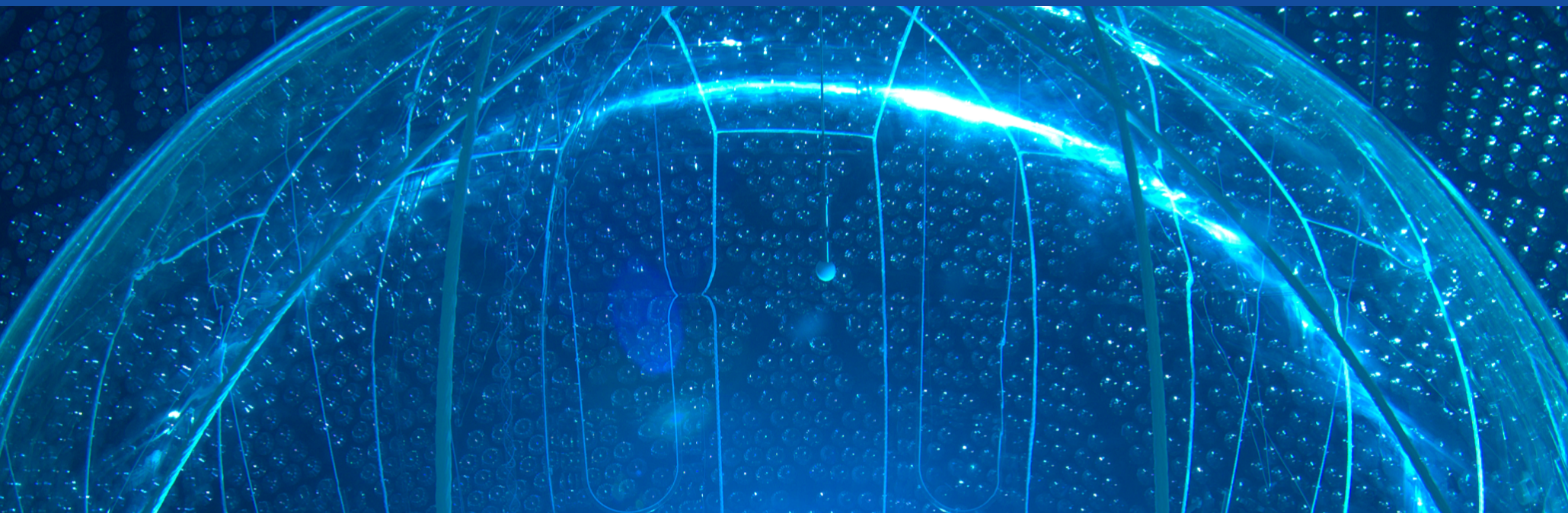


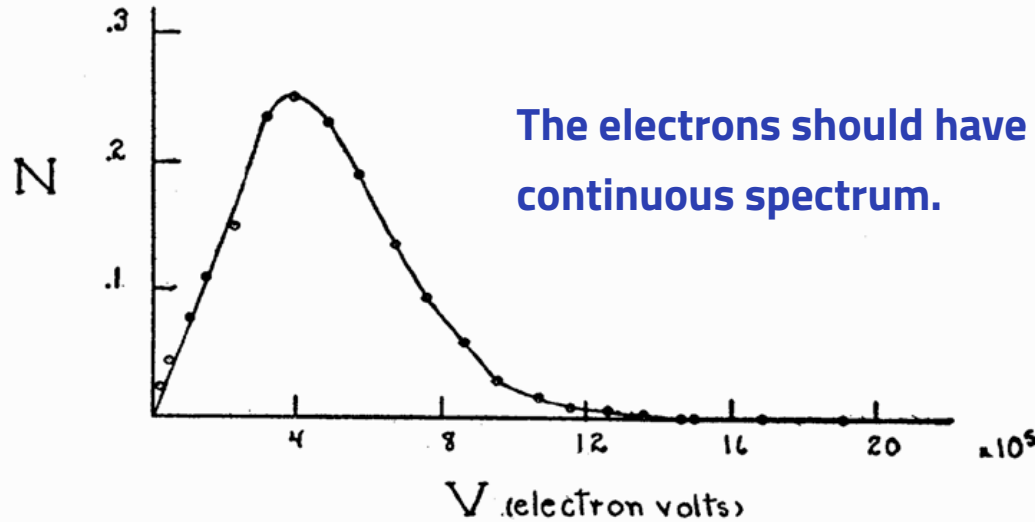
# Neutrinos: oscillations, mass and how to measure them

Nuno Barros, LIP/UC



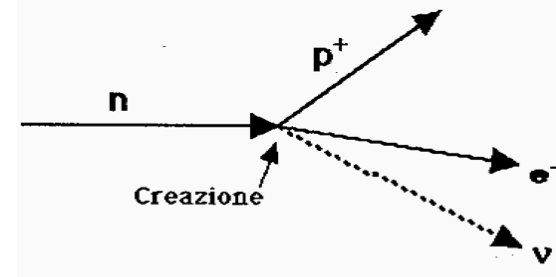
Mini-School on Particle and Astroparticle Physics - May 9<sup>th</sup>, 2022

# Neutrinos, a desperate hypothesis to solve beta decay



The electrons should have a single energy, not a continuous spectrum.

FIG. 5. Energy distribution curve of the beta-rays.



Fermi

Pauli

"I have done something very bad today by proposing a particle that cannot be detected; it is something no theorist should ever do."  
— Wolfgang Pauli (1930)

neū · trī'nō : small neutral object



# Neutrinos as the window into the Universe

## A rather unique particle...

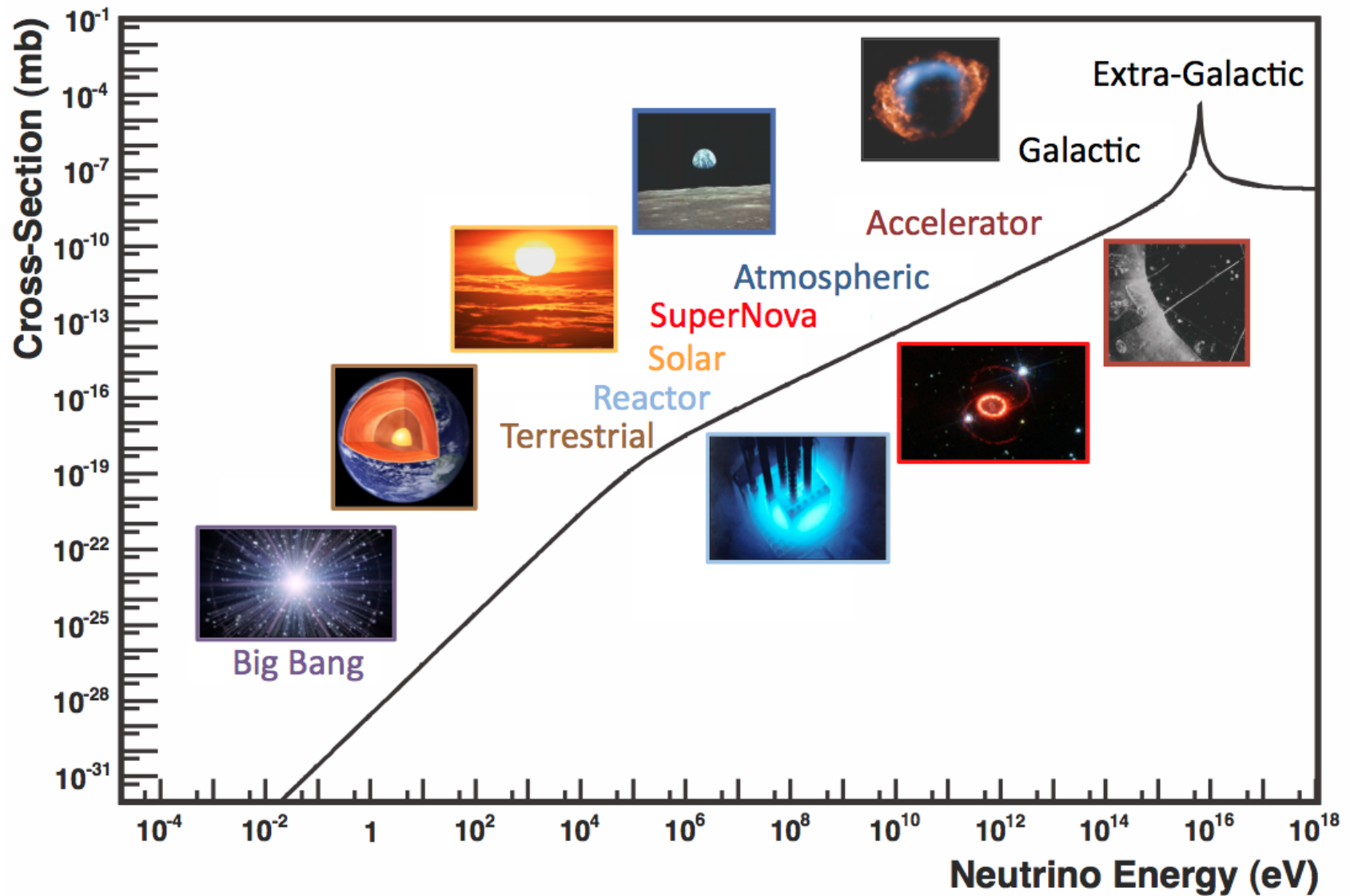
- Have no charge - do not participate in electromagnetism
  - **Could be their own anti-particles**
- Are the **second most abundant** particle in the visible universe
  - After photons
- Come in three flavours
  - Paired with the corresponding charged leptons
- Are very light
  - For a long time thought to be massless
- Interact very weakly
  - Only participate in the weak interaction
- They change flavour
  - Neutrino oscillations imply massive neutrinos

The table of known elementary particles

QUARKS	u up	c charm	t top	g gluon	H Higgs boson
	d down	s strange	b bottom	γ photon	
LEPTONS	e electron	μ muon	τ tau	Z Z boson	
	ν <sub>e</sub> electron neutrino	ν <sub>μ</sub> muon neutrino	ν <sub>τ</sub> tau neutrino	W W boson	
				GAUGE BOSONS	

# Where do neutrinos come from?

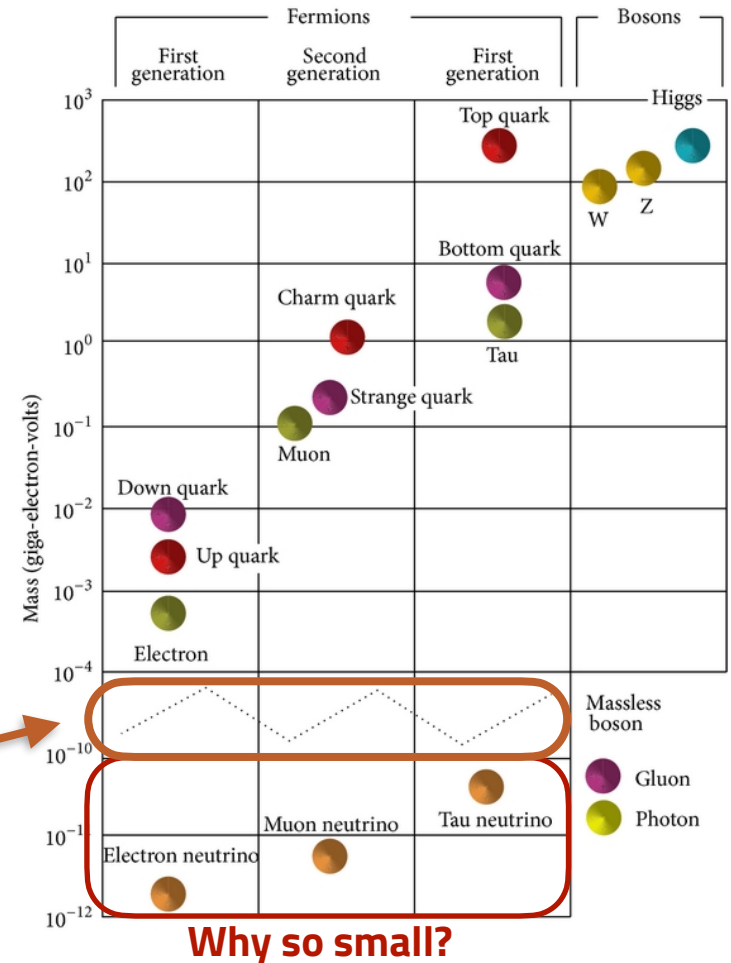
...everywhere, every energy, every time!



# Neutrinos have mass

- $\nu$  masses are much smaller than other particles
- Other particles get mass because they are "slowed down" by the Higgs field.
- **Neutrino masses are so small, perhaps they get mass some other way?**

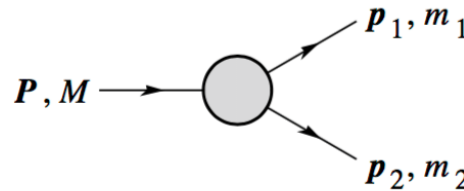
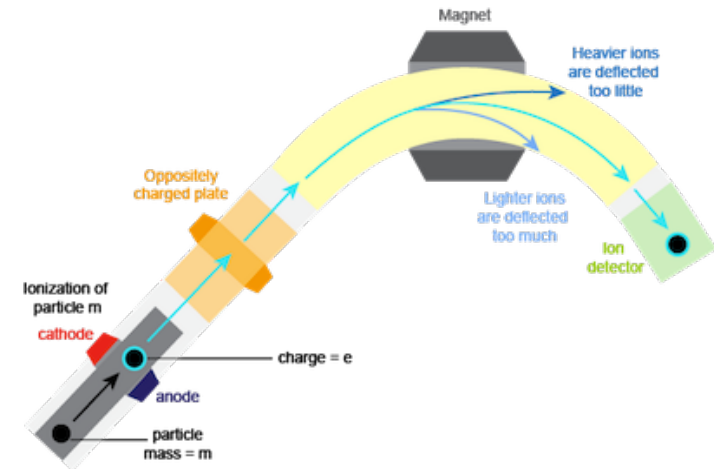
"the desert"  
(6 orders of  
magnitude long)



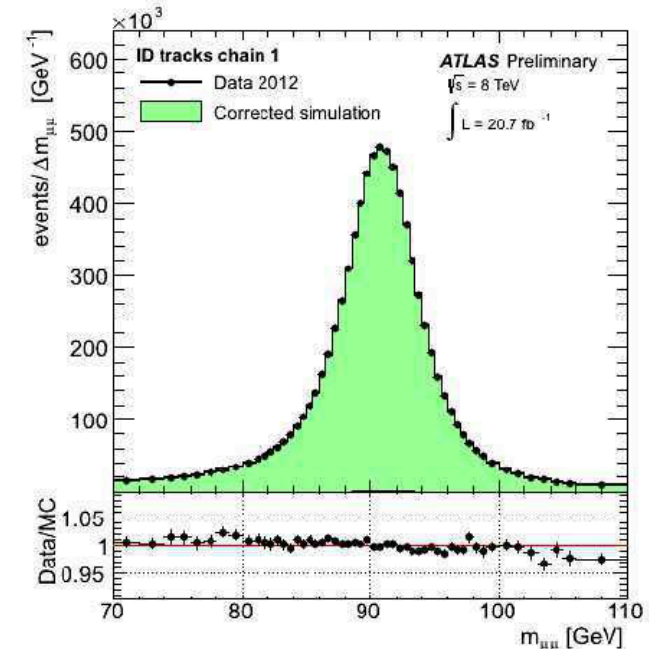


# Neutrino mass is hard to measure

- Neutrinos are massless in the Standard Model
- Usual techniques don't work...
- Measure their track curvature in a magnetic field
  - neutrinos are **neutral**, not affected by EM fields ✗
- Measure energy and momentum of daughter particles ?
  - Neutrinos are the **lightest** particles, don't decay into others ✗
- Use quantum interference to probe neutrino mass ✓



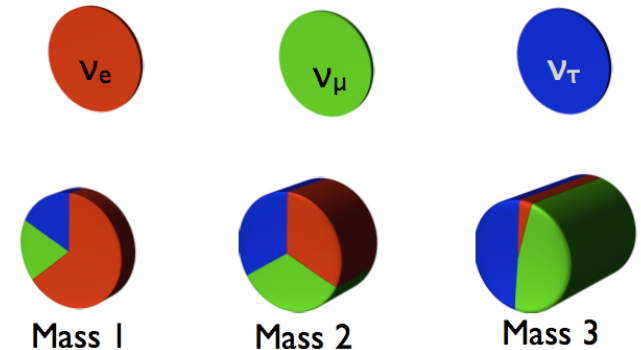
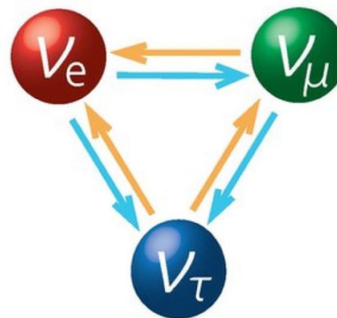
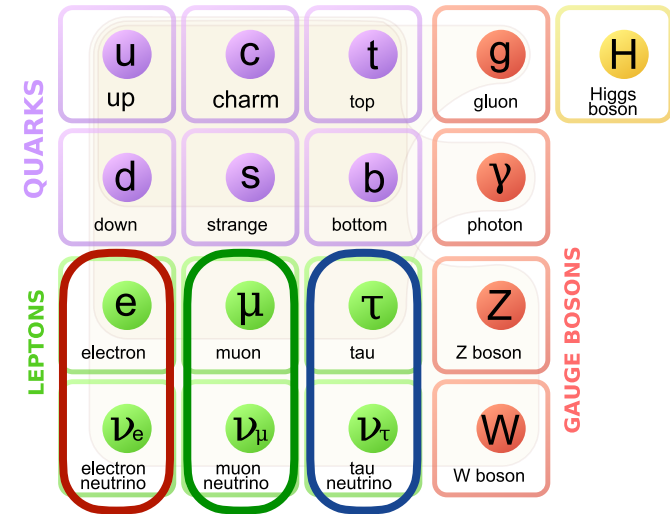
$$M^2 = (E_1 + E_2)^2 - (\vec{p}_1 + \vec{p}_2)^2$$



# Neutrino states

## Weak flavour states vs mass states

- **Neutrinos come in three “flavours”**
  - According to the lepton they produce when they have weak CC interactions
- **Neutrinos also come in three mass states**
  - But these states are not the same!!
- **If these masses are non-zero, flavour can change when neutrinos propagate!**
  - **Neutrino Oscillations!**



# Neutrino Oscillations

## Short introduction with two flavours

- The weak flavour eigenstates ( $\nu_e, \nu_\mu$ ) are different from the mass eigenstates ( $\nu_1, \nu_2$ )

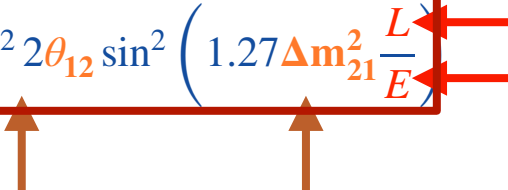
$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

- The weak states are mixtures of the mass states:

$$|\nu_\mu\rangle = -\sin\theta|\nu_1\rangle + \cos\theta|\nu_2\rangle$$

$$|\nu_\mu(t)\rangle = -\sin\theta (|\nu_1\rangle e^{-iE_1t}) + \cos\theta (|\nu_2\rangle e^{-iE_2t})$$

- This leads to an **oscillation probability**

$$P_{\text{oscillation}}(\nu_\mu \rightarrow \nu_e) = \left| \langle \nu_e | \nu_\mu(t) \rangle \right|^2 = \sin^2 2\theta_{12} \sin^2 \left( 1.27 \frac{\Delta m_{21}^2 L}{E} \right)$$


### Fundamental oscillation parameters:

- $\theta$  : Magnitude of oscillation
- $\Delta m^2$  : period

### Experimental parameters:

- $L$  : Distance from source to detector
- $E$  : Neutrino energy

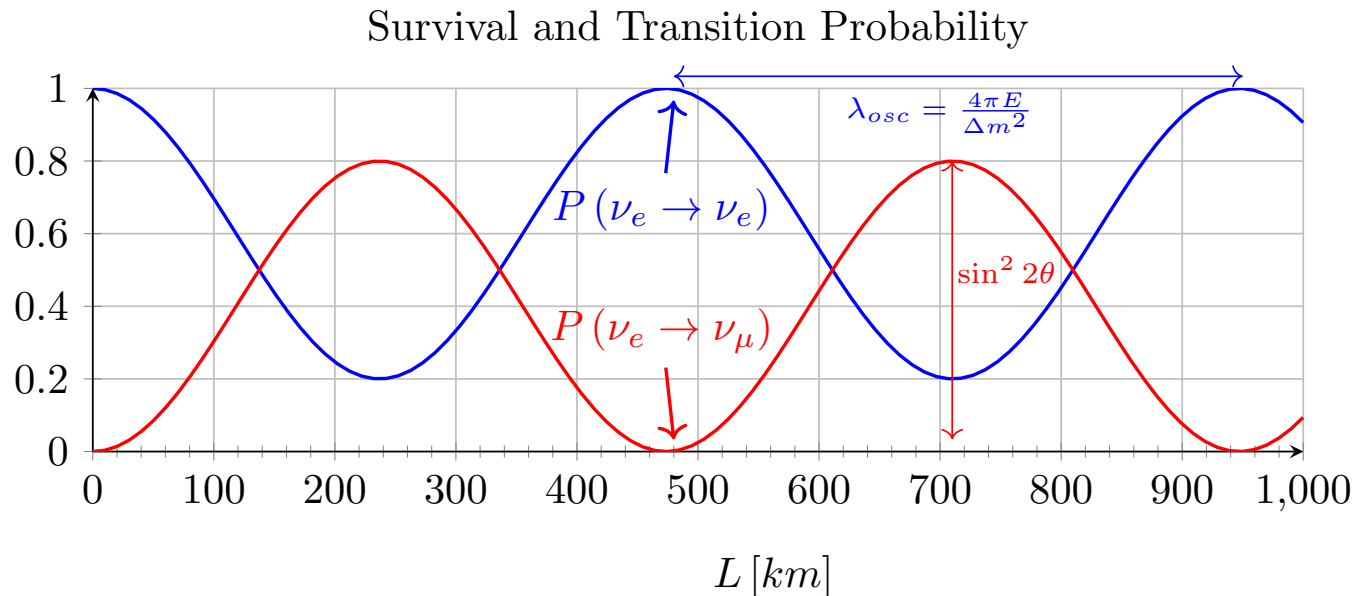


# Neutrino Oscillations

## How to plan a neutrino oscillation experiment

$$P_{\text{oscillation}}(\nu_\mu \rightarrow \nu_e) = \left| \langle \nu_e | \nu_\mu(t) \rangle \right|^2 = \sin^2 2\theta_{12} \sin^2 \left( 1.27 \Delta m_{21}^2 \frac{L}{E} \right)$$

- Choose **L** and **E** adequate to the ranges of  $\Delta m^2$  of interest
- Get a suitable neutrino source (accelerator, reactor, the Sun...)
- Collect (a lot of) data and “see” neutrinos **appearing** and **disappearing**



# Neutrino Oscillations

...now in three flavours!

- Neutrinos are parametrised by 3 masses ( $m_1, m_2, m_3$ ), 3 angles ( $\theta_{12}, \theta_{13}, \theta_{23}$ ) and an extra complex phase  $e^{i\delta}$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} c_{13}c_{12} & c_{13}s_{12} & s_{13}e^{-i\delta} \\ -c_{23}s_{12} - s_{13}s_{23}c_{12}e^{i\delta} & c_{23}c_{12} - s_{13}s_{23}s_{12}e^{i\delta} & c_{13}s_{23} \\ s_{23}s_{12} - s_{13}c_{23}c_{12}e^{i\delta} & -s_{23}c_{12} - s_{13}c_{23}s_{12}e^{i\delta} & c_{13}c_{23} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} = \begin{bmatrix} 0.82 \pm 0.01 & 0.54 \pm 0.02 & 0.15 \pm 0.03 \\ 0.35 \pm 0.06 & 0.70 \pm 0.06 & 0.62 \pm 0.06 \\ 0.44 \pm 0.06 & 0.45 \pm 0.06 & 0.77 \pm 0.06 \end{bmatrix}$$

- The phase  $e^{i\delta}$  is responsible for matter/anti-matter asymmetry (CP violation)

$$P(\nu_\alpha \longrightarrow \nu_\beta) \neq P(\bar{\nu}_\alpha \longrightarrow \bar{\nu}_\beta)$$

- Neutrino oscillations are consistent with being mass driven

$$\begin{aligned} P(\nu_e \rightarrow \nu_e) = & 4C_{13}^2 S_{13}^2 S_{23}^2 \sin^2 \Delta_{31} \\ & + 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{13} \cos \delta - S_{12} S_{13} S_{23}) \cos \Delta_{32} \cdot \sin \Delta_{31} \cdot \sin \Delta_{21} \\ & - 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta \sin \Delta_{32} \cdot \sin \Delta_{31} \cdot \sin \Delta_{21} \\ & + 4S_{12}^2 C_{13}^2 (C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta) \sin^2 \Delta_{21} \end{aligned}$$

CP violating term

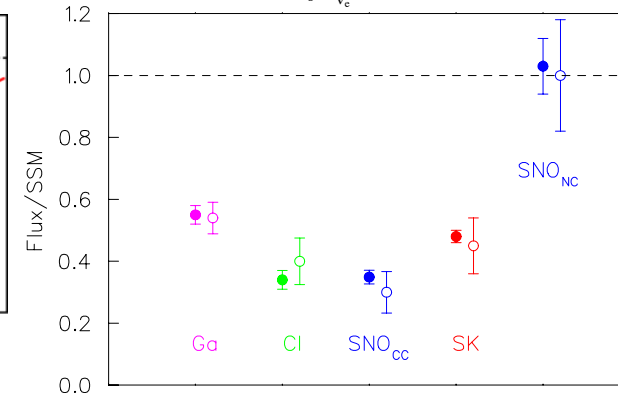
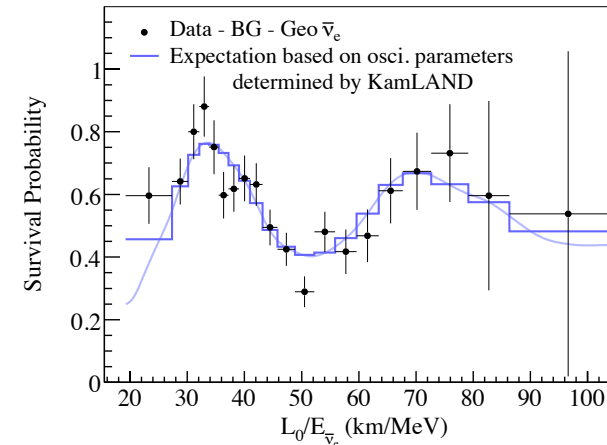
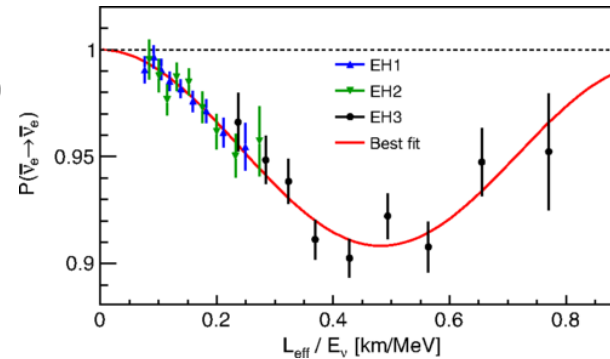
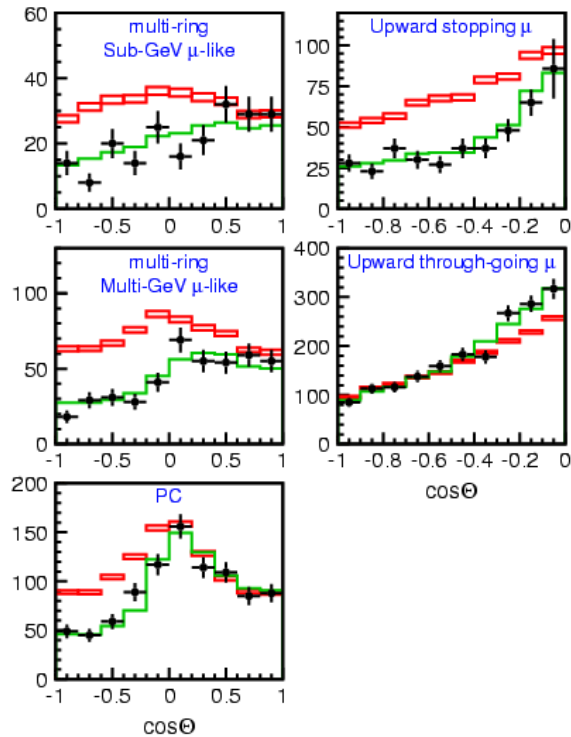
Matter term

$$- 8C_{13}^2 S_{13}^2 S_{23}^2 (1 - 2S_{13}^2) \frac{aL}{4E_\nu} \cos \Delta_{32} \sin \Delta_{31}$$

$$\Delta_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E_\nu}$$

# Neutrino Mass and Oscillations

What have we learned in the last ~20 years



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\text{Accelerator and Atmospheric}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\text{SBL reactor}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{LBL reactor + Solar}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Accelerator and  
Atmospheric

SBL reactor

LBL reactor +  
Solar



# What have we learned in the last ~20 years

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\text{Accelerator and Atmospheric}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\text{SBL reactor}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{Solar + LBL reactor}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

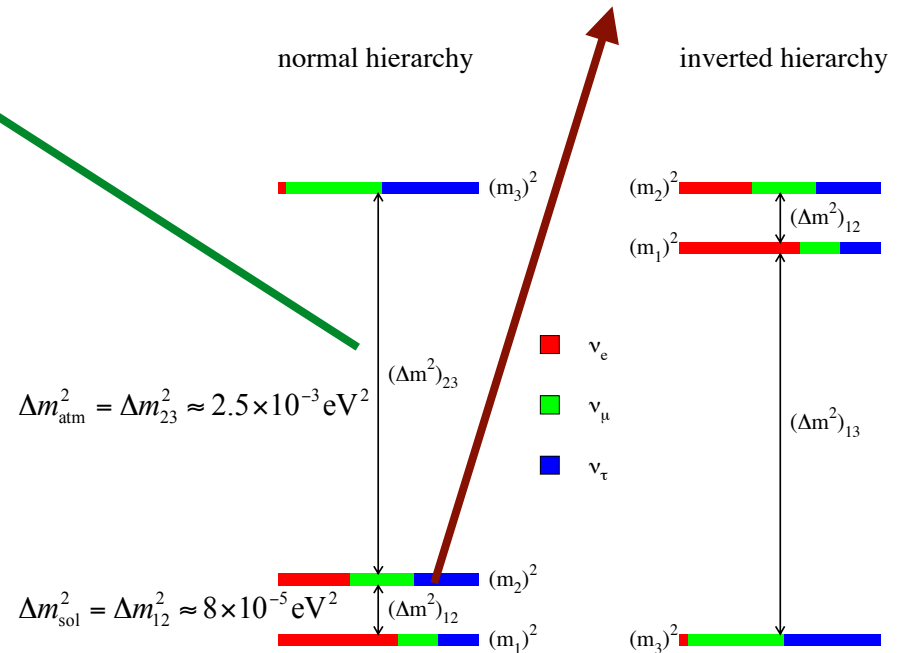
Accelerator and  
Atmospheric

SBL reactor

Solar +  
LBL reactor

	VALUE
$ \Delta m_{32}^2 $	$2.52 \pm 0.04 \text{ E-03 (eV}^2\text{)}$
$\Delta m_{21}^2$	$7.40 \pm 0.21 \text{ E-05 (eV}^2\text{)}$
$\sin^2 \theta_{12}$	$0.31 \pm 0.01$
$\sin^2 \theta_{23}$	$0.56^{+0.02}_{-0.12}$
$\sin^2 \theta_{13}$	$0.022 \pm 0.0007$
$\delta_{CP}$	$(-0.4 \pm 0.09)\pi ?$

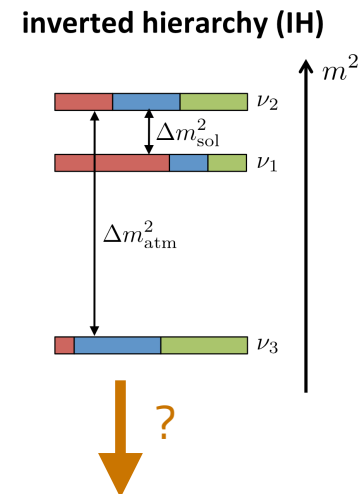
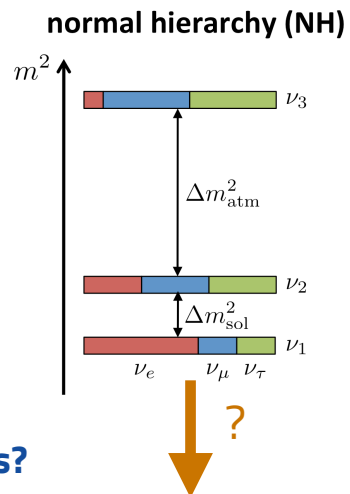
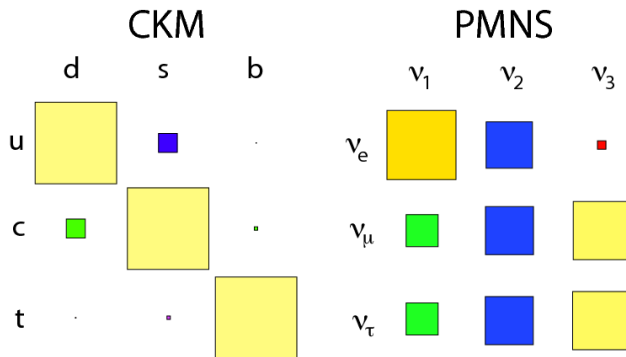
[NuFit Results](#)



# What **haven't** we learned **yet** about neutrinos

## Many questions waiting for answers!

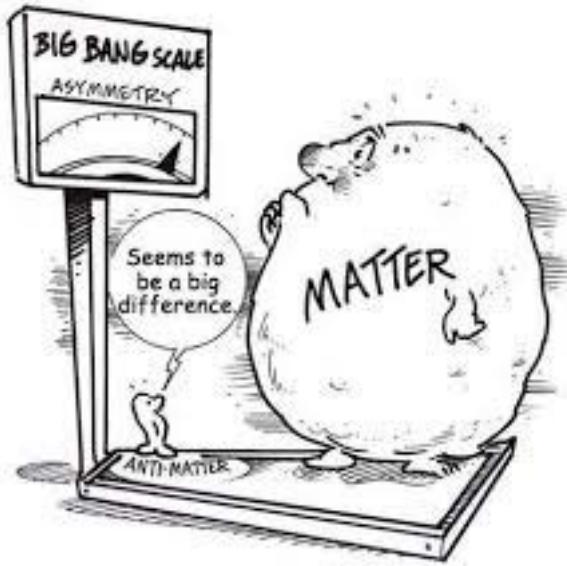
- Do neutrinos and antineutrinos oscillate in the same way?
  - Do they violate the CP symmetry?
- What is the ordering of their masses?
- What are the precise values of the neutrino mixing parameters?
  - Why are these parameters so different from the quarks?



- What is the absolute mass of the neutrinos?
- Are neutrinos Majorana or Dirac particles?
  - Are neutrinos their own antiparticles?

# Why do we care?

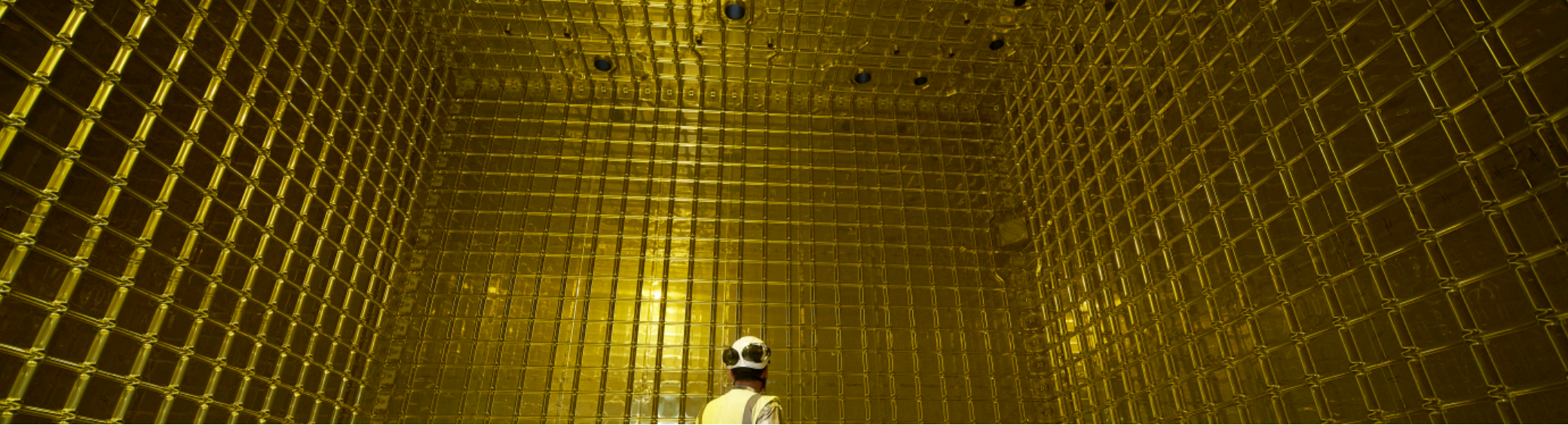
## Where is all the antimatter?



- Physics laws need to be slightly different for particles and antiparticles
- The asymmetry in the quark sector **cannot** explain the difference
- **An asymmetry in the lepton sector could solve the mystery**
  - Heavy neutrinos produced in the Big Bang (**Majorana particles**)
  - They decay into light particles
  - Due to **CP violation**, they have a preference to decay into particles, instead of anti-particles







# The future of Neutrino Oscillations

**CP Violation and Mass Hierarchy with the DUNE Experiment**

# | DUNE - Testing the $\nu$ model

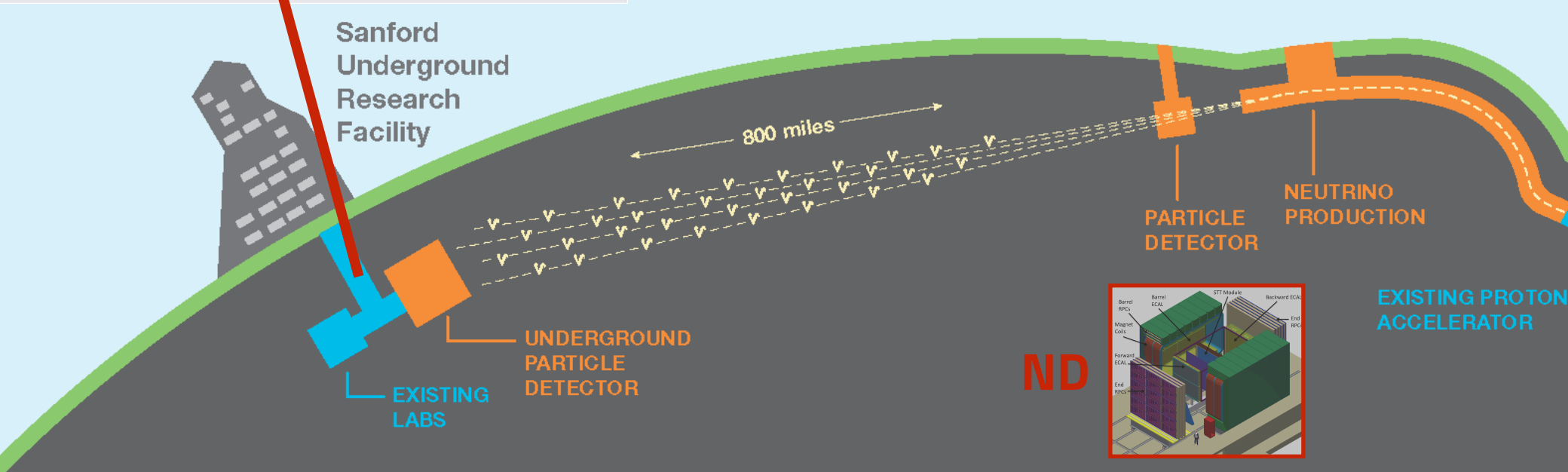
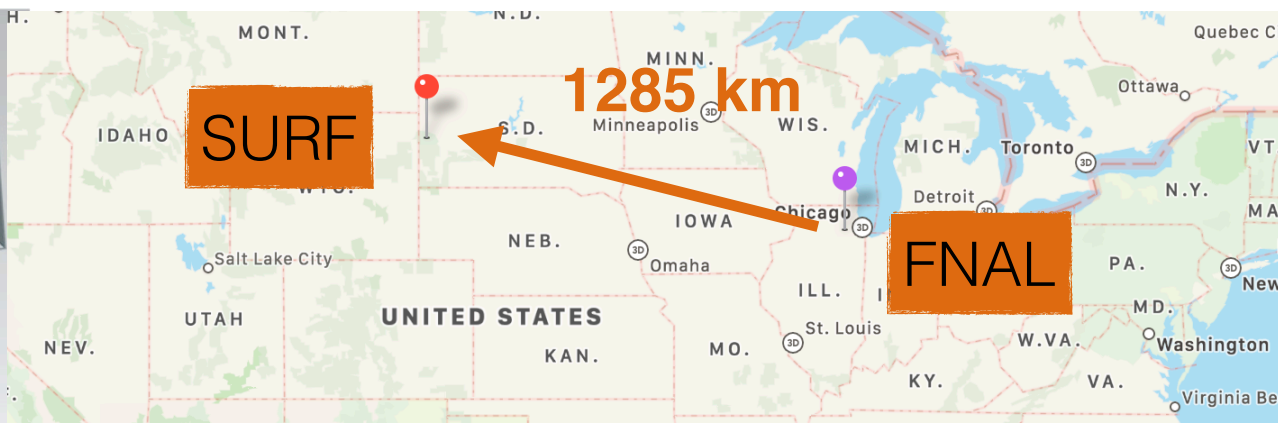
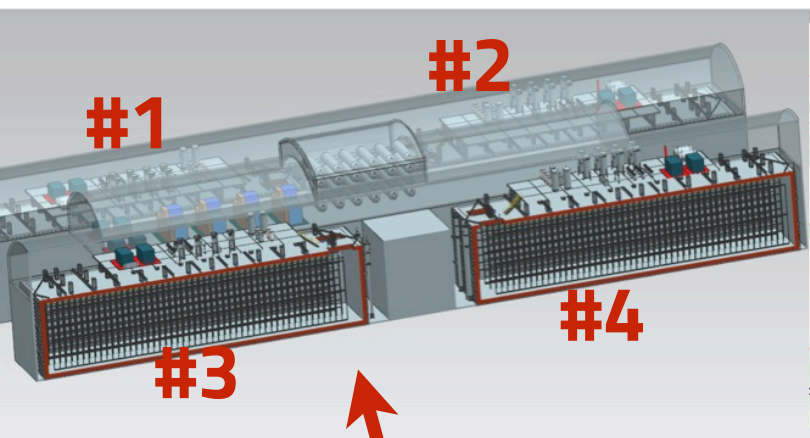
- **We currently have a model that has several parameters**
  - But the data that it explains is rather limited
- **What predictions from the model can we check?**
  - L/E (or just L, or just E) oscillation behaviour
  - Universality of the parameters ( $\Delta m^2$ ,  $\theta$ )
  - **CP violation if  $\delta$  is non-zero**
- Neutrino oscillations give us a natural “interferometer”
  - **Anything that distinguishes flavours (or mass states) alters the pattern**

# Searching for CP violation with the DUNE Experiment

...amongst other things

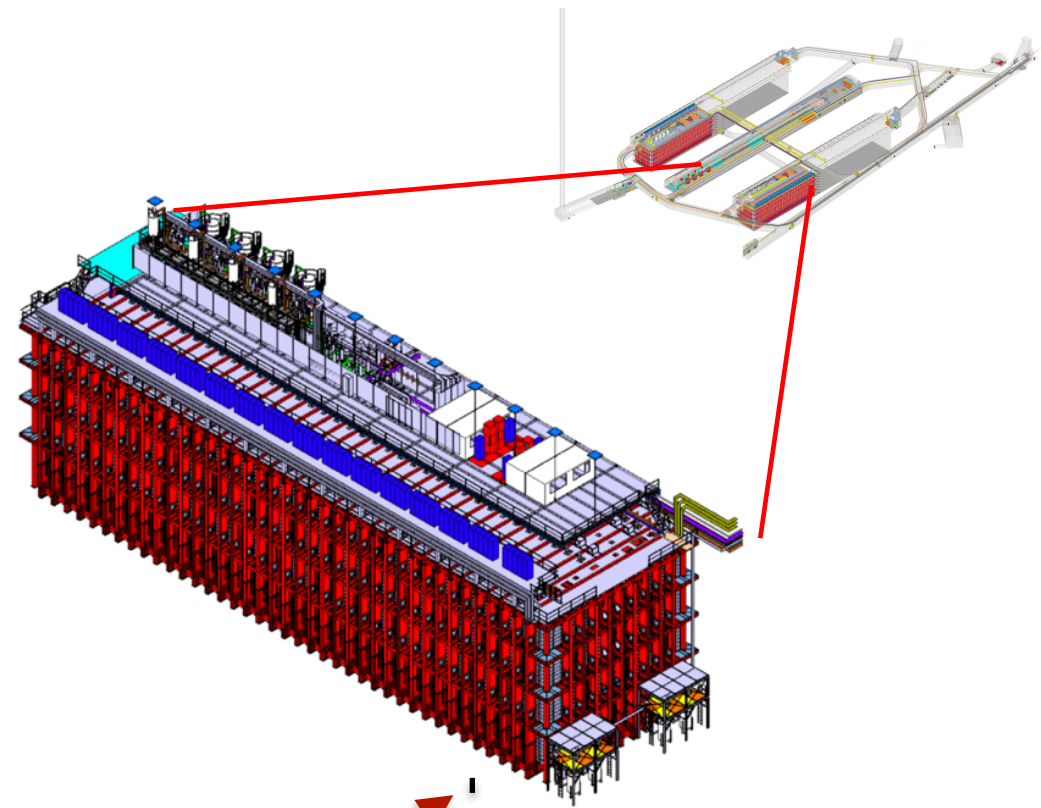


DEEP UNDERGROUND  
NEUTRINO EXPERIMENT

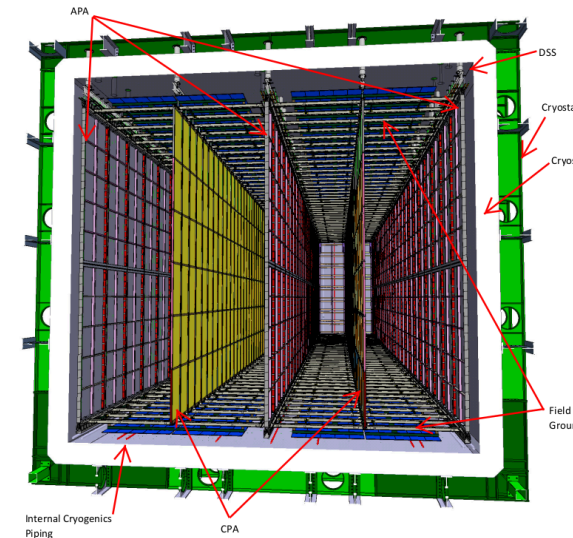


# DUNE detectors

- 5 main caverns
  - 4 detector + 1 support
    - Support : Cryogenics and DAQ
- Detectors based on LArTPC technologies
  - Same cryostat
    - 62 m x 19 m x 18 m
      - 17 kt total LAr mass (70 kt total)
      - 10 kt fiducial LAr mass (40 kt total)
- $E = 500 \text{ V/cm}$ 
  - Cathode voltage : -180 kV
- 150 APAs per detector module
  - 384 000 channels
  - Continuous digitisation of  $\sim \text{ms}$  waveforms



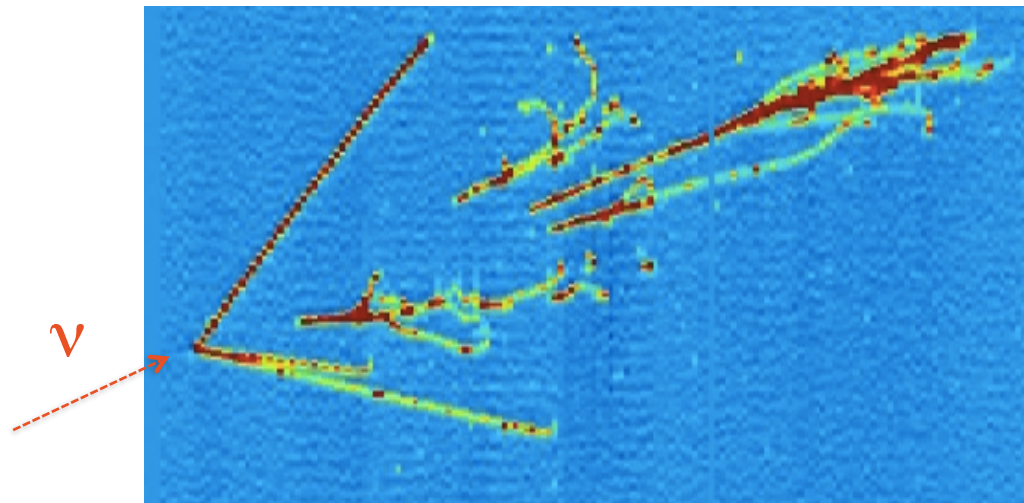
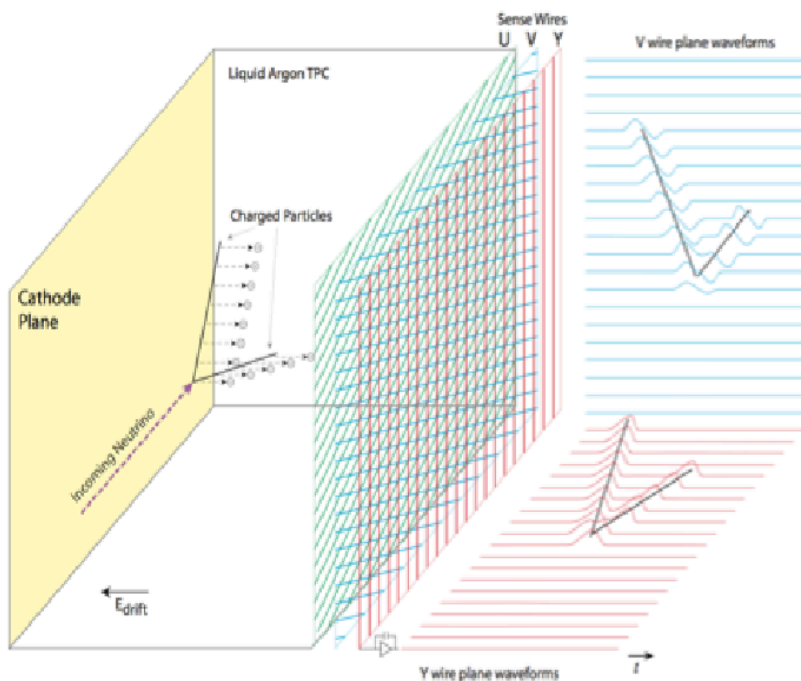
**A tall physicist**

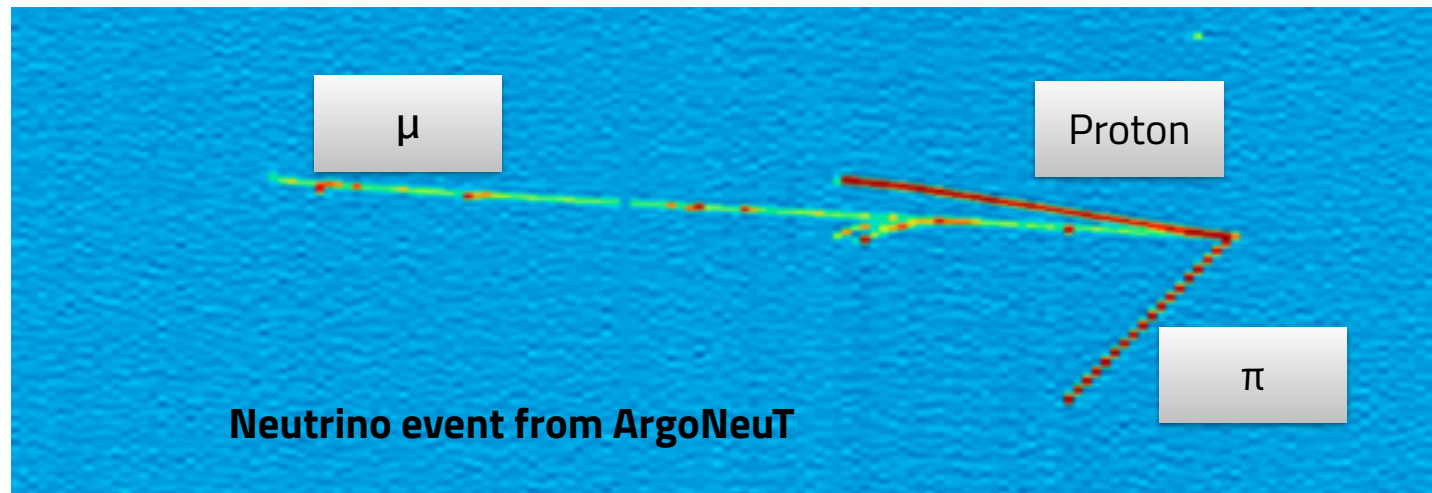
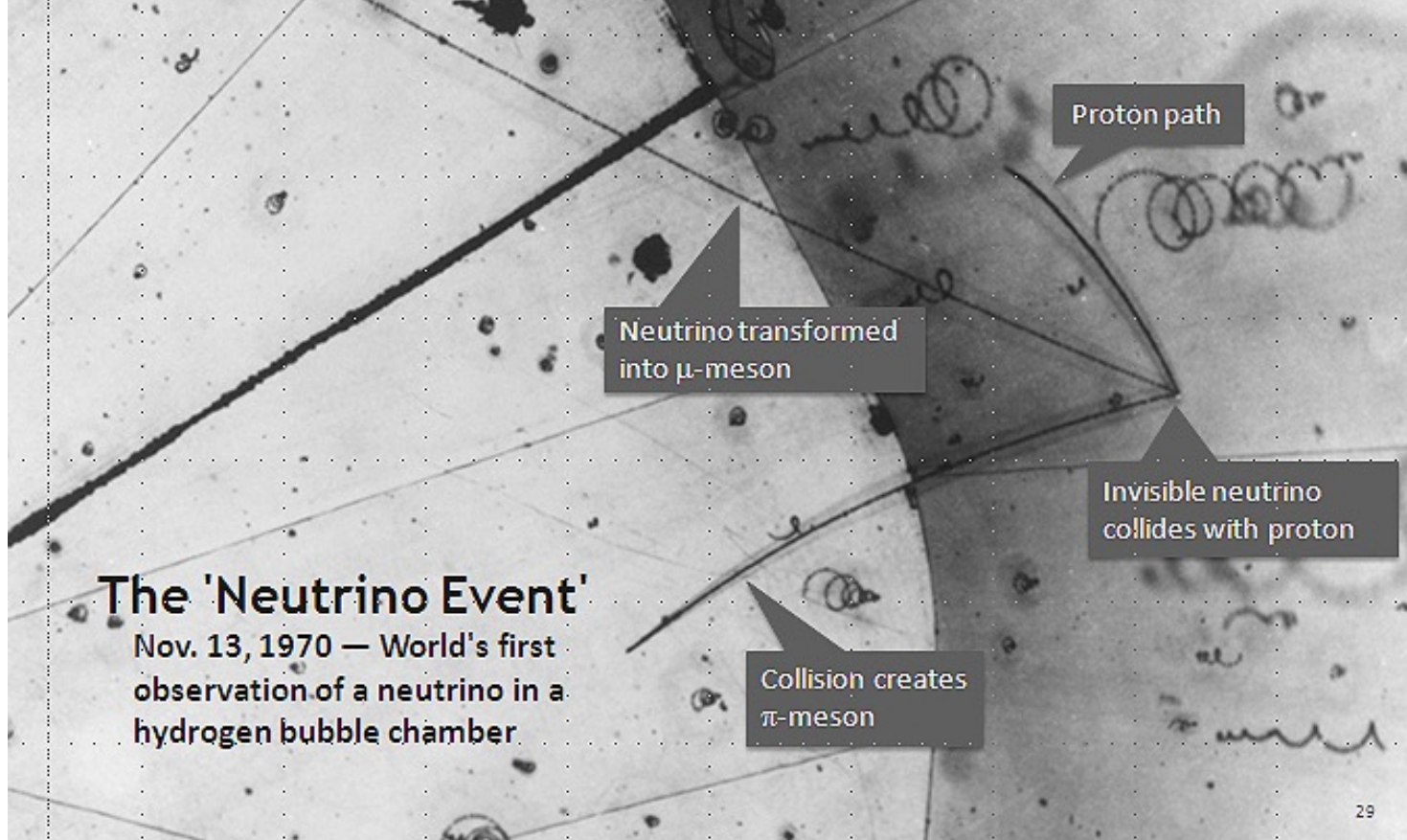




# DUNE Far Detector: LAr TPCs

- LAr TPC provides:
  - Excellent 3D imaging
    - few mm resolution over large volume
  - Excellent energy measurement
    - Fully active calorimeter
  - Allows particle ID by  $dE/dx$ , range, event topology
- Major (and exciting) challenges
  - Scaling technology to very large detector volumes
  - Event reconstruction and classification –
  - Recent success in using Convolutional Visual Networks (CVNs) for event classification (ResNet in TensorFlow)

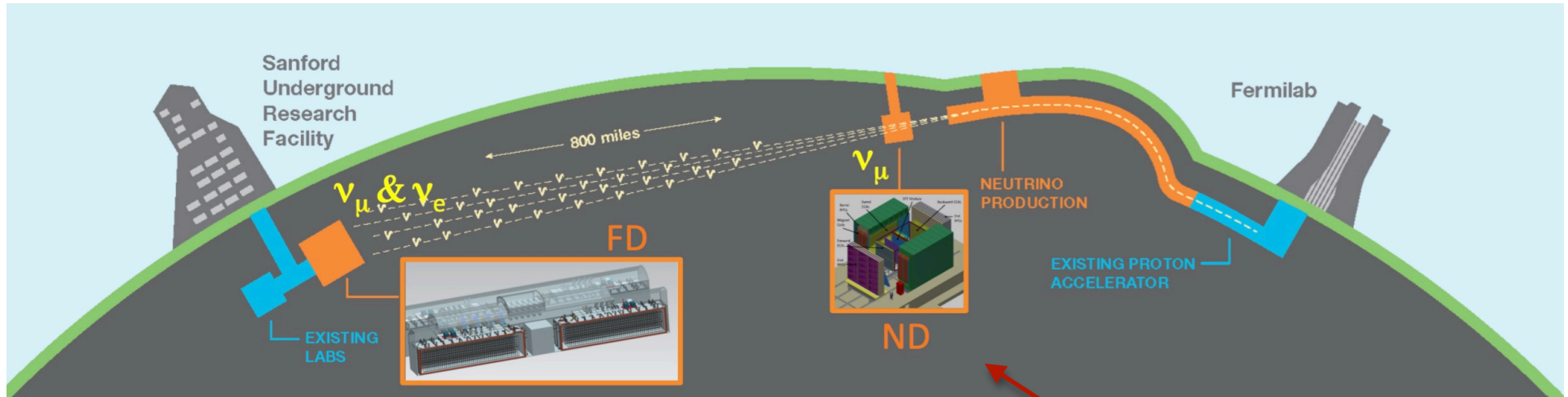






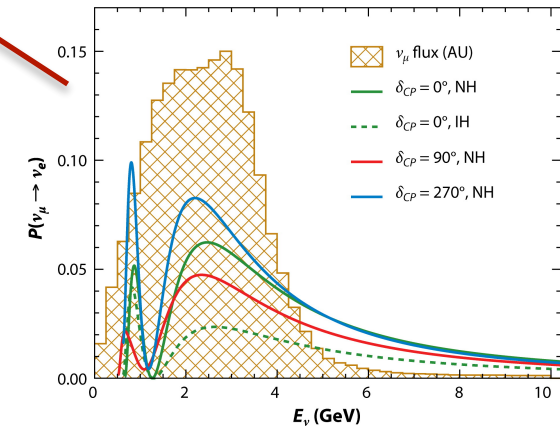
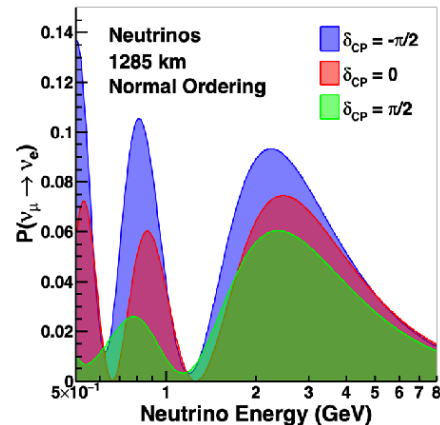
# Long Baseline Oscillations

LBL physics with a neutrino beam...



- Measure **neutrino** spectra at 1300 km in a wide band beam

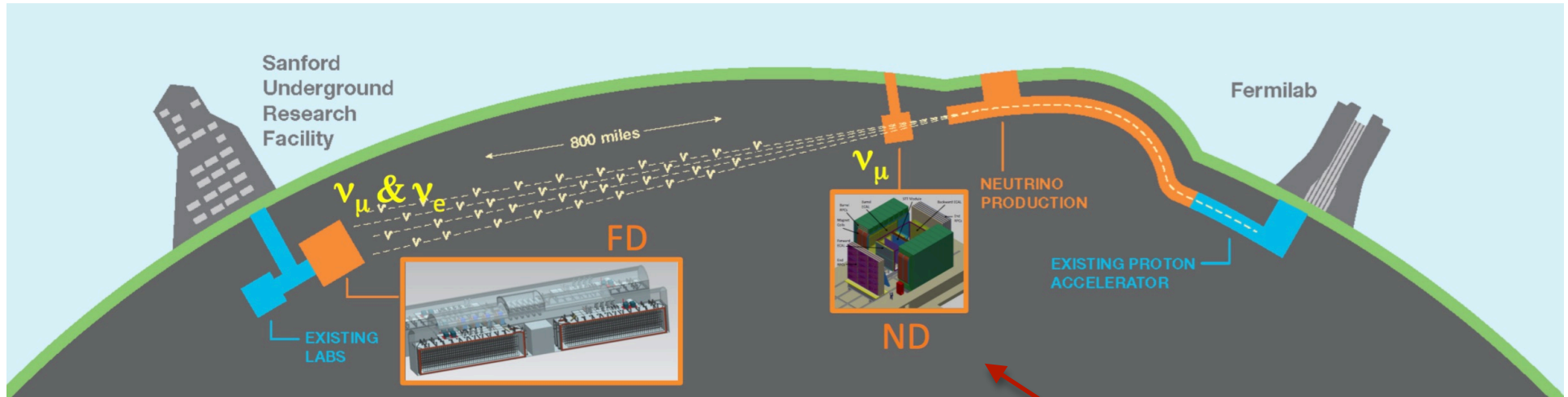
- Near detector at Fermilab: measurement of  $\nu_\mu$  unoscillated beam
- Far detector at SURF: measure oscillated  $\nu_\mu$  and  $\nu_e$



Probe of neutrino oscillations (with matter effects)  
and mass hierarchy

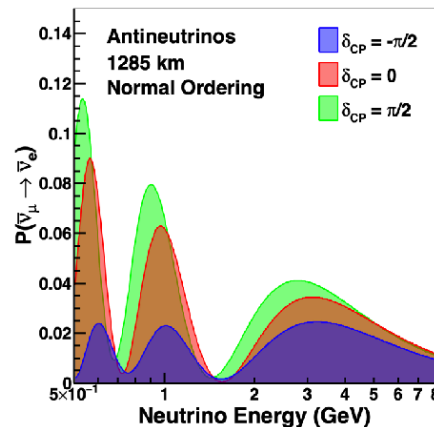
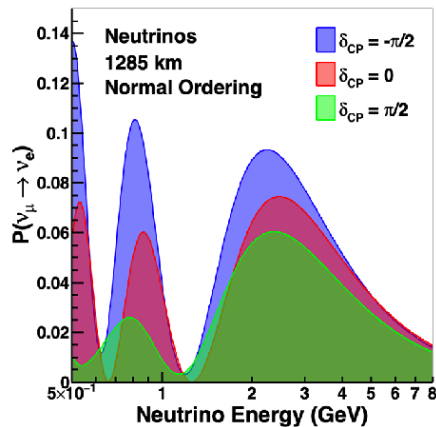
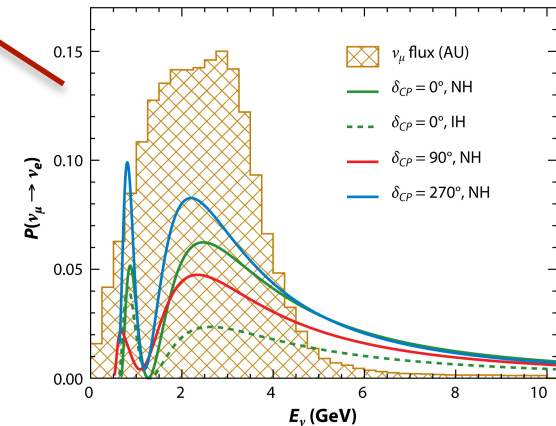
# Long Baseline Oscillations

...and then repeat for **antineutrinos**



## ■ Measure **antineutrino** spectra at 1300 km in a wide band beam

- Compare oscillations of neutrinos and antineutrinos
- Direct probe of CP violation in the neutrino sector

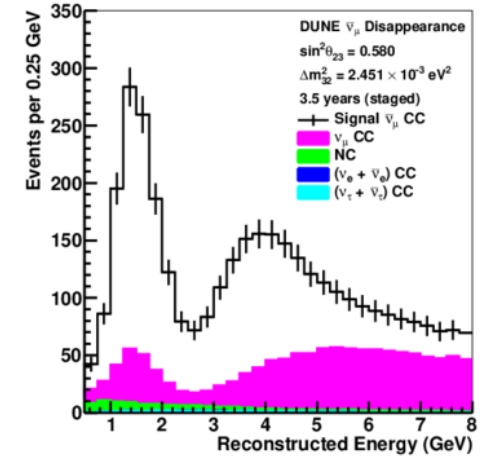
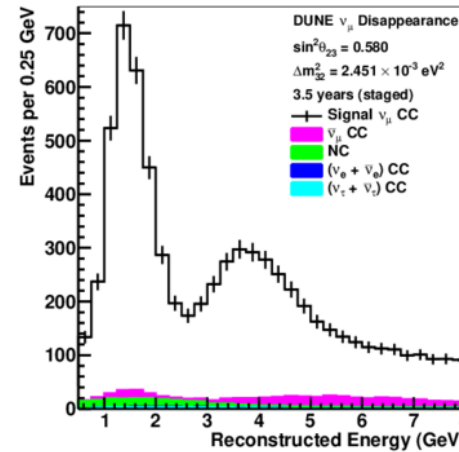


# Physics of DUNE

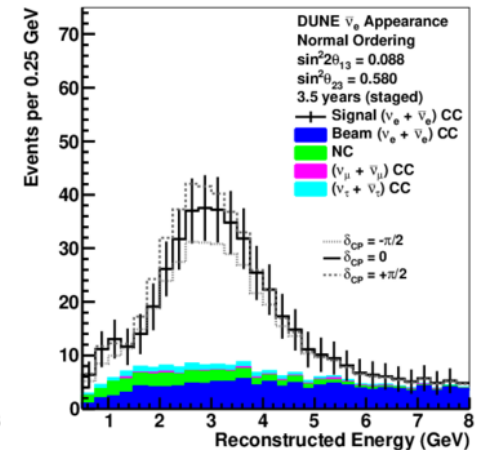
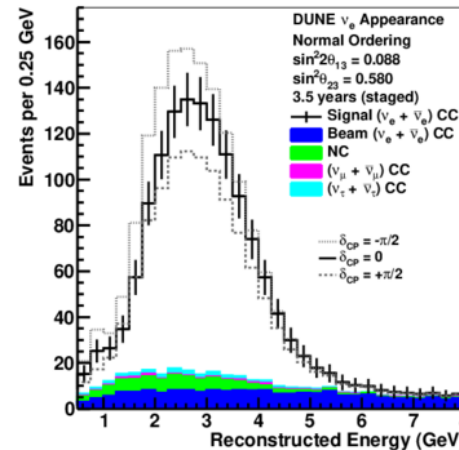
## Oscillation analysis strategy

- Determine  $MH$ ,  $\delta_{CP}$ ,  $\theta_{23}$  octant, test 3-flavor paradigm and search for BSM effects (eg. NSI) **in a single experiment**
- Long baseline: matter effects are large ( $\sim 40\%$ )
- Wide-band beam:**
  - $\nu_\mu$  disappearance and  $\nu_e$  appearance over range of energies
- $MH$  and  $\delta_{CP}$  effects are separable

### (anti-) $\nu_\mu$ disappearance



### (anti-) $\nu_e$ appearance



# | DUNE Physics Program

## From MeV to GeV

### ▪ Neutrino Oscillation Physics

- High sensitivity potential for leptonic CP violation
- Identify the neutrino mass hierarchy
- Precision oscillation physics and test of 3-flavour oscillations

### ▪ Proton Decay

- Target SUSY-favoured mode  $p \rightarrow K + \nu$

### ▪ SN burst physics and astrophysics

- Galactic core collapse supernova, unique sensitivity to  $\nu_e$
- Design sensitivity to satellite galaxies

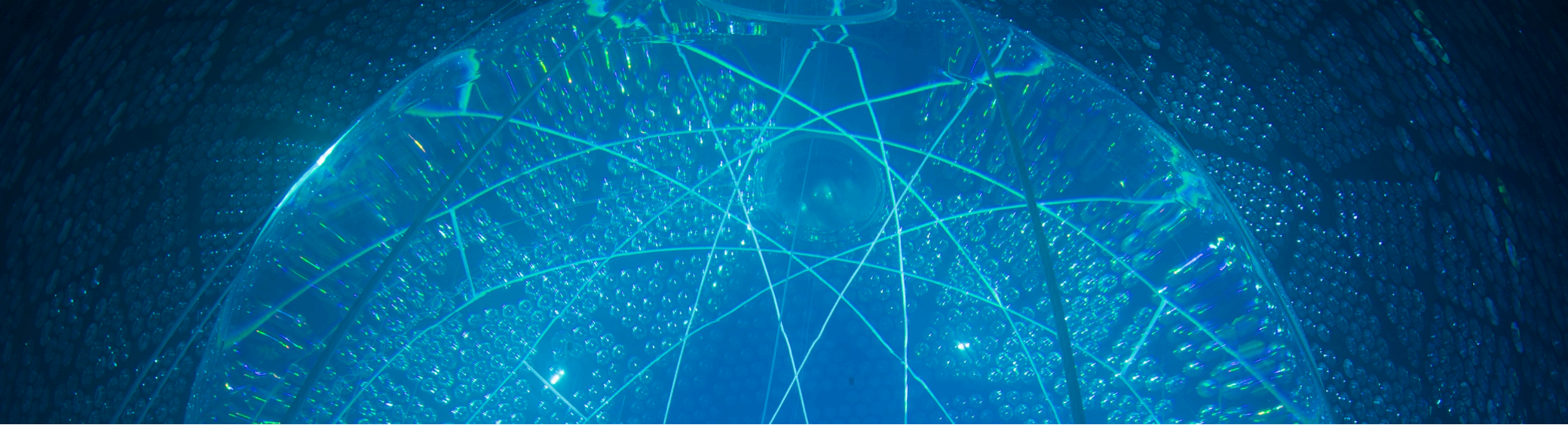
### ▪ Atmospheric Neutrinos

### ▪ Neutrino Interaction Physics (Near Detector)

**E~O(few GeV)**



**E~O(10 MeV)**



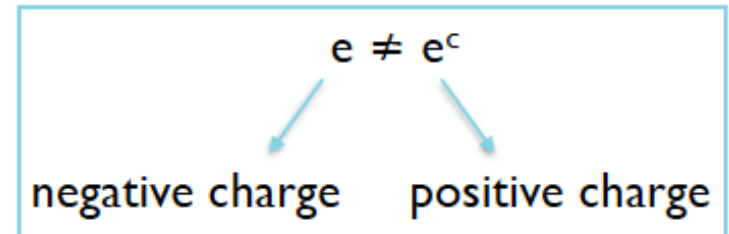
# The Nature of the Neutrino

**Lepton Number Violation with the SNO+ Experiment**



# Are neutrinos Dirac or Majorana fermions?

- Except for neutrinos, all fundamental fermions of the standard model are electrically charged
- Thus, there is a distinction between particle and antiparticle
- For neutrinos, this is not obvious - **particles could be identical to antiparticles, with only chirality/helicity distinguishing them**



$\nu \neq \nu^c$  ????

No charge to distinguish them  
Are neutrinos **Majorana** particles?

**Long-standing question:  
Neutrinoless double-beta decay  
experiments (SNO+, ...) looking to answer this**

# Double beta decay

- If simple  $\beta^-$  or  $\beta^+$ -decay is forbidden on energetic grounds a nucleus can decay through a double beta mode:



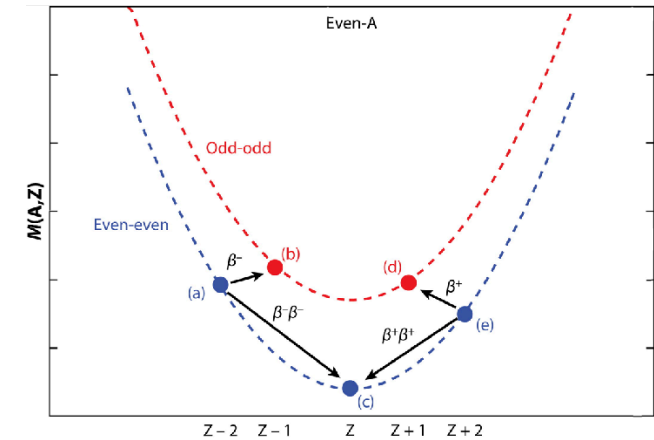
- The probability for a decay is very small, the mean lifetime of a nucleus is much larger than the age of the universe ( $\tau_U \sim 1.4 \times 10^{10} \text{ y}$ )

$$\tau_{2\nu} \approx 10^{20} \text{ y}$$

- This is indeed a very rare process (as for instance proton decay, which was not yet observed)
- Nonetheless - **if one uses a large amount of nuclei**, the process can be observed experimentally
  - DBD can happen for 35 natural isotopes (**observed in 11**)



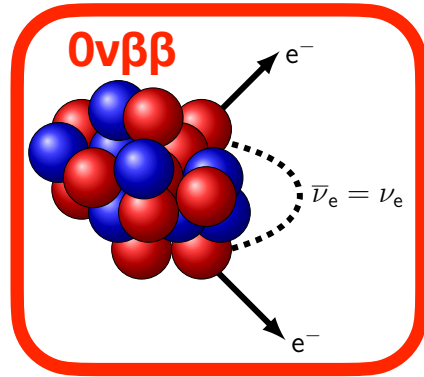
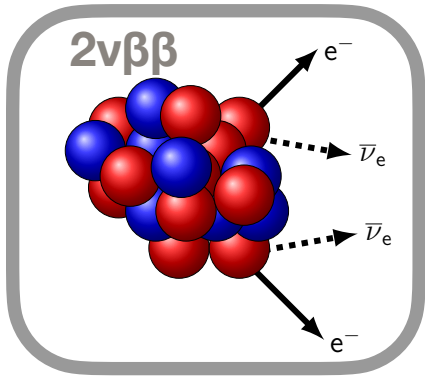
mass parabola of isobars with even A



Typical  $T_{1/2} \sim 10^{18} - 10^{21} \text{ yr}$



# Neutrinoless double beta decay ( $0\nu\beta\beta$ )



- Only happens if neutrinos are Majorana fermions
- Half-life depends on the neutrino mass

$$\frac{1}{T_{1/2}^{0\nu}} = G_{0\nu} |M|^2 \left| \frac{m_{\beta\beta}^\nu}{m_e} \right|^2$$

**Half-life** (pointing to  $T_{1/2}^{0\nu}$ )
 **Nuclear Physics terms** (pointing to  $G_{0\nu} |M|^2$ )
 **Particle Physics term** (pointing to  $m_{\beta\beta}^\nu$ )
 **Effective Majorana mass** (pointing to  $m_{\beta\beta}^\nu$ )
   
 Depends on masses  $m_1, m_2, m_3$  also on neutrino mixing parameters

$$m_{\beta\beta}^\nu = \left| U_{e1} \right|^2 m_1 + \left| U_{e2} e^{i\alpha_1} \right|^2 m_2 + \left| U_{e3} e^{i(\alpha_2 + \delta)} \right|^2 m_3$$

$$= c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 e^{2i\alpha_1} m_2 + s_{13}^2 e^{2i(\alpha_2 + \delta)} m_3$$

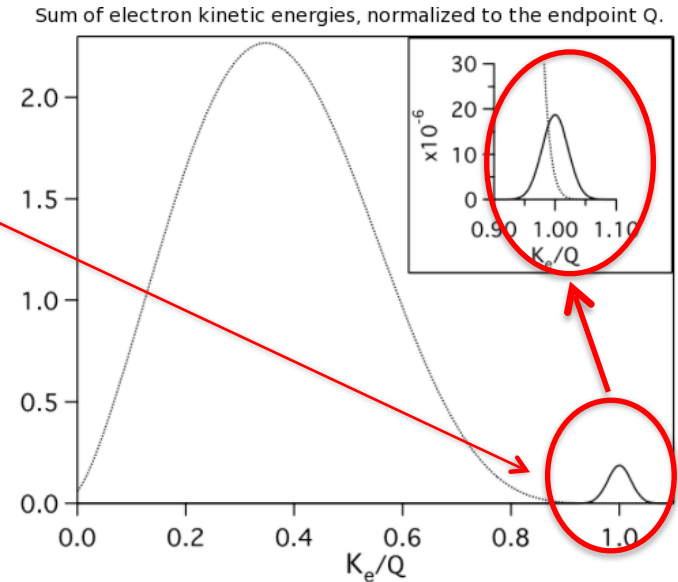
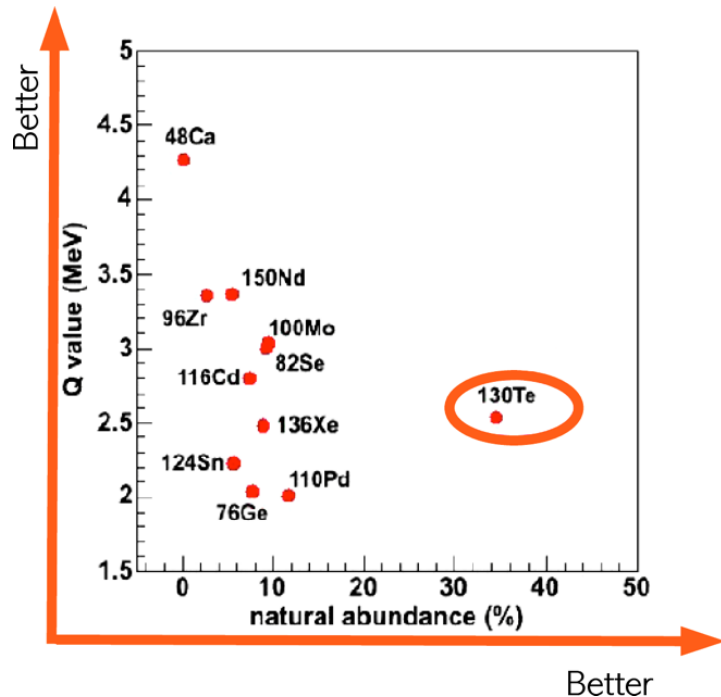
**Remember: Oscillations can only tell us mass differences**

# Searching for $0\nu\beta\beta$

Looking for one very particular needle in a pile of needles!

## Method

- Search for a peak in the energy spectrum (sum of the two electrons)
- Acquire data for a long time and with high quantities of isotope



- Choice of isotope**
  - Natural abundance, energy
- Low backgrounds**
  - Underground location
  - Low radioactivity

# The SNO+ Experiment

780 tons of  
**liquid scintillator**

**new** calibration  
systems

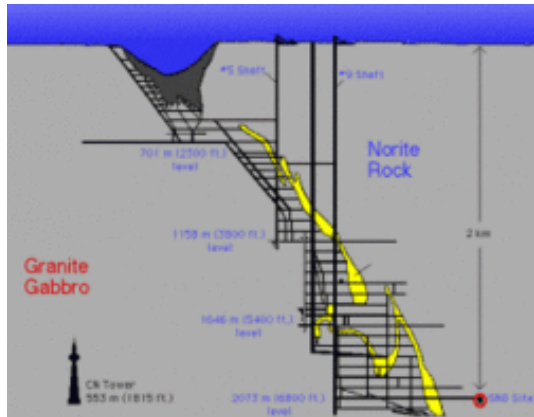
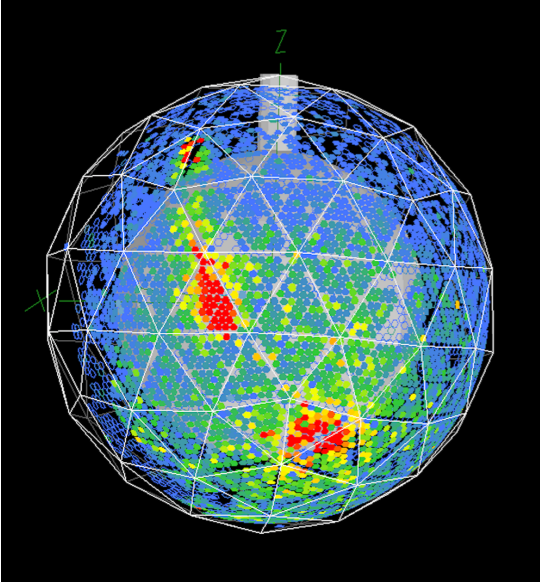
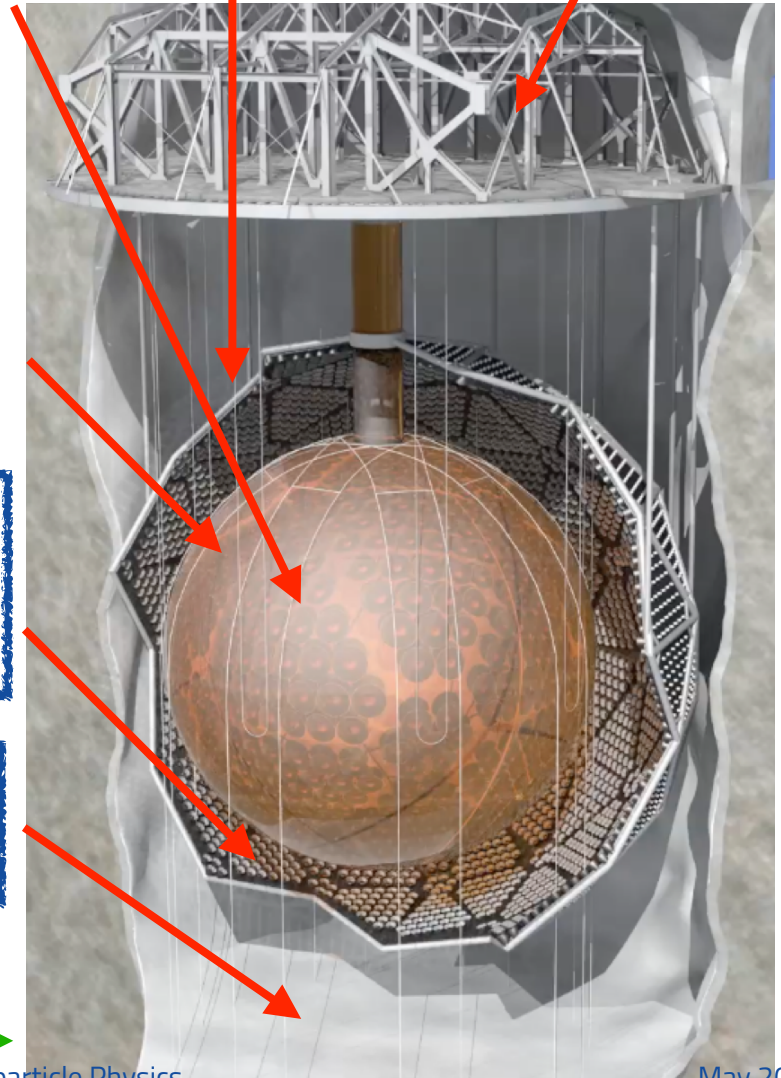
**DAQ**

loaded with  
**double-beta decay  
isotope** ( $^{130}\text{Te}$ )

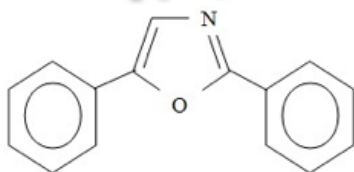
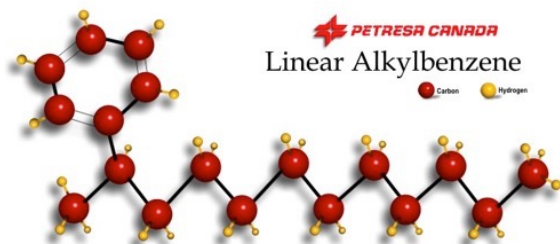
contained in an acrylic  
vessel (AV) 12 m diameter

viewed by ~ 9300 PMTs (8")  
mounted on 17 m diam.  
structure

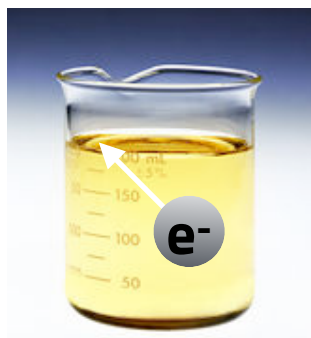
shielded by 7 kt  
ultra-pure water



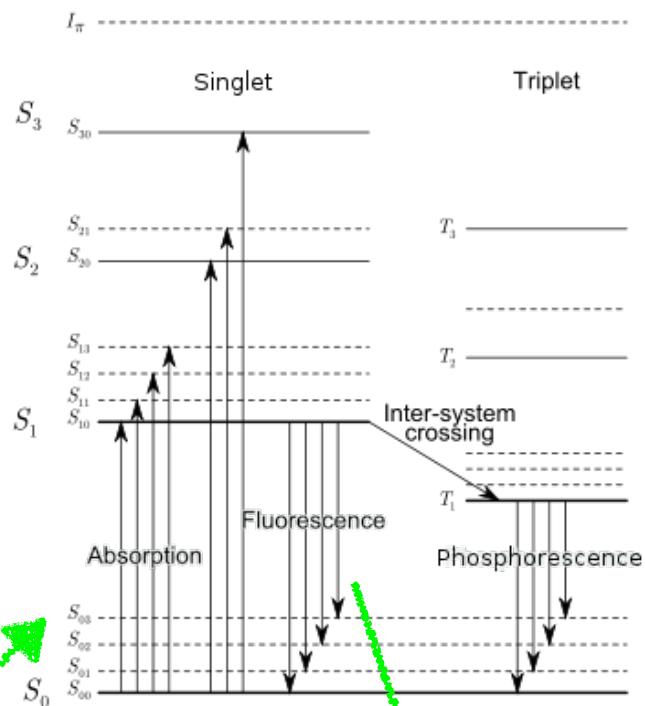
# Scintillation detectors



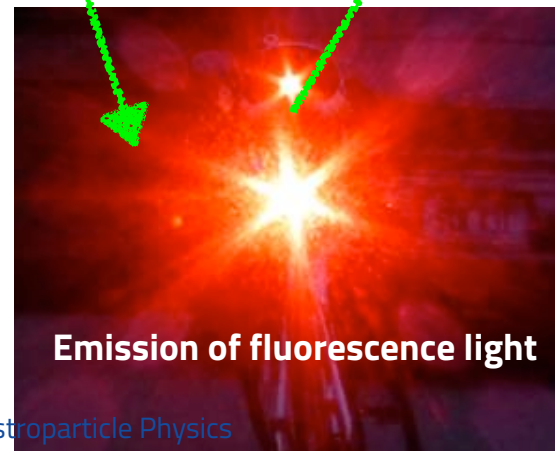
**LAB +  
2g/l PPO**



**Charged particle excites  
scintillator molecules**



**Detected by  
photomultipliers**



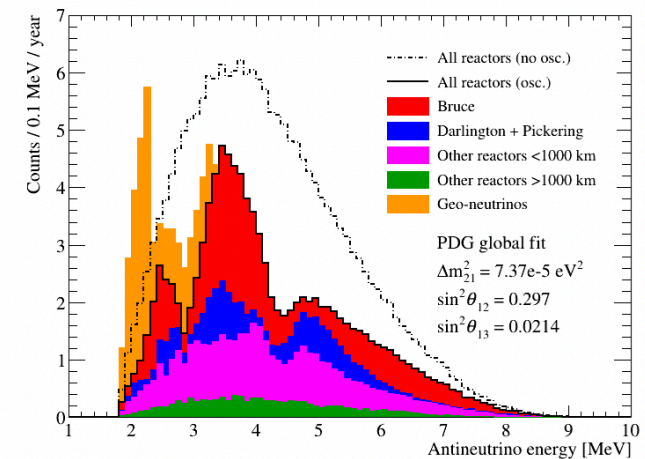
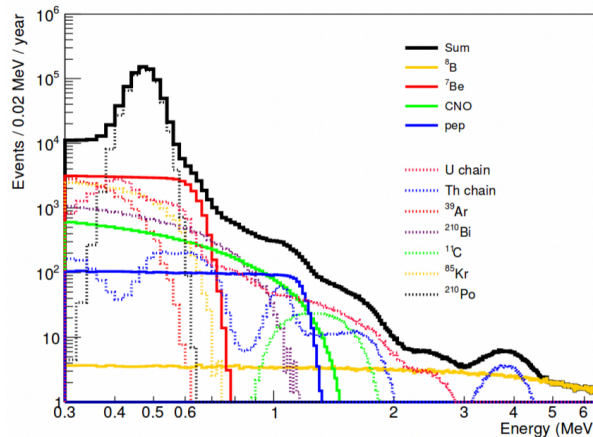
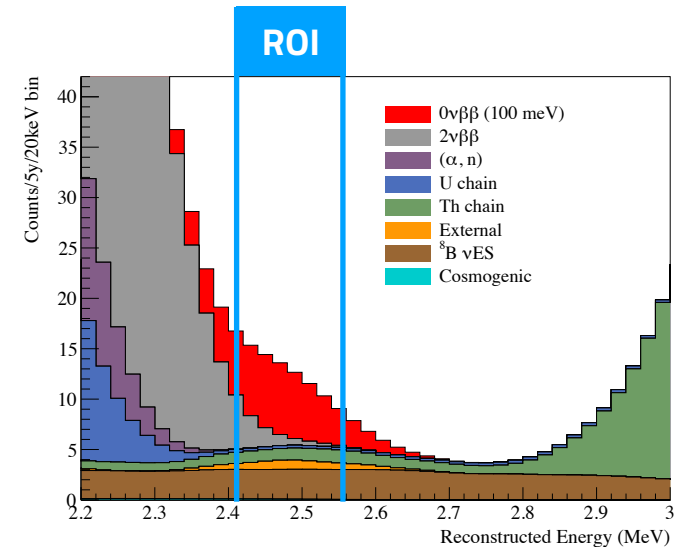
**Emission of fluorescence light**



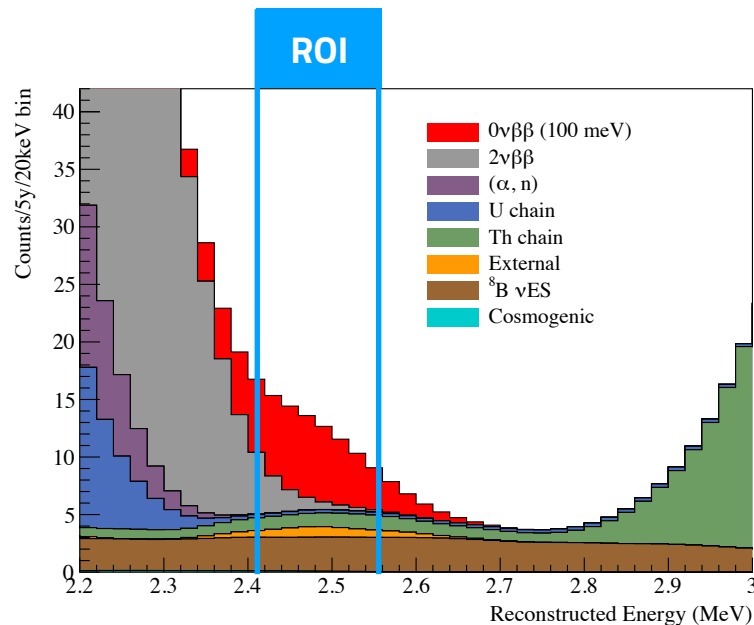
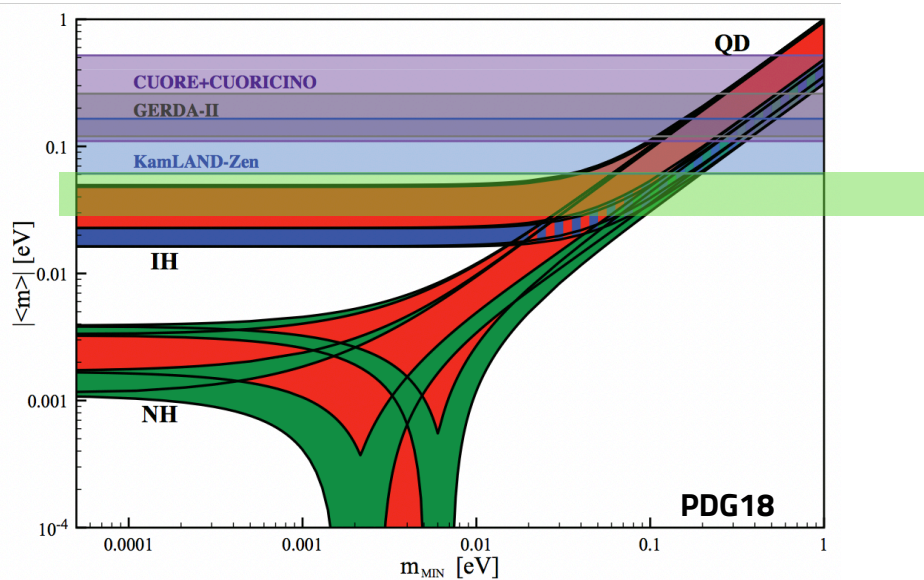
# SNO+ physics program

## ...780 ton scale low background calorimeter

- Main objective:
  - Search for  $0\nu\beta\beta$  in  $^{130}\text{Te}$
- Other topics of interest
  - Solar neutrinos
  - Nucleon decay
  - Supernova neutrinos
  - Reactor and geo-antineutrinos



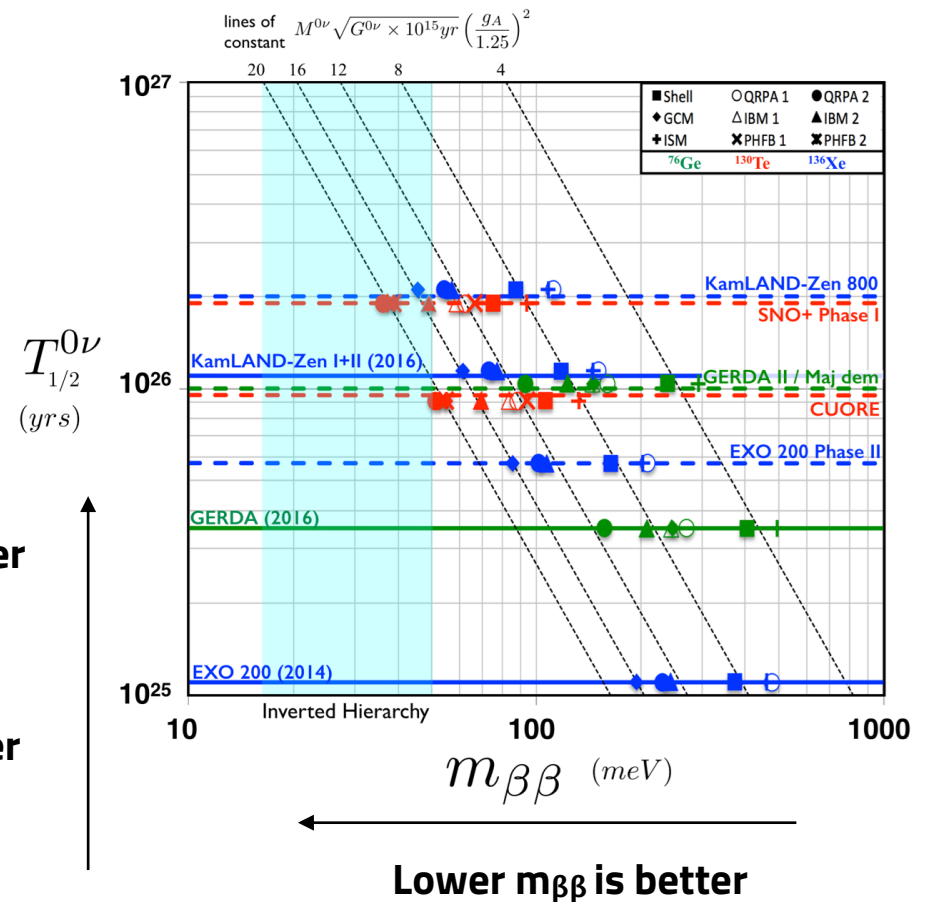
# SNO+ $0\nu\beta\beta$ sensitivity



SNO+ Expectation after 5 years data taking:

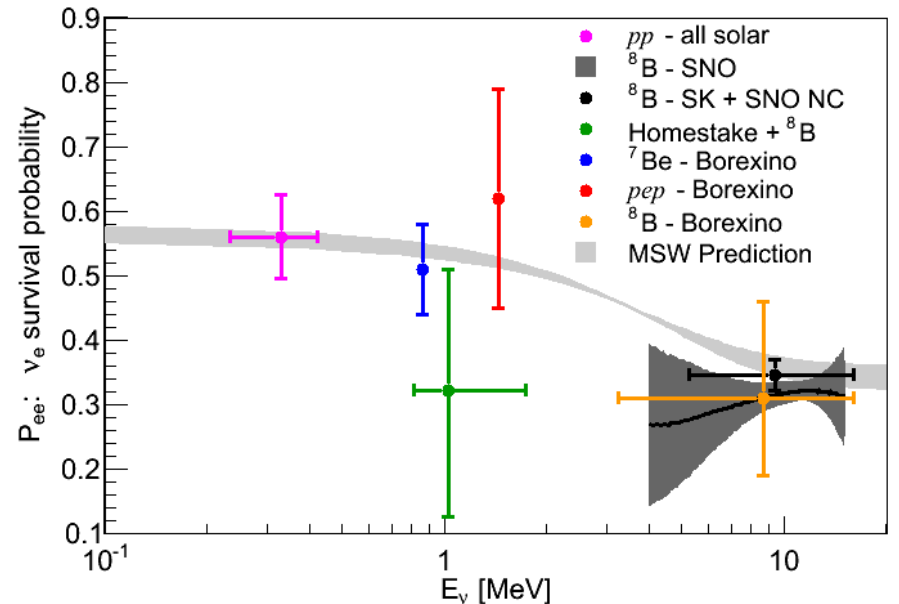
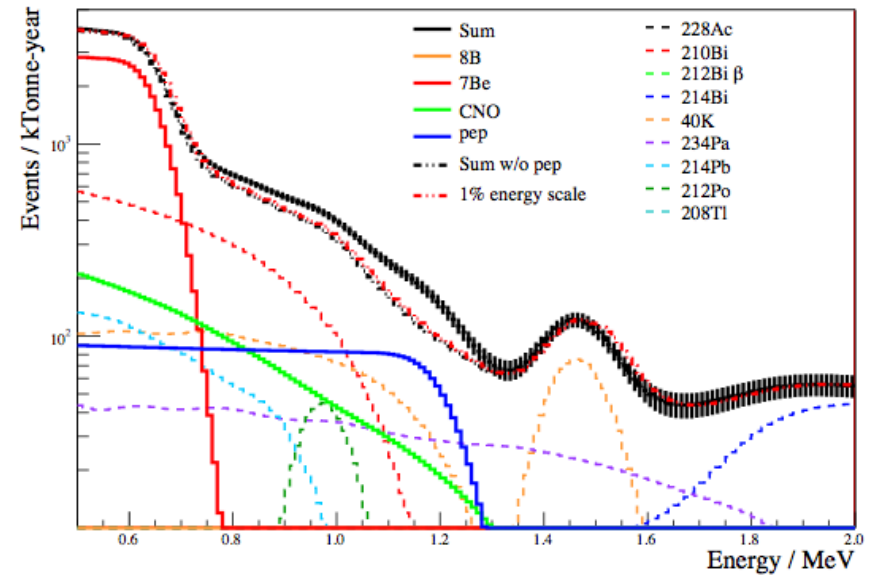
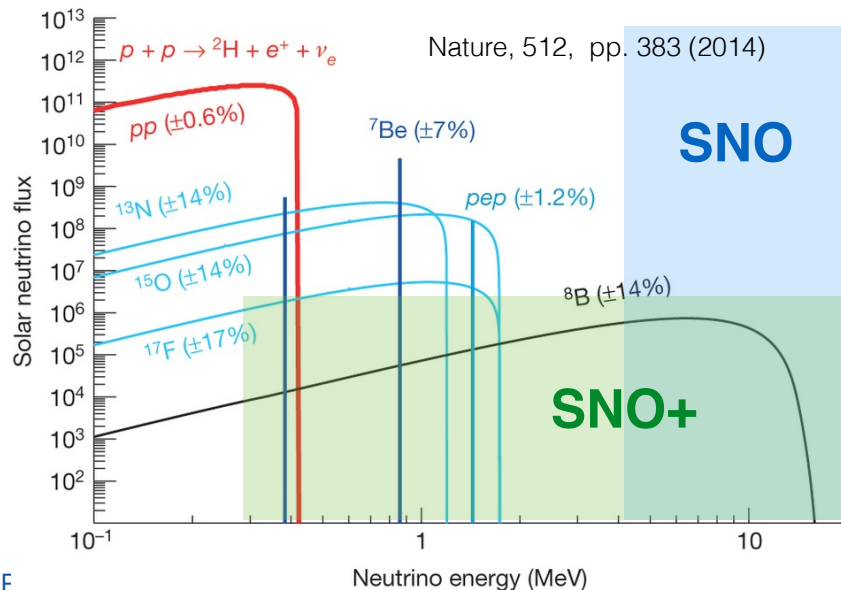
$$T_{1/2} > 2.1 \times 10^{26} \text{ years}$$

$$37 \text{ meV} < m_{\beta\beta} < 89 \text{ meV}$$



# Solar Neutrinos

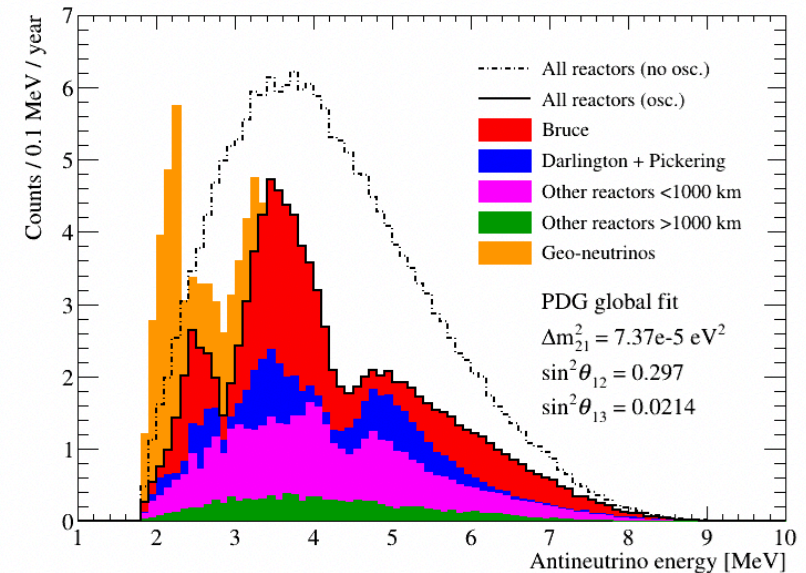
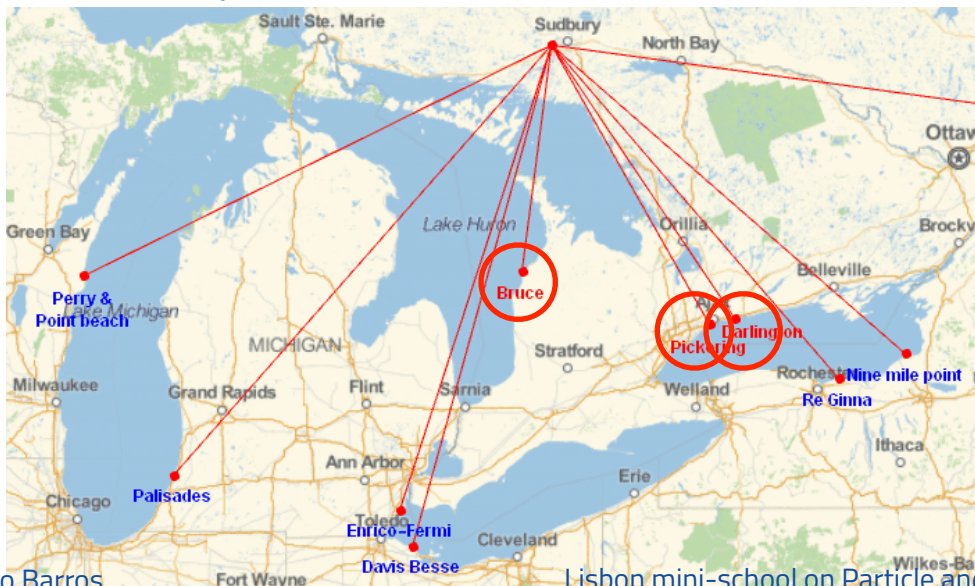
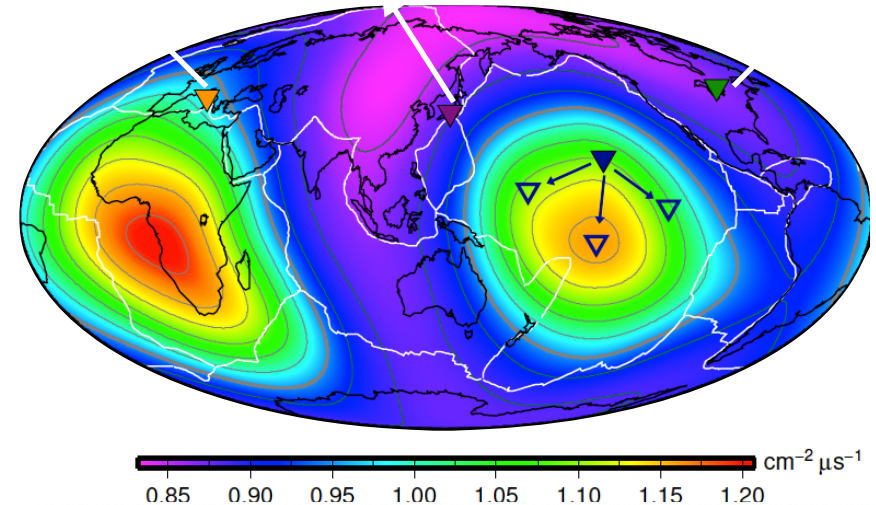
- Solar neutrinos probe astrophysics and elementary particle physics models:
  - Solar metallicity (CNO)
  - Neutrino oscillations (pep)
- SNO+ solar neutrino goal: pep/CNO solar neutrino measurement
  - Low  $^{11}\text{C}$  background thanks to depth (100 times lower than competition)



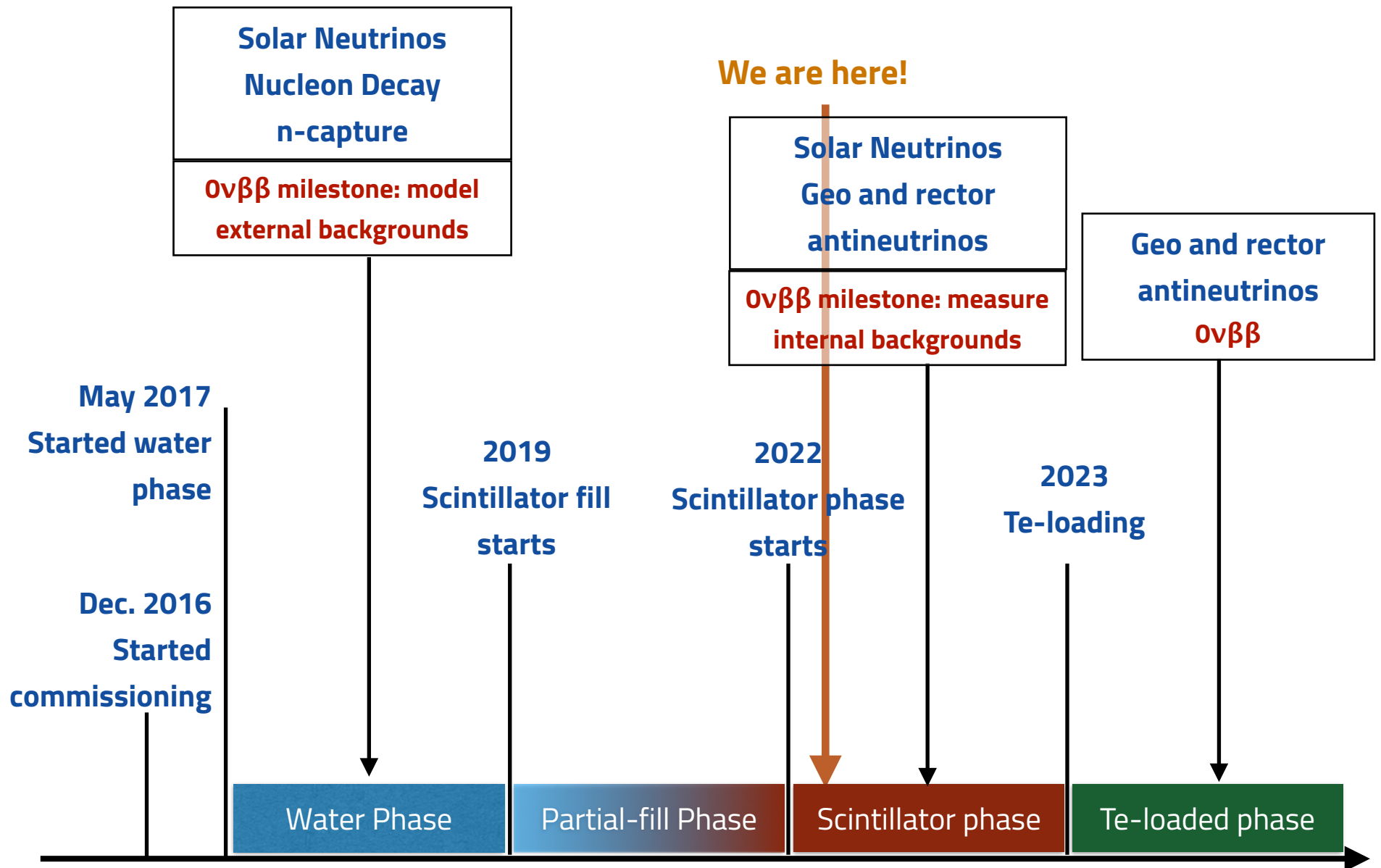


# Reactor and geo-antineutrinos

- Detection through inverse beta decay
  - Delayed coincidence  $e^+$  annihilation and  $n$  capture
- **Geo**
  - U, Th and K in Earth's crust and mantle
  - **Investigate origin of the heat produced within Earth**
- **Reactor**
  - 3 nearby reactors dominate flux



# SNO+ timeline



# | Summary

- **In the last decades a lot was learned about neutrinos**
  - They oscillate (**and we know how**)
  - They are massive (**but we don't know how much**)
- **Much more is still unknown**
  - **Are neutrinos their own antiparticles?**
  - What is the absolute mass scale?
  - **What is the CP violation phase?**
  - What is the mass hierarchy?
- **A whole zoo of experiments are trying to address these questions**
  - A rich field of opportunities is in place





**Thank you!**

If you are interested in neutrinos and want to learn more, feel free to  
contact us: [snoinfo@lip.pt](mailto:snoinfo@lip.pt)



# Neutrino activities (DUNE edition)

## Detector calibration

- Hardware design (laser, n-source)
- Analysis of calibration data

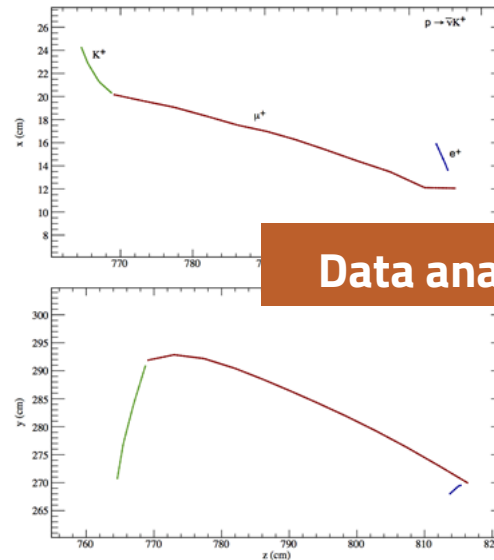
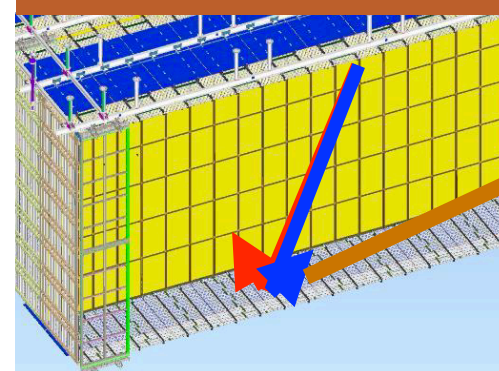
## DAQ

- Electronics design
- Data quality

## Data analysis

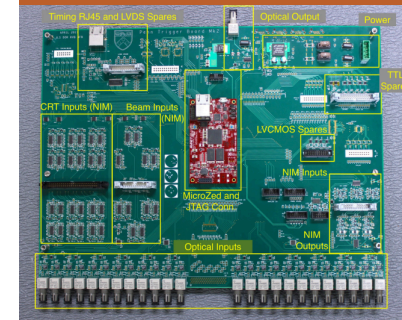
- Cosmic rays
- Beam data

### Laser position monitor

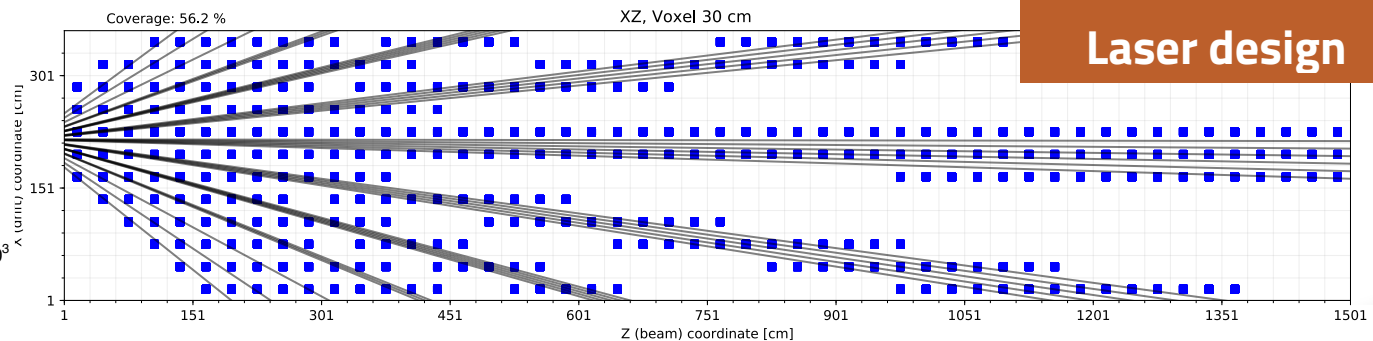
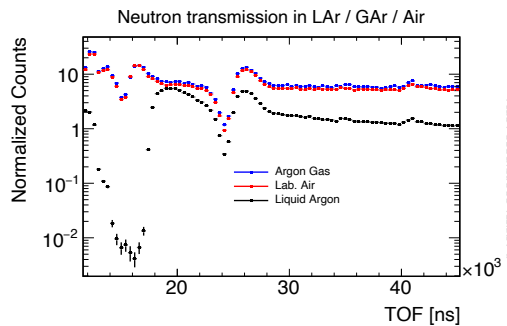


### Data analysis

### Cal/DAQ



### Laser design



# Neutrino activities at LIP (SNO+ edition)

## Detector calibration

- With Optical and radioactive sources

## Background events

- Data quality

## Data analysis

- Cosmic muons in SNO+
- Solar neutrinos
- Reactor anti-neutrinos
- Neutrinoless double beta decay
- Nucleon decay searchers

