

Status and results of the AMS-02 experiment

L. Arruda on behalf of LIP-AMS group

Jornadas LIP 2014



The AMS experiment

- Broad international collaboration for the detection of primary CRs in space: around 500 scientists, >50 institutes from 17 countries
- LIP group:
 - Team leader: Fernando Barão
 - 1 Post-Doc: Luísa Arruda (R.Pereira left Nov 2013)
 - 2 Master Students: Pedro Nunes & Miguel Orcinha
 - 2 former master students:
 - L. Batalha - Solar Modulation effects on Cosmic Rays: Modelization with force field approximation, 1D and 2D numerical approaches and characterization with AMS-02 proton fluxes , IST, July 2012
 - B. Santos - Effect of Cerenkov polarization in the cosmic rays charge reconstruction: charge reconstruction with RICH/AMS-02 data, IST, Nov 2013



The AMS-02 detector on the International Space Station

The AMS experiment

- AMS-02 installed on ISS on 19th May 2011 for direct detection of Galactic CRs
- Data taking: ~until 2020 or more on the ISS
- Main goals:
 - *Detailed study of CR spectra* (~100 MeV and ~1 TeV)
 - Charge identification up to iron ($Z=26$)
 - *Search for dark matter*
 - *Search for antinuclei*
- LIP group works mainly on RICH detector & data analysis
 - Beta and electric charge measurement
 - e/p separation at low energy
 - Solar modulation effects on AMS data
 - Deuteron fluxes measurement, d/p separation

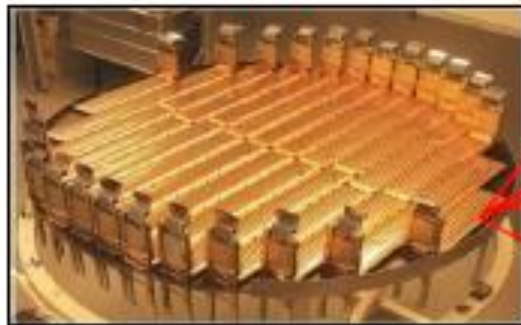
AMS: A TeV precision, multipurpose particle physics spectrometer in space.

TRD

Identify e^+ , e^-



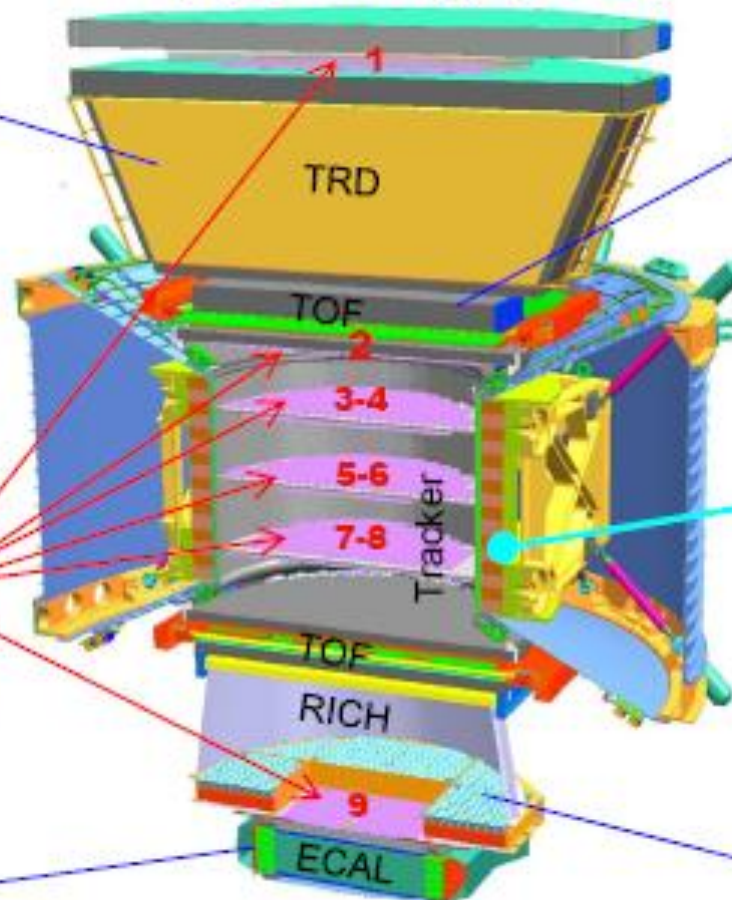
Silicon Tracker
 Z, P



ECAL
 E of e^+ , e^- , γ



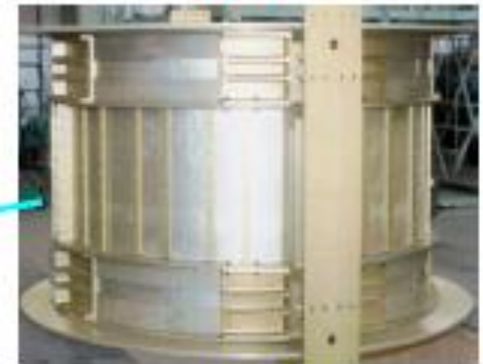
Particles and nuclei are identified by their charge (Z) and energy ($E \sim P$)



TOF
 Z, E



Magnet
 $\pm Z$



RICH
 Z, E



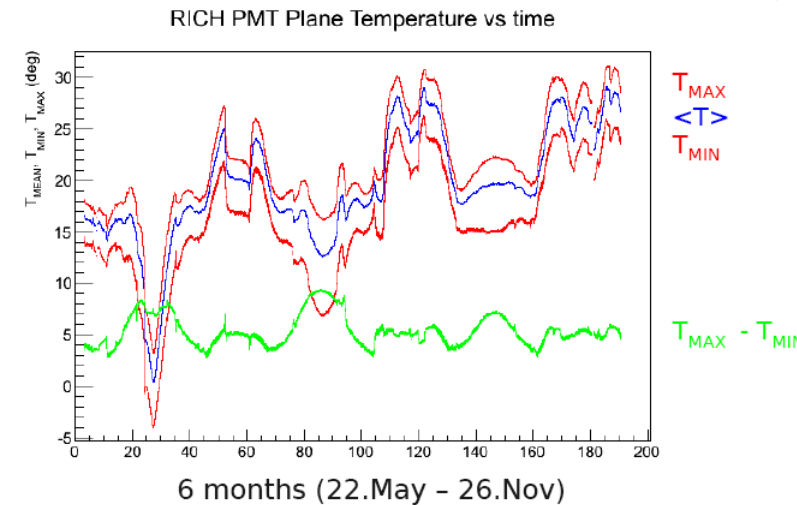
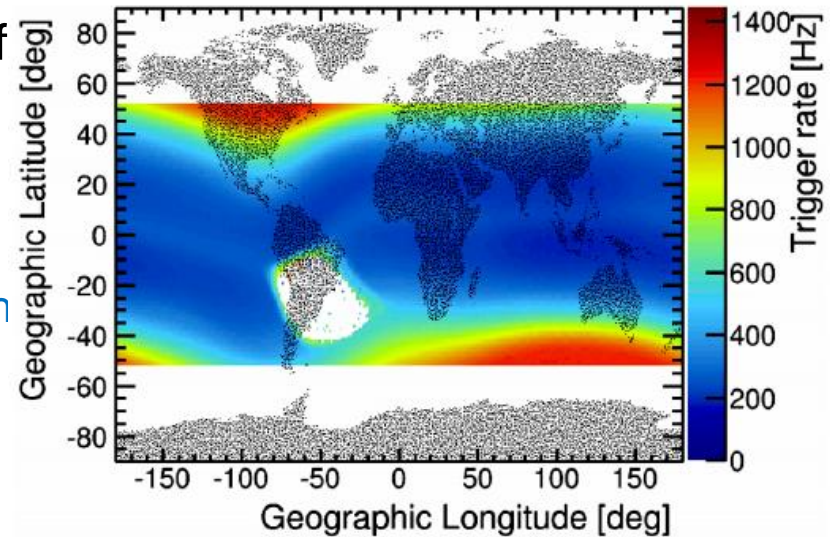
5m x 4m x 3m

7.5 tons

Z, P are measured independently from Tracker, RICH, TOF and ECAL

AMS-02 on the ISS

- ✓ orbiting around Earth at around 400 Km of altitude
orbit ~ 90 min long
- ✓ around 40 million events gathered/day
~ 100 GBytes to transfer/day at 10 Mb/s through relay satellites (TDRS)
- ✓ 16×10^9 triggers/year
35 TBytes of raw data
- ✓ 46 billions of events collected till now (Mar 2014)
- ✓ Detector monitored 24h/24h from a Payload Control Center installed at CERN (Geneva) and in Taiwan



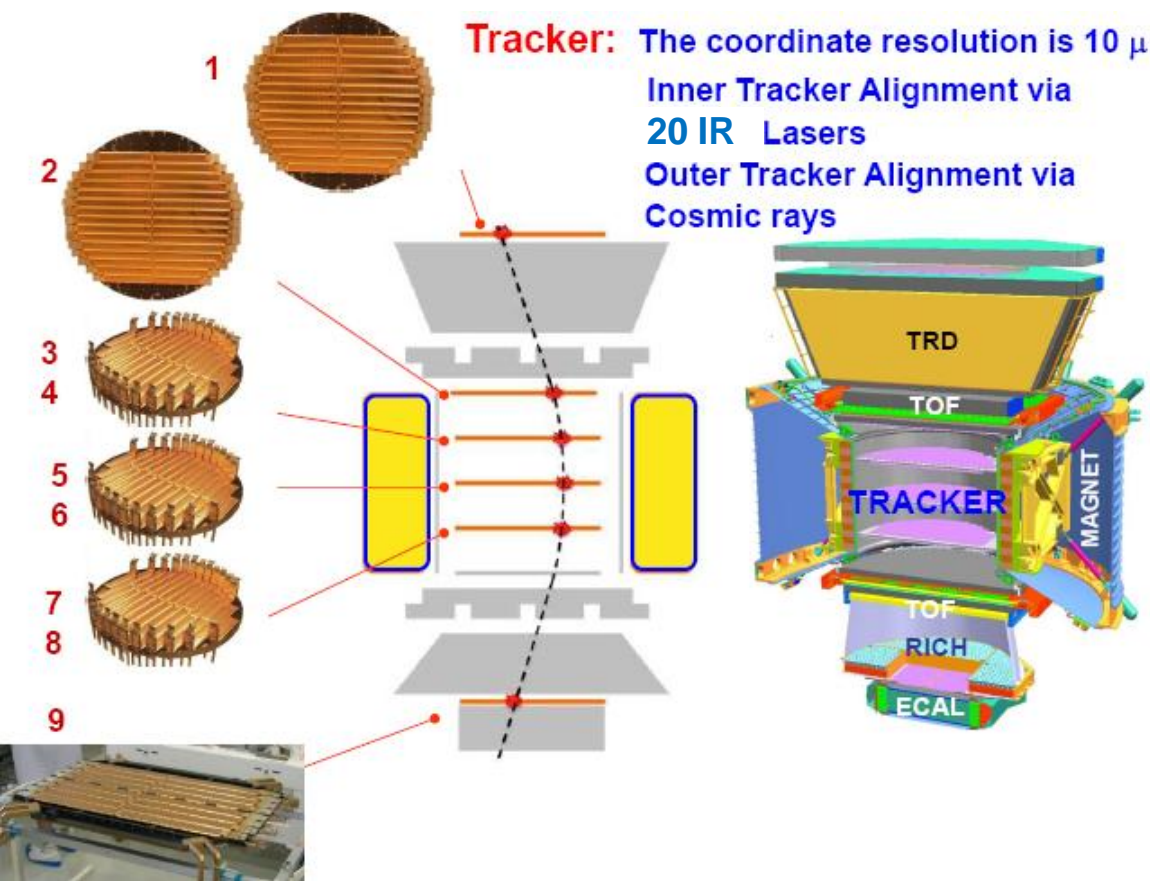
More computer power/storage needed @LIP

RICH: PMTs [-25,+45]
HV [-15,+60]
ERPD [-15,+45]

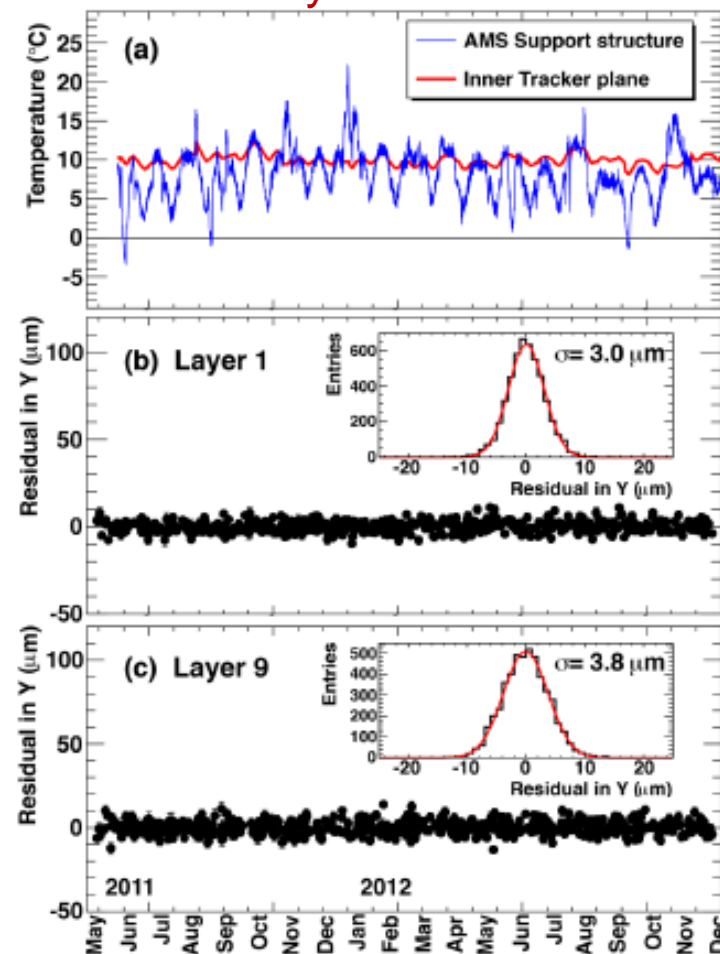
Detector Systematic studies

- During the first 2 years of AMS on the ISS the colaboration was concentrated on controlling systematics.

- ◆ *Tracker alignment*



1 year & half



TRD operation

- ◆ **TRD refill** twice per month: leakrate $5\mu\text{g/s}$ by CO_2 diffusion.

Onboard gas supplies ensures $\sim 30\text{ys}$ operation in Space

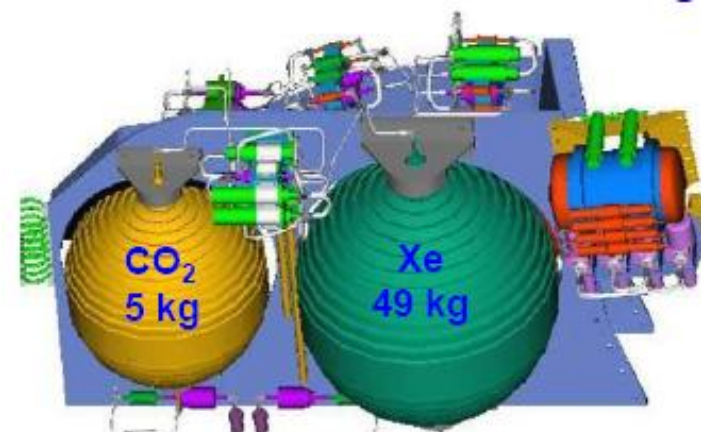
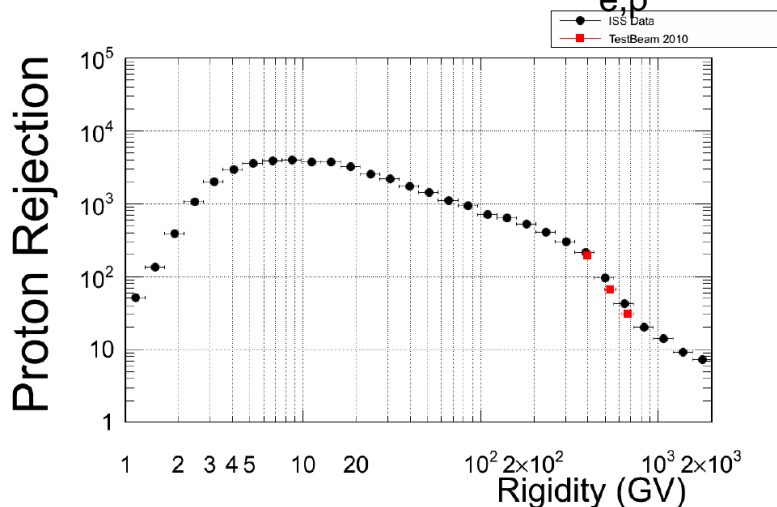
- ◆ **TRD particle separation (estimator)**

e & p track signal is sampled up to 20 times

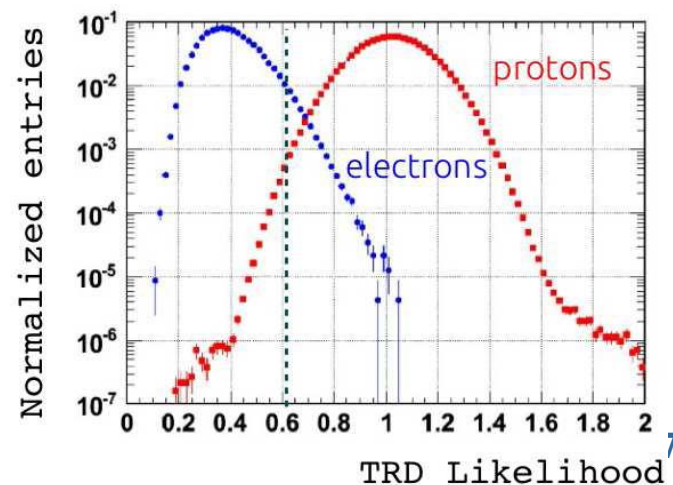
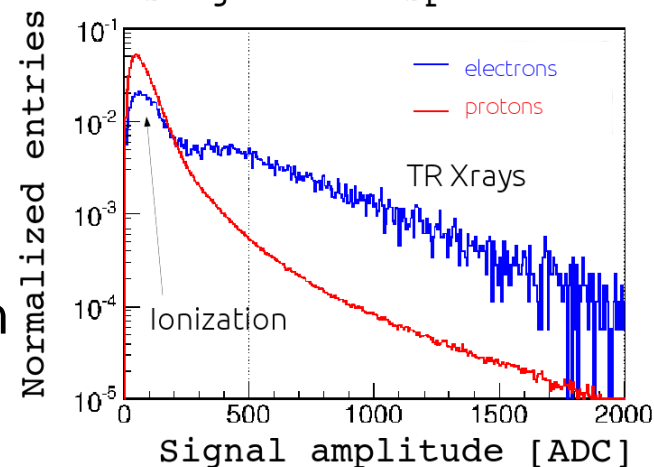
$$P_{e,p} = \sqrt[n]{\prod_{i=1}^n p_i^{e,p}} \quad L_e = -\ln\left(\frac{P_e}{P_e + P_p}\right)$$

$p_i^{e,p}$: layer probability of an electron or proton signal deposition

TRD estimator: Likelihood $L_{e,p}$



Single Tube Spectrum



Ring Imaging Čerenkov Detector (RICH)

✓ accurate particle velocity measurement

$\Delta\beta/\beta \sim 0.1\%$ for protons

✓ electric charge determination

$\Delta Z \sim 0.29$

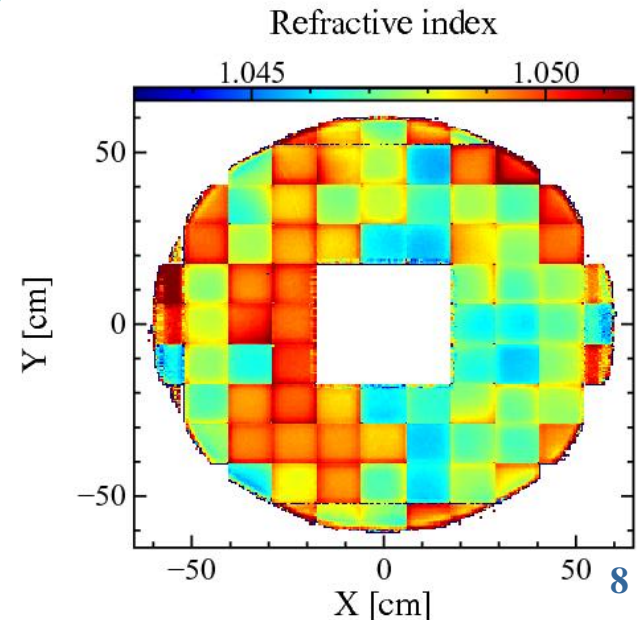
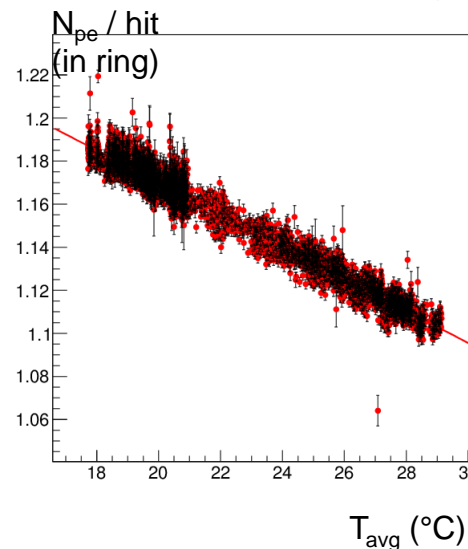
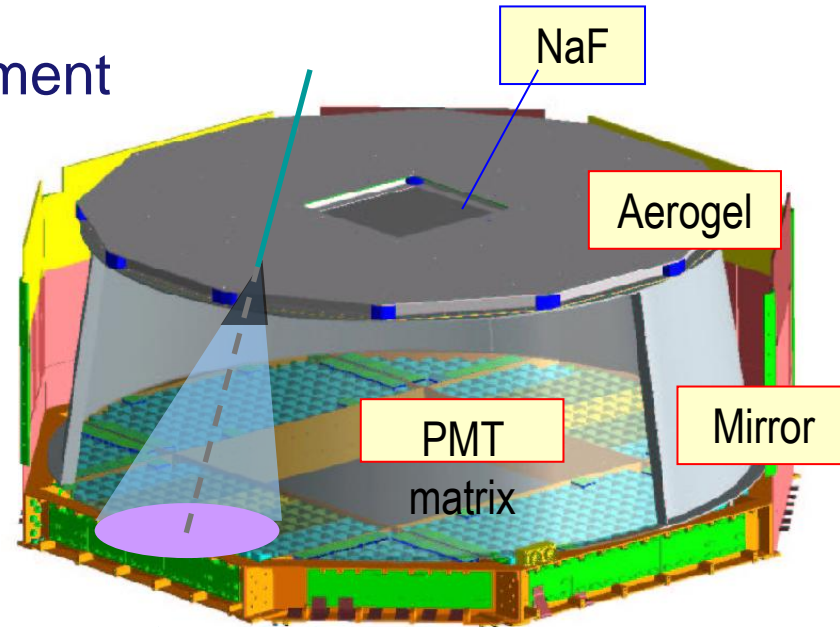
✓ mass separation at low energy

Velocity obtained from θ_c measurement

$$\beta = 1/n \cos \theta_c$$

Charge obtained from $Z^2 \propto \frac{N_{pe}}{\varepsilon}$

ε = total ring efficiency calculated by a sampling method (LIP algorithm)



Sources of systematics for β and Z measurement:

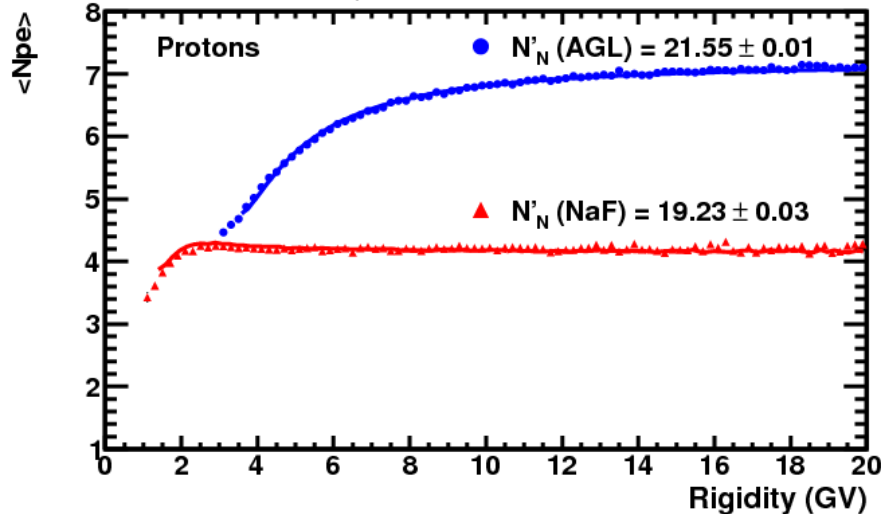
✓ radiator: n , thickness, clarity, ... (in DB)

✓ detection: LG, PMT, temperature effects

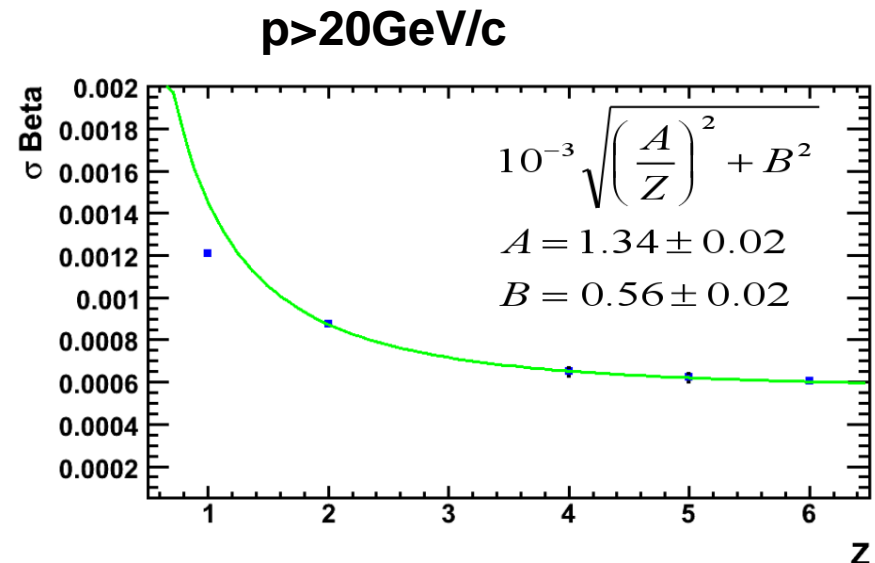
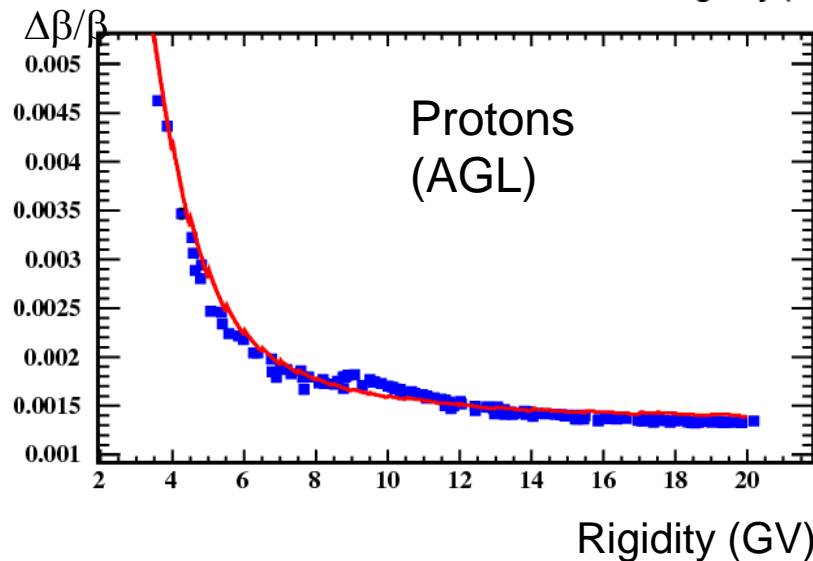
RICH LY and velocity measured in data

(L. Arruda & F. Barão & P. Nunes work)

- The different radiator indexes (AGL, NaF) imply different light yields and velocity resolutions



N'_N , number of photoelectrons for fully contained rings and particle incident vertically in the detector

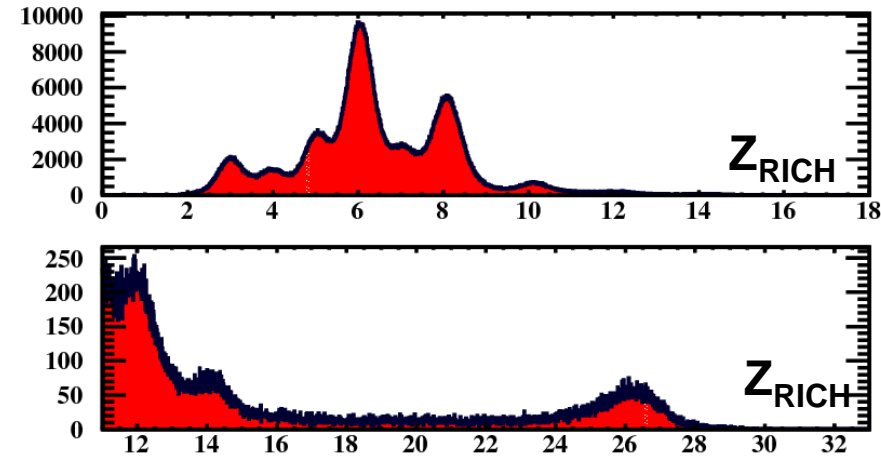


RICH Z measurement (F. Barão & R. Pereira work)

Z uncertainties:

- ✓ statistical
- ✓ systematics from non-uniformities:
 - ✓ radiator: n, thickness, clarity, ...
(data base with values)
 - ✓ detection: LG, PMT, temperature effects

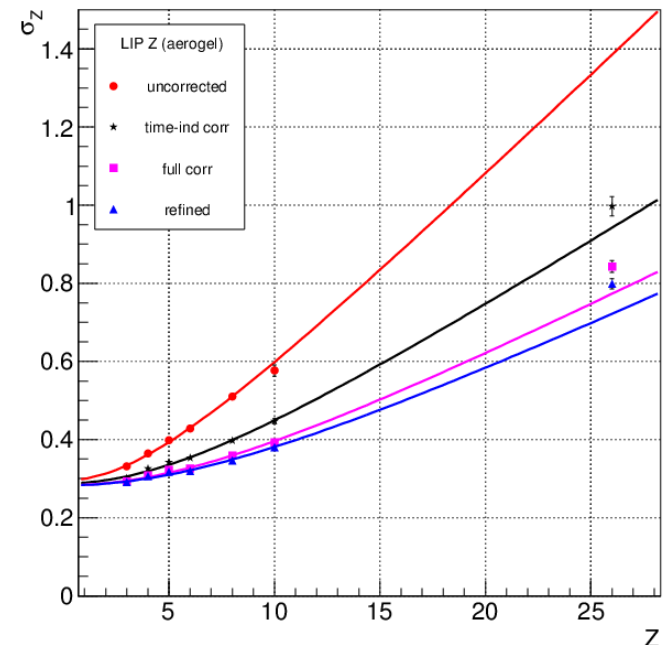
$$\Delta Z = \frac{1}{2} \sqrt{\frac{1 + \sigma_{p.e}^2}{N_0} + Z^2 \left(\frac{\Delta \varepsilon}{\varepsilon} \right)^2}$$



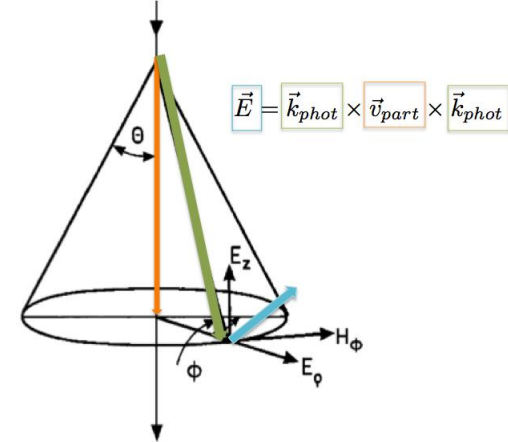
Charge corrections applied:

- ✓ PMT gain
- ✓ Cell efficiency (light transmission in LG, PMT/pixel quantum eff) **Time independent**
- ✓ Temperature effects (gains and eff) **Time dependent**

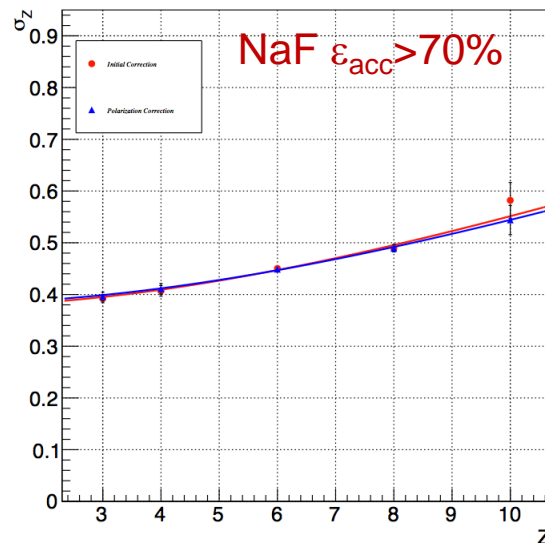
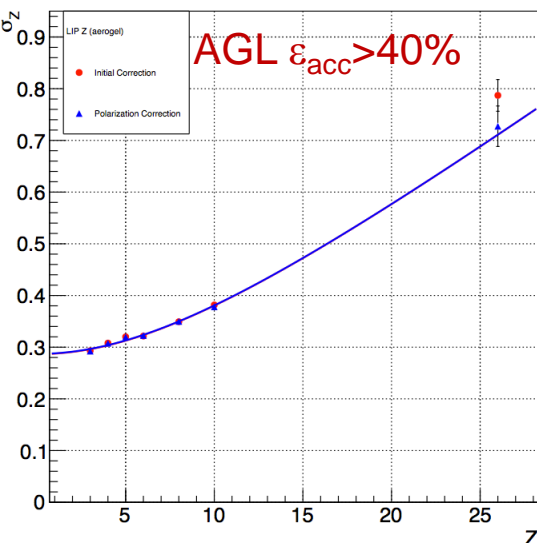
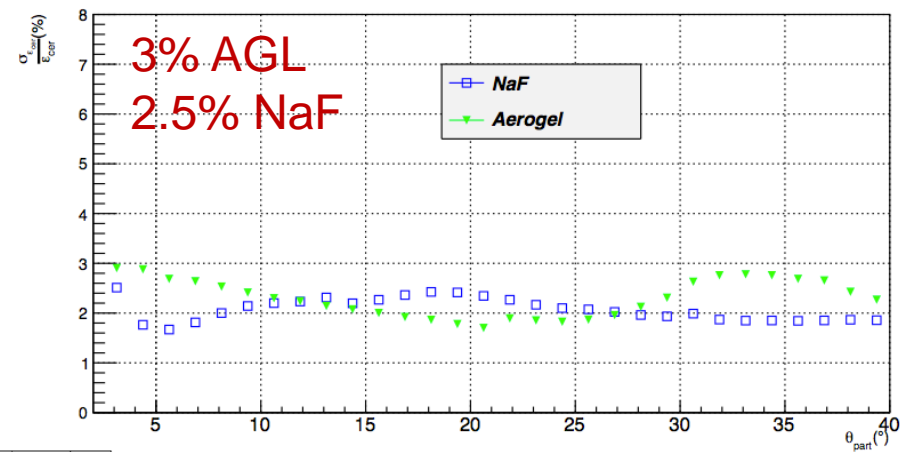
	uncorr	time-ind corr	+time-dep corr	final
σ stat	0.295	0.288	0.283	0.283
σ syst	5.20%	3.45%	2.77%	2.56%



Polarization effect on Z measurement *(F. Barão & B. Santos)*



- Cherenkov γ are polarized. Initial eff without this effect
- The effect of the polarization on the ring efficiency was evaluated with a toy MC.
- Interfaces considered:
 - Radiator interface (dielectric/air);
 - Mirror surface (metal/air);
- According to Fresnel relations, transmittance and reflectance vary with polarization



AGL $\epsilon_{acc} > 40\%$

	Initial correction	Polarization correction	Final
σ_Z^{stat}	0.2864 ± 0.0013	0.2869 ± 0.0013	0.2869 ± 0.0013
$\sigma_Z^{sys} (\%)$	2.503 ± 0.038	2.502 ± 0.040	2.502 ± 0.040

NaF $\epsilon_{acc} > 70\%$

	Initial correction	Polarization correction	Final
σ_Z^{stat}	0.3762 ± 0.0078	0.3738 ± 0.0079	0.3807 ± 0.0079
$\sigma_Z^{sys} (\%)$	4.029 ± 0.1948	3.881 ± 0.199	3.881 ± 0.199

RICH e/p estimator (L. Arruda & F. Barão work)

Particle with **mass m** and measured **rigidity Rig**, probability density functions (PDFs) for the expected velocity $\beta(m, Rig)$ and expected signal $N_{pe}(m, Rig)$

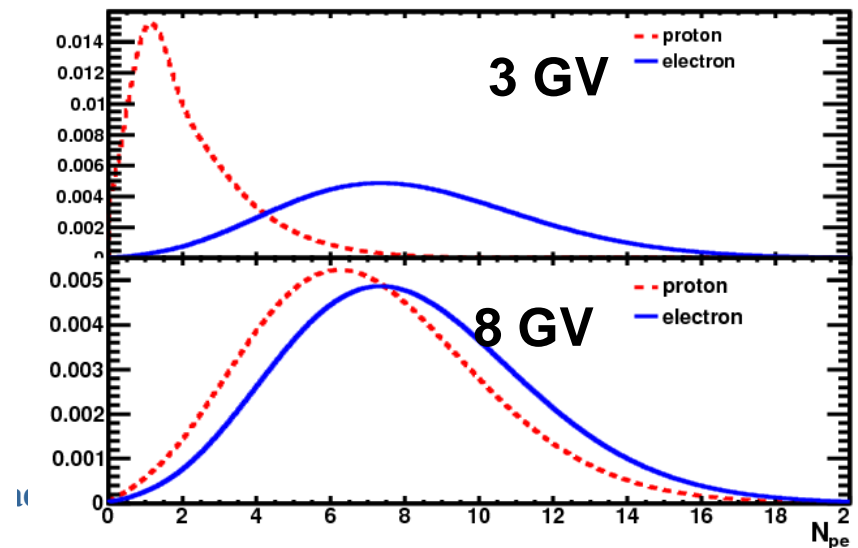
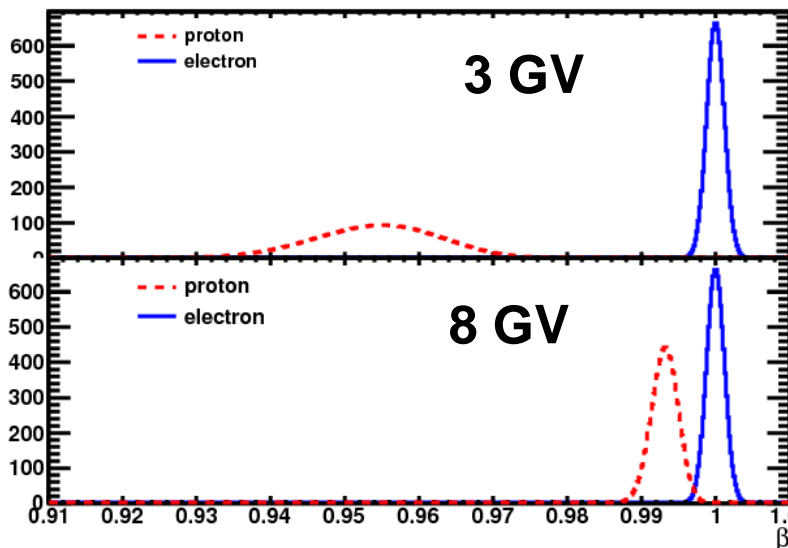
$$dP(x, \beta') = G(x; X_m, \Delta X_m) dx G(\beta'; \beta(m, x), \Delta\beta) d\beta'$$

$$dP(x, N_{pe}) = G(x; X_m, \Delta X_m) dx P(N_{pe}; \mu)$$

where

variables **X= inverse rigidity**; β' = measured velocity

parameters **m= Mass**; **Z= charge**; X_m = inverse of measured rigidity; ΔX_m = error on X_m .

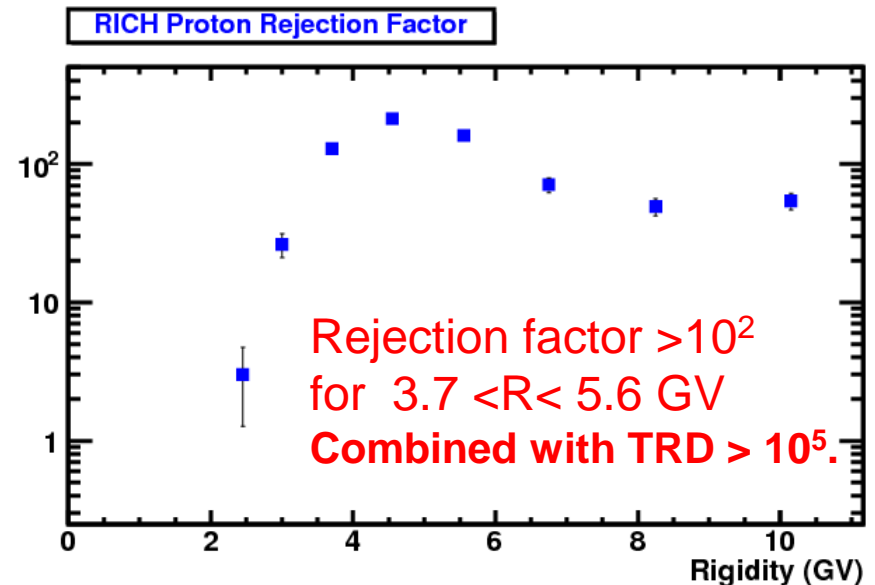
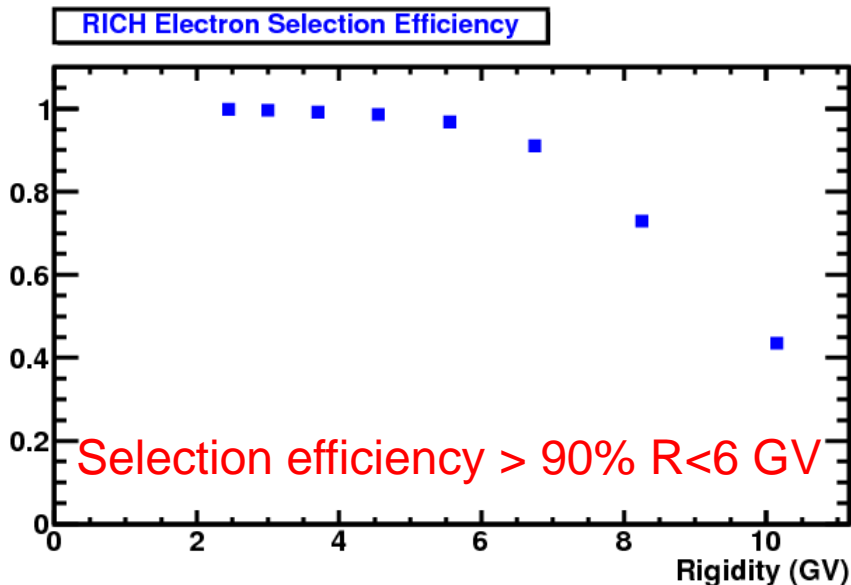
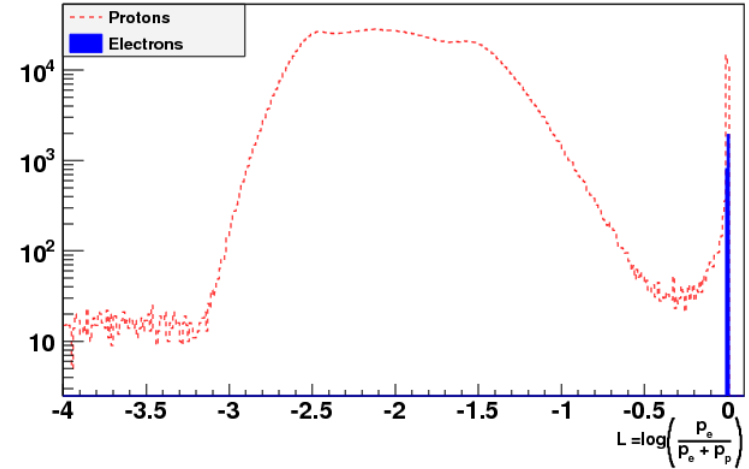


RICH e/p estimator

Estimator based on the RICH independent measurements of β and N_{pe} and is built as

$$\mathcal{L} = \log \left(\frac{p_e}{p_e + p_p} \right)$$

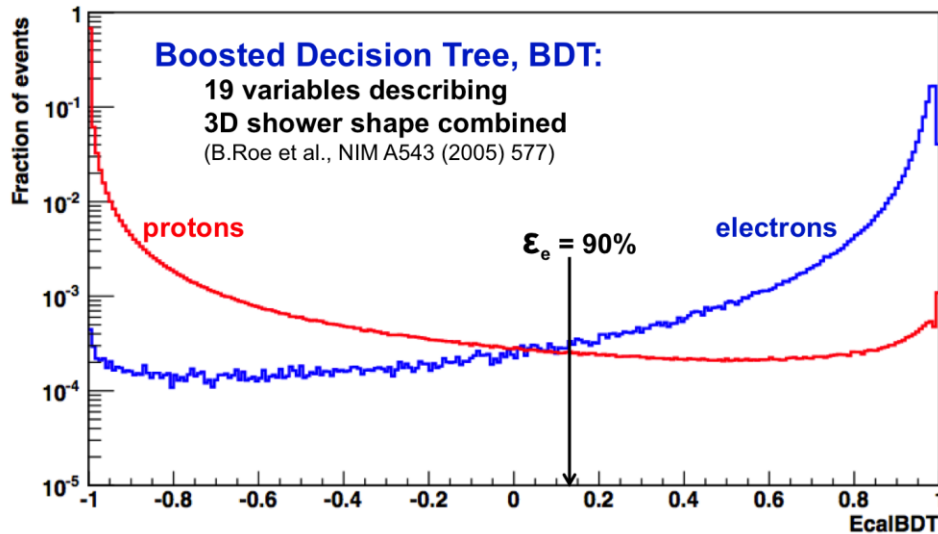
$$p_e = \sqrt{p_e^\beta \cdot p_e^s} \quad p_p = \sqrt{p_p^\beta \cdot p_p^s}$$



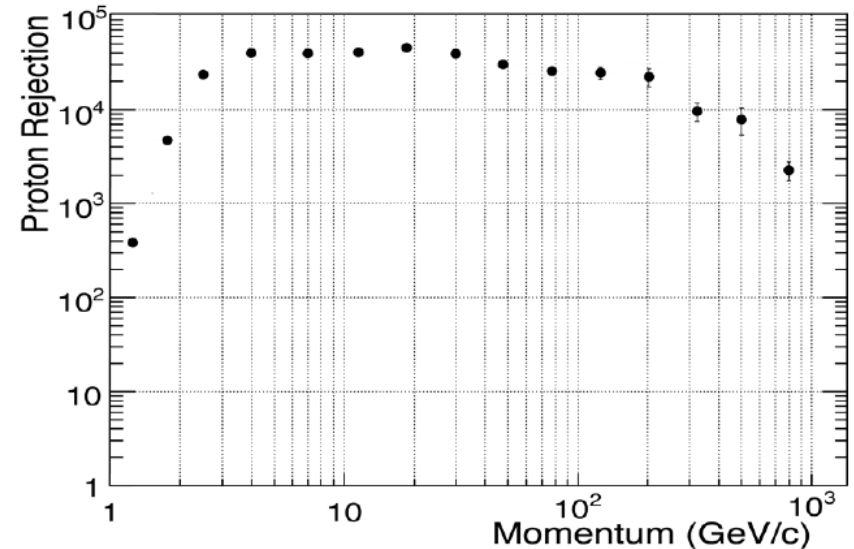
ECAL estimator

- electron and proton create different "tracks" in ECAL
- Boost Decision Tree (BDT) folds the different observables that can distinguish both particles, into one

SS data: 83–100 GeV

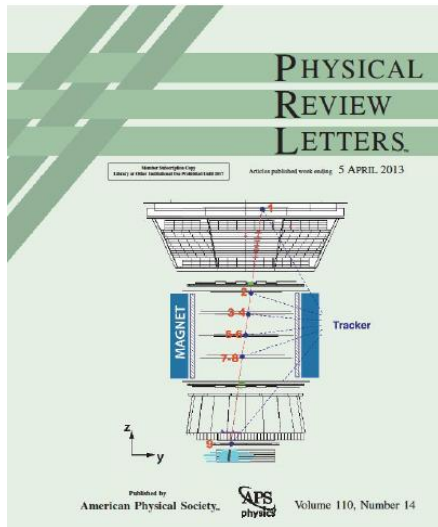


rejection $> 10^4$ at 90% efficiency



AMS analysis results

Analysis with 2 years of data taking (only 10% of the total statistics foreseen for AMS-02 lifetime).



33RD INTERNATIONAL COSMIC RAY CONFERENCE, RIO DE JANEIRO 2013
THE ASTROPARTICLE PHYSICS CONFERENCE

ICRC
2013

Precision measurement of the proton flux with AMS

S. HAINO¹ ON BEHALF OF THE AMS-02 COLLABORATION.

Precision Measurement of the Cosmic Ray Helium Flux with AMS Experiment

V. CHOUTKO¹, ON BEHALF OF THE AMS COLLABORATION.

Precision measurements of the electron spectrum and the positron spectrum with AMS

S. SCHAE¹ FOR THE AMS COLLABORATION.

Precision measurement of the positron fraction in primary cosmic rays of 0.5–350 GeV

A. KOUNINE¹, ON BEHALF OF THE AMS COLLABORATION².

Precision measurement of the $e^+ + e^-$ spectrum with AMS

B. BERTUCCI¹, FOR THE AMS COLLABORATION.

Precision Measurement of the Cosmic Ray Boron-to-Carbon Ratio with AMS

A. OLIVA¹ ON BEHALF OF THE AMS COLLABORATION.

Determination of the positron anisotropy with AMS

J. CASAUS¹, ON BEHALF OF THE AMS COLLABORATION².

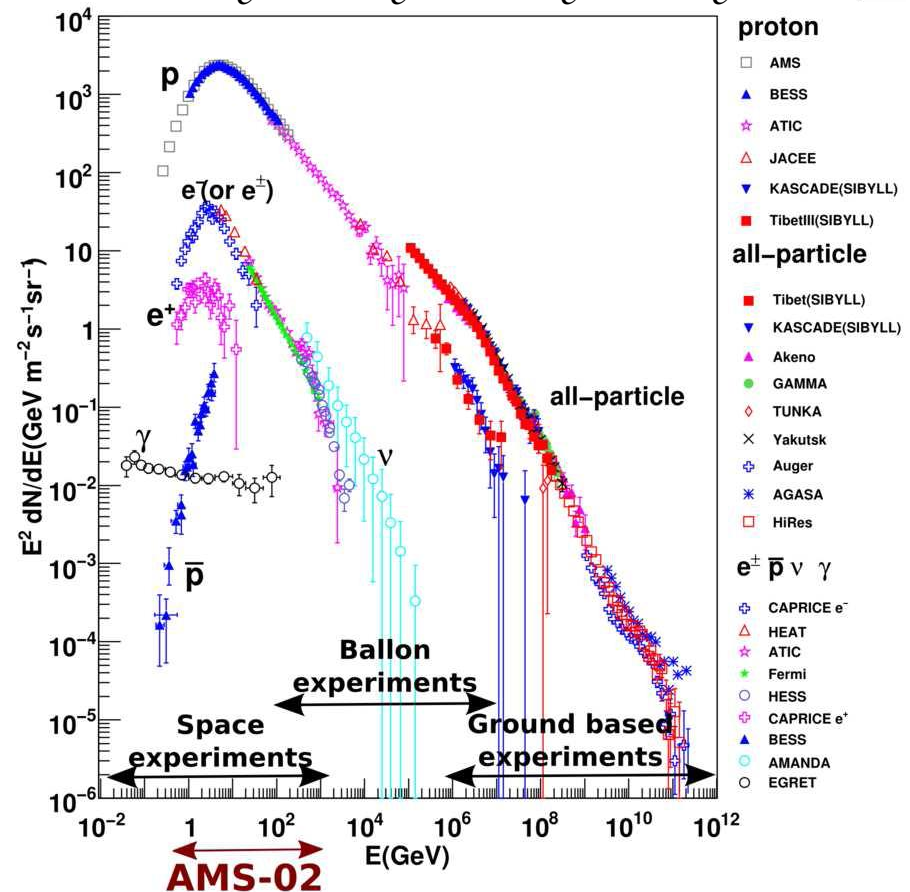
Positron ratio

- e^+ low signal and high p background: $p \sim (10^3 - 10^4) e^+$
- p rejection factor: $10^5 - 10^6$ to identify e^+ with an error at % level
- AMS detector requirements: good e^+ identification and strong capability of rejecting p

ECAL, TRD, TRACKER

at low energy TOF and RICH also contribute

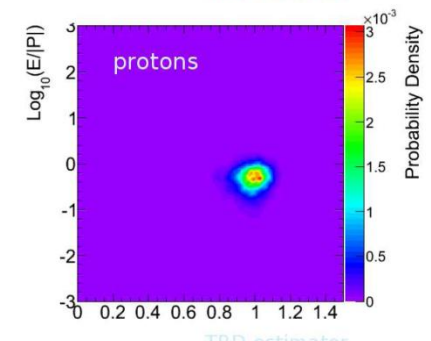
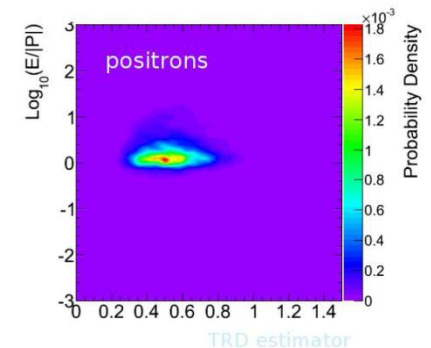
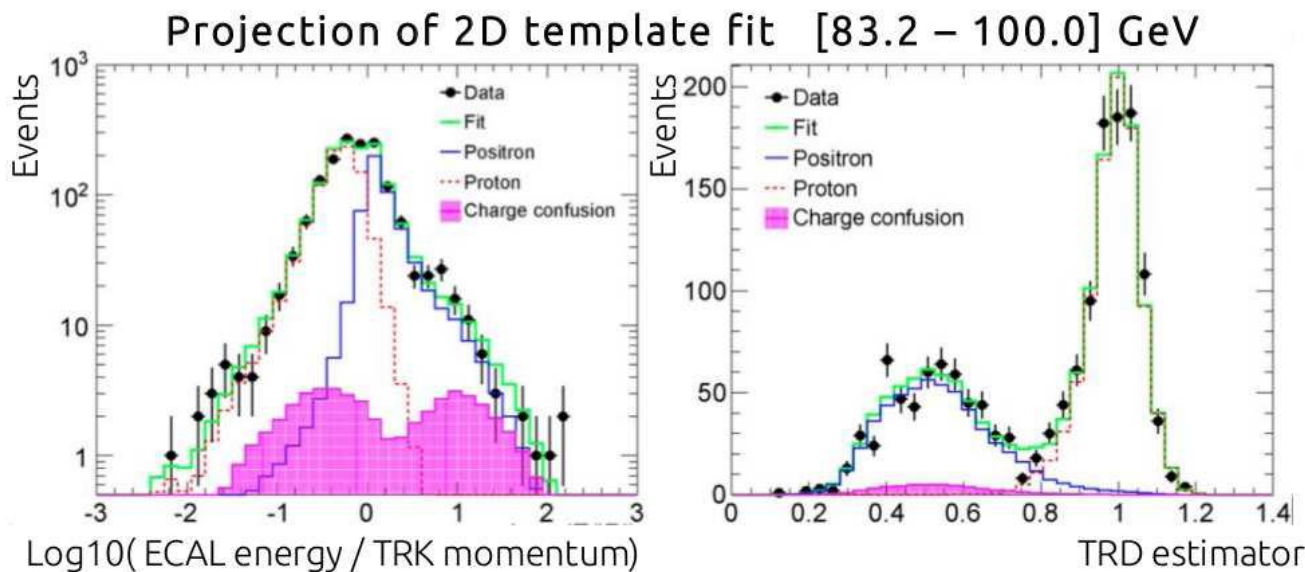
$$f = \frac{\Phi_{e^+}}{\Phi_{e^+} + \Phi_{e^-}} = \frac{N_{e^+}}{N_{e^+} + N_{e^-}}$$



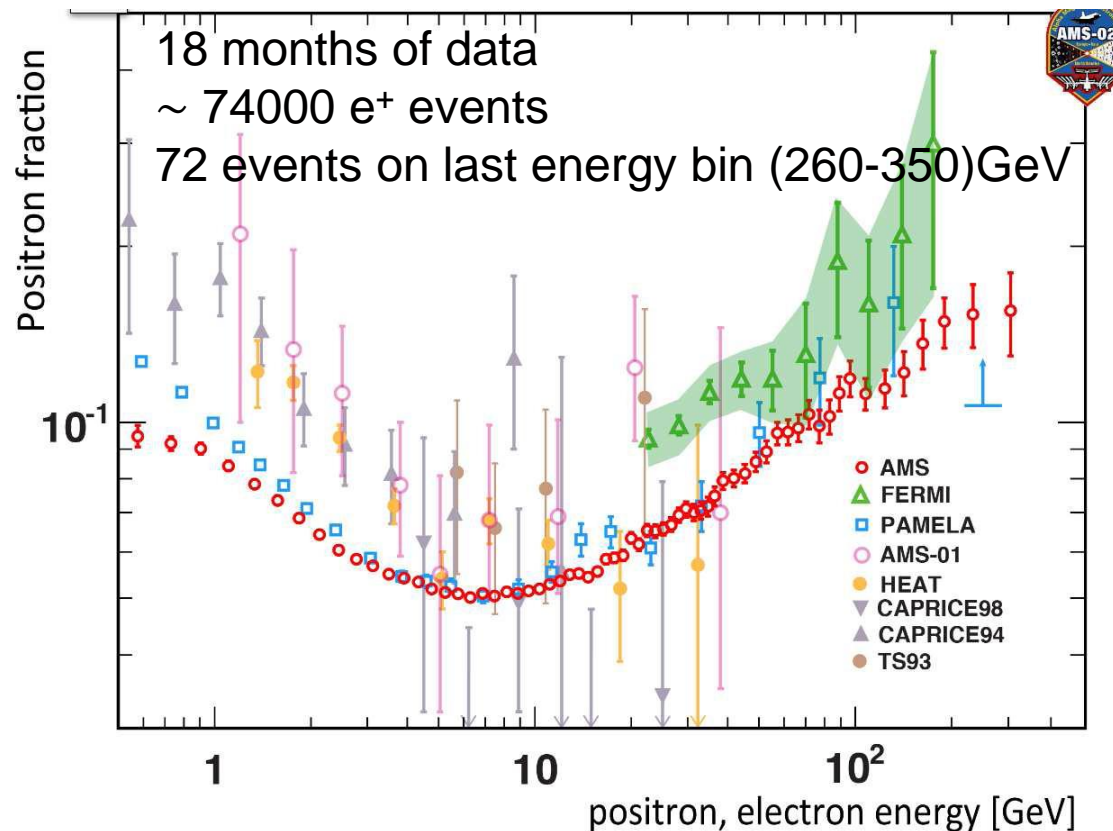
Positron and electron counting

The number of positrons in every energy bin is obtained :

- ❑ apply the ECAL shower topology cut (BDT), energy dependent
- ❑ split sample in negative ($Q < 0$) and positive $Q > 0$ particles
- ❑ number of positrons and electrons obtained from a fit on the two remaining discrimination observables : **TRD estimator and E/p**
- ✓ reference spectra from electron and proton samples selected with ECAL
- ✓ wrong-sign events (charge confusion) spectrum taken into account



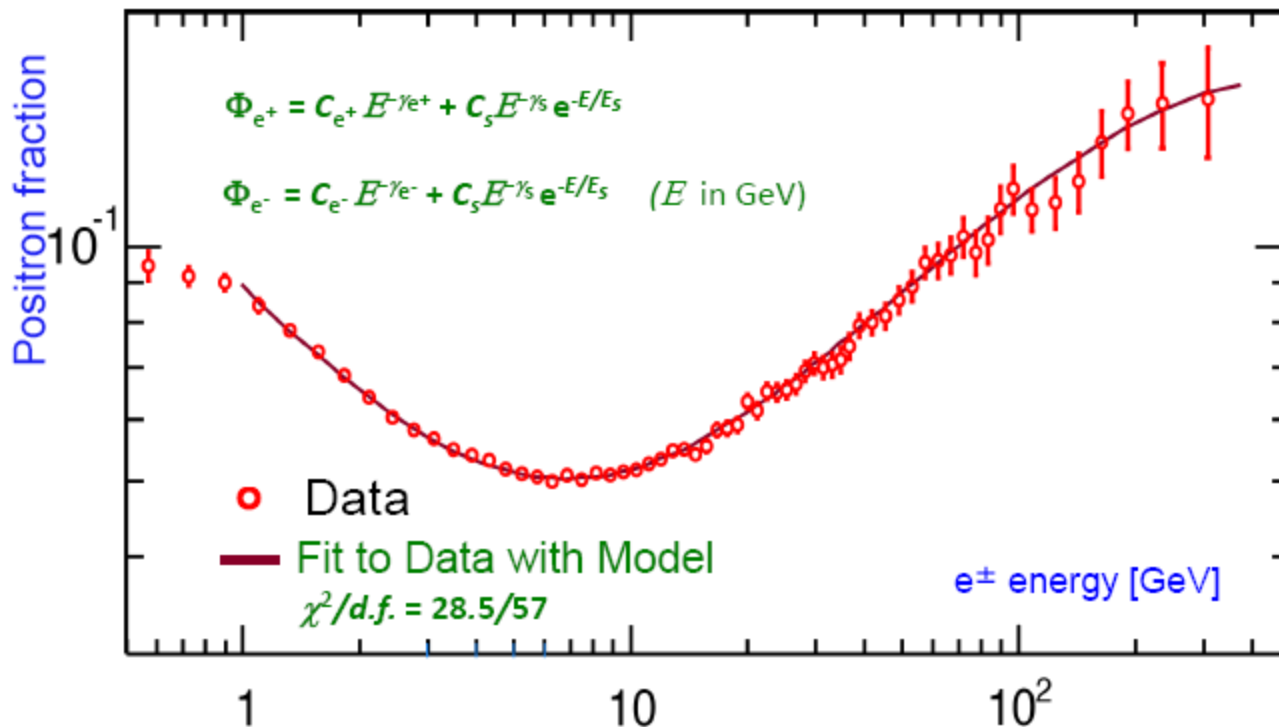
Positron ratio ($N_{e^+}/N_{e^+}+N_{e^-}$)



- ✓ $E < 10$ GeV positron fraction decreases with increasing energy.
- ✓ The positron fraction is steadily increasing from 10 to ~250 GeV.
- ✓ No structure in the spectrum.
- ✓ From 20 to 250 GeV the slope decreases by an order of magnitude.
- ✓ The determination of the behaviour of the fraction from 250 to 350 GeV and beyond requires more statistics.

On the origin of the excess of positrons

- Limits on the amplitude of a dipole anisotropy in any axis in galactic coordinates on the positron to electron ratio $\delta \leq 0.036$ at the 95% confidence level, compatible with isotropy
- These observations show the existence of new physical phenomena, whether from a particle physics or an astrophysical origin.

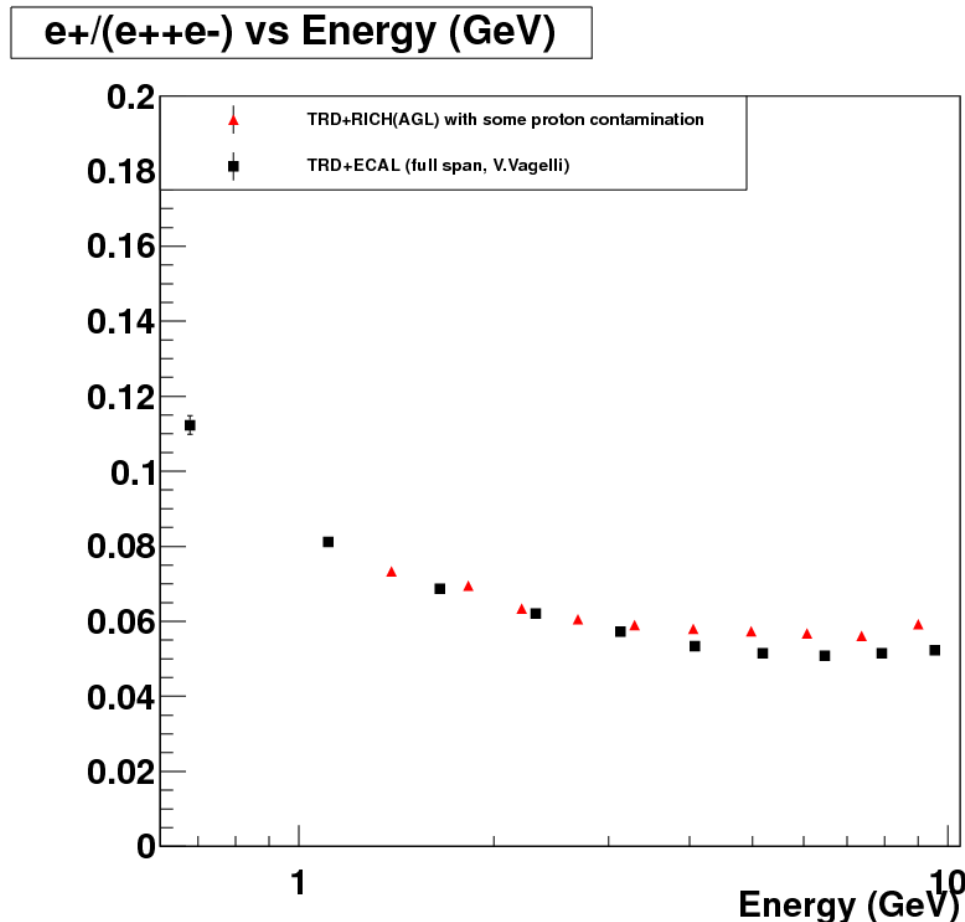


✓ The agreement between data and the model shows that the positron fraction spectrum is consistent with e^+ fluxes each of which is the sum of its diffuse spectrum and a single common power law source.

AMS02 positron ratio at low energies (<10GeV)

(L. Arruda & F. Barão work)

- ✓ RICH e-like estimator used to perform e/p separation at low energy.
- ✓ Combined with TRD rejection factor $> 10^5$.
- ✓ Larger acceptance : 4-5 more events collected compared to TRD + ECAL selection.



Electron and Positron fluxes

Individual fluxes carry more information to the models than the fraction

$$\Phi(E) = \frac{N_{obs}}{A(E) \times \varepsilon_{Trig}(E) \times \varepsilon_{Sel}(E) \times L(E) \times dE}$$

where

$\Phi(E)$ = Absolute differential flux ($\text{m}^{-2}\text{sr}^{-1}\text{s}^{-1}\text{GeV}^{-1}$)

E = Measured energy (GeV)

A = effective acceptance (m^2sr)

ε_{trig} = trigger efficiency

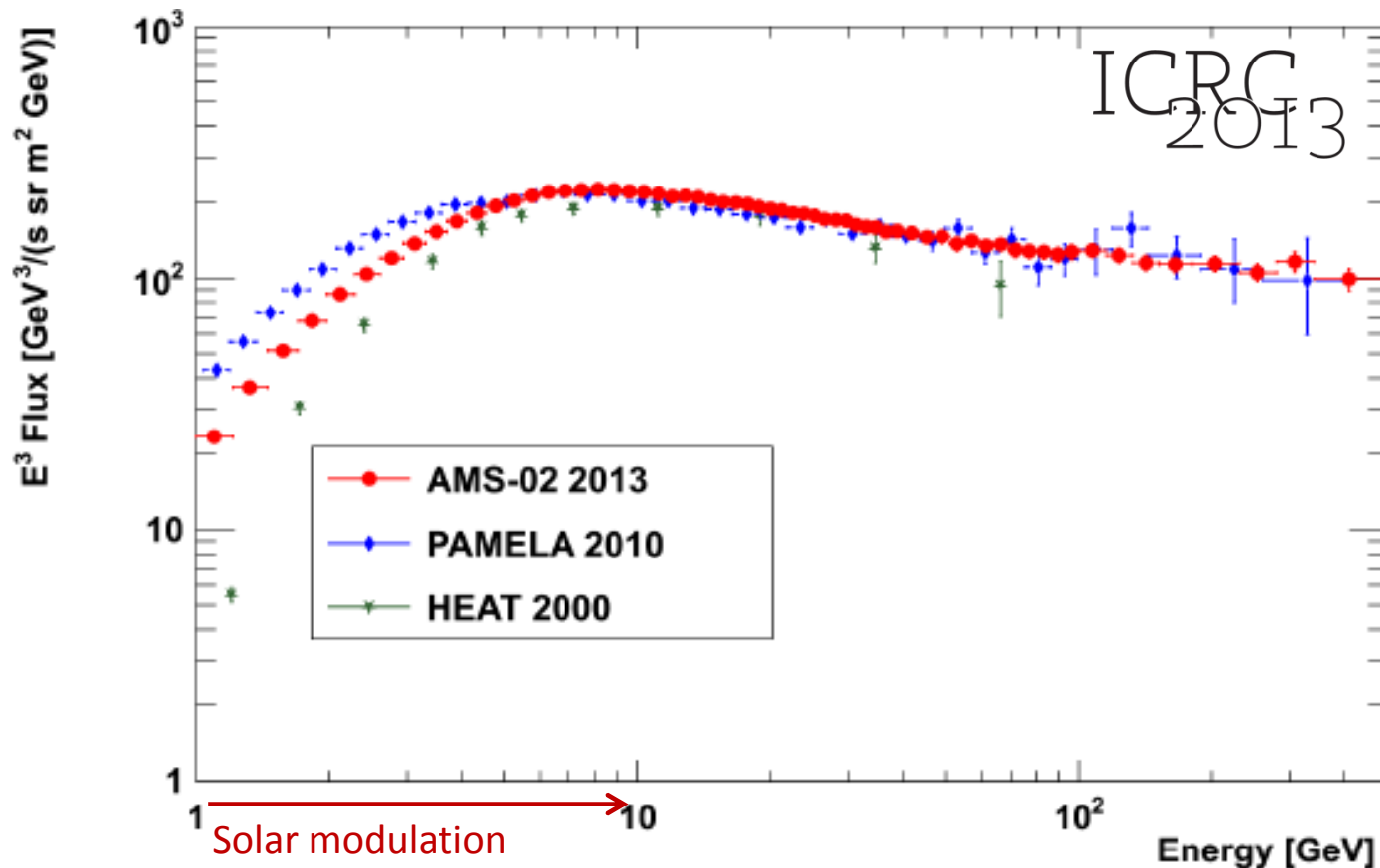
ε_{sel} = selection efficiency

L = detector livetime (s)

dE = energy bin width (GeV)

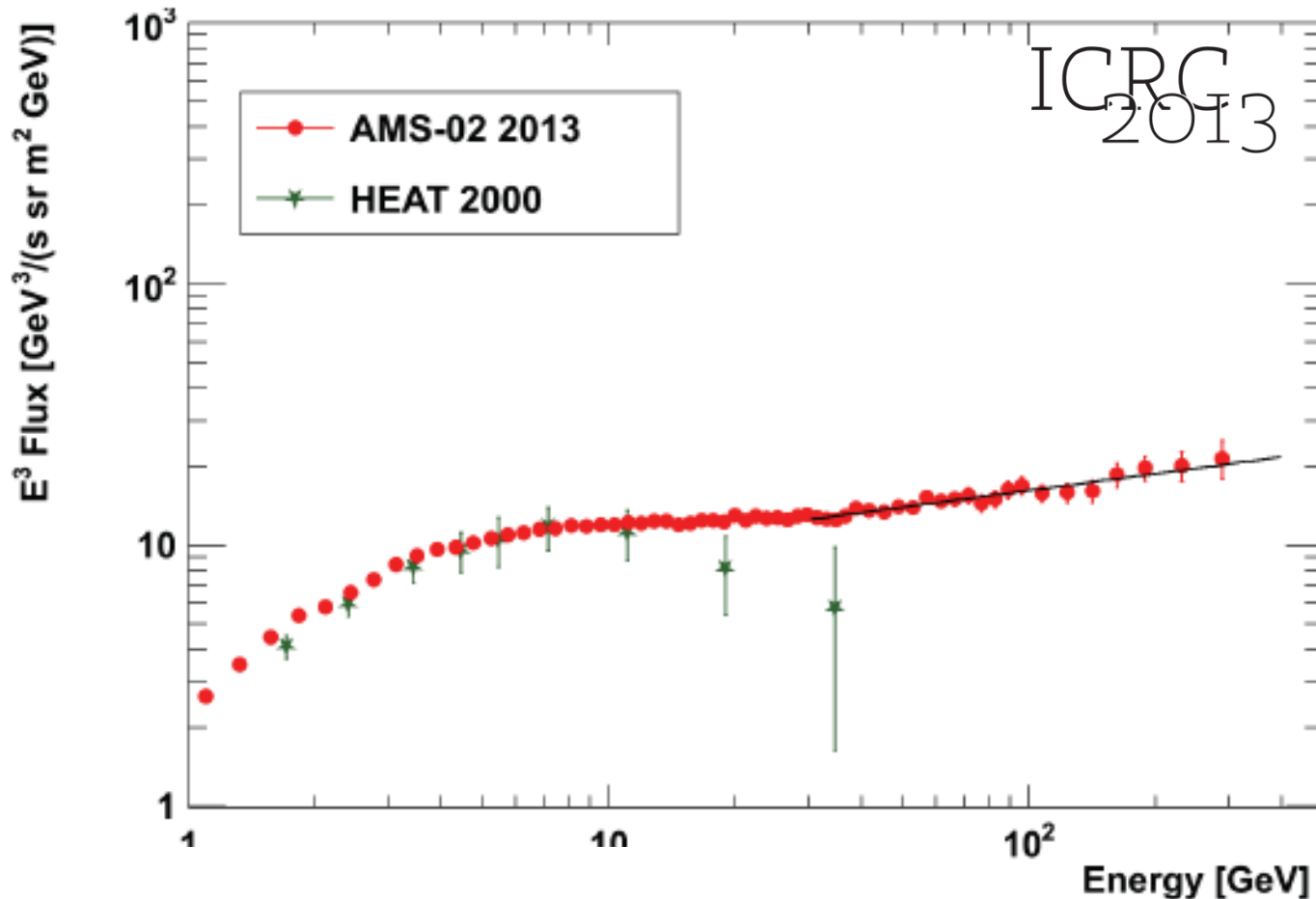
Electron Flux

- Flux ($\times E^3$) up to 500 GeV
- Raises up to 10 GeV and is a smooth, slowly falling curve above
- Good agreement with previous data



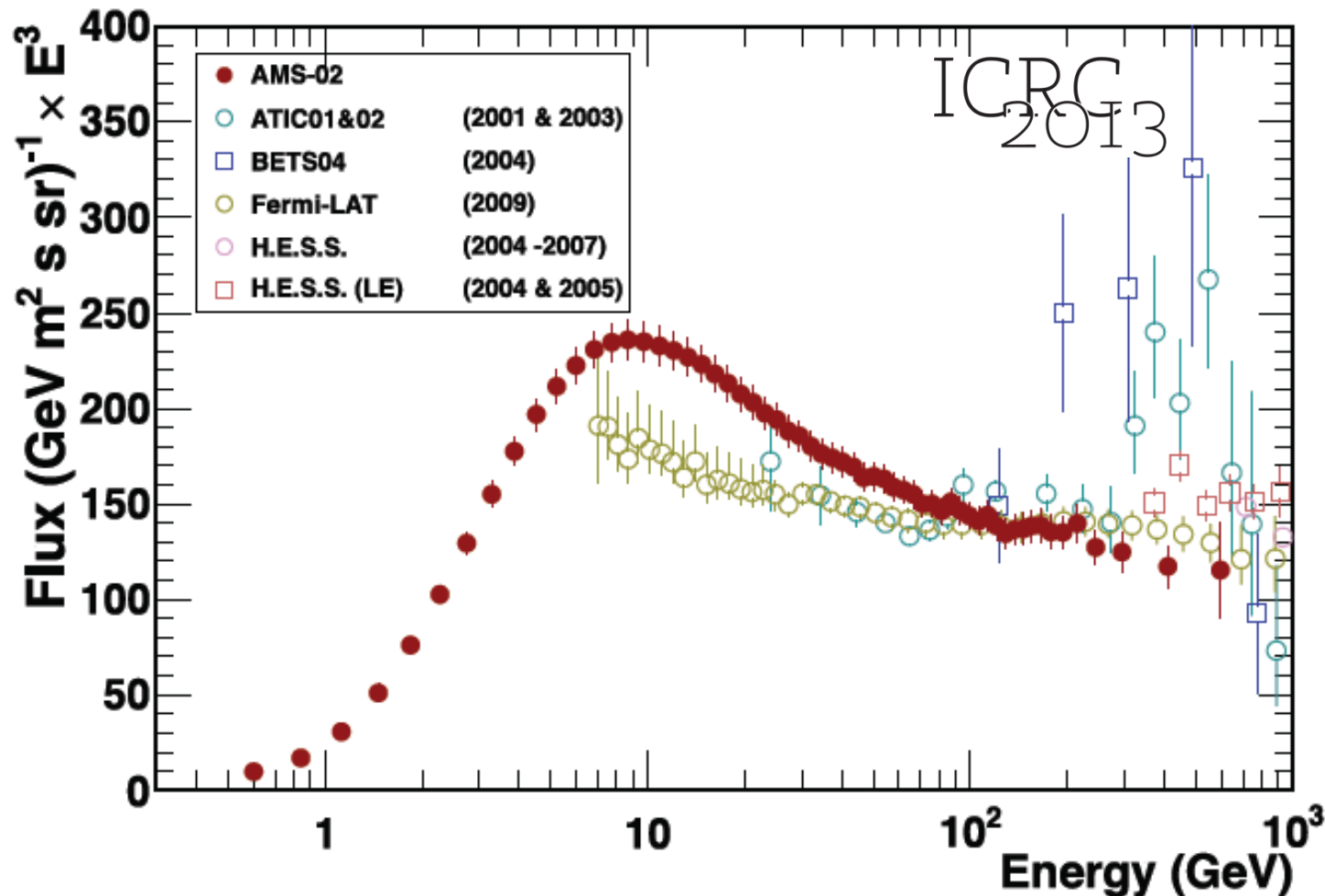
Positron Flux

- Flux ($\times E^3$) up to 350 GeV
- Raises up to 10 GeV, from 10-30 GeV is flat and above raises
- Different spectrum index and dependence on E from electrons
- Good agreement with HEAT data at low energy



Electron+Positron Flux

- up to 700 GeV ($x E^3$) shows no evidence of structures.
- Change in spectral distribution with increasing energy compatible with fraction.
- AMS disagrees with FERMI measurements 6-100 GeV.

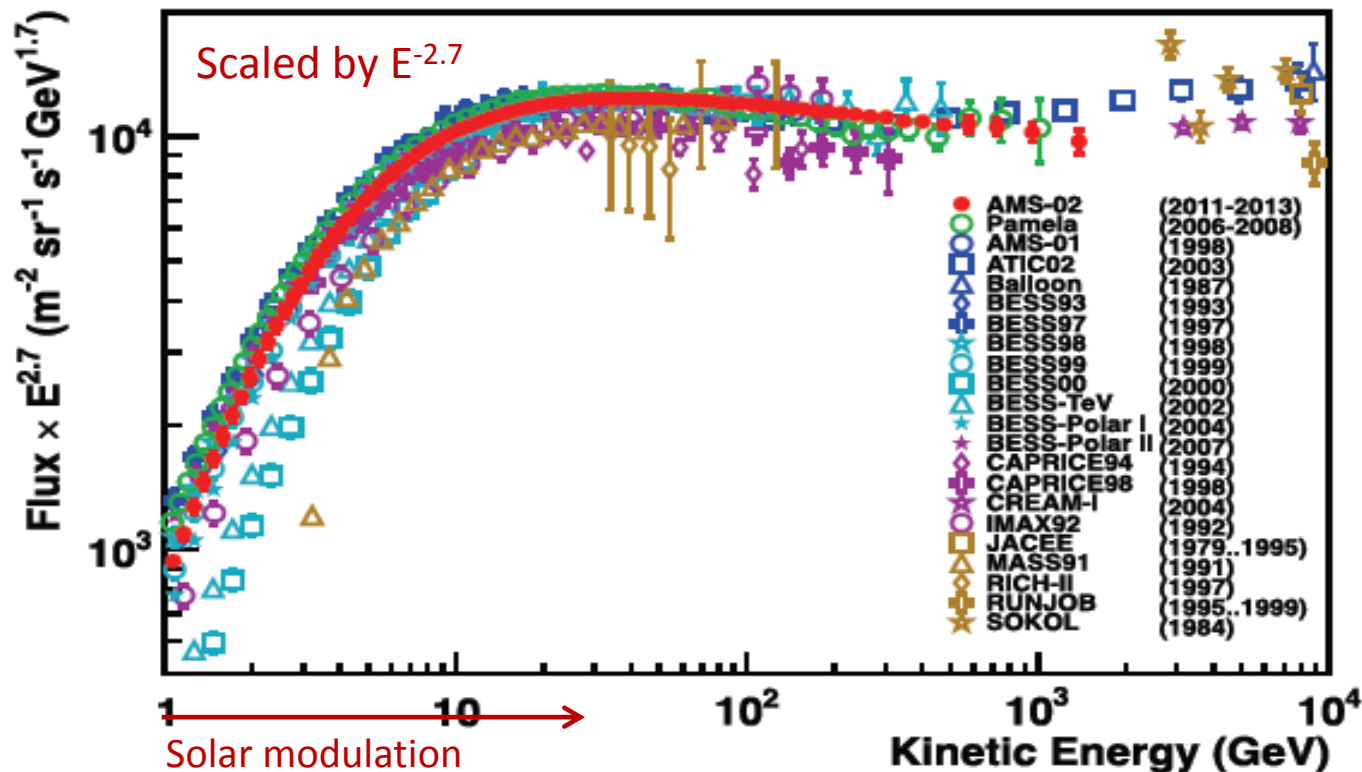


Proton Flux

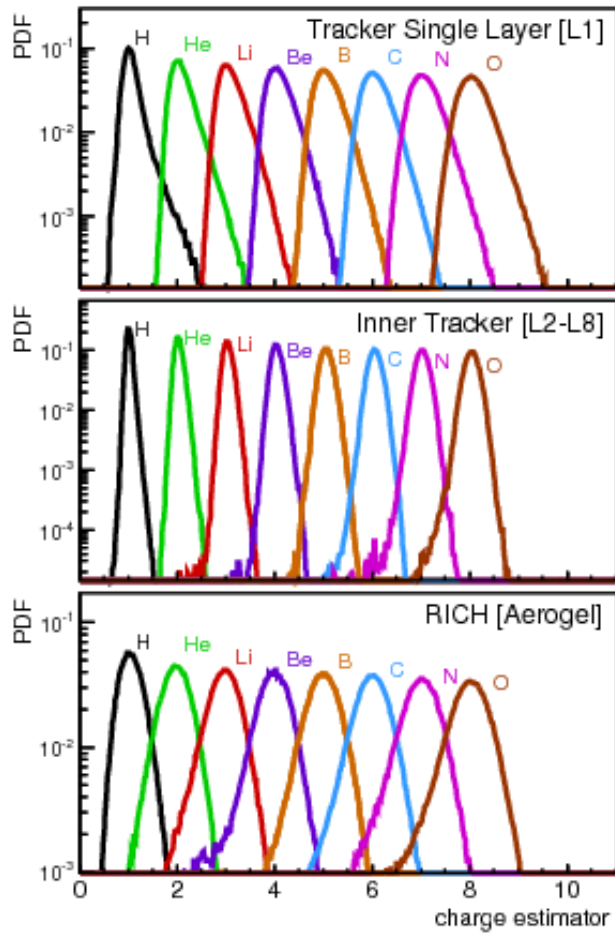
Dominant component of CR:

- Not limited by statistics
- Negligible contamination
- High precision measurement
- Absolute flux and spectral shape fundamental to understand:
 - Origin & propagation history of CR in the Galaxy
 - Solar modulation effects
- No fine structures nor break found

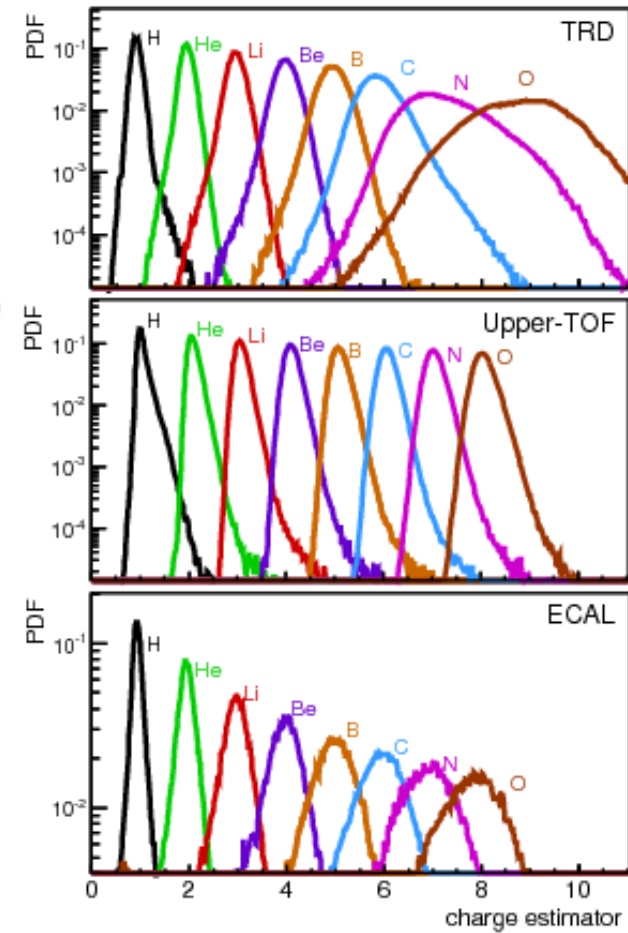
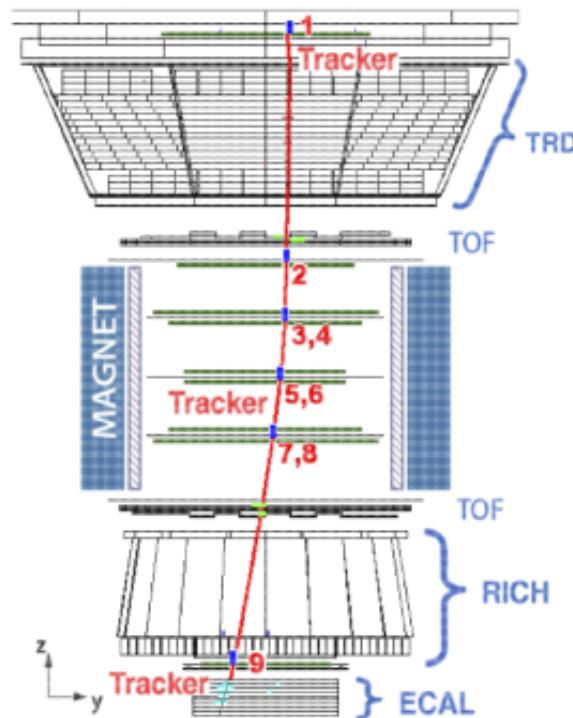
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Charge measurement on AMS subdetectors

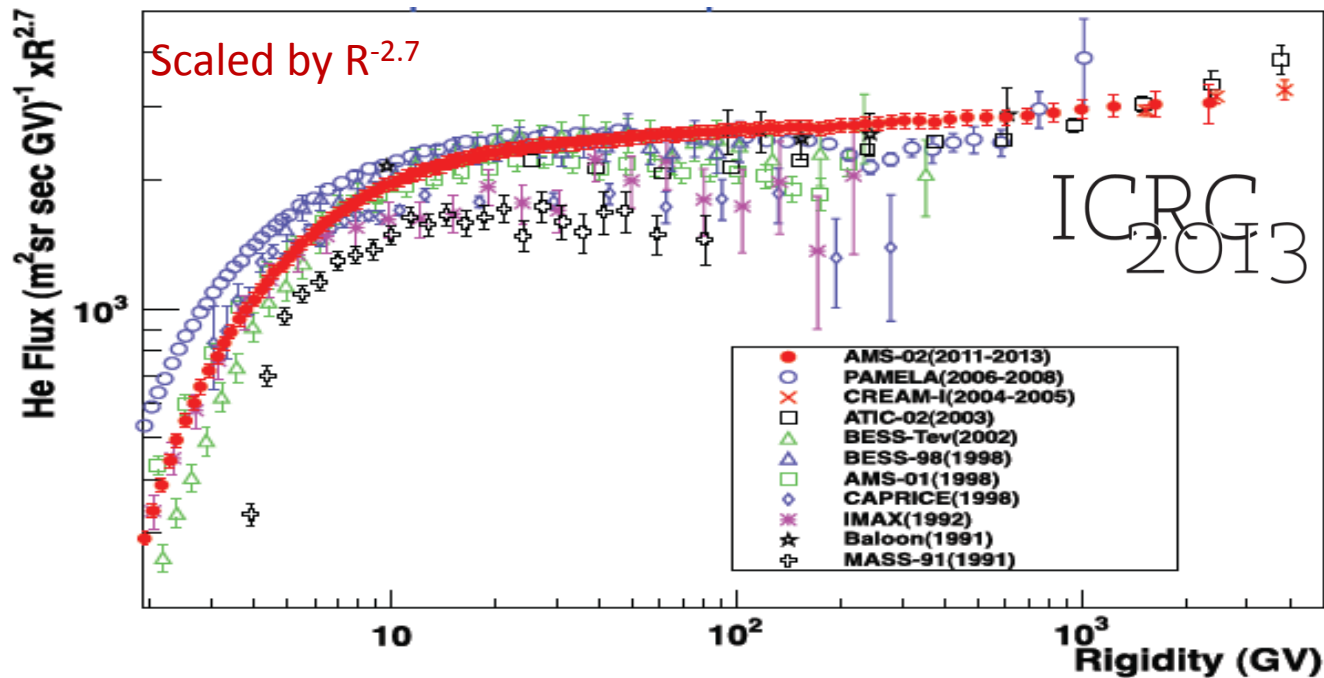
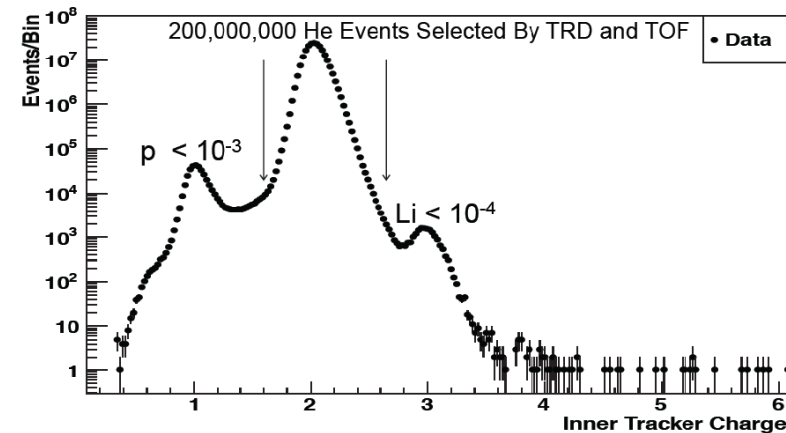


AMS-02 Charge Measurements of Light Cosmic-Ray Nuclei



Helium Flux

- Helium flux interesting for understanding the acceleration mechanism of charged CR in our Galaxy
- Proton background: 10^{-5}
- Main remaining background: ions interacted on top of AMS

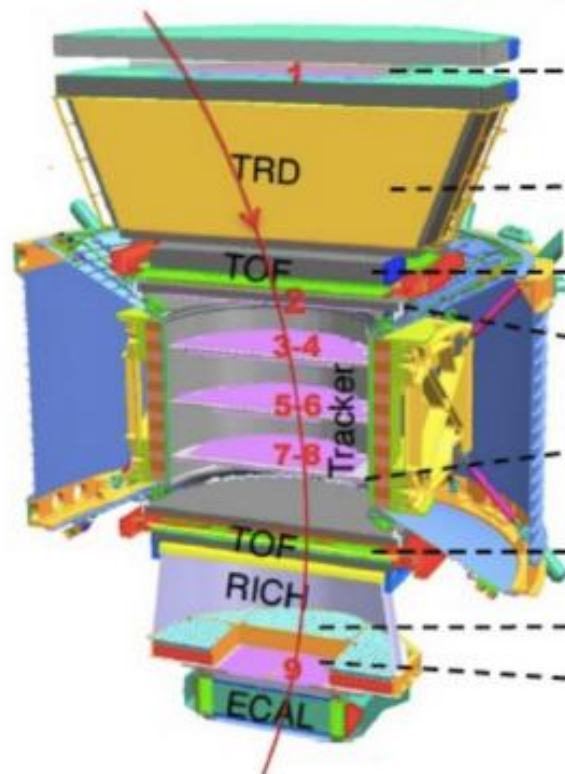


- The helium flux (multiplied by $R^{2.7}$) measured from 2 GV to 3.2 TV
- Above 10 GV the spectrum can be parametrized by a single power law
- No fine structures were found on the spectrum

B/C measurement

- B is a secondary spallation product of heavier primary elements (C, O).
- Allow to study CR propagation in the Galaxy
 - Strongly constrain propagation models specially in the high energy regime
- Identify nuclei with $Z=5$ and $Z=6$

AMS: Multiple Independent Measurements of the Charge ($|Z|$)

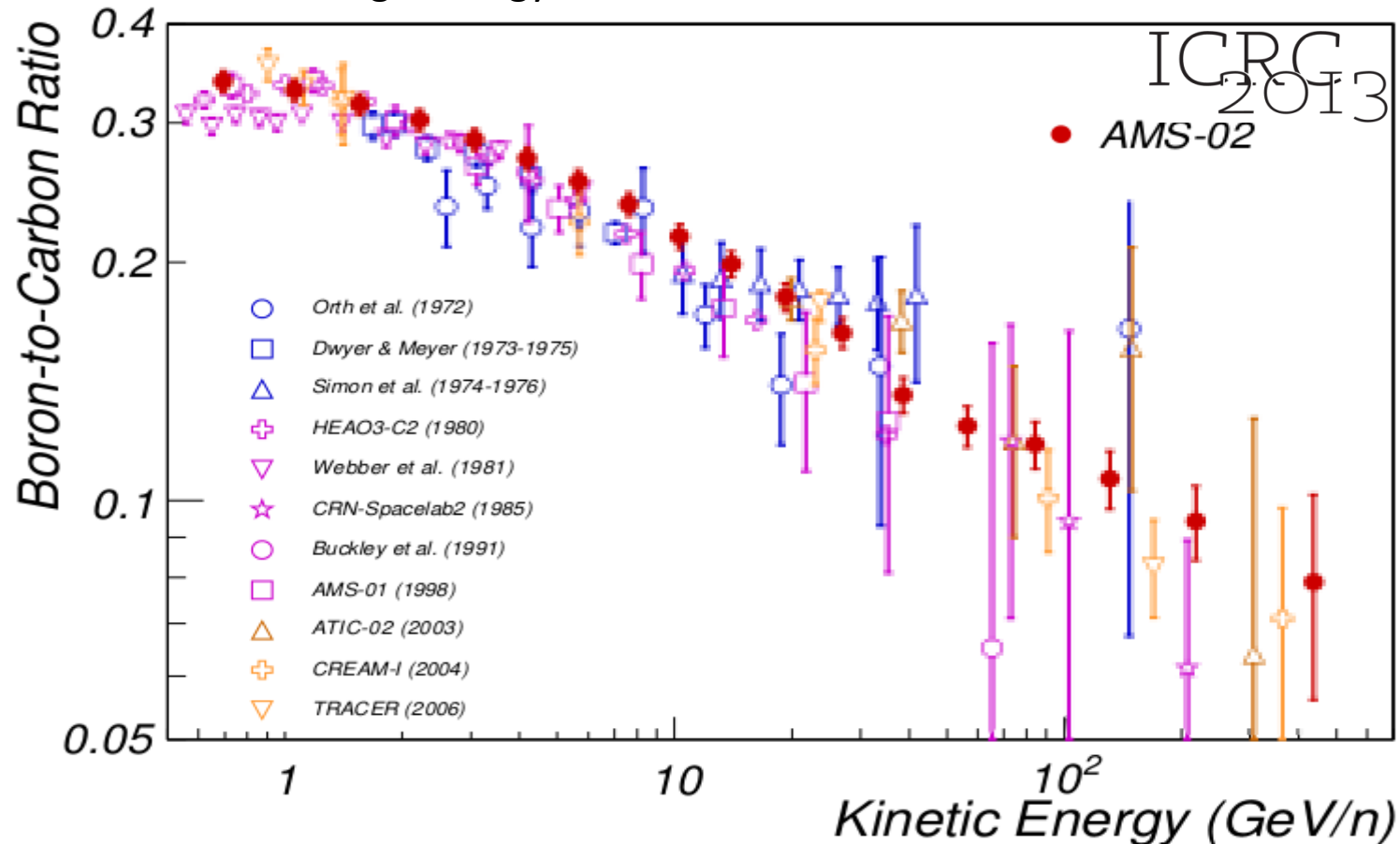


Carbon ($Z=6$) ΔZ (cu)

1. Tracker Plane 1	0.30
2. TRD	0.33
3. Upper TOF (1 counter)	0.16
4. Tracker Planes 2-8	0.12
5. Lower TOF (1 counter)	0.16
6. RICH	0.32
7. Tracker Plane 9	0.30

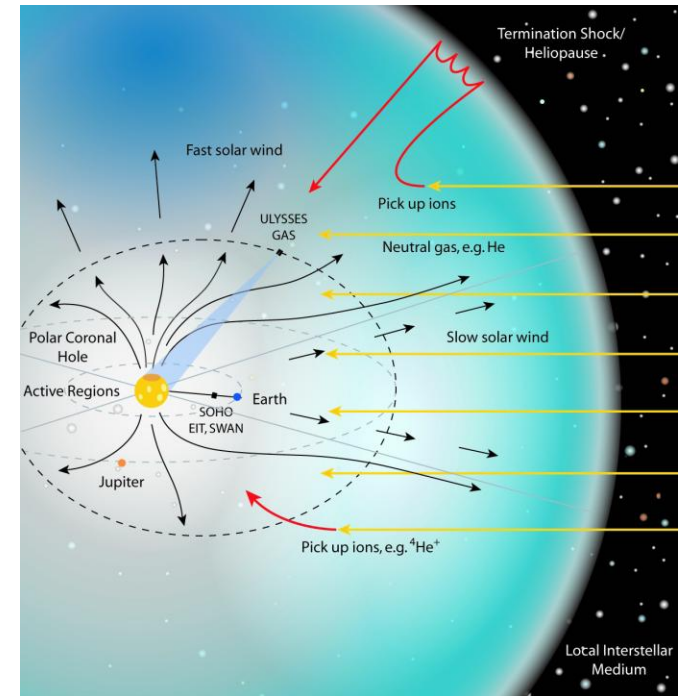
B/C measurement

- B and C samples separated using Inner tracker and TOF Z measurements.
- Measurement of B/C between 0.5 to 670 GeV/n measured by AMS
- Statistics is the main limitation for the ratio measurement and systematic error measurement (only 10% analyzed)
- B/C behaviour at high energy more clear with more data

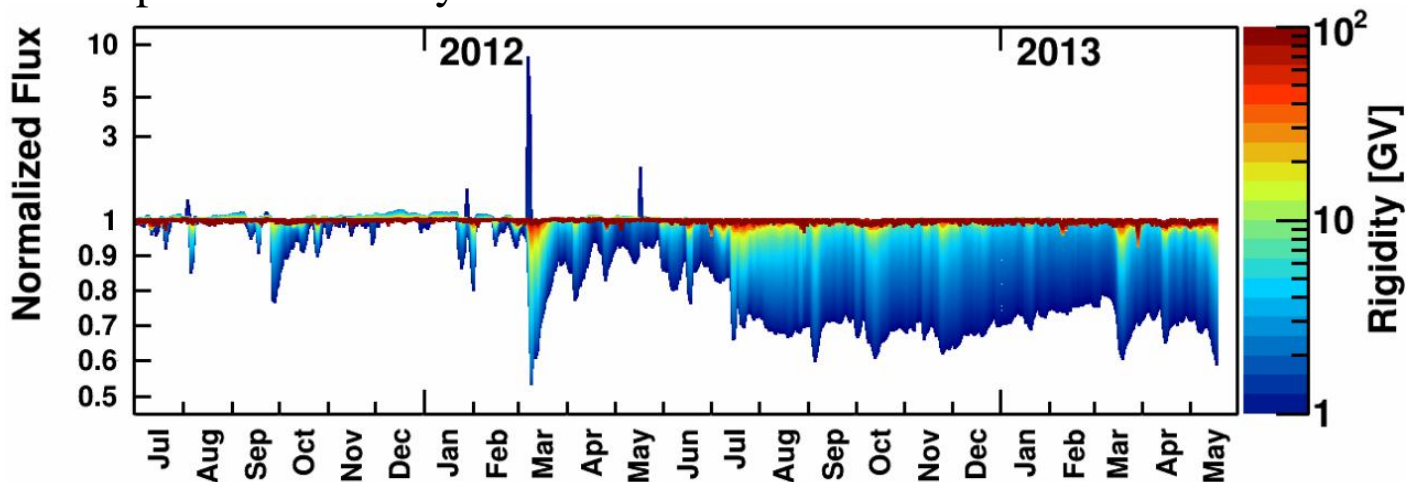


Solar Modulation master thesis M. Orcinha

- A continuous flow of charged particles from SUN with velocities around 400Km/s
 - mainly composed of e^- and p
- Carries the sun magnetic field to the interplanetary space
- Predictions from CR propagation in the heliosphere can be extracted from solving Parker equation.
 - Numerical solution of Parker Equation (1D, 2D) done

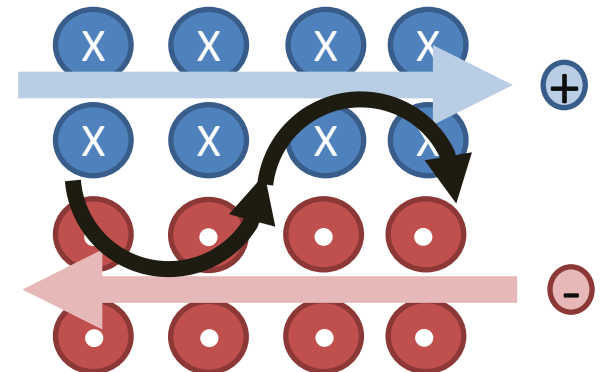
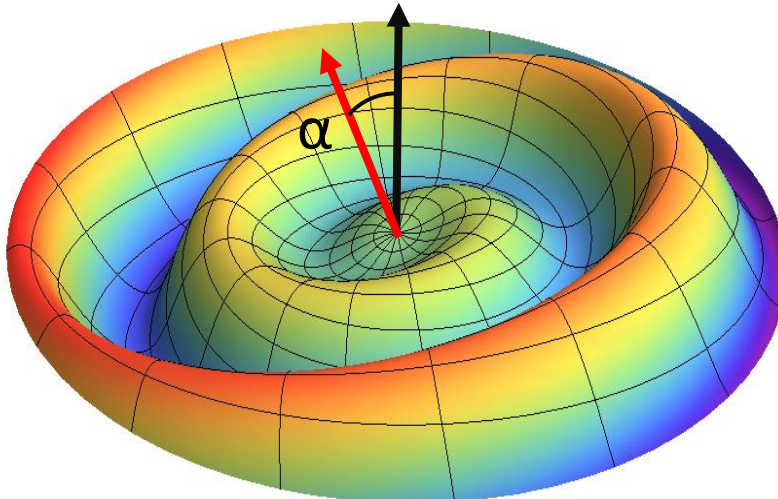
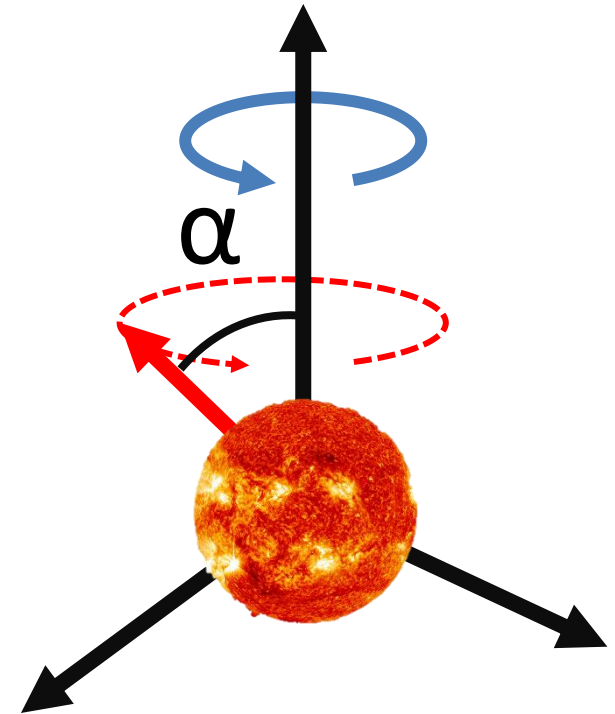
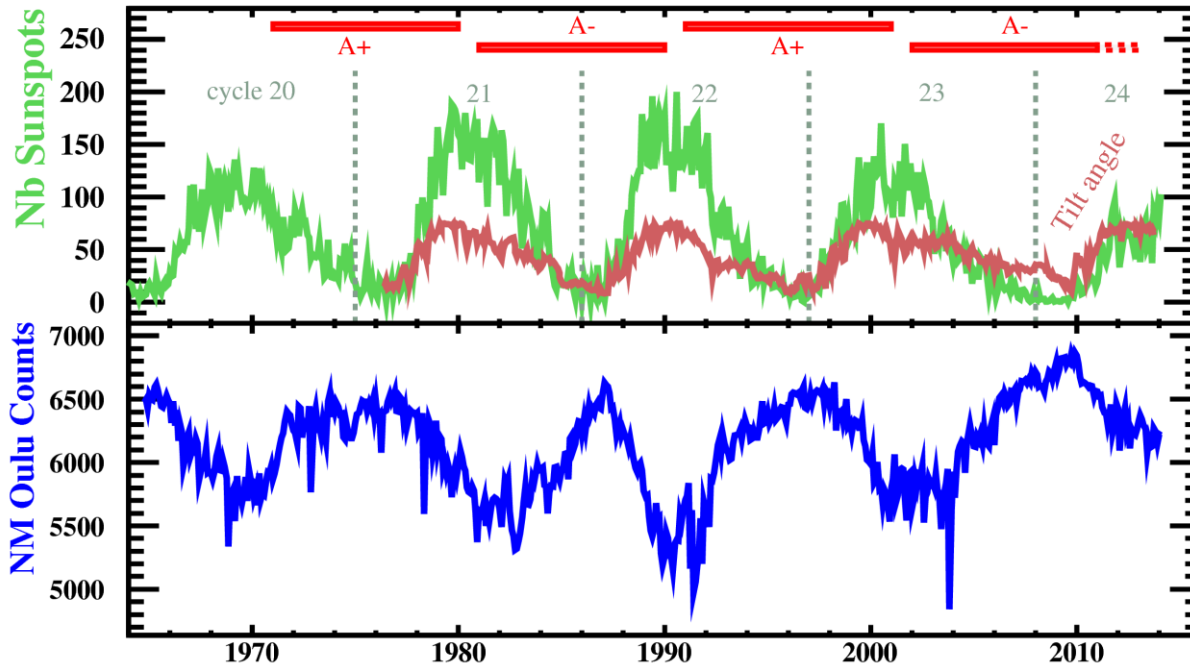


AMS proton flux daily variations

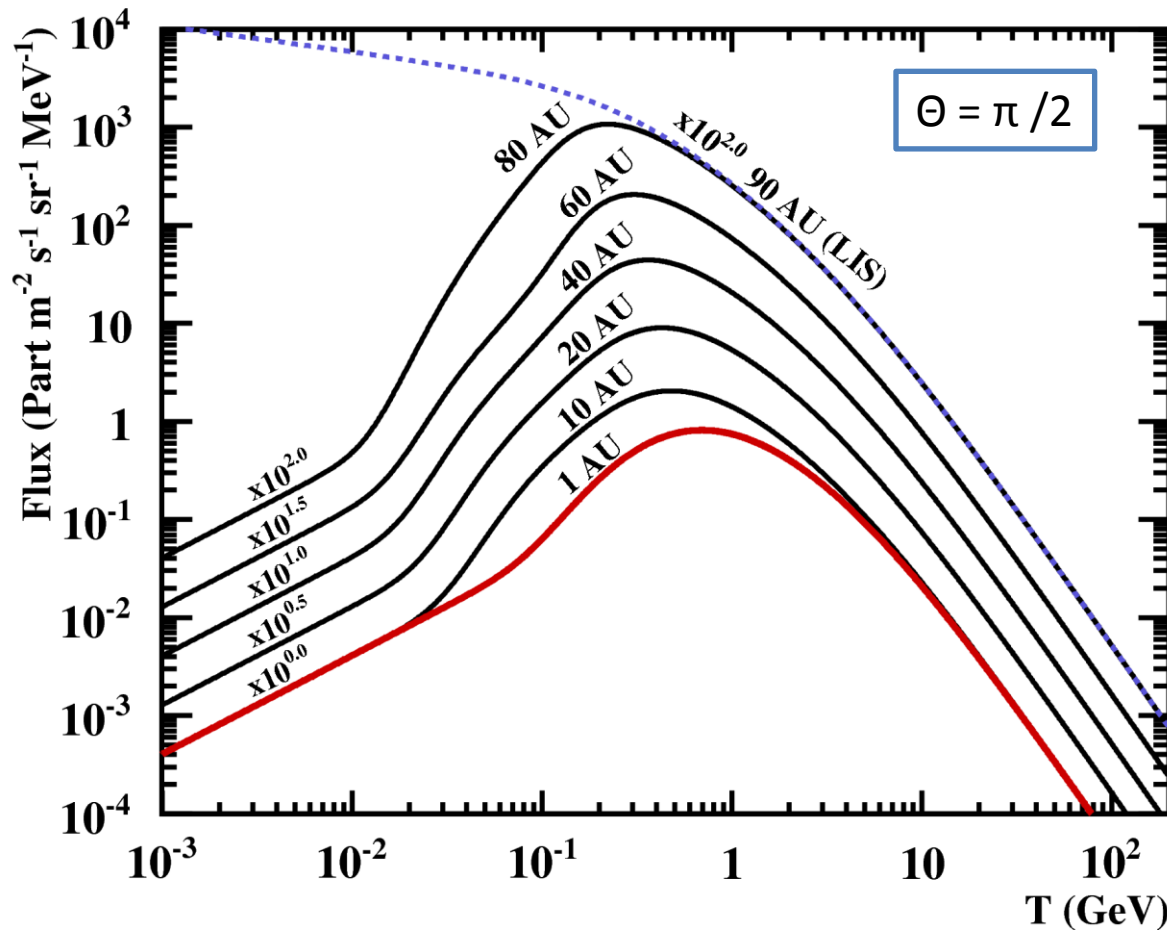


Spikes at $R \sim 1$ GV
=> solar events on
9.8.11,
27.1.12
7.3.12
17.5.12

Solar Modulation *master thesis M. Orcinha*



Solar Modulation *master thesis M. Orcinha*



Numerically solved (2D)
Variables: p, r, θ

$$k_{ij} \propto \beta P \frac{B_0}{B}$$

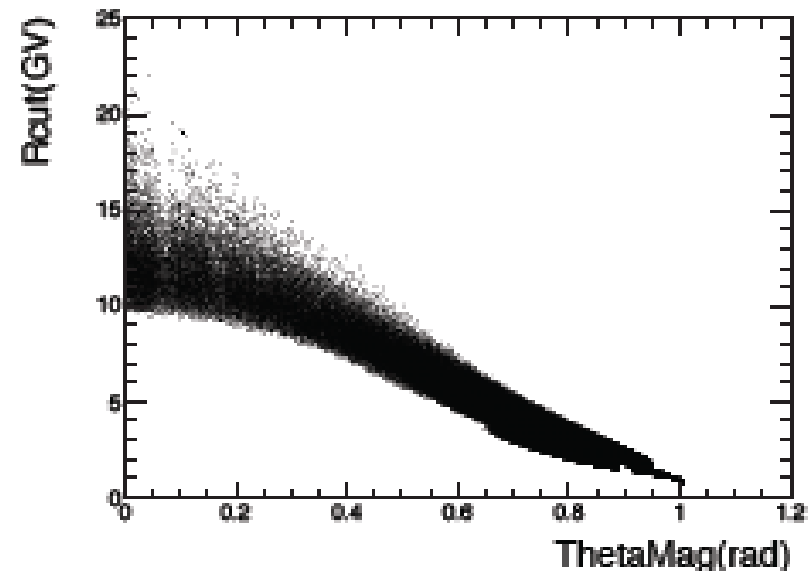
$$\mathbf{V}_d = \frac{pv}{3q} \nabla \times \left(\frac{\mathbf{B}}{B^2} \right)$$

$$\frac{\partial f}{\partial t} = \overbrace{k_{rr} \frac{\partial^2 f}{\partial r^2} + k_{\theta\theta} \frac{\partial^2 f}{\partial \theta^2} + \left(\frac{2k_{rr}}{r} + \frac{\partial k_{rr}}{\partial r} \right) \frac{\partial f}{\partial r} + \frac{\cot \theta k_{\theta\theta}}{r^2} \frac{\partial f}{\partial \theta}}^{\text{diffusion}} - \overbrace{V_{wr} \frac{\partial f}{\partial r}}^{\text{convection}} + \overbrace{V_{wr} \frac{\partial f}{\partial r} + \frac{V_{d\theta}}{r} \frac{\partial f}{\partial \theta}}^{\text{drift}} + \overbrace{\frac{2V_{wr}}{3r} \frac{\partial f}{\partial \ln p}}^{\text{adiabatic cooling}}$$

d/p separation *master thesis P. Nunes*

- Deuterons result mainly from the nuclear interactions of primary CR p and He nuclei with ISM.
 - Should provide important info for CR propagation in the space.
- The selection of deuterons is very complex due to the large p background (d/p \approx 2%)
- Geomagnetic rigidity cut-off can be used to separate deuterons
- **Geomagnetic Rigidity Cutoff:** Minimal rigidity ($R=pc/Ze$) that a particle coming from the Galaxy must have in order to reach a point near the Earth surface

$$R_c(h, \lambda, \alpha) = \frac{60}{\left(1 + \frac{h}{R_E}\right)^2} \frac{\cos^4 \lambda}{\left[\sqrt{1 + \cos \alpha \cos \lambda^3} + 1\right]^2}$$



Rcut versus Latitude
Computed with particles entering AMS

d/p separation

IDEA: (orig. Balasubramanyan and Hubert)

- Accept particles $R > R_{\text{cut}}$ (Selects only primaries)
- Each CR particle has a cutoff that depends on its mass for every orbit position

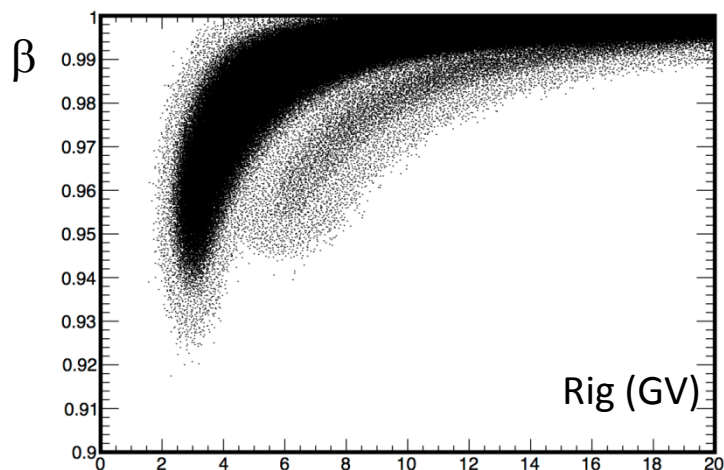
$$\beta_c^{-2} = 1 + \left(\frac{A}{Z} \frac{m}{R_c(h, \lambda, \alpha)} \right)^2$$

Velocity region where **d** are naturally separated from **p**

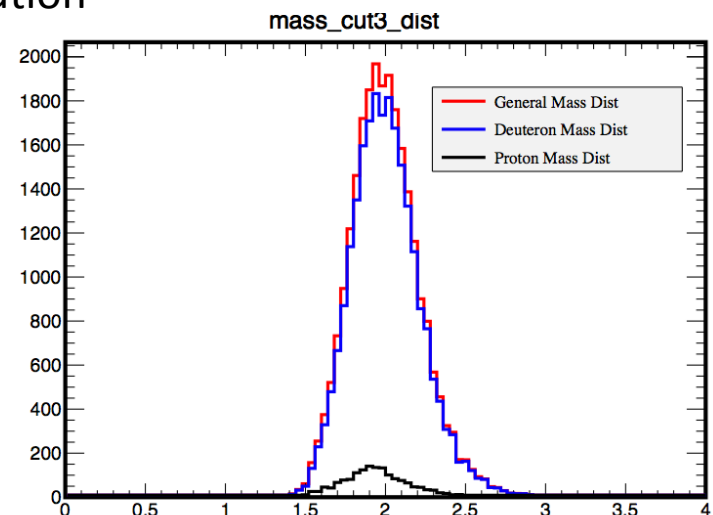
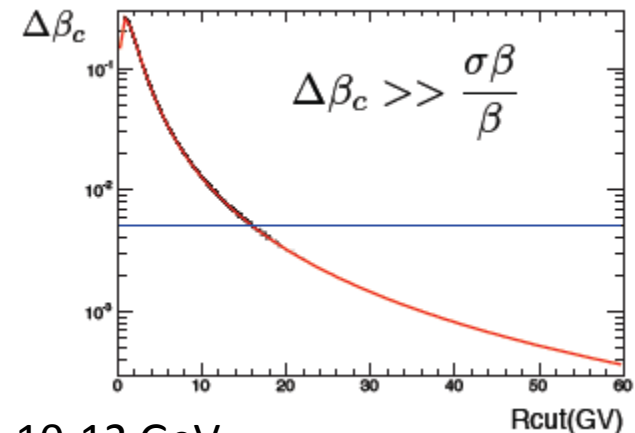
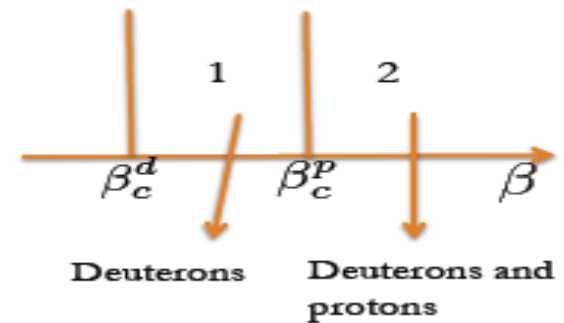
- This region decreases with energy: Higher cut-off energies correspond to lower geomagnetic latitudes

- ✓ Direct use of precise β measurement by RICH
- ✓ Expect to measure a deuteron flux and a d/p ratio up to 10-12 GeV

MC: d & p simulated according to β^{RICH} and Rig resolution



Deuterons
selected
($\beta_d^c < \beta_m < \beta_p^c$)



Near future

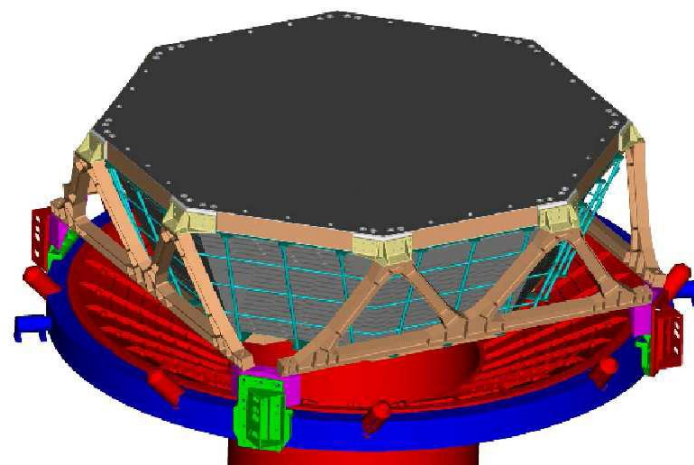
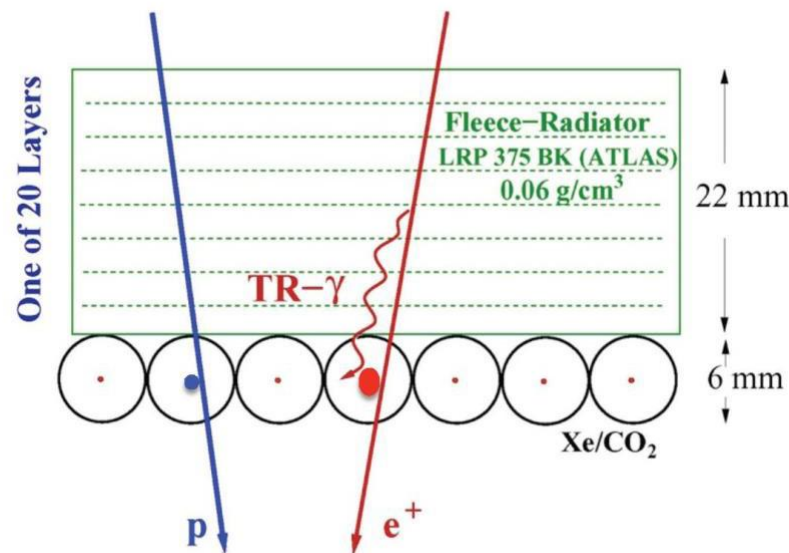
- AMS-02 remains for the next years a singular experiment in space
- AMS-02 physics analysis
 - More statistics -> more precision
 - Extend studies to higher energies
- More physics channels to be covered
 - Antiprotons
 - Antiheliums
 - Antideuteron
 - more light isotope measurements $^3\text{He}/^4\text{He}$, $^{10}\text{Be}/^9\text{Be}$
 - Sub-Fe elements, Sub-Fe/Fe
 - γ -rays

BACKUP Slides

Transition Radiation Detector (TRD)

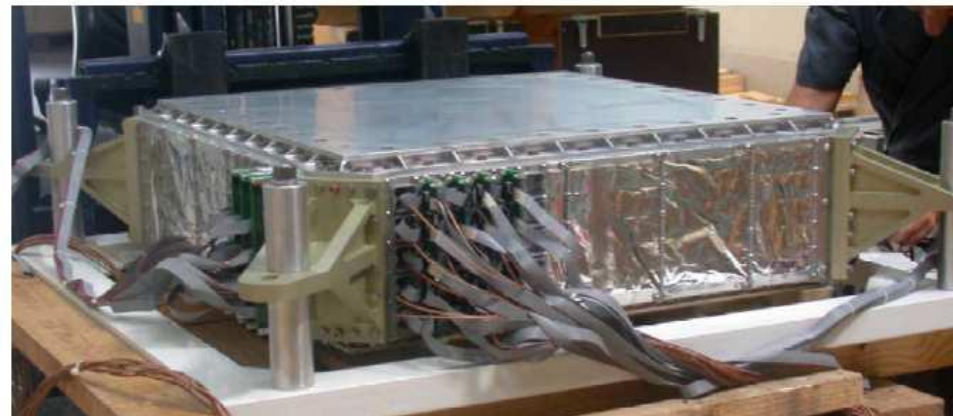
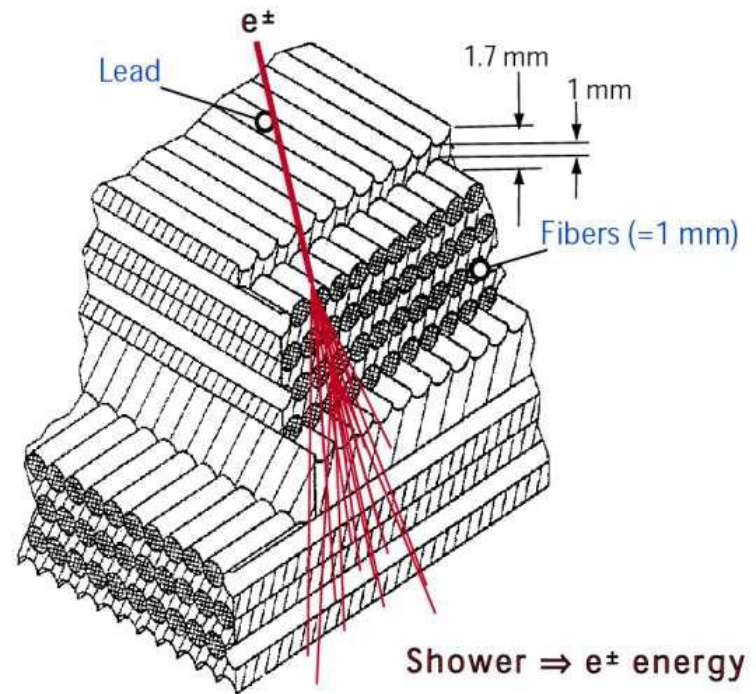
- ✓ Electromagnetic radiation is emitted (X-rays) when a charged particle traverses a medium with a varying dielectric permittivity (ϵ)
- ✓ AMS-02 transition radiator consists on a series of foils and air gaps
 - 328 modules of fleece
- ✓ The X-rays are detected by proportional tubes (straw tubes) filled with a mixture of Xe/CO₂ (80%/20%)

- ✓ evaluation of the particle $\gamma = E/m$ (boost)
- ✓ separation of particles with extreme mass differences



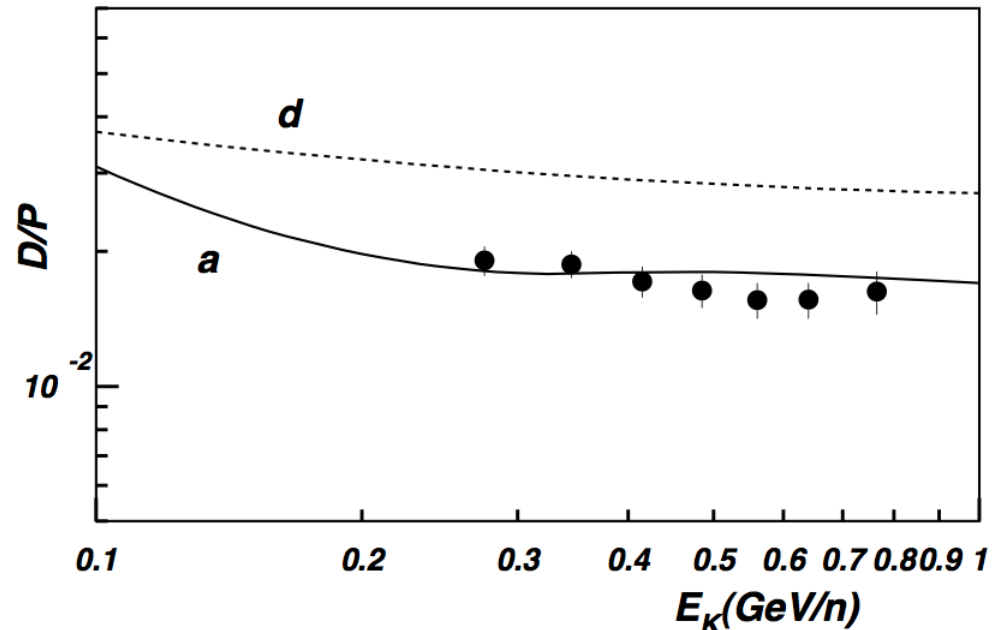
Electromagnetic calorimeter (ECAL)

- ✓ sampling e.m. calorimeter with lead+scintillating fibers structure
 - $648 \times 648 \times 167 \text{ mm}^3$
 - lead (58%), fibers (33%), optical glue (9%)
 - $\rho \sim 6.8 \text{ g/cm}^3$
- ✓ 9 superlayers disposed along X and Y alternately
 - 4(5) disposed along the X (Y)
- ✓ $\sim 17X_0$ ($\sim 1\text{cm}$) radiation lengths
- ✓ multi-pixel (2×2) photomultiplier's
 - large dynamic range
- ✓ 18 samplings of e.m shower
 - cell granularity $\sim 0.5 R_M$ (35 fibers per PM pixel)
- ✓ detector acceptance : $0.06 \text{ m}^2.\text{sr}$
 - ☐ e^\pm , γ energy measurement
 - ☐ particle direction
 - ☐ fast trigger signal



d/p separation on going master thesis *P. Nunes*

- Deuterons = Rare hydrogen isotopes in CR that are believed to be of secondary origin.
 - ◆ d results mainly from the nuclear interactions of primary CR p and He nuclei with ISM.
- Should provide important info for CR propagation in the space.
- Achieving a flux of deuterons to higher energies is fundamental.
- The selection of deuterons is very complex due to the large p background (d/p ≈ 2%)
- Standard method: mass separation



$$M = \frac{p}{\gamma\beta} \quad \left(\frac{\sigma_M}{M} \right)^2 = \left(\frac{\sigma_p}{p} \right)^2 + \left(\frac{\gamma^2 \sigma_\beta}{\beta} \right)^2$$

For 10GeV proton

$\gamma^2 \sim 113.65$

$\sigma_M/M \sim 0.15$

Momentum measured by the tracker $\sigma_p/p \sim 10\%$

Velocity measured by the RICH $\sigma_\beta/\beta \sim 10^{-3}$

d/p separation

- Geomagnetic rigidity cut-off can be used to separate deuterons
- **Geomagnetic Rigidity Cutoff:** Minimal rigidity ($R=pc/Ze$) that a particle coming from the Galaxy must have in order to reach a point near the Earth surface

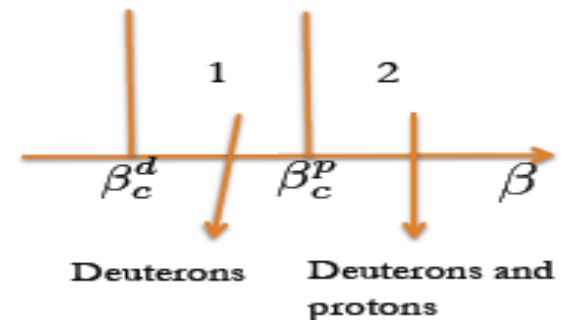
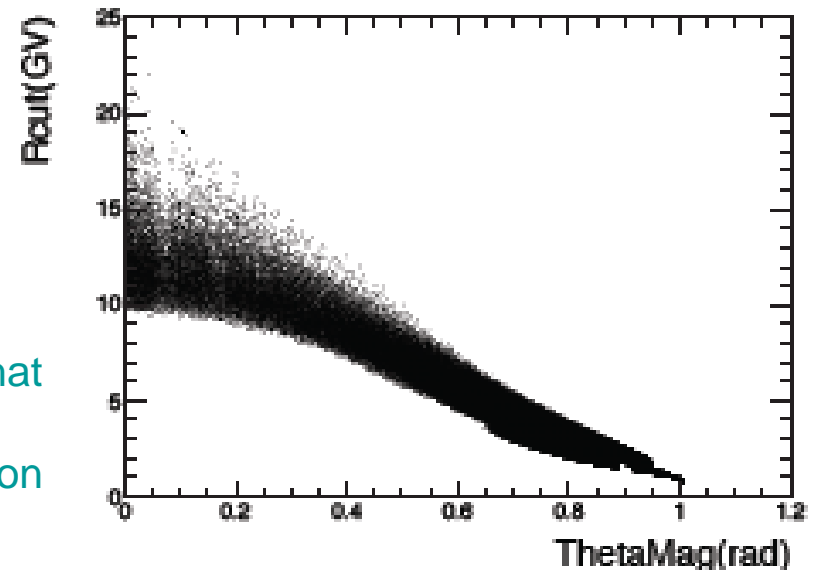
$$R_c(h, \lambda, \alpha) = \frac{60}{\left(1 + \frac{h}{R_E}\right)^2} \frac{\cos \lambda^4}{\left[\sqrt{1 + \cos \alpha \cos \lambda^3} + 1\right]^2}$$

IDEA: (orig. Balasubramanyan and Hubert)

- Accept only particles with a rigidity higher than the cutoff. Select only primary particles
- Each CR particle has a cutoff that depends on its mass for every orbit position

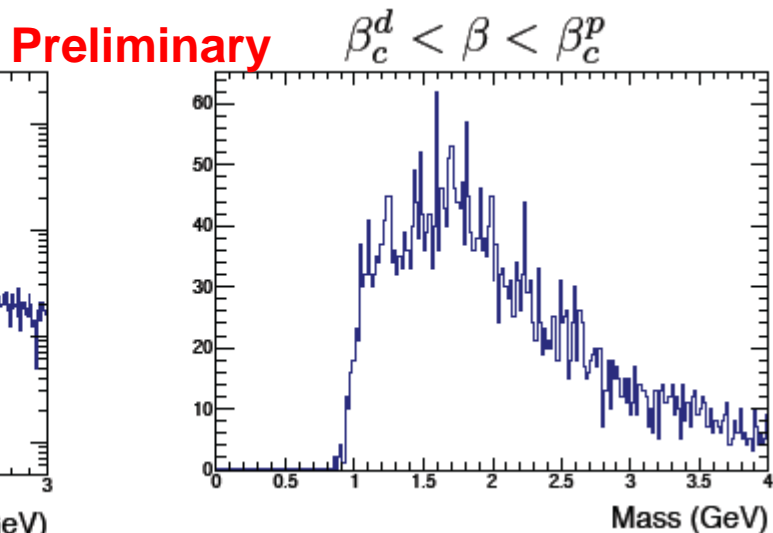
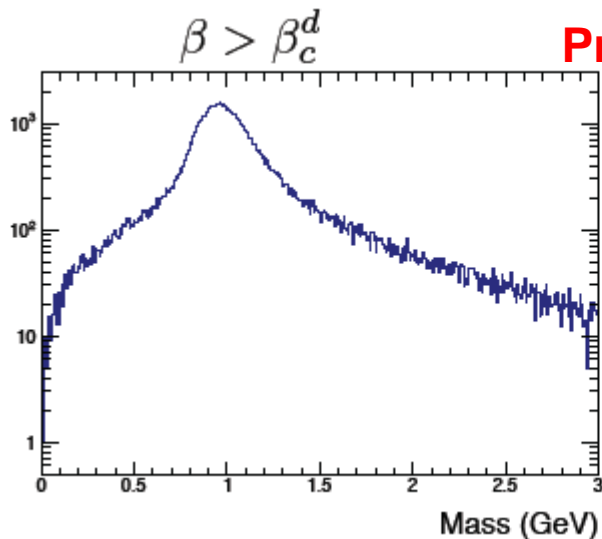
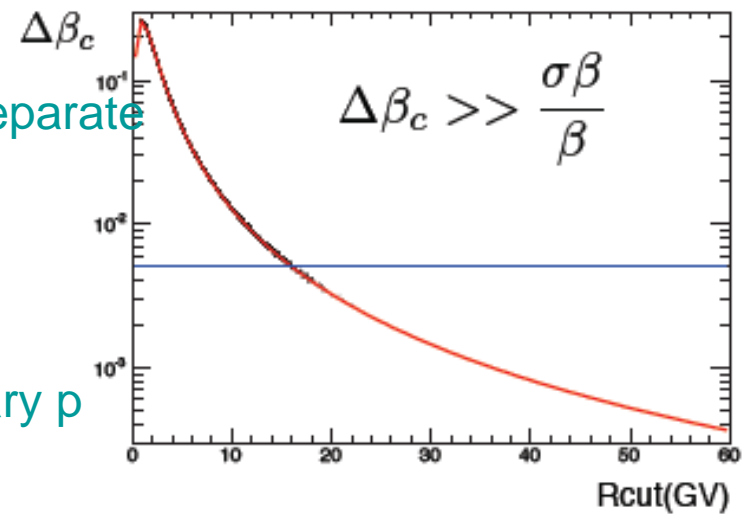
$$\beta_c^{-2} = 1 + \left(\frac{A}{Z} \frac{m}{R_c(h, \lambda, \alpha)} \right)^2$$

- There is a velocity region where deuterons are naturally separated from protons
- This region decreases with energy: Higher cut-off energies correspond to lower geomagnetic latitudes



d/p separation

- ✓ Advantages of this method:
 - ✓ Direct use of precise β measurement to separate
- ✓ Steps to perform d/p separation:
 - ✓ Accept only Z=1 particles
 - ✓ Quality cuts in R & β measurements
 - ✓ Accept particles with $R > R_{\text{cut}}$
 - ✓ Use β_{RICH} to separate primary d from primary p
 - ✓ Obtain a mass distribution



- ✓ Expect to measure a deuteron flux and a d/p ratio up to 10-12