{0.130} x^{0.31} (1-x)^{3.50} (1 + 3.83\sqrt{x} + 37.65x)$$

$$x f_d^{\text{exp}}(x) = \mathbf{0.061322} x^{0.35} (1-x)^{4.03} (1 + 49.05\sqrt{x} + 8.65x)$$

- Polarized: Leader, Sidorov and Stamenov (LSS10)

PRD 82. 114018 (2010), $Q^2 = 1 \text{ GeV}^2$

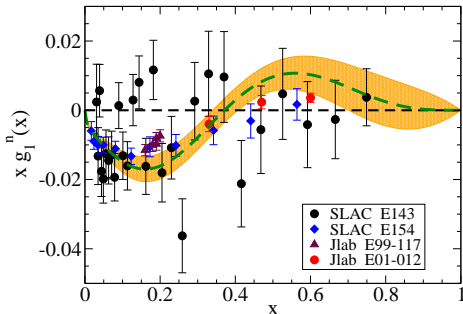
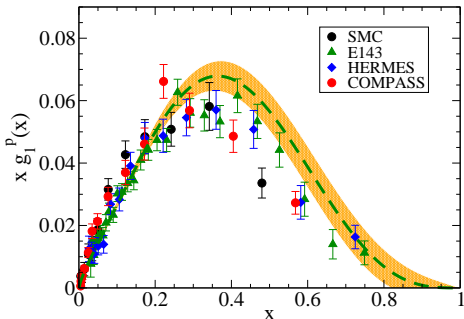
$$g_1^q(x) = \Delta q(x) + \frac{h^q(x)}{Q^2}$$

$$x\Delta u(x) = 0.548 x^{0.782} (1-x)^{3.335} (1 - 1.779\sqrt{x} + 10.2x)$$

$$x\Delta d(x) = -0.394 x^{0.547} (1-x)^{4.056} (1 + 6.758x)$$

Data (polarized)

$$g_1^q(x) = \Delta q(x) + \frac{h^q(x)[1 \pm \delta_q(x)]}{Q^2} \leftarrow \text{high twist corrections}$$



$$\Gamma_1^p = \int_0^1 dx f_{1p}^{\text{exp}}(x) = 0.128 \pm 0.013$$

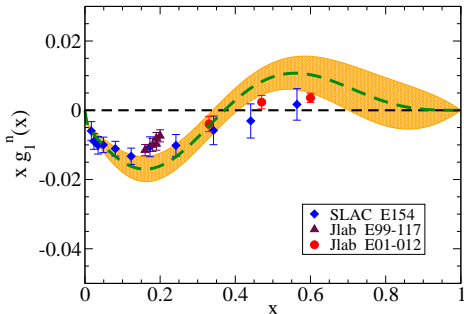
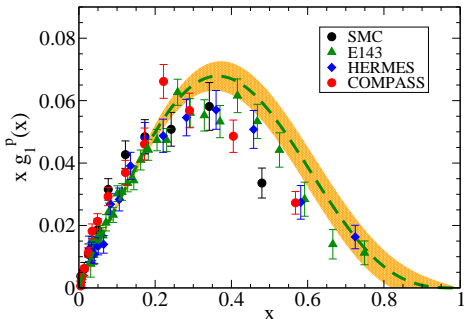
$$\Gamma_1^n = \int_0^1 dx f_{1n}^{\text{exp}}(x) = -0.042 \pm 0.013$$

$$\Gamma_1^u = \frac{3}{5}(4\Gamma_1^p - \Gamma_1^n) = 0.333 \pm 0.039$$

$$\Gamma_1^d = \frac{6}{5}(4\Gamma_1^n - \Gamma_1^p) = -0.355 \pm 0.080$$

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$$\Gamma_1^d = \frac{6}{5}(4\Gamma_1^n - \Gamma_1^p) = -0.355 \pm 0.080$$

Fits to the data: Structure functions (review)

Proton:

$$f_p(x) = \sum_q e_q^2 f_q(x) \quad g_i^p(x) = \frac{1}{2} \sum_q e_q^2 g_i^q(x)$$

Neutron: $e_u \leftrightarrow e_d$ $f_q = n_S^2 f_q^S + n_P^2 f_q^P + n_D^2 f_q^D - 2n_S n_P h_q^0$

$$g_1^u = \frac{2}{3} f_u - n_D^2 f_u^D - \frac{8}{9} n_P^2 d_u + \frac{8}{9} n_P^2 g_u^P + \frac{29}{60} n_D^2 g_u^D - \frac{2}{9} a_{SD} d_u + \frac{2}{9} a_{PD} h_u^2$$

$$g_1^d = -\frac{1}{3} f_d + \frac{8}{15} n_D^2 g_d^D + \frac{4}{9} n_P^2 f_d^P - \frac{4}{9} n_P^2 g_d^P - \frac{8}{9} a_{SD} d_d + \frac{8}{9} a_{PD} h_d^2$$

$$g_2^u = -\frac{4}{3} n_P^2 g_u^P - \frac{29}{40} n_D^2 g_u^D + \frac{1}{3} a_{SD} d_u - \frac{4}{3} n_S n_P (h_u^1 - h_u^0) + \frac{2}{9} a_{PD} (h_u^3 - h_u^2)$$

$$g_2^d = +\frac{2}{3} n_P^2 g_d^P - \frac{4}{5} n_D^2 g_d^D + \frac{4}{3} a_{SD} d_d + \frac{2}{3} n_S n_P (h_d^1 - h_d^0) + \frac{2}{9} a_{PD} (h_d^3 - h_d^2)$$

Spectator QM: very rich structure in the DIS regime

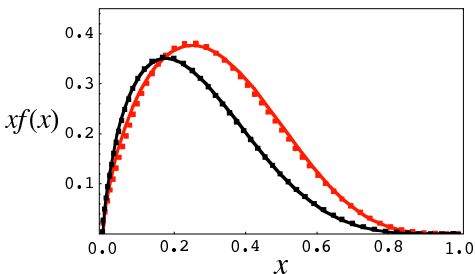
How can we study the effect of the individual L states ?

Fiting process (MRST02 & LSS10 parametrizations):

- **Step 1:** S-state component - fitted to unpolarized PDFs
(Adjust β_{Sq} , θ_{Sq} , n_{0Sq} and n_{1Sq})
- **Step 2:** Estimate the strength of the P and D states (n_P, n_D)
Uses the moments Γ_1^u, Γ_1^d
- **Step 3:** Global fit
Improves the description **breaking the symmetry**
between S , P and D radial wave functions

Fits to the data: step 1 $q(x) \equiv f_q(x)$

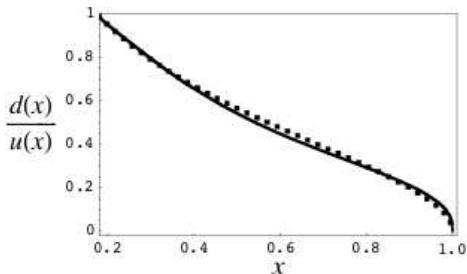
	β_{Sq}	θ_{Sq}	n_{0Sq}	n_{1Sq}	C_q^S	e_q^0
u	0.9	0.4π	0.51	3	2.197	0.3545
d	1.25	$\frac{1}{4}\pi$	0.49	3.2	2.279	0.3940



— f_u , — f_d

$$xf_u(x) \approx x^{0.20}(1-x)^{3.0}$$

$$xf_d(x) \approx x^{0.36}(1-x)^{3.4}$$

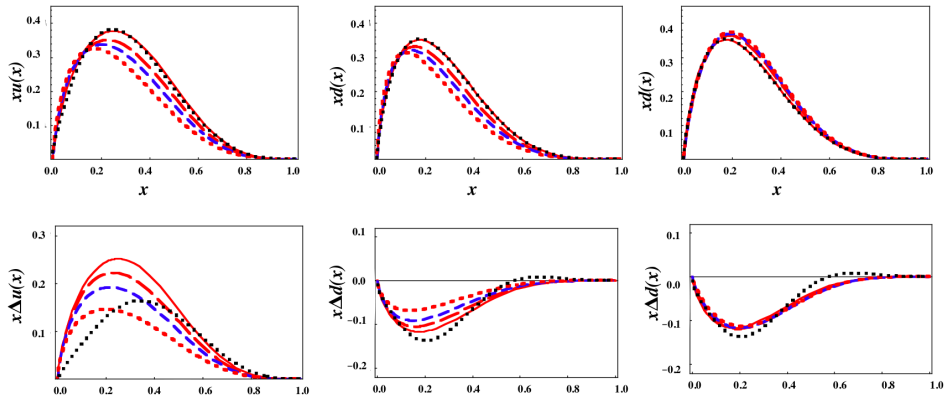


$$xf_u^{\text{exp}}(x) \approx x^{0.31}(1-x)^{3.50}$$

$$xf_d^{\text{exp}}(x) \approx x^{0.35}(1-x)^{4.03}$$

Fits to the data: step 2: adjust n_P $\Delta q(x) \equiv g_1^q(x)$

Effect of the P state: $f_q \simeq f_q^S - 2n_S n_P h_q^0$, $\psi_q^S \approx (M\kappa)\psi_q^P$
 · · · Fit; — 0.0; - - 0.2; - - - 0.4; · · · 0.6 $n_P < 0$



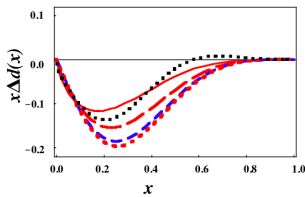
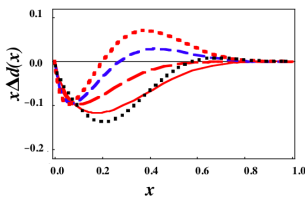
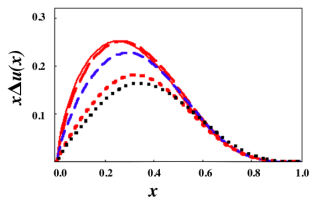
$ \hbar_P $	0	0.2	0.3	0.4	expt
$\Gamma_1^u(n_P > 0)$	0.667	0.627	0.563	0.448	0.333
$\Gamma_1^d(n_P > 0)$	-0.333	-0.313	-0.280	-0.223	-0.355
$\Gamma_1^d(n_P < 0)$	-0.333	-0.321	-0.307	-0.289	-0.355

Fits to the data: step 2: adjust n_D

Effect of the D state: $\psi_q^S \approx (M\kappa)^2 \psi_q^D$

... LSS10: — 0.0; — 0.2; - - 0.4; ··· 0.6

$n_D < 0$



$ n_D $	0	0.2	0.4	0.6	expt
$\Gamma_1^u (n_D > 0)$	0.667	0.643	0.544	0.367	0.333
$\Gamma_1^d (n_D > 0)$	-0.333	-0.293	-0.252	-0.218	-0.355
$\Gamma_1^d (n_D < 0)$	-0.333	-0.369	-0.395	-0.404	-0.355

Fits to the data: step 2

Same functional form for all states:

$$\psi_q^S \approx (M\kappa)\psi_q^P \approx (M\kappa)^2\psi_q^D$$

Fit n_P and n_D to the moments Γ_1^q

$$\Gamma_1^u = 0.333 \pm 0.039, \quad \Gamma_1^d = -0.355 \pm 0.080$$

solution	$n_P(u)$	$n_D(u)$	$n_P(d)$	$n_D(d)$
1	0.43	0.18	-0.43	-0.18
2	0.08	0.59	0.08	-0.59

Two possible solutions (equal quality):

- Solution 1: large P state; $n_P(d) < 0$, $n_D(d) < 0$
- Solution 2: large D state; $n_D(d) < 0$, $n_P(q) \approx 0$

n_P, n_D **fixed for models 1 and 2**

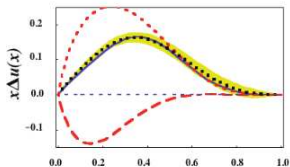
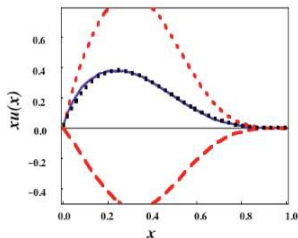
$$\psi_q^L(\chi) \approx \frac{\beta \cos \theta + \chi \sin \theta}{\chi^{n_0} (\beta + \chi)^{n_1 - n_0}}$$

Refit wave functions

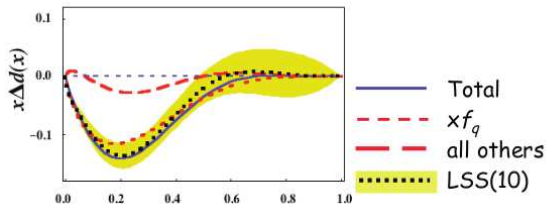
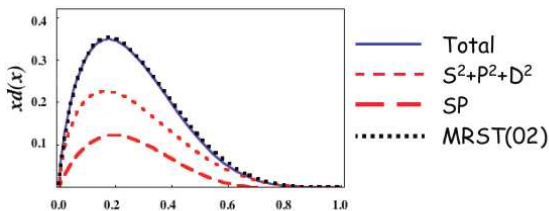
- $\beta_{Lq}, n_{0Lq}, n_{1Lq}$ same for **all** L
- θ_{Lq} adustated in same cases

Fits to the data: step 3 (model 1) [P : 18%, D : 3%]

$n_P = 0.43, n_D = 0.18$

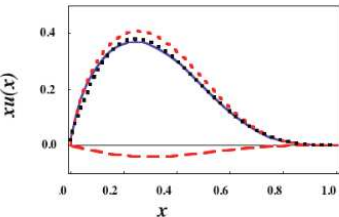


$n_P = -0.43, n_D = -0.18$

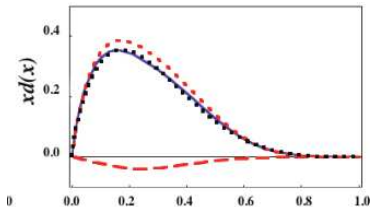


Fits to the data: step 3 (model 2) [P : 0.6%, D : 35%]

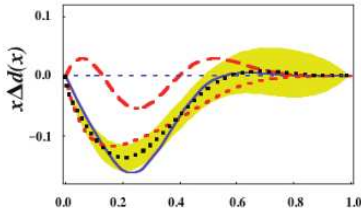
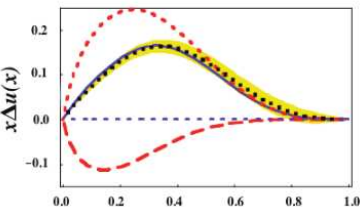
$n_P = 0.08, n_D = 0.59$



$n_P = -0.08, n_D = -0.59$



— Total
 - - - $S^2+P^2+D^2$
 - · - SP
 ····· MRST(02)

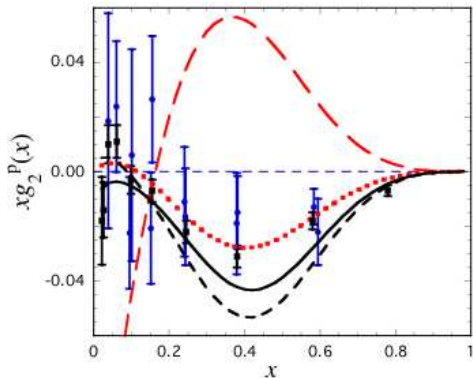


— Total
 - - - $x f_q$
 - · - all others
 ····· LSS(10)

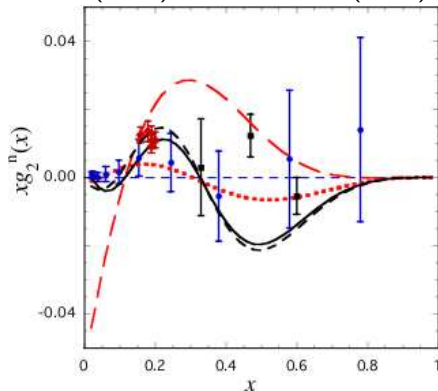
Predictions for g_2

— Model 1; — Model 2;

⋯ Model 1 (P=0); - - - Model 2 (P=0)



Data: g_2^P : SLAC-E143, SLAC-E155

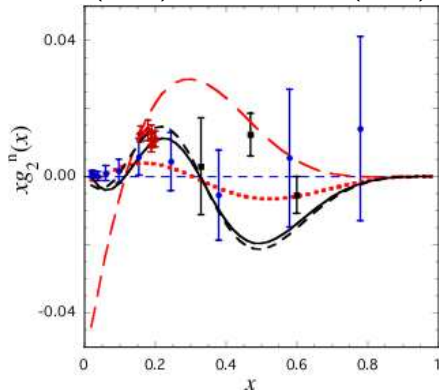
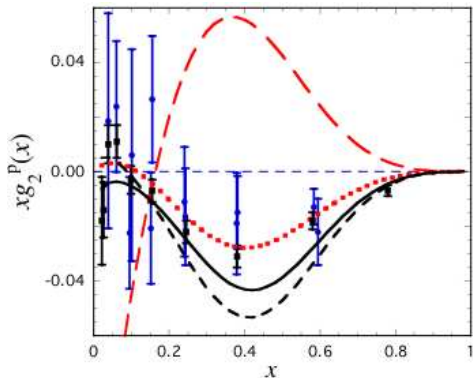


g_2^n : SLAC-E155, Jlab-Kramer, Jlab-Hall A

Predictions for g_2

— Model 1; — Model 2;

⋯ Model 1 (P=0); - - - Model 2 (P=0)



Data: g_2^p : SLAC-E143, SLAC-E155

g_2^n : SLAC-E155, Jlab-Kramer, Jlab-Hall A

Only **Model 2** gives a good result (35% D-state)

- Spectator Quark Model formalism applied to the Deep Inelastic Scattering
 - No gluon or sea quark effects considered
 - Formalism used to constrain the shape of wave functions
- Good description of the f_q and g_1^q data with **model 1** and **model 2**
⇒ **consistent with $J_g \approx 0$**
- **Model 2** gives a good description of the g_2 data
- The model requires a large D -state mixture (phenomenologic calibration of the model)
- No systematic fit performed (we cannot exclude a model with smaller D -state mixture)

• D-state mixture

- What is the physical source of that effect (larger than other models) ?
- Without an explicit interaction model it is not possible to explain the effect
- We can however look for signs of that effect in other processes like the nucleon elastic form factors or $\gamma^* N \rightarrow \Delta$ reaction

• Where is the glue ?

- No need of gluon effects to explain nucleon spin (g_1^N data) (gluons included in constituent quark structure)
- Maybe for g_2^N (more precise data needed)
- ... gluon effects expected for larger Q^2 (Altareli-Parisi equation)

• Regime of application of the model ?

- Results derived in the large Q^2, ν limit; but no gluons included
- To compare with the data we should use $Q_0^2 = 2 - 5 \text{ GeV}^2$
- For **very** large Q^2 use QCD evolution equations (DGLAP); gluon effects will emerge for larger Q^2 (even if there are only quarks at the Q_0^2 regime)

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Thank you



- **Spin and angular momentum in the nucleon,**
F. Gross, G. Ramalho and M. T. Pena, Phys. Rev. D **85**, 093006 (2012)
[arXiv:1201.6337 [hep-ph]].
- **Covariant nucleon wave function with S, D, and P-state components,**
F. Gross, G. Ramalho and M. T. Pena, Phys. Rev. D **85**, 093005 (2012)
[arXiv:1201.6336 [hep-ph]].
- **A pure S-wave covariant model for the nucleon,**
F. Gross, G. Ramalho and M. T. Peña, Phys. Rev. C **77**, 015202 (2008)
[arXiv:nucl-th/0606029].

- **A covariant formalism for the N^* electroproduction at high momentum transfer,**
G. Ramalho, F. Gross, M. T. Peña and K. Tsushima,
Exclusive Reactions and High Momentum Transfer IV, 287 (2011)
arXiv:1008.0371 [hep-ph].
- **A Covariant model for the nucleon and the Δ ,**
G. Ramalho, M. T. Peña and F. Gross, Eur. Phys. J. A **36**, 329 (2008)
[arXiv:0803.3034 [hep-ph]].
- **D-state effects in the electromagnetic $N\Delta$ transition,**
G. Ramalho, M. T. Peña and F. Gross, Phys. Rev. D **78**, 114017 (2008)
[arXiv:0810.4126 [hep-ph]].

Nucleon wave function: D-states (1)

D-state algebra (rest frame): $\mathbf{k} = \mathbf{k}_1 + \mathbf{k}_2$, $\mathbf{r} = \frac{1}{2}(\mathbf{k}_1 - \mathbf{k}_2)$
EPJA 36, 329 (2008); PRD 78, 114017 (2008)

$$U_m(\lambda) = \frac{1}{\sqrt{3}} \sigma_m | \frac{1}{2} \lambda \rangle \quad D^{\ell m}(\mathbf{k}) = \mathbf{k}^\ell \mathbf{k}^m + \frac{1}{3} \mathbf{k}^2 \delta_{\ell m}$$

D-state function

$$\Theta_{\Lambda\lambda}^D(\mathbf{k}_i) = \frac{3}{\sqrt{2}} (\varepsilon_\Lambda^*)_\ell D^{\ell m}(\mathbf{k}_i) U_m(\lambda)$$

$$\begin{aligned} \psi_{\Lambda\lambda}^{Da} &= \frac{1}{\sqrt{2}} [\Theta_{\Lambda\lambda}^D(\mathbf{k}_1) - \Theta_{\Lambda\lambda}^D(\mathbf{k}_2)] \phi_D(\mathbf{k}^2, \mathbf{r}^2) \\ &= \frac{3}{2} (\varepsilon_\Lambda^*)_\ell G^{\ell m}(\mathbf{k}, \mathbf{r}) U_m(\lambda) \phi_D(\mathbf{k}^2, \mathbf{r}^2) \end{aligned}$$

$$\psi_{\Lambda\lambda}^{Ds} = \frac{1}{\sqrt{6}} [2\Theta_{\Lambda\lambda}^D(\mathbf{k}_3) - \Theta_{\Lambda\lambda}^D(\mathbf{k}_1) - \Theta_{\Lambda\lambda}^D(\mathbf{k}_2)] \phi_D(\mathbf{k}^2, \mathbf{r}^2)$$

$$\simeq [\cos \phi \Theta_{\Lambda\lambda}^D(\mathbf{k}) + \sin \phi \Theta_{\Lambda\lambda}^D(\mathbf{r})] \phi_D(\mathbf{k}^2, \mathbf{r}^2), \quad \cos \phi = \frac{1}{5}$$

Nucleon wave function: D-states (2)

$$G^{\ell m}(\mathbf{k}, \mathbf{r}) = \mathbf{k}^\ell \mathbf{r}^m + \mathbf{r}^\ell \mathbf{k}^m + \frac{2}{3}(\mathbf{k} \cdot \mathbf{r})\delta_{\ell m}$$

\mathbf{r}^ℓ : diquark with internal P-state; define spin-1 vector ζ_ν^ℓ

$$\mathbf{r}^\ell \phi_D \rightarrow \frac{2}{\sqrt{3}} c_P |\mathbf{k}| \zeta_\nu^\ell \psi_D$$

$\Theta_{\Lambda\lambda}^D(\mathbf{r})$: diquark with internal D-state; define spin-1 vector $\epsilon_{D\Lambda}^m$

$$\Theta_{\Lambda\lambda}^D(\mathbf{r}) = (\epsilon_\Lambda^*)_\ell D^{\ell m}(\mathbf{r}) \phi_D \rightarrow \frac{2\sqrt{2}}{3} c_D (\epsilon_{D\Lambda}^*)^m \mathbf{k}^2 \psi_D$$

c_P, c_D determined by the exact integration in \mathbf{r} in the rest frame

$$\psi_{\Lambda\lambda(\nu)}^{Da} \rightarrow \frac{3}{\sqrt{20}} (\epsilon_\Lambda^*)_\ell G^{\ell m}(\mathbf{k}, \zeta_\nu) U_m(\lambda) \psi_D(P, k)$$

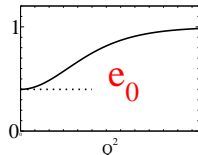
$$\psi_{\Lambda\lambda}^{Ds} \rightarrow \frac{3\sqrt{2}}{\sqrt{5}} (\epsilon_\Lambda)_\ell D^{\ell m}(\mathbf{k}) U_m(\lambda) \psi_D(P, k) \\ + \frac{1}{\sqrt{5}} \mathbf{k}^2 (\epsilon_{D\Lambda}^*)^m U_m(\lambda) \psi_D(P, k)$$

Normalization

Wave function normalized by the nucleon charge ($Q^2 = 0$); $P = (M, 0, 0, 0)$

$$J^0 = 3 \sum_{\Lambda} \int_k \bar{\Psi}_{\Lambda\lambda}(P, k) j_q(0) \gamma^0 \Psi_{\Lambda\lambda}(P, k) \equiv \frac{1}{2}(1 + \tau_3)$$

$$j_q(+\infty) = \left(\frac{1}{6} + \frac{1}{2}\tau_3\right) : \quad Q_u = +\frac{2}{3}, \quad Q_d = -\frac{1}{3}$$
$$j_q(0) = e_0 \left(\frac{1}{6} + \frac{1}{2}\tau_3\right) : \quad Q_u = +\frac{2}{3}e_0, \quad Q_d = -\frac{1}{3}e_0$$



Wave functions normalization $Q^2 = 0$: (rest frame $\tilde{k}^2 = -\mathbf{k}^2$)

$$e_0 \int_k |\psi_S|^2 = e_0 \int_k (-\tilde{k}^2) |\psi_P|^2 = e_0 \int_k \tilde{k}^4 |\psi_D|^2 = 1$$

Then, using $\int_k \overline{|\psi_N|^2} = n_S^2 \int_k |\psi_S|^2 + n_S^2 \int_k (-\tilde{k}^2) |\psi_P|^2 + n_S^2 \int_k \tilde{k}^4 |\psi_D|^2$

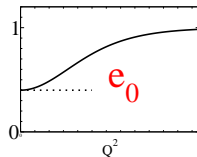
$$J^0 = \frac{1}{2}(1 + \tau_3) e_0 \int_k \overline{|\psi_N|^2} \equiv \frac{1}{2}(1 + \tau_3), \text{ if } n_S^2 + n_P^2 + n_D^2 = 1$$

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Wave functions normalization $Q^2 = 0$: (rest frame $\tilde{k}^2 = -\mathbf{k}^2$) $u \neq d, e_0 \rightarrow e_q^0$

$$e_q^0 \int_k |\psi_q^S|^2 = e_q^0 \int_k (-\tilde{k}^2) |\psi_q^P|^2 = e_q^0 \int_k \tilde{k}^4 |\psi_q^D|^2 = 1$$

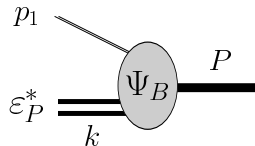
Then, using $\int_k \overline{|\psi_N|^2} = n_S^2 \int_k |\psi_S|^2 + n_S^2 \int_k (-\tilde{k}^2) |\psi_P|^2 + n_S^2 \int_k \tilde{k}^4 |\psi_D|^2$

$$J^0 = \frac{1}{2}(1 + \tau_3) e_0 \int_k \overline{|\psi_N|^2} \equiv \frac{1}{2}(1 + \tau_3), \text{ if } n_S^2 + n_P^2 + n_D^2 = 1$$

Spectator QM: Baryon wave functions (3)

- **Baryon wave functions:** $B = \mathbf{diquark} \oplus \mathbf{quark}$
Combination of **diquark** (12) and single **quark** (3) states,
using $SU(6) \otimes O(3)$:

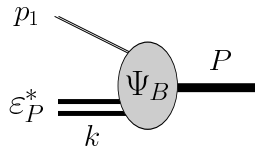
$$\Psi_B = \sum (\text{flavor}) \otimes (\text{spin}) \otimes (\text{orbital}) \otimes \underbrace{\psi_B(P, k)}_{\text{radial}}$$



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$$\Psi_B = \sum (\text{flavor}) \otimes (\text{spin}) \otimes (\text{orbital}) \otimes \underbrace{\psi_B(P, k)}_{\text{radial}}$$

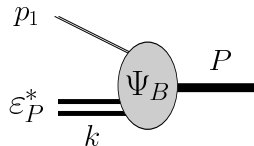


- Ψ_B in **rest frame** using **quark states**

Spectator QM: Baryon wave functions (3)

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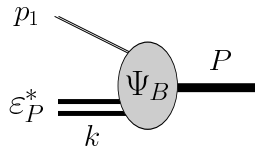


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- Ψ_B in **rest frame** using **quark** states
- **Covariant** generalization of Ψ_B in terms **baryon** properties
- Ψ_B can be used on **any** frame and/or Q^2 regime

DIS: integration

DIS condition is CST: $p'^2 - m_q^2 = 0: \Rightarrow \frac{Mx}{Q^2} \delta(E_s - M(1-x) - |\mathbf{k}|z)$

Change of variable $k = M\kappa$, $E_\kappa = r\sqrt{1 + \frac{\kappa}{r}}$, using $r = \frac{m_s}{M}$

$$\iint_{p'k} \psi_{L'}(P, k) \psi_L(P, k) = \frac{M^2 x}{Q^2} \int_{\kappa_{\min}}^{+\infty} \frac{\kappa d\kappa}{4\pi E_\kappa} \psi_{L'}(P, k) \psi_L(P, k)$$

where $\kappa_{\min} = \frac{r^2 - (1-x)^2}{2(1-x)}$

Wave functions:

$\psi_L(P, k)$ can be represented using the covariant variable

$$\chi = \frac{(M - m_s)^2 - (P - k)^2}{Mm_s} = 2 \frac{P \cdot k}{Mm_s} - 2$$

$$\iint_{p'k} \psi^2(\chi) = \frac{M^2 x}{Q^2} \int_{\kappa_{\min}}^{+\infty} \frac{\kappa d\kappa}{4\pi E_\kappa} \psi^2(\chi) \stackrel{\kappa \rightarrow \chi}{=} \frac{\pi x}{Q^2} \frac{Mm_s}{16\pi^2} \int_{\xi}^{+\infty} d\chi \psi^2(\chi),$$

where

$$\xi = \frac{r}{1-x} + \frac{1-x}{r} - 2$$

Nucleon wave function: S-state

S-state in quark-diquark [PRC 77,015202 (2008)]: $k =$ diquark momentum

$$\Psi_S(P, k) = \frac{1}{\sqrt{2}} [\Phi_I^0 \Phi_S^0 + \Phi_I^1 \Phi_S^1] \psi_S(P, k)$$

$\Phi_{I,S}^0$: **anti**-symmetric in the exchange of quark states (12) - M_A

$\Phi_{I,S}^1$: symmetric in the exchange of quark states (12) - M_S

Example $|p \uparrow\rangle$:

Isospin states

$$[M_A] : \Phi_I^0 = \frac{1}{\sqrt{2}} [ud - du] u$$

$$[M_S] : \Phi_I^1 = \frac{1}{\sqrt{6}} [2uud - (ud + du)u]$$

Nucleon wave function: S-state; spin part

Example $|p \uparrow\rangle$: $\uparrow = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$; $\chi_S = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

Spin-0: $\Phi_S^0 = \frac{1}{\sqrt{2}} \overbrace{(\uparrow\downarrow - \downarrow\uparrow)}^{\varepsilon^s} \uparrow = \varepsilon^s \chi_S$

Spin-1: $\Phi_S^1 = \frac{1}{\sqrt{6}} [2 \uparrow\uparrow\downarrow - (\uparrow\downarrow + \downarrow\uparrow) \uparrow] = -\frac{1}{\sqrt{3}} (\sigma \cdot \varepsilon_P^*) \chi_S$

Relativistic generalization:

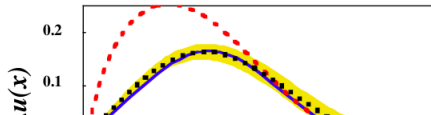
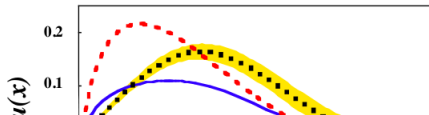
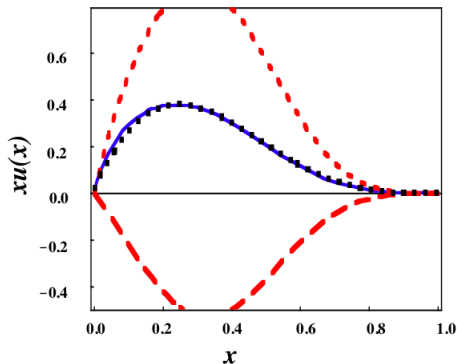
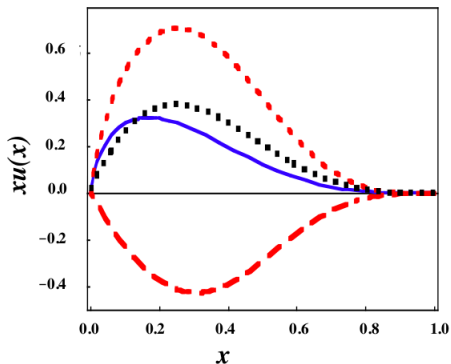
$$\Phi_S^0 \rightarrow u(P, \uparrow) \quad \Phi_S^1 \rightarrow -(\varepsilon_P^*)_\alpha U^\alpha(P, \uparrow)$$

- Dirac nucleon spinor $u(P, \uparrow)$; Diquark polarization vector: ε_P^α
[rest frame-fixed-axis base [PRC 77, 015202 \(2008\)](#)]
- Vector spin 1/2 S-state $[1 \oplus \frac{1}{2} \rightarrow \frac{1}{2}]$:

$$U^\alpha(P, \lambda_n) = \frac{1}{\sqrt{3}} \gamma_5 \left(\gamma^\alpha - \frac{P^\alpha}{M} \right) u(P, \lambda_n)$$

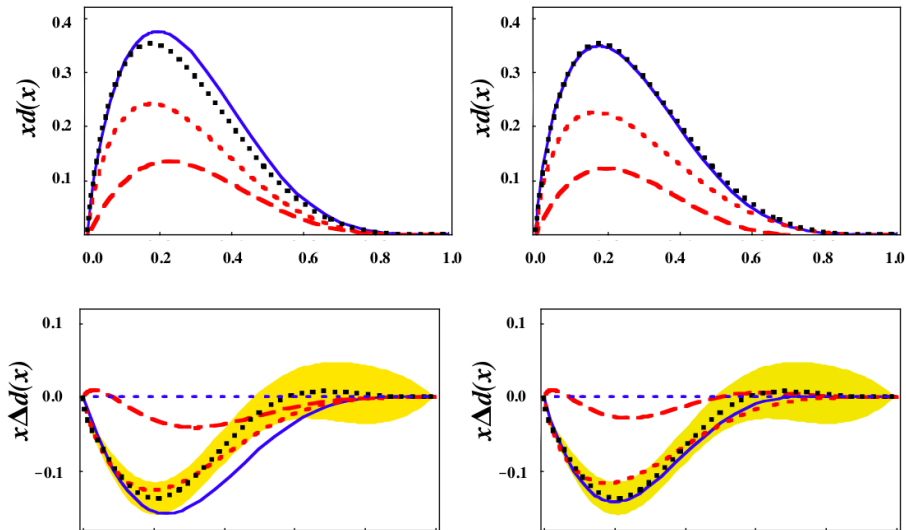
Fits to the data: step 3 (model 1) quark u

$$n_P = 0.43, n_D = 0.18$$



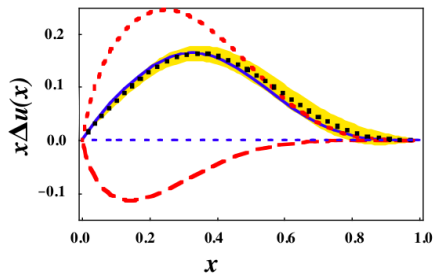
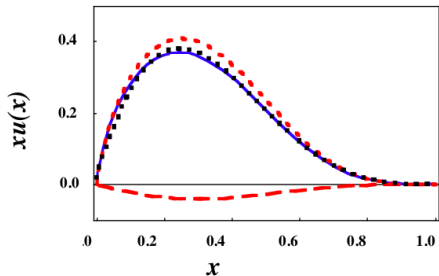
Fits to the data: step 3 (model 1) quark d

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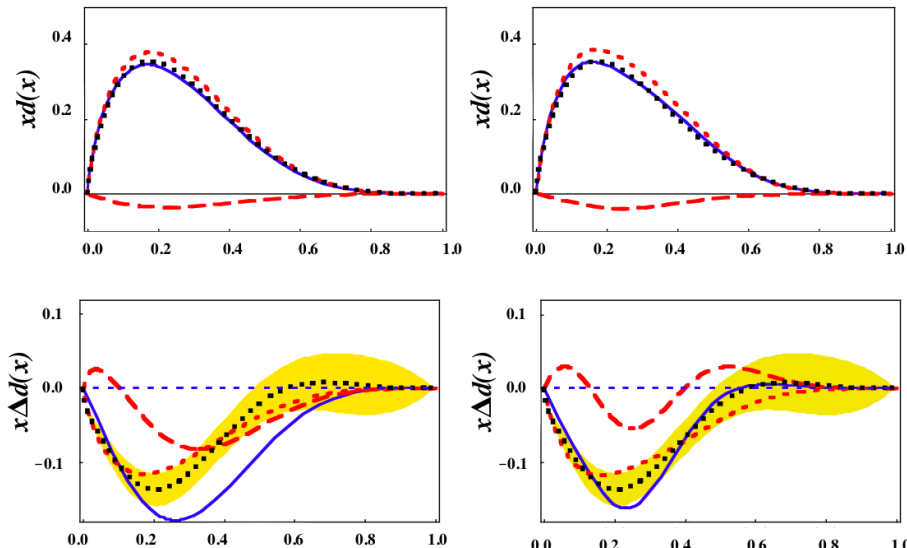
Fits to the data: step 3 (model 2) quark u

$$n_P = 0.08, n_D = 0.59$$



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DIS: wave functions and normalization

$$f_q^S(x) = \frac{Mm_s}{16\pi^2} \int_{\xi}^{+\infty} d\chi [\psi_q^S(\chi)]^2$$

DIS normalization

WF normalization ($Q^2 = 0$)

$$\int_0^1 dx f_q^S(x) = 1,$$

$$e_q^0 \int_k |\psi_q^S(\chi)|^2 \Big|_{Q^2=0} = e_q^0 \overbrace{\int_{-\infty}^1 dx f_q^S(x)}^N = 1$$

How to fix e_q^0 ?

$$N = \int_{-\infty}^1 dx f_q^S(x), \quad e_q^0 = \frac{1}{N} = \frac{\overbrace{\int_0^1 dx f_q^S(x)}^{=1}}{\underbrace{\int_{-\infty}^0 dx f_q^S(x)}_{>0} + \underbrace{\int_0^1 dx f_q^S(x)}_{=1}} < 1$$

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Breaking isospin symmetry

Write wave function in terms of u and d isospin states

ψ_L **dependent of the flavor of the quark 3**

Proton: $\chi^{1/2} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = u$; Neutron: $\chi^{-1/2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} = d$

Isospin-0 component:

$$\Phi_I^0 \psi_L = \phi^0 \chi^I \psi_L = \frac{1}{\sqrt{2}}(ud - du) \begin{pmatrix} u \\ d \end{pmatrix} \psi_L \longrightarrow \phi^0 \chi^I \psi_{u(d)}^L$$

Isospin-1 component:

$$\begin{aligned} \Phi_I^1 \psi_L &= \phi^1 \chi^I \psi_L = -\frac{1}{\sqrt{3}} \sum_{\ell=0, \mp 1} (\sigma \cdot \xi_\ell^*) \chi^I \psi_L \\ &= \underbrace{-\frac{1}{\sqrt{6}}(ud + du) \begin{pmatrix} u \\ d \end{pmatrix}}_{\ell=0} \psi_L + \underbrace{\sqrt{\frac{2}{3}} \begin{pmatrix} (uu)d \\ -(dd)u \end{pmatrix}}_{\ell=\mp 1} \psi_L \\ &\rightarrow (\phi_{\ell=0}^1) \chi^I \psi_{u(d)}^L + (\phi_{\ell=\mp 1}^1) \chi^I \psi_{d(u)}^L \end{aligned}$$

$\psi_L \rightarrow \psi_q^L$: different distributions for u and d