# Flavour Anomalies and BSM searches

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- ✓ Introduction
- Particle reconstruction and tau leptons
- ✓ SM: W, Top and Higgs boson
- ✓ BSM searches and rare decays

### SM confirmed by the data



### **Experimental measurements**

### Measurements of different processes

- Rare processes, EFT interpretations, theory calculations
- Deviations may indicate NP



### Search for new phenomena

### ...How?

- Indirect searches
  - precision measurements, event properties, etc.
- Direct searches
  - resonances, specific final states, model-(in)dependent searches, etc.
- Production and decay rates, event characteristics, advanced tools



### **Search for New Physics**

### direct searches



indirect searches







### Flavours

- 6 quarks and 6 leptons arranged in 3 families
  - get their masses through interaction with Higgs boson
- Families are identical except for their masses
  - same interaction with gauge bosons
- "flavour" refers to type of quark/lepton
- Why are there 3 families?
- Processes where 3 generations interact differently would be a sign of NP



#### **Standard Model of Elementary Particles**

### Lepton Flavour Universality

- Theory predicts that the different charged leptons the electron, muon and tau have identical electroweak interaction strengths
- Measurements have shown a wide range of particle decays are consistent with the lepton flavour universality (LFU) principle
- "lepton universality" is a principle taken for granted put under stress by recent measurements
- Very active field in light of flavour anomalies
  - Look for violation of LFU
  - LFU involving  $e/\mu/\tau$  ratios



### **Recent measurements**

 Recently there have been measurements related to lepton flavour (LF) that show tension with SM expectations

c(s)

H<sup>-</sup>, W′(Z′)

 $\tau^{-}(\mu^{-})$ 

 $\overline{\nu}_{\tau}(\mu^{+})$ 

- Anomalies:
  - For ex.  $(g-2)_{\mu}$ , and more
  - $R_{K}$  and  $R_{K^{*}}$  @LHCb

v.



 $\tau^{-}(\mu^{-})$ 

LQ

### **Flavour anomalies**

Several measurements deviate from SM predictions



### LFU: anomalies

#### arXiv:2103.11769

- Both in neutral ( $b \rightarrow s\ell^+\ell^-$ ) and charged ( $b \rightarrow$  $c\tau v$ ) decays





# Anomaly: R<sub>K</sub> measurement

#### arXiv:2103.11769

- Test of  $\mu$ /e universality
- In SM,  $B^+ \rightarrow K^+ee$  and  $B^+ \rightarrow K^+\mu\mu$ should happen at the same rate
  - Rare process in SM
  - BSM effects can be large
  - Without errors, ratio is 1
  - Differences in detecting electrons and muons
- Common systematics cancel in double ratio
  - similar final state as reference

$$R_{K} = \frac{\frac{B(B^{+} \to K^{+} \mu^{+} \mu^{-})}{B(B^{+} \to K^{+} e^{+} e^{-})}}{\frac{B(B^{+} \to K^{+} J/\psi(\to \mu^{+} \mu^{-}))}{B(B^{+} \to K^{+} J/\psi(\to e^{+} e^{-}))}}$$



### R<sub>D</sub> measurement

arXiv:1708.08856, arXiv:1711.02505, arXiv:1910.05864

$$b \to c \tau \nu$$

Test of  $\tau/\mu$  ( $\tau/e$ ) universality

$$\mathcal{R}(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \to D^{(*)}\tau^-\bar{\nu}_{\tau})}{\mathcal{B}(\bar{B} \to D^{(*)}\ell^-\bar{\nu}_{\ell})}$$

 $\Rightarrow \tau$  decays rates provide a powerful test of LFU



Observable	Experiment	$\mathbf{SM}$
$\{R_D,R_{D^*}\}$	$ \begin{cases} 0.337(30), 0.298(14) \\ \rho = -0.42 \end{cases} [77] $	$\{0.299(3), 0.258(5)\}$ [78]
$\mathcal{B}(B^- \to \tau \bar{\nu})$	$1.09(24) \times 10^{-4}$ [79]	$0.812(54) \times 10^{-4}$ [80]

⇒ earlier measurements had a  $4.1\sigma$  discrepancy. With the inclusion of new data, discrepancy comes down to  $3.1\sigma$ M. Gallinaro - "Flavour anomalies and BSM searches" - Physics@LHC - May 2022

# Angular distributions: B<sup>0</sup> decays

- $B^0 \rightarrow K^* \mu \mu$  decay as FCNC process
  - highly suppressed in SM
  - small theoretical uncertainties
- Angular analysis to determine  $P_1$  and  $P_5$  parameters vs  $\mu\mu$  invariant mass

### BSM effects may modify decay properties



 $\mu^+\mu^-$  rest frame

θκ

rest frame

B<sup>0</sup> rest frame

## $B_s \rightarrow \mu \mu$ : experimental measurements

- SM predicts B<sup>0</sup>→µµ decays are very rare
- Differences wrt expectations could indicate NP



# Combination of ATLAS, CMS and LHCb results

- $\mathscr{B}(B_s^0 \to \mu^+ \mu^-) = (2.69^{+0.37}_{-0.35}) \times 10^{-9}$
- $2.1\sigma$  away from the SM
- $\tau_{\mu^+\mu^-} = 1.91^{+0.37}_{-0.35} \text{ ps}$
- $\mathscr{B}(B^0 \to \mu^+ \mu^-) < 1.9 \times 10^{-10}$  (95 % CL



### Particle Flow event reconstruction

- Particle Flow (PF) combines information from all subdetectors to reconstruct particles produced in the collision
  - charged hadrons, neutral hadrons, photons, muons, electrons
  - use complementary info. from separate detectors to improve performance
  - tracks to improve calorimeter measurements
- From list of particles, can construct higher-level objects

-Jets, b-jets, taus, isolated leptons and photons, MET, etc.



### Tau lepton identification

#### JINST 12(2017)10

### From first identification of hadronic tau decays to precise measurements



• Particle Flow (PF) combines information from all subdetectors to reconstruct particles produced in the collision



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## Tau lepton identification (cont.)

#### CMS-TAU-20-001

- Hadronic tau  $(\tau_h)$  reconstruction and identification using a DNN
- Isolation cone and signal cone
  - narrow jet with few tracks
  - leptonic tau decays similar to prompt leptons (lepton  $p_T$  is softer)
  - inputs from all reconstructed particles near the tau candidate
- Validated with data
- The combined scale factor uncertainty amounts to  $\approx 2\%$  (was 6%)



τ-jet axis

signal cone contribution

isolation contribution

τ-jet cone

### W branching fractions

#### arXiv:2201.07861

• Precise measurement of the W boson BRs (electrons, muons, taus)



• Most precise determination of B(W $\rightarrow$ Iv) from LEP has 2.6 $\sigma$  deviation from LFU

$$R_{\tau/\ell} = \frac{2\mathcal{B}(W \to \tau \overline{\nu}_{\tau})}{\mathcal{B}(W \to e\overline{\nu}_{e}) + \mathcal{B}(W \to \mu \overline{\nu}_{\mu})} = 1.066 \pm 0.025$$



### W branching fractions (cont.)

#### arXiv:2007.14040, arXiv:2201.07861

- Precise measurement of the W boson BRs (electrons, muons, taus)
  - -use events with WW and W+jets
  - exploit  $p_{\mathsf{T}}$  to distinguish prompt leptons and leptons from  $\tau$  decays
  - Maximum likelihood simultaneous fitting of templates to data in several categories
- Hadronic width of the W boson depends on several free parameters
- Extract V  $_{cs}$  and  $\alpha_{S}(m_{W}{}^{2})$

$$\frac{\mathcal{B}(W \to h)}{1 - \mathcal{B}(W \to h)} = \left(1 + \frac{\alpha_{S}(m_{W}^{2})}{\pi}\right) \sum_{\substack{i=(u,c), \\ j=(d,s,b)}} |V_{ij}| = 2.060 \pm 0.021$$



Data ····· Expected (pre-fit) MC stat, unc Diboson (non-WW post-fit syst. und  $\mu\mu$ : N<sub>i</sub> ≥ 2, N<sub>b</sub> ≥ 2, Z veto Events / GeV 10 10 1.50 d 1.25 d 1.25 J.00 sq0 0.75 0.50 50 100 150 200  $p_{T, subleading}^{r}$  [GeV] 35.9 fb<sup>-1</sup> (13 TeV) CMS Data Expected (preoost-fit syst. und  $e_{\mu}$ :  $N_{i} \ge 2$ ,  $N_{i} \ge 2$ Events / GeV 10 10 10 1.50 . 1.25 X J.00 SqO 0.75

CMS

0.50

50 75 100 125 150 175 200 P<sup>(</sup><sub>T, subleading</sub>

35.9 fb<sup>-1</sup> (13 TeV)

## W branching fractions (cont.)

#### arXiv:2007.14040, CMS-SMP-18-011

# Resolving an old discrepancy from LEP

- Many more Ws than at LEP
- Extract V<sub>cs</sub> and  $\alpha_{S}(m_{W}^{2})$

	CMS	LEP
$\mathcal{B}(W \to e\overline{\nu}_e)$	$(10.83 \pm 0.01 \pm 0.10)\%$	$(10.71 \pm 0.14 \pm 0.07)~\%$
$\mathcal{B}(W \to \mu \overline{\nu}_{\mu})$	$(10.94\pm0.01\pm0.08)\%$	$(10.63 \pm 0.13 \pm 0.07)~\%$
$\mathcal{B}(W \to \tau \overline{\nu_{\tau}})$	$(10.77 \pm 0.05 \pm 0.21)\%$	$(11.38\pm0.17\pm0.11)~\%$
$\mathcal{B}(W \to h)$	$(67.46 \pm 0.04 \pm 0.28)\%$	—
with LU		
$\mathcal{B}(W \to \ell \overline{\nu})$	$(10.89 \pm 0.01 \pm 0.08)\%$	$(10.86 \pm 0.06 \pm 0.09)\%$
$\mathcal{B}(W \to h)$	$(67.32\pm0.02\pm0.23)\%$	$(67.41 \pm 0.18 \pm 0.20)\%$



### Probing the Wtb vertex

#### JHEP 02(2020)191

### **Dileptons with taus**

- cross section measurement including  $\tau s$
- Includes only 3<sup>rd</sup> generation quarks/leptons
- Syst unc: tauld, fakes

Channel	Signature	BR
Dilepton(e/µ)	ee,μμ,eμ + 2 <i>b</i> -jets	4/81
Single lepton	$e,\mu$ + jets + 2 <i>b</i> -jets	24/81
All-hadronic	jets + 2 <i>b</i> -jets	36/81
Tau dilepton	eτ, μτ +2 <i>b</i> -jets	4/81
Tau+jets	$\tau$ + jets + 2 <i>b</i> -jets	12/81

- If top quark plays special role in EWK symmetry breaking, couplings to W may change
- Charged Higgs may alter coupling to W
- Search for final states with taus: charged Higgs



# Charged Higgs: $H^+ \rightarrow \tau v$

#### arXiv:1903.04560

- MSSM: coupling to taus large for high tan $\beta$
- Production mechanism depends on H<sup>+</sup> mass
  - Final states:  $\tau$ +jets,  $\ell$ + $\tau$ ,  $\ell$ + $0\tau$
  - 36 categories: incl. #jets, polarization R=p<sub>T</sub>(tk)/p<sub>T</sub>(tau)
- Cross section limits: 6pb to 5fb (80-3000 GeV)





# Charged Higgs: H<sup>+</sup>→tb (cont.)

#### CMS-HIG-21-010

- Search for a H<sup>±</sup> decaying to a heavy neutral Higgs boson H and a W
- data consistent with SM expectations
- Set limits:
  - H<sup>±</sup> in the mass range 300-700GeV, assuming m<sub>H</sub>=200 GeV
  - Cross-section limit from 0.08pb@300GeV to 0.013pb @700GeV





# Charged Higgs: VBF

#### EPJC 81(2021)723

- Search for H<sup>+</sup> bosons produced in VBF processes
- Use leptonic final states (e,µ)
- Combination of methods based on simulation and CRs in data used to estimate backgrounds



Set constraints on H<sup>±</sup> and H<sup>++</sup> production



### Rare decays: $\tau \rightarrow 3\mu$

#### JHEP 01(2021)163

- LFV processes can occur via neutrino mixing
- Lepton flavour violating decay:  $\tau \rightarrow 3\mu$ 
  - Very rare process, BR~10<sup>-10</sup>-10<sup>-8</sup>
  - World's best limit: 2.1x10<sup>-8</sup> (Belle)
- Search performed in 2016 data
  - Tau leptons produced in W and HF hadron decays
  - 2/3 low-p<sub>T</sub> muons trigger
  - Select 3µ candidates
  - BDT for signal (MC) & bkg (sidebands) separation
- No evidence for a  $\tau \rightarrow 3\mu$  decay signal found
- Set upper limit:

$${\cal B}( au o 3\mu) < 8.0(6.9) imes 10^{-8}$$





## Higgs boson couplings

#### JHEP 01(2021)148

Higgs boson coupling to fermions and quarks



obs.(exp.) significance: 3.0(2.5)σ

 $\Rightarrow$  couplings in agreement w/SM

# LFV in Higgs decays

#### arXiv:1911.10267, arXiv:2105.03007

- Some BSM models allow for LFV Higgs decays
- Search for  $H \rightarrow e\tau$ ,  $e\mu$ ,  $\mu\tau$  final states
- Categories: N<sub>jet</sub>, lepton kinematics
   N<sub>jet</sub> to target ggH and VBF production
- Main background from DY, ttbar, WW

	Observed (expected)	Best fit branching	Yukawa coupling
	upper limits (%)	fractions (%)	constraints
${ m H}  ightarrow \mu  au$	< 0.15 (0.15)	$0.00\pm0.07$	$< 1.11  (1.10) \times 10^{-3}$
$H \rightarrow e\tau$	<0.22 (0.16)	$0.08\pm0.08$	$< 1.35(1.14)  imes 10^{-3}$

### $\Rightarrow$ No significant deviation found



### LFV decays - constraints

#### arXiv:1911.10267, arXiv:2105.03007

Limits set on off-diagonal Yukawa coupling terms



### SUSY ?

#### arXiv:2106.14246, CMS-SUS-21-001, JHEP02(2020)015

- Several tests in SUSY related searches
- Study final states with leptons in final states
- Different couplings may enhance specific flavour production
- Search for chargino-neutralino production
- Flavour-democratic scenario: charginoneutralino mediated by LH-sleptons
- τ-enriched-scenario: chargino couples to RH sleptons, neutralino to LH sleptons

Stau lepton

 Early universe stau-neutralino coannihilation provides mechanism explaining DM relic density motivates stau as NLSP leading enhancement of τ leptons in final state

Top squark in ditau final state

 High-tanβ and higgsino-like scenario, the chargino mostly decays to τ leptons



### **RPV SUSY**

#### CMS-SUS-21-001

- Direct production of τ slepton pairs
- Prompt and long-lived tau pairs



250

200

300

350



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450

m(τ) [GeV]

400

500

### Leptoquarks

 $\overline{LQ}$ 

LQ

#### PLB 819(2020)136446

- Why are matter particles separated?
- LQs possible explanation to LU anomalies
- LQs as missing link btw leptons and quarks
- LQs favor couplings to heavy fermions



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b

137 fb<sup>-1</sup> (13 TeV)

LQ

600001

### Long-lived heavy neutral leptons

#### arXiv:2201.05578, CMS-EXO-21-003

- Neutrino masses are small wrt SM fermions
  - HNL produced through mixing with SM neutrinos
- Stable HNLs could be DM candidates
  - Produced in mixing of SM ( $e, \mu, \tau$ ) neutrinos
- HNL may be long-lived
  - for small values of HNL mass (<20 GeV) and HNL-SM neutrino mixing parameter</li>
- Search for 3-lepton events (e,  $\mu$ )
  - 2 with displaced vertex+1 prompt





Dirac vs Majorana particles



### Heavy resonances & QBHs

 $\lambda'_{311}$ 

d

 $\tilde{\nu}_{\tau}$ 

 $\overline{q}_{u}$ 

qu

 $\lambda_{323}$ 

 $\mu$ 

#### CMS-EXO-19-014

- Search for resonances and quantum black holes
  - Decays: eµ, eτ, μτ
  - Different models include RPV SUSY, Z', non-resonant QBHs, etc.



 $\mu^+$ 

QBH

### Summary

- Lepton Flavour Universality principle can be probed at LHC
- Several tests performed: rare decays, W boson, Top quark, Higgs boson, BSM searches, etc
- Current results indicate tension of LFU with SM

- Improvements towards precision measurements
- Plenty of data: 137 fb<sup>-1</sup>  $\rightarrow$  300 fb<sup>-1</sup>  $\rightarrow$  3000 fb<sup>-1</sup>
- LHC Run3 is about to start soon (2022+)
- HL-LHC a few years away (2029+) with improved detectors

### Simplicity if the essence of universality – M. Gandhi





### B-physics parked data sample

- As luminosity drops, turn on various seeds to keep L1 rate constant, increase HLT rate towards end of fill, tune thresholds
- Stored ~10<sup>10</sup> Bs on disk in 2018
- Trigger strategy optimized to maximize # of B hadrons
- Significantly enhances B-physics potential in CMS and be competitive in several measurements not possible before
- Unique opportunity to test several flavour anomalies

